

# Differential Polynomials That Share Three Finite Values with Their Generating Meromorphic Function

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## 1. Introduction

In this paper, “meromorphic function” means meromorphic in the whole plane  $\mathbb{C}$ . We shall assume that the reader is familiar with the notation and elementary aspects of Nevanlinna theory (cf. [3] or [4]).

We say that two meromorphic functions  $f$  and  $g$  share a value  $a$  “IM” (resp. CM) if  $f - a$  and  $g - a$  have the same zeros ignoring multiplicities (counting multiplicities). The subject on sharing values between meromorphic functions and their derivatives was first studied by Rubel and Yang [9].

**THEOREM A.** *Let  $f$  be a nonconstant entire function. If  $f$  and  $f'$  share two finite values CM, then  $f = f'$ .*

This result was improved independently by Gundersen [2], and Mues and Steinmetz [7].

**THEOREM B.** *Let  $f$  be meromorphic and nonconstant. If  $f$  and  $f'$  share three finite and distinct values  $b_1, b_2, b_3$  IM, then  $f = f'$ .*

Frank and Schwick [1] generalized this to the  $k$ th derivative.

**THEOREM C.** *Let  $f$  be meromorphic and nonconstant,  $k \in \mathbb{N}$ . If  $f$  and  $f^{(k)}$  share three finite and distinct values  $b_1, b_2, b_3$  IM, then  $f = f^{(k)}$ .*

In the sequel, we set

$$L(f) := a_k f^{(k)} + a_{k-1} f^{(k-1)} + \cdots + a_0 f \quad (a_k \neq 0), \quad (1)$$

where  $a_k, \dots, a_0$  are finite constants. Mues-Reinders [6] proved the following result.

**THEOREM D.** *Let  $f$  be meromorphic and nonconstant,  $2 \leq k \leq 50$ . If  $f$  and  $L(f)$  share three finite and distinct values  $b_1, b_2, b_3$  IM, then  $f = L(f)$ . Furthermore, if  $a_{k-1} = a_{k-2} = 0$ , then the restriction  $k \leq 50$  can be omitted.*

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The purpose of this paper is to cancel the restriction  $k \leq 50$ .

**THEOREM 1.** *Let  $f(z)$  be nonconstant and meromorphic,  $k \geq 2$ . If  $f$  and  $L(f)$  share three finite and distinct values  $b_1, b_2, b_3$  IM, then  $f = L(f)$ .*

The following example will show that three finite values in our theorem are best possible.

**EXAMPLE.** Let

$$f(z) = 2 \frac{e^{2\sqrt{2}iz} + 4e^{\sqrt{2}iz} + 1}{(e^{\sqrt{2}iz} - 1)^2}.$$

Then  $2 - f \neq 0$ ,  $f'' = f(2 - f)$ , and  $f'' - 1 = -(f - 1)^2$ . Thus  $f$  and  $f''$  share 0 and 1 IM, but  $f \neq f''$ .

### 2. One Basic Lemma

For the sake of convenience, we define

$$\Psi(f) := \frac{f'(L(f))(f - L(f))^2}{(f - b_1)(f - b_2)(f - b_3)(L(f) - b_1)(L(f) - b_2)(L(f) - b_3)}, \quad (2)$$

$$N_0\left(r, \frac{1}{f - L(f)}\right) := N\left(r, \frac{1}{f - L(f)}\right) - \sum_{j=1}^3 \bar{N}\left(r, \frac{1}{f - b_j}\right),$$

$$N_0\left(r, \frac{1}{f'}\right) := N\left(r, \frac{1}{f'}\right) - \sum_{j=1}^3 N_1\left(r, \frac{1}{f - b_j}\right),$$

$$N_0\left(r, \frac{1}{(L(f))'}\right) := N\left(r, \frac{1}{(L(f))'}\right) - \sum_{j=1}^3 N_1\left(r, \frac{1}{L(f) - b_j}\right),$$

$$N_1(r, f) := N(r, f) - \bar{N}(r, f),$$

$$A := \frac{(L(f))'(f - L(f))}{(L(f) - b_1)(L(f) - b_2)(L(f) - b_3)}. \quad (3)$$

**LEMMA 1.** *Let  $f$  be a nonconstant meromorphic function,  $k \in \mathbb{N}$ . If  $f$  and  $L(f)$  share three finite values  $b_1, b_2, b_3$  IM, and if  $f \neq L(f)$ , then the following conclusions hold:*

$$T(r, f) = T(r, L(f)) + S(r, f), \quad T(r, L(f)) = T(r, f) + S(r, f); \quad (4)$$

$$2T(r, L(f)) = \bar{N}(r, f) + \sum_{j=1}^3 \bar{N}\left(r, \frac{1}{L(f) - b_j}\right) + S(r, f); \quad (5)$$

$$N_1(r, f) = S(r, f); \quad (6)$$

$$T(r, \Psi(f)) = m(r, \Psi(f)) = S(r, f); \quad (7)$$

$$N_0\left(r, \frac{1}{f - L(f)}\right), N_0\left(r, \frac{1}{f'}\right), N_0\left(r, \frac{1}{(L(f))'}\right) = S(r, f); \quad (8)$$

$$m(r, L(f)) = S(r, f); \tag{9}$$

$$T(r, L(f)) = (k + 1)\bar{N}(r, f) + S(r, f); \tag{10}$$

$$T(r, f) = (k + 1)\bar{N}(r, f) + S(r, f); \tag{11}$$

$$m(r, f) = k\bar{N}(r, f) + S(r, f); \tag{12}$$

$$T(r, A) = m(r, A) = k\bar{N}(r, f) + S(r, f). \tag{13}$$

*Proof.* The proof is actually given in [2], [5], and [6]. We include it here for the sake of completeness. Take  $c \in \mathbb{C} - \{b_1, b_2, b_3\}$  and let  $\hat{b}_j = \frac{1}{b_j - c}$  ( $j = 1, 2, 3$ ),  $\hat{b}_4 = 0$ ,  $g_1 = \frac{1}{f - c}$ , and  $g_2 = \frac{1}{L(f) - c}$ . Then  $g_1$  and  $g_2$  share the values  $\hat{b}_j$  ( $j = 1, \dots, 4$ ) IM. By the second fundamental theorem, we have

$$\begin{aligned} 2T(r, g_i) &\leq \sum_{j=1}^4 \bar{N}\left(r, \frac{1}{g_i - \hat{b}_j}\right) + S(r, g_i) \\ &\leq N\left(r, \frac{1}{g_1 - g_2}\right) + S(r, g_i) \\ &\leq T(r, g_1) + T(r, g_2) + S(r, g_i) \quad (i = 1, 2). \end{aligned}$$

Equations (4) and (5) follow from this and the first fundamental theorem. Now, by

$$\begin{aligned} k\bar{N}(r, f) + N(r, f) &\leq T(r, L(f)) = T(r, f) + S(r, f) \\ &= \sum_{j=1}^3 \bar{N}\left(r, \frac{1}{f - b_j}\right) + \bar{N}(r, f) - T(r, f) + S(r, f) \\ &\leq N\left(r, \frac{1}{f - L(f)}\right) + \bar{N}(r, f) - T(r, f) + S(r, f) \\ &\leq (k + 1)\bar{N}(r, f) + S(r, f), \end{aligned}$$

we obtain (6), (10), (11), and (12). By the assumptions and (2), it is easy to see that  $\Psi$  is entire. Now (2) can be written in the form

$$\Psi(f) = \sum_{s,t=1}^3 c_{st} \frac{f'}{f - b_s} \frac{(L(f))'}{L(f) - b_t},$$

where  $c_{st}$  ( $s, t = 1, 2, 3$ ) are constants depending only on  $b_j$  ( $j = 1, 2, 3$ ). Equation (7) follows from this and the theorem on the logarithmic derivative; (7) and (2) yield (8). Now, by (10),

$$\begin{aligned} m(r, L(f)) + (k + 1)\bar{N}(r, L(f)) &\leq m(r, L(f)) + N(r, L(f)) \\ &\leq (k + 1)\bar{N}(r, f) + S(r, f). \end{aligned}$$

This gives (9). From (3), we now have

$$m(r, A) \leq m(r, f) + S(r, f)$$

and

$$k\bar{N}(r, f) \leq N(r, 1/A) \leq T(r, A) + S(r, f). \tag{14}$$

Combining these two inequalities with (12), we obtain (13). This completes the proof of the lemma.  $\square$

### 3. Proof of Theorem 1

To prove our theorem, we follow some ideas in Mues and Reinders [6]. We suppose that  $f \not\equiv L(f)$  and  $k \geq 3$ . Let  $z_0$  be a simple pole of  $f$ , and let

$$f(z) = \frac{R}{z - z_0} + O(1)$$

near  $z = z_0$ . Then

$$L(f)(z) = \frac{a_k k! (-1)^k R}{(z - z_0)^{k+1}} + \frac{a_{k-1} (k-1)! (-1)^{k-1} R}{(z - z_0)^k} + \dots$$

Put

$$\phi := \frac{A'}{A}. \tag{15}$$

We then have

$$\phi(z) = \frac{k}{z - z_0} + \sigma + \frac{\tau^2}{3k}(z - z_0) + O((z - z_0)^2), \tag{16}$$

where  $\sigma$  and  $\tau$  are constants depending only on the coefficients of  $L(f)$  and  $k$  as follows:

$$\sigma := \sigma(f) := \frac{(k+2) a_{k-1}}{k(k+1) a_k}, \tag{17}$$

$$\tau := \tau(f) := \left[ 3k \left( \frac{k^2 + 4k + 2}{k^2(k+1)^2} \left( \frac{a_{k-1}}{a_k} \right)^2 - \frac{2k + 6}{k(k^2 - 1)} \frac{a_{k-2}}{a_k} \right) \right]^{1/2}. \tag{18}$$

Obviously, by (15),

$$m(r, \phi) = S(r, f) \tag{19}$$

and

$$N(r, \phi) = \bar{N}(r, 1/A) = \bar{N}(r, f) + S(r, f).$$

Let

$$H := k\phi' - \tau^2 + (\phi - \sigma)^2;$$

then, by (16),  $H(z_0) = 0$  at the simple pole of  $f$  and so  $N(r, H) = S(r, f)$ , which results in  $T(r, H) = S(r, f)$  by (19). If  $H(z) \not\equiv 0$ , then

$$N(r, f) \leq N(r, 1/H) + S(r, f) = S(r, f),$$

which contradicts (11). Thus  $H(z) \equiv 0$ ; that is,

$$k\phi' = \tau^2 - (\phi - \sigma)^2.$$

If  $\tau = 0$  then  $\phi$  is fractional linear, and so  $f$  has at most one pole by (15) and (3), which contradicts (11). Thus  $\tau \neq 0$ . From the preceding equality we have

$$\phi(z) = \sigma + \tau \frac{a \exp(uz) - b \exp(-uz)}{a \exp(uz) + b \exp(-uz)},$$

where  $a, b$  are constants and

$$u = \frac{\tau}{k}. \tag{20}$$

If  $ab = 0$  then  $\phi(z)$  is constant. By (14) and (15),

$$k\bar{N}(r, f) \leq N(r, 1/A) = 0,$$

which contradicts (11). Thus  $ab \neq 0$ . Take  $c$  satisfying  $\exp(2uc) = -a/b$ . Then  $\phi$  has the form

$$\phi(z) = \sigma + \tau \frac{\exp(u(z+c)) + \exp(-u(z+c))}{\exp(u(z+c)) - \exp(-u(z+c))}.$$

Using the transformation  $z \rightarrow z - c$  if necessary, we may let  $c = 0$ . Thus

$$\phi(z) = \sigma + \tau \coth(uz).$$

By (15), we have

$$A(z) = De^{\sigma z} \left( \frac{e^{uz} - e^{-uz}}{2} \right)^k \tag{21}$$

with a constant  $D \neq 0$ . This, together with (3), (6), and (13), imply that

$$\bar{N}(r, f) = \frac{2|u|r}{\pi} + O(1)$$

and so, by (11),

$$T(r, f) = \frac{2(k+1)|u|}{\pi} r + S(r, f).$$

This implies that the order  $\rho(f)$  of  $f$  is less than or equal to 1. Thus

$$T(r, f) = O(r) \quad \text{for } r \rightarrow \infty.$$

It follows from (2) and [8] that

$$m(r, \Psi(f)) = o(\log r) \quad \text{for } r \rightarrow \infty.$$

Combining this with the fact that  $\Psi(f)$  is entire, we obtain

$$\Psi(f) \equiv \text{constant}. \tag{22}$$

By (22) and (2), the functions  $f'$ ,  $(L(f))'$ , and  $f - L(f)$  have only zeros at the zeros of  $f - b_j$  ( $j = 1, 2, 3$ );  $f - L(f)$  has only simple zeros and  $f$  has only simple poles that coincide with zeros of  $A$ . Thus, the poles of  $f$  are

$$z_v = v \frac{\pi}{u} i \quad (v \in \mathbb{Z}),$$

which gives

$$\bar{N}(r, f) = N(r, f) = \frac{2|u|r}{\pi} + O(1). \tag{23}$$

Note that, since  $\rho(f) \leq 1$ , it follows from [8], (9), and (12) that

$$m(r, L(f)) = o(\log r), \quad m(r, f) = \frac{2k|u|r}{\pi} + o(\log r). \tag{24}$$

Let

$$f(z) = \frac{R_v}{z - z_v} + O(1). \tag{25}$$

Then

$$\Psi(f) = \frac{k+1}{R_v^2}, \tag{26}$$

by (2). Set

$$v := v(L(f)) := \frac{\sigma}{u} = \frac{\sigma k}{\tau}. \tag{27}$$

From (21) and (3) it follows that

$$Du^k e^{vv\pi i} (-1)^{kv} = \frac{(-1)^k (k+1)}{k! a_k R_v} \tag{28}$$

for all  $v \in \mathbb{Z}$ . Squaring (28) and combining with (22) and (26), we deduce that

$$e^{2vv\pi i} \equiv \text{constant} \quad \text{for all } v \in \mathbb{Z}.$$

Taking  $v = 0$ , we know that

$$e^{2vv\pi i} \equiv 1 \quad \text{for all } v \in \mathbb{Z}.$$

Thus  $e^{2vv\pi i} = 1$ , which results in  $v \in \mathbb{Z}$ . By (28) we have

$$R_v = (-1)^{(k-v)v} B, \tag{29}$$

where

$$B = \left(-\frac{1}{u}\right)^k \frac{k+1}{Dk! a_k}. \tag{30}$$

Now, by (21),

$$T(r, A) = m(r, A) = \{k + \max(k, |v|)\} \frac{|u|r}{\pi} + O(1).$$

On the other hand, by (13) and (23),

$$T(r, A) = k \frac{2|u|r}{\pi} + o(\log r).$$

These two equations imply that  $|v| \leq k$ , and so

$$v \in \mathbb{Z}, \quad -k \leq v \leq k. \tag{31}$$

We define:

$$G(w) := \begin{cases} 2Bu/(w^2 - 1) & \text{if } k - v \text{ is even,} \\ 2Buw/(w^2 - 1) & \text{if } k - v \text{ is odd;} \end{cases} \tag{32}$$

$$g(z) := G(e^{uz}); \tag{33}$$

$$h(z) := f(z) - g(z). \tag{34}$$

Then  $h$  is entire by (25), (29), and (34). Let

$$L(g) = \sum_{j=0}^k a_j g^{(j)}, \quad L(h) = \sum_{j=0}^k a_j h^{(j)}. \tag{35}$$

It is easy to check that  $m(r, g^{(j)}) = O(1)$  for  $j = 0, \dots, k$ ; (24) gives

$$m(r, L(h)) \leq m(r, L(f)) + m(r, L(g)) + O(1) = o(\log r)$$

for  $r \rightarrow \infty$ . Note that  $L(h)$  is entire and so we have

$$L(h) = \text{constant}; \tag{36}$$

hence,

$$L(f)(z) = L(g)(z) + L(h)(z) = S(e^{uz}) \tag{37}$$

for a rational function  $S(w)$ . Note that, as  $|\operatorname{Re}(uz)| \rightarrow \infty$ ,

$$g^{(j)}(z) = O(1) \quad (0 \leq j \leq k).$$

We deduce that

$$S(0) \neq \infty, \quad S(\infty) \neq \infty. \tag{38}$$

From (21), (33), (34), (37), and (3), we see that  $h$  is a  $(2\pi/u)i$ -periodic entire function, and (24) and (34) yield

$$m(r, h) = m(r, f) + O(1) = \frac{2k|u|r}{\pi} + o(\log r)$$

for  $r \rightarrow \infty$ . Thus  $h(z)$  is of the form

$$h(z) = \sum_{j=p}^q A_j e^{juz} \quad (p \leq q, A_j \in \mathbb{C}, A_p A_q \neq 0), \tag{39}$$

with

$$\max\{q, 0\} - \min\{p, 0\} = 2k. \tag{40}$$

Therefore,

$$f(z) = R(e^{uz}) \tag{41}$$

with a rational function

$$R(w) = \sum_{j=p}^q A_j w^j + G(w). \tag{42}$$

By (21), (37), (41), and (3), we now have

$$\frac{uwS'(w)(R(w) - S(w))}{(S(w) - b_1)(S(w) - b_2)(S(w) - b_3)} = \frac{D}{2^k} \frac{(w^2 - 1)^k}{w^{k-v}}. \tag{43}$$

From (33), (37), and (40), we may suppose that

$$S(w) = \frac{P(w)}{(w^2 - 1)^{k+1}}, \tag{44}$$

where

$$P(w) = d_t w^t + \dots + d_1 w + d \quad (d_t \neq 0, t \leq 2(k+1), P(\pm 1) \neq 0).$$

Substituting this into (43), we obtain

$$\frac{w[(w^2 - 1)P'(w) - 2(k + 1)wP(w)] \times [R(w)w^{k-v}(w^2 - 1)^{k+1} - P(w)w^{k-v}]}{\prod_{j=1}^3 [P(w) - b_j(w^2 - 1)^{k+1}]} = \frac{D}{u2^k}. \tag{45}$$

From (44) we see that there exists an integer  $m$  with

$$m \geq 2(k + 1) - t + 1 \tag{46}$$

such that

$$S'(w) = O(w^{-m}) \quad \text{for } w \rightarrow \infty.$$

Dividing both sides of (43) by  $w^{k+v}$  and letting  $w \rightarrow \infty$ , it follows from (40), (42), and (43) that

$$q = k + v + m - 1 \geq k + v. \tag{47}$$

Similarly, by considering  $w \rightarrow 0$  we obtain

$$p \leq v - k.$$

Combining this, (24), (40), and (47), we have

$$q = v + k, \quad p = v - k.$$

Thus, (39) and (42) now read

$$h(z) = \sum_{j=v-k}^{v+k} A_j e^{juz} \quad (A_j \in \mathbb{C}, A_p A_q \neq 0) \tag{48}$$

and

$$R(w) = \sum_{v-k}^{v+k} A_j w^j + G(w), \tag{49}$$

respectively. Furthermore, from  $q = v + k$  and (47) we deduce that  $m = 1$ ; hence by (46),  $1 \geq 2(k + 1) - t + 1$ —that is,  $t \geq 2(k + 1)$ . This and the condition  $t \leq 2(k + 1)$  imply that

$$t = 2(k + 1).$$

Thus by (44),

$$S(\infty) = d_t \neq 0,$$

which implies that

$$(w^2 - 1)P'(w) - 2(k + 1)wP(w)$$

is a polynomial of  $w$  with order  $\leq 2k + 2$ . Therefore, the order of the numerator of (45) is at most  $6k + 5$ . If

$$S(\infty) = d_t \neq b_1, b_2, b_3,$$

then  $P(w) - b_j(w^2 - 1)^{k+1}$  is a polynomial of  $w$  with degree  $2k + 2$  for  $j = 1, 2, 3$ , so that the order of the denominator of (45) is  $6k + 6$ —a contradiction. Thus  $d_t = b_j$  ( $1 \leq j \leq 3$ ). We may let

$$b_1 = S(\infty) = d_t \neq 0. \tag{50}$$

This, (36), and (48) imply that

$$S(e^{uz}) = b_1 + L(g(z)) \tag{51}$$

and

$$d_t = b_1 = a_0 A_0 = L(h). \tag{52}$$

Without loss of generality, we may suppose that

$$a_0 \neq \frac{b_1 - b_2}{2Bu} \quad \text{and} \quad a_0 \neq \frac{b_1 - b_3}{2Bu}. \tag{53}$$

Otherwise, we consider  $\tilde{f} = f(\alpha z)$  and

$$\tilde{L}(\tilde{f}) := \sum_{j=0}^k \tilde{a}_j \tilde{f}^{(j)}$$

for some suitable positive constant  $\alpha$ , where

$$\tilde{a}_j = a_j \alpha^{-j} \quad (j = 0, 1, \dots, k).$$

It is obvious that  $\tilde{L}(\tilde{f}) = L(f)$  and that  $\tilde{f}$  and  $\tilde{L}(\tilde{f})$  share  $b_j$  IM for  $j = 1, 2, 3$ . Let  $\tilde{A}$ ,  $\tilde{B}$ ,  $\tilde{u}$ ,  $\tilde{v}$ ,  $\tilde{\tau}$ ,  $\tilde{\sigma}$ , and  $\tilde{D}$  correspond to  $A$ ,  $B$ ,  $u$ ,  $v$ ,  $\tau$ ,  $\sigma$ , and  $D$ , respectively. Then, by (3) and (21),  $\tilde{D} = D$ ; by (17) and (18),  $\tilde{\sigma} = \alpha\sigma$  and  $\tilde{\tau} = \alpha\tau$ , so that  $\tilde{u} = \alpha u$  and  $\tilde{v} = v$  by (20) and (27). Thus (31) still holds for  $\tilde{v}$  and by (30),  $\tilde{B} = B$ . As a result,

$$\frac{b_1 - b_j}{2\tilde{B}\tilde{u}} = \alpha^{-1} \frac{b_1 - b_2}{2Bu} \quad (j = 2, 3).$$

We can therefore choose a suitable positive constant  $\alpha$  such that

$$\frac{b_1 - b_j}{2\tilde{B}\tilde{u}} \neq \tilde{a}_0 = a_0 \quad (j = 2, 3).$$

Next we consider two cases.

*Case 1:  $k - v$  is even.* Then, by (32),

$$G(w) = \frac{2Bu}{w^2 - 1} \quad \text{and} \quad g(z) = \frac{2Bu}{e^{2uz} - 1}.$$

These equalities imply that

$$g^{(j)}(z) = e^{2uz} \frac{\sum_{l=0}^{j-1} c_l e^{2luz}}{(e^{2uz} - 1)^{j+1}} \quad (j \geq 1),$$

where all  $c_l$  are constants. Thus, by (50) and (51), we may let

$$S(w) = b_1 + \frac{Q(w)}{(w^2 - 1)^{k+1}}, \tag{54}$$

where

$$Q(w) = 2Bua_0(w^2 - 1)^k + w^2 P_{k-1}(w^2); \tag{55}$$

here  $P_{k-1}(w^2)$  is a polynomial of  $w^2$  of degree less than or equal to  $k - 1$ . We rewrite  $Q(w)$  in the form

$$Q(w) = e_m w^m + \dots + e_1 w + e_0 \quad (e_m \neq 0, m \leq 2k).$$

Combining (55), (54), and (53), we obtain that  $S(0) - b_2 \neq 0$  and  $S(0) - b_3 \neq 0$ . By (43), we thus have

$$\frac{uw(w^2 - 1)S'(w)(R(w)w^{k-v} - S(w)w^{k-v})}{Q(w)(S(w) - b_2)(S(w) - b_3)} = D2^{-k}.$$

Now the numerator is zero at  $w = 0$  and so  $Q(0) = 0$ , which results in  $a_0 = 0$  by (55). Hence  $b_1 = 0$  by (52), which contradicts (50).

*Case 2:  $k - v$  is odd.* Then  $G(w) = 2Buw/(w^2 - 1)$  and  $g(z) = G(e^{uz})$ . We can easily deduce that

$$g^{(j)}(z) = 2B(-u)^{j+1} \frac{wQ_j(w^2)}{(w^2 - 1)^{j+1}} \circ e^{uz} \quad (j \geq 0),$$

where  $Q_j(w^2)$  is a polynomial of  $w^2$  with degree  $j$ . It follows from (33) and (35) that

$$L(g) = \frac{wQ(w^2)}{(w^2 - 1)^{k+1}} \circ e^{uz},$$

where  $Q(\zeta)$  is a polynomial of  $\zeta$  with degree  $\leq k$ . This and (51) imply

$$S(w) = b_1 + \frac{U(w)}{(w^2 - 1)^{k+1}}, \tag{56}$$

where

$$U(w) = wQ(w^2). \tag{57}$$

Substituting (49) and (56) into (43), we have

$$\frac{w\{(w^2 - 1)U'(w) - 2(k + 1)wU(w)\} \times \{(\sum_{i=v-k}^{v+k} A_i w^i + G(w) - b_1)(w^2 - 1)^{k+1} - U(w)\}}{\{(b_2 - b_1)(w^2 - 1)^{k+1} + U(w)\}U(w)[(b_3 - b_1)(w^2 - 1)^{k+1} + U(w)]} = \frac{D}{2^k} w^{v-k}.$$

We rewrite this in the form

$$\begin{aligned} & \sum_{j=0}^k A_{v-k+2j} w^{2j} + \sum_{j=0}^{k-1} A_{v-k+2j+1} w^{2j+1} - b_1 w^{k-v} \\ & + \frac{2Buw^{k-v+1}}{w^2 - 1} - \frac{w^{k-v+1}Q(w^2)}{(w^2 - 1)^{k+1}} \\ & = \frac{D}{2^k} \cdot \frac{Q(w^2)}{(w^2 - 1)U'(w) - 2(k + 1)wU(w)} \\ & \cdot \left\{ (b_2 - b_1)(b_3 - b_1)(w^2 - 1)^{k+1} \right. \\ & \quad \left. + \frac{[wQ(w^2)]^2}{(w^2 - 1)^{k+1}} + (2b_1 - b_2 - b_3)wQ(w^2) \right\}, \tag{58} \end{aligned}$$

where we have replaced some of the  $U(w)$  by (57). From (57) we now see that

$$(w^2 - 1)U'(w) - 2(k + 1)wU(w)$$

is a polynomial of  $w^2$ . By multiplying the factor

$$\{(w^2 - 1)U'(w) - 2(k + 1)wU(w)\}(w^2 - 1)^{k+1}$$

to both sides of (58) and then comparing all the terms with odd degree, we obtain

$$\sum_{j=0}^{k-1} A_{v-k+2j+1}w^{2j+1} - b_1w^{k-v} = \frac{(2b_1 - b_2 - b_3)D}{2^k} \frac{[Q(w^2)]^2}{(w^2 - 1)U'(w) - 2(k + 1)wU(w)} w. \tag{59}$$

It is easy to see that the right-hand side can not be a polynomial unless

$$2b_1 - b_2 - b_3 = 0. \tag{60}$$

Therefore,  $3b_1 = b_1 + b_2 + b_3$ . From this and (50), we thus have the following lemma.

LEMMA 2. *Let  $f$  be nonconstant and meromorphic, and let  $L(f)$  and  $v$  be defined (resp.) by (1) and (27),  $k \geq 2$ . Suppose that  $f$  and  $L(f)$  share three finite values  $b_1, b_2, b_3$  IM, where  $f \not\equiv L(f)$ . If  $k - v$  is odd, then there exists some  $b_j \neq 0$  ( $1 \leq j \leq 3$ ) such that*

$$3b_j = b_1 + b_2 + b_3.$$

*Proof of the Theorem (cont.).* From (60) we see that the left-hand side of (59) is identically zero. Thus,  $b_1 = A_0$ . Together with (50) and (52), this implies that  $a_0 = 1$  and so

$$L(f) = a_k f^{(k)} + \dots + a_1 f' + f. \tag{61}$$

Let

$$\hat{f} = f - b_1$$

and

$$\hat{L}(\hat{f}) = a_k \hat{f}^{(k)} + \dots + a_1 \hat{f}' + \hat{f}.$$

Then—from (60), (61), and the assumptions of the theorem—we deduce that  $\hat{f}$  and  $\hat{L}(\hat{f})$  share three values (0,  $x$ , and  $-x$ ) IM, where  $x$  can be chosen as  $b_2 - b_1$  or  $b_3 - b_1$  and  $x \neq 0$ . On the other hand, since  $\hat{L}(\hat{f})$  and  $L(f)$  have the same coefficients, it follows from (27), (17), and (18) that  $v(\hat{L}(\hat{f})) = v(L(f))$  and so  $k - v(\hat{L}(\hat{f}))$  is also odd. Obviously,  $\hat{L}(\hat{f}) \not\equiv \hat{f}$  by the assumption  $L(f) \not\equiv f$ . Thus all the conditions of Lemma 2 are satisfied. By Lemma 2,

$$3x = x + 0 + (-x) = 0$$

and so  $x = 0$ , which is impossible.

This completes the proof of the theorem. □

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