SURFACES IN MINKOWSKI 3-SPACE ON WHICH *H* AND *K* ARE LINEARLY RELATED

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1. Introduction. In this paper, we study surfaces in Minkowski 3-space M^3 on which mean curvature H and extrinsic curvature K satisfy a non-trivial linear relation $\alpha + \beta H + \gamma K \equiv 0$. Most results are based on formalisms developed in [3], which extend to the case of indefinite metric complex analytic techniques one might have expected to apply only in the Riemannian case.

On spacelike or timelike surfaces in M^3 with $\alpha + \beta H + \gamma K \equiv 0$ and $\beta^2 \neq 4\alpha\gamma$, we show the existence of a certain holomorphic quadratic differential associated with the geometry of the immersion. This allows the introduction of special coordinates, and identifies three different flat metrics, among them the exotic metric $\Gamma = \alpha I + \beta II + \gamma III$ studied by J. A. Wolf in [10]. That Γ is flat on similar surfaces in Euclidean 3-space E^3 was observed by Darboux in [2], a fact we learned recently from Wolf. The use of flat metrics here yields some information in-the-large about the surfaces in question.

There is a rich variety of surfaces in M^3 on which H or K is constant. (See [1], [4], [6] and [9] for examples.) Moreover, H and K are linearly related on any surface equidistant in M^3 from a surface on which H or K is constant. We show below that a spacelike or timelike surface in M^3 on which $\alpha + \beta H + \gamma K \equiv 0$ with $\beta^2 \neq 4\alpha\gamma$ is equidistant from at least one surface with H or K constant. In addition, we extend to M^3 the classical theorem of Bonnet (see [3]) which associates to a surface of constant $H \neq 0$ (resp. K > 0), an equidistant surface of constant K > 0 (resp. $H \neq 0$). This extension is known to geometers, but seems not to be in the literature.

We assume C^{∞} smoothness wherever possible. The symbols α , β , γ and c always denote constants.

2. Formal preliminaries. Suppose that S is an oriented surface, and that $A = E dx^2 + 2F dx dy + G dy^2$ and $B = L dx^2 + 2M dx dy + N dy^2$ are real quadratic forms with det $A \neq 0$. Compute the curvatures H = H(A, B), K = K(A, B) and H' = H'(A, B) by setting

$$2H = \operatorname{tr}_A B$$
, $K = \det B/\det A$, $2H' = \sqrt{H^2 - K}$

with iH' < 0 in case $H^2 < K$. Denote the intrinsic curvature of A by K(A). Wherever $H' \neq 0$, define the skew forms A' = A'(A, B) and B' = B'(A, B) by

$$H'A'=B-HA$$
, $H'B'=HB-KA$.

Anywhere on S, the form W = W(A, B) is given by

$$\sqrt{|\det A|} W = \begin{vmatrix} dy^2 - dx \, dy & dx^2 \\ E & F & G \\ L & M & N \end{vmatrix}.$$

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For a detailed discussion of A', B' and W, see §2 in [6].

We denote by R_Z the Riemann surface determined on S by a definite real quadratic form Z. Given any real quadratic form $Y = a dx^2 + 2b dx dy + c dy^2$ on S, the quadratic differential $\Omega = \Omega(Y, R_Z) = \phi dz^2$ is given by setting $\phi = (a - c - 2ib)$, using only R_Z conformal parameters z = x + iy, in terms of which $Z = \lambda(dx^2 + dy^2)$. In case ϕ is complex analytic for all conformal parameters on R_Z , Ω is holomorphic.

We call A, B a Codazzi pair and write Cod(A, B) if and only if B satisfies the classical Codazzi-Mainardi equations

(1)
$$L_{y}-M_{x}=L\Gamma_{12}^{1}+M(\Gamma_{12}^{2}-\Gamma_{11}^{1})-N\Gamma_{11}^{2}$$

$$M_{y}-N_{x}=L\Gamma_{22}^{1}+M(\Gamma_{22}^{2}-\Gamma_{12}^{1})-N\Gamma_{12}^{2},$$

where the Christoffel symbols Γ_{jk}^i are computed for A.

Our first observation takes its place among the facts listed in §3 of [6]. The pair \hat{A} , \hat{B} , in (2) is related to the pair A, B as the first two fundamental forms on an equidistant surface are related to those on a given surface in E^3 or M^3 . If $H^2 < K$ for A, B then (3) shows that det $\hat{A} \neq 0$ for all t. Thus the fact below associates to any Codazzi pair A, B for which $H^2 < K$ an infinite family of Codazzi pairs \hat{A} , \hat{B} for which $\hat{H}^2 < \hat{K}$.

FACT. If $1-2tH+Kt^2\neq 0$ for a real constant t, and if

(2)
$$\hat{A} = (1 - Kt^2)A + 2t(Ht - 1)B$$
$$\hat{B} = tKA + (1 - 2tH)B$$

then Cod(A, B) is equivalent to $Cod(\hat{A}, \hat{B})$.

Proof. Since A, B are achieved as \hat{A}, \hat{B} for the constant -t, it is enough to show that Cod(A, B) implies $Cod(\hat{A}, \hat{B})$. Curvatures computed for the pair \hat{A}, \hat{B} will be hatted. Here

(3)
$$\det \hat{A} = \det A (1 - 2Ht + t^{2}K)^{2}$$
$$K = \hat{K}(1 - 2Ht + t^{2}K)$$
$$H - tK = \hat{H}(1 - 2Ht + t^{2}K)$$
$$H' = \hat{H}'|1 - 2Ht + t^{2}K|,$$

so that when $1-2Ht+t^2K\neq 0$, det $A\cdot \det \hat{A}>0$ and $H'\hat{H}'$ is real.

Wherever $H^2 > K$, use coordinates doubly orthogonal for A, B and thus for \hat{A}, \hat{B} . Then Cod(A, B) is expressed by $L_y = E_y H$, $N_x = G_x H$, and computation yields $\hat{L}_y = \hat{E}_y \hat{H}$, $\hat{N}_x = \hat{G}_x \hat{H}$, giving $Cod(\hat{A}, \hat{B})$. Wherever $H^2 < K$, use R_W conformal parameters z = x + iy so that

$$A = \mathcal{E} dz^2 + \bar{\mathcal{E}} d\bar{z}^2$$
, $B = \mathcal{L} dz^2 + \bar{\mathcal{L}} d\bar{z}^2$

with similar expressions for \hat{A} and \hat{B} . Then Cod(A, B) is expressed by $\mathcal{L}_{\bar{z}} = \mathcal{E}_{\bar{z}} H$ with $2\partial/\partial z = \partial/\partial x + i\partial/\partial y$, and computation yields $\hat{\mathcal{L}}_{\bar{z}} = \hat{\mathcal{E}}_{\bar{z}} \hat{H}$, giving $Cod(\hat{A}, \hat{B})$.

Where $H^2 \equiv K$, either $B \propto A$ or else A and B share exactly one null direction. On any open, connected set where $B \propto A$, Fact 1 from [6] gives $B \equiv cA$, so Cod(A, B) and Cod(A', B') both hold trivially. On any open connected set where A and B share exactly one null direction, use coordinates so that $E \equiv G \equiv L \equiv 0$. Then Cod(A, B) is expressed by $FM_x = MF_x$, $F(M_y - N_x) = MF_y$, and computation gives $Cod(\hat{A}, \hat{B})$. A continuity argument completes the proof.

Suppose now that $\alpha + \beta H + \gamma K \equiv 0$ for A, B with $\alpha^2 + \beta^2 + \gamma^2 \neq 0$. If $\beta \gamma = 0$, either H or K is constant. These situations are explored in §4 of [6]. If $\beta \alpha \neq 0$ and $\beta^2 \equiv 4\alpha\gamma$, $H' \geqslant 0$ and at least one of the principal curvatures $H \pm H'$ is constant on S.

In Lemmas 1, 2 and 3, we work with the forms $X = \beta A + 2\gamma B$ and $X' = \beta A' + 2\gamma B'$, given any constants $\gamma \neq 0$ and β . Of course, X' is defined only where $H' \neq 0$ for A, B.

LEMMA 1. If Cod(A, B) then $\alpha + \beta H + \gamma K \equiv 0$ with $\beta^2 \neq 4\alpha\gamma$ and $\gamma \neq 0$ is equivalent to

- (i) $\Omega(A, R_X)$ holomorphic in case X is definite, to
- (ii) $\Omega(A', R_{X'})$ holomorphic in case X is indefinite with $H^2 > K$, and to
- (iii) $\Omega(H'X', R_W)$ holomorphic in case X is indefinite with $H^2 < K$.

Proof. For any constants $\gamma \neq 0$ and β , we compute $\tilde{H} = H(A, X)$, $\tilde{K} = K(A, X)$, $\tilde{H}' = H'(A, X)$, $\tilde{A}' = A'(A, X)$, $\tilde{X}' = X'(A, X)$ and $\tilde{W} = W(A, X)$, obtaining

(4)
$$\tilde{H} = \beta + 2\gamma H, \qquad \tilde{K} = \beta^2 + 4\gamma (\beta H + \gamma K), \qquad \tilde{H}' = 2|\gamma|H'$$

$$\tilde{A}' = \pm A', \qquad \tilde{X}' = X', \qquad \tilde{W} = 2\gamma W$$

where \pm is the sign of γ . Thus \tilde{K} is a constant $\neq 0$ if and only if there is a constant α with $\alpha + \beta H + \gamma K \equiv 0$ and $\beta^2 \neq 4\alpha\gamma$. Since β and γ are constants, Cod(A, B) and Cod(A, X) are equivalent. Thus Lemmas 5, 6 and 7 of [3] applied to the pair A, X give the result.

Overlooked above is the case in which $H^2 \equiv K$ with X indefinite. Then $\alpha + \beta H + \gamma K \equiv 0$ holds with $\beta^2 \neq 4\alpha\gamma$ if and only if both H and K are constants. However, even if Cod(A, B) holds, it need not follow that $B \propto A$, as the example

(5)
$$A = 2F dx dy, \quad B = 2F dx dy + dy^2$$

indicates. Indeed, one can even find F so that the intrinsic curvature K(A) in (5) takes on any constant value.

LEMMA 2. Suppose that Cod(A, B) and $\alpha + \beta H + \gamma K \equiv 0$ on S with $\gamma \neq 0$ and $\beta^2 \neq 4\alpha\gamma$. Then

(i) where X is definite and $H^2 \neq K$ there are local coordinates in terms of which

(6)
$$\pm 2\gamma H'A = \{\beta + 2\gamma (H + H')\} dx^2 + \{\beta + 2\gamma (H - H')\} dy^2$$

$$\pm 2\gamma H'X = (\beta^2 - 4\alpha\gamma)(dx^2 + dy^2),$$

(ii) where X is indefinite and A definite, there are local coordinates in terms of which

(7)
$$A = dx^{2} + dy^{2} + 2 \cos \omega \, dx \, dy$$
$$X = 2\sqrt{|\beta^{2} - 4\alpha\gamma|} \sin \omega \, dx \, dy,$$

(iii) where X and A are indefinite with $H^2 > K$ there are local coordinates in terms of which

(8)
$$A = \pm (dx^2 + dy^2) + 2 \cosh \omega \, dx \, dy$$
$$X = \pm \sqrt{|\beta^2 - 4\alpha\gamma|} \sinh \omega \, dx \, dy,$$

(iv) and where X and A are indefinite with $H^2 < K$ there are local coordinates in terms of which

(9)
$$A = \pm (dx^2 - dy^2) + 2 \sinh \omega \, dx \, dy$$
$$X = 2\sqrt{|\beta^2 - 4\alpha\gamma|} \cosh \omega \, dx \, dy.$$

Moreover, wherever $H' \neq 0$, the metrics H'X, H'X' and W are all flat.

Proof. Where a holomorphic quadratic differential $\Omega = \phi dz^2$ is non-zero on a Riemann surface R, there are local conformal parameters z = x + iy in terms of which ϕ is any fixed complex constant $\neq 0$. The coordinates in this lemma are obtained by taking $\pm \phi = 2$ or 2i for the holomorphic quadratic differential identified in Lemma 1. In terms of the coordinates provided, the forms H'X, H'X' and W all have constant coefficients.

The following result remains valid if the form X is replaced everywhere by the form X'.

LEMMA 3. Suppose Cod(A, B) and that X is a complete Riemannian metric with $\alpha + \beta H + \gamma K \equiv 0$ and $\beta^2 < 4\alpha\gamma$. Then H, K and H' are constant, giving $K(A) \equiv K(X) \equiv 0$, if either $K(X) \geqslant 0$ on S with H bounded or if $K(X) \leqslant 0$ on S.

Proof. By Lemma 2, H'X is a flat metric on S. Since $\tilde{K} = K(A, X) \equiv \beta^2 - 4\alpha\gamma < 0$ is constant, H' > 0 is bounded away from zero, as is $\tilde{H}' = H'/2|\gamma|$. Thus H'X is a complete flat metric on S, making R_X parabolic. Using the coordinates provided by Lemma 2, one checks that $\log H'$ is subharmonic where $K(X) \ge 0$ and superharmonic where $K(X) \le 0$. But a subharmonic (resp. superharmonic) function bounded from above (resp. below) must be constant. Once H' is constant, $\alpha + \beta H + \gamma K \equiv 0$ forces H and thereby K to be constant, and by (6), $K(A) \equiv K(X) \equiv 0$.

A similar result can be stated in case $\beta^2 > 4\alpha\gamma$ in Lemma 3. But then H' is not automatically bounded away from zero, and this must be assumed.

Lemmas 1, 2 and 3 have been stated for an arbitrary Codazzi pair A, B on S. They apply therefore if we take for A and B the first two fundamental forms I and II of an immersion $f: S \to \mathfrak{M}^3$ with det $I \neq 0$, taking S into an arbitrary 3-manifold \mathfrak{M}^3 of constant curvature. (See [5] and [7] or [8].) In §3, we restrict our

attention to the choice $\mathfrak{M}^3 = M^3$, although similar discussions are possible (at least locally) in any \mathfrak{M}^3 .

3. Surfaces in M^3 . Given the immersion $f: S \to M^3$, we speak of S as a surface in M^3 , and assume that $I = df \cdot df$ is nondegenerate, so that classical geometry can be done in the usual way. (See §6 in [6].) Then $\epsilon = \det I \neq 0$, with S called spacelike if $\epsilon > 0$, and timelike if $\epsilon < 0$.

The unit normal ν for S is the reflection in the horizontal of the vector product $(\partial f/\partial x) \times (\partial f/\partial y)$ divided by $\sqrt{|\epsilon|}$. By II and III we denote the second and third fundamental forms $-df \cdot d\nu$ and $d\nu \cdot d\nu$. The curvatures H, K and H' and the forms I', II' and W are computed for the pair I, II.

Suppose that $\alpha + \beta H + \gamma K \equiv 0$ on S, with $\gamma \neq 0$ and $\beta^2 \neq 4\alpha\gamma$. Set $X = \beta I + 2\gamma II$ and $X' = \beta I' + 2\gamma II'$. Then Lemma 1 states that $\Omega(I, R_X)$ is holomorphic where X is definite, that $\Omega(I', R_{X'})$ is holomorphic where X is indefinite with $H^2 > K$, and that $\Omega(H'X', R_W)$ is holomorphic where X is indefinite with $H^2 < K$. Thus the identity map from S with metric X to S with metric I is harmonic. This follows from Theorems 3 through 6 and Lemmas 11 and 16 in [6]. Moreover, Lemma 2 provides special local coordinates wherever $H' \neq 0$ on S, and since $\pm H'X' = \alpha I + \beta II + \gamma III$, it gives the following result.

THEOREM 1. If $\alpha + \beta H + \gamma K \equiv 0$ on an S in M^3 with $\gamma \neq 0$ and $\beta^2 \neq 4\alpha\gamma$, then the metrics $H'(\alpha I + 2\gamma II)$, $\alpha I + \beta II + \gamma III$ and W are flat wherever $H' \neq 0$.

The hyperbolic cylinders mentioned in Theorems 2 and 3 play the role in M^3 which the right circular cylinder does in E^3 , since each has $K \equiv 0$ and $H \equiv c$. We use coordinates u, v, w in M^3 .

THEOREM 2. Suppose $\beta I + 2\gamma II$ is a complete Riemannian metric on an S in M^3 with $\alpha + \beta H + \gamma K \equiv 0$, $\gamma \neq 0$ and $\beta^2 < 4\alpha\gamma$. Then S is (up to isometries of M^3) the timelike hyperbolic cylinder

$$u^2 - w^2 = 1/4c^2$$
, $u > 0$,

if $K(\beta I + 2\gamma II) \ge 0$ with H bounded, or if $K(\beta I + 2\gamma II) \le 0$.

Proof. Taking A = I and B = II, Lemma 3 shows that $K(I) \equiv 0$ with H, K and H' constant if $K(\beta I + 2\gamma II) \ge 0$ on S with H bounded, or if $K(\beta I + 2\gamma II) \le 0$ on S. Because $K(I, \beta I + \gamma II) = \beta^2 - 4\alpha\gamma < 0$ with $\det(\beta I + \gamma II) > 0$, S is timelike and $H' \ne 0$. Using the coordinates provided by Lemma 2, we see that I and II are the fundamental forms of the hyperbolic cylinder specified with H = c. The fundamental theorem for surfaces thus gives the result. (See [7] or [8].)

Similar reasoning gives the following.

THEOREM 3. Suppose $\beta I' + 2\gamma II'$ is a complete Riemannian metric on an S in M^3 with $\alpha + \beta H + \gamma K \equiv 0$, $\gamma \neq 0$ and $\beta^2 < 4\alpha\gamma$. Then S is (up to isometries of M^3) the spacelike hyperbolic cylinder

$$w^2 - u^2 = 1/4c^2$$
, $w > 0$,

if $K(\beta I' + 2\gamma II') \ge 0$ with H bounded, or if $K(\beta I' + 2\gamma II') \le 0$.

Theorems 1, 2 and 3 are similar to results obtained in [6] for surfaces with constant H, or constant $K \neq 0$. The discussion of equidistant surfaces which follows provides one explanation of that similarity.

For any real constant t, let $\hat{f} = \hat{f}(t) = f + t\nu$ give the surface \hat{S} at distance t from S. Because $\hat{\epsilon} = \det \hat{I} = (1 - 2Ht + Kt^2)^2 \epsilon$ for $\hat{I} = d\hat{f} \cdot d\hat{f}$, the surface \hat{S} is spacelike (resp. timelike) if S is spacelike (resp. timelike). Moreover, \hat{S} is sure to be regularly immersed wherever $1 - 2Ht + Kt^2 \neq 0$ on S, with $\nu = \hat{\nu}$ and

$$\hat{\mathbf{I}} = (1 - Kt^{2})\mathbf{I} + 2t(Ht - 1)\mathbf{I}\mathbf{I}$$

$$\hat{\mathbf{I}} = tK\mathbf{I} + (1 - 2Ht)\mathbf{I}\mathbf{I}$$
(10)
$$H - tK = (1 - 2tH + t^{2}K)\hat{H}$$

$$K = (1 - 2tH + t^{2}K)\hat{K}$$

$$H' = |1 - 2tH + t^{2}K|\hat{H}'.$$

Note that $\hat{\epsilon} \neq 0$ for all real t in case $H^2 < K$ on S. Since S is the surface at distance -t from \hat{S} , hatted and unhatted objects in (10) can be consistently reversed if t is replaced by -t. We consider S to be equidistant from itself, for t = 0.

If $\alpha + \beta H + \gamma K \equiv 0$ on S, then on \hat{S} , $\hat{\alpha} + \hat{\beta}\hat{H} + \hat{\gamma}\hat{K} \equiv 0$ with $\hat{\alpha} = \alpha$, $\hat{\beta} = (2t\alpha + \beta)$, $\hat{\gamma} = \alpha t^2 + \beta t + \gamma$ and $\hat{\beta}^2 - 4\hat{\alpha}\hat{\gamma} = \beta^2 - 4\alpha\gamma$. Thus, if H and K are linearly related on S, they remain so on any equidistant surface \hat{S} . Modulo restrictions which make $\hat{\epsilon} \neq 0$, Theorem 4 states that, among the surfaces equidistant from an S in E^3 or M^3 on which $\alpha + \beta H + \gamma K \equiv 0$ with $\beta^2 \neq 4\alpha\gamma$, there is at least one with constant \hat{H} or \hat{K} .

THEOREM 4. Suppose $\alpha + \beta H + \gamma K \equiv 0$ with $\beta \gamma \neq 0$ and $\beta^2 \neq 4\alpha \gamma$ on S in E^3 or M^3 . If $\alpha = 0$, the surface \hat{S} at distance $t = -\alpha/\beta$ has $\hat{H} \equiv 0$, with $\hat{\epsilon} \neq 0$ where $H \neq \beta/\gamma$. If $\alpha \neq 0$, the surface \hat{S} at distance $t = -\beta/2\alpha$ has constant \hat{K} , with $\hat{\epsilon} \neq 0$ where $K \neq 0$. If $\beta^2 - 4\alpha \gamma > 0$, the surface \hat{S} at either distance $t = (-\beta \pm \sqrt{\beta^2 - 4\alpha \gamma})/2\alpha$ has constant \hat{H} , with $\hat{\epsilon} \neq 0$ where

$$2\alpha H\sqrt{\beta^2-4\alpha\gamma}\neq K(\beta\sqrt{\beta^2-4\alpha\gamma}\mp 1).$$

The following theorem gives more precise information if either $H \neq 0$ or K > 0 is constant on S. In E^3 , the identical result is due to Bonnet. (See [1].)

THEOREM 5. Suppose S is a surface in M^3 . If $H \equiv c \neq 0$, the surface S at distance t = 1/2c has $\hat{K} \equiv 4c^2$ with $\hat{\epsilon} \neq 0$ where $K \neq 0$, while the surface \hat{S} at distance t = 1/c has $\hat{H} \equiv -c$ with $\hat{\epsilon} \neq 0$ where $K \neq c^2$. Similarly, if $K \equiv 4c^2 \neq 0$, the surface \hat{S} at distance $t = \pm 1/2c$ has $\hat{H} = \mp c$, with $\hat{\epsilon} \neq 0$ where $\pm H \neq 2c$.

Some cases deserve special attention. Suppose S is a surface in E^3 or M^3 . If $H \equiv 0$ on S, then $\hat{H} \equiv -t\hat{K}$ on \hat{S} , with $\hat{\epsilon} \neq 0$ where $K \neq 1/t^2$. Here \hat{H} or \hat{K} is constant if and only if \hat{H} , \hat{K} and K are all constant. If $K \equiv 0$ on S, then $\hat{K} \equiv 0$ on \hat{S} , with $\hat{\epsilon} \neq 0$ where $H \neq 1/2t$. Here \hat{H} is constant if and only if \hat{H} is constant. If $K \equiv c < 0$, there are no other equidistant surfaces with \hat{H} or \hat{K} constant unless \hat{H} is constant. Finally, if $\alpha + \beta H + \gamma K \equiv 0$ on \hat{S} with $\beta^2 - 4\alpha\gamma \neq 0$, then the same sort

of linear equation relates \hat{H} and \hat{K} on any \hat{S} , so that $\hat{H}' \ge 0$, and at least one principal curvature $\hat{H} \pm \hat{H}'$ is always constant.

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