

A CHARACTERIZATION OF  $S^m$  BY MEANS OF  
 TOPOLOGICAL GEOMETRIES

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In a recent paper in this Journal [1], the author characterized  $R^m$  as a topological space using the concept of a topological geometry. The purpose of the present paper is to present a similar characterization for the  $m$ -sphere  $S^m$ . The terminology and propositions referred to by number are those of [1].

*Theorem 1:* Let  $X$  and  $G$  form an  $m$ -arrangement,  $m \geq 2$ , and suppose  $X$  is second countable. Then if  $S = \{x_0, \dots, x_m\}$  is a linearly independent subset of  $X$  and  $T = \{p_0, \dots, p_m\}$  is any maximal linearly independent subset of  $R^m$  with the usual Euclidean geometry  $\bar{G}$ , then there is a homeomorphism  $d$  which maps  $C(S)$  onto  $C(T)$  and  $F^i C(S)$  onto  $F^i C(T)$ ,  $i = 0, \dots, m$ , such that  $d(G_{C(S)}) = \bar{G}_{C(T)}$ .

*Proof:* Set  $d(x_i) = p_i$ ,  $i = 0, \dots, m$ . Let  $S_1 = \bigcup_{i < j} \overline{x_i x_j}$ . By 3.27,  $d|S$  can be extended to  $d_1: S_1 \rightarrow K^1 C(T)$ , the 1-skeleton of  $C(T)$  such that  $d_1$  is a homeomorphism onto which carries  $\overline{x_i x_j}$  onto  $\overline{p_i p_j}$ . Set  $S_2 = \bigcup_{i < j < k} C(\{x_i, x_j, x_k\})$ . Define  $d_2: S_2 \rightarrow K^2 C(T)$ , the 2-skeleton of  $C(T)$  as follows: If  $C(\{x_i, x_j, x_k\}) \subseteq S_2$ ,  $d_2 = d_1$  on  $Bd C(\{x_i, x_j, x_k\})$ . Choose  $z \in \text{Int } C(\{x_i, x_j, x_k\})$ . Then  $f_1(x_i, z)$

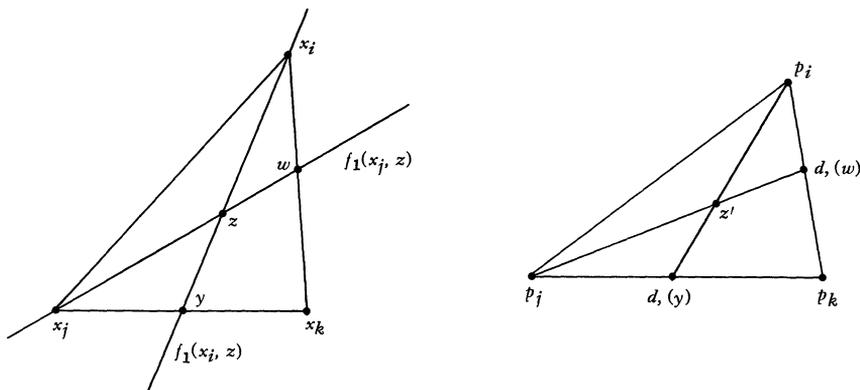


Fig. 1.

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$\cap \overline{x_i x_k} = \{y\}$ ,  $f_1(x_j, z) \cap \overline{x_i x_k} = \{w\}$ , and  $\overline{p_j d_1(w)} \cap \overline{p_i d_1(y)}$  contains a single point  $z'$ . Set  $z' = d_2(z)$ .

Set  $S_3 = i < j < k < q \in C(\{x_i, x_j, x_k, x_q\})$ . Define  $d_3: S_3 \rightarrow K^3 C(T)$ , the 3-skeleton of  $C(T)$ , as follows: If  $C(\{x_i, x_j, x_k, x_q\}) \subseteq S_3$ , let  $d_3 = d_2$  on  $\text{Bd } C(\{x_i, x_j, x_k, x_q\})$ . Choose  $z \in \text{Int } C(\{x_i, x_j, x_k, x_q\})$ .  $f_1(x_i, z)$  intersects  $F^i C(\{x_i, x_j, x_k, x_q\})$  in a single point  $y$ ;  $f_2(\{x_i, x_i, y\}) \cap f_2(\{x_i, x_k, y\}) = \overline{y x_i}$ , and  $f_i(x_q, z) \cap F^q C(\{x_i, x_j, x_k, x_q\}) = \{w\}$ . Then  $f_2(\{x_j, x_q, w\}) \cap f_2(\{x_i, x_j, y\}) \cap f_2(\{x_i, x_k, y\}) = \{z\}$ . Define  $\{d_3(z)\} = f_2(\{p_j, p_q, d_2(w)\}) \cap f_2(\{p_i, p_j, d_2(y)\}) \cap f_2(\{p_i, p_k, d_2(y)\})$ . This process can be continued until we obtain  $d_m = d: C(S) \rightarrow C(T)$ .

By the manner in which they were defined, each  $d_i, i = 1, \dots, m$ , is 1-1, onto, has the property that  $d_i(G_{S_i}) = G_{K^i C(T)}$  and is a homeomorphism. The proof of this latter fact is quite analogous to several of the proofs in chapter V of [1].

*Definition 1:* Let  $X$  have geometry  $G$ . By a triangulation of  $X$  (with respect to  $G$ ) we mean a collection  $K$  of simplices  $\{C_\nu\}, \nu \in N$ , of  $X$  such that i)  $\bigcup_N C_\nu = X$ ; ii) if  $C_\nu$  and  $C'_\nu$  are arbitrary elements of  $K$ , then  $C_\nu \cap C'_\nu$  is a simplex; and iii) if  $C_\nu, C'_\nu \in K$ , then  $C_\nu \subseteq C'_\nu$  implies  $C_\nu = C'_\nu$ .

*Definition 2:* A space  $X$  with geometry  $G$  of length  $m-1$  is called a spherical  $m$ -arrangement if:

- 1) Each 0-flat consists of precisely two points. If  $x$  and  $y$  are distinct points of the same 0-flat, we say they are antipodal.
- 2)  $G$  is semi-projective.
- 3) Every linearly independent subset of  $X$  has a convex hull.
- 4) If  $W$  is any convex subspace of  $X$ , then  $W$  with geometry  $G_W$  is a  $(\delta(W)+1)$ -arrangement.
- 5) If  $f$  is a  $k$ -flat contained in a  $k+1$ -flat  $g$ , the  $f$  disconnects  $g$  into two convex components.

Unless specifying otherwise, all further statements will refer to a space  $X$  with geometry  $G$  such that  $X$  and  $G$  form a spherical  $m$ -arrangement,  $m \geq 1$ .

*Lemma 1:*  $X$  is connected.

*Proof:* Suppose  $X = A \cup B, A \cap B = \emptyset, A, B$  non-empty open subsets of  $X$ . Either  $A$  or  $B$  (or both) contains infinitely many points; assume  $\text{card } A \geq \aleph_0$ . Choose  $x \in B$  and  $y \in A - f_0(x)$ . Then  $\overline{xy}$  exists by definition 2, 3), is connected and contains both  $x$  and  $y$ , hence  $x$  and  $y$  are in the same component of  $X$ , a contradiction.

*Lemma 2:* If  $\{x, y\}$  is linearly independent, then  $\overline{xy} \subseteq f_1(x, y)$ .

*Proof:*  $\overline{xy} \cap f_1(x, y)$  is a convex set (2.3) which contains  $x$  and  $y$ , hence  $\overline{xy} \cap f_1(x, y) \supseteq \overline{xy}$ , therefore  $\overline{xy} \cap f_1(x, y) = \overline{xy}$ .

*Lemma 3:* A subset  $W$  of  $X$  is convex iff i)  $W$  contains no antipodal points, and ii)  $\{x, y\} \subseteq W$  implies  $\overline{xy} \subseteq W$ .

*Proof:* The intersection of  $W$  with any 0-flat is connected if  $W$  is convex,

or if i) holds. Suppose  $f$  is any 1-flat and ii) holds. Then if  $\{x, y\} \subseteq f \cap W$ ,  $\overline{xy} \subseteq f \cap W$  (lemma 2), hence  $x$  and  $y$  are in the same component of  $f \cap W$ , therefore  $f \cap W$  is connected. If  $W$  is convex, then by definition 2, 4),  $\overline{xy} \subseteq W$ . This suffices by 2.1.2.

*Lemma 4:  $G$  is a topological geometry.*

*Proof:* If  $\{W_\lambda\}, \lambda \in \Lambda$ , is a family of convex sets and  $\{x, y\} \subseteq W_\lambda$  for each  $\lambda$ , then  $\overline{xy} \subseteq W_\lambda$  for each  $\lambda$ , hence since  $\bigcap_\lambda W_\lambda \subseteq W_\lambda$  for each  $\lambda$ , by lemma 3  $\bigcap_\lambda W_\lambda$  is convex.

$\wedge$  If  $f$  is an  $m-1$ -flat, then  $f$  is closed since  $X-f$  is open. Suppose we have shown that all flats of dimension greater than  $k$  are closed and suppose  $f$  is a  $k$ -flat,  $0 \leq k \leq m-1$ . Let  $g$  be any  $k+1$ -flat which contains  $f$ . Since  $g-f$  is open in  $g$ ,  $f$  is closed in  $g$ , a closed set, hence  $f$  is closed.  $\phi$  is always closed.

*Lemma 5: If  $m = 1$  and  $X$  is second countable, then  $X$  is homeomorphic to  $S^1$ .*

*Proof:* Let  $f = \{x_0, x_1\}$  be an arbitrary 0-flat in  $X$  and  $A$  and  $B$  be the open convex components of  $X-f$ .

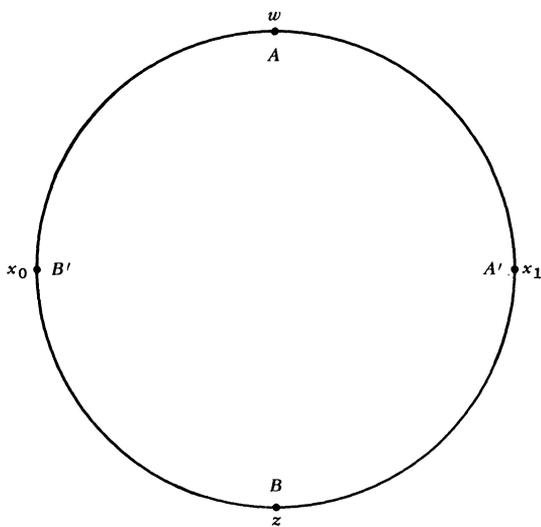


Fig. 2.

convex components of  $X-f$ . Since  $A$  is closed in  $X-f$ , but not in  $X$  (or lemma 1 would be contradicted), we may suppose  $x_0 \in ClA$ . Suppose  $x_1 \notin ClA$ . Let  $g = \{w, z\} \neq f$  be some 0-flat in  $X$  and  $A'$  and  $B'$  be the open convex components into which  $g$  disconnects  $X$ ; we may suppose  $x_1 \in A'$ . Now  $x_1 \in ClB$ , for if  $x_1 \notin ClB$ , then  $\{x_1\}$  is both open and closed in  $X$ , contradicting lemma 1. But then  $B \subseteq B \cup \{x_1\} \subseteq ClB$ , therefore  $B \cup \{x_1\}$  is connected, hence is

convex. Thus, using lemma 4, we see that  $A'$  splits into components  $A' \cap (B \cup \{x_1\})$  and  $A' \cap A$ , hence  $A'$  could not be convex. We have thus shown that  $ClA = A \cup \{x_0, x_1\}$ ; a similar argument shows  $ClB = B \cup \{x_0, x_1\}$ . A simple argument shows that  $ClA$  and  $ClB$  are both irreducibly connected between  $x_0$  and  $x_1$ . Applying theorem 11.17 of Wilder [2], chapter I, we see that  $X$  is homeomorphic to  $S^1$ .

*Lemma 6: If  $f$  is a  $k$ -flat, then  $f$  with the subspace topology and geometry  $G_f$  forms a spherical  $k$ -arrangement.*

*Proof:* The only part of definition 2 which is not clearly applicable is 3). We must show that if  $S = \{x_0, \dots, x_i\}$  is a linearly independent subset of  $f$ ,

then  $C(S) \subseteq f$ : If  $i = 1$ , then the lemma follows from lemma 2 since  $f_1(x_0, x_1) \subseteq f$ . Suppose lemma 6 is true for  $i - 1 \geq 1$ . Then  $C(S - \{x_0\}) \subseteq f$ . But then by definition 2, 4) and lemma 2,  $\bigcup_{x \in C(S - \{x_0\})} \overline{x_0 x} = C(S) \subseteq f$ .

*Theorem 2: If  $X$  is second countable, then  $X$  is homeomorphic to  $S^m$ .*

*Proof:* Lemma 5 proves this theorem for  $m = 1$ . Assume theorem 2 has been proved for all spherical  $k$ -arrangements,  $1 \leq k \leq m - 1$ , and suppose  $X$  and  $G$  form a spherical  $m$ -arrangement. Let  $S = \{x_0, \dots, x_m\}$  be a maximal linearly independent subset of  $X$  and  $\{y_0, \dots, y_m\}$  be the set of points such that  $y_i$  is antipodal to  $x_i, i = 0, \dots, m$ . Set  $S_i = S - \{x_i\}$ . Each  $f_{m-1}(S_i)$  disconnects  $X$  into convex open components  $A_i$  and  $B_i$ ; we say suppose that  $x_i \in A_i$  for each  $i$ . We first prove

*Lemma 7:  $f_{m-1}(S_i) = \text{Fr } A_i = \text{Fr } B_i$ .*

*Proof:* If  $m = 1$ , then the lemma has already been proved during the proof of lemma 5. Suppose lemma 7 is true for  $m - 1 \geq 1$ . Let  $w \in f_{m-1}(S_i)$  and let  $g$  be any  $m - 1$ -flat distinct from  $f_{m-1}(S_i)$  which contains  $w$ . Then since  $f_{m-1}(S_i) \cap g$  disconnects  $f_{m-1}(S_i)$ , each neighborhood  $U$  of  $w$  intersects both components, hence  $w$  is both in  $\text{Fr } A_i$  and  $\text{Fr } B_i$ , hence  $f_{m-1}(S_i) \subseteq \text{Fr } A_i$  and  $f_{m-1}(S_i) \subseteq \text{Fr } B_i$ . However, since  $X - f_{m-1}(S_i) = A_i \cup B_i$  and  $A_i$  and  $B_i$  are both open, the inclusions also go the other way and  $f_{m-1}(S_i) = \text{Fr } A_i = \text{Fr } B_i$ .

*Lemma 9:  $\bigcap_{i=0}^m \text{Cl } A_i = C(S)$ .*

*Proof:*  $\bigcap_{i=0}^m \text{Cl } A_i = \bigcap_{i=0}^m (A_i \cup f_{m-1}(S_i)) = \bigcup \{Y_0 \cap \dots \cap Y_m \mid Y_i = f_{m-1}(S_i) \text{ or } Y_i = A_i\}$ .

Since  $G$  is semi-projective,  $\bigcap_{i=0}^m f_{m-1}(S_i) = \emptyset$ . Suppose  $\{x, y\} \subseteq \bigcap_{i=0}^m \text{Cl } A_i$  with  $x$  and  $y$  antipodal. We may suppose that  $x \in A_{i_1} \cap A_{i_2} \cap \dots \cap A_{i_q} \cap f_{m-1}(S_{j_1}) \cap \dots \cap f_{m-1}(S_{j_p})$  and  $y \in A_{k_1} \cap \dots \cap A_{k_s} \cap f_{m-1}(S_{r_1}) \cap \dots \cap f_{m-1}(S_{r_t})$ . Since all the  $A_i, i = 0, \dots, m$ , are convex, no  $A_i$  which contains  $x$  can also contain  $y$ . Therefore in the sets above containing  $x$  and  $y$  all  $f_{m-1}(S_j), j = 0, \dots, l$  are represented, and since  $y$  is contained in every  $m - 1$ -flat which contains  $x$ , it follows that  $\{x, y\} \subseteq \bigcap_{j=0}^m f_{m-1}(S_j)$ , a contradiction to the fact that this intersection must be empty.

Suppose  $\{x, y\} \subseteq \bigcap_{i=0}^m \text{Cl } A_i$ .  $f_1(x, y) \cap \bigcap_{i=0}^m A_i$  is convex (lemma 4 and 2.3), hence is connected, therefore  $(f_1(x, y) \cap \bigcap_{i=0}^m A_i) \cup \{x, y\} \subseteq \text{Cl}(f_1(x, y) \cap \bigcap_{i=0}^m A_i) = f_1(x, y) \cap \bigcap_{i=0}^m \text{Cl } A_i$  is connected. Since  $x$  and  $y$  cannot be antipodal  $(f_1(x, y) \cap \bigcap_{i=0}^m A_i) \cup \{x, y\}$  is convex and therefore contains  $\overline{xy}$ , hence  $\overline{xy} \subseteq \bigcap_{i=0}^m \text{Cl } A_i$ . By lemma 3 then  $\bigcap_{i=0}^m \text{Cl } A_i$  is convex, therefore  $C(S) \subseteq \bigcap_{i=0}^m \text{Cl } A_i$ .

A straightforward argument and the induction hypothesis of theorem 2 show that  $C(S_i) = \bigcup \{Y_0 \cap \dots \cap Y_m \mid Y_j = f_{m-1}(S_j) \text{ or } Y_j = A_j, j \neq i; Y_i = f_{m-1}(S_i)\}$ ,  $i = 0, \dots, m$ , and for  $i \neq k, C(S_i \cap S_k) = \bigcup \{Y_0 \cap \dots \cap Y_m \mid Y_j = f_{m-1}(S_j) \text{ or } Y_j = A_j, j \neq i, k; Y_i = f_{m-1}(S_i) \text{ and } Y_k = f_{m-1}(S_k)\}$ .

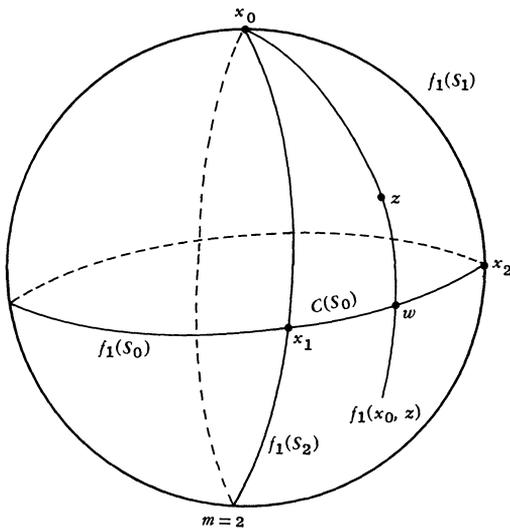


Fig. 3.

Suppose  $z \in \left( \bigcap_{i=0}^m C_1 A_i \right) - C(S_0)$ . Then if  $z \in \bigcap_{i=0}^m A_i$ ,  $f_1(x_0, z)$  must intersect  $C(S_0)$  in an interior point  $w$ ; for if not, then it must intersect some  $f_{m-1}(S_j)$ ,  $j \neq 0$ , in a point other than  $x_0$  or  $y_0$ , which would imply  $f_1(x_0, z) \subseteq f_{m-1}(S_j)$ , or  $z \notin \bigcap_{i=0}^m A_i$ . In this case then  $z \in \overline{x_0 w}$ . If  $z \in Y_0 \cap \dots \cap Y_m$  where  $Y_i = f_{m-1}(S_i)$ , then there is  $w \in C(S_i \cap S_0)$  such that  $z \in \overline{x_0 w}$ . Thus by definition 2, 4) and 3.6,

$$C(S) = \bigcap_{i=0}^m C_1 A_i.$$

A similar argument can be used to show that if  $D \cup E = \{0, \dots, m\}$  and  $D \cap E = \emptyset$ , then  $\bigcap_{i \in D} C_1 A_i \bigcap_{i \in E} C_1 B_i = C(\{x_i\}_{i \in E} \cup \{y_j\}_{j \in D})$ .

It is easy to see that this procedure gives a triangulation of  $X$ , and if applied to  $S^m$ , it will also give a triangulation of  $S^m$  (with respect to the usual "spherical" geometry on  $S^m$ ). It should be noted that considering  $S^m = \{(w_1, \dots, w_{m+1}) \in \mathbb{R}^{m+1} \mid w_1^2 + \dots + w_{m+1}^2 = 1\}$ , then the  $i$ -flats of  $S^m$  are the intersections of  $S^m$  with the  $i+1$ -dimensional vector subspaces of  $\mathbb{R}^{m+1}$ ; the geometry on  $S^m$  thus obtained is semi-projective because the lattice of vector subspaces of  $\mathbb{R}^{m+1}$  is modular. The triangulation of  $S^m$  contains exactly as many  $m$ -simplices related in precisely the same manner as in  $X$ . Using theorem 1, we can find a homeomorphism between  $X$  and  $S^m$  by defining the homeomorphism one simplex at a time. Using the techniques of theorem 1 we can insure the necessary matching on the boundaries of the simplices in the triangulation.

REFERENCES

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