

## Rationality of certain cuspidal unipotent representations in crystalline cohomology groups

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**Abstract.** We complete the determination of the local Schur indices of each unipotent representation of the group  $G(\mathbb{F}_q)$  of  $\mathbb{F}_q$ -rational points of a simple algebraic group  $G$  defined over a finite field  $\mathbb{F}_q$ .

*Key words:* unipotent representations, Schur indices, crystalline cohomology groups.

### Introduction

Let  $\mathbb{F}_q$  be a finite field with  $q$  elements of characteristic  $p$ . Let  $G$  be a connected, reductive linear algebraic group, defined over  $\mathbb{F}_q$ , with Frobenius map  $F$ , and let  $G^F$  be the (finite) group of fixed points of  $G$  by  $F$ . Then the problem of determining of the local Schur indices of the (complex) irreducible unipotent representations  $\rho$  of  $G^F$  can be reduced to the case where  $G$  is a simple algebraic group of adjoint type and  $\rho$  is cuspidal ([DL, Proposition 7.10], [Ge II, Remark 2.6], [Lu II, p. 28], [Ge I, Propositions 5.5, 5.6]).

Suppose that  $G$  is a simple algebraic group of adjoint type and that  $\rho$  is cuspidal unipotent representation of  $G^F$ . Then, in almost all cases, the local Schur indices of  $\rho$  are determined by Lusztig [Lu V] and Geck [Ge I, II], more or less by a general method. However there are two remaining cases for which the above general method cannot be applied. They are the following:

- (i) the characters  $E_7[\pm\xi]$  in the group  $G^F = E_7(q)$ , where  $q$  is an even power of  $p$  such that  $p \equiv 4 \pmod{4}$ ;
- (ii) the characters  $E_8[\pm\sqrt{-1}]$  for  $G^F = E_8(q)$  with  $p = 5$ .

(see [Ge II]; as to the notations of characters of  $G^F$ , we follow those in [Ca, p. 483, p. 488].)

The first case was dealt with by Geck [Ge III], by investigating certain generalized Gelfand-Graev representations. For this, he has to assume that  $p$  is large enough so that the result of Lusztig [Lu IV] on generalized

Gelfand-Graev representations can be applied (note that it relies on the general theory of Lie algebra, which requires that  $p$  is not too small). Also it involves some explicit computations by using computer.

The idea for treating the second case was explained briefly in [Ge II] and was discussed in Ph. D. thesis of Hezard [He]. It also use the generalized Gelfand-Graev representations of  $E_8(q)$ . Since  $p = 5$ , the general theory of Lie algebra cannot be applied, and very delicate and precise computations are required.

In this paper, we with to propose a method of using crystalline cohomology groups in order to treat the above two cases. By realizing the above cuspidal unipotent representations  $\rho$  on crystalline cohomology groups, it is possible to determine the  $p$ -local Schur index  $m_{\mathbb{Q}_p}(\rho)$  of  $\rho$ . For any prime  $\ell \neq p$ , the  $\ell$ -local Schur index  $m_{\mathbb{Q}_\ell}(\rho)$  of  $\rho$  can be determined by making use of the realization of  $\rho$  on the  $\ell$ -adic cohomology groups due to Lusztig [Lu V]. Thus the Schur index  $m_{\mathbb{Q}_p}(\rho)$  of  $\rho$  with respect to  $\mathbb{Q}$  is determined by the Hasse principle. In particular, the argument works without restriction on  $p$ .

The method of making use of crystalline cohomology seems to be comparatively general since, by modifying our method, one can prove the following:

**Theorem A** *Let  $G$  be a simple algebraic group, defined over  $\mathbb{F}_q$ , with  $\mathbb{F}_q$ -rank  $r$ . Let  $\rho$  by any cuspidal unipotent representation of  $G^F$  with character  $\chi_\rho$  and let  $A(\rho, \mathbb{Q}_p)$  be the simple direct summand of the group algebra  $\mathbb{Q}_p[G^F]$  associated with  $\rho$ . Let  $\mathbb{Q}_p(\chi_\rho) = \mathbb{Q}_p(\chi_\rho(g_0), g_0 \in G^F)$ . Then the Hasse invariant of the simple algebra  $A(\rho, \mathbb{Q}_p)$  (central over  $\mathbb{Q}_p(\chi_\rho)$ ) can be given by  $-(r/2)[\mathbb{Q}_p(\chi_\rho) : \mathbb{Q}_p]$ .*

Our result, with combining Lusztig's realization in the  $\ell$ -adic cohomology, can be interpreted in terms of motives over finite fields (see Milne [Mi II]).

Let  $\rho$  be a cuspidal unipotent representation of  $G^F$  ( $G$  simple). Let  $w$  be a Weyl group element with minimal length  $n = \ell(w)$  such that  $(R^1(w), \rho)_{G^F} \neq 0$ , where  $R^1(w)$  is the Deligne-Lusztig virtual representation of  $G^F$  associated with  $w$  ([DL]). Let  $\lambda q^{n\delta/2}$  be the eigenvalue of Frobenius on  $H^n(\overline{X}(w), \mathbb{Q}_\ell)$  associated with  $\rho$  ([Lu II]; [DM, Théorème 2.3, p. 48]), Here  $\overline{X}(w)$  is the Hansen-Demazure-Deligne-Lusztig compactification of the Deligne-Lusztig variety  $X(w)$  associated with  $w$  ([DL, (9.10)]),

$\overline{\mathbb{Q}_\ell}$  is an algebraic closure of the  $\ell$ -adic field  $\mathbb{Q}_\ell$  ( $\ell \neq p$ ),  $\delta$  is the minimal natural number such that  $F^\delta$  acts trivially on the Weyl group of  $G$ , and  $\lambda$  is a certain root of unity (cf. [DM]). Let  $X$  be a simple motive with Weil  $q^\delta$ -number  $q^{n\delta/2}$  (uniquely determined up to isomorphisms; see [Mi II, p. 415]). Then

**“Theorem B”** *Assume that Tate conjecture over finite fields holds (see [Mi II]). Then in the Brauer group of  $\mathbb{Q}(\chi_\rho)$ , the class of the simple direct summand  $A(\rho, \mathbb{Q})$  of  $\mathbb{Q}[G^F]$  associated with  $\rho$  and the class of the endomorphism ring  $\text{End}(X)$  of  $X$  are the same.*

Theorem A also holds for cuspidal unipotent representations of the Suzuki and Ree groups  ${}^2B_2(q)$ ,  ${}^2G_2(q)$ ,  ${}^2F_4(q)$  except for the unique representation  $\rho$  of  ${}^2F_4(q)$ , such that  $(R^1(w), \rho)_{G^F}$  is even for all  $w$  ([Lu III, p. 375]); for this representation, the formula in Theorem A does not hold;  $\rho$  has the property that  $m_{\mathbb{Q}_\ell}(\rho) = 1$  for  $\ell \neq p$  and  $m_{\mathbb{R}}(\rho) = m_{\mathbb{Q}_p}(\rho) = 2$  (see [Ge I]).

### Notation

$p$  is a fixed prime number and  $k$  is an algebraic closure of the prime field of characteristic  $p$ .  $q = p^{a'}$  is a power of  $p$  and  $\mathbb{F}_q$  is the subfield of  $k$  with  $q$  elements. By a variety, we mean a separated reduced scheme of finite type over  $k$  and we identify it with the set of its  $k$ -rational points.

If  $\rho$  is an irreducible representation of a finite group  $H$  over an algebraically closed field  $C$  of characteristic 0, then  $\chi_\rho$  denotes its character and for a field  $E$  of characteristic 0,  $E(\rho) = E(\chi_\rho) = E(\chi_\rho(h), h \in H)$  and  $m_E(\rho)$  or  $m_E(\chi_\rho)$  denotes the Schur index of  $\rho$  with respect to  $E$ .

$\ell$  is any fixed prime number  $\neq p$ , and  $\overline{\mathbb{Q}_\ell}$  is an algebraic closure of  $\mathbb{Q}_\ell$ . For a variety  $X$ ,  $H^i(X)$  (resp.  $H_c^i(X)$ ) is the  $i$ -th étale cohomology group of  $X$  (resp. the  $i$ -th étale cohomology of  $X$  with compact supports) with coefficients in  $\overline{\mathbb{Q}_\ell}$ .

### 1.

Let  $n$  be a positive integer, and let  $\Lambda_n = \mathbb{Z}/\ell^n\mathbb{Z}$ . Let  $X, Y$  be varieties and let  $f: X \rightarrow Y$  be a proper morphism. Then there exists a spectral sequence

$$(R_c^i \pi_*)(R^j f_*)F \implies R_c^{i+j}(\pi f)_*F$$

where  $\pi: Y \rightarrow \text{Spec}(k)$  is the structural morphism of  $Y$  (see [Mi I, Theorem 3.2(c), p. 228]) (note that  $R_c^i f_* = R^j f_*$  since  $f$  is proper) and  $F$  is any torsion (étale) sheaf of  $\Lambda_n$ -modules on  $X$ . Thus one of the edge homomorphisms of this spectral sequence gives  $\Lambda_n$ -homomorphisms ([CE, p. 329, Case B])

$$(*) \quad H_c^i(Y, f_*F) \longrightarrow H_c^i(X, F) \quad (i \geq 0).$$

(Note that  $(R_c^i \pi_*)(R^0 f_*)F = (R_c^i \pi_*)f_*F = H_c^i(Y, f_*F)$  and  $R_c^i(\pi f)_*F = H_c^i(X, F)$ .)

Let  $F'$  be a torsion sheaf on  $Y$  of  $\Lambda_n$ -modules, and let  $F = f^*F'$ . Then, by composing the homomorphism  $H_c^i(Y, F') \rightarrow H_c^i(Y, f_*f^*F')$  induced by the natural morphism  $F' \rightarrow f_*f^*F'$  with the homomorphism  $(*)$ , we get a  $\Lambda_n$ -homomorphism  $H_c^i(Y, F') \rightarrow H_c^i(X, f^*F')$ . By letting  $F' = \Lambda_n$ , and by using the canonical isomorphism  $f^*\Lambda_n \simeq \Lambda_n$ , we get a  $\Lambda_n$ -homomorphism

$$(**) \quad f_n^*: H_c^i(Y, \Lambda_n) \longrightarrow H_c^i(X, \Lambda_n) \quad (i \geq 0).$$

We note that if  $Z$  is a variety and  $g: Y \rightarrow Z$  is a proper morphism, then we have

$$(***) \quad (gf)_n^* = f_n^*g_n^*.$$

Assume that  $X, Y$  are proper over  $\text{Spec}(k)$ . Then, by the functoriality ([Sri. p. 41]), we get a natural  $\Lambda_n$ -homomorphism  $H^i(Y, F') \rightarrow H^i(X, f^*F')$ , which, as we can check, coincides with the above homomorphism  $H^i(Y, F') \rightarrow H^i(Y, f_*f^*F') \rightarrow H^i(X, f^*F')$ .

Returning to the general case with  $f: X \rightarrow Y$  proper, let  $\psi_n: H_c^i(Y, \Lambda_{n+1}) \rightarrow H_c^i(Y, \Lambda_n)$ ,  $\phi_n: H_c^i(X, \Lambda_{n+1}) \rightarrow H_c^i(X, \Lambda_n)$  be homomorphisms which are induced by the natural morphism  $\Lambda_{n+1} \rightarrow \Lambda_n$ . Then we have  $\phi_n f_{n+1}^* = f_n^* \psi_n$ . Hence, by taking projective limits, we get a  $\mathbb{Z}_\ell$ -homomorphism

$$\varprojlim_n f_n^*: H_c^i(Y, \mathbb{Z}_\ell) = \varprojlim_n H_c^i(Y, \Lambda_n) \longrightarrow H_c^i(X, \mathbb{Z}_\ell).$$

By tensoring with  $\mathbb{Q}_\ell$ , we get  $\mathbb{Q}_\ell$ -linear maps

$$H_c^i(Y, \mathbb{Q}_\ell) \longrightarrow H_c^i(X, \mathbb{Q}_\ell) \quad (i \geq 0),$$

hence we get  $\overline{\mathbb{Q}_\ell}$ -linear maps

$$f^*: H_c^i(Y) \longrightarrow H_c^i(X) \quad (i \geq 0).$$

We note that, if  $g: Y \rightarrow Z$  is proper, then

$$(gf)^* = f^*g^*.$$

Now let  $G$  be a connected, reductive linear algebraic group over  $k$ , defined over  $\mathbb{F}_q$ , with Frobenius map  $F$ . Let  $X_G$  be the projective variety of all Borel subgroups of  $G$ . Let  $F: X_G \rightarrow X_G$  be the map defined by  $B \rightarrow F(B)$ , which is the Frobenius map corresponding to the natural  $\mathbb{F}_q$ -rational structure of  $X_G$ .  $G$  acts on  $X_G$  by the conjugations:  $B \rightarrow gBg^{-1}$ ,  $g \in G$ ,  $B \in X_G$ .

For the sake of later use, let me allow to explain this action of  $G$  on  $X_G$ . Let  $k[G]$  be the  $k$ -algebra of regular functions on  $G$ . Then  $G$  acts on it by  $(h \cdot g)(x) = h(xg^{-1})$ ,  $g \in G$ ,  $h \in k[G]$ ,  $x \in G$ . Then there is a finite-dimensional,  $G$ -stable subspace  $V$  of  $k[G]$  and a line  $L$  through 0 ( $\subset V$ ) such that  $B^* = \{g \in G \mid g(L) = L\}$ , where  $B^*$  is a previously fixed  $F$ -stable Borel subgroup of  $G$ . Let  $\mathbb{P}(V)$  be the projective space associated with  $V$  and let  $[L]$  be the class of  $L$  in  $\mathbb{P}(V)$ . Note that  $V$  and  $L$  can be chosen so that they are defined over  $\mathbb{F}_q$ . The homogeneous space  $G/B^*$  is defined to be the orbit  $G \cdot [L]$  in  $\mathbb{P}(V)$ . Since  $G/B^*$  is projective, it is complete, hence  $G/B^* = G \cdot [L]$  is closed in  $\mathbb{P}(V)$ . Let  $\rho: G \rightarrow \text{GL}(V)$  be the representation which is determined by the  $G$ -module  $V$ . Then, for each  $g \in G$ ,  $\rho(g)$  is an automorphism of the affine space  $V$ , which hence induces a  $k$ -algebra automorphism  $\theta(g)$  of  $k[V]$ . With respect to a basis of the  $\mathbb{F}_q$ -structure  $V_0$  of  $V$ ,  $k[V]$  can be viewed naturally as a polynomial ring  $k[T_0, \dots, T_d]$  over  $k$  ( $d+1 = \dim_{\mathbb{F}_q}(V_0)$ ). Then, for  $g \in G$ ,  $\theta(g)$  is a homogeneous automorphism of  $k[T_0, \dots, T_d]$  of degree 0, so that it induces a ring automorphism  $\bar{\theta}(g)$  of  $k[G/B^*] = k[G \cdot [L]]$ . Then it is well known that, for  $g \in G$ , the automorphism  $\bar{\theta}(g)$  induces an automorphism of  $G/B^*$ , which coincides with the mapping  $hB^* \rightarrow ghB^*$ ,  $hB^* \in G/B^*$ . Since  $X_G$  is isomorphic to  $G/B^*$  naturally, the adjoint action of  $g \in G$  on  $X_G$  is induced by a  $k$ -algebra automorphism of a  $k$ -algebra  $A$  such that  $X_G = \text{Proj}(A)$ .

Now, we let  $G$  act on  $X_G \times X_G$  diagonally. Then  $W_G = G \backslash (X_G \times X_G)$  has a natural group structure, which is called the Weyl group of  $G$  ([DL, 1.2], [Lu I, (1.2)]). For  $w \in W_G$ , let  $X(w) = \{B \in X_G \mid (B, F(B)) \in w\}$ . Then  $X(w)$  is a locally closed smooth subvariety of  $X_G$ , purely of dimension  $\ell(w)$ ,

where  $\ell(\ )$  is the length function on  $W_G$  ([DL, 1.4]). For  $g_0 \in G^F$ ,  $X(w)$  is  $g_0$ -stable, so, for each  $i \geq 0$ , we have an automorphism  $g_0^*$  of  $H_c^i(X(w))$ . We consider  $H_c^i(X(w))$  as  $G^F$ -modules by  $(g_0^{-1})^*$ ,  $g_0 \in G^F$ . For  $i \geq 0$ ,  $H_c^i(X(w))$  is a  $\overline{\mathbb{Q}_\ell}[G^F]$ -module with  $\mathbb{Q}_\ell$ -structure  $H_c^i(X(w), \mathbb{Q}_\ell)$ .

Let  $\delta$  be the minimal positive integer such that  $F^\delta$  acts trivially on  $W_G$ . Then, for  $w \in W_G$ ,  $X(w)$  is  $F^\delta$ -stable. Let  $w \in W_G$ . Then the morphism  $F^\delta: X(w) \rightarrow X(w)$  is finite, hence proper, so, for  $i \geq 0$ ,  $F^\delta$  induces a  $\overline{\mathbb{Q}_\ell}$ -linear map  $(F^\delta)^*: H_c^i(X(w)) \rightarrow H_c^i(X(w))$ .

Let  $M$  be a simple  $\overline{\mathbb{Q}_\ell}[G^F]$ -module. Then we say that  $M$  has depth  $t$  if there is an  $F$ -stable subset  $I$  of the set  $S$  of simple reflections in  $W_G$  with  $|I_F| = r - t$ , where  $I_F$  is the set of orbits of  $F$  on  $I$  and  $r$  is the semisimple  $\mathbb{F}_q$ -rank of  $G$ , such that, for an  $F$ -stable parabolic subgroup  $P_I$  of  $G$  corresponding to  $I$ , with unipotent radical  $U_I$ ,  $M^{U_I^F}$  contains a non-zero cuspidal  $L^F$ -module, where  $L = P_I/U_I$  and  $M^{U_I^F}$  is the subspace of  $M$  consisting of elements of  $M$  fixed by  $U_I^F$  (see [Lu I, §4]).

Let  $M$  be any (finitely generated)  $\overline{\mathbb{Q}_\ell}[G^F]$ -module. For an integer  $t \geq 0$ , let  $M^{(t)}$  be the subspace of  $M$  defined as the sum of all simple  $\overline{\mathbb{Q}_\ell}[G^F]$ -submodules of  $M$  of depth  $t$ . Then we have  $M = \bigoplus_{t \geq 0} M^{(t)}$ .  $M^{(0)}$  is

the cuspidal part of  $M$ .

Now assume that  $G$  is a simple algebraic group of type  $(E_7)$ . Let  $s_1, \dots, s_7$  be the simple reflections in  $W_G$ . Put  $c = s_1 \cdots s_7$  and  $f = (s_1, \dots, s_7)$ . Let  $X_f = X(c)$ . Then  $X_f$  is a smooth affine irreducible subvariety of dimension 7 ([Lu I, (2.8), (4.8)]). We have  $H_c^i(X_f)^{(0)} = 0$  for  $i \neq 7$  and  $H_c^7(X_f)^{(0)} = H_c^7(X_f)_{\sqrt{-q^7}} \oplus H_c^7(X_f)_{-\sqrt{-q^7}}$ , where  $H_c^7(X_f)_{\sqrt{-q^7}}$  (resp.  $H_c^7(X_f)_{-\sqrt{-q^7}}$ ) is the subspace of  $H_c^7(X_f)$  on which  $F^*$  acts by multiplication by  $\sqrt{-q^7}$  (resp.  $-\sqrt{-q^7}$ ) ([Lu I, (6.1), (7.1), (7.3), (7.4)(c)]). They afford two non-isomorphic cuspidal unipotent representations of  $G^F$  over  $\overline{\mathbb{Q}_\ell}$  (see [Lu III, pp. 364–5], Carter [Ca, pp. 482–3]). And they are all the cuspidal unipotent representations of  $G^F$ .

Let  $\rho$  be a (complex) cuspidal unipotent representation of  $G^F$ . Then  $\mathbb{Q}(\chi_\rho) = \mathbb{Q}(\sqrt{-q^7})$  (cf. [Ge I, p. 21]). Since  $\chi_\rho$  is not real, we have  $m_{\mathbb{Q}_\infty}(\rho) = 1$ . Let  $\tau: \mathbb{C} \xrightarrow{\sim} \overline{\mathbb{Q}_\ell}$  be an isomorphism. Then, since  $(H_c^7(X_f), \rho^\tau)_{G^F} = 1$  and  $H_c^7(X_f)$  is defined over  $\mathbb{Q}_\ell$ , by a property of the Schur index, we have  $m_{\mathbb{Q}_\ell}(\rho) = 1$ . Since  $\ell$  is any prime number  $\neq p$ , by Hasse’s sum formula, we must have  $m_{\mathbb{Q}_p}(\rho) = 1$  (hence  $m_{\mathbb{Q}}(\rho) = 1$ ) if the number of the places of  $\mathbb{Q}(\chi_\rho)$  lying above  $p$  is equal to one, and this is the case unless  $q$  is an even

power of  $p$  such that  $p \equiv 1 \pmod{4}$ .

To treat the remaining case we use crystalline cohomology. To do so we need some analysis of Lusztig’s results in [Lu I].

Let  $X_f = \{(B_0, B_1, \dots, B_7) \in X_G^8 \mid (B_{i-1}, B_i) \in s_i \cup e \text{ for } 1 \leq i \leq 7, \text{ and } F(B_0) = B_7\}$ . Then  $X_f$  is a smooth projective variety and  $X_f$  can be identified with the open dense subvariety  $\{(B_0, B_1, \dots, B_7) \in X_f \mid B_0 \neq B_1 \neq \dots \neq B_7\}$  of  $X_f$  (by Bruhat lemma) ([DL, 9.10]). Let  $F: X_G^8 \rightarrow X_G^8: (B_0, B_1, \dots, B_7) \rightarrow (F(B_0), F(B_1), \dots, F(B_7))$ . Then  $X_f$  is  $F$ -stable and  $G^F$  acts on it diagonally. The inclusion  $X_f \hookrightarrow X_f$  is  $F$ - $G^F$ -equivariant. Then this inclusion map induces an isomorphism  $H_c^i(X_f)^{(0)} \simeq H_c^i(X_f)^{(0)}$  ( $i \geq 0$ ) ([Lu I, (4.3.1)]).

**Lemma 1** ([Lu I, §4]) *We have  $H^7(X_f) = H^7(X_f)^{(0)}$ .*

In fact, let me allow to use the notations of [Lu I, §4] freely.

The exact sequence (4.2.3) of [Lu I, §4] for  $a = 7$  and  $i = 7$  can be read:

$$\dots \longrightarrow H_c^7(X_f)^{(t)} \xrightarrow{\alpha^{(t)}} H_c^7(X_f)^{(t)} \xrightarrow{\beta^{(t)}} H_c^8(D_6)^{(t)} \longrightarrow \dots$$

We see from the table on page 146 of [Lu I] that the absolute value of each eigenvalue of  $F^*$  on  $H_c^7(X_f)$  other than  $\pm\sqrt{-q^7}$  is an integral power of  $q$ . On the other hand, we know from Deligne’s theorem on the eigenvalues of Frobenius [De] that the absolute value of any eigenvalue of  $F^*$  on  $H^7(X_f)$  is  $q^{7/2}$ . Since the actions of  $F$  and  $G^F$  commute, we see that  $\alpha^{(t)} = 0$  for  $t \geq 1$ .

Next we show that  $\beta^{(t)} = 0$  for all  $t \geq 0$ , which would imply the desired assertion.

Assume that  $t \geq 2$ . Then, by the statement on page 122, lines 7–8, of [Lu I], we see that  $H^7(D_6)^{(t)}$  is isomorphic as  $G^F$ -modules to a quotient of  $\bigoplus_{|I| \leq 6} H_c^7(X_f(I))$ . Moreover, by a standard argument from linear algebra by using the exact sequences in lines 5, 6 on page 122 in [Lu I], that the set of eigenvalues of  $F^*$  on  $H^7(D_6)^{(t)}$  is contained in the set of eigenvalues of  $F^*$  on  $\bigoplus_{|I| \leq 6} H_c^7(X_f(I))$ . By (4.2.1) of [Lu I, p. 119], by the Künneth formula, and by the table on page 146 of [Lu I, p. 119], we see that the absolute value of each eigenvalue of  $F^*$  on  $\bigoplus_{|I| \leq 6} H_c^7(X_f(I))$ , hence on  $H^7(D_6)^{(t)}$ , is an integral power of  $q$ . Thus  $\beta^{(t)} = 0$  for  $t \geq 2$ . by the formula on page 121,

line 13, of [Lu I], we see that  $H^7(D_6)^{(1)} = 0$ . And, by the formula on page 120, line 15, of [Lu I], we have  $H^7(D_6)^{(0)} = 0$ . Thus  $\beta^{(t)} = 0$  for all  $t \geq 0$ .

**2.**

Let  $W(\mathbb{F}_q)$  be the ring of Witt vectors of  $\mathbb{F}_q$  and let  $K$  be its quotient field. Let  $\sigma$  be the Frobenius automorphism of  $W(\mathbb{F}_q)$  (induced by the automorphism  $x \rightarrow x^p$  of  $\mathbb{F}_q$ ); we also denote by  $\sigma$  its extension to  $K$ . For a proper smooth scheme  $X_0$  over  $\mathbb{F}_q$ , let  $H^i(X_0/W(\mathbb{F}_q))$  be the  $i$ -th crystalline cohomology group of  $X_0$  over  $W(\mathbb{F}_q)$  (see Berthelot [Ber, p. 525]; also see Illusite [Ill, 1.2, p. 44]), and let  $H_{\text{crys}}^i(X_0) = H^i(X_0/W(\mathbb{F}_q)) \otimes_{W(\mathbb{F}_q)} K$ .

Let  $n$  be a positive integer, and let  $W_n = W(\mathbb{F}_q)/p^n W(\mathbb{F}_q)$  ( $W_1 = \mathbb{F}_q$ ). Let  $g: X_0 \rightarrow Y_0$  be a morphism of proper smooth schemes  $X_0, Y_0$  over  $\mathbb{F}_q$ , and suppose that the diagram

$$\begin{array}{ccc} X_0 & \xrightarrow{g} & Y_0 \\ \downarrow & & \downarrow \\ S_n = \text{Spec}(W_n) & \xrightarrow{h} & S_n \end{array}$$

commutes. Here,  $X_0 \rightarrow S_n$  is the composition:  $X_0 \rightarrow \text{Spec}(\mathbb{F}_q) = \text{Spec}(W_1) \rightarrow S_n$  ( $Y_n \rightarrow S_n$  is defined similarly) and  $h$  is a PD morphism (see [Ber, p. 30] or [BO, p. 3.1]). Then we have a morphism of topoi:

$$g_{\text{cris}} = (g_{\text{cris}}^*, g_{\text{cris}*}) : (X_0/S_n)_{\text{cris}} \longrightarrow (Y_0/S_n)_{\text{cris}}$$

(see [Ber, Théorème 2.2.3, p. 197] or [BO, p. 5.3, p. 5.16]). Here  $(X_0/S_n)_{\text{cris}}$  is the topos of sheaves on the site  $\text{Cris}(X_0/S_n)$  (see [Ber, p. 180] or [BO, p. 5.3]) ( $(Y_0/S_n)_{\text{cris}}$  is defined similarly). Let  $O_{X_0/S_n}$  (resp.  $O_{Y_0/S_n}$ ) be the “structural sheaf” of  $X_0$  over  $S_n$  (resp.  $Y_0$  over  $S_n$ ) ([Ber, p. 183] or [BO, p. 5.4]; also cf. [Ill, p. 44]). Then, by the functoriality (or by the spectral sequence in [BO, p. 5.16]), there is a natural map  $H^i(\text{Cris}(Y_0/S_n), O_{Y_0/S_n}) \rightarrow H^i(\text{Cris}(X_0/S_n), g_{\text{cris}}^* O_{X_0/S_n})$  for each  $i$ , where  $H^i(\text{Cris}(Y_0/S_n), O_{Y_0/S_n})$  is the  $i$ -th cohomology group of the site  $\text{Cris}(Y_0/S_n)$  with coefficients in  $O_{Y_0/S_n}$  ([Ber, p. 180, p. 184]) ( $H^i(\text{Cris}(X_0/S_n), g_{\text{cris}}^* O_{X_0/S_n})$  is defined similarly). By composing this map with the natural map  $H^i(\text{Cris}(X_0/S_n), g_{\text{cris}}^* O_{Y_0/S_n}) \rightarrow H^i(\text{Cris}(X_0/S_n), O_{X_0/S_n})$  induced by the natural morphism  $g_{\text{cris}}^* O_{Y_0/S_n} \rightarrow O_{X_0/S_n}$  (see [Ber, (2.2.4), p. 199]), we get a map

$$g_n^* : H^i(\text{Cris}(Y_0/S_n), O_{Y_0/S_n}) \longrightarrow H^i(\text{Cris}(X_0/S_n), O_{X_0/S_n}).$$

If

$$\begin{array}{ccc} Y_0 & \xrightarrow{g'} & Z_0 \\ \downarrow & & \downarrow \\ S_n & \xrightarrow{h'} & S_n \end{array}$$

is another commutative diagram, where  $Z_0$  is a proper smooth variety over  $\mathbb{F}_q$  and  $h'$  is a PD morphism, we have

$$(g'g)_n^* = (g_n^*)(g'_n)^*$$

(cf. [Ber, Proposition 2.2.6, p. 200]).

We also have natural maps  $p_n : H^i(\text{Cris}(X_0/S_{n+1}), O_{X_0/S_{n+1}}) \rightarrow H^i(\text{Cris}(X_0/S_n), O_{X_0/S_n})$ ,  $q_n : H^i(\text{Cris}(Y_0/S_{n+1}), O_{Y_0/S_{n+1}}) \rightarrow H^i(\text{Cris}(Y_0/S_n), O_{Y_0/S_n})$ , and we have  $p_n g_{n+1}^* = g_n^* q_n$ . Therefore, by taking projective limits, we get a map

$$g^* := \varprojlim_n g_n^* : H^i(Y_0/W(\mathbb{F}_q)) \longrightarrow H^i(X_0/W(\mathbb{F}_q)) \quad (i \geq 0).$$

We have  $(g'g)^* = g^* g'^*$ .

Let  $X_0$  be a projective smooth scheme over  $\mathbb{F}_q$ . Let  $F_{\text{abs}} : X_0 \rightarrow X_0$  be the absolute Frobenius endomorphism of  $X_0$ :  $F_{\text{abs}}$  is the identity map on the underlying space of  $X_0$  and, for each section  $h$  in the structural sheaf  $O_{X_0}$  of  $X_0$ , we have  $F_{\text{abs}}(h) = h^p$ . Then we have a commutative diagram

$$\begin{array}{ccc} X_0 & \xrightarrow{F_{\text{abs}}} & X_0 \\ \downarrow & & \downarrow \\ S_n & \xrightarrow{h_n} & S_n, \end{array}$$

where  $h_n$  is the PD morphism induced by  $\sigma : W_n \rightarrow W_n$ . Then we have a  $\sigma$ -linear endomorphism  $(F_{\text{abs}})^*$  of  $H^i(X_0/W(\mathbb{F}_q))$  for each  $i$ . Hence we get a  $\sigma$ -linear endomorphism  $\phi = (F_{\text{abs}})^* \otimes \sigma$  of  $H_{\text{crys}}^i(X_0)$  for each  $i$ . This makes each  $(H_{\text{crys}}^i(X_0), \phi)$  an isocrystal over  $K$ , i.e. a finite-dimensional vector space over  $K$  with  $\sigma$ -linear bijection.

Let  $X_0$  be as above. Recall that  $q = p^{a'}$ . Then  $F_0 = (F_{\text{abs}})^{a'}$  is the Frobenius endomorphism of  $X_0$ ; if  $X = X_0 \times_{\mathbb{F}_q} k$ , then  $F = F_0 \times 1$  is the Frobenius endomorphism of  $X$  which corresponds to the  $\mathbb{F}_q$ -rational structure  $X_0$  on  $X$ .

Let  $X = \text{Proj}(A)$ ,  $Y = \text{Proj}(B)$  be two projective varieties defined over  $\mathbb{F}_q$ , and let  $g$  and  $h$  be automorphisms of  $X$  and  $Y$  respectively, defined over  $\mathbb{F}_q$ ; Assume that  $g$  (resp.  $h$ ) is the restriction to  $X$  (resp.  $Y$ ) of an automorphism of an ambient projective space, with the standard  $\mathbb{F}_q$ -rational structure, defined over  $\mathbb{F}_q$ . Then we see that  $g \times h$  is the automorphism of  $X \times Y$  which is induced by a  $k$ -algebra automorphism of  $A \otimes_k B$ .

Now let the assumptions and the notations be as in §1. Recall that  $X_f$  is an  $F$ -stable closed subvariety of  $X_G^{\mathbb{S}}$ . Suppose that  $X_f = \text{Proj}(A)$ . Then, for each  $g_0 \in G^F$ , the automorphism  $g_0: X_f \rightarrow X_f$  is induced by a  $k$ -algebra automorphism  $\theta(g_0)$  of  $A$  which is homogeneous of degree 0. Let

$$A_0 = \{x \in A \mid F(x) = x^q\}.$$

Then  $X_{f,0} = \text{Proj}(A_0)$  is the  $\mathbb{F}_q$ -rational structure on  $X_f$  determined by  $F: X_f \rightarrow X_f$ . Let  $g_0 \in G^F$ . Then, since  $\theta(g_0): A \rightarrow A$  is a ring automorphism, for  $x \in A_0$ , we have

$$F(\theta(g_0)(x)) = \theta(g_0)(F(x)) = \theta(g_0)(x^q) = \theta(g_0)(x)^q,$$

so  $\theta(g_0)(x) \in A_0$ . So  $\theta(g_0)$  induces a ring automorphism of  $A_0$ , hence induces an endomorphism  $g_0$  of  $X_{f,0}$ . Thus we get an endomorphism  $(g_0)^*$  of  $H^i(X_{f,0}/W(\mathbb{F}_q))$  for  $i \geq 0$ . It is clear that  $(h_0 g_0)^* = (g_0)^*(h_0)^*$  ( $h_0 \in G^F$ ). Thus each  $H^i(X_{f,0}/W(\mathbb{F}_q))$  is a  $G^F$ -module by the actions  $(g_0^{-1})^*$ ,  $g_0 \in G^F$ .

Let  $g_0 \in G^F$ . Then the graph of  $g_0: X_{f,0} \rightarrow X_{f,0}$  defines a cycle in  $X_{f,0} \times_{S_n} X_{f,0}$  of codimension 7 ( $n \geq 1$ ), hence, by the Künneth formula and the Poincaré duality theorem for crystalline cohomology, its class in  $H^{14}((X_{f,0} \times_{S_n} X_{f,0})/S_n, \mathcal{O}_{(X_{f,0} \times_{S_n} X_{f,0})/S_n})$ , hence in  $H_{\text{crys}}^{14}(X_{f,0} \times_{\mathbb{F}_q} X_{f,0})$  determines a linear endomorphism of  $H_{\text{crys}}^1(X_{f,0})$  for each  $i$ , which is just  $(g_0)^* \otimes 1$  (cf. Kleimann [Kl, 3, pp. 11–2] and Berthelot [Ber, Chap. VII, §3, Lemma 3.1.4, p. 575]). Similar statements also hold for étale cohomology (cf. [Mi I, Chap. VI, §12, Lemma 12.1]). Thus by Theorem 2 of Katz and Messing [KM], we see that, for each  $i$ , the characteristic polynomial of  $(g_0)^* \otimes 1$  on  $H_{\text{crys}}^i(X_{f,0})$  coincides with the characteristic polynomial of  $(g_0)^*$  on  $H^i(X_f)$  (they have coefficients in  $\mathbb{Z}$ ). In particular, we have

$$\text{Tr}((g_0)^* \otimes 1, H_{\text{crys}}^i(X_{f,0})) = \text{Tr}((g_0)^*, H^i(X_f)) \quad (i \geq 0). \tag{1}$$

(This argument was inspired by Lusztig [Lu I, p. 121, line 24].) We also see that, by Theorem 1 of [KM], the eigenvalues of  $(F_0)^* \otimes 1$  on  $H_{\text{crys}}^7(X_{f,0})$  coincide the eigenvalue of  $F^*$  on  $H^7(X_f)$ .

**Lemma 2** *Let  $g_0 \in G^F$ . Then  $F_{\text{abs}}g_0 = g_0F_{\text{abs}}$  on the scheme  $X_{f,0}$ . Thus  $\phi((g_0)^* \otimes 1) = ((g_0)^* \otimes 1)\phi$  on  $H_{\text{crys}}^i(X_{f,0})$  ( $i \geq 0$ ) (recall that  $\phi = (F_{\text{abs}})^* \otimes \sigma$ ).*

In fact, on the underlying space of  $X_{f,0}$ ,  $F_{\text{abs}}$  is the identity. For  $x \in A_0$ , we have  $\theta(g_0)(F_{\text{abs}}(x)) = \theta(g_0)(x^p) = \theta(g_0)(x)^p = F_{\text{abs}}(\theta(g_0)(x))$ . The last assertion is clear.

Assume that  $q$  is an even power of  $p$  such that  $p \equiv 1 \pmod{4}$ . Then we have  $\sqrt{-q^7} \in \mathbb{Q}_p$ . The eigenvalues of  $(F_0)^* \otimes 1 = \phi^{a'}$  ( $q = p^{a'}$ ) on  $H_{\text{crys}}^7(X_{f,0})$  are  $\pm\sqrt{-q^7}$ . Let  $M_+$  (resp.  $M_-$ ) be the generalized  $\sqrt{-q^7}$ -eigenspace (resp.  $-\sqrt{-q^7}$ -eigenspace) of  $H_{\text{crys}}^7(X_{f,0})$ . Then, since the action of  $G^F$  and  $(F_0)^* \otimes 1$  on  $H_{\text{crys}}^7(X_{f,0})$  commute, we see that  $M_+$  and  $M_-$  are  $G^F$ -submodules of  $H_{\text{crys}}^7(X_{f,0})$ . Hence, by (1), we see that they are absolutely irreducible  $G^F$ -modules over  $K$  and  $H_{\text{crys}}^7(X_{f,0}) = M_+ \oplus M_-$ ; moreover we see that the actions of  $(F_0)^* \otimes 1$  on  $M_+$  and  $M_-$  are semisimple.

By Lemma 2, we see that  $\phi(M_+)$  is a  $G^F$ -module. For  $g_0 \in G^F$ , we have

$$\text{Tr}((g_0)^* \otimes 1, \phi(M_+)) = \sigma(\text{Tr}((g_0)^* \otimes 1, M_+)) = \text{Tr}((g_0)^* \otimes 1, M_+)$$

since  $\mathbb{Q}_p(\chi_\rho) = \mathbb{Q}_p(\sqrt{-q^7}) = \mathbb{Q}_p$  ( $\rho$  is the representation afforded by  $M_+$ ) (Geck [Ge I, §5]). So  $\phi(M_+)$  is isomorphic to  $M_+$  as  $G^F$ -modules. Since  $M_+$  and  $M_-$  are not isomorphic, we must have  $\phi(M_+) = M_+$ . Similarly, we must have  $\phi(M_-) = M_-$ . Therefore  $(M_+, \phi)$  and  $(M_-, \phi)$  are semisimple isocrystals over  $K$  (cf. Milne [Mi II, Proposition 2.10, p. 417]).

Let  $M$  be  $M_+$  or  $M_-$ , and let

$$U: K[G^F] \longrightarrow \text{End}_K(M)$$

be the corresponding representation of  $K[G^F]$ . Since  $U$  is absolutely irreducible, we have  $U(K[G^F]) = \text{End}_K(M) \cong M_d(K)$ , where  $M_d(K)$  is the  $K$ -algebra of all  $d \times d$ -matrices over  $K$  with  $d = \dim_K M$ . Let  $B = U(\mathbb{Q}_p[G^F])$ . Then there is a division algebra  $D$ , central over  $\mathbb{Q}_p = \mathbb{Q}_p(\sqrt{-q^7})$ , such that  $B \cong M_n(D)$ , where if  $m$  denotes the index of  $D$ , then  $d = nm$  (cf. Curtis and Reiner [CR, p. 468]). We note that  $m =$

$m_{\mathbb{Q}_p}(U)$ . We have  $B \otimes_{\mathbb{Q}_p} K \simeq M_d(K) \simeq \text{End}_K(M)$  ([loc. cit.]).

Let

$$\text{End}(M, \phi) = \{h \in \text{End}_K(M) \mid \phi h = h \phi\}.$$

This is a  $\mathbb{Q}_p$ -form of the centralizer  $Z_{\text{End}_K(M)}(\pi_M)$  of  $\pi_M = \phi^{a'}$  in  $\text{End}_K(M)$  (see Kottwitz [Ko, p. 410]; also see Milne [Mi II, p. 417]). By Lemma 2, we see that  $B$  is contained in  $\text{End}(M, \phi)$ . But, as  $B \otimes_{\mathbb{Q}_p} K = \text{End}_K(M)$ , we must have  $B = \text{End}(M, \phi)$ . Therefore, as  $(M, \phi)$  is semisimple, there is a simple subisocrystal  $(X, \phi)$  of  $(M, \phi)$  such that  $\text{End}(X, \phi) \cong D$ . By Lemma 11.3 of [Ko] (also see [Mi II, Proposition 2.14]), we see that the Hasse invariant of  $D$  is  $1/2$ . Therefore  $m_{\mathbb{Q}_p}(U) = 2$ .

We note that  $G^F = E_7(q)$  has just two isomorphism classes of cuspidal unipotent representations.

The following theorem is due to Geck [Ge III] except for (ii) where he had to assume that  $p$  is large enough. Our argument can remove this assumption.

**Theorem 1** (cf. Geck [Ge III]) *Let  $G$  be a simple algebraic group of type  $(E_7)$ , defined over  $\mathbb{F}_q$ , with Frobenius map  $F$ . Let  $\rho$  be a (complex) cuspidal unipotent representation of  $G^F$  with character  $\chi_\rho$ . Then the value field  $\mathbb{Q}(\chi_\rho)$  of  $\chi_\rho$  is  $\mathbb{Q}(\sqrt{-q^7})$ . (i) If  $p = 2$ , or  $q$  is an odd power of  $p$ , or  $q$  is an even power of  $p$  such that  $p \equiv 3 \pmod{4}$ , then  $m_{\mathbb{Q}}(\rho) = 1$ . (ii) Assume that  $q$  is an even power of  $p$  such that  $p \equiv 1 \pmod{4}$ , Then we have  $m_{\mathbb{Q}_\infty}(\rho) = m_{\mathbb{Q}_\ell}(\rho) = 1$  for any prime number  $\ell \neq p$  and  $m_{\mathbb{Q}_p}(\rho) = 2$ . Thus  $m_{\mathbb{Q}}(\rho) = 2$ .*

By Propositions 5.5, 5.6 of [Ge I], we see that a unipotent representations of  $E_8(q)$  with character  $E_7[\xi], 1, E_7[-\xi], 1, E_7[\xi], \varepsilon$  or  $E_7[-\xi], \varepsilon$  has the same rationality.

**Remark** Let  $h(X_{f,0})$  be the motive over  $\mathbb{F}_q$  corresponding to  $X_{f,0}$  (see Milne [Mi II]), and let  $Z$  be the simple submotive of  $h(X_{f,0})$  such that  $[\pi_Z] = [\sqrt{-q^7}]$  (cf. [Mi II, Proposition 2.6]). Then we see from Theorem 2.16 of [Mi II] that the distribution of the Hasse invariants of the division algebra  $\text{End}(Z)$  coincides with the results of Theorem 1.

### 3.

Let  $G$  be a simple algebraic group, defined over  $\mathbb{F}_q$ , with Frobenius map  $F$ . Let  $\delta$  be the minimal natural number such that  $F^\delta$  acts trivially

on  $W_G$ .

Let  $\underline{s} = (s_1, \dots, s_n)$  be a sequence of simple reflections in  $W_G$ , and let

$$X_{\underline{s}} = X(s_1, \dots, s_n) = \{(B_0, B_1, \dots, B_n) \in X_G^{n+1} \mid (B_{i-1}, B_i) \in s_i \text{ for } 1 \leq i \leq n \text{ and } F(B_0) = B_n\}.$$

Then  $X_{\underline{s}}$  is a locally closed subvariety of  $X_G^{n+1}$  on which  $G^F$  acts diagonally. We can prove that, for each  $i$ , each irreducible component of the  $G^F$ -module  $H_c^i(X_{\underline{s}})$  is unipotent (We use [Lu II, p. 25–6] and [DL, Theorem 6.2]).

Let  $\rho$  be a unipotent representation of  $G^F$ . Then we have  $(R^1(w), \rho)_{G^F} \neq 0$  for some  $w \in W_G$ . We note that  $R^1(w) = \sum_i (-1)^i H_c^i(X(w))$ . Let  $w = s_1 \cdots s_n$  be a reduced expression for  $w$  ( $n = \ell(w)$ ). Then  $X(w)$  is isomorphic to  $X_{\underline{s}}$  with  $\underline{s} = (s_1, \dots, s_n)$ . Therefore there is an integer  $i$  such that  $(H_c^i(X_{\underline{s}}), \rho)_{G^F} \neq 0$ .

Let  $\underline{s} = (s_1, \dots, s_n)$  be a minimal sequence such that  $(H_c^i(X_{\underline{s}}), \rho)_{G^F} \neq 0$  for some  $i$ . Then we see that  $\ell(s_1 \cdots s_n) = n$  and  $X_{\underline{s}} \simeq X(w)$  with  $w = s_1 \cdots s_n$  (cf. [Lu II, pp. 25–6]). In the following, we fix one of such  $\underline{s}$ .

We have  $(H_c^i(X_{\underline{s}}), \rho)_{G^F} = 0$  for  $i \neq n$  (Haastert [Ha, Korollar 4.4 (1)]). Therefore  $w$  is an element of  $W_G$  with minimal length such that  $(R^1(w), \rho)_{G^F} \neq 0$ . If  $\rho$  is cuspidal, then  $(R^1(w), \rho)_{G^F} = (-1)^r$ , where  $r$  is the  $\mathbb{F}_q$ -rank of  $G$  (Lusztig [Lu V]).

Let

$$\overline{X}_{\underline{s}} = \overline{X}(s_1, \dots, s_n) = \{(B_0, B_1, \dots, B_n) \in X_G^{n+1} \mid (B_{i-1}, B_i) \in s_i \cup e \text{ for } 1 \leq i \leq n \text{ and } F(B_0) = B_n\}.$$

Then  $\overline{X}_{\underline{s}}$  is a smooth closed subvariety of  $X_G^{n+1}$  ([DL, 9.10]) and  $X_{\underline{s}}$  is an open dense subvariety of  $\overline{X}_{\underline{s}}$ . By the minimality of  $\underline{s}$ , we see that the inclusion  $X_{\underline{s}} \hookrightarrow \overline{X}_{\underline{s}}$  induces an isomorphism as  $G^F$ -modules from  $\rho$ -isotropic part  $H_c^n(X_{\underline{s}})_\rho$  of  $H_c^n(X_{\underline{s}})$  onto the  $\rho$ -isotropic part  $H^n(\overline{X}_{\underline{s}})_\rho$  of  $H^n(\overline{X}_{\underline{s}})$  ([Lu II, p. 26]).

Let  $X^\cdot = \overline{X}_{\underline{s}}$ . Let  $m$  be any multiple of  $\delta$ , and let  $N_{\underline{s}}^m(g_0) = \left| X^\cdot \begin{matrix} g_0 F^m \\ \hline \end{matrix} \right|$  ( $g_0 \in G^F$ ). Then we have (Digne and Michel [DM, pp. 60–61]):

$$N_{\underline{s}}^m(g_0) = \sum_{i=0}^{2n} (-1)^i \text{Tr}((g_0 F^m)^*, H^i(X^\cdot))$$

$$\begin{aligned}
 &= \sum_{i=0}^{2n} (-1)^i \operatorname{Tr}((F^\delta)^{*m/\delta} (g_0)^*, H^i(X^\cdot)) \\
 &= \sum_{i=0}^{2n} (-1)^i q^{mi/2} \sum_{\rho' \in U} (H^i(X^\cdot), \rho')_{G^F} \omega_{\rho'}^{m/\delta} \chi_{\rho'}(g_0). \tag{2}
 \end{aligned}$$

(Note that one can prove that any irreducible component of  $H^i(X^\cdot)$  is unipotent (cf. [Lu II, p. 26].).) Here  $U$  is the set of isomorphism classes of the unipotent representations of  $G^F$  and, for  $\rho' \in U$ ,  $\omega_{\rho'}$  is a root of unity such that  $\omega_{\rho'} q^{i\delta/2}$  is the eigenvalue of  $(F^\delta)^*$  on  $H^i(X^\cdot)$  associated with  $\rho'$  ([Lu II]).

Suppose that  $\rho$  is cuspidal. Then  $X^\cdot$  is irreducible (Lusztig [Lu II, pp. 26–27]). Let  $W(\mathbb{F}_{q^\delta})$  be the ring of Witt vectors over  $\mathbb{F}_{q^\delta}$ , let  $K$  be its quotient field and let  $\bar{K}$  be an algebraic closure of  $K$ . Let  $X_0$  be the  $\mathbb{F}_{q^\delta}$ -rational structure on  $X^\cdot$  determined by the Frobenius  $F^\delta: X^\cdot \rightarrow X^\cdot$ . Let  $F_0: X_0 \rightarrow X_0$  be the Frobenius endomorphism of  $X_0$  ( $F_0 = (F_{\text{abs}})^{a'\delta}$ ,  $q = p^{a'}$ ). Then, by Theorem 2 of [KM], we have

$$\operatorname{Tr}((g_0 F_0^m)^*, H_{\text{crys}}^i(X_0)) = \operatorname{Tr}((g_0 F^m)^*, H^i(X^\cdot)) \quad (i \geq 0). \tag{3}$$

Let  $\alpha$  be an eigenvalue of  $(F_0)^* \otimes 1$  on  $H_{\text{crys}}^i(X_0) \otimes_K \bar{K}$  and let  $H_{\text{crys}}^i(X_0)_\alpha$  be the generalized  $\alpha$ -eigensubspace of  $H_{\text{crys}}^i(X_0) \otimes_K \bar{K}$ .  $H_{\text{crys}}^i(X_0)_\alpha$  is a  $\bar{K}[G^F]$ -submodule of  $H_{\text{crys}}^i(X_0) \otimes_K \bar{K}$ . In views of (2), (3), together with Grothendieck’s trace formula for the étale cohomology, we see, by using the linearly independence of the irreducible characters of  $G^F$  and the linearly independence of the functions  $m/\delta \rightarrow \omega_{\rho'}^{m/\delta}$ , that if  $\rho'$  is contained in  $H_{\text{crys}}^i(X_0)_\alpha$ , then  $\alpha$  is of the form  $\omega_{\rho'} q^{i\delta/2}$ .

Assume that  $G$  is of type  $(E_8)$  and that  $\rho$  is a cuspidal unipotent representation of  $G^F$  such that  $\chi_\rho = E_8[i]$  or  $E_8[-i]$ . Then  $\mathbb{Q}(\chi_\rho) = \mathbb{Q}(i)$  ([Ge I, §5]) and  $n = \ell(w) = 10$  ([Lu V]). Therefore, by Hasse’s sum formula, we get  $m_{\mathbb{Q}_p}(\rho) = 1$  if  $p = 2$  or  $p \equiv 3 \pmod{4}$ .

Assume that  $p \equiv 1 \pmod{4}$ . Then we have  $\mathbb{Q}_p(\chi_\rho) = \mathbb{Q}_p(i) = \mathbb{Q}_p$ , and we see that, by taking  $M = H_{\text{crys}}^{10}(X_{f,0})_\rho$ ,  $(M, \phi)$  is an isocrystal over  $K$ . Thus, by considering the representation

$$R: K[G^F] \longrightarrow \operatorname{End}_K(M),$$

the argument goes as §2 (note that we see that  $(M, \phi)$  is a semisimple isocrystal). Thus we have  $m_{\mathbb{Q}_p}(\rho) = 1$ , hence  $m_{\mathbb{Q}}(\rho) = 1$ .

In the following theorem, the case where  $p = 5$  was discussed in [Ge II] and [He] in an individual way, as explained in Introduction. Our method gives a uniform and conceptual proof in the case  $p \equiv 1 \pmod{4}$ .

**Theorem 2** (cf. Geck [Ge I, II] and Hezard [He]) *The cuspidal unipotent characters  $E_8[\pm i]$  of  $E_8(q)$  have the Schur index 1 over  $\mathbb{Q}$ .*

The same argument can be applied to any unipotent cuspidal representation  $\rho$  with  $\mathbb{Q}_p(\chi_\rho) = \mathbb{Q}_p$  for any  $G$ . Therefore it remains the case where  $G$  is of type  $(E_8)$  and  $\rho$  is such that  $\chi_\rho = E_8[\zeta^j]$  ( $1 \leq j \leq 4$ ),  $p \equiv 4 \pmod{5}$ . But, in this case, we can argue as follows.

Let  $\chi = \chi_\rho = E_8[\zeta^j]$ , and let  $\chi'$  be the algebraically conjugate character of  $\chi$  over  $\mathbb{Q}_p$ , i.e.  $\chi' = E_8[\zeta^{4j}]$ . Since the character of the  $K[G^F]$ -module  $H_{\text{crys}}^n(X_0)$  takes values in  $\mathbb{Z}$ , we must have  $(H_{\text{crys}}^n(X_0), \rho')_{G^F} = (H_{\text{crys}}^n(X_0), \rho)_{G^F} = 1$ , where  $\rho'$  is a representation of  $G^F$  with character  $\chi'$ . Therefore, by the property of the Schur index, we have  $m_K(\rho) = m_K(\rho') = 1$ , so that, by a theorem of Schur, we see that  $\rho \oplus \rho'$  is a representation of  $G^F$  which is realizable in  $K$ . Hence there is a unique submodule  $M$  of  $H_{\text{crys}}^n(X_0)$  with character  $\chi + \chi'$ . We must have  $\phi(M) = M$ , since  $\phi(M)$  is a  $G^F$ -submodule of  $H_{\text{crys}}^n(X_0)$  with character  $\sigma(\chi + \chi') = \chi + \chi'$ . Thus  $(M, \phi)$  is an isocrystal over  $K$ .

Let us consider the representation

$$R: K[G^F] \longrightarrow \text{End}_K(M).$$

Let  $A(\chi, \mathbb{Q}_p)$  be the simple component of  $\mathbb{Q}_p[G^F]$  ( $\subset K[G^F]$ ) associated with  $\chi$ . Then we see that  $R(\mathbb{Q}_p[G^F]) = R(A(\chi, \mathbb{Q}_p))$  (cf. T. Yamada [Ya, Proposition 1.1, pp. 4–5]). Then since  $A(\chi, \mathbb{Q}_p)$  is a central simple algebra over  $\mathbb{Q}_p(\chi) = \mathbb{Q}_p(\zeta)$  and  $R$  is a ring homomorphism, we see that  $B = R(\mathbb{Q}_p[G^F])$  is a simple algebra, isomorphic to  $A(\chi, \mathbb{Q}_p)$ . By Lemma 2 for  $X_0$ , we must have  $B \subset \text{End}(M, \phi)$ .

We have  $M \otimes_K \overline{K} = M_\rho \oplus M_{\rho'}$ , where  $M_\rho$  (resp.  $M_{\rho'}$ ) is the  $\rho$ -isotropic part (resp.  $\rho'$ -isotropic part) of  $M \otimes_K \overline{K}$ . Let  $\pi_M = \phi^{\alpha'} = (F_0)^* \otimes 1$  ( $q = p^{\alpha'}$ ) on  $M$ . The eigenvalues of  $(F_0)^* \otimes 1$  on  $M_\rho \subset (M \otimes_K \overline{K})_{\zeta^j q^{n/2}}$  (resp.  $M_{\rho'} \subset (M \otimes_K \overline{K})_{\zeta^{4j} q^{n/2}}$ ) are of the form  $\zeta^j q^{n/2}$  (resp.  $\zeta^{4j} q^{n/2}$ ). Since the actions of  $(F_0)^* \otimes 1$  and  $G^F$  commute, by Schur's lemma, we must have  $(F_0)^* \otimes 1 = \zeta^j q^{n/2}$  (resp.  $= \zeta^{4j} q^{n/2}$ ) on  $M_\rho$  (resp.  $M_{\rho'}$ ). Therefore the endomorphism  $\pi_M$  of  $M$  is semisimple, hence  $(M, \phi)$  is a semisimple isocrystal over  $K$  (see Milne [Mi II, Proposition 2.10, p. 417]). Therefore  $\text{End}(M, \phi)$  is a  $\mathbb{Q}_p$ -form

on the centralizer  $C = Z_E(\pi_M)$  of  $\pi_M$  in  $E = \text{End}_K(M)$  ([Ko, p. 410]). We have  $C \otimes_K \bar{K} \subset Z_{E \otimes_K \bar{K}}(\pi_M) \cong M_d(\bar{K}) \oplus M_d(\bar{K})$ , where  $d = \chi(1) = \chi'(1)$ , and it is well known that  $B \otimes_K \bar{K} \simeq A(\chi, \mathbb{Q}_p) \otimes_K \bar{K} = M_d(\bar{K}) \oplus M_d(\bar{K})$ . Therefore we must have  $B = \text{End}(M, \phi)$ . Therefore, as  $B$  is simple and  $(M, \phi)$  is semisimple, there is a simple subisocrystal  $(X, \phi)$  of  $(M, \phi)$  such that  $B = \text{End}(M, \phi) \simeq M_t(D)$  with  $D = \text{End}(X, \phi)$  for some positive integer  $t$ . By Lemma 11.3 of [Ko], we see that the Hasse invariant of  $D$  can be given by  $-(\text{ord}_p(\pi_X)/\text{ord}_p(q))[\mathbb{Q}_p(\pi_X) : \mathbb{Q}_p]$ , where  $\text{ord}_p$  is the valuation of  $\mathbb{Q}_p$  and its extension to the field  $\mathbb{Q}_p[\pi_X]$  and  $\pi_X = \phi^{a'}$  on  $X$ . But  $\mathbb{Q}_p[\pi_X] \cong \mathbb{Q}_p(\chi) \cong \mathbb{Q}_p(\zeta)$  and  $\text{ord}_p(\pi_X) = a'n/2$ ,  $\text{ord}_p(q) = a'$ , hence

$$\text{inv}(A(\chi, \mathbb{Q}_p)) \equiv -\frac{n}{2}[\mathbb{Q}_p(\chi) : \mathbb{Q}_p] \equiv -\frac{r}{2}[\mathbb{Q}_p(\chi) : \mathbb{Q}_p] \equiv 0 \pmod{1}$$

(note that  $(-1)^n = (-1)^r$ ). Thus  $m_{\mathbb{Q}_p}(\rho) = 1$  and  $m_{\mathbb{Q}}(\rho) = 1$ .

**Remark** The last argument works in general case ( $G$  is simple,  $\rho$  is cuspidal, and  $q, p$  arbitrary). Therefore we can prove Theorem A in the introduction.

“Theorem B” follows from this proof of Theorem A and Theorem 2.16 of Milne [Mi II].

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