# Formulas for the Dimensions of Some Affine Deligne–Lusztig Varieties

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### 1. Introduction

Let *F* be  $\mathbb{F}_q((t))$  with ring of integers  $\mathcal{O}_F$ , and let *G* be a split connected reductive group over *F*. Let *L* be the completion of the maximal unramified extension of *F*,  $\mathbb{F}_q((t))$ . Let  $\sigma$  be the Frobenius automorphism of *L* over *F*. Let  $\mathcal{B}_n$  be the affine building for G(E) where E/F is the unramified extension of degree *n* in *L* (so  $E = \mathbb{F}_{q^n}((t))$ ), and let  $\mathcal{B}_\infty$  be the affine building for G(L). Let *T* be a split torus in *G*, let B = UT be a Borel subgroup, and let *I* be an Iwahori in G(L) containing  $T(\mathcal{O}_L)$ , where  $\mathcal{O}_L$  is the ring of integers of *L*. Let  $A_M$  and  $C_M$  be the correspondingly specified apartment and alcove, which we assume are in  $\mathcal{B}_1$ ; we will call these the *main apartment* and the *main alcove*, respectively. We assume that  $C_M$ is in the positive Weyl chamber in  $A_M$  specified by *B*. Let  $P \supseteq I$  be a parahoric subgroup of G(L). If  $b \in G(L)$  then the  $\sigma$ -conjugacy class of *b* is  $\{x^{-1}b\sigma(x) : x \in G(L)\}$ . Let  $\tilde{W} = N(L)/T(\mathcal{O}_L)$  be the extended affine Weyl group, and let  $\tilde{W}_P = N(L) \cap P/T(\mathcal{O}_L)$ . Here *N* is the normalizer of *T*.

If  $\tilde{w} \in \tilde{W}$ , then we define (after Rapoport [12] and Kottwitz) the *generalized* affine Deligne-Lusztig variety  $X_{\tilde{w}}^{P}(b\sigma) = \{x \in G(L)/P : \operatorname{inv}_{P}(x, b\sigma(x)) = \tilde{w}\}$ . Here  $\operatorname{inv}_{P} : G(L)/P \times G(L)/P \to P \setminus G(L)/P = \tilde{W}_{P} \setminus \tilde{W}/\tilde{W}_{P}$  is the relative position map associated to *P*. Rapoport [12] asked which pairs  $(b, \tilde{w})$  give rise to non-empty sets and, for these pairs, what is  $\dim(X_{\tilde{w}}^{P}(b\sigma))$ . Kottwitz and Rapoport [9; 12] answered the emptiness/non-emptiness part of this question for P = K, the maximal bounded subgroup of G(L) associated to some special vertex  $v_{M}$  of  $C_{M}$ .

In Section 3 we consider the case  $G = SL_3$  with b = 1 and P = I. Complete results on emptiness/non-emptiness and dimension are shown for this case in Figure 5. In Section 4 we consider  $G = Sp_4$ , again with b = 1 and P = I. Emptiness/non-emptiness results and dimension results are shown in Figure 10. The case  $G = SL_2$  (b = 1, P = I) can be handled using an even simpler version of the same methods.

Rapoport showed in [12, Prop. 4.2] that, for general G,  $X_{\tilde{w}}^{K}(\sigma)$  is non-empty for any  $\tilde{w}$  corresponding to a dominant cocharacter in the coroot lattice. This is also shown in some special cases in [9]. Rapoport [13] conjectured a specific formula for the dimension of the  $X_{\tilde{w}}^{K}(\sigma)$ . The knowledge of the  $X_{\tilde{w}}^{I}(\sigma)$  mentioned in the

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previous paragraph gives knowledge of the  $X_{\tilde{w}}^{P}(\sigma)$ , so the dimensions of the  $X_{\tilde{w}}^{K}(\sigma)$  are computed in Section 5 for SL<sub>2</sub>, SL<sub>3</sub>, and Sp<sub>4</sub>. The result is that dim $(X_{\tilde{w}}^{K}(\sigma)) = \langle \mu, \rho \rangle$ , where  $\mu \in X_{*}(T)$  dominant corresponds to  $\tilde{w} \in \tilde{W}_{K} \setminus \tilde{W}/\tilde{W}_{K}$  and where  $\rho$  is half the sum of the positive roots for *G*. This supports the conjecture of Rapoport in [13]. Preliminary work toward a proof of this conjecture in general has been done with Kottwitz.

In Section 6 we present a formula that encapsulates part of the results pictured in Figures 5 and 10. The formula also holds for  $SL_2$ . It is too soon to conjecture that this formula holds for general G. Some results on emptiness/non-emptiness for  $b \neq 1$  when  $G = SL_2$ ,  $SL_3$ , or  $Sp_4$  can be found in [14]. Section 7 contains a summary of these results.

This work has significance for the study of the reduction modulo p of Shimura varieties. Interested readers should see the survey article by Rapoport [13]. Other non-emptiness results for affine Deligne–Lusztig varieties can be found in [15].

#### 2. General Methodology

For this and the next two sections we let P = I, so  $\tilde{w} \in \tilde{W}_P \setminus \tilde{W}/\tilde{W}_P = \tilde{W}$ , and we let  $X_{\tilde{w}}(\sigma) = X_{\tilde{w}}^I(\sigma)$ . In this section we assume the group G to be simply connected, so that I is the stabilizer of  $C_M$ . First note that, if  $\tilde{w}C_M \cap C_M$  is non-empty, then  $X_{\tilde{w}}(\sigma)$  can be identified with a disjoint union of (non-affine) Deligne–Lusztig varieties whose structure and dimension are already known [3]. Let  $v_1$  be a vertex in  $A_M$  and let  $v_2$  be a vertex in  $\mathcal{B}_1$  in the same G(F) orbit as  $v_1$ . We require that  $v_1 \notin C_M$ . Let  $Q_1$  be the last alcove in a minimal gallery from  $C_M$  to  $v_1$ , and let  $Q_2$  be the set of all alcoves  $Q_2$  containing  $v_2$  such that  $Q_2$  and  $\sigma(Q_2)$  have some fixed relative position,  $p_r$ . Note that  $Q_1$  does not depend on the choice of minimal gallery from  $C_M$  to  $v_1$ . We require that  $p_r$  be such that  $Q_2 \cap \mathcal{B}_1 = \{v_2\}$ .

DEFINITION 2.1. The  $(v_1, v_2, p_r)$ -piece of  $X_{\tilde{w}}(\sigma)$  (which may be empty) is the set of all alcoves  $D \subset \mathcal{B}_{\infty}$  such that there exists a  $y \in G(L)$  with  $yC_M = D$ ,  $yQ_1 = Q_2$  for some  $Q_2 \in Q_2$  (so  $yv_1 = v_2$ ), and  $inv(D, \sigma(D)) = \tilde{w}$ .

DEFINITION 2.2. The  $(v_1, v_2, p_r)$ -superpiece is the collection of all alcoves  $D \subset \mathcal{B}_{\infty}$  such that there exists a  $y \in G(L)$  with  $yC_M = D$  and  $yQ_1 = Q_2$  for some  $Q_2 \in Q_2$ .

In other words, the  $(v_1, v_2, p_r)$ -superpiece is the disjoint union, over all  $\tilde{w} \in \tilde{W}$ , of the  $(v_1, v_2, p_r)$ -pieces of the  $X_{\tilde{w}}(\sigma)$  (many of which will be empty).

LEMMA 2.1. For  $\tilde{w}$  with  $\tilde{w}C_M \cap C_M = \emptyset$ , every alcove of  $X_{\tilde{w}}(\sigma)$  is in the  $(v_1, v_2, p_r)$ -piece of  $X_{\tilde{w}}(\sigma)$  for some  $(v_1, v_2, p_r)$ .

*Proof.* Let  $D \in X_{\tilde{w}}(\sigma)$ . Consider the set of all galleries which start at D and which have an alcove containing some vertex in  $\mathcal{B}_1$ . Let  $\Gamma$  be a minimal length element of this set. Let the alcoves of  $\Gamma$  be  $D = \Gamma_1, \Gamma_2, ..., \Gamma_n$ , where  $\Gamma_n \cap \mathcal{B}_1 \neq \emptyset$ . We have  $\Gamma_i \cap \mathcal{B}_1 = \emptyset$  for any i < n by minimality. If n > 1, then  $\Gamma_n$  must contain only one vertex in  $\mathcal{B}_1$ , since  $\Gamma_{n-1}$  and  $\Gamma_n$  share all but one vertex. Let  $\Gamma_n \cap \mathcal{B}_1 = \{v_2\}$ 

and let  $v_1 = \rho_{C_M}(y^{-1}v_2)$ , where  $y \in G(L)$  is such that  $yC_M = D$  and  $\rho_{C_M}$  is the retraction of  $\mathcal{B}_{\infty}$  onto  $A_M$  centered at  $C_M$ . Since  $\Gamma_n \cap \mathcal{B}_1 = \{v_2\}$ , it follows that  $p_r = \text{inv}(\Gamma_n, \sigma(\Gamma_n))$  is one of the allowed choices.

In the case that n = 1, we have  $D \cap \mathcal{B}_1 \neq \emptyset$  and so  $D \in X_{\tilde{w}}(\sigma)$  for some  $\tilde{w}$  with  $\tilde{w}C_M \cap C_M \neq \emptyset$ .

The approach outlined in this section so far was suggested by Kottwitz and is similar to that used in [6].

Recall that the set G/I can be given variety structure by writing it as an increasing union of sets  $(G/I)_m$  (m = 1, 2, 3, ...) and that each of these sets has an  $\tilde{m}$  such that any alcove  $D \in (G/I)_m$  has  $d(D, C_M) \leq \tilde{m}$ , where  $d(\cdot, \cdot)$  is the metric on  $\mathcal{B}_{\infty}$ . The sets  $(G/K)_m$  are defined in [5] and [10], and the  $(G/I)_m$  are defined similarly. It is also true that if P is the  $(v_1, v_2, p_r)$ -piece of  $X_{\tilde{w}}(\sigma)$  then  $P \subset (G/I)_m$  for large enough m. The sets  $X_{\tilde{w}}(\sigma) \cap (G/I)_m$  and  $\bar{P} \cap (G/I)_m$  are locally closed subsets of  $(G/I)_m$ ; here  $\bar{P}$  is the  $(v_1, v_2, p_r)$ -superpise. Therefore,  $\bar{P} \cap X_{\tilde{w}}(\sigma) \cap (G/I)_m = P \cap (G/I)_m$  is a locally closed subset of  $(G/I)_m$ . Using this together with Lemma 2.2, we can write dim  $X_{\tilde{w}}(\sigma) = \sup_m \dim X_{\tilde{w}}(\sigma) \cap (G/I)_m = \sup_{P,m} \dim P \cap (G/I)_m = \sup_P \dim P$ , allowing us to compute the dimensions of  $X_{\tilde{w}}(\sigma)$  from the dimensions of the pieces P.

LEMMA 2.2.  $P \cap (G/I)_m \neq \emptyset$  for only finitely many pieces P of  $X_{\tilde{w}}(\sigma)$ .

In order to prove Lemma 2.2, we need the following.

DEFINITION 2.3. Let *A* be an apartment in  $\mathcal{B}_{\infty}$ , *D* an alcove in *A*, and *v* a vertex in *D*. Define the *barely neighboring alcoves of D* in *A* through *v* to be all alcoves  $E \subset A$  such that  $E \cap D = \{v\}$ . Let the *barely neighboring cone of D* in *A* through *v* be all points  $a \in A$  for which the geodesic from *v* to *a* passes through the barely neighboring alcoves of *D* in *A* through *v*.

LEMMA 2.3. Let  $D_1$ ,  $D_2$  be two alcoves in  $\mathcal{B}_{\infty}$ , let v be a vertex in  $\mathcal{B}_{\infty}$ , and let  $P_i$ be the intersection of all apartments containing  $D_i$  and v. Let  $E_i$  be the alcove in  $P_i$  containing v (there is only one such). Assume  $E_1 \cap E_2 = \{v\}$ . Then there exists a positive constant l (depending only on the group G) such that  $d(D_1, D_2) \ge ld(D_i, v)$  for either i.

*Proof.* By symmetry, it suffices to find l > 0 such that  $d(D_1, D_2) \ge ld(D_1, v)$ . Let  $x_i \in D_i$  and let  $z_t = tv + (1 - t)x_2$ . By the negative curvature inequality,  $(1 - t)d^2(x_2, x_1) \ge d^2(z_t, x_1) - td^2(v, x_1) + t(1 - t)d^2(x_2, v)$  [4, p. 225]. Choose  $t \ne 1$  such that  $z_t \in E_2$ . Now let A be an apartment containing  $D_1$  and  $E_2$ . We have  $v \in A$ , so  $E_1 \subset A$ . Let  $\tilde{D}_2 \subset A$  be an alcove such that  $inv(\tilde{D}_2, E_2) = inv(D_2, E_2)$ , and let  $\tilde{x}_2 \in \tilde{D}_2$  be such that  $inv(\tilde{x}_2, E_2) = inv(x_2, E_2)$ . Since  $\tilde{x}_2, v$ , and  $x_1$  are in an apartment, it follows that

$$(1-\tilde{t})d^2(\tilde{x}_2,x_1) = d^2(\tilde{z}_{\tilde{t}},x_1) - \tilde{t}d^2(v,x_1) + \tilde{t}(1-\tilde{t})d^2(\tilde{x}_2,v),$$

where  $\tilde{z}_{\tilde{t}} = \tilde{t}v + (1 - \tilde{t})\tilde{x}_2$  [4, p. 226]. Choose  $t = \tilde{t}$ . Since  $z_t \in E_2 \subset A$ ,  $\tilde{z}_{\tilde{t}} = z_t$ . Since  $d^2(\tilde{x}_2, v) = d^2(x_2, v)$ , we have  $d(x_2, x_1) \ge d(\tilde{x}_2, x_1)$ . Let C be the barely neighboring cone of  $E_1$  in A through v. We know  $\tilde{x}_2 \in C$ , so  $d(x_2, x_1) \ge d(C, x_1)$ . Let  $B_{\alpha} = \{x \in A : d(x, v) = \alpha\}$ . Let  $W_1$  be the set of all  $x \in A$  such that the geodesic from x to v passes through  $E_1$ , and let  $\overline{W}_1$  be the closure. Define  $\varphi_t : A \rightarrow A$  by  $\varphi_t(x) = tx + (1 - t)v_1$ . Then the invertible map  $\varphi_t \times \varphi_t : B_{\alpha} \cap \overline{W}_1 \times \overline{C} \rightarrow B_{t\alpha} \cap \overline{W}_1 \times \overline{C}$  and the relationship  $td(x, y) = d(\varphi_t(x), \varphi_t(y))$  (for  $x, y \in A$ ) together imply that  $tm_{\alpha} = m_{t\alpha}$ , where  $m_{\alpha}$  is the minimum of d on  $B_{\alpha} \cap \overline{W}_1 \times \overline{C}$ . We now have  $d(x_2, x_1) \ge d(C, x_1) \ge d(x_1, v)m_1$ , which implies the desired result because  $m_1 > 0$ .

*Proof of Lemma 2.2.* Let  $D_2$  be an alcove in P, the  $(v_1, v_2, p_r)$ -piece of  $X_{\tilde{w}}(\sigma)$ . We now apply Lemma 2.3 with  $D_1 = C_M$  and  $v = v_2$ . Since the elements of  $(G/I)_m$  are all within a fixed distance of  $C_M$ , we must have  $v_1$  and  $v_2$  within a fixed distance of  $C_M$ . There are only finitely many such  $v_1$  and  $v_2$ .

Note that the structure of the  $(v_1, v_2, p_r)$ -superpiece does not depend on  $v_2$ , provided  $v_2$  is some vertex in  $\mathcal{B}_1$  in the same G(F) orbit as  $v_1$ . Hence, for each  $(v_1, p_r)$ -pair, we fix an arbitrary vertex  $v_2 \in A_M$  in the same G(F)-orbit as  $v_1$  and then compute the possible values of  $inv(D, \sigma(D))$  for D in the  $(v_1, v_2, p_r)$ -superpiece. We will discuss how this computation is carried out for SL<sub>3</sub> and Sp<sub>4</sub>. The results tell us for which  $\tilde{w}$  the  $(v_1, v_2, p_r)$ -piece of  $X_{\tilde{w}}(\sigma)$  is non-empty. We will also demonstrate a way of calculating the dimension of each non-empty piece in the  $(v_1, v_2, p_r)$ -superpiece, again only for SL<sub>3</sub> and Sp<sub>4</sub>. (Everything we will do also applies to SL<sub>2</sub>, however.) Aggregating all this information over all  $(v_1, p_r)$ -pairs will tell us, for each piece of each  $X_{\tilde{w}}(\sigma)$ , whether it is empty or non-empty and what its dimension is. This gives the emptiness/non-emptiness and dimension of the  $X_{\tilde{w}}(\sigma)$  themselves, by the previous results.

We now give some more definitions and propositions that will be needed to develop the ideas of the previous paragraph. Let  $\Gamma_{v_1}$  be the standard minimal gallery from  $C_M$  to  $Q_1$ , as defined in [14] for SL<sub>3</sub> and Sp<sub>4</sub> (the definition could be generalized to *G*). Let  $\Gamma_{(v_1,p_r)}^f$  be  $z\Gamma_{v_1}$ , where  $Q_1$  and  $zQ_1$  have relative position  $p_r$ ,  $z \in G(L)$ , and z sends  $A_M$  to  $A_M$ . Let  $\Gamma_{(v_1,p_r)}^c$  be some fixed minimal connecting gallery from  $Q_1$  to  $zQ_1$ . Define  $\overline{\Gamma}_{(v_1,p_r)} = \Gamma_{v_1} \cup \Gamma_{(v_1,p_r)}^c \cup \Gamma_{(v_1,p_r)}^f$ .

Let  $\Omega$  be a gallery in  $A_M$  starting at  $C_M$  and containing any alcove at most once (so it is non-stuttering, non-backtracking, and does not cross itself). Let  $\Omega_1, \Omega_2, \ldots, \Omega_n$  be the alcoves of  $\Omega$  in order (so  $\Omega_1 = C_M$ ), and let  $e_i$  be the edge between  $\Omega_i$  and  $\Omega_{i+1}$ .

DEFINITION 2.4. Let *j* be minimal such that  $C_M$  and  $\Omega_j$  are on opposite sides of the hyperplane  $h_j$  in  $A_M$  determined by  $e_j$ . We say that  $e_j$  is the *first choice edge* in  $\Omega$ .

If *j* does not exist then there are no choice edges in  $\Omega$ . If *j* does exist, then this leads to our next definition.

DEFINITION 2.5. The *hard choice at*  $e_j$  is the gallery  $\Omega_1, \ldots, \Omega_j, \Omega_{j+1}$ , and the *easy choice at*  $e_j$  is the gallery  $\Omega_1, \ldots, \Omega_j, f_{h_j}(\Omega_{j+1}) = \Omega_j$ , where  $f_{h_j}$  represents the flip of  $A_M$  about  $h_j$ .

Given the hard choice, we consider  $\Omega_1, \ldots, \Omega_j, \Omega_{j+1}, \ldots, \Omega_n$  and find the minimal k > j such that  $h_k$  has  $\Omega_k$  and  $C_M$  on opposite sides. This is the next choice edge, given the hard choice at j, and we can make either an easy choice or a hard choice here. Given the easy choice at j we consider  $\Omega_1, \ldots, \Omega_j, f_{h_j}(\Omega_{j+1}), \ldots, f_{h_j}(\Omega_n)$ , and we find the minimal k such that k > j and such that  $f_{h_j}(\Omega_k)$  and  $C_M$  are on opposite sides of the hyperplane between  $f_{h_j}(\Omega_k)$  and  $f_{h_j}(\Omega_{k+1})$ . This is the next choice edge, given the easy choice at j, and we can make either a hard or an easy choice here. In this way we construct a binary tree T.

DEFINITION 2.6. *T* is called the *choice tree* for  $\Omega$ . Each node in *T* (except the leaves) corresponds to a choice edge in  $\Omega$ . At every node except the leaves, *T* has a branch corresponding to a hard choice and another branch corresponding to an easy choice.

One can show that any non-backtracking path from the root node to a leaf of T corresponds to the retraction (onto  $A_M$  centered at  $C_M$ ) of some gallery (or galleries) starting at  $C_M$  and of the same type at  $\Omega$ . Such a path is equivalent to the choice of a leaf of T, since T is a tree. The gallery  $\Omega$  itself corresponds to the path obtained by making all hard choices in T. Further, all galleries starting at  $C_M$  of the same type as  $\Omega$  retract in a way specified by some non-backtracking path from the root node of T to a leaf.

DEFINITION 2.7. The set of comprehensive folding results of  $\Omega$  is the set of final alcoves of retractions of galleries starting at  $C_M$  that have the same type as  $\Omega$ .

By *retraction* we always mean the retraction centered at  $C_M$  onto  $A_M$ . So a comprehensive folding result of  $\Omega$  can also be thought of as a non-backtracking path F from the root node to a leaf of the choice tree of  $\Omega$ , or (equivalently) as a leaf of T.

Let  $\Omega = \overline{\Gamma}_{(v_1, p_r)}$ .

DEFINITION 2.8. The *cf*-dimension of *F* is  $l(\Gamma_{v_1}) + l(\Gamma_{(v_1, p_r)}^c) - n_F - 2$ , where  $n_F$  is the number of hard choices in *F* and *l* represents the length of a gallery (the number of alcoves in it).

## 3. SL<sub>3</sub>

In order to carry out the process outlined in the first half of Section 2 for SL<sub>3</sub>, it suffices to consider  $v_1$  in the region pictured in Figure 1. All other  $v_1$  can be obtained from these by rotating by 120° or 240° about the center of  $C_M$ . Furthermore, if  $v'_1$  is the rotation of  $v_1$  by  $\alpha$  (= 120° or 240°) about the center of  $C_M$  then it is easy to see that the set { $\tilde{w} \in \tilde{W}$  : the  $(v'_1, v'_2, p_r)$ -piece of  $X_{\tilde{w}}(\sigma)$  is non-empty} is the rotation of the set { $\tilde{w} \in \tilde{W}$  : the  $(v_1, v_2, p_r)$ -piece of  $X_{\tilde{w}}(\sigma)$  is non-empty} by  $\alpha$  about the center of  $C_M$ . Further, the correspondence between these two sets given by rotation by  $\alpha$  preserves the dimension of the corresponding pieces.

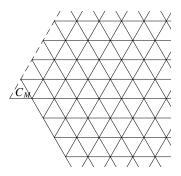
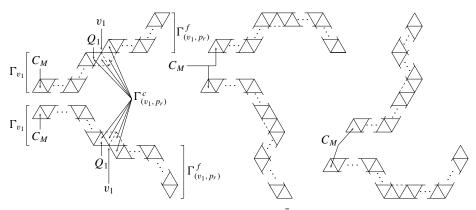


Figure 1 The region containing all vertices  $v_1$  that must be considered for SL<sub>3</sub>

Given the restriction (mentioned in Section 2) that  $p_r$  be such that  $Q_2 \cap \mathcal{B}_1 = \{v_2\}$ , we know that  $Q_2$  and  $\sigma(Q_2)$  must share exactly one vertex. Thus for SL<sub>3</sub>,  $p_r$  corresponds to some element of W (the finite Weyl group) of length 2 or 3.

The galleries  $\overline{\Gamma}_{(v_1, p_r)}$  for SL<sub>3</sub> can have the general shapes pictured in Figure 2. For clarity, only two of the galleries in this figure have all their parts labeled.



**Figure 2** General shapes of the  $\overline{\Gamma}_{(v_1, p_r)}$  for SL<sub>3</sub>

We now observe that the set of comprehensive folding results of  $\Omega = \overline{\Gamma}_{(v_1, p_r)}$  contains the set of possible inv $(D, \sigma(D))$  for D in the  $(v_1, v_2, p_r)$ -superpiece.

**PROPOSITION 3.1.** The set of comprehensive folding results of  $\Omega$  coincides with the set of  $inv(D, \sigma(D))$  for D in the  $(v_1, v_2, p_r)$ -superpiece. The cf-dimension of F is equal to the dimension of the  $(v_1, v_2, p_r)$ -piece of  $X_{\tilde{w}}(\sigma)$ , where  $\tilde{w}C_M$  is the comprehensive folding result of  $\Omega$  corresponding to F.

*Proof.* We first note that one can make at most one easy choice for each  $\Omega = \overline{\Gamma}_{(v_1, p_r)}$  in Figure 2. Once this choice is made, there are no subsequent choice edges. This can be seen simply by analyzing the pictures in Figure 2 on a case-by-case basis. Also, one can see that  $\Gamma_{v_1} \cup \Gamma_{(v_1, p_r)}^c$  is minimal. So the first choice

edge in  $\Omega$  occurs between two of the alcoves of  $\Gamma_{(v_1, p_r)}^f$ . Using these facts, one can show that choice edges in  $\Omega$  correspond to hyperplanes in  $A_M$  that pass between two alcoves of  $\Gamma_{(v_1, p_r)}^f$  and that also pass between two alcoves of  $\Gamma_{v_1}$ .

Given a non-backtracking path *F* in *T* from the root node to a leaf, we need to produce some gallery  $\Lambda$  such that (a)  $y\Gamma_{v_1} = \Lambda$  for some  $y \in SL_3(L)$  with  $yQ_1 = Q_2$  for some  $Q_2 \in Q_2$  and (b)  $\rho_{C_M}(y^{-1}(\Lambda \cup \Lambda^c \cup \sigma(\Lambda)))$  gives the comprehensive folding result determined by *F*. Here  $\Lambda^c$  is a minimal gallery from  $Q_2$  to  $\sigma(Q_2)$  that has the same type as  $\Gamma_{(v_1, p_r)}^c$ , and  $\rho_{C_M}$  is the retraction onto  $A_M$  centered at  $C_M$ .

Note first that F determines the relative position of any two alcoves in  $\Lambda =$  $\Lambda \cup \Lambda^c \cup \sigma(\Lambda)$ . In our SL<sub>3</sub> case, F is just an indication of the choice edge at which to make the easy choice, if any (since there is at most one easy choice). We will construct  $\Lambda$  starting from  $\Lambda_n$ , the alcove that contains  $v_2$ . We choose  $\Lambda_n =$  $Q_2$ . The dimension of the set of choices for this construction is  $l(\Gamma_{(v_1,p_r)}^c) - 1$ , since the structure of (non-affine) Deligne–Lusztig varieties is known [3]. We assume by induction that we have constructed  $\Lambda_i, \Lambda_{i+1}, \ldots, \Lambda_n$  (and therefore also  $\sigma(\Lambda_n), \sigma(\Lambda_{n+1}), \ldots, \sigma(\Lambda_i)$  such that the relative position of any two of these 2(n - i + 1) alcoves is that given by F and such that the dimension of the space of possible such constructions is  $l(\Gamma_{(v_1, p_r)}^c) + (n - i) - 1 - n_{(F,i)}$ , where  $n_{(F,i)}$  is defined as follows. Each choice edge e in  $\Omega$  has two corresponding integers  $1 \leq 1$  $\beta_1, \beta_2 \le n-1$  such that the hyperplane  $h_e$  corresponding to e passes between the  $(\beta_1)$ th and  $(\beta_1 + 1)$ th alcoves of  $\Gamma_{v_1}$  (where the first alcove of  $\Gamma_{v_1}$  is considered to be  $C_M$  and between the  $(\beta_2)$ th and  $(\beta_2 + 1)$ th alcoves of  $\Gamma^f_{(v_1, p_r)}$  (where the *n*th alcove of  $\Gamma_{(v_1, p_r)}^f$  is considered to be the one containing  $v_1$ ). We define  $n_{(F,i)}$ to be the number of choice edges e such that  $i \leq \beta_1, \beta_2$  and such that F indicates a hard choice at e. Note that

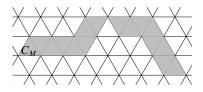
$$l(\Gamma_{(v_1,p_r)}^c) + (n-i) - 1 - n_{(F,i)} = \begin{cases} l(\Gamma_{v_1}) + l(\Gamma_{(v_1,p_r)}^c) - n_F - 2 & \text{if } i = 1, \\ l(\Gamma_{(v_i,p_r)}^c) - 1 & \text{if } i = n. \end{cases}$$
(\*)

We now want to find  $\Lambda_{i-1}$  such that the relative positions of any two of the alcoves  $\Lambda_{i-1}, \ldots, \Lambda_n, \sigma(\Lambda_n), \ldots, \sigma(\Lambda_{i-1})$  is that specified by *F*. We seek the dimension of the set of such  $\Lambda_{i-1}$ . Let *A* be some apartment containing  $\Lambda_i$  and  $\sigma(\Lambda_i)$ , and let  $S \subset A$  be the intersection of all apartments that contain  $\Lambda_i$  and  $\sigma(\Lambda_i)$ . Let  $d_{i-1}$  be the edge of  $\Lambda_i$  to which  $\Lambda_{i-1}$  must be attached (this is specified by the requirement that  $\overline{\Lambda}$  and  $\Omega$  be of the same type). Let  $\overline{\Lambda_{i-1}}$  be the alcove in *A* obtained by reflecting  $\Lambda_i$  about  $d_{i-1}$ , and let  $\overline{\sigma(\Lambda_{i-1})}$  be the alcove in *A* obtained by reflecting  $\sigma(\Lambda_i)$  about  $\sigma(d_{i-1})$ . One can see by considering each of the cases pictured in Figure 2 that either exactly one of  $\overline{\Lambda_{i-1}}$  and  $\overline{\sigma(\Lambda_{i-1})}$  is in *S* or neither is in *S*. Note that the former occurs if and only if  $i - 1 = \min(\beta_1, \beta_2)$  for  $\beta_1, \beta_2$  the two integers corresponding to some choice edge in *F*.

Let  $S_{i-1}$  be the intersection of all apartments containing  $S \cup \Lambda_{i-1}$ , and let  $S_{i-1}^{\sigma}$ be the intersection of all apartments containing  $S \cup \sigma(\Lambda_{i-1})$ . One can see by considering the cases in Figure 2 that, if neither  $\Lambda_{i-1}$  nor  $\sigma(\Lambda_{i-1})$  is in *S*, then  $\Lambda_{i-1}$  is not in  $S_{i-1}^{\sigma}$  and  $\sigma(\Lambda_{i-1})$  is not in  $S_{i-1}$ . Therefore, in this case we can choose any  $\Lambda_{i-1}$  adjacent to  $\Lambda_i$  by  $d_{i-1}$ . This in turn determines  $\sigma(\Lambda_{i-1})$  adjacent to  $\sigma(\Lambda_i)$  by  $\sigma(d_{i-1})$ , and in such a way that the desired relative positions of all pairs of  $\Lambda_{i-1}, \ldots, \Lambda_n, \sigma(\Lambda_n), \ldots, \sigma(\Lambda_{i-1})$  occur. There is one dimension worth of these choices, so the dimension of the construction down to i - 1 is  $l(\Gamma_{(v_1, p_r)}^c) + (n - i) - 1 - n_{(F,i)} + 1$ . In this case  $n_{(F,i-1)} = n_{(F,i)}$ , so  $l(\Gamma_{(v_1, p_r)}^c) + (n - i) - 1 - n_{(F,i)} + 1 = l(\Gamma_{(v_1, p_r)}^c) + (n - (i - 1)) - 1 - n_{(F,i-1)}$ .

We now consider the case in which exactly one of  $\Lambda_{i-1}$  and  $\sigma(\Lambda_{i-1})$  is in *S*. We assume that  $\Lambda_{i-1}$  is in *S*; the other case is similar. This means that  $i-1 = \min(\beta_1, \beta_2)$  for  $\beta_1, \beta_2$  the two integers corresponding to some choice edge *e*. If *F* dictates a hard choice at this point, then to ensure the proper relative position of  $\Lambda_{i-1}, \ldots, \Lambda_n, \sigma(\Lambda_n), \ldots, \sigma(\Lambda_{i-1})$  we must choose  $\Lambda_{i-1} \subset S$ . There is only one such choice, causing no increase in the dimension of the construction. If *F* dictates an easy choice, we may choose any  $\Lambda_{i-1}$  not in *A* but attached to  $\Lambda_i$  via  $d_{i-1}$ . There is one dimension worth of such choices, increasing dimension by one. In the former case,  $n_{(F,i-1)} = n_{(F,i)} + 1$ ; in the latter,  $n_{(F,i+1)} = n_{(F,i)}$ . In both cases, the dimension of the new structure is  $l(\Gamma_{(v_1, p_r)}^c) + (n - (i - 1)) - 1 - n_{(F,i-1)}$ . This finishes the proof of the proposition.

The result of all this is that we can calculate the values of  $inv(D, \sigma(D))$  for Din the  $(v_1, v_2, p_r)$ -superpiece, and for each  $\tilde{w}$  in this set we can calculate the dimension of the  $(v_1, v_2, p_r)$ -piece of  $X_{\tilde{w}}(\sigma)$ . This can all be done through straightforward computation of comprehensive folding results and cf-dimensions. For instance, using  $v_1$  and  $p_r$  leading to the  $\bar{\Gamma}_{(v_1, p_r)}$  pictured in Figure 3, we derive the results pictured in Figure 4. The numbers in Figure 4 are the dimensions of the  $(v_1, v_2, p_r)$ -pieces of the  $X_{\tilde{w}}(\sigma)$ , with  $\tilde{w}$  corresponding to the alcoves on which



**Figure 3** An example of  $\overline{\Gamma}_{(v_1, p_r)}$ 

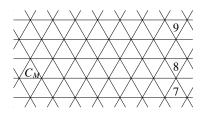


Figure 4 Comprehensive folding results and cf-dimensions from the example in Figure 3

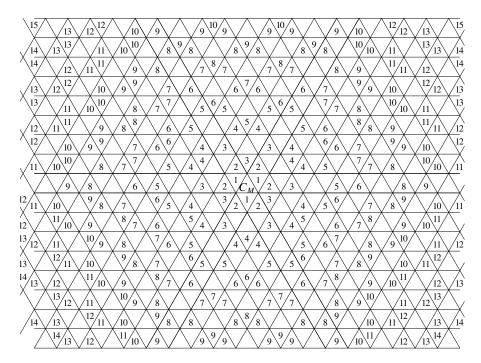


Figure 5 Main result in diagram form for SL<sub>3</sub>

the numbers are written. Alcoves with no numbers have empty  $(v_1, v_2, p_r)$ -pieces. We did an analogous computation for every  $v_1$  in the region shown in Figure 1 and for every  $p_r$  for which the corresponding  $w \in W$  has  $l(w) \ge 2$ . We rotated all results about the center of  $C_M$  by 120° and 240°, combining these with the unrotated results. For any alcove that contained more than one number at that point, we took the maximum (although in all cases for which two numbers occurred in the same alcove, these numbers turned out to be equal). The outcome of this process is Figure 5, which shows the  $X_{\tilde{w}}(\sigma)$  that are non-empty (those corresponding to alcoves that have numbers in them) as well as the dimensions of those non-empty  $X_{\tilde{w}}(\sigma)$ . The bold lines in that figure correspond to the shrunken Weyl chambers (to be discussed in Section 6).

Something observed in the course of the computation is that two different numbers never occurred in the same alcove. This means that, for any fixed  $\tilde{w}$ , the non-empty pieces of  $X_{\tilde{w}}(\sigma)$  all have the same dimension. As we will see in the next section, this may be related to the fact that all vertices in the building for SL<sub>3</sub> are special.

# 4. Sp<sub>4</sub>

For Sp<sub>4</sub>, it suffices to consider  $v_1$  in the region pictured in Figure 6. All other  $v_1$  can be obtained from these by reflecting about the line of symmetry of  $C_M$ . Once

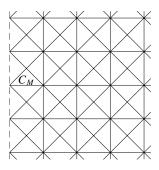
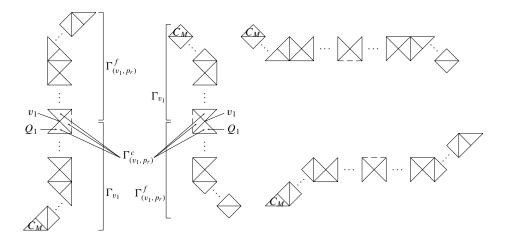


Figure 6 The region containing all vertices  $v_1$  that must be considered for Sp<sub>4</sub>

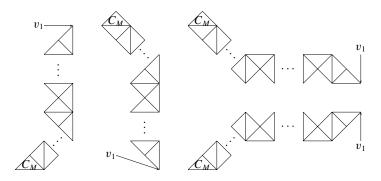
results are obtained for  $v_1$  in the region specified, we will have to reflect the results across the line of symmetry of  $C_M$  as well. Note that  $v_1$  can be special or non-special for Sp<sub>4</sub>, whereas only the special case was possible for SL<sub>3</sub>.

Given the restriction that  $p_r$  be such that  $Q_2 \cap B_1 = \{v_2\}$ , it follows that  $Q_2$  and  $\sigma(Q_2)$  must share exactly one vertex. Therefore,  $p_r$  corresponds to some element of W of length 2, 3, or 4 for  $v_1$  special and to some element of length 2 for  $v_1$  non-special.

The galleries  $\overline{\Gamma}_{(v_1, p_r)}$  have the general shapes pictured in Figure 7 for the case in which  $v_1$  is non-special. For clarity, only two of the galleries appearing in Figure 7 have all of their parts labeled. Figure 8 contains general shapes of the  $\Gamma_{v_1}$ for  $v_1$  special. The twenty different general shapes of the  $\overline{\Gamma}_{(v_1, p_r)}$  can be deduced from these four possible  $\Gamma_{v_1}$  by determining  $\Gamma_{(v_1, p_r)}^f$  and  $\Gamma_{(v_1, p_r)}^c$  from each  $\Gamma_{v_1}$  using each of the five possible  $p_r$ .



**Figure 7** General shapes of the  $\overline{\Gamma}_{(v_1, p_r)}$  for Sp<sub>4</sub>, non-special  $v_1$ 



**Figure 8** General shapes of the  $\Gamma_{v_1}$  for Sp<sub>4</sub>, special  $v_1$ 

The set of comprehensive folding results of  $\Omega = \overline{\Gamma}_{(v_1, p_r)}$  contains the set of possible inv $(D, \sigma(D))$  for D in the  $(v_1, v_2, p_r)$ -superpiece.

**PROPOSITION 4.1.** The set of comprehensive folding results of  $\Omega$  coincides with the set of  $inv(D, \sigma(D))$  for D in the  $(v_1, v_2, p_r)$ -superpiece. The cf-dimension of a leaf, F, of the tree T of  $\Omega$  is equal to the dimension of the  $(v_1, v_2, p_r)$ -piece of  $X_{\tilde{w}}(\sigma)$ , where  $\tilde{w}C_M$  is the comprehensive folding result of  $\Omega$  corresponding to F.

*Proof.* We first note that *F* can contain at most two easy choices. In fact, the maximum number of easy choices that *F* can contain is -m + 4, where *m* is the length of  $p_r$  in *W*. This result is obtained by considering cases. For SL<sub>3</sub>, the maximum number of easy choices is -m + 3. As in the SL<sub>3</sub> case, for Sp<sub>4</sub> we have that  $\Gamma_{v_1} \cup \Gamma_{(v_1, p_r)}^c$  is minimal.

DEFINITION 4.1. A *non-primal choice edge* is a non-leaf node in T that occurs below some easy choice in T (i.e., the non-backtracking path from the root node to the node in question passes through an edge in T corresponding to an easy choice).

DEFINITION 4.2. A *primal choice edge* is any choice edge that is not non-primal.

All choice edges for SL<sub>3</sub> are primal. For SL<sub>3</sub> and Sp<sub>4</sub>, all primal choice edges in  $\Omega = \overline{\Gamma}_{(v_1, p_r)}$  correspond to hyperplanes in  $A_M$  that pass between two alcoves of  $\Gamma_{(v_1, p_r)}^f$  and that also pass between two alcoves of  $\Gamma_{v_1}$ .

Given a primal choice edge in *F*, we define the two corresponding integers  $1 \le \beta_1, \beta_2 \le n-1$  as in the SL<sub>3</sub> case. We will also define  $1 \le \beta_1, \beta_2 \le n-1$  for a non-primal choice edge *e*, but in a slightly different way. Since *e* is non-primal, there is some choice edge *d* above *e* in *F* at which *F* makes the easy choice. Let  $h_d$  be the hyperplane in  $A_M$  determined by the edge *d* in  $\Omega$  (so  $h_d$  is a hyperplane separating two alcoves of  $\Gamma_{(v_1, p_r)}^f$  and also two alcoves of  $\Gamma_{v_1}$ ). Let  $f_{h_d}$  be the flip in  $A_M$  about  $h_d$ . Consider the gallery in  $A_M$  obtained by applying  $f_{h_d}$  to the alcoves in  $\Omega$  that occur after *d* (here  $C_M$  is considered to be the first alcove of  $\Omega$ ). Let  $\tilde{e} = f_{h_d}(e)$ , and let  $h_{\tilde{e}}$  be the hyperplane in  $A_M$  determined by  $\tilde{e}$ . Let  $\beta_2$  be

such that  $h_e$  passes between the  $(\beta_2)$ th and  $(\beta_2 + 1)$ th alcoves of  $\Gamma_{(v_1, p_r)}^f$  (here the *n*th alcove of  $\Gamma_{(v_1, p_r)}^f$  is considered to be the one containing  $v_1$ ). If  $h_{\tilde{e}}$  passes between two alcoves of  $\Gamma_{v_1}$ , then let  $\beta_1$  be such that  $h_{\tilde{e}}$  passes between the  $(\beta_1)$ th and  $(\beta_1 + 1)$ th alcoves of  $\Gamma_{v_1}$  (here  $C_M$  is considered to be the first alcove of  $\Gamma_{v_1}$ ). Otherwise let  $\beta_1 = n$ .

Now, given a non-backtracking path *F* from the root node of *T* to a leaf, we want to produce a gallery  $\Lambda$  such that (a)  $y\Gamma_{v_1} = \Lambda$  for some  $y \in \text{Sp}_4(L)$  with  $yQ_1 = Q_2$  for some  $Q_2 \in Q_2$  and (b)  $\rho_{C_M}(y^{-1}(\Lambda \cup \Lambda^c \cup \sigma(\Lambda)))$  gives the comprehensive folding result determined by *F*. Here, as before,  $\Lambda^c$  is a minimal gallery from  $Q_2$  to  $\sigma(Q_2)$  that has the same type as  $\Gamma_{(v_1, p_r)}^c$ .

We choose  $\Lambda_n = Q_2$ . The dimension of the set of such choices is  $l(\Gamma_{(v_1, p_r)}^c) - 1$ [3]. We assume by induction that we have constructed  $\Lambda_i, \ldots, \Lambda_n$  and  $\sigma(\Lambda_n), \ldots, \sigma(\Lambda_i)$  such that the relative position of any two of these alcoves is that given by *F* and such that the dimension of the space of choices for this construction is  $l(\Gamma_{(v_1, p_r)}^c) + (n - i) - 1 - n_{(F,i)}$ , where  $n_{(F,i)}$  is defined to be the number of choice edges *e* in *F* such that  $i \leq \beta_1, \beta_2$  and such that *F* indicates a hard choice at *e*. Here  $\beta_1, \beta_2$  are the integers corresponding to *e*, defined in the new way. Equation (\*) of Section 3 holds under the new definitions as well.

We now want to construct  $\Lambda_{i-1}$ . As for SL<sub>3</sub>, we let *A* be some apartment containing  $\Lambda_i$  and  $\sigma(\Lambda_i)$ . Let  $S \subset A$  be the intersection of all apartments that contain both  $\Lambda_i$  and  $\sigma(\Lambda_i)$ . Let  $d_{i-1}$  be the edge of  $\Lambda_i$  to which  $\Lambda_{i-1}$  must be attached. Let  $\widehat{\Lambda_{i-1}}$  be the alcove in *A* obtained by reflecting  $\Lambda_i$  about  $d_{i-1}$ , and let  $\widehat{\sigma(\Lambda_{i-1})}$ be the alcove in *A* obtained by reflecting  $\sigma(\Lambda_i)$  about  $\sigma(d_{i-1})$ . Let  $S_{i-1}$  be the intersection of all apartments containing  $S \cup \widehat{\Lambda_{i-1}}$  and let  $S_{i-1}^{\sigma}$  be the intersection of all apartments containing  $S \cup \widehat{\sigma(\Lambda_{i-1})}$ .

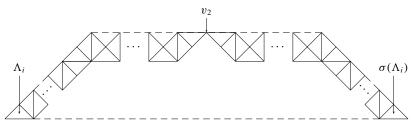
One can see by considering cases that either

- (1)  $\widetilde{\Lambda_{i-1}}, \widetilde{\sigma(\Lambda_{i-1})} \not\subset S, \widetilde{\Lambda_{i-1}} \not\subset S_{i-1}^{\sigma}$ , and  $\widetilde{\sigma(\Lambda_{i-1})} \not\subset S_{i-1}$ , or
- (2)  $\Lambda_{i-1}, \sigma(\Lambda_{i-1}) \not\subset S, \Lambda_{i-1} \subset S_{i-1}^{\sigma}, \text{ and } \sigma(\Lambda_{i-1}) \subset S_{i-1}, \text{ or }$
- (3)  $\widetilde{\Lambda_{i-1}} \subset S$  and  $\sigma(\widetilde{\Lambda_{i-1}}) \not\subset S$ , or
- (4)  $\Lambda_{i-1} \not\subset S$  and  $\sigma(\Lambda_{i-1}) \subset S$ .

One can also see by considering cases that  $i - 1 = \min(\beta_1, \beta_2)$  (for  $\beta_1, \beta_2$  the two integers associated to some choice edge e) if and only if we are in case (2), (3), or (4). In contrast to the SL<sub>3</sub> case, it is possible for neither  $\Lambda_{i-1}$  nor  $\sigma(\Lambda_{i-1})$  to be in *S* while still  $\Lambda_{i-1} \subset S_{i-1}^{\sigma}$  and  $\sigma(\Lambda_{i-1}) \subset S_{i-1}$ . To see this, consider the case in which  $p_r$  corresponds to an element of *W* of length 3 (pictured in Figure 9). This is the only situation in which case (2) arises.

In case (1) we can choose  $\Lambda_{i-1}$  to be any alcove adjacent to  $\Lambda_i$  by  $d_{i-1}$ . In this case, the dimension of the space of choices for the construction increases by one and is therefore equal to  $l(\Gamma_{(v_1,p_r)}^c) + (n - (i - 1)) - 1 - n_{(F,i)}$ . We also have  $n_{(F,i)} = n_{(F,i-1)}$ .

Cases (3) and (4) occur only when  $p_r$  corresponds to an element of W of length 2. We address case (3); the other case is similar. We know  $i - 1 = \min(\beta_1, \beta_2)$  for  $\beta_1$  and  $\beta_2$  the two integers corresponding to some choice edge e. If F dictates a



**Figure 9**  $\Lambda_i, \ldots, \Lambda_n, \sigma(\Lambda_n), \ldots, \sigma(\Lambda_i)$ 

hard choice at *e*, we choose  $\Lambda_{i-1}$  in *S*. In this case there is no increase in the dimension of the space of choices of the construction and, since  $n_{(F,i-1)} = n_{(F,i)} + 1$ , the dimension of the new space of choices is  $l(\Gamma_{(v_1,p_r)}^c) + (n-i) - 1 - n_{(F,i)} = l(\Gamma_{(v_1,p_r)}^c) + (n-(i-1)) - 1 - n_{(F,i-1)}$ . If *F* dictates an easy choice at *e*, we choose  $\Lambda_{i-1}$  to be any alcove attached to  $\Lambda_i$  at  $d_{i-1}$  but not in *S*. There is one dimension worth of such choices, so the dimension of the space of choices of the construction increases by one. We have  $n_{(F,i-1)} = n_{(F,i)}$ , so the new dimension is  $l(\Gamma_{(v_1,p_r)}^c) + (n-i) - 1 - n_{(F,i)} + 1 = l(\Gamma_{(v_1,p_r)}^c) + (n-(i-1)) - 1 - n_{(F,i-1)}$ .

We now consider case (2), which occurs only when  $p_r$  corresponds to an element of W of length 3. The construction  $\Lambda_i, \ldots, \Lambda_n, \sigma(\Lambda_n), \ldots, \sigma(\Lambda_i)$  is contained in an apartment and has the general shape pictured in Figure 9. The dashed lines in this figure represent the boundary of S. Any choice of  $\Lambda_{i-1}$  determines a  $g(\Lambda_{i-1})$  attached to  $\sigma(\Lambda_i)$  via  $\sigma(d_{i-1})$ , just by taking the alcove adjacent to  $\sigma(\Lambda_i)$  via  $\sigma(d_{i-1})$ in the intersection of all apartments containing  $\Lambda_{i-1}$  and S. By Lemma 4.2, the number of choices of  $\Lambda_{i-1}$  with  $g(\Lambda_{i-1}) = \sigma(\Lambda_{i-1})$  is non-zero and finite. So if F requires a hard choice, take  $\Lambda_{i-1}$  with  $g(\Lambda_{i-1}) = \sigma(\Lambda_{i-1})$ . Then dimension does not increase and is therefore equal to  $l(\Gamma_{(v_1, p_r)}^c) + (n - i) - 1 - n_{(F,i)}$ , which is  $l(\Gamma_{(v_1, p_r)}^c) + (n - (i - 1)) - 1 - n_{(F,i-1)}$  because  $n_{(F,i-1)} = n_{(F,i)} + 1$ . If F requires an easy choice, take  $\Lambda_{i-1}$  with  $g(\Lambda_{i-1}) \neq \sigma(\Lambda_{i-1})$ . Then dimension increases by one and is thus

$$l(\Gamma_{(v_1, p_r)}^c) + (n - i) - 1 - n_{(F, i)} + 1$$
  
=  $l(\Gamma_{(v_1, p_r)}^c) + (n - (i - 1)) - 1 - n_{(F, i - 1)}$ 

since  $n_{(F,i-1)} = n_{(F,i)}$ . This concludes the proof of Proposition 4.1.

LEMMA 4.2. The number of choices of  $\Lambda_{i-1}$  (in the preceding paragraph) with  $g(\Lambda_{i-1}) = \sigma(\Lambda_{i-1})$  is non-zero and finite.

*Proof.* We can identify the set  $\{\Lambda_{i-1}\}$  with  $\mathbb{A}^1$  over  $\overline{\mathbb{F}}_q$ , where  $\mathbb{F}_q$  is the residue field of *F*. We can identify the set  $\{\sigma(\Lambda_{i-1})\}$  with the set  $\{\Lambda_{i-1}\}$  (and therefore with  $\mathbb{A}^1$ ) using *g*. Hence the map  $\sigma : \{\Lambda_{i-1}\} \to \{\sigma(\Lambda_{i-1})\}$  given by the action of  $\sigma$  on  $\mathcal{B}_\infty$  gives a map  $\psi : \mathbb{A}^1 \to \mathbb{A}^1$ . But  $\sigma$  also acts on  $\mathbb{A}^1(\overline{\mathbb{F}}_q)$  as the (algebraic) Frobenius, and one can show that if  $\varphi : \mathbb{A}^1 \to \mathbb{A}^1$  is defined by  $\psi = \varphi \circ \sigma$  then  $\varphi$  is an algebraic isomorphism of  $\mathbb{A}^1$ . Thus,  $\varphi(x) = ax + b$  with  $a \neq 0$ . The fixed points of  $\psi$  correspond to  $x \in \mathbb{A}^1$  such that  $a\sigma(x) + b = x$ , which has exactly q solutions since  $\sigma(x) = x^q$ .

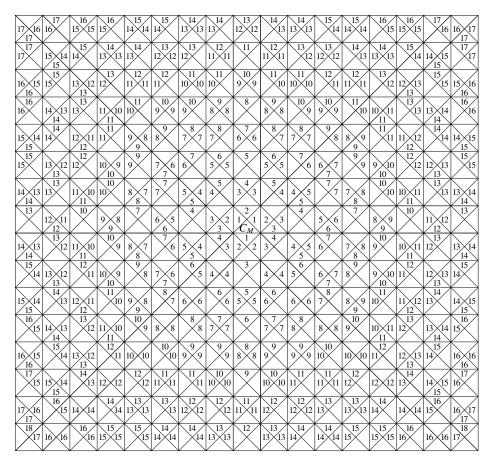


Figure 10 Main result in diagram form for Sp<sub>4</sub>

So now we can compute the emptiness/non-emptiness as well as the dimension of the  $(v_1, v_2, p_r)$ -piece of  $X_{\tilde{w}}(\sigma)$  for each  $\tilde{w}$  by doing straightforward computations with cf-dimension. We did this for all  $v_1$  and reflected the results across the line of symmetry of  $C_M$ , taking maxima whenever two numbers appeared in the same alcove. The results of this process can be seen in Figure 10.

In the course of the computation we observed that, if the  $(v_1, v_2, p_r)$ -piece of  $X_{\tilde{w}}(\sigma)$  and the  $(v'_1, v'_2, p'_r)$ -piece of  $X_{\tilde{w}}(\sigma)$  had different dimensions, then exactly one of  $v_1, v'_1$  was non-special and the corresponding piece had the smaller dimension.

# **5.** Application to dim $(X_{\tilde{w}}^{K}(\sigma))$

Let  $\tilde{w} \in \tilde{W}$  and let  $\mu$  be a dominant cocharacter. Let  $\pi$  be the uniformizer in F. The map  $p: G(L)/I \to G(L)/K$  gives a map  $X^I_{\tilde{w}}(b\sigma) \to X^K_{\mu(\pi)}(b\sigma)$  whenever  $I\tilde{w}I \subset K\mu(\pi)K$ . The non-empty fibers of the map p are always K/I, which has dimension equal to the length  $\delta$  of the longest element of the finite Weyl group W. Moreover, any point in  $X_{\mu(\pi)}^{K}(b\sigma)$  is hit by a point in  $X_{\tilde{w}}^{I}(b\sigma)$  for some  $\tilde{w}$  with  $I\tilde{w}I \subset K\mu(\pi)K$ . If  $S_{\mu(\pi)} \subset \tilde{W}$  is defined so that  $\coprod_{\tilde{w}\in S_{\mu(\pi)}} I\tilde{w}I = K\mu(\pi)K$ , then  $\dim(X_{\mu(\pi)}^{K}(b\sigma)) = \max_{\tilde{w}\in S_{\mu(\pi)}} (\dim(X_{\tilde{w}}^{I}(b\sigma))) - \delta$  because  $p^{-1}(X_{\mu(\pi)}^{K}(b\sigma)) = \bigcup_{\tilde{w}\in S_{\mu(\pi)}} X_{\tilde{w}}^{I}(b\sigma)$ . We applied this formula to  $G = SL_2$ ,  $SL_3$ , and  $Sp_4$  (all with b = 1) and found that  $\dim(X_{\mu(\pi)}^{K}(\sigma)) = \langle \mu, \rho \rangle$ , where  $\rho$  is half the sum of the positive roots of G. This result supports Rapoport's Conjecture 5.10 in [13].

# 6. A Partial Formula for dim $(X_{\tilde{w}}^{I}(\sigma))$ for SL<sub>2</sub>, SL<sub>3</sub>, and Sp<sub>4</sub>

Suppose that *G* is a simply connected group and that  $\tilde{w} \in W$ . Let  $\tilde{w} = tw$ , where  $w \in W$  and *t* acts on  $A_M$  by translation. Let  $\eta_2(\tilde{w}) = \alpha \in W$ , where  $\tilde{w}C_M$  is in the same Weyl chamber as  $\alpha C_M$ . Let  $\eta_1: \tilde{W} \to W$  be the quotient map by the subgroup of translations. Let *S* be the set of simple reflections in *W*, and let  $W_T$  be the subgroup of *W* generated by  $T \subset S$ .

Let  $h_1, \ldots, h_{n+1}$  be the hyperplanes in  $A_M$  that contain one of the codimension-1 sub-simplices of  $C_M$ . Here *n* is the rank of *G*. Let  $h_i^{(j)}$  be the hyperplanes in  $A_M$  parallel to  $h_i$ , with  $h_i^{(0)} = h_i$ . Choose  $h_i^{(1)}$  to be as close as possible to  $h_i$  but on the other side of  $C_M$ . We define the *union of shrunken Weyl chambers* to be the set of all alcoves that are not between  $h_i^{(0)}$  and  $h_i^{(1)}$  for any *i*.

If  $\tilde{w}C_M$  is in the union of shrunken Weyl chambers and if  $G = SL_2, SL_3$ , or Sp<sub>4</sub>, then  $X_{\tilde{w}}(\sigma)$  is non-empty if and only if  $\eta_2(\tilde{w})^{-1}\eta_1(\tilde{w})\eta_2(\tilde{w}) \in W \setminus \bigcup_{T \subset S} W_T$ , and in this case

$$\dim(X_{\tilde{w}}(\sigma)) = \frac{l_{\tilde{W}}(\tilde{w}) + l_{W}(\eta_{2}(\tilde{w})^{-1}\eta_{1}(\tilde{w})\eta_{2}(\tilde{w}))}{2}.$$

Here  $l_W$  is length in W and  $l_{\tilde{W}}$  is length in  $\tilde{W}$ , as Coxeter groups.

One can examine Figures 5 and 10 to see that the stated equality holds for SL<sub>3</sub> and Sp<sub>4</sub>. It also holds for SL<sub>2</sub>. Note, though, that the new formula says nothing about the dimension or emptiness/non-emptiness of  $X_{\tilde{w}}(\sigma)$  for  $\tilde{w}$  not in the union of the shrunken Weyl chambers. Figures 5 and 10 give this information for SL<sub>3</sub> and Sp<sub>4</sub>. The complement of the union of the shrunken Weyl chambers for SL<sub>2</sub> is just  $C_M$ , an easy special case.

The displayed equality might not hold for SL<sub>4</sub>. One problem is that, for SL<sub>2</sub>, SL<sub>3</sub>, and Sp<sub>4</sub>, there are other ways to specify the set  $W \setminus \bigcup_{T \subseteq S} W_T$ . In particular,  $W \setminus \bigcup_{T \subseteq S} W_T = \{w \in W : l_W(w) \ge \operatorname{rank}(G)\}$  for these groups, and the SL<sub>4</sub> analogues of these two sets are not the same. We think the first formulation is more likely to be appropriate for a general statement.

The referee pointed out that, for SL<sub>3</sub> (although not for Sp<sub>4</sub>), dim $(X_{\tilde{w}}(\sigma)) = \lceil l_{\tilde{W}}(\tilde{w})/2 \rceil + 1$  for any alcove  $\tilde{w}C_M$  for which  $X_{\tilde{w}}(\sigma)$  is non-empty and whose intersection with  $C_M$  has codimension > 1. Hence, for these alcoves, dimension depends only on the length of  $\tilde{w}$ . The same formula does not hold for SL<sub>2</sub>, but dimension depends only on length of  $\tilde{w}$  in that case as well.

# 7. Related Results

Some of the emptiness/non-emptiness results of this paper were also obtained using other methods in the author's Ph.D. thesis [14]. These other methods are more computationally intensive and do not provide dimension information, but they do extend (to some extent) to  $b \neq 1$ . Some of the results from [14] can be combined to suggest Conjecture 7.1.

We restrict *G* to be one of the groups  $SL_2$ ,  $SL_3$ , or  $Sp_4$ . Let *D* be a Weyl chamber in  $A_M$ , and let *D'* be the intersection of *D* with the union of shrunken Weyl chambers. Then we call *D'* a shrunken Weyl chamber. Let *b* be a representative of a  $\sigma$ -conjugacy class that meets the main torus of *G*. We can choose *b* so that it acts on  $A_M$  by translation and such that  $bC_M$  is in the main Weyl chamber. We define the *b*-shifted shrunken Weyl chamber associated to *D* to be  $wbw^{-1}D'$ , where  $w \in W$  is the Weyl group element corresponding to the Weyl chamber *D*.

CONJECTURE 7.1. Let *b* and *G* be restricted as just described, and let  $\tilde{w}C_M$  be in the union of the *b*-shifted shrunken Weyl chambers. Then  $X_{\tilde{w}}(b\sigma)$  is non-empty if and only if  $\eta_2(\tilde{w})^{-1}\eta_1(\tilde{w})\eta_2(\tilde{w}) \in W \setminus \bigcup_{T \subseteq S} W_T$ .

This conjecture is shown to hold true in [14] for several values of b. Information about  $\tilde{w}$  that is not in the *b*-shifted shrunken Weyl chambers is also given in [14] for the same *b*-values, but we have been unable to describe these results with a formula.

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