

## The Strength of the $\Delta$ -system Lemma

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**Abstract** The delta system lemma is not provable in set theory without the axiom of choice nor does it imply the axiom of choice.

**1 Introduction** A  $\Delta$ -system  $\mathcal{G}$  is a collection of sets such that there is a set  $r$  with the property that  $(\forall A \in \mathcal{G})(\forall B \in \mathcal{G})(A \neq B \Rightarrow A \cap B = r)$ .  $r$  is called the *root* of  $\mathcal{G}$ . The  $\Delta$ -system lemma is the statement:

**$\Delta SL$**  For every uncountable collection  $\mathcal{F}$  of finite sets there is an uncountable subcollection  $\mathcal{G}$  of  $\mathcal{F}$  which forms a  $\Delta$ -system.

$\Delta SL$  is provable in Zermelo Fraenkel set theory ( $ZF$ ) with the axiom of choice ( $AC$ ) as shown by Kunen [3], [4]. We will investigate the strength of  $\Delta SL$  in  $ZF$  (without the axiom of choice). In this theory there are two possible definitions of  $X$  is uncountable:  $|X| \not\leq \aleph_0$  or  $\aleph_0 < |X|$ . These definitions are equivalent if  $AC$  is assumed. In Section 2 below we will use the first definition exclusively. In Section 3 we will investigate the consequences of using the second definition.

We will also refine  $\Delta SL$  in the following way:  $\Delta SL(n)$  will denote, for each positive integer  $n$ , the  $\Delta$ -system lemma for families of  $n$ -element sets. We note that  $\Delta SL(1)$  is trivially true. Our main goal will be to prove that for any integer  $n \geq 2$ ,  $\Delta SL(n)$  is equivalent to  $\Delta SL$  and also to the conjunction of the two statements:

**CU** The union of a countable collection of countable sets is countable.

and

**PC** Every uncountable collection of countable sets has an uncountable subcollection with a choice function.

**2 Using the first definition of uncountable** We begin with:

**Lemma 2.1**  $ZF \vdash (\forall n \in \omega - \{0\})(\Delta SL(n+1) \Rightarrow \Delta SL(n))$ .

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*Proof:* For any  $X$ , put  $\bar{X} = \{(X,0)\} \cup (X \times \{1\})$ . Assume  $\Delta SL(n+1)$ , and let  $\mathcal{F}$  be an uncountable collection of  $n$  element sets. Then  $\mathcal{F}' = \{X' : X \in \mathcal{F}\}$  is an uncountable collection of  $n+1$  element sets and if  $\mathcal{K}$  is any uncountable subcollection of  $\mathcal{F}'$  which is a  $\Delta$ -system, then  $\mathcal{G} = \{X \in \mathcal{F} : \bar{X} \in \mathcal{K}\}$  is an uncountable  $\Delta$ -system contained in  $\mathcal{F}$ . Thus  $\Delta SL(n)$  holds.

**Theorem 2.2** For any  $n \in \omega$ ,  $n \geq 2$ ,  $\Delta SL(n)$  implies  $CU$ .

*Proof:* By Lemma 2.1, it suffices to show that  $\Delta SL(2)$  implies  $CU$ . Assume  $\Delta SL(2)$  and let  $\mathcal{F}$  be a countable collection of countable sets. We may assume that the elements of  $\mathcal{F}$  are pairwise disjoint. (If not let  $\mathcal{F}' = \{A \times \{A\} : A \in \mathcal{F}\}$  then the countability of  $\cup \mathcal{F}$  follows from the countability of  $\cup \mathcal{F}'$ .) Assume  $\cup \mathcal{F}$  is not countable and let

$$\mathcal{G} = \{(a,0), (A,1) : A \in \mathcal{F} \wedge a \in A\}.$$

$\mathcal{G}$  is uncountable since  $\cup \mathcal{F}$  is uncountable. Applying  $\Delta SL(2)$  to  $\mathcal{G}$  gives an uncountable subset  $\mathcal{K}$  of  $\mathcal{G}$  which is a  $\Delta$ -system. Suppose that the root of  $\mathcal{K}$  is  $r$ . If  $r \neq \emptyset$  then for some  $A \in \mathcal{F}$ ,  $(A,1) \in r$  or  $(\exists a \in A)((a,0) \in r)$ . But this would mean  $\mathcal{K} \subseteq \{(a,0), (A,1) : a \in A\}$  which implies  $\mathcal{K}$  is countable since  $A$  is. Therefore  $r = \emptyset$ . This means that for each  $A \in \mathcal{F}$ , there is at most one  $a \in A$  such that  $\{(a,0), (A,1)\}$  is in  $\mathcal{K}$  and again  $\mathcal{K}$  is countable since  $\mathcal{F}$  is.

A similar argument gives

**Theorem 2.3** For any  $n \in \omega$ ,  $n \geq 2$   $\Delta SL(n)$  implies  $PC$ .

*Proof:* As in 2.2 it suffices to prove that  $\Delta SL(2)$  implies  $PC$ . Assume  $\Delta SL(2)$  and assume that  $\mathcal{F}$  is an uncountable family of pairwise disjoint sets. Let

$$\mathcal{G} = \{(a,0), (A,1) : A \in \mathcal{F} \wedge a \in A\}.$$

$|\mathcal{F}| \neq \aleph_0 \Rightarrow |\mathcal{G}| \neq \aleph_0$  so  $\mathcal{G}$  has an uncountable subset  $\mathcal{K}$  which is a  $\Delta$ -system. As in the proof of 2.2, the root  $r$  of  $\mathcal{K}$  is empty. Therefore for each  $A \in \mathcal{F}$  there is at most one  $a \in \mathcal{F}$  such that  $\{(a,0), (A,1)\}$  is in  $\mathcal{K}$ . Therefore

$$\{(A,a) : \{(a,0), (A,1)\} \in \mathcal{K}\}$$

is a choice function for an uncountable subset of  $\mathcal{K}$  of  $\mathcal{F}$ .

Our final result of this section is

**Theorem 2.4**  $CU \wedge PC$  implies  $(\forall n \in \omega)(n \geq 1 \Rightarrow \Delta SL(n))$ .

*Proof:* Assume  $CU \wedge PC$ . The proof of  $\Delta SL(n)$  for every  $n \geq 1$  is by induction on  $n$ . As noted above, the case  $n = 1$  is trivial.

Assume  $n > 1$ , that the theorem is true for all  $m < n$  and that  $\mathcal{F}$  is an uncountable collection of  $n$  element sets. We define:

$$a^1 = \left\{ b \in \cup \mathcal{F} : (\exists A \in \mathcal{F})(a \in A \wedge b \in A) \right\}$$

for each  $a \in \cup \mathcal{F}$ .

If  $a^1$  is uncountable for some  $a \in \bigcup \mathcal{F}$  then, by the countable union theorem,  $\{A \in \mathcal{F} : a \in A\}$  is uncountable and therefore,

$$\mathcal{G} = \{A - \{a\} : A \in \mathcal{F} \wedge a \in A\}$$

is an uncountable collection of  $n - 1$  element sets. By  $\Delta SL(n - 1)$ ,  $\mathcal{G}$  has an uncountable subcollection  $\mathcal{H}$  which forms a  $\Delta$ -system. Then the collection  $\{C \cup \{a\} : C \in \mathcal{H}\}$  is an uncountable subcollection of  $\mathcal{F}$  which forms a  $\Delta$ -system.

We therefore may assume  $a^1$  is countable for every  $a \in \bigcup \mathcal{F}$ . Define (by induction) for each  $k \in \omega$ ,  $k > 1$

$$a^k = \bigcup_{b \in a^{k-1}} b^1.$$

Using the countable union theorem and mathematical induction, we see that for each  $k \geq 1$  and for each  $a \in \bigcup \mathcal{F}$ ,  $a^k$  is countable. It follows (from the countable union theorem) that  $[a]$  is countable where (letting  $a^0 = \{a\}$ )

$$[a] = \bigcup_{k=0}^{\infty} a^k.$$

Therefore  $p(a) = \{A \in \mathcal{F} : A \subseteq [a]\}$  is countable since  $p(a)$  is a subcollection of the collection of all  $n$ -element subsets of  $[a]$ .

We also claim that  $\mathbf{F} = \{p(a) : a \in \mathcal{F}\}$  is a partition of  $\mathcal{F}$  and further that

$$p(a) \neq p(b) \Rightarrow (\forall A \in p(a))(\forall B \in p(b))(A \cap B = \emptyset).$$

We leave the proof of this claim to the reader.

It follows that the collection  $\mathbf{F}$  is uncountable since  $\mathcal{F}$  is. By  $PC$ ,  $\mathbf{F}$  has an uncountable subcollection  $\mathbf{E}$  with a choice function  $f$ . The set  $\{f(p(a)) : p(a) \in \mathbf{E}\}$  is therefore an uncountable subcollection of  $\mathcal{F}$  which forms a  $\Delta$ -system with root  $\emptyset$ .

Combining the theorems above gives us:

**Corollary 2.5** *For each  $n \in \omega$ ,  $n \geq 2$ , the following are equivalent:*

- (1)  $\Delta SL(n)$
- (2)  $CU \wedge PC$
- (3)  $\Delta SL$ .

*Proof:* All that remains to be shown is that  $(\forall n \in \omega)(\Delta SL(n) \Rightarrow \Delta SL)$  since for each  $n \in \omega$ ,  $n \geq 2$ ,  $\Delta SL(n)$  implies  $CU \wedge PC$  which implies  $(\forall n \in \omega)(\Delta SL(n))$ . But this follows easily from the countable union theorem, which implies that for every uncountable collection  $\mathcal{F}$  of finite sets there is an  $n \in \omega$  and an uncountable subcollection all of whose elements have cardinality  $n$ .

**3 Comparing the two definitions of uncountable** Up to this point the meaning of  $X$  is uncountable has been  $|X| \not\leq \aleph_0$ . Sets which are uncountable in this sense are clearly *Dedekind infinite sets* and the assertion that our two definitions of uncountable are equivalent is equivalent to the assertion  $W_{\aleph_0}$  that every infinite set is Dedekind infinite (this is the notation of Jech [2].) The assertion  $W_{\aleph_0}$  has been studied extensively. See for example Howard and Yorke [1], [2], Spišiak and Vojtáš [6], and Truss [7].

In this section we will use  $\Delta SL(\neq \aleph_0)$  and  $\Delta SL(\neq \aleph_0, n)$  for the statements  $\Delta SL$  and  $\Delta SL(n)$  from the previous section and we will use  $\Delta SL(> \aleph_0)$  and  $\Delta SL(> \aleph_0, n)$  for the corresponding statements using the second definition of uncountable.

**Theorem 3.1** *For all  $n \in \omega, n \geq 2, \Delta SL(> \aleph_0, n) \Rightarrow W_{\aleph_0}$ .*

*Proof:* Assume  $\Delta SL(> \aleph_0, n)$  for some  $n \geq 2$ . Then by an easy argument similar to the one in the proof of 2.1,  $\Delta SL(> \aleph_0, 2)$  holds. Now we argue that  $\Delta SL(> \aleph_0, 2)$  implies that every Dedekind finite set is finite. Assume that  $A$  is a Dedekind finite set which is not finite. We may also assume that  $A \cap \omega = \emptyset$ . Let  $\mathcal{F} = \{\{k, a\} : k \in \omega \wedge a \in A\}$ . Then clearly  $|\mathcal{F}| \geq \aleph_0$ . If  $|\mathcal{F}| = \aleph_0$  then  $|A| \leq \aleph_0$  contradicting our assumptions so we have  $|\mathcal{F}| > \aleph_0$ . Applying  $\Delta SL(> \aleph_0, 2)$  to  $\mathcal{F}$  gives a subcollection  $\mathcal{G}$  of  $\mathcal{F}$  such that  $|\mathcal{G}| > \aleph_0$  and  $\mathcal{G}$  is a  $\Delta$ -system. If  $r$  is the root of  $\mathcal{G}$  then either  $|r| = 0$  or  $|r| = 1$ . If  $|r| = 0$  then

$$|A| \geq |\{a \in A : (\exists x \in \mathcal{G})(a \in x)\}| = |\mathcal{G}| > \aleph_0.$$

On the other hand if  $r = \{t\}$  then there are two possibilities, either  $t \in A$  or  $t \in \omega$ . If  $t \in A$  then  $|\mathcal{G}| \leq |\{\{k, t\} : k \in \omega\}| = \aleph_0$  which is impossible. If  $t \in \omega$  then  $|A| \geq |\{\{t, a\} : a \in A\}| \geq |\mathcal{G}| > \aleph_0$  a contradiction.

**Corollary 3.2** *For any  $n \in \omega, n \geq 2$ , the following are equivalent:*

- (1)  $\Delta SL(> \aleph_0, n)$
- (2)  $CU \wedge PC \wedge W_{\aleph_0}$
- (3)  $\Delta SL(> \aleph_0)$ .

*Proof:* Under the assumption  $W_{\aleph_0}$ ,  $\Delta SL(\neq \aleph_0, k)$  and  $\Delta SL(> \aleph_0, k)$  are equivalent for every  $k \in \omega, k \geq 2$ , as are  $\Delta SL(\neq \aleph_0)$  and  $\Delta SL(> \aleph_0)$ . Since  $\Delta SL(> \aleph_0, n)$  implies  $W_{\aleph_0}$ , the corollary follows from 2.5.

We note that as a consequence of the corollary,  $\Delta SL(> \aleph_0)$  implies  $\Delta SL(\neq \aleph_0)$ .

Finally, we show that  $\Delta SL(\neq \aleph_0) \Rightarrow \Delta SL(> \aleph_0)$  is not a theorem of  $ZF$ . We will do this by showing that  $\Delta SL(\neq \aleph_0)$  is true and  $\Delta SL(> \aleph_0)$  is false in the ordered Mostowski model ([2], p. 49). This will give  $\nVdash_{ZFU} \Delta SL(\neq \aleph_0) \Rightarrow \Delta SL(> \aleph_0)$  where  $ZFU$  is  $ZF$  weakened to permit the existence of atoms. We will then appeal to the transfer results of Pincus [5] to obtain our desired result  $\nVdash_{ZF} \Delta SL(\neq \aleph_0) \Rightarrow \Delta SL(> \aleph_0)$ .

We begin with a brief description of the construction of the ordered Mostowski model. Let  $M'$  be a model of  $ZFU + AC$  with a countable set  $A$  of atoms and a linear ordering  $<$  of  $A$  with order type that of the rational numbers. Let  $G$  be the group of all order preserving permutations of  $A$  and for each finite subset  $E$  of  $A$ , let  $\text{fix}(E) = \{\phi \in G : (\forall a \in E)(\phi(a) = a)\}$ . Let  $\Gamma$  be the filter of subgroups of  $G$  generated by  $\{\text{fix}(E) : E \subseteq A \wedge E \text{ finite}\}$ . The ordered Mostowski model  $M$  is the permutation model determined by  $\Gamma$ , that is

$$M = \{x \in M' : (\forall y \in \{x\} \cup \text{trcl}(x))(\exists H \in \Gamma)(\forall \phi \in H)(\phi(y) = y)\}.$$

In this formula,  $\text{trcl}(x)$  denotes the transitive closure of  $x$ . If  $x \in M$  and  $E$  is a finite subset of  $A$ , we say  $E$  is a support of  $x$  if  $(\forall \phi \in \text{fix}(E))(\phi(x) = x)$ . We will make use of the following facts about  $M$ :

- (4) Every  $x \in M$  has a least support,  $\text{supp}(x)$ , which satisfies  $(\forall \phi \in G)(\phi(x) = x \Leftrightarrow \phi \in \text{fix}(\text{supp}(x)))$ .
- (5)  $W_{\aleph_0}$  is false in  $M$  because in  $M$ ,  $|A| \not\leq \aleph_0$  and  $|A| \not\leq \aleph_0$ .
- (6) If  $x \in M$  is finite, then  $\text{supp}(x) = \bigcup_{t \in x} \text{supp}(t)$ .

**Theorem 3.3**  $\Delta SL(> \aleph_0)$  is false in  $M$ .

*Proof:* This follows from 3.1 and (5).

**Theorem 3.4**  $\Delta SL(\not\leq \aleph_0)$  is true in  $M$ .

*Proof:* By 2.4, it suffices to prove  $\Delta SL(\not\leq \aleph_0, 2)$ . Suppose  $\mathcal{F} \in M$  is a collection of 2 element sets such that, in  $M$ ,  $|\mathcal{F}| \not\leq \aleph_0$ .

We first handle the case where  $(\forall x \in \mathcal{F})(\text{supp}(x) \subseteq \text{supp}(\mathcal{F}))$ . In this case  $\mathcal{F}$  is well-orderable in  $M$  since  $\text{supp}(\mathcal{F})$  is a support for any well-ordering of  $\mathcal{F}$ . This together with  $(|\mathcal{F}| \not\leq \aleph_0)^M$  implies that  $|\mathcal{F}| \geq \aleph_1$  in  $M$ . Therefore  $|\mathcal{F}| \geq \aleph_1$  in  $M'$ . Hence, in  $M'$  (since  $M'$  satisfies AC)  $\mathcal{F}$  has a subcollection  $\mathcal{G}$  such that  $\mathcal{G}$  forms a  $\Delta$ -system and  $|\mathcal{G}| = \aleph_1$ . But  $\text{supp}(\mathcal{F})$  is a support for  $\mathcal{G}$  and for each element of  $\mathcal{G}$ , therefore  $\text{supp}(\mathcal{F})$  is a support for a bijection between  $\mathcal{G}$  and  $\aleph_1$ . It follows that  $\mathcal{G} \in M$ ,  $|\mathcal{G}| = \aleph_1$  in  $M$  and  $\mathcal{G}$  is a  $\Delta$ -system in  $M$ .

On the other hand if there is some  $x \in \mathcal{F}$  such that  $\text{supp}(x) \not\subseteq \text{supp}(\mathcal{F})$ , suppose that  $x = \{t_1, t_2\}$  and that  $\text{supp}(\mathcal{F}) \cup \text{supp}(x) = \{a_1, a_2, \dots, a_n\}$  where  $a_1 < \dots < a_n$ . Fix a  $j$  such that  $a_j \in \text{supp}(x) - \text{supp}(\mathcal{F})$ . We will assume that  $1 < j < n$ . The proof can easily be modified to handle the cases  $j = 1$  and  $j = n$ . Let  $E = (\text{supp}(\mathcal{F}) \cup \text{supp}(x)) - \{a_j\}$ .

By (6) there are three possibilities:

- case 1.  $a_j \in \text{supp}(t_1) - \text{supp}(t_2)$ .  
 case 2.  $a_j \in \text{supp}(t_2) - \text{supp}(t_1)$ .  
 case 3.  $a_j \in \text{supp}(t_1) \cap \text{supp}(t_2)$ .

In case 1 we construct a subcollection  $\mathcal{G}$  of  $\mathcal{F}$  such that

- (i)  $\mathcal{G}$  has support  $\subseteq E$   
 (ii)  $|\mathcal{G}| = |\{a : a_{j-1} < a < a_{j+1}\}|$  in  $M$ .  
 (iii)  $\mathcal{G}$  is a  $\Delta$ -system with root  $\{t_2\}$ .

This will suffice since it follows from (ii) and (5) that  $|\mathcal{G}| \not\leq \aleph_0$  in  $M$ .

$\mathcal{G}$  is defined by

$$(7) \quad \mathcal{G} = \{\phi(x) : \phi \in \text{fix}(E)\}.$$

We first note that  $\mathcal{G} \subseteq \mathcal{F}$  since for any  $\phi \in \text{fix}(E)$ ,  $\phi(\mathcal{F}) = \mathcal{F}$  and therefore  $\phi(x) \in \mathcal{F}$ . Part (i) is clear. For (ii) we claim that the set  $f = \{(\phi(x), \phi(a_j)) : \phi \in \text{fix}(E)\}$  is a one-to-one function from  $\mathcal{G}$  onto  $\{a : a_{j-1} < a < a_{j+1}\}$  with support  $E$ . It is clear that  $f$  has domain  $\mathcal{G}$  and that  $f$  is onto  $\{a : a_{j-1} < a < a_{j+1}\}$ . The relation  $f$  is one-to-one, for suppose that  $\phi, \psi \in \text{fix}(E)$  and  $\phi(a_j) = \psi(a_j)$  then  $\psi^{-1}\phi(a_j) = a_j$  so that  $\psi^{-1}\phi \in \text{fix}(E \cup \{a_j\})$ . It follows that  $\psi^{-1}\phi(x) = x$ , hence  $\phi(x) = \psi(x)$ . Similarly  $f$  is a function since for  $\phi, \psi \in \text{fix}(E)$ ,  $\phi(x) = \psi(x) \Rightarrow \psi^{-1}\phi(x) = x$  which by (4) implies  $\psi^{-1}\phi(a_j) = a_j$ . Hence  $\phi(a_j) = \psi(a_j)$ .

(iii) follows since  $\text{supp}(t_2) \subseteq E$  therefore for each  $\phi \in \text{fix}(E)$ ,  $\phi$  fixes  $t_2$ .

Case 2 is similar to case 1. In case 3 we construct a subcollection  $\mathcal{G}$  of  $\mathcal{F}$  satisfying (i), (ii), and

(iii')  $\mathcal{G}$  is a  $\Delta$ -system with root  $\emptyset$ .

As in case 1 we define  $\mathcal{G}$  by (7). The proofs of (i) and (ii) are identical to the case 1 proofs. For (iii') we first note that for  $\phi \in \text{fix}(E)$  with  $\phi(x) \neq x$  we can conclude by (4) that  $\phi(a_j) \neq a_j$  and therefore by (4) that

$$(8) \quad \phi(t_1) \neq t_1 \wedge \phi(t_2) \neq t_2.$$

It follows from (4) that  $\phi(\text{supp}(z)) = \text{supp}(\phi(z))$  for all  $z \in M$  and therefore

$$(9) \quad \phi(t_1) \neq t_2 \wedge \phi(t_2) \neq t_1.$$

Combining (8) and (9) gives us  $(\forall \phi \in \text{fix}(E))(\phi(x) \neq x \Rightarrow \phi(x) \cap x = \emptyset)$ . It follows that the elements of  $\mathcal{G}$  are pairwise disjoint. This completes the proof of 3.4.

The proof that the independence results can be transferred to  $ZF$  will require the following lemma.

**Lemma 3.5** *For any ordinal  $\alpha$ , if  $\mathcal{Q}$  is a collection of sets such that  $|\mathcal{Q}| \leq \aleph_{\alpha+1}$  and  $(\forall x \in \mathcal{Q})(|x| \leq \aleph_\alpha)$  then  $|\bigcup \mathcal{Q}| \not\leq \aleph_{\alpha+2}$ .*

*Proof:* Assume the hypotheses and that  $|\bigcup \mathcal{Q}| \geq \aleph_{\alpha+2}$ . Let  $Z \subseteq \bigcup \mathcal{Q}$  have cardinality  $\aleph_{\alpha+2}$ , then  $\mathcal{Q}' = \{x \cap Z : x \in \mathcal{Q}\}$  satisfies  $|\mathcal{Q}'| \leq \aleph_{\alpha+1}$  and  $(\forall x \in \mathcal{Q}')(|x| \leq \aleph_\alpha)$  and  $|\bigcup \mathcal{Q}'| = \aleph_{\alpha+2}$ . Let  $\triangleleft$  be a well-ordering of  $\bigcup \mathcal{Q}'$ . For each  $x \in \mathcal{Q}'$ ,  $\triangleleft \upharpoonright x$  is an ordering of type  $< \aleph_{\alpha+1}$ . From these well-orderings together with a well-ordering of  $\mathcal{Q}'$  of type  $\leq \aleph_{\alpha+1}$  it is easy to construct a one-to-one function from  $\bigcup \mathcal{Q}'$  into  $\aleph_{\alpha+1} \times \aleph_{\alpha+1}$ . But  $|\aleph_{\alpha+1} \times \aleph_{\alpha+1}| = \aleph_{\alpha+1}$  (see [4], p. 293). This contradiction completes the proof of the lemma.

Now we note that

$$(10) \quad (\forall Z)(|Z| \not\leq \aleph_3 \rightarrow (\forall \mathcal{F} \in \mathcal{P}\mathcal{P}(Z)) [((|\mathcal{F}| \not\leq \aleph_0) \wedge (\forall t \in \mathcal{F})(|t| = 2)) \rightarrow (\exists \mathcal{G} \in \mathcal{P}\mathcal{P}(Z))(\mathcal{G} \subseteq \mathcal{F} \wedge (|\mathcal{G}| \not\leq \aleph_0) \wedge \mathcal{G} \text{ is a } \Delta\text{-system}))])$$

(which is  $\Delta SL(\not\leq \aleph_0)$  restricted to families  $\mathcal{F}$  such that  $|\bigcup \mathcal{F}| \not\leq \aleph_3$ ) is injectively boundable in the sense of [5] since  $|Z| \not\leq \aleph_3$  and  $|Z|_- \leq \aleph_2$  are equivalent (see [5].) Since  $\neg \Delta SL(> \aleph_0)$  is boundable (in the sense of [5]), we can use the transfer results of [5] to obtain a model of  $ZF$  in which (10) is true and  $\Delta SL(> \aleph_0)$  is false. Therefore to complete our argument it will be sufficient to prove the following lemma.

**Lemma 3.6**  $ZF \vdash (10) \rightarrow \Delta SL(\not\leq \aleph_0)$ .

*Proof:* Let  $\mathcal{F}$  be a collection of pairs such that  $|\bigcup \mathcal{F}| \geq \aleph_3$ . Let  $X \subseteq \bigcup \mathcal{F}$  satisfy  $|X| = \aleph_2$  and let  $\mathcal{G} = \{A \in \mathcal{F} : A \cap X \neq \emptyset\}$ . For each  $a \in X$  let  $\mathcal{F}_a = \{A \in \mathcal{G} : (\exists b)(A = \{a, b\} \wedge b \notin X)\}$ . If for some  $a \in X$ ,  $|\mathcal{F}_a| \not\leq \aleph_0$  then  $\mathcal{F}_a$  is an uncountable  $\Delta$ -system (with root  $\{a\}$ ) and we are done. We may therefore assume that for every  $x \in X$ ,  $|\mathcal{F}_x| \leq \aleph_0$ . It follows that for every  $a \in X$ ,  $|\bigcup \mathcal{F}_a| \leq \aleph_0$ . By 3.5  $|\bigcup \{\bigcup \mathcal{F}_a : a \in X\}| \not\leq \aleph_3$ . If we let  $\mathcal{F}' = \{A \in \mathcal{F} : A \subseteq X\}$ , then  $\mathcal{G} = \mathcal{F}' \cup (\bigcup \{\mathcal{F}_a : a \in X\})$  so  $|\bigcup \mathcal{G}| \not\leq \aleph_3$ . Also by 3.5  $|\mathcal{G}| \not\leq \aleph_0$ . There-

fore by (10),  $\mathcal{G}$  has an uncountable subcollection which forms a  $\Delta$ -system. This completes the proof of our independence result which we state as:

**Theorem 3.7**      $ZF \not\vdash \Delta SL(\neq \aleph_0) \Rightarrow \Delta SL(> \aleph_0)$ .

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