

# MODELS OF $\text{Th}(\langle\omega^\omega, <\rangle)$

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In this paper\* we characterize the models of  $\text{Th}(\langle\omega^\omega, <\rangle)$ . Our main tool will be the game-theoretic characterization of elementary equivalence given by Ehrenfeucht in [2] (cf. also Fraïssé [3]). In particular our work may be viewed as a generalization of Theorem 13 in [2] which gives a characterization of the standard, i.e., well-ordered, models of  $\text{Th}(\langle\omega^\omega, <\rangle)$ .

The main result, Theorem 3 of section 2, is that a model of  $\text{Th}(\langle\omega^\omega, <\rangle)$  consists of an ultrashort model of  $\text{Th}(\langle\omega^\omega, <\rangle)$  followed by at each point of an arbitrary linear order ultrashort models of  $\text{Th}(\langle\omega^\omega, <\rangle)$  or of  $\text{Th}(\langle\omega^n + \omega^{n-1} + \dots + \omega + 1 + \omega^\omega, <\rangle)$ , where by an ultrashort model is meant one such that for any two points  $x, y$  there is an upper bound on  $n$  such that if  $z$  is between  $x$  and  $y$ ,  $z$  may be a  $\lim_n$ . In Theorems 1 and 2 of section 2 we characterize ultrashort models of these two theories in terms of models of  $\text{Th}(\langle\omega^n, <\rangle)$ . In section 1 we characterize models of  $\text{Th}(\langle\omega^n, <\rangle)$ . In section 3 we discuss short models, namely models having no elements which are  $\lim_n$  for every  $n$ . In section 4 we briefly discuss how the techniques of section 2 can be used to classify the completions of the theory of well-ordering and the element types of  $\text{Th}(\langle\omega^\omega, <\rangle)$ .

We will assume the reader is familiar with the results and techniques in Ehrenfeucht [2]. In particular we will freely use these without further reference or mention. Several lemmas, in particular Lemmas 6, 7, 8 essentially appear in [4]. We include them for completeness and self-containment.

Our notation in general will follow that suggested in Addison, Henkin and Tarski [1]. The games  $G_n$  are as denoted in Ehrenfeucht [2]. We now briefly indicate our notation for linearly ordered sets:

Ordinals will be denoted as usual.

Usually if it is clear specific mention of the linear order of a linearly ordered set will be omitted.

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If  $A, B$  are linearly ordered by  $<_A, <_B$  respectively, then  $A + B$  denotes  $A \cup B$  linearly ordered by  $<_{A+B}$  where  $x <_{A+B} y \leftrightarrow (x \in A \wedge y \in B) \vee (x, y \in A \wedge x <_A y) \vee (x, y \in B \wedge x <_B y)$ . (We assume  $A, B$  are disjoint. Otherwise, they should first be made disjoint. Henceforth we will assume as needed that sets are disjoint).

More generally if  $A$  is linearly ordered by  $<_A$ , and if for each  $a \in A$ ,  $A_a$  is linearly ordered by  $<_a$ , then  $\sum_{a \in A} A_a$  denotes  $\bigcup_{a \in A} A_a$  linearly ordered by  $<_{\sum A_a}$  where  $x <_{\sum A_a} y \leftrightarrow (x \in A_a \wedge y \in A_b \wedge a <_A b) \vee (x, y \in A_a \wedge x <_a y)$ .

If  $A, B$  are linearly ordered by  $<_A, <_B$  respectively, then  $A \times B$  denotes  $\sum_{x \in B} A$ .

If  $A$  is linearly ordered by  $<_A$ , then  $A^*$  denotes  $A$  linearly ordered by  $<_{A^*}$  where  $x <_{A^*} y$  if  $y <_A x$ .

$$\begin{aligned}\sum_{a \in A}^* A_a &= \sum_{a \in A^*} A_a. \\ \omega^{\omega^*} &= \sum_{n \in \omega}^* \omega^n. \\ \omega^{\omega^* + \omega} &= \omega^{\omega^*} + \omega^\omega\end{aligned}$$

If  $A$  is a linearly ordered set,  $a, b \in A$ , then

$$\begin{aligned}[a, b] &= b - a = \{x \in A \mid a \leq x < b\} \\ [0, b] &= b = \{x \in A \mid x < b\} \\ [a, \infty) &= A - a = \{x \in A \mid a \leq x\}.\end{aligned}$$

$(a, b)$ , etc. are denoted similarly.

If  $a, b \in A, B$ , then we write  $[a, b)^A, [a, b)^B$ , etc. to distinguish these intervals in  $A$  and  $B$ .

$\mathfrak{n}$  = class of all discrete linear ordered sets with first and last elements. We identify order isomorphic elements.

$$\mathfrak{n}_0 = \mathfrak{n} \cup \{\emptyset\}.$$

$\mathfrak{n}_0$  is partially ordered by  $<$  given by  $A < B$  iff  $(\exists f) (f: A \rightarrow B \text{ 1-1 order isomorphically onto an initial segment of } B)$ . So  $\omega$  is an initial segment of  $\mathfrak{n}_0$ .

If  $\varphi$  is any sentence in the first order language for  $<$  and  $\psi(x_0)$  is a formula (perhaps with parameters) then  $\varphi^{\psi(x_0)}$  is  $\varphi$  relativized to  $\psi(x_0)$ .

Definition:  $\lim_0(x) =_{df} (x = x)$

$$\lim_{m+1}(x) =_{df} (\forall y)(y < x \rightarrow (\exists z)(y < z < x \wedge \lim_m(z)))$$

$$x = 0 =_{df} \neg(\exists y)(y < x)$$

$$\mathfrak{t} =_{df} \{\lim_n(x_0) \mid n \in \omega\}$$

$$\tilde{\mathfrak{t}} =_{df} \mathfrak{t} \cup \{x_0 \neq 0\}$$

1 Models of  $\text{Th}(\langle \omega^n, < \rangle)$ . As is well-known:

**Proposition 1.**  $\langle \eta, < \rangle \models \text{Th}(\langle \omega, < \rangle)$  iff  $\exists \langle r, < \rangle$  a linearly ordered set (possibly empty) such that  $\eta = \omega + (*\omega + \omega) \cdot r$ .

*Proof:* Omitted.

**Proposition 2.**  $\langle \eta, < \rangle \models \text{Th}(\langle \omega^{n+1}, < \rangle)$  iff  $\exists \langle \mu, < \rangle \models \text{Th}(\langle \omega, < \rangle)$ ,  $\forall \alpha \in \mu$ ,  $\exists \langle \mu_\alpha, < \rangle \models \text{Th}(\langle \omega^n, < \rangle)$  such that  $\eta = \sum_{\alpha \in \mu} \mu_\alpha$ .

*Proof:* Assume  $\langle \eta, \langle \rangle \models \text{Th}(\langle \omega^{n+1}, \langle \rangle)$ .

Now 1)  $\langle \omega^{n+1}, \langle \rangle \models \varphi^{\lim_n(x_0)}$  for each  $\varphi \in \text{Th}(\langle \omega, \langle \rangle)$ ,

2)  $\langle \omega^{n+1}, \langle \rangle \models \forall x \forall y ((\lim_n(x) \wedge \lim_n(y) \wedge x < y \wedge (\forall z)(x < z < y \rightarrow \neg \lim_n(z))) \rightarrow \varphi^{x \leq x_0 < y})$  for each  $\varphi \in \text{Th}(\langle \omega^n, \langle \rangle)$ ,

and 3)  $\langle \omega^{n+1}, \langle \rangle \models \forall x \exists y (y \leq x \wedge \lim_n(y) \wedge \neg(\exists z)(y < z \leq x \wedge \lim_n(z)))$ .

So  $\langle \eta, \langle \rangle \models$  the sentences in 1), 2), 3). Let

$$\mu = \{\alpha \in \eta \mid \langle \eta, \langle \rangle \models \lim_n(x_0) [\alpha]\}.$$

And for each  $\alpha \in \mu$ , let

$$\mu_\alpha = \{\beta \in \eta \mid \alpha \leq \beta \wedge \langle \eta, \langle \rangle \models \neg(\exists z)(x_0 < z \leq x_1 \wedge \lim_n(z)) [\alpha, \beta]\}.$$

Then by 1),  $\langle \mu, \langle \rangle \models \text{Th}(\langle \omega, \langle \rangle)$  and by 2),  $\langle \mu_\alpha, \langle \rangle \models \text{Th}(\langle \omega^n, \langle \rangle)$  and clearly  $\eta = \sum_{\alpha \in \mu} \mu_\alpha$  by 3).

Conversely, assume the conclusion. So player II has a winning strategy in  $\mathbf{G}_m(\langle \omega, \langle \rangle, \langle \mu, \langle \rangle)$  and in  $\mathbf{G}_m(\langle \omega^m, \langle \rangle, \langle \mu_\alpha, \langle \rangle)$ ,  $\forall \alpha \in \mu$ , for every  $m \geq 0$ .

We give a winning strategy for II in  $\mathbf{G}_m(\langle \omega^{n+1}, \langle \rangle, \langle \eta, \langle \rangle)$ . Given a move of I, II chooses which ' $\omega^n$ ' segment of model to use by winning strategy in the first game and then which point in it to use by winning strategy in the appropriate latter game.

## 2 Main Theorems:

**Definition:** A model of  $\text{Th}(\langle \omega^\omega, \langle \rangle)$  or of  $\text{Th}(\langle \omega^{\omega^*+\omega}, \langle \rangle)$  which omits  $\bar{\mathbf{t}}$  is called a *short* model.

If  $\langle \eta, \langle \rangle \models \text{Th}(\langle \omega^\omega, \langle \rangle)$  or  $\models \text{Th}(\langle \omega^{\omega^*+\omega}, \langle \rangle)$ , it is called *ultrashort* if  $\forall x, y \in \eta, (x < y \rightarrow (\exists n)(\forall z)(x < z \leq y \rightarrow \neg \lim_n(z)))$ .

Clearly any ultrashort model is short.

**Theorem 1.**  $\langle \eta, \langle \rangle$  is an ultrashort model of  $\text{Th}(\langle \omega^\omega, \langle \rangle)$  iff  $\exists$  for each  $n \in \omega$  a model  $\langle \eta_n, \langle \rangle \models \text{Th}(\langle \omega^n, \langle \rangle)$  such that  $\eta = \sum_{n \in \omega} \eta_n$ .

**Theorem 2.**  $\langle \eta, \langle \rangle$  is an ultrashort model of  $\text{Th}(\langle \omega^{\omega^*+\omega}, \langle \rangle)$  iff

- $\exists$  1) for each  $n \in \omega$  a  $\mu_n \in \mathbf{n}_0$  such that infinitely many  $\mu_n \neq 0$ ,
- 2) for each  $y \in \mu_n$  a model  $\langle \eta_{n,y}, \langle \rangle \models \text{Th}(\langle \omega^n, \langle \rangle)$ ,
- 3) a  $\eta'$  an ultrashort model of  $\text{Th}(\langle \omega^\omega, \langle \rangle)$  such that  $\eta = \sum_{n \in \omega}^* \sum_{y \in \mu_n} \eta_{n,y} + \eta'$ .

**Theorem 3.**  $\langle \eta, \langle \rangle$  is a model of  $\text{Th}(\langle \omega^\omega, \langle \rangle)$  iff

- $\exists$  1) linearly ordered set  $\mu$  (possibly empty),
- 2) for each  $y \in \mu$ , an ultrashort model  $\langle \eta_y, \langle \rangle$  of  $\text{Th}(\langle \omega^\omega, \langle \rangle)$  or of  $\text{Th}(\langle \omega^{\omega^*+\omega}, \langle \rangle)$ ,
- 3) an ultrashort model  $\langle \eta', \langle \rangle$  of  $\text{Th}(\langle \omega^\omega, \langle \rangle)$  such that  $\eta = \eta' + \sum_{y \in \mu} \eta_y$ .

The proofs of these three results will be by a sequence of lemmas. We first consider the  $\rightarrow$  directions.

**Lemma 1.**  $\langle \omega^\omega, \langle \rangle$  and hence any model of  $\text{Th}(\langle \omega^\omega, \langle \rangle)$  satisfies the following sentences:

- a)  $(\exists x)(\forall y)(y \geq x)$
- b)  $(\forall x)(\exists y)(y > x \wedge \lim_n(y) \wedge \neg(\exists z)(x < z < y \wedge \lim_n(z)))$

- c)  $(\forall x)(\forall y)((y > x \wedge \lim_n(y) \wedge \neg(\exists z)(x < z < y \wedge \lim_n(z))) \rightarrow \varphi^{x \leq x_0 < y})$  for every  $\varphi \in \text{Th}(\langle \omega^n, \langle \rangle \rangle)$   
d)  $(\forall x)(\exists y)(y \leq x \wedge \lim_n(y) \wedge (\forall z)(y < z \leq x \rightarrow \neg \lim_n(z)))$   
e)  $(\forall x)(\forall y)((x < y \wedge \lim_n(x) \wedge \lim_n(y) \wedge \neg(\exists z)(x < z \leq y \wedge \lim_{n+1}(z))) \rightarrow \varphi^{x \leq x_0 < y \wedge \lim_n(x_0)})$  for every  $\varphi \in \text{Th}(\mathfrak{n})$ .

**Lemma 2.**  $\langle \omega^{\omega^{*+\omega}}, \langle \rangle \rangle$  and hence any model of  $\text{Th}(\langle \omega^{\omega^{*+\omega}}, \langle \rangle \rangle)$  satisfies the following sentences:

- a)  $(\forall x)(\exists y)(y < x)$ ,  
b)-e) of Lemma 1.

*Proofs:* Routine.

**Lemma 3.**  $\rightarrow$  of Theorem 1.

*Proof:* Let  $\langle \eta, \langle \rangle \rangle$  be an ultrashort model of  $\text{Th}(\langle \omega^\omega, \langle \rangle \rangle)$ . Let  $x_0 = 0$ . By induction define  $x_{n+1} = \text{least } \lim_n > x_n$ . Such exist by 1b). By 1c),  $\langle [x_i, x_{i+1}], \langle \rangle \rangle \models \text{Th}(\langle \omega^i, \langle \rangle \rangle)$ . By the definition of ultrashort,  $\eta = \sum_{i \in \omega} [x_i, x_{i+1}]$ .

**Lemma 4.**  $\rightarrow$  of Theorem 2.

*Proof:* Let  $\langle \eta, \langle \rangle \rangle$  be an ultrashort model of  $\text{Th}(\langle \omega^{\omega^{*+\omega}}, \langle \rangle \rangle)$ . Let  $y_0 = z_0 \in \eta$ . By induction define  $y_{n+1} = \text{greatest } \lim_{n+1} \leq y_n$ . Such exist by 2d). By induction define  $x_{n+1} = \text{least } \lim_n > z_n$ . Such exist by 2b). By 2a) infinitely many of  $y_i$  are distinct. Let  $\mu_n = \{a \mid y_{n+1} \leq a < y_n \wedge \lim_n(a)\}$ . So infinitely many  $\mu_n \neq 0$  and by 2e),  $\mu_n \in \mathfrak{n}_0$ . For each  $y \in \mu_n$ , let  $\eta_{n,y} = [y, y']$  where  $y'$  is least  $\lim_n > y$ . By 2c)  $\langle \eta_{n,y}, \langle \rangle \rangle \models \text{Th}(\langle \omega^n, \langle \rangle \rangle)$ . Also  $[y_{n+1}, y_n] = \sum_{y \in \mu_n} \eta_{n,y}$ . And  $(0, y_0) = \sum_{n \in \omega} [y_{n+1}, y_n] = \sum_{n \in \omega} \sum_{y \in \mu_n} \eta_{n,y}$ . Let  $\eta_n = [z_n, z_{n+1}]$ . By 2c),  $\langle \eta_n, \langle \rangle \rangle \models \text{Th}(\langle \omega^n, \langle \rangle \rangle)$ . And  $[z_0, \infty) = \sum_{n \in \omega} \eta_{n,n}$ . So by Theorem 1,  $\eta' = [z_0, \infty)$  is an ultrashort model of  $\text{Th}(\langle \omega^\omega, \langle \rangle \rangle)$ . Now  $\eta = (0, y_0) + [z_0, \infty) = \sum_{n \in \omega} \sum_{y \in \mu_n} \eta_{n,y} + \eta'$ .

**Lemma 5.**  $\rightarrow$  of Theorem 3.

*Proof:* Let  $\langle \eta, \langle \rangle \rangle$  be a model of  $\text{Th}(\langle \omega^\omega, \langle \rangle \rangle)$ . On  $\eta$  define  $a \approx b$  if  $(\exists n)(\exists x)(a < x \leq b \rightarrow \neg \lim_n(x))$  for  $a < b$ . If  $a > b$ , define  $a \approx b$  if  $b \approx a$ . And define  $a \approx a$ . So  $\approx$  is an equivalence relation.

By 1a),  $\tilde{\eta}$  has a least element  $\tilde{0}$ . Let  $\mu = \tilde{\eta} - \{\tilde{0}\}$ . As in Lemma 3,  $\tilde{0} = \sum_{i \in \omega} \eta_i$  where  $\langle \eta_i, \langle \rangle \rangle \models \text{Th}(\langle \omega^i, \langle \rangle \rangle)$  and hence is an ultrashort model of  $\text{Th}(\langle \omega^\omega, \langle \rangle \rangle)$  by Theorem 1. If  $x \in \mu$  then either  $x$  realizes  $\mathbf{t}$  or not. If so arguing similarly to Lemma 3 we find  $x = \sum_{i \in \omega} \eta_{i,x}$  where  $\langle \eta_{i,x}, \langle \rangle \rangle \models \text{Th}(\langle \omega^i, \langle \rangle \rangle)$  and hence  $x$  is ultrashort model of  $\text{Th}(\langle \omega^\omega, \langle \rangle \rangle)$ . On the other hand if  $x$  does not realize  $\mathbf{t}$ ,  $x$  has no least element and arguing similarly to Lemma 4 we find  $x = \sum_{n \in \omega} \sum_{y \in \mu_{n,x}} \eta_{n,x,y} + \eta'$  where  $\mu_{n,x} \in \mathfrak{n}_0$ , infinitely many are  $\neq 0$ ,  $\langle \eta_{n,x,y}, \langle \rangle \rangle \models \text{Th}(\langle \omega^n, \langle \rangle \rangle)$ ,  $\langle \eta', \langle \rangle \rangle$  is ultrashort model of  $\text{Th}(\langle \omega^\omega, \langle \rangle \rangle)$ . And hence  $x$  is ultrashort model of  $\text{Th}(\omega^{\omega^{*+\omega}}, \langle \rangle \rangle)$  by Theorem 2. As  $\eta = \tilde{0} + \sum_{x \in \mu} x$ , we are done.

Lemmas 1-5 may be viewed as giving a means of partitioning models of these theories. The theorems assert any model which can be partitioned in such a manner is a model of the theory in question.

**Lemma 6.** *If player II has a winning strategy in  $G_n(\langle \alpha_x, \triangleleft, \langle \beta_x, \triangleleft \rangle)$  for every  $x \in \gamma$ , then II has a winning strategy in  $G_n(\langle \sum_{x \in \gamma} \alpha_x, \triangleleft, \langle \sum_{x \in \gamma} \beta_x, \triangleleft \rangle)$ .*

*Proof.* Player II's winning strategy is: If on some move player I chooses a point in  $\alpha_x$  (or  $\beta_x$ ), then player II uses his winning strategy in  $G_n(\langle \alpha_x, \triangleleft, \langle \beta_x, \triangleleft \rangle)$  to give his move.

**Corollary.** *If  $\alpha_x \equiv \beta_x, \forall x \in \gamma$ , then  $\sum_{x \in \gamma} \alpha_x \equiv \sum_{x \in \gamma} \beta_x$ .*

**Lemma 7.** *If player II has a winning strategy in  $G_n(\langle \gamma, \triangleleft, \langle \delta, \triangleleft \rangle)$  and if player II has a winning strategy in  $G_n(\langle \alpha_x, \triangleleft, \langle \beta_y, \triangleleft \rangle)$  for every  $x \in \gamma, y \in \delta$ , then II has a winning strategy in*

$$G_n(\langle \sum_{x \in \gamma} \alpha_x, \triangleleft \rangle, \langle \sum_{y \in \delta} \beta_y, \triangleleft \rangle).$$

*Proof.* Player II's winning strategy is: If on some move player I chooses a point  $y \in \alpha_x$ , then player II uses his winning strategy in  $G_n(\langle \gamma, \triangleleft, \langle \delta, \triangleleft \rangle)$  assuming a move by I of  $x$  to give a point  $x' \in \delta$  and his winning strategy in  $G_n(\langle \alpha_x, \triangleleft, \langle \beta_{x'}, \triangleleft \rangle)$  assuming a move by I of  $y$  to give a point  $y' \in \beta_{x'}$ . II then plays as his move  $y'$ .

Similarly if player I chooses a point  $y \in \beta_x$ , then player II selects a point  $x' \in \gamma$  and then a point  $y' \in \alpha_{x'}$ . II's move then will be  $y'$ .

**Corollary.** *If  $\gamma \equiv \delta, \alpha_x \equiv \beta_y, \forall x \in \gamma \forall y \in \delta$ , then  $\sum_{x \in \gamma} \alpha_x \equiv \sum_{y \in \delta} \beta_y$ .*

**Corollary.** *If  $\gamma \equiv \delta, \alpha \equiv \beta$ , then  $\alpha \times \gamma \equiv \beta \times \delta$ .*

**Lemma 8.** *If player II has a winning strategy in  $G_n(\langle \alpha_i, \triangleleft, \langle \beta_i, \triangleleft \rangle)$  for  $i = 1, 2$ , then II has a winning strategy in  $G_{n+1}(\langle \alpha_1 + 1 + \alpha_2, \triangleleft, \langle \beta_1 + 1 + \beta_2, \triangleleft \rangle)$  after the initial move  $0 \leftrightarrow 0$ . (Note  $1 = \{0\}$ .)*

*Proof:* Player II's winning strategy is on each segment to use his given winning strategies. I.e., if I chooses a point in an  $\alpha$ , player II responds in other  $\alpha$  using winning strategy in  $G_n(\langle \alpha_1, \triangleleft, \langle \alpha_2, \triangleleft \rangle)$ . And similarly for  $\beta$ .

**Lemma 9.** *Player II has a winning strategy in  $G_n(\langle \alpha, \triangleleft, \langle \beta, \triangleleft \rangle)$  if  $\alpha, \beta \in n, \alpha, \beta \geq 2^n - 1$ .*

*Proof:* By induction on  $n$ .  $n = 1$  is trivial. Assume the result for  $n = k$ . Let  $\alpha, \beta \in n, \alpha, \beta \geq 2^{k+1} - 1$ . We give player II's winning strategy for  $G_{k+1}(\langle \alpha, \triangleleft, \langle \beta, \triangleleft \rangle)$ . Without loss of generality player I's first move is in  $\alpha$ . Say it is  $x_0$ .

**Case 1:**  $x_0 < 2^k - 1$ . By induction, as  $\alpha - x_0, \beta - x_0 \geq 2^k - 1$ , player II has winning strategy in  $G_k(\langle \alpha - x_0, \triangleleft, \langle \beta - x_0, \triangleleft \rangle)$ . Also II has winning strategy in  $G_k(\langle x_0, \triangleleft, \langle y_0, \triangleleft \rangle)$ . So by Lemma 8 if II responds with  $x_0$  in  $\beta$ , then II has winning strategy in  $G_{k+1}(\langle \alpha, \triangleleft, \langle \beta, \triangleleft \rangle)$ .

**Case 2:**  $\alpha - x_0 < 2^k - 1$ . Player II responds with  $\beta - (\alpha - x_0)$ , i.e., with the point  $\alpha - x_0 \leq$  the last element of  $\beta$ . This case is similar to 1.

**Case 3:** Neither Case 1 nor Case 2. Player II responds with  $2^k - 1$  (or any other element  $y_0 \in \beta$  such that  $y_0 \geq 2^k - 1, \beta - y_0 \geq 2^k - 1$ ). By induction player II has winning strategies in  $G_k(\langle x_0, \triangleleft, \langle y_0, \triangleleft \rangle)$  and  $G_k(\langle \alpha - x_0, \triangleleft, \langle \beta - y_0, \triangleleft \rangle)$ . So by Lemma 8 we are done.

Notation: If  $\alpha, \beta \in \mathfrak{n}_0$ , we write  $\alpha \stackrel{n}{=} \beta$  to denote  $\alpha = \beta$  or  $\alpha, \beta \geq 2^n - 1$ .

Lemma 10: *Player II has a winning strategy in*

$$G_n(\langle \sum_{x \in \alpha} \alpha_x, < \rangle, \langle \sum_{x \in \beta} \beta_x, < \rangle)$$

where

- i)  $\alpha, \beta \in \mathfrak{n}, \alpha \stackrel{n}{=} \beta$ ,
- ii)  $\langle \alpha_x, < \rangle, \langle \beta_y, < \rangle \models \text{Th}(\langle \omega^m, < \rangle), \forall x \in \alpha, y \in \beta$ .

*Proof:* By Lemmas 7 and 9.

Remark: By combining the techniques of Lemma 8 and Theorem 12 in [2], one can, in fact, obtain:

Lemma 11. *Player II has a winning strategy in*

$$G_n(\langle \sum_{x \in \alpha} \alpha_x, < \rangle, \langle \sum_{x \in \beta} \beta_x, < \rangle)$$

where

- i)  $m < n$ ,
- ii)  $\alpha, \beta \in \mathfrak{n}, \alpha \stackrel{n-m}{=} \beta$ ,
- iii)  $\langle \alpha_x, < \rangle, \langle \beta_y, < \rangle \models \text{Th}(\langle \omega^m, < \rangle), \forall x \in \alpha, y \in \beta$ .

Lemma 12. *Player II has a winning strategy in*

$$G_n(\sum_{0 \leq i \leq k}^* \omega^i \cdot n_i, \sum_{0 \leq i \leq k}^* \sum_{x \in \mu_i} \eta_{x,i})$$

where

- i)  $k < n$ ,
- ii)  $\mu_i \in \mathfrak{n}_0, n_i \in \omega$ ,
- iii)  $\langle \eta_{x,i}, < \rangle \models \text{Th}(\langle \omega^i, < \rangle)$ ,
- iv)  $n_i \stackrel{n}{=} \mu_i$  ( $n_i \stackrel{n-i}{=} \mu_i$  with Lemma 11).

*Proof:* By Lemmas 6 and 10.

Lemma 13. *Player II has a winning strategy in*

$$G_n(\sum_{0 \leq i \leq k}^* \omega^i \cdot n_i, \sum_{0 \leq i \leq k}^* \sum_{x \in \mu_i} \eta_{x,i})$$

where

- i)  $k, k' \in \omega, k, k' \geq n$ ,
- ii)  $n_i \in \omega, \mu_i \in \mathfrak{n}_0, n_k \neq 0, \mu_{k'} \neq 0$ ,
- iii)  $\langle \eta_{x,i}, < \rangle \models \text{Th}(\langle \omega^i, < \rangle)$ ,
- iv)  $\forall i < n, n_i \stackrel{n}{=} \mu_i$ .

*Proof:* By induction on  $n$ .

Case 1:  $n = 1$  trivial.

Case 2: Let  $n \geq 2$ . Assume the result for  $n - 1$ . Let  $k, k'$ , etc. be as above. Let

$$\alpha = \sum_{0 \leq i \leq k}^* \omega^i \cdot n_i, \beta = \sum_{0 \leq i \leq k'}^* \sum_{x \in \mu_i} \eta_{x,i}$$

Case a: On move 1 player I chooses an element  $a \in \alpha$ .

Case ai:  $a < \omega^n$ . Say

$$a = \sum_{0 \leq i \leq l}^* \omega^i \cdot k_i \text{ where } l < n, k_i < \omega, k_l \neq 0.$$

As  $\langle \eta_{0,k'}, \langle \rangle \models \text{Th}(\langle \omega^{k'}, \langle \rangle) \rangle$ ,

$$\exists b \in \eta_{0,k'} \text{ such that } \langle a, \langle \rangle \equiv \langle b, \langle \rangle.$$

$$\text{Also } \langle \omega^k - (a + 1), \langle \rangle \equiv \langle \omega^k, \langle \rangle \text{ and } \langle \eta_{0,k'} - (b + 1), \langle \rangle \equiv \langle \omega^{k'}, \langle \rangle.$$

Let  $b$  be  $\Pi$ 's move. Then  $\langle \alpha - (a + 1), \langle \rangle$  and  $\langle \beta - (b + 1), \langle \rangle$  meet the conditions of the lemma for  $n - 1$ . So by Lemma 8,  $\Pi$  has winning strategy in  $\mathbf{G}_n(\langle \alpha, \langle \rangle, \langle \beta, \langle \rangle)$ .

Case aii:  $\alpha - a < \omega^n$ . Say

$$\alpha - a = \sum_{0 \leq i \leq l}^* \omega^i \cdot k_i \text{ where } l < n, k_i < \omega.$$

By the definition of  $\alpha$ ,  $k_l \leq n_l$ ,  $k_i = n_i$  if  $i < l$ . Let

$$a' = a - \left( \sum_{l < i \leq k}^* \omega^i \cdot n_i + \omega^l \cdot (n_l - k_l) \right).$$

So  $a' < \omega^l$ . Say

$$a' = \sum_{0 \leq i < l}^* \omega^i \cdot j_i.$$

Let

$$k'_l \in \mu_l \text{ be defined by } k'_l = \begin{cases} n_l - k_l & \text{if } n_l - k_l < 2^n - 1, \\ \mu_l - k_l & \text{if } k_l < 2^n - 1, \\ 2^n - 1 & \text{otherwise.} \end{cases}$$

Let

$$b = \sum_{l < i \leq k}^* \sum_{x \in \mu_i} \eta_{x,i} + \sum_{x < k'_l} \eta_{x,l} + \sum_{0 \leq i < l}^* \sum_{x < j_i} \mu_{i,x}$$

where  $\langle \mu_{i,x}, \langle \rangle \models \text{Th}(\langle \omega^i, \langle \rangle)$  and  $\sum_{0 \leq i < l}^* \sum_{x < j_i} \mu_{i,x}$  is an initial segment of  $\eta_{k'_l,l}$ .

Then  $\langle a, \langle \rangle, \langle b, \langle \rangle$  satisfy the conditions of the lemma for  $n - 1$ , and  $\langle \alpha - (a + 1), \langle \rangle, \langle \beta - (b + 1), \langle \rangle$  satisfy the conditions of Lemma 12 for  $n - 1$ . So by Lemma 8,  $\Pi$  has winning strategy in  $\mathbf{G}_n(\langle \alpha, \langle \rangle, \langle \beta, \langle \rangle)$ .

Case aiii: Neither case ai nor aii. Say

$$a = \sum_{0 \leq i \leq l}^* \omega^i \cdot k_i \text{ where } k_l \neq 0.$$

So  $l \geq n$ . Let

$$b = \sum_{x < 2^{n-1}} \mu_{n-1,x} + \sum_{i < n-1}^* \sum_{x < k_i} \mu_{i,x}$$

where  $b$  is initial segment of  $\beta$ ,  $\langle \mu_{i,x}, \langle \rangle \models \text{Th}(\langle \omega^i, \langle \rangle)$  for  $i < n$ . Then  $\langle a, \langle \rangle, \langle b, \langle \rangle$  satisfy the conditions of the lemma for  $n - 1$ , and  $\langle \alpha - (a + 1), \langle \rangle, \langle \beta - (b + 1), \langle \rangle$  satisfy the conditions of the lemma for  $n - 1$ . So by Lemma 8,  $\Pi$  has winning strategy in  $\mathbf{G}_n(\langle \alpha, \langle \rangle, \langle \beta, \langle \rangle)$ .

Case b: On move 1 player I chooses an element  $b \in \beta$ .

Case bi: There is no  $\lim_n \leq b$ . Say

$$b = \sum_{0 \leq i \leq l}^* \sum_{x \in \mu_i} \eta_{x,i} \text{ where } \langle \eta_{x,i}, \langle \rangle \models \text{Th}(\langle \omega^i, \langle \rangle), l < n.$$

Let

$$n_i = \begin{cases} \mu_i & \text{if } \mu_i < 2^n - 1, \\ 2^n - 1 & \text{otherwise.} \end{cases}$$

Let  $a = \sum_{0 \leq i \leq l}^* \omega^i \cdot n_i$ . Then if  $a$  is II's move, II has winning strategy in  $\mathbf{G}_n(\langle a, \langle \rangle, \langle \beta, \langle \rangle \rangle)$  by induction (as  $\langle a, \langle \rangle, \langle b, \langle \rangle \rangle$  satisfy conditions of Lemma 12 for  $n - 1$  and  $\langle a - (a + 1), \langle \rangle, \langle \beta - (b + 1), \langle \rangle \rangle$  satisfy conditions of lemma for  $n - 1$ ).

Case bii: There is no  $\lim_n \geq b$ . Say

$$\beta - b = \sum_{0 \leq i \leq l}^* \sum_{x \in \mu_i'} \eta_{x,i} \text{ where } l < n.$$

By definition of  $\beta$ ,  $\mu_i' = \mu_i$  if  $i < l$ ,  $\mu_l' \leq \mu_l$ . Let

$$b' = b - \left( \sum_{l < i \leq k}^* \sum_{x \in \mu_i} \eta_{x,i} + \sum_{x \in \mu_l - \mu_l'} \eta_{x,l} \right).$$

So  $b'$  has no  $\lim_l$ . Say

$$b' = \sum_{0 \leq i < l}^* \sum_{x \in \tilde{\mu}_i} \tilde{\eta}_{x,i} \text{ where } \tilde{\mu}_i \in \mathfrak{n}_0, \langle \tilde{\eta}_{x,i}, \langle \rangle \rangle \models \text{Th}(\langle \omega^i, \langle \rangle \rangle).$$

Let

$$k_l \leq n_l \text{ be defined by } k_l = \begin{cases} \mu_l - \mu_l' & \text{if } \mu_l - \mu_l' < 2^n - 1, \\ n_l - \mu_l' & \text{if } \mu_l' < 2^n - 1, \\ 2^n - 1 & \text{otherwise.} \end{cases}$$

Let

$$a = \sum_{l < i \leq k}^* \omega^i \cdot n_i + \omega^l \cdot k_l + \sum_{0 \leq i < l}^* \omega^i \cdot j_i$$

$$\text{where } j_i = \begin{cases} \tilde{\mu}_i & \text{if } \tilde{\mu}_i < 2^n - 1, \\ 2^n - 1 & \text{otherwise.} \end{cases}$$

Then  $\langle a, \langle \rangle, \langle b, \langle \rangle \rangle$  satisfy the conditions of the lemma for  $n - 1$ , and  $\langle a - (a + 1), \langle \rangle, \langle \beta - (b + 1), \langle \rangle \rangle$  satisfy the conditions of Lemma 12 for  $n - 1$ . So by Lemma 8, II has winning strategy in  $\mathbf{G}_n(\langle a, \langle \rangle, \langle \beta, \langle \rangle \rangle)$ .

Case biii: Neither case bi nor bii. Say

$$b = \sum_{0 \leq i \leq l}^* \sum_{x \in \tilde{\mu}_i} \tilde{\eta}_{i,x} \text{ where } \tilde{\mu}_i \in \mathfrak{n}_0, \mu_l \neq 0, \langle \tilde{\eta}_{i,x}, \langle \rangle \rangle \models \text{Th}(\langle \omega^i, \langle \rangle \rangle).$$

So  $l \geq n$ . Let

$$k_i = \begin{cases} \tilde{\mu}_i & \text{if } \tilde{\mu}_i < 2^n - 1 \\ 2^n - 1 & \text{otherwise} \end{cases} \text{ for } i < n - 1.$$

Let

$$a = \omega^{n-1} \cdot (2^{n-1} - 1) + \sum_{0 \leq i < n-1}^* \omega^i \cdot k_i.$$

Then  $\langle a, \langle \rangle, \langle b, \langle \rangle \rangle$  satisfy the conditions of the lemma for  $n - 1$  and  $\langle a - (a + 1), \langle \rangle, \langle \beta - (b + 1), \langle \rangle \rangle$  satisfy them for  $n - 1$ . So as usual, II has winning strategy in  $\mathbf{G}_n(\langle a, \langle \rangle, \langle \beta, \langle \rangle \rangle)$ .

Proof of Theorem 1:  $\leftarrow$  Clearly  $\eta$  is an ultrashort model. We will, thus, be done when we show by induction on  $n$ :



Lemma 14. *Player II has a winning strategy in*

$$\mathbf{G}_n(\langle \omega^\omega, \langle \rangle, \langle \sum_{n \in \omega} \eta_n, \langle \rangle \rangle).$$

*Proof:*

Case 1:  $n = 1$  is trivial.

Case 2: Let  $n \geq 2$ . Assume the result for  $n - 1$ . Let

$$\alpha = \omega^\omega, \beta = \sum_{n \in \omega} \eta_n.$$

Case a: On move 1 player I chooses an element  $a \in \alpha$ . Say

$$a = \sum_{0 \leq i \leq l}^* \omega^i \cdot k_i \text{ where } k_i < \omega, k_l \neq 0.$$

Let

$$b = \eta_l + \sum_{y < k_{l-1}} \eta_{l,y} + \sum_{0 \leq i < l}^* \sum_{y < k_i} \eta_{i,y} \text{ where } \langle \eta_{i,y}, \langle \rangle \models \text{Th}(\langle \omega^i, \langle \rangle),$$

$$\sum_{y < k_{l-1}} \eta_{l,y} + \sum_{0 \leq i < l}^* \sum_{y < k_i} \eta_{i,y} \text{ is initial segment of } \eta_{l+1}.$$

Let  $b$  be II's move. Then by Lemma 12 or 13, player II has winning strategy in  $\mathbf{G}_{n-1}(\langle a, \langle \rangle, \langle b, \langle \rangle \rangle)$ .  $\langle \alpha - (a + 1), \langle \rangle, \langle \beta - (b + 1), \langle \rangle \rangle$  satisfy the induction hypotheses for  $n - 1$ . So by the lemma, we are done in this case.

Case b): On move 1 player I chooses an element  $b \in \beta$ . Say

$$b = \eta_l + \sum_{0 \leq i \leq l}^* \sum_{y < \mu_i} \eta_{i,y} \text{ where } \mu_i \in \mathbf{n}_0, \langle \eta_{i,y}, \langle \rangle \models \text{Th}(\langle \omega^i, \langle \rangle).$$

Let

$$k_l = \begin{cases} 2^n - 1 & \text{if } \mu_l > 2^n - 2, \\ \mu_l + 1 & \text{otherwise;} \end{cases}$$

$$k_i = \begin{cases} 2^n - 1 & \text{if } \mu_i > 2^n - 1 \\ \mu_i & \text{otherwise} \end{cases} \text{ for } i < n.$$

Let

$$a = \sum_{0 \leq i \leq l}^* \omega^i \cdot k_i.$$

Then by Lemma 12 or 13, player II has winning strategy in  $\mathbf{G}_{n-1}(\langle a, \langle \rangle, \langle b, \langle \rangle \rangle)$ .  $\langle \alpha - (a + 1), \langle \rangle, \langle \beta - (b + 1), \langle \rangle \rangle$  satisfy the induction hypothesis for  $n - 1$ . So as usual this case is done.

Lemma 15: *Player II has a winning strategy in*

$$\mathbf{G}_n(\langle \sum_{i \in \omega}^* \omega^i \cdot n_i, \langle \rangle, \langle \sum_{i \in \omega}^* \sum_{x \in \mu_i} \eta_{x,i}, \langle \rangle \rangle)$$

where

- i)  $n_i \in \omega$ ,
- ii)  $\exists m$  such that  $\forall i \geq m, n_i = 1$ ,
- iii)  $\forall i < n, \mu_i \bar{n} n_i$ ,
- iv)  $\mu_i \in \mathbf{n}_0$ ,
- v) *infinitely many*  $\mu_i \neq 0$ ,
- vi)  $\langle \eta_{x,i}, \langle \rangle \models \text{Th}(\langle \omega^i, \langle \rangle)$ .

*Proof:* Similar to Lemma 13.

Lemma 16. *Player II has winning strategy in*

$$\mathbf{G}_n(\langle \omega^{\omega^*+\omega}, < \rangle, \langle \sum_{i \in \omega}^* \sum_{x \in \mu_i} \eta_{x,i} + \sum_{i \in \omega} \eta_i, < \rangle)$$

where

- i)  $\mu_i \in \mathfrak{n}_0$ ,
- ii) *infinitely many*  $\mu_i \neq 0$ ,
- iii)  $\langle \eta_{x,i}, < \rangle \models \text{Th}(\langle \omega^i, < \rangle)$ ,
- iv)  $\langle \eta_i, < \rangle \models \text{Th}(\langle \omega^i, < \rangle)$ .

*Proof:* Similar to Lemma 14. It uses primarily Lemmas 14 and 15 and Theorem 1.

The proof of Theorem 2 now follows immediately from Lemma 16 as  $\sum_{i \in \omega}^* \sum_{x \in \mu_i} \eta_{x,i} + \sum_{i \in \omega} \eta_i$  is clearly ultrashort.

Lemma 17.  $\langle \eta' + \sum_{x \in \mu} \eta_x, < \rangle \equiv \langle \omega^\omega + \sum_{x \in \mu} \mu_x, < \rangle$  where

- i)  $\langle \eta', < \rangle$  is ultrashort model of  $\text{Th}(\langle \omega^\omega, < \rangle)$ ,
- ii)  $\langle \eta_x, < \rangle$  is ultrashort model of  $\text{Th}(\langle \omega^\omega, < \rangle)$  or  $\text{Th}(\langle \omega^{\omega^*+\omega}, < \rangle)$ ,
- iii)  $\mu_x = \begin{cases} \omega^\omega & \text{if } \langle \eta_x, < \rangle \models \text{Th}(\langle \omega^\omega, < \rangle), \\ \omega^{\omega^*+\omega} & \text{if } \langle \eta_x, < \rangle \models \text{Th}(\langle \omega^{\omega^*+\omega}, < \rangle). \end{cases}$

*Proof:* By Lemma 6.

Lemma 18. *Player II has a winning strategy in*

$$\mathbf{G}_n(\langle \omega^n + \sum_{l < n}^* \omega^l, m_l, < \rangle, \langle \omega^\omega + \sum_{x \in \mu} \mu_x + \sum_{l \leq n}^* \omega^l, r_l + \sum_{l < n}^* \omega^l, n_l, < \rangle)$$

where

- i)  $m_l \equiv n_l$ , if  $l < n$ ,  $m_l, n_l \in \omega$ ,
- ii)  $\mu_x = \omega^\omega$  or  $\omega^{\omega^*+\omega}$ ,  $\forall x \in \mu$ ,
- iii)  $\mu$  is arbitrary linear order (possibly empty),
- iv)  $r_l \in \omega$ ,  $l \geq n$ ,
- v)  $\exists m$  such that  $\forall i \geq m$ ,  $r_i = 0$  or  $\forall i \geq m$ ,  $r_i = 1$ .

*Proof:* Similar to Lemma 13.

Lemma 19. *Player II has a winning strategy in*

$$\mathbf{G}_n(\langle \omega^\omega, < \rangle, \langle \omega^\omega + \sum_{x \in \mu} \mu_x, < \rangle)$$

where

- i)  $\mu_x = \omega^\omega$  or  $\omega^{\omega^*+\omega}$ ,
- ii)  $\mu$  is arbitrary linear order (possibly empty).

*Proof:* Similar to Lemma 14. It uses primarily Lemma 18.

The proof of Theorem 3 now follows immediately from Lemmas 17 and 19.

**3 Short models.** By techniques similar to those in section 2 one can prove:

Theorem 4.  $\langle \eta, < \rangle$  is a short model of  $\text{Th}(\langle \omega^\omega, < \rangle)$  iff

- $\exists 1)$  an ultrashort model  $\langle \eta', \triangleleft \rangle$  of  $\text{Th}(\langle \omega^\omega, \triangleleft \rangle)$ ,  
 2) a linear order  $\mu$  possibly empty,  
 3) for each  $x \in \mu$ , an ultrashort model  $\langle \eta_x, \triangleleft \rangle$  of  $\text{Th}(\langle \omega^{\omega^*+\omega}, \triangleleft \rangle)$  such that  
 $\eta = \eta' + \sum_{x \in \mu} \eta_x$ .

**Theorem 5.**  $\langle \eta, \triangleleft \rangle$  is a short model of  $\text{Th}(\langle \omega^{\omega^*+\omega}, \triangleleft \rangle)$  iff

- $\exists 1)$  a linear order  $\mu$ ,  
 2) for each  $x \in \mu$ , an ultrashort model  $\eta_x$  of  $\text{Th}(\langle \omega^{\omega^*+\omega}, \triangleleft \rangle)$  such that  $\eta = \sum_{x \in \mu} \eta_x$ .

**Theorem 6.**  $\langle \eta, \triangleleft \rangle \models \text{Th}(\langle \omega^\omega, \triangleleft \rangle)$  iff

- $\exists 1)$  a short model  $\langle \eta', \triangleleft \rangle$  of  $\text{Th}(\langle \omega^\omega, \triangleleft \rangle)$ ,  
 2) a linear order  $\mu$  possibly empty,  
 3) for each  $x \in \mu$ , a short model  $\langle \eta_x, \triangleleft \rangle$  of  $\text{Th}(\langle \omega^\omega, \triangleleft \rangle)$  or of  $\text{Th}(\langle \omega^{\omega^*+\omega}, \triangleleft \rangle)$   
 (the latter occurring only if  $x$  does not have an immediate predecessor)  
 such that  $\eta = \eta' + \sum_{x \in \mu} \eta_x$ .

**4 Other Results.** Using the lemmas of section 2 and similar results one can obtain Ehrenfeucht's classification of the completions of the theory of well-ordered sets. Using the result and the fact that  $\langle \alpha, a_1 \dots a_n \triangleleft \rangle \equiv \langle \beta, b_1 \dots b_n \triangleleft \rangle$  iff  $\langle a_{i+1} - a_i, \triangleleft \rangle \equiv \langle b_{i+1} - b_i, \triangleleft \rangle, \forall i \leq n+1$  if  $a_1 < \dots < a_n, b_1 < \dots < b_n$  and  $a_0 = b_0 = 0, a_{n+1} = \alpha, b_{n+1} = \beta$  one can then classify the element types of  $\text{Th}(\langle \omega^\omega, \triangleleft \rangle)$ , or any other completion of the theory of well-ordering.

In particular the following are the distinct completions of the theory of well-ordering:

$$\left\{ \text{Th}(\langle \omega^n, m + \sum_{i < n}^* \omega^i \cdot n_i, \triangleleft \rangle) \mid n \in \omega, m \in \omega, m \neq 0, n_i \in \omega \cup \{\omega + \omega^* + \omega\} \right\} \\
 \cup \left\{ \text{Th}(\langle \omega^\omega + \sum_{i < n}^* \omega^i \cdot n_i, \triangleleft \rangle) \mid n_i \in \omega \cup \{\omega + \omega^* + \omega\} \right\}.$$

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