

ON THE UNITARY EQUIVALENCE OF CLOSE C^* -ALGEBRAS

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Introduction. A central question in the theory of perturbations of C^* -algebras is to determine which C^* -algebras A satisfy the following property: Every C^* -algebra B “sufficiently close” to A is unitarily equivalent to it (cf. [3], [4], [11]). In this paper we use “Ext” theory in order to find new C^* -algebras with this property.

Let D be a separable C^* -subalgebra of a C^* -algebra C and suppose that D is an extension of a C^* -algebra A by a C^* -algebra I . Under certain assumptions on A and I we show that if D' is a C^* -subalgebra of C , “sufficiently close” to D , then D and D' are unitarily equivalent. To that end, we prove that D' is also an extension of A by I and show that these two extensions are unitarily equivalent. This second problem is dealt with by viewing the two extensions of A by I given by D and D' through the six-term exact sequence of K -theory associated with the two extensions, using the Rosenberg and Schochet universal coefficient formula (cf. [13]) and Theorem 2.11 of [9].

I would like to express my gratitude to G. Skandalis for his numerous helpful suggestions.

NOTATIONS. Throughout this paper H will denote a separable infinite dimensional Hilbert space. $\mathcal{L}(H)$ is the C^* -algebra of bounded linear operators on H and $K(H)$ is the C^* -algebra of compact operators. If A is a C^* -algebra $M(A)$ denotes the multiplier algebra of A .

The distance between the C^* -subalgebras A and B of a C^* -algebra C is defined by

$$d(A, B) = \text{Max} \left\{ \sup_{a \in A_1} \inf_{b \in B_1} \|a - b\|; \sup_{b \in B_1} \inf_{a \in A_1} \|a - b\| \right\},$$

where A_1 and B_1 denote the unit balls of A and B respectively.

1. Some results from the theory of extensions. Here we recall some facts about Kasparov’s bi-functor $\text{Ext}(A, B)$ (cf. [8]).

1.1. Let A be a separable nuclear C^* -algebra and B a C^* -algebra with countable approximate unit. An (A, B) extension is a short exact sequence

$$0 \rightarrow B \otimes K(H) \rightarrow D \xrightarrow{\phi} A \rightarrow 0.$$

Such an extension will be denoted by the pair (D, ϕ) . We note that (cf. [2]) such extensions are in one-to-one correspondence with $*$ -homomorphisms $\sigma: A \rightarrow M(B \otimes K(H))/B \otimes K(H)$. Two extensions σ_1 and σ_2 are said to be unitarily equivalent (write $\sigma_1 \bar{\sim} \sigma_2$) if there exists a unitary $u \in M(B \otimes K(H))$ such

Received June 13, 1984. Final revision received July 23, 1984.
Michigan Math. J. 31 (1984).

that $\sigma_1(a) = u\sigma_2(a)u^*$ for every $a \in A$. An extension σ is said to be trivial if it has a lifting $\pi: A \rightarrow M(B \otimes K(H))$. The sum $\sigma_1 \oplus \sigma_2$ is defined to be the direct sum $\sigma_1 \oplus \sigma_2(a) = \sigma_1(a) \oplus \sigma_2(a)$ (with the identification of $M_2(M(B \otimes K(H)))$ with $M(B \otimes K(H))$). Now $\text{Ext}(A, B)$ is the set of equivalence classes of (A, B) extensions with respect to the relation: $\sigma_1 \sim \sigma_2$ if and only if there exist trivial extensions τ_1, τ_2 such that $\sigma_1 \oplus \tau_1 \approx \sigma_2 \oplus \tau_2$.

1.2. In 1.1 if A and B are unital one may define $\text{Ext}_s(A, B)$ to be the set of unital extensions divided by the equivalence relation $\phi_1 \sim \phi_2$ if and only if there exist unitarily trivial extensions τ_1 and τ_2 such that $\phi_1 \oplus \tau_1 \approx \phi_2 \oplus \tau_2$. Here an extension τ is said to be unitarily trivial if it has a unital lifting $\pi: A \rightarrow M(B \otimes K(H))$. In [15] G. Skandalis studies the bi-functor $\text{Ext}_s(A, B)$ and shows that it is a group that is homotopy invariant in both variables and that $\text{Ext}_s(A, B) \cong \text{Ext}(A_c, B \otimes C_0(\mathbf{R}))$, where

$$A_c = \{f: [0, 1] \rightarrow A: f(1) = 0, f(0) \in \mathbf{C}\}.$$

In the case that $B = \mathbf{C}$ it follows from Voiculescu's theorem [16] that $\text{Ext}_s(A, B) = \text{Ext}_s(A)$, where $\text{Ext}_s(A)$ is the Brown–Douglas–Fillmore strong Ext group [1] – that is, the group of unitary equivalence classes of unital essential extensions of A by $K(H)$.

1.3. If A is a unital C^* -algebra and X is a compact finite dimensional metrizable space, then by the result of Pimsner, Popa, and Voiculescu [12] $\text{Ext}(A, C(X))$ is the group of homogeneous extensions $0 \rightarrow C(X) \otimes K(H) \rightarrow D \xrightarrow{\phi} A \rightarrow 0$ [12, Definition 1.7] divided by unitary equivalence.

1.4. To each extension $0 \rightarrow B \otimes K(H) \rightarrow D \xrightarrow{\phi} A \rightarrow 0$ one can associate a six-term exact sequence of abelian groups

$$K_1(B) \rightarrow K_1(D) \xrightarrow{\phi_*} K_1(A) \xrightarrow{\delta_1} K_0(B) \rightarrow K_0(D) \xrightarrow{\phi_*} K_0(A) \xrightarrow{\delta_0} K_1(B).$$

The pair (δ_0, δ_1) defines a homomorphism

$$\gamma: \text{Ext}(A, B) \rightarrow \text{Hom}(K_0(A), K_1(B)) \oplus \text{Hom}(K_1(A), K_0(B)).$$

J. Rosenberg and C. Schochet [13] showed that for a large class n of C^* -algebras, the homomorphism γ is onto; they established the following “universal coefficient” formula

$$\begin{aligned} 0 &\rightarrow \text{Ext}(K_0(A), K_0(B)) \oplus \text{Ext}(K_1(A), K_1(B)) \\ &\rightarrow \text{Ext}(A, B) \xrightarrow{\gamma} \text{Hom}(K_0(A), K_1(B)) \oplus \text{Hom}(K_1(A), K_0(B)) \rightarrow 0. \end{aligned}$$

1.5. We recall that a subgroup H of an abelian group G is said to be a pure subgroup if for every positive integer n and $h \in H$ the equation $nx = h$ is solvable in H whenever it has a solution in G [7, §23]. Equivalently $H \otimes \Gamma \xrightarrow{i \otimes id} G \otimes \Gamma$ is injective for every abelian group Γ , where $i: H \rightarrow G$ is the inclusion map. If H is a pure subgroup of G we say $0 \rightarrow H \rightarrow G \rightarrow G/H \rightarrow 0$ is a pure extension of G/H by H .

2. Some lemmas.

2.1. DEFINITION. We say that a nuclear C^* -algebra A has property P_ϵ if for each pair of C^* -algebras, $B \subseteq C$, and for every $*$ -monomorphism $i: A \rightarrow C$ the relation $d(i(A), B) < \epsilon$ implies that there exists a $*$ -isomorphism $\rho: A \rightarrow B$. If moreover $d(i(A), B) < 1/38$, we require that $\rho_*: K_*(A) \rightarrow K_*(B)$ is the closeness isomorphism given by proposition 2.4 of [9]. This closeness isomorphism is obtained by mapping a projection (or a unitary) in $M_n(A^+)$ to a nearby projection (or a unitary) in $M_n(B^+)$.

Commutative C^* -algebras, separable unital continuous trace C^* -algebras, and ideal C^* -algebras all have this property for suitable ϵ 's (cf. [3], [11]).

2.2. REMARK. We will use Lemma 1.2 and part of Lemma 2.6 of [10] in several places. Using the estimate of [9, Lemma 1.10] in the proof of these lemmas one obtains the following: If A and B are C^* -subalgebras of a C^* -algebra D such that $d(A, B) = k < 1/11$ and I is a closed ideal in A , then there exists a unique closed ideal in B such that $d(I, J) \leq \alpha(2k) + 3k$. Moreover, there are C^* -subalgebras A_0 and B_0 of a C^* -algebra D_0 , respectively $*$ -isomorphic to A/I and B/J , such that

$$d(A_0, B_0) \leq \frac{\alpha(2k)}{2} + k, \quad \text{where } \alpha(k) = 2 \sin \frac{\arcsin k}{2}.$$

We are going to use the function $\alpha: [0, 1] \rightarrow [0, \sqrt{2}]$ frequently in this paper.

2.3. LEMMA. *Let A and B be separable C^* -algebras in the class n (see 1.4) such that every pure extension (see 1.5) of $K_*(B)$ by $K_*(A)$ splits. Let $0 \rightarrow B \rightarrow D \xrightarrow{\phi} A \rightarrow 0$ be an extension such that for every nuclear C^* -algebra C the connecting maps of K -theory given by the extension $0 \rightarrow B \otimes C \rightarrow D \otimes C \xrightarrow{\phi \otimes id} A \otimes C \rightarrow 0$ are zero. Then (D, ϕ) defines the zero element of $\text{Ext}(A, B)$.*

Proof. By virtue of the universal coefficient formula (1.4) it suffices to show that

$$0 \rightarrow K_*(B) \rightarrow K_*(D) \xrightarrow{\phi_*} K_*(A) \rightarrow 0$$

splits. If this does not split the hypothesis of the Lemma implies that $K_*(B)$ is not a pure subgroup of $K_*(D)$ (see 1.5). This in turn implies that there exists a positive integer n such that $K_*(B) \otimes \mathbf{Z}_n \rightarrow K_*(D) \otimes \mathbf{Z}_n$ is not injective. This, together with the fact that $K_*(0_{n+1}) = \mathbf{Z}_n$ (cf. [6]) and the Künneth formula [14, Theorem 4.1], imply that $K_*(B \otimes 0_{n+1}) \rightarrow K_*(D \otimes 0_{n+1})$ is not injective (0_m denotes the Cuntz algebra [5]). But this last statement contradicts the hypothesis by letting $C = 0_{n+1}$, and the proof is complete. \square

2.4. LEMMA. *Let D and D' be C^* -subalgebras of a C^* -algebra C such that $d(D, D') = k < 1/100$. Suppose that $0 \rightarrow I \xrightarrow{j} D \xrightarrow{\phi} A \rightarrow 0$ is exact, where A and I are C^* -algebras having property P_{ϵ_1} and P_{ϵ_2} respectively. If $\alpha(2k)/2 + k < \epsilon_1$ and $\alpha(2k) + 3k < \epsilon_2$, then there exist $*$ -homomorphisms j' and ϕ' making $0 \rightarrow I \xrightarrow{j'} D' \xrightarrow{\phi'} A \rightarrow 0$ exact and the following diagram commutative.*

$$\begin{array}{ccccccccccc}
 K_1(I) & \rightarrow & K_1(D) & \xrightarrow{\phi_*} & K_1(A) & \xrightarrow{\delta_1} & K_0(I) & \rightarrow & K_0(D) & \xrightarrow{\phi_*} & K_0(A) & \xrightarrow{\delta_0} & K_1(I). \\
 \parallel & & \tau \downarrow & & \parallel & & \parallel & & \tau \downarrow & & \parallel & & \parallel \\
 K_1(I) & \rightarrow & K_1(D') & \xrightarrow{\phi'_*} & K_1(A) & \xrightarrow{\delta'_1} & K_0(I) & \rightarrow & K_0(D') & \xrightarrow{\phi'_*} & K_0(A) & \xrightarrow{\delta'_0} & K_1(I)
 \end{array}$$

Proof. With no loss of generality we may assume that I is contained in D as a closed ideal. Then since $d(D, D') = k$ by Remark 2.2 there exists a unique closed ideal I' in D' such that $d(I, I') \leq 3k + \alpha(2k)$. Also there are C^* -algebras D_0 and D'_0 $*$ -isomorphic to D/I and D'/I' such that $d(D_0, D'_0) < k + \alpha(2k)/2$. Now since I has property P_{ϵ_2} and $d(I, I') \leq 3k + \alpha(2k) < \epsilon_2$, there exists an $*$ -isomorphism $j': I \rightarrow I'$. Also since A has property P_{ϵ_1} and $A \cong D/I \cong D_0$ the relation $d(D_0, D'_0) \leq \alpha(2k)/2 + k < \epsilon_1$ implies that D_0 and D'_0 are $*$ -isomorphic. This and the fact that D_0 and D'_0 are respectively $*$ -isomorphic to D/I and D'/I' give a $*$ -isomorphism $\rho: D/I \rightarrow D'/I'$. Now define $\phi': D' \rightarrow A$ by $\phi'(d') = \phi(d)$ if $\rho(d+I) = d'+I'$. It is routine to check that ϕ' is well-defined and that it is a $*$ -homomorphism making $0 \rightarrow I \xrightarrow{j'} D' \xrightarrow{\phi'} A \rightarrow 0$ exact. Since $k < 1/100$ the commutativity of the diagram follows from [9, Theorem 2.11] and the fact that ρ and j' induce the isomorphism τ mentioned in 2.1.

2.5. LEMMA. *Let D, D', I, ϕ, ϕ' and k be as in 2.4. Moreover, assume that A and I belong to the class n (see 1.4).*

- (i) *If (D, ϕ) defines the zero element of $\text{Ext}(A, I)$, then so does (D', ϕ') .*
- (ii) *If $k < 1/200$, $\alpha(4k) + 6k < \epsilon_1$, $\alpha(4k)/2 + 2k < \epsilon_2$, and if A and D are unital and (D, ϕ) defines the zero element of $\text{Ext}_s(A, I)$, then so does (D', ϕ') .*

Proof. (i) Since (D, ϕ) is a trivial extension the connecting maps δ_0 and δ_1 are zero and the extension (D, ϕ) is given by the split short exact sequence $0 \rightarrow K_*(I) \rightarrow K_*(D) \xrightarrow{\phi_*} K_*(A) \rightarrow 0$. Now the commutativity of the diagram given in 2.4 obviously implies that δ'_0 and δ'_1 , the connecting maps given by the extension (D', ϕ') , are also zero and that $0 \rightarrow K_*(I) \rightarrow K_*(D') \xrightarrow{\phi'_*} K_*(A) \rightarrow 0$ splits. Now Rosenberg, Schochet's universal coefficient formula (see 1.4) shows that (D', ϕ') is trivial in $\text{Ext}(A, I)$.

(ii) We recall our comment in 1.2, that $\text{Ext}_s(A, I) \cong \text{Ext}(A_c, I \otimes C_0(\mathbf{R}))$. This isomorphism is given by the map that sends an extension $0 \rightarrow I \rightarrow E \xrightarrow{\psi} A \rightarrow 0$ to the extension $0 \rightarrow I \otimes C_0(0, 1) \rightarrow E_c \xrightarrow{\hat{\psi}} A_c \rightarrow 0$, where $(\hat{\psi}f)(t) = \psi(f(t))$ for every $f \in E_c$ and $t \in [0, 1]$ (see 1.2 for the notation). Now by [4, Theorem 3.4] $d(D_c, D'_c) \leq 2d(D, D')$ and we can apply the first part of the lemma to the extensions $(D_c, \hat{\phi})$ and $(D'_c, \hat{\phi}')$. This implies that $(D'_c, \hat{\phi}')$ is trivial in $\text{Ext}(A_c, I \otimes C_0(\mathbf{R}))$ which in turn shows that (D', ϕ') is trivial in $\text{Ext}_s(A, I)$ as desired. □

2.6. LEMMA. *Let D, D', I, A, ϕ, ϕ' and k be as in 2.5. Furthermore let $k < 1/2400$. If every pure extension of $K_*(I)$ by $K_*(A)$ splits, then*

- (i) *$(D, \phi) \sim (D', \phi')$ in $\text{Ext}(A, I)$; and*
- (ii) *when A and D are unital, then $(D, \phi) \sim_s (D', \phi')$ in $\text{Ext}_s(A, I)$.*

Proof. (i) Let x in $\text{Ext}(A, I)$ be the difference of the two extensions (D, ϕ) and (D', ϕ') . Since $d(D, D') = k$ by [4, Theorem 3.1], $d(D \otimes B, D' \otimes B) \leq 12k <$

$1/100$ for every nuclear C^* -algebra B . Therefore by [9, Theorem 2.11] the two extensions

$$0 \rightarrow I \otimes B \otimes K(H) \rightarrow D \otimes B \rightarrow A \otimes B \rightarrow 0 \quad \text{and}$$

$$0 \rightarrow I \otimes B \otimes K(H) \rightarrow D' \otimes B \rightarrow A \otimes B \rightarrow 0$$

have the same connecting maps δ_0, δ_1 . Using this and the universal coefficient formula (1.4) we deduce that the hypothesis of 2.3 holds for the extension x . Hence x is trivial which shows that $(D, \phi) \sim (D', \phi')$ in $\text{Ext}(A, I)$.

(ii) This follows simply by applying (i) to $(D_c, \hat{\phi})$ and $(D'_c, \hat{\phi}')$, noting that by [4, Theorem 3.2] $d(D_c, D'_c) \leq 2d(D, D')$, where $(D_c, \hat{\phi})$ and $(D'_c, \hat{\phi}')$ are described in the proof of Lemma 2.5 (ii). \square

2.7. LEMMA. *Let D, D' be C^* -subalgebras of a C^* -algebra C and let I, J be closed ideals in D and I', J' closed ideals in D' . Let $K = \{x \in D \mid xJ \subseteq I\}$ and $K' = \{x \in D' \mid xJ' \subseteq I'\}$. Then*

- (i) *If $I' \subseteq J'$ and $d(I, I') + d(J, J') < 1$, then $I \subseteq J$.*
- (ii) *$d(K, K') \leq 3d(D, D') + 2d(I, I') + 2d(J, J')$.*

Proof. Let $d(I, I') = \gamma$, $d(J, J') = \delta$ and $d(D, D') = k$. (i) Let $x \in I$, $\|x\| \leq 1$. Then there exists $x' \in I'$ such that $\|x'\| \leq 1$ and $\|x - x'\| \leq \gamma$. As $I' \subseteq J'$ there exists $y \in J$ with $\|x' - y\| \leq \delta$. Hence $\|x - y\| \leq \delta + \gamma$. Let $\pi: I \rightarrow D/J$ be the projection. We get $\|\pi\| \leq \gamma + \delta < 1$. This implies that $d(I \cap J, I) < 1$, which shows that $I \cap J = I$, that is, $I \subseteq J$.

(ii) Let $\epsilon > 0$. Let $x \in K$ with $\|x\| \leq 1$. Let $x' \in D'$ with $\|x - x'\| \leq k + \epsilon$, and $\|x'\| \leq 1$. Let $z' \in J'$, $\|z'\| \leq 1$ and choose $z \in J$ such that $\|z - z'\| \leq \delta$ and $\|z\| \leq 1$. Then

$$\|x'z' - xz\| \leq \|x'(z' - z)\| + \|(x' - x)z\| \leq k + \delta + \epsilon.$$

Now $xz \in I$. Hence there exists $y \in I'$ with $\|xz - y\| \leq \gamma$. We get $\|x'z' - y\| \leq k + \delta + \gamma + \epsilon$. Let $p: D' \rightarrow M(J'/I')$ be the natural map (given by $p(a)\bar{b} = \overline{ab}$, $a \in D'$, $b \in J'$, where \bar{b} denotes the class of b modulo I'). We have $\|p(x')\| \leq k + \delta + \gamma + \epsilon$. Hence there exists $x'' \in \ker p = K'$ with $\|x' - x''\| \leq k + \delta + \gamma + \epsilon$. Put $\hat{x} = x''/\sup(1, \|x''\|)$. We have $\|x' - \hat{x}\| \leq 2(k + \delta + \gamma + \epsilon)$. Hence $\|x - \hat{x}\| \leq 3k + 2\delta + 2\gamma + 3\epsilon$. By symmetry for every $y \in K'$, $\|y\| \leq 1$ we can find $\hat{y} \in K$ such that $\|\hat{y}\| \leq 1$ and $\|y - \hat{y}\| \leq 3k + 2\delta + 2\gamma + 3\epsilon$. Hence $d(K, K') \leq 3k + 2\delta + 2\gamma + 3\epsilon$. But ϵ was arbitrary and we must have $d(K, K') \leq 3k + 2\delta + 2\gamma$.

2.8. DEFINITION. An extension $0 \rightarrow I \rightarrow D \rightarrow A \rightarrow 0$ is said to be a homogeneous extension if for every closed ideal J in I the homomorphism $D/I \rightarrow M(I/J)$ is injective.

We note that if $I = C(X) \otimes K(H)$ this definition coincides with the definition of homogeneous X -extension given by Pimsner, Popa, and Voiculescu (cf. [12]).

2.9. LEMMA. *Let D and D' be C^* -subalgebras of a C^* -algebra C such that $d(D, D') = k < 1/11$. Let I be a closed ideal in D and I' the closed ideal in D' such that $d(I, I') \leq 3k + \alpha(2k)$ (see 2.2). Let $\phi: D \rightarrow D/I$ and $\phi': D' \rightarrow D'/I'$ be the quotient maps.*

- (i) *If I is an essential ideal in D and $9k + 2\alpha(2k) < 1$, then I' is an essential ideal in D .*

(ii) If the extension (D, ϕ) is homogeneous and $15k + 4\alpha(2k) < 1$, then (D', ϕ') is also homogeneous.

Proof. (i) If I is an essential ideal, then $\text{Ann}(I, D) = 0$. Now 2.7 (ii) implies that $d(\text{Ann}(I, D), \text{Ann}(I', D')) \leq 9k + 2\alpha(2k) < 1$. Hence $\text{Ann}(I', D') = 0$.

(ii) This also follows by applying 2.7 and definition 2.8.

3. Main results.

3.1. THEOREM. Let D be a C^* -subalgebra of a C^* -algebra C such that $0 \rightarrow K(H) \rightarrow D \xrightarrow{\phi} A \rightarrow 0$ is an essential extension. Let D' be a C^* -subalgebra of C and let $d(D, D') = k$. If A is commutative and $\alpha(4.2.299(3k + \alpha(2k))) < 1/200$ or A is separable unital with continuous trace and

$$3k + \alpha(2k) < (10^4.86.35.12)^{-2}(2.299)^{-1},$$

then $D = uD'u^*$ for a unitary operator u .

Proof. Since $d(D, D') = k < 1/11$ by 2.2 there exists a unique closed ideal I' in D' such that $d(I', K(H)) \leq 3k + \alpha(2k) = \delta$. As $\delta < 1/600$ by [3, Theorem 5.1] there exists a unitary operator v such that $K(H) = vI'v^*$ and $\|v - 1\| < 299\delta$. Now $d(D, vD'v^*) < 2.299\delta$ and replacing D' by $vD'v^*$ we may and will assume that $K(H) \subset D'$. Then $d(D/K(H), D'/K(H)) < 2.299\delta$ and $D/K(H) \cong A$. Hence there exists a $*$ -isomorphism $\rho: D/K(H) \rightarrow D'/K(H)$ such that $\|\rho - \text{id}_{D/K(H)}\| \leq 2.\alpha(4.2.299\delta) < 1/100$ when A is commutative [4, Theorem 5.3] and

$$\|\rho - \text{id}_{D/K(H)}\| < 100.86.35.12(2.299)^{1/2} < 1/100$$

in the case that A is unital separable with continuous trace [11, Theorem 4.22]. Let $0 \rightarrow K(H) \rightarrow D' \xrightarrow{\phi'} A \rightarrow 0$ be the extension obtained as described in 2.4 (here $I = I' = K(H)$) and let $\sigma, \sigma': A \rightarrow L(H)/K(H)$ be the $*$ -homomorphisms associated with (D, ϕ) and (D', ϕ') respectively. Then it is easy to verify that $\|\sigma - \sigma'\| = \|\rho - \text{id}_{D/K(H)}\|$. Choose an extension σ'' such that $\sigma \oplus \sigma''$ is unitarily trivial. Then since $\|\sigma \oplus \sigma'' - \sigma' \oplus \sigma''\| = \|\sigma - \sigma'\|$ we have

$$d(q^{-1}(\sigma \oplus \sigma''(A)), q^{-1}(\sigma' \oplus \sigma''(A))) < \|\rho - \text{id}_{D/K(H)}\| < \frac{1}{100}$$

($q: L(H) \rightarrow L(H)/K(H)$ is the quotient map). Now Lemma 2.5 (ii) when applied to the extensions $\sigma \oplus \sigma''$ and $\sigma' \oplus \sigma''$ implies that $\sigma' \oplus \sigma''$ is also unitarily trivial. This obviously means that σ and σ' belongs to the same class in $\text{Ext}_s(A, K(H))$, that is, $\sigma \approx \sigma'$. Now since (D, ϕ) is an essential extension it follows from 2.9 (i) that (D', ϕ') is also an essential extension. Hence $\sigma \approx \sigma'$ implies that $\sigma \approx \sigma'$ (see 1.2). This obviously shows that $D = uD'u^*$ as desired. \square

3.2. COROLLARY. Let D and D' be C^* -algebras acting on H and suppose that D is generated by the identity operator $K(H)$ and a countable family of essentially commutative, essentially normal operators. If $d(D, D') = k$ and $\alpha(4.2.299(3k + \alpha(2k))) < 1/200$, then $D = uD'u^*$ for some unitary operator u .

Proof. The assumption implies that D is an extension of $C(X)$ by $K(H)$ for some compact metrizable space X [1]. Now the corollary follows directly from 3.1.

It is desirable to iterate 3.1 as this would imply that property P_ϵ (see 2.1) is preserved under extension by $K(H)$ for a certain class of C^* -algebras. However, the argument given in 3.1 can not be repeated since the unitary u obtained there may not be close to 1. This problem (although not in full generality) is avoided in the following.

3.3. THEOREM. *Let D be a C^* -subalgebra of a C^* -algebra C such that $0 \rightarrow K(H) \rightarrow D \rightarrow A \rightarrow 0$ is an essential extension. Let D' be a C^* -subalgebra of C and let $d(D, D') = k$. Suppose that A is in the class n (see 1.4) and has property P_{ϵ_0} . If $\alpha(4k)/2 + 2k < \epsilon_0$ and $\alpha(4k) + 6k < 1/600$ and $K_0(A)$ is the direct sum of a torsion group with a free abelian group, then $D = uD'u^*$ for some unitary operator u .*

Proof. Since $K(H)$ has the property P_ϵ with $\epsilon = 1/600$ [4, Theorem 5.1], by using 2.4 we obtain a second essential extension $0 \rightarrow I \rightarrow D' \xrightarrow{\phi'} A \rightarrow 0$. Now the assumption on $K_0(A)$ implies that pure extensions of $Z = K_0(K(H))$ by $K_0(A)$ split. Hence by 2.6 (ii), $(D, \phi) \approx (D', \phi')$. Now the argument given at the end of the proof of 3.1 may be repeated to show that $(D, \phi) \approx_u (D', \phi')$, which implies $D = uD'u^*$. \square

Note that if $K_0(A)$ is finitely generated and $0 \rightarrow K(H) \rightarrow D \rightarrow A \rightarrow 0$ is an extension, then $K_0(D)$ is also finitely generated (hence the direct sum of a torsion group with a free abelian group), and Theorem 3.4 can be iterated in this case.

The following theorem applies to a more general situation.

3.4. THEOREM. *Let D be a unital C^* -subalgebra of a C^* -algebra C such that $0 \rightarrow C(X) \otimes K(H) \rightarrow D \xrightarrow{\phi} A \rightarrow 0$ is an homogeneous extension, for some unital C^* -algebra A which has property P_{ϵ_0} and belongs to the class n . Let D' be a C^* -subalgebra C and $d(D, D') = k$ with $\alpha(4k)/2 + 2k < \epsilon_0$ and $\alpha(4k) + 6k < 1/103$. If every pure extension of $K_*(X)$ by $K_*(A)$ splits, then $D = uD'u^*$ for some unitary operator u .*

Proof. First we note that by [11, Theorem 3.8] $C(X) \otimes K(H)$ has property P_ϵ for $\epsilon = 1/103$. Then let (D', ϕ') be the extension given by 2.4. Now usual arguments show that D' is unital and by construction (D', ϕ') is also a unital extension. Now by 2.6 (ii), $(D, \phi) \approx (D', \phi')$ and this would imply that $(D, \phi) \approx_u (D', \phi')$ if we show that (D', ϕ') is also a homogeneous extension (see 1.3). But this follows from 2.9 (ii) and the remark made after 2.8. This ends the proof of the theorem. \square

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