

## A DUAL BASIS FOR THE INTEGER TRANSLATES OF AN EXPONENTIAL BOX SPLINE

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**ABSTRACT.** Exponential box splines are multivariate compactly supported functions on a regular mesh. Let  $\phi$  be an exponential box spline associated with integer vectors. Then  $\phi$  is piecewise in a space  $H$  spanned by exponential polynomials. In this paper we construct a dual basis for the integer translates of  $\phi$ , when these translates are linearly independent. The dual basis is shown to be unique in a certain sense. Our construction is based on a study of the polynomial space  $F$  which consists of all polynomials  $p$  such that  $p(D)\phi$  is a bounded function, where  $p(D)$  denotes the partial differential operator induced by  $p$ . It turns out that the linear space  $F$  is dual to  $H$ . Thus, as a by-product, we give a short proof for the formula of the dimension of  $H$ .

**1. Introduction.** As usual, let  $\mathbf{N}, \mathbf{Z}, \mathbf{R}$  and  $\mathbf{C}$  be the set of nonnegative integers, integers, real and complex numbers, respectively. Let  $s$  be a positive integer. Denote by  $\mathbf{R}^s$  the  $s$ -dimensional Euclidean space. Given two vectors  $x$  and  $y$  in  $\mathbf{R}^s$ , we denote by  $x \cdot y$  the inner product of them, and by  $|x|$  the norm of  $x : |x| = \sqrt{x \cdot x}$ . The linear span of a collection  $X$  of vectors in  $\mathbf{R}^s$  will be denoted by  $\text{span}(X)$ . All the continuous complex-valued functions supported on compact sets in  $\mathbf{R}^s$  form a linear space over  $\mathbf{C}$ , which we shall denote by  $C_c(\mathbf{R}^s)$ . Given  $\theta \in \mathbf{C}^s$ , the exponential function  $x \mapsto \exp(\theta \cdot x)$ ,  $x \in \mathbf{R}^s$ , is denoted by  $e_\theta$ .

We shall use the standard multi-index notation. For  $x = (x_1, \dots, x_s) \in \mathbf{R}^s$  and  $\alpha = (\alpha_1, \dots, \alpha_s) \in \mathbf{N}^s$ ,  $x^\alpha$  is the monomial given by

$$x^\alpha := x_1^{\alpha_1} \cdots x_s^{\alpha_s}.$$

A polynomial is a complex linear combination of monomials. The total degree of a polynomial  $p$  is denoted by  $\deg p$ . All the polynomials on

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$\mathbf{R}^s$  form a linear space over  $\mathbf{C}$ , which we shall denote by  $\pi(\mathbf{R}^s)$ , or by  $\pi$  for short. By  $\pi_k$  we denote the subspace of all polynomials of degree at most  $k$ . An exponential polynomial is a function of the form  $\sum_{\theta \in \Theta} e_{\theta} p_{\theta}$ , where  $\Theta$  is a finite subset of  $\mathbf{R}^s$ , and  $p_{\theta} \in \pi(\mathbf{R}^s)$  for each  $\theta \in \Theta$ .

For  $\alpha = (\alpha_1, \dots, \alpha_s) \in \mathbf{N}^s$ , let

$$D^{\alpha} := D_1^{\alpha_1} \dots D_s^{\alpha_s},$$

where  $D_j$  denotes the partial differential operator with respect to the  $j$ th argument,  $j = 1, \dots, s$ . If  $p \in \pi(\mathbf{R}^s)$ ,  $p(x) = \sum_{\alpha} a_{\alpha} x^{\alpha}$ , then the differential operator  $\sum_{\alpha} a_{\alpha} D^{\alpha}$  is denoted by  $p(D)$ . The kernel of  $p(D)$ , denoted by  $\ker(p(D))$ , is the linear space of all infinitely differentiable complex-valued functions  $f$  on  $\mathbf{R}^s$  such that  $p(D)f = 0$ .

During the past decade multivariate spline theory has developed rapidly. In particular, the box spline introduced by de Boor and Höllig [6] has attracted much attention. Recently, Ron [16] initiated the study of exponential box splines, which are a generalization of box splines. Various interesting properties of exponential box splines were developed in [1] and [11].

Let  $X = (x^1, \dots, x^n) \subset \mathbf{R}^s \setminus \{0\}$  and  $\mu = (\mu_1, \dots, \mu_n) \in \mathbf{C}^n$ , the exponential box spline  $C_{\mu}(\cdot|X)$  is defined to be the linear functional on  $C_c(\mathbf{R}^s)$  given by the rule

$$\phi \mapsto \int_{[0,1]^n} e^{-\mu \cdot u} \phi\left(\sum_{j=1}^n x^j u_j\right) du, \quad \phi \in C_c(\mathbf{R}^s).$$

When  $\mu = 0$ ,  $C_{\mu}(\cdot|X)$  reduces to the box spline  $B(\cdot|X)$ . It is known that  $C_{\mu}(\cdot|X)$  is a distribution supported on

$$(1.1) \quad [X] := \left\{ \sum_{j=1}^n t_j x^j : 0 \leq t_j \leq 1, \text{ all } j \right\}.$$

Moreover, if  $X$  spans  $\mathbf{R}^s$ , then  $C_{\mu}(\cdot|X)$  is a piecewise exponential polynomial function.

In the study of univariate splines, de Boor and Fix [5] constructed a dual basis for the sequence of  $B$ -splines, which has proved to be very

useful. Thus, it is desirable to extend the construction of de Boor and Fix to the multivariate case.

Consider the integer translates  $C_\mu(\cdot - \beta|X)$ ,  $\beta \in \mathbf{Z}^s$ . A sequence of linear functionals  $(\lambda_\alpha)_{\alpha \in \mathbf{Z}^s}$  is called a dual basis for these translates if

$$\lambda_\alpha C_\mu(\cdot - \beta|X) = \delta_{\alpha\beta} := \begin{cases} 1, & \text{if } \alpha = \beta; \\ 0, & \text{otherwise.} \end{cases}$$

When  $\mu = 0$  (the box spline case), Wang [20] (for the case  $s = 2$ ), Dahmen and Micchelli [10] and Jia [14] (for the general case) have constructed such a dual basis. Dahmen and Micchelli [11] also constructed a dual basis for  $C_\mu(\cdot - \beta|X)$ ,  $\beta \in \mathbf{Z}^s$ . However, the use of Poisson's summation formula in [10, 11] was not fully justified. Moreover, the construction given in [11] involves a limit process. It is not clear whether such a limit always exists.

In this paper we shall remedy these problems by extending our previous work [14] to exponential box splines. We not only construct a dual basis for the integer translates of an exponential box spline, but also demonstrate that such a dual basis is unique in a certain sense. Our approach is constructive; in particular, it does not involve any limit process. Furthermore, our construction is a genuine extension of the dual basis constructed by de Boor and Fix in [5].

Our construction of the dual basis is based on a study of the linear space  $G(X)$  which consists of all polynomials  $p$  such that  $p(D)C_\mu(\cdot|X)$  is a bounded function. In the box spline case ( $\mu = 0$ ), the space  $G(X)$  already appeared in the paper [14], which was received by the editors on July 9, 1987. It was proved there that  $G$  is dual to another space  $D(X)$  of polynomials associated with the box spline  $B(\cdot|X)$ . Dyn and Ron in [12] proved a more general result that  $G(X)$  is dual to  $D_\mu(X)$  for any  $\mu \in \mathbf{C}^n$  (see Section 4 for the definition of  $D_\mu(X)$ ). We shall reprove this result along a different approach. Our approach has the advantage that it does not rely on the dimension formula of  $D_\mu(X)$ . Thus, as a by-product, we are able to give a very short proof for the dimension formula of  $D_\mu(X)$ .

The paper is organized as follows. In Section 2 we introduce the so-called nearly continuous functions and discuss the Poisson summation formula. In Section 3 we extend a part of the Strang-Fix theory [19] to the case in which exponential polynomials occur. In Section 4 we

introduce the space  $G(X)$  and prove that  $G(X)$  is dual to  $D_\mu(X)$  for any  $\mu \in \mathbf{C}^n$ . Finally, in Section 5, we complete our construction of the dual basis for the integer translates of an exponential box spline.

**2. Poisson's Summation Formula.** In this paper the Fourier-Laplace transform of a function  $\phi$  on  $\mathbf{R}^s$  is defined as follows:

$$\hat{\phi}(z) := \int_{\mathbf{R}^s} \phi(x) e^{-ix \cdot z} dx, \quad z \in \mathbf{C}^s.$$

Here and in what follows,  $i$  stands for the imaginary unit. Restricted on  $\mathbf{R}^s$ ,  $\hat{\phi}$  becomes the Fourier transform of  $\phi$ .

The following form of Poisson's Summation Formula may be found in Stein and Weiss [18, Chapter 7]. Suppose  $\phi$  and its Fourier transform  $\hat{\phi}$  are continuous on  $\mathbf{R}^s$ , and for some  $\delta > 0$ ,

$$\begin{aligned} |\phi(x)| &\leq \text{const} (1 + |x|)^{-s-\delta}, & x \in \mathbf{R}^s, \\ |\hat{\phi}(y)| &\leq \text{const} (1 + |y|)^{-s-\delta}, & y \in \mathbf{R}^s. \end{aligned}$$

Then

$$\sum_{j \in \mathbf{Z}^s} \phi(j) = \sum_{j \in \mathbf{Z}^s} \hat{\phi}(2\pi j).$$

On the basis of this result, Dahmen and Micchelli in [9, Lemma 2.1] gave the following form of Poisson's Summation Formula: Suppose  $\phi \in C_c(\mathbf{R}^s)$  and

$$(2.1) \quad \hat{\phi}(2\pi j) = 0 \quad \text{for } j \in \mathbf{Z}^s \setminus \{0\}.$$

Then

$$(2.2) \quad \hat{\phi}(0) = \sum_{j \in \mathbf{Z}^s} \phi(j).$$

In the following,  $\phi$  may be noncontinuous. Let  $\phi$  be a locally integrable complex-valued function on  $\mathbf{R}^s$ . The function  $\phi$  is called *nearly continuous* if for any  $x \in \mathbf{R}^s$ ,

$$(2.3) \quad \phi(x) = \lim_{\varepsilon \rightarrow 0} \frac{1}{m(B(x, \varepsilon))} \int_{B(x, \varepsilon)} \phi(y) dy,$$

where  $B(x, \varepsilon)$  denotes the ball of radius  $\varepsilon$  centered  $x$  and  $m$  denotes the Lebesgue measure. For a piecewise exponential polynomial function  $\phi$ , the limit on the right of (2.3) always exists, and this limit equals  $\phi(x)$  if  $x$  is not on the mesh. Thus, we may always assume that a piecewise exponential polynomial function is nearly continuous when redefined by (2.3) at mesh points.

**Theorem 2.1.** *Suppose that  $\phi$  is nearly continuous and supported on a compact set in  $\mathbf{R}^s$ . If the Fourier transform  $\hat{\phi}$  satisfies (2.1), then (2.2) holds.*

*Proof.* For  $\varepsilon > 0$ , let

$$\phi_\varepsilon(x) := \frac{1}{m(B(x, \varepsilon))} \int_{B(x, \varepsilon)} \phi(y) dy.$$

Fix  $\varepsilon$  for the moment. Then  $\phi_\varepsilon \in C_c(\mathbf{R}^s)$ . We observe that

$$\begin{aligned} \hat{\phi}_\varepsilon(2\pi j) &= \frac{1}{m(B(0, \varepsilon))} \int_{B(0, \varepsilon)} \int_{\mathbf{R}^s} \phi(x+y) e^{-ix \cdot 2\pi j} dx dy \\ &= \hat{\phi}(2\pi j) \frac{1}{m(B(0, \varepsilon))} \int_{B(0, \varepsilon)} e^{iy \cdot 2\pi j} dy. \end{aligned}$$

Hence,

$$\begin{aligned} \hat{\phi}_\varepsilon(2\pi j) &= 0, \quad j \in \mathbf{Z}^s \setminus \{0\}, \\ \hat{\phi}_\varepsilon(0) &= \hat{\phi}(0). \end{aligned}$$

Since  $\phi_\varepsilon \in C_c(\mathbf{R}^s)$ , (2.2) may apply to  $\phi_\varepsilon$ ; hence,

$$(2.4) \quad \hat{\phi}_\varepsilon(0) = \sum_{j \in \mathbf{Z}^s} \phi_\varepsilon(j).$$

Since  $\phi$  is nearly continuous,

$$\phi(j) = \lim_{\varepsilon \rightarrow 0} \phi_\varepsilon(j), \quad j \in \mathbf{Z}^s.$$

Letting  $\varepsilon \rightarrow 0$  in (2.4), we obtain the desired result.  $\square$

**3. The Strang-Fix conditions.** In this section we assume that  $\phi$  is a nearly continuous function supported on a compact set in  $\mathbf{R}^s$ . Since  $\phi$  is compactly supported, the Fourier-Laplace transform  $\hat{\phi}$  of  $\phi$  is an entire function on  $\mathbf{C}^s$ . The function  $\phi$  gives rise to a semi-discrete convolution operator  $\phi *'$ :

$$\phi *' : f \mapsto \phi *' f := \sum_{j \in \mathbf{Z}^s} \phi(\cdot - j) f(j),$$

which is well defined for any nearly continuous function  $f$  from  $\mathbf{R}^s$  to  $\mathbf{C}$ .

A subspace  $P$  of  $\pi(\mathbf{R}^s)$  is called  $D$ -invariant, if for any  $p \in P$ , the derivatives  $D_j p$  are again in  $P$ ,  $j = 1, \dots, s$ .  $P$  is said to be translation invariant if for any  $p \in P$  and  $x \in \mathbf{R}^s$ , the translate  $p(\cdot + x)$  is again in  $P$ . It is proved in [3] that  $P$  is  $D$ -invariant if and only if it is translation invariant. Furthermore,  $P$  is called scale-invariant if for any  $p \in P$  and any  $r \in \mathbf{R}$ ,  $p(r \cdot)$  is again in  $P$ . A mapping  $T$  on  $P$  is called degree-reducing on  $P$ , if for any  $p \in P$ ,  $Tp$  is again in  $P$  and  $\deg(Tp) < \deg p$ . We denote by 1 the identity mapping. The following theorem was proved by Strang and Fix [19] for the case  $P = \pi_k$ , by Dahmen and Micchelli [9] for the case in which  $P$  is  $D$ -invariant and scale-invariant, and by de Boor [3] for the case in which  $P$  is  $D$ -invariant.

**Theorem 3.1.** *Let  $P$  be a finite-dimensional  $D$ -invariant space of polynomials. Then the mapping  $(1 - \phi *')|_P$  is degree-reducing if and only if  $\hat{\phi}$  satisfies the following conditions:*

- (i)  $\hat{\phi}(0) = 1$ ;
- (ii)  $p(-iD)\hat{\phi}(2\pi j) = 0$  for all  $p \in P$  and  $j \in \mathbf{Z}^s \setminus \{0\}$ .

If  $P = \pi_{k-1}$ , the conditions (i) and (ii) in the above theorem are called the Strang-Fix conditions of order  $k$  (see [19]).

In [8] de Boor and Ron considered the action of the operator  $\phi *'$  on spaces of exponential polynomials. Also, see the survey paper [4]. Here we take an approach different from theirs. The following theorem is a generalization of Theorem 3.1.

**Theorem 3.2.** *Let  $\theta \in \mathbf{C}^s$ , and let  $P$  be a  $D$ -invariant finite-dimensional space of polynomials. Then  $\phi *'$  maps  $e_\theta P$  into itself if*

and only if  $\hat{\phi}$  satisfies

$$(3.1) \quad p(-iD)\hat{\phi}(2\pi j - i\theta) = 0 \quad \text{for all } p \in P \text{ and } j \in \mathbf{Z}^s \setminus \{0\}.$$

Moreover, in this case, one has

$$(3.2) \quad \phi *' (e_\theta p) = e_\theta p(\cdot - iD)\hat{\phi}(-i\theta) = e_\theta(\hat{\phi}(-i\theta - iD)p),$$

for all  $p \in P$ .

*Proof.* Fix  $x \in \mathbf{R}^s$  for the time being. Given  $p \in P$ , let  $\psi_x$  be the function given by

$$(3.3) \quad \psi_x(y) := e^{\theta \cdot y} p(y) \phi(x - y), \quad y \in \mathbf{R}^s.$$

The Fourier transform of  $\psi_x$  can be computed as

$$\hat{\psi}_x(\xi) = e^{(\theta - i\xi) \cdot x} p(x - iD)\hat{\phi}(-\xi - i\theta).$$

Since  $P$  is  $D$ -invariant, it is also translation invariant. Hence,  $p \in P$  implies  $p(x + \cdot) \in P$ . Thus, if  $\hat{\phi}$  satisfies the condition (3.1), then

$$\hat{\psi}_x(2\pi j) = e^{(\theta - i2\pi j) \cdot x} p(x - iD)\hat{\phi}(-2\pi j - i\theta) = 0, \quad \text{for all } j \in \mathbf{Z}^s \setminus \{0\}.$$

Hence, Theorem 2.1 (the Poisson summation formula) can be applied to  $\psi_x$ , so we obtain

$$\sum_{j \in \mathbf{Z}^s} \psi_x(j) = \hat{\psi}_x(0),$$

that is,

$$(3.4) \quad \phi *' (e_\theta p) = e_\theta p(\cdot - iD)\hat{\phi}(-i\theta).$$

By the Taylor theorem, we have

$$p(\cdot - iD) = \sum_{\alpha} \frac{D^\alpha p}{\alpha!} (-iD)^\alpha.$$

Expand  $\hat{\phi}(-i\xi)$  into a power series of  $\xi - \theta$ :

$$\hat{\phi}(-i\xi) = \sum_{\alpha \in \mathbf{N}^s} a_\alpha (\xi - \theta)^\alpha.$$

Let  $[\theta]D^\alpha$  be the linear functional given by  $f \mapsto D^\alpha f(\theta)$ . Applying  $[\theta]D^\alpha$  to both sides of the above equation, we get

$$(-iD)^\alpha \hat{\phi}(-i\theta) = a_\alpha \alpha!.$$

It follows that

$$\begin{aligned} p(\cdot - iD) \hat{\phi}(-i\theta) &= \sum_{\alpha} \frac{D^\alpha p}{\alpha!} (-iD)^\alpha \hat{\phi}(-i\theta) \\ (3.5) \qquad \qquad \qquad &= \sum_{\alpha} a_\alpha D^\alpha p \\ &= \hat{\phi}(-i\theta - iD)p. \end{aligned}$$

We view  $\hat{\phi}(-i\theta - iD)$  as a formal power series in  $D$ , so it is well defined on  $\pi(\mathbf{R}^s)$ . Note that (3.5) is true for any polynomial  $p$ . Since  $P$  is  $D$ -invariant, the operator  $\hat{\phi}(-iD)$  maps  $P$  into itself. This proves the sufficiency part of the theorem. Moreover, (3.2) follows from (3.4) and (3.5).

Conversely, suppose that  $\phi *' (e_\theta p) \in e_\theta \pi$  for all  $p \in P$ . Given a polynomial  $p \in P$ , set

$$g(x, y) := \sum_{k \in \mathbf{Z}^s} \psi_x(y + k), \quad x, y \in \mathbf{R}^s,$$

where  $\psi_x(y)$  is as in (3.3). Then  $g(x, \cdot)$  is a 1-periodic function for any fixed  $x$ , and  $g(\cdot, y) \in e_\theta \pi$  for any fixed  $y$ . The latter statement is true because  $\phi *' (e_\theta p) \in e_\theta \pi$ . Consider the Fourier coefficients  $a_j(x)$  of  $g(x, \cdot)$ :

$$\begin{aligned} a_j(x) &:= \int_{[0,1]^s} g(x, y) e^{-i2\pi j \cdot y} dy \\ &= \sum_{k \in \mathbf{Z}^s} \int_{[0,1]^s} \psi_x(y + k) e^{-i2\pi j \cdot y} dy \\ &= \int_{\mathbf{R}^s} \psi_x(y) e^{-i2\pi j \cdot y} dy \\ &= \hat{\psi}_x(2\pi j) \\ &= e^{(\theta - i2\pi j) \cdot x} p(x - iD) \hat{\phi}(-2\pi j - i\theta). \end{aligned}$$



Note that the image of  $e_\theta P$  under the mapping  $\phi^{*'}$  is finite-dimensional. Since  $g(\cdot, y)$  is in  $e_\theta \pi$  for any fixed  $y$ , so is  $a_j$  for each  $j$ . If  $j \neq 0$ , then  $a_j \in e_\theta \pi$  can happen only if

$$p(x - iD)\hat{\phi}(-2\pi j - i\theta) = 0, \quad \text{for all } x \in \mathbf{R}^s.$$

Choosing  $x = 0$  in the above equation, we obtain

$$p(-iD)\hat{\phi}(-2\pi j - i\theta) = 0, \quad \text{for all } p \in P \text{ and } j \in \mathbf{Z}^s \setminus \{0\},$$

as desired.  $\square$

The following two corollaries are easily derived from Theorem 3.2. We note that Corollary 3.3 is a generalization of a result of Ben-Artzi and Ron in [1], where the mapping  $\phi^{*'}$  was considered for an exponential box spline  $\phi$ . Also the sufficiency part of Corollary 3.3 was first proved by de Boor and Ron in [8].

**Corollary 3.3.** *The mapping  $\phi^{*'}$  maps  $e_\theta P$  one-to-one and onto itself if and only if  $\hat{\phi}$  satisfies the following conditions:*

- (i)  $\hat{\phi}(-i\theta) \neq 0$ ,
- (ii)  $p(-iD)\hat{\phi}(2\pi j - i\theta) = 0$  for all  $p \in P$  and  $j \in \mathbf{Z}^s \setminus \{0\}$ .

**Corollary 3.4.** *The mapping  $\phi^{*'}$  is an identity on  $e_\theta P$  if and only if*

- (i)  $p(-iD)\hat{\phi}(-i\theta) = p(0)$  for all  $p \in P$ ;
- (ii)  $p(-iD)\hat{\phi}(2\pi j - i\theta) = 0$  for all  $p \in P$  and  $j \in \mathbf{Z}^s \setminus \{0\}$ .

We note that if  $\hat{\phi}(-i\theta) \neq 0$ , then the operator  $\hat{\phi}(-i\theta - iD)$  is invertible on  $\pi(\mathbf{R}^s)$ , and its inverse can be found as follows. Expand  $1/\hat{\phi}(-i\theta + \xi)$  into a power series in a neighborhood of the origin:

$$1/\hat{\phi}(-i\theta + \xi) = \sum_{\nu \in \mathbf{N}^s} b_\nu \xi^\nu.$$

Then the inverse of  $\hat{\phi}(-i\theta - iD)$  is

$$(\hat{\phi}(-i\theta - iD))^{-1} = \sum_{\nu \in \mathbf{N}^s} b_\nu (-iD)^\nu.$$

Let now  $H$  be the linear space  $\sum_{\theta \in \Theta} e_{\theta} P_{\theta}$  where  $\Theta$  is a finite subset of  $\mathbf{R}^s$ , and  $P_{\theta}$  is a finite-dimensional  $D$ -invariant space of polynomials for each  $\theta \in \Theta$ . Given a linear functional  $\lambda$  on  $H$ , consider the operator  $Q_{\lambda}$  given by

$$Q_{\lambda} : f \mapsto \sum_{j \in \mathbf{Z}^s} \phi(\cdot - j) \lambda f(\cdot + j).$$

We are interested in the conditions on  $\lambda$  under which  $Q_{\lambda}$  is an identity on  $H$ . This problem has been discussed in [2, 8]. In particular, a systematic treatment for quasi-interpolation was given in [8]. The following theorem was first proved by Ben-Artzi and Ron in [2] under the additional condition that the integer translates of  $\phi$  are linearly independent. It turns out that this condition is *not* necessary.

**Theorem 3.5.** *Suppose that the following conditions are satisfied:*

- (i)  $\hat{\phi}(-i\theta) \neq 0$  for every  $\theta \in \Theta$ ;
- (ii)  $p(-iD)\hat{\phi}(-i\theta - 2\pi j) = 0$  for each  $\theta \in \Theta$  and any  $p \in P_{\theta}$  and  $j \in \mathbf{Z}^s \setminus \{0\}$ .

*Then the operator  $Q_{\lambda}$  is an identity on  $H = \sum_{\theta \in \Theta} e_{\theta} P_{\theta}$  if and only if  $\lambda$  satisfies the following condition:*

$$\lambda(e_{\theta} p) = (\hat{\phi}(-i\theta - iD))^{-1} p(0), \quad \text{for all } \theta \in \Theta \text{ and } p \in P_{\theta}.$$

*Proof.* Given a linear functional  $\lambda$  on  $H$ , there corresponds to each  $\theta$  a polynomial  $q_{\theta}$  (see [7]) such that

$$(3.6) \quad q_{\theta}(D)p(0) = \lambda(e_{\theta} p), \quad \text{for all } p \in P_{\theta}.$$

It follows from (3.2) that

$$\begin{aligned} Q_{\lambda}(e_{\theta} p) &= \phi *' (e_{\theta} q_{\theta}(D)p) \\ &= e_{\theta} (\hat{\phi}(-i\theta - iD) q_{\theta}(D)p). \end{aligned}$$

Hence,  $Q_{\lambda}$  is an identity on  $e_{\theta} P_{\theta}$  if and only if

$$(3.7) \quad q_{\theta}(D)\hat{\phi}(-i\theta - iD)p = p, \quad \text{for all } p \in P_{\theta}.$$

Since  $\hat{\phi}(-i\theta) \neq 0$ , the operator  $\hat{\phi}(-i\theta - iD)$  is invertible on  $P_\theta$ . In (3.7), replacing  $p$  by  $(\hat{\phi}(-i\theta - iD))^{-1}p$ , we see that (3.7) is equivalent to

$$(3.8) \quad q_\theta(D)p = (\hat{\phi}(-i\theta - iD))^{-1}p, \quad \text{for all } p \in P_\theta.$$

But  $P_\theta$  is translation invariant, hence (3.8) is equivalent to

$$(3.9) \quad q_\theta(D)p(0) = (\hat{\phi}(-i\theta - iD))^{-1}p(0), \quad \text{for all } p \in P_\theta.$$

Combining (3.9) with (3.6), we obtain the desired result.  $\square$

We close this section by proving a result on the commutativity of semi-discrete convolution (cf. de Boor [3]).

**Theorem 3.6.** *Under the condition (ii) of Theorem 3.5,*

$$\phi *' f = f *' \phi, \quad \text{for all } f \in H.$$

*Proof.* It suffices to prove the commutativity for  $f = e_\theta p$ , where  $\theta \in \Theta$  and  $p \in P_\theta$ . Since  $\phi$  is compactly supported,  $f *' \phi \in e_\theta P_\theta$ . Moreover, by the assumption, Theorem 3.2 can be applied to  $\phi$ , so  $\phi *' f \in e_\theta P_\theta$ . Hence,  $\phi *' f = e_\theta q_1$ , and  $f *' \phi = e_\theta q_2$  for some  $q_1, q_2 \in P_\theta$ . But the restrictions of  $\phi *' f$  and  $f *' \phi$  on  $\mathbf{Z}^s$  coincide. It follows that  $q_1 = q_2$  on  $\mathbf{Z}^s$ , and therefore  $q_1 = q_2$ , as desired.  $\square$

**4. Dual spaces.** Let  $E$  and  $F$  be two linear spaces over the complex field  $\mathbf{C}$ . A bilinear function

$$\langle \cdot, \cdot \rangle : (x, y) \mapsto \langle x, y \rangle$$

from  $E \times F$  to  $\mathbf{C}$  is called a scalar product if

- (i)  $\langle x, y \rangle = 0$  for all  $y \in F$  implies  $x = 0$ ;
- (ii)  $\langle x, y \rangle = 0$  for all  $x \in E$  implies  $y = 0$ .

If there is a scalar product between  $E$  and  $F$ , then  $E$  and  $F$  are said to be dual spaces with respect to this scalar product. Two dual spaces

have the same dimension. If  $E$  and  $F$  are dual spaces with respect to  $\langle \cdot, \cdot \rangle$ , then for any linear functional  $\lambda$  on  $F$ , there exists a unique element  $x \in E$  such that

$$\lambda(y) = \langle x, y \rangle, \quad \text{for all } y \in F.$$

Let  $X = (x^1, \dots, x^n) \subset \mathbf{R}^s \setminus \{0\}$  and  $\mu = (\mu_1, \dots, \mu_n) \in \mathbf{C}^n$ . Write  $\mu_v$  for  $\mu_j$  if  $v = x^j$ . For  $V \subseteq X$ , let  $p_{\mu, V}$  be the polynomial given by

$$p_{\mu, V} := \prod_{v \in V} p_{\mu_v, v} := \prod_{v \in V} (p_v + \mu_v),$$

where  $p_v$  is the linear function given by  $p_v(x) := x \cdot v$ ,  $x \in \mathbf{R}^s$ . Let

$$D_\mu(X) := \bigcap \{ \ker(p_{\mu, V}(D)) : V \subseteq X, \text{span}(X \setminus V) \neq \mathbf{R}^s \}.$$

Then  $D_\mu(X)$  is a linear space of exponential polynomials. When  $\mu = 0$ , we write  $p_V$  for  $p_{\mu, V}$ , and correspondingly write  $D(X)$  for  $D_\mu(X)$ .

If  $X$  spans  $\mathbf{R}^s$ , then  $C_\mu(\cdot|X)$  is bounded and piecewise in  $D_\mu(X)$ . More precisely, we let  $\mathbf{H}(X)$  be the collection of all  $(s-1)$ -dimensional subspaces  $H$  which are spanned by elements of  $X$ . Furthermore, we set

$$(4.1) \quad c(X) := \bigcup_{H \in \mathbf{H}(X)} H + \mathbf{Z}^s.$$

Then on each connected component of  $\mathbf{R}^s \setminus c(X)$ ,  $C_\mu(\cdot|X)$  agrees with some element of  $D_\mu(X)$ .

Let us now introduce two subspaces  $F_\mu(X)$  and  $G_\mu(X)$  of  $\pi(\mathbf{R}^s)$ :

$$\begin{aligned} F_\mu(X) &:= \text{span} \{ p_{\mu, V} : V \subseteq X, \text{span}(X \setminus V) = \mathbf{R}^s \}, \\ G_\mu(X) &:= \{ p \in \pi(\mathbf{R}^s) : p(D)C_\mu(\cdot|X) \in L^\infty \}. \end{aligned}$$

When  $\mu = 0$ , we write  $F(X)$  for  $F_\mu(X)$ , and  $G(X)$  for  $G_\mu(X)$ , respectively.

For a polynomial  $p$  and an exponential polynomial  $f$ , we define

$$(4.2) \quad \langle p, f \rangle := p(D)f(0).$$

In [14], the author introduced two spaces  $G(X)$  and  $F(X)$  and proved that  $G(X) = F(X)$  and  $G(X)$  is dual to  $D(X)$  with respect to the bilinear function  $\langle \cdot, \cdot \rangle$  given in (4.2). It was pointed out in [11] that the space  $F(X)$  had been also investigated by H. Hakopian.

It was observed by Dyn and Ron [12] that  $F_\mu(X) = F(X)$  for all  $\mu$ . Indeed, for any  $V \subseteq X$ ,  $p_{\mu,V}$  is a linear combination of  $p_Y$ ,  $Y \subseteq V$ , because  $p_{\mu_v,v} = p_v + \mu_v$ . Conversely, since  $p_v = p_{\mu_v,v} - \mu_v$ ,  $p_V$  is also a linear combination of  $p_{\mu,Y}$ ,  $Y \subseteq V$ .

Dyn and Ron proved in [12] that for any  $\mu \in \mathbf{C}^n$ ,  $F(X)$  is dual to  $D_\mu(X)$  with respect to  $\langle \cdot, \cdot \rangle$ . We may apply the technique used in [14] to give a new proof of their result. In contrast to the proof given in [12], our proof does *not* rely on the dimension formula of  $D_\mu(X)$ . Instead, our approach enables us to give a very short proof for the dimension formula of  $D_\mu(X)$  (see Theorem 4.2 below). This should be compared with the fact that both the proofs given in [1, 11] for the dimension formula of  $D_\mu(X)$  are very complicated. Also see [15] for some topics related to the spaces  $F(X)$  and  $D_\mu(X)$ .

We observe that  $F(X) = F_\mu(X)$  is a subspace of  $G_\mu(X)$ . This comes from the differentiation formula for exponential box splines given in [16, Theorem 2.2 (a)]. If  $V \subseteq X$  and  $\text{span}(X \setminus V) = \mathbf{R}^s$ , then  $p_{\mu,V}(D)C_\mu(\cdot|X) \in L^\infty$  by the differentiation formula mentioned above; hence  $p_{\mu,V} \in G_\mu(X)$ . This shows that  $F(X)$  is a subspace of  $G_\mu(X)$ .

**Theorem 4.1.** *For any  $\mu \in \mathbf{C}^n$ , the space  $G_\mu(X)$  is dual to  $D_\mu(X)$  with respect to the bilinear function  $\langle \cdot, \cdot \rangle$ . Moreover,  $G_\mu(X) = F(X)$  for all  $\mu \in \mathbf{C}^n$ .*

*Proof.* If  $X$  does not span  $\mathbf{R}^s$ , then  $G_\mu(X)$ ,  $F(X)$  and  $D_\mu(X)$  are all trivial, so there is nothing to prove. Thus, we may assume that  $\text{span}(X) = \mathbf{R}^s$  in what follows.

First, suppose that  $p \in G_\mu(X)$  satisfies  $\langle p, f \rangle = 0$  for all  $f \in D_\mu(X)$ . Since  $D_\mu(X)$  is translation invariant, this implies that  $p(D)f = 0$  for all  $f \in D_\mu(X)$ . Let  $A$  be a connected component of  $\mathbf{R}^s \setminus c(X)$ . The restriction of  $C_\mu(\cdot|X)$  on  $A$  is a function in  $D_\mu(X)$ ; hence,  $p(D)C_\mu(\cdot|X) = 0$  on  $A$ . This shows that  $p(D)C_\mu(\cdot|X)$  is a distribution supported on

$c(X)$ . On the other hand, by the very definition of  $G_\mu(X)$ ,

$$p(D)C_\mu(\cdot|X) \in L^\infty.$$

Hence,  $p(D)C_\mu(\cdot|X) = 0$ . It follows that  $p = 0$  because  $C_\mu(\cdot|X)$  is compactly supported.

Secondly, suppose that  $f \in D_\mu(X)$  satisfies  $\langle p, f \rangle = 0$  for all  $p \in F(X)$ . We want to prove  $f = 0$ . This will be done by induction on  $\#X$ , the number of elements in  $X$ . If  $\#X = s$ , then  $D_\mu(X)$  is spanned by an exponential function  $e_\theta$  for some  $\theta \in \mathbf{C}^s$ . But constants are in  $F(X)$ ; this implies that  $f(0) = 0$  for  $f \in D_\mu(X)$ . Hence,  $f = 0$ , as desired. Suppose inductively that our claim has been proved for any  $X'$  with  $\#X' < \#X$  and we want to establish it for  $X$ . Consider  $p_{\mu_v, v}(D)f$ , where  $v \in X$ . We have

$$p_{\mu_v, v}(D)p_{\mu_v, v}(D)f(0) = 0 \quad \text{for any } V \subseteq X \setminus v \text{ with } \text{span}(X \setminus v \setminus V) = \mathbf{R}^s.$$

Hence, by the induction hypothesis,  $p_{\mu_v, v}(D)f = 0$ . This, together with the fact that  $f(0) = 0$  implies  $f = 0$ , since  $X$  contains a basis for  $\mathbf{R}^s$ .

By what has been proved, we have

$$\dim(G_\mu(X)) \leq \dim(D_\mu(X)) \leq \dim(F(X)).$$

But  $F(X)$  is a subspace of  $G_\mu(X)$ , hence,  $F(X) = G_\mu(X)$  for all  $\mu \in \mathbf{C}^n$ . We conclude that  $G_\mu(X)$  is dual to  $D_\mu(X)$  with respect to  $\langle \cdot, \cdot \rangle$ .  $\square$

Now Theorem 4.1 tells us that  $\dim(D_\mu(X))$  does not depend on  $\mu$ . One would like to identify those simple cases for which  $\dim(D_\mu(X))$  can be easily computed. This has already been done by Ben-Artzi and Ron in [1]. Let us describe their approach. Denote by  $\mathbf{B}(X)$  the collection of all bases for  $\mathbf{R}^s$  contained in  $X$ . Given  $Z \in \mathbf{B}(X)$ , let  $\theta_Z$  be the unique element in  $\mathbf{C}^s$  such that

$$v \cdot \theta_Z + \mu_v = 0, \quad \text{for all } v \in Z.$$

Given an exponential box spline  $C_\mu(\cdot|X)$ , the pair  $(X, \mu)$  is called its defining set. A defining set  $(X, \mu)$  is called *simple*, if for any two

different bases  $Y$  and  $Z$  contained in  $X$ , the corresponding  $\theta_Y$  and  $\theta_Z$  are different. If  $(X, \mu)$  is simple, then

$$D_\mu(X) = \text{span} \{e_{\theta_Z} : Z \in \mathbf{B}(X)\}.$$

It follows that for a simple defining set  $(X, \mu)$ ,

$$\dim(D_\mu(X)) = \#\mathbf{B}(X).$$

Given  $X \subset \mathbf{R}^s \setminus \{0\}$ , it is easily seen that there exists  $\mu \in \mathbf{C}^n$  such that  $(X, \mu)$  is simple (see [12]). Thus we have proved the following dimension formula, which was first proved in [1,11].

**Theorem 4.2.** *For all  $\mu \in \mathbf{C}^n$ ,  $\dim(D_\mu(X)) = \#\mathbf{B}(X)$ .*

**5. A dual basis.** In this final section we assume that  $X = (x^1, \dots, x^n) \subset \mathbf{R}^s \setminus \{0\}$ ,  $\text{span}(X) = \mathbf{R}^s$  and  $\mu = (\mu_1, \dots, \mu_n) \in \mathbf{C}^n$ . Let

$$\begin{aligned} X_\theta &:= \{x \in X : x \cdot \theta + \mu_x = 0\}, & \theta \in \mathbf{C}^s, \\ N(\mu, X) &:= \{\theta \in \mathbf{C}^s : \text{span}(X_\theta) = \mathbf{R}^s\}. \end{aligned}$$

Then  $e_\theta \in D_\mu(X)$  if and only if  $\theta \in N(\mu, X)$ . The space  $D_\mu(X)$  has the following decomposition (see [1,11]):

$$D_\mu(X) = \bigoplus_{\theta \in N(\mu, X)} e_\theta D(X_\theta).$$

It follows from [11, (4.8)] that

$$p(-iD)C_\mu(\cdot|X)^\wedge(2\pi j - i\theta) = 0, \quad \text{for } p \in D(X_\theta) \quad \text{and } j \in \mathbf{Z}^s \setminus \{0\}.$$

For  $\tau \in \mathbf{R}^s$ , let

$$\phi_\tau := C_\mu(\cdot + \tau|X).$$

Then we have

$$(5.1) \quad \hat{\phi}_\tau(\xi) = e^{i\tau \cdot \xi} C_\mu(\cdot|X)^\wedge(\xi), \quad \xi \in \mathbf{C}^s.$$

Since  $D(X_\theta)$  is  $D$ -invariant, by the Leibniz formula for differentiation, we obtain

$$(5.2) \quad p(-iD)\hat{\phi}_\tau(2\pi j - i\theta) = 0 \quad \text{for } p \in D(X_\theta) \quad \text{and} \quad j \in \mathbf{Z}^s \setminus \{0\}.$$

Therefore, by Theorem 3.2 and its corollaries, we see that  $\phi_\tau *'$  is an invertible mapping on  $D_\mu(X)$  if and only if

$$(5.3) \quad C_\mu(\cdot|X)^{\wedge}(-i\theta) \neq 0 \quad \text{for all } \theta \in N(\mu, X).$$

**Theorem 5.1.** *Suppose that  $C_\mu(\cdot|X)$  satisfies (5.3). Let  $q_\tau \in F(X)$ . Then the operator  $(q_\tau(D)\phi_\tau) *'$  is an identity on  $D_\mu(X)$  if and only if  $q_\tau$  satisfies the following condition:*

$$(5.4) \quad \langle q_\tau, e_\theta p \rangle = (\hat{\phi}_\tau(-iD - i\theta))^{-1}p(0), \quad \text{for } \theta \in N(\mu, X) \quad \text{and} \quad p \in D(X_\theta).$$

*Such a polynomial  $q_\tau$  exists and is unique.*

*Proof.* We observe that  $q_\tau \in F(X)$  implies that  $q_\tau(D)\phi_\tau$  is a nearly continuous function. Since  $\phi_\tau$  satisfies (5.2), by Theorem 3.6 we have

$$\phi_\tau *' f = f *' \phi_\tau, \quad \text{for all } f \in D_\mu(X).$$

It follows that, for any  $f \in D_\mu(X)$ ,

$$\begin{aligned} (q_\tau(D)\phi_\tau) *' f &= q_\tau(D)(\phi_\tau *' f) \\ &= q_\tau(D)(f *' \phi_\tau) \\ &= (q_\tau(D)f) *' \phi_\tau \\ &= \phi_\tau *' (q_\tau(D)f). \end{aligned}$$

Hence,  $(q_\tau(D)\phi_\tau) *'$  is an identity on  $D_\mu(X)$  if and only if

$$(5.5) \quad \phi_\tau *' (q_\tau(D)f) = f, \quad \text{for all } f \in D_\mu(X).$$

Let  $\lambda = [0]q_\tau(D)$ . Then, by Theorem 3.5, (5.5) is true if and only if

$$\lambda(e_\theta p) = (\hat{\phi}_\tau(-iD - i\theta))^{-1}p(0), \quad \text{for } \theta \in N(\mu, X) \quad \text{and} \quad p \in D(X_\theta).$$



This is just (5.4). The existence and uniqueness of  $q_\tau$  follow from Theorem 4.1.  $\square$

From now on we assume that  $X \subset \mathbf{Z}^s \setminus \{0\}$ .  $X$  is called unimodular if

$$(5.6) \quad |\det Z| = 1, \quad \text{for any basis } Z \subseteq X.$$

It is known that the integer translates of  $C_\mu(\cdot|X)$  are linearly independent if and only if  $(X, \mu)$  satisfies (5.3) and (5.6). When  $\mu = 0$ , this fact was proved in [9, 13]. In general, this was proved in [11, 17]. In fact, it was proved in [11] that the integer translates of  $C_\mu(\cdot|X)$  are locally linearly independent, that is, if  $A$  is a nonempty open set of  $\mathbf{R}^s$ , and if

$$\sum_{\alpha \in \mathbf{Z}^s} a_\alpha C_\mu(\cdot - \alpha|X) = 0 \quad \text{on } A,$$

then  $a_\alpha = 0$ , provided that the support of  $C_\mu(\cdot - \alpha|X)$  intersects  $A$ . Note that the support of  $C_\mu(\cdot - \alpha|X)$  is  $[X] + \alpha$ , where  $[X]$  is as given in (1.1).

Let  $A$  be a connected component of  $\text{Int}[X] \setminus c(X)$ , where  $\text{Int}[X]$  denotes the interior of  $[X]$  and  $c(X)$  is as given in (4.1). Pick up a point  $\tau \in A$ . For each  $\alpha \in \mathbf{Z}^s$ , the restriction of  $C_\mu(\cdot - \alpha|X)$  on  $A$  is a function in  $D_\mu(X)$ , which we shall denote by  $f_\alpha$ . Note that  $f_\alpha = 0$  if  $[X] + \alpha$  does not intersect  $A$ . Suppose now that  $C_\mu(\cdot|X)$  satisfies (5.3) and (5.6). Then the set  $\{f_\alpha : ([X] + \alpha) \cap A \neq \emptyset\}$  is a linearly independent subset of  $D_\mu(X)$ . But  $F(X)$  is dual to  $D_\mu(X)$  with respect to the scalar product  $\langle \cdot, \cdot \rangle$ , hence there exists a polynomial  $q_\tau \in F(X)$  such that

$$q_\tau(D)f_\alpha(\tau) = \delta_{\alpha 0}, \quad \alpha \in \mathbf{Z}^s.$$

It follows that

$$[\alpha + \tau]q_\tau(D)C_\mu(\cdot|X) = \delta_{\alpha 0}, \quad \alpha \in \mathbf{Z}^s.$$

Now we are in a position to state and prove the main result of this paper.

**Theorem 5.2.** *Suppose that  $C_\mu(\cdot|X)$  satisfies (5.3) and (5.6). Then for any  $\tau \in \text{Int}[X]$ , there exists a unique polynomial  $q_\tau \in F(X)$  such that the functionals  $\lambda_\alpha$  given by*

$$(5.7) \quad \lambda_\alpha := [\alpha + \tau]q_\tau(D)$$

satisfy

$$(5.8) \quad \lambda_\alpha C_\mu(\cdot - \beta|X) = \delta_{\alpha\beta}, \quad \text{for all } \alpha, \beta \in \mathbf{Z}^s.$$

Moreover, the mapping  $\tau \mapsto q_\tau$  is continuous from  $\text{Int}[X]$  to  $F(X)$ , where the finite-dimensional linear space  $F(X)$  is equipped with any norm.

*Proof.* Let  $q_\tau$  be an element of  $F(X)$  such that the functionals  $\lambda_\alpha$  given by (5.7) satisfy (5.8). Then for all  $\alpha \in \mathbf{Z}^s$ ,

$$(5.9) \quad (q_\tau(D)\phi_\tau)(\alpha) = [\alpha + \tau]q_\tau(D)C_\mu(\cdot|X) = \lambda_\alpha C_\mu(\cdot|X) = \delta_{\alpha 0}.$$

Let  $f \in X_\theta$ , where  $\theta \in N(\mu, X)$ . Then it follows from (5.9) that

$$(q_\tau(D)\phi_\tau) *' f(\beta) = f(\beta), \quad \text{for all } \beta \in \mathbf{Z}^s.$$

This shows that the operator  $(q_\tau(D)\phi_\tau) *'$  is an identity on  $X_\theta$  for every  $\theta \in N(\mu, X)$ ; hence it is an identity on  $D_\mu(X)$ . By Theorem 5.1, such a polynomial  $q_\tau$  is unique. The existence of  $q_\tau$  has been proved for the case  $\tau \notin c(X)$ . We want to remove this restriction. For this purpose, we first show that the mapping  $\tau \mapsto q_\tau$  is continuous from  $\text{Int}[X]$  to  $F(X)$ .

From (5.4) and (5.1), we observe that, for any  $f \in D_\mu(X)$ ,

$$\langle q_\tau, f \rangle = q_\tau(D)f(0)$$

is an exponential polynomial function of  $\tau$ . Choose a basis  $f_1, \dots, f_m$  for  $D_\mu(X)$ , and a basis  $q_1, \dots, q_m$  for  $F(X)$  such that they are biorthogonal, i.e.,

$$\langle q_j, f_k \rangle = \delta_{jk}, \quad 1 \leq j, k \leq m.$$

Suppose

$$q_\tau = \sum_{j=1}^m a_{j,\tau} q_j.$$

Then  $a_{j,\tau} = \langle q_\tau, f_j \rangle$  is an exponential polynomial function of  $\tau$ . This proves that the mapping  $\tau \mapsto q_\tau$  is continuous from  $\text{Int}[X]$  to  $F(X)$ .

Finally, let  $\tau \in \text{Int}[X]$ , and let  $q_\tau$  be the unique polynomial in  $F(X)$  satisfying (5.4). For simplicity, we write  $C_\mu$  for  $C_\mu(\cdot|X)$ . Let  $\lambda_\alpha$  be

given by (5.7). Since the mapping  $\tau \mapsto q_\tau$  is continuous on  $\text{Int } [X]$ , we have

$$\begin{aligned} \lambda_\alpha C_\mu(\cdot|X) &= (q_\tau(D)C_\mu)(\alpha + \tau) \\ &= \lim_{\varepsilon \rightarrow 0} \frac{1}{m(B(0, \varepsilon))} \int_{B(0, \varepsilon)} (q_\tau(D)C_\mu)(\alpha + \tau + y) dy \\ &= \lim_{\varepsilon \rightarrow 0} \frac{1}{m(B(0, \varepsilon))} \int_{B(0, \varepsilon)} (q_{\tau+y}(D)C_\mu)(\alpha + \tau + y) dy \\ &= \delta_{\alpha 0}. \end{aligned}$$

This implies (5.8), as desired.  $\square$

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