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MODULES OVER DOMAINS LARGE IN A COMPLETE DISCRETE VALUATION RING

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ABSTRACT. We consider a class of domains R containing a maximal ideal \mathfrak{N} such that R is not complete with respect to the \mathfrak{N} -adic topology, but $T = R_{\mathfrak{N}}$ is a complete DVR. Such domains are called T-large because of the way to construct them. We characterize a T-large domain R to be of the form $R = T \cap V$, where V is a mildly restricted valuation domain of Q, the field of fractions of T. We show that the completion \hat{V} of V has infinite rank as a V-module. We investigate finite rank torsion-free modules M over a T-large domain Rwhich are Hausdorff in the N-adic topology. Making use of known results on V-modules, we obtain the following results: there exist indecomposable torsion-free Hausdorff R-modules of any fixed rank n; every cotorsion-free Hausdorff R-algebra of rank n is the endomorphism algebra of a torsion-free module of rank 3n; the Krull-Schmidt theorem fails, that is, there exist finite rank torsion-free Hausdorff R-modules which admit nonisomorphic decompositions into indecomposable summands.

Introduction. In his 1962 book [6], Nagata exhibited the first example of a noncomplete discrete valuation ring R such that [Q:Q] $<\infty$, where Q, Q are the field of fractions of R and its completion R, respectively. The DVR's satisfying this property were called Nagata valuation domains in [9].

Recently the second author [9] and Arnold and Dugas [1] investigated torsion-free modules of finite rank over Nagata valuation domains R. In particular, in [9] it was proved that if $[\hat{Q} : Q] = 2$, then every finite rank torsion-free indecomposable *R*-module has rank ≤ 2 ; in [1] it is shown that [Q:Q] = 3 implies that every finite rank torsion-free indecomposable *R*-module has rank ≤ 3 , while if $[\hat{Q}: Q] \geq 4$, then there exist finite rank torsion-free indecomposable *R*-modules of arbitrarily large rank. It is worth noting that the Krull-Schmidt theorem holds for finite rank torsion-free modules over Nagata valuation domains since

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they all are henselian rings, and therefore we can apply Lemma 14 of [8].

In fact Vámos in [8] had previously studied nonhenselian valuation domains R such that $[\tilde{Q} : Q] < \infty$, where \tilde{Q} denotes the field of fractions of a maximal immediate extension \tilde{R} of R. Inter alia, he proved that every finite rank torsion-free indecomposable R-module has rank ≤ 2 ([8, Theorem 10]), and that the Krull-Schmidt theorem holds for finite rank torsion-free R-modules. Further investigations on finite rank torsion-free modules over nonhenselian valuation domains, especially concerning the failure of the Krull-Schmidt theorem, have been made by Goldsmith and the first author in [3] and by the authors in [5].

In the present paper we consider the limit situation of a certain noncomplete domain R, whose completion \hat{R} is a DVR, and R, \hat{R} have the same field of fractions, i.e. $[\hat{Q}:Q] = 1$. Note that R cannot be a valuation domain in this case.

Our starting point is the following result by the second author and Zannier ([10, Theorem 7]): let T be a domain, complete with respect to the \mathfrak{M} -adic topology where \mathfrak{M} is a maximal ideal of T; then there exists a subring R of T satisfying the following: 1) $\mathfrak{N} = \mathfrak{M} \cap R$ is a maximal ideal of R, 2) R is not local, 3) $T = R_{\mathfrak{N}}$, 4) T is the completion of R in its \mathfrak{N} -adic topology.

In the first section we recall the way to construct such an R for any given T (cf. [10]); because of this construction we shall say that R is a T-large domain. We are interested in the case where T is a complete DVR; in this case we characterize a T-large domain R to be of the form $R = T \cap V$ where V is a mildly restricted valuation domain of Q, the field of fractions of T (Proposition 2). Also, a T-large domain R has exactly two maximal ideals, namely $\mathfrak{P} = \overline{\mathfrak{P}} \cap R$ and $\mathfrak{N} = \mathfrak{M} \cap R$ where $\overline{\mathfrak{P}}$ and \mathfrak{M} are the maximal ideals of V and T, respectively; nevertheless, it can have any admissible Krull dimension (Proposition 3). We also show that the valuation domain V cannot be complete in the topology of the valuation; in fact if \hat{V} denotes the completion of V, then $\operatorname{rank}_V \hat{V} = \infty$; as a consequence we also have that $\operatorname{rank}_V \tilde{V} = \infty$ where \tilde{V} is any maximal immediate extension of V (Proposition 4).

The second section is devoted to the study of finite rank torsion-free modules M over a T-large domain $R = T \cap V$. We confine ourselves to

R-modules *M* which are Hausdorff in the \mathfrak{N} -adic topology and carry over results on *V*-modules to these modules. In Proposition 7 we show that there exist indecomposable torsion-free *R*-modules of any fixed rank *n* (which is different from the above case of those Nagata valuation domains such that $[\hat{Q}: Q] = 2$ or 3; see [**9**] and [**1**]).

In Theorem 8 we make use of Theorem 1 of [3] to prove a "Corner type" result: every cotorsion-free Hausdorff R-algebra A of finite rank nis the R-endomorphism algebra of a torsion-free Hausdorff R-module Mof rank 3n. Finally in Theorem 10 we adapt the arguments developed in Theorem 2 of [3], showing that the Krull-Schmidt theorem fails for finite rank torsion-free R-modules: more precisely, for every n > 0there exists a Hausdorff R-module N of rank > n which admits two non-isomorphic direct decompositions into indecomposable summands. This result provides another dramatic difference from the cases of both Nagata valuation domains ([9] and [1]) and the nonhenselian valuation domains studied by Vámos in [8].

Section 1. For general facts about valuation domains and their modules, we refer to the books by Schilling [7] and by Fuchs and Salce [2].

We recall the construction and the properties of the domains R in which we are interested, as described in the proof of Theorem 7 in [10].

Let T be a local domain, not a field, with maximal ideal \mathfrak{M} . We assume that T is Hausdorff and complete in the \mathfrak{M} -adic topology. We choose an $x \in T \setminus \mathfrak{M}$ in such a way that $x \equiv 1 \mod \mathfrak{M}$ and the family of subrings $\mathcal{F} = \{B \subset T : x \in B \text{ and } 1/x \notin B\}$ is nonempty. It is worth recalling a possible choice of x. If $\chi(T) = 0$ and $\chi(T/\mathfrak{M}) = p > 0$ (the eterocharacteristic case), we let x be any prime number such that $x \equiv 1 \mod (p)$; then $x \in \mathbb{Z}$, $1/x \notin \mathbb{Z}$, so that $\mathbb{Z} \in \mathcal{F}$. If $\chi(T) = \chi(T/\mathfrak{M})$ (the equicharacteristic case), then T contains a field L and any nonzero $z \in \mathfrak{M}$ is transcendental over L; in this case we set x = 1 + z; then $L[x] \in \mathcal{F}$, since $1/x \notin L[x]$, x being transcendental over L. Let now $R \subset T$ be a maximal element of \mathcal{F} . Note that R is a proper subring of T since $1/x \in T$, T being local. Let \mathfrak{P} be a maximal ideal of R containing the nonunit x of R; let $\mathfrak{N} = \mathfrak{M} \cap R$. Then R satisfies the following properties (see [10]):

A. R is integrally closed in T.

B. If $z \in T \setminus \mathfrak{M}$, then either $z \in R$ or $1/z \in R$.

C. \mathfrak{P} and \mathfrak{N} are distinct and are the only maximal ideals of R; in particular, any $r \in R \setminus (\mathfrak{P} \cup \mathfrak{M})$ is a unit of R.

D. $\pi_{\mathfrak{M}}(R) = T/\mathfrak{M}$ where $\pi_{\mathfrak{M}}: T \to T/\mathfrak{M}$ is the canonical map.

E. $T = R_{\mathfrak{N}}$.

F. For all $n \in \mathfrak{N}$, we have $\mathfrak{N}^n = R \cap (\mathfrak{M}^n)$, that is, the \mathfrak{N} -adic topology of R coincides with the topology induced on R by the \mathfrak{M} -adic topology of T; R is a dense subset of T, with respect to the \mathfrak{M} -adic topology; Tis the completion of R in its \mathfrak{N} -adic topology.

A subring R of T constructed in the way described above will be called T-large.

Remark. It is important to observe that the apparently technical hypothesis $x \equiv 1 \mod \mathfrak{M}$ cannot be weakened in the construction of the T-large domain R. Actually, it is not enough to choose $x \notin \mathfrak{M}$ and take a maximal element of the family $\mathcal{F} = \{S \subset T : x \in S \text{ and } 1/x \notin S\}$ to get a T-large domain. Let us in fact consider the following example: $T = \mathbf{Q}[[t]]$ (formal power series in the indeterminate t) and $R = \mathbf{Z}_p + t\mathbf{Q}[[t]]$ (formal power series with constant term in \mathbf{Z}_p , the integers localized at the prime p). It is known that R is a valuation domain, hence certainly not a T-large domain; on the other hand, setting x = p, R is a maximal element of the family $\mathcal{G} = \{S \subset T : x \in S, 1/x \notin S\}$. In fact if $f \in T \setminus R$, then the constant term of f has to lie in $\mathbf{Q} \setminus \mathbf{Z}_p$ from which it readily follows that $1/p \in R[f]$. But R[1/p] = T; thus we get that R is a maximal subring of T, whence obviously maximal in \mathcal{G} . Note that here $x = p \notin t\mathbf{Q}[[t]] = \mathfrak{M}$, but $p \neq 1 \mod \mathfrak{M}$.

From now on we shall consider T to be a valuation domain, complete in the \mathfrak{M} -adic topology. This implies that T is a DVR, so that \mathfrak{M} is principal; we shall denote by π a fixed generator of \mathfrak{M} ; R, \mathfrak{P} , \mathfrak{N} , x will

maintain the same meaning as above. Finally, we shall denote by Q the common field of fractions of T and R.

We want to give a description of the T-large domain R in terms of intersection of two valuation domains, which is more useful for our purposes.

Let us first show some further properties of R which may be used in the sequel.

G. π can be chosen in R, more precisely in \mathfrak{N} not in \mathfrak{P} ; for such a choice we have $\mathfrak{N} = \pi R$.

Proof. Since $T = R_{\mathfrak{N}}$ we can write π in the form $\pi = \sigma/t$, where $\sigma, t \in R$ and $t \notin \mathfrak{N} = R \cap \mathfrak{M}$. If now $\sigma \notin \mathfrak{P}$, we replace π with σ . If $\sigma \in \mathfrak{P}$, let us pick an element $\alpha \in R$ such that $\alpha \in \mathfrak{N} \setminus \mathfrak{P}$; α does exist in view of (C). If α is a generator of πT we replace π with α . Otherwise, let $\beta = \alpha + \sigma$; then $\pi \in \sigma T$ and $\pi \notin \alpha T$ imply $\pi \in \beta T$; moreover, $\alpha \notin \mathfrak{P}$ and $\sigma \in \mathfrak{P}$ imply $\beta \notin \mathfrak{P}$. Thus $\pi T = \beta T$ and $\beta \in \mathfrak{N} \setminus \mathfrak{P}$ which shows the first part of our statement. Let us now pick any $z \in \mathfrak{N} \subseteq \pi T$ where $\pi \in \mathfrak{N} \setminus \mathfrak{P}$. We have $z = \pi^k \lambda$, where k > 0 is a suitable integer and $\lambda \in T \setminus \pi T$. In view of (B) either $\lambda \in R$ or $1/\lambda \in R$. In the first case we have $z \in \pi R$, whence $\mathfrak{N} \subseteq \pi R$ as desired. If on the contrary $\lambda \notin R$, then by (C), $1/\lambda \in \mathfrak{P}$ since it is not a unit of R and $1/\lambda \notin \mathfrak{N} \subseteq \pi T$. We then get $\pi^k = z/\lambda \in \mathfrak{P}$, whence $\pi \in \mathfrak{P}$, impossible. The desired conclusion follows.

H. $\mathfrak{P} = \operatorname{Rad}(x)$.

Proof. Let $b \in \mathfrak{P}$; then in view of (G), $b = \pi^h c$ where $c \in \mathfrak{P} \setminus \pi R$. Then $1/c \in T \setminus R$ so that $R \subsetneq R[1/c] \subset T$. The maximality of R implies that $1/x \in R[1/c]$ so that

(1) $1/x = a_0 + a_1 1/c + \dots + a_r 1/c^r, \quad a_i \in R.$

By (1) we readily get $c^r \in xR$ so that $b^r \in xR$ too. Since $b \in \mathfrak{P}$ was arbitrary, we conclude that $\mathfrak{P} \subseteq \operatorname{Rad}(x)$. The converse inclusion is trivial.

Proposition 1. In the above notation, $V = R_{\mathfrak{P}}$ is a valuation domain and $R = V \cap T$.

Proof. In view of (G) any element z of $R \setminus \mathfrak{P}$ can be written in the form $z = \pi^k u$ where k is a positive integer and u is a unit of R. It follows that any element w of $V = R_{\mathfrak{P}}$ can be written in the form $w = \pi^h \alpha$ where h is an integer, possibly negative, and $\alpha \in R \setminus \pi R$. If $w \in \mathfrak{P}V$, then necessarily $\alpha \in \mathfrak{P}$. Let then $\pi^h \alpha, \pi^m \beta$ be arbitrary elements of $\mathfrak{P}V$ where $\alpha, \beta \in \mathfrak{P} \setminus \pi R$. Since $\alpha/\beta \in T \setminus \pi T$, by (B) we see that either $\alpha/\beta \in R$ or $\beta/\alpha \in R$; let us assume that $\alpha/\beta \in R$. Then $\pi^{h-m}(\alpha/\beta) \in R_{\mathfrak{P}} = V$. It follows that, given two elements of $\mathfrak{P}V$, necessarily one divides the other and this is enough to ensure that V is a valuation domain. If now $z \in V \cap T$, we have $z = \pi^t \gamma$ with $t \in \mathbf{Z}$, $\gamma \in R \setminus \pi R$, since $z \in V$; since $z \in T$, too, we must have $t \geq 0$, whence $z \in R$. This shows that $R \supseteq V \cap T$ so that $R = V \cap T$ as desired.

From the above proposition we deduce further properties of R.

I. The ideal $\mathfrak{P}_1 = \bigcap_n x^n R$ is prime in R.

Proof. Since V is a valuation domain, it is known that $\cap_n x^n V$ is a prime ideal of V. It is then enough to show that $\mathfrak{P}_1 = (\cap_n x^n V) \cap R$; we will prove that $x^n R = x^n V \cap R$. Let $x^n v = r$ where $v \in V$ and $r \in R$: we have to show that $v \in R$. In fact, if $v \in V \setminus R$, we can write $v = \alpha/\pi^h$ where $\alpha \in R \setminus \pi R$, h > 0; it follows that $x^n \alpha \in \pi R$, impossible, since $x, \alpha \notin \pi R$.

J. R is a maximal subring of T if and only if $\mathfrak{P}_1 = \bigcap_n x^n R = \{0\}.$

Proof. (\Rightarrow). Let us suppose that $\mathfrak{P}_1 \neq \{0\}$; we shall show that $R[1/x] \neq T$ so that R is not a maximal subring. Let us choose $b \in \mathfrak{P}_1$, $b \neq 0$. We can write $b = \pi^h c$ with $c \notin \pi R$. Now $c \in \mathfrak{P}_1$ since $\pi \notin \mathfrak{P}_1 \subset \mathfrak{P}$ and \mathfrak{P}_1 is a prime ideal. Then $1/c \in T$ and we will verify that $1/c \notin R[1/x]$ from which our assertion will follow. In fact from $1/c \in R[1/x]$, it readily follows that $x^m \in cR$ for a suitable positive integer m, so that $x^m \in \mathfrak{P}_1 = \bigcap_n x^n R$, which is a plain contradiction.

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(\Leftarrow). By the properties of R, for every $v \in T \setminus R$ we have $1/x \in R[v]$; therefore, to show that R is a maximal subring of T, it is enough to check that R[1/x] = T. Since T is a local ring it is plainly sufficient to show that every unit u of T not in R lies in R[1/x]. In view of (B) we must have $1/u \in \mathfrak{P} \setminus \mathfrak{N}$. By contradiction, let us assume that $u \notin R[1/x]$; in particular, for no integer k we have $x^k \in (1/u)R$ which shows that $x \notin \operatorname{Rad}(1/u)$. Therefore $\mathfrak{P} \supseteq \operatorname{Rad}(1/u)$ and, on the other hand, $\operatorname{Rad}(1/u) \not\subseteq \mathfrak{N}$ since $1/u \notin \mathfrak{N}$. Thus a prime ideal of R must exist, say \mathfrak{J} containing 1/u, properly contained in \mathfrak{P} and not containing x. To conclude we show that necessarily $\mathfrak{J} \subseteq \cap_n x^n R = \mathfrak{P}_1$ so that $\mathfrak{P}_1 \neq \{0\}$, which is our required contradiction. In fact let $b \in \mathfrak{J}$; as usual let us write $b = \pi^h c$ with $c \notin \pi R$; $c \in \mathfrak{J}$ since $\pi \notin \mathfrak{P} \supseteq \mathfrak{J}$, and it suffices to show that $c \in \mathfrak{P}_1$. Assuming that $c \in x^k R$, let us verify that then $c \in x^{k+1}R$ too. We have $c = x^k d$ where $d \in \mathfrak{J}$ since $x \notin \mathfrak{J}$. Now both x and d lie in $\mathfrak{P} \setminus \mathfrak{N}$ so that as a consequence of (B), one divides the other in R; but $x \notin dR \subseteq \mathfrak{J}$ and so $d \in xR$, whence $c \in x^{k+1}R$. The desired conclusion follows.

We shall see in the following that it is possible that $\mathfrak{P}_1 = \{0\}$ so that R can be a maximal subring of T. However, as we have seen in the above remark, not every maximal subring of a complete DVR T is a T-large domain.

The following result completes the description of T-large domains in terms of intersections of valuation domains.

Proposition 2. Let V be a valuation domain of Q with maximal ideal $\overline{\mathfrak{P}}$. Then $V \cap T = R$ is a T-large domain if and only if V is not contained in T and $\overline{\mathfrak{P}}$ is a radical ideal.

Proof. (\Rightarrow). If V is contained in T, then $V \cap T = V = R$ is local and so it cannot be a T-large domain. Let us now assume that $V \not\subseteq T$; of course then $T \not\subseteq V$ since T is a DVR; therefore we can invoke Theorem 11.11 of [**6**, p. 38]. R has exactly two distinct maximal ideals, $\mathfrak{P} = \overline{\mathfrak{P}} \cap R$ and $\mathfrak{N} = \mathfrak{M} \cap R$, and we have $R_{\mathfrak{P}} = V$, $R_{\mathfrak{M}} = T$. If $\overline{\mathfrak{P}}$ is not a radical ideal, then $\overline{\mathfrak{P}}$ is the union of a strictly ascending chain of prime ideals of V; therefore, also $\mathfrak{P} = \overline{\mathfrak{P}} \cap R$ is the union of a strictly ascending chain of prime ideals of R since V is a localization of R. Then \mathfrak{P} cannot

be a radical ideal in R, whence R does not satisfy property (H) and so it is not a T-large domain.

(\Leftarrow). Since $V \not\subseteq T$, again by Theorem 11.11 of [6], we have that $\mathfrak{P} =$ $\overline{\mathfrak{P}} \cap R$ and $\mathfrak{N} = \mathfrak{M} \cap R$ are the only maximal ideals of R and they do not coincide. Let us now choose $y \in V$ such that $\operatorname{Rad}_V(y) = \overline{\mathfrak{P}}$. We may assume that $y \in R$; in fact if $y \notin T$, then $1/y \in \mathfrak{M} \setminus V$, whence 1 + 1/yis a unit of T not in V, whence $(1+1/y)^{-1} = y/(1+y) \in V \cap T = R$. Moreover, $\operatorname{Rad}_V(y/(1+y)) = \operatorname{Rad}_V(y) = \overline{\mathfrak{P}}$ since 1+y is a unit of V. We may also assume that $y \in R \setminus \mathfrak{N}$. If $y = \pi^k y'$ with $y' \in R \setminus \mathfrak{N}$, we have $\operatorname{Rad}_V(y') = \operatorname{Rad}_V(y)$ since π is a unit of V. Now if $y \in R \setminus \mathfrak{N}$ and $\operatorname{Rad}_V(y) = \overline{\mathfrak{P}}$, it is easily seen that $\operatorname{Rad}_R(y) = \overline{\mathfrak{P}} \cap R = \mathfrak{P}$. In fact for any $w \in \mathfrak{P}$ there exists a k > 0 such that $w^k \in yV$, whence $w^k/y \in V \cap T$ (since y is a unit in T) and therefore $w^k \in yR$ which shows that $\mathfrak{P} \subseteq \operatorname{Rad}_R(y)$. Finally, let us pick $z \in \mathfrak{N} \setminus \mathfrak{P}$; then y + z is a unit in R since $y + z \notin \mathfrak{N} \cup \mathfrak{P}$. Let us set $x = y/(y + z) \in R$. Then $\operatorname{Rad}_R(x) = \operatorname{Rad}_R(y) = \mathfrak{P}$ and $x \equiv 1 \mod \mathfrak{N}$. To conclude that R is a T-large domain, it suffices to show that R is maximal with respect to the property of not containing x. Let $u \in T \setminus R$; possibly substituting u by 1+u, we may assume that u is a unit of T. We must show that $1/x \in R[u]$. Since $u \in T \setminus \mathfrak{M}$ and $u \notin R$, then $u \notin V$ so that $1/u \in \overline{\mathfrak{P}}$. It follows that $1/u \in \mathfrak{P} = \operatorname{Rad}_R(x)$, whence $(1/u)^k \in xR$ for a suitable k, and so $1/x \in u^k R \subseteq R[u]$ as desired.

Using the above characterization, we are able to show that a T-large domain R can have any admissible Krull dimension. We need first the following well-known lemma on valuation domains.

Lemma. Let L be a field, $\{x_{\alpha} : \alpha < \gamma\}$ a set of indeterminates over L indexed by the ordinal γ ; then there exists a valuation domain V of the field $L(x_{\alpha} : \alpha < \gamma)$ of Krull dimension γ ; $\mathfrak{P}_0 = x_0 V$ is the maximal ideal of V, and the set $\{\mathfrak{P}_{\alpha} : \alpha < \gamma\}$ of nonzero prime ideals of V is well-ordered by the opposite inclusion.

Proof. We consider the group $G = \bigoplus_{\alpha < \gamma} \mathbf{Z}_{\alpha}$ where $\mathbf{Z}_{\alpha} \cong \mathbf{Z}$ for all α . We endow G with the anti-lexicographic order, i.e. the vector $(c_{\alpha})_{\alpha < \gamma} \in G \ c_{\alpha} \in \mathbf{Z}$, is positive if and only if the element of its support with the largest index is positive. For $\alpha < \gamma$ let e_{α} be the element of G whose coordinates are 1 at the α -th place and 0 otherwise. We define a

valuation $v: L(x_{\alpha} : \alpha < \gamma) \to G \cup \{\infty\}$ by extending the assignments $x_{\alpha} \mapsto e_{\alpha}$. Then the valuation domain V corresponding to v satisfies our requirements.

Proposition 3. Let L be the prime subfield of Q, the field of fractions of T, and let λ be the transcendence degree of Q over L. Then for any cardinal $\gamma \leq \lambda$, there exists a valuation domain V of Q such that $V \cap T = R$ is a T-large domain with Krull dimension equal to γ .

Proof. Let us choose a well-ordered set $\{x_{\alpha} : \alpha < \lambda\}$ of elements of Q which constitutes a basis of transcendence of Q over L; it is not restrictive to choose $x_0 \in T$ and $x_0 \equiv 1 \mod \mathfrak{M}$. Making use of the lemma for a fixed $\gamma \leq \lambda$, let us construct a valuation domain W of the field $L' = L(x_{\alpha} : \alpha < \gamma)$ of Krull dimension γ , where the set $\{\mathfrak{P}_{\alpha} : \alpha < \gamma\}$ of nonzero prime ideals of W is well-ordered by the opposite inclusion. Let us extend the valuation on L' to the field $L'' = L'(x_{\alpha} : \gamma \leq \alpha < \lambda)$ in such a way that the value group remains the same (see [7]). Now Q is an algebraic extension of L'' and we can extend the valuation on L'' to a valuation on Q. Let V be the valuation domain of Q with respect to this last valuation. These extensions of valuations do not affect the lattice structure of prime ideals, and so V has Krull dimension γ and its maximal ideal, $\overline{\mathfrak{P}}$, (though no longer principal) is not the union of a strictly ascending chain of prime ideals, and therefore $\overline{\mathfrak{P}}$ is a radical ideal of V. Moreover, $V \not\subseteq T$ by our choice of $x_0 \in \overline{\mathfrak{P}}$. By Proposition 2 it follows that $R = V \cap T$ is a T-large domain and $R_{\mathfrak{P}} = V$ (where $\mathfrak{P} = \overline{\mathfrak{P}} \cap R$) implies that the Krull dimension of R is γ too.

Let us note that in this case λ is always infinite and the Krull dimension of V cannot exceed the transcendence degree of Q over L, and so R can have any admissible Krull dimension.

The following result will be crucial in our investigation of Hausdorff finite rank torsion-free R-modules in the next section.

Proposition 4. If V is a valuation domain of Q, the field of fractions of the complete DVR T, and $V \not\subseteq T$, then V is never complete in the topology of the valuation. If \hat{V} denotes the completion of V, we have rank_V $\hat{V} = \infty$. As a consequence we also have rank_V $\tilde{V} = \infty$ where \tilde{V}

is any maximal immediate extension of V.

Proof. We set $R = T \cap V$, πT is the maximal ideal of T, $\overline{\mathfrak{P}}$ is the maximal ideal of V; Q denotes the common field of fractions of R, T, V; $\pi T \cap R$ and $\mathfrak{P} = \overline{\mathfrak{P}} \cap R$ are the only maximal ideals of R in view of Theorem 11.11 of [6]. We may assume that $\pi \in R$ and $R \cap \pi T = \pi R$, as one can see with the same proof as for property (G) above. Let us choose $\lambda \in R$ such that $\lambda \pi \equiv 1 \mod \mathfrak{P}$. For every prime number q greater than the π -adic value of $\lambda \pi$, the polynomial $f(Y) = Y^q - \lambda \pi$ has no roots in T, and thus also none in Q since T is integrally closed. By field theory it follows that f is irreducible in Q[Y]. Let us also assume that q is different from the characteristic of V/\mathfrak{P} . Let us now prove the following

Claim. Let \hat{V} be the completion of V; then the polynomial f(Y) has a root in \hat{V} .

Since πR is a maximal ideal of R, we can find a subset F of V such that $\{rV : r \in F\}$ is a basis of neighborhoods of zero for the V-topology of V, satisfying the following:

$$r \in R \cap \overline{\mathfrak{P}}; \quad r \equiv 1 \mod \pi R, \quad \forall r \in F.$$

For all $r \in F$, let us consider the polynomials

$$f_r = Y^q + rY^{q-1} - \lambda \pi \in R[Y] \subset T[Y].$$

Now T is a complete DVR, hence henselian (see, e.g., [7]). Since $f_r \equiv Y(Y^{q-1} + 1) \mod \pi T$, we may apply Hensel's lemma to find a root $\xi_r \in Q$ of f_r . Let us note that $\xi_r \in T \cap V = R$ since T and V are integrally closed. We have $\xi_r^q = -r\xi_r^{q-1} + \lambda\pi \equiv \lambda\pi \equiv 1 \mod \overline{\mathfrak{P}}$ so that ξ_r is a unit of V for all $r \in F$. Moreover, $\xi_r + \overline{\mathfrak{P}}$ is a root of the polynomial $Z^q - 1 \in (V/\overline{\mathfrak{P}})[Z]$. Since F is an infinite set, there must exist a subset F' of F such that $\{rV : r \in F'\}$ is a basis of neighborhoods of zero and $\xi_r \equiv \xi_s \mod \overline{\mathfrak{P}}$ for all $r, s \in F'$. Let us now remark that $\xi_r \equiv \xi_s \mod \overline{\mathfrak{P}}$ implies that

$$\eta_{rs} = \xi_r^{q-1} + \xi_r^{q-2}\xi_s + \dots + \xi_r\xi_s^{q-2} + \xi_s^{q-1} \equiv q\xi_r^{q-1} \not\equiv 0 \mod \overline{\mathfrak{P}},$$

since ξ_r and q are units of V (recall that q is different from the characteristic of $V/\overline{\mathfrak{P}}$).

We want to verify that $\{\xi_r : r \in F'\}$ is a Cauchy net in V. In fact let us pick $r, s \in F'$ where r divides s in V; we have $\xi_r^q - \xi_s^q =$ $s\xi_s^{q-1} - r\xi_r^{q-1} \in rV$ from which $(\xi_r - \xi_s)\eta_{rs} \in rV$. Since η_{rs} is a unit in V as observed above, we have $\xi_r - \xi_s \in rV$; it follows that $\{\xi_r : r \in F'\}$ is a Cauchy net as desired.

Let us now choose $\xi \in \hat{V}$ such that $\xi - \xi_r \in r\hat{V}$ for all $r \in F'$. Then

 $\xi^q \equiv \xi^q_r = -r\xi^{q-1}_r + \lambda\pi \equiv \lambda\pi \mod r \hat{V}, \quad \forall \, r \in F';$

since $\bigcap_{r \in F'} r \hat{V} = \{0\}$, we conclude that $\xi^q - \lambda \pi = 0$ as desired.

Since the prime element q may be chosen arbitrarily large, and f is irreducible in Q[Y], from the above claim we deduce that $[\hat{Q}:Q] = \infty$; equivalently, rank_V $\hat{V} = \infty$. Finally, since \hat{V} embeds into \hat{V} , we also have rank_V $\tilde{V} = \infty$.

Remark. In his book [4] Matlis describes the theory of *D*-rings, i.e. those domains R such that every torsion-free R-module of finite rank is a direct sum of modules of rank 1. One main result is that an integrally closed domain R is a *D*-ring if and only if R is the intersection of at most two maximal valuation rings of its field of fractions. Examples are given of *D*-rings R which are not valuation domains; of course the two maximal valuation domains V_1, V_2 such that $R = V_1 \cap V_2$ are both nondiscrete according to our Proposition 4. As a consequence we can state that our *T*-large domains are not *D*-rings, but we shall see much more in the next section.

Section 2. In this section we shall examine torsion-free modules, of finite rank M over a T-large domain R, which are Hausdorff in the \mathfrak{N} -adic topology.

Proposition 5. Let M be a finite rank torsion-free R-module, Hausdorff in the \mathfrak{N} -adic topology. Then the \mathfrak{N} -adic completion of Mcoincides with the localization $M_{\mathfrak{N}}$, which is a direct sum of as many copies of T as the rank of M.

Proof. Since $R_{\mathfrak{N}} = T$, then $M_{\mathfrak{N}}$ is a *T*-module; since *T* is a complete

DVR, $M_{\mathfrak{N}}$ has to be a direct sum of as many copies of T as the T-rank of $M_{\mathfrak{N}}$ which is equal to the R-rank of M. It also follows that the R-module $M_{\mathfrak{N}}$ is complete in the \mathfrak{N} -adic topology since T is the completion of R in the \mathfrak{N} -adic topology. To conclude that $M_{\mathfrak{N}}$ is the completion of M, it suffices to show that the \mathfrak{N} -topology on M coincides with the topology induced on M by the \mathfrak{N} -topology of $M_{\mathfrak{N}}$ and that M is dense in $M_{\mathfrak{N}}$. This amounts to prove that for all $n \in \mathbf{N}, \ \pi^n M = (\pi^n M_{\mathfrak{N}}) \cap M$ and that for all $t \in M_{\mathfrak{N}}$ and $n \in \mathbf{N}, \ (t + \pi^n M_{\mathfrak{N}}) \cap M \neq \emptyset$. These two facts can be proved with so straightforward a generalization of the arguments in Lemmas 5 and 6 of [10] that we have thought it appropriate to omit the verifications.

An immediate consequence of the above proposition is the following

Corollary 6. A finite rank torsion-free R-module M is Hausdorff if and only if it is contained in a finite direct sum of copies of T.

In the next results, we shall carry over known results on modules over the nonmaximal valuation domain V to Hausdorff modules over $R = V \cap T$.

Proposition 7. For any fixed n > 0, there exists an indecomposable torsion-free *R*-module *M* of rank *n* which is Hausdorff in the \mathfrak{N} -adic topology.

Proof. In view of Proposition 4, we know that $\operatorname{rank}_V(\tilde{V}) = \infty$. Let us then choose $a_1, \ldots, a_n \in \tilde{V}$, linearly independent over Q. Let us consider the V-module

$$N = (Qa_1 + \dots + Qa_n) \cap V;$$

N is an indecomposable V-module in view of Theorem 3(a) of [8]. Let us now consider the following R-module

$$M = N \cap (Ta_1 + \dots + Ta_n).$$

M has rank n and it is Hausdorff, being contained in $Ta_1 + \cdots + Ta_n$. Let us verify that M is indecomposable. It is clear that any possible

nontrivial direct decomposition of the *R*-module M gives rise to a nontrivial direct decomposition of the *V*-module $M_{\mathfrak{P}}$ (\mathfrak{P} is the maximal ideal of *V*). It is then enough to show that $M_{\mathfrak{P}} = N$. Obviously, $N \supseteq M_{\mathfrak{P}}$ since *N* is a *V*-module. Let us now choose $\eta \in N$; since $\eta \in Qa_1 + \cdots + Qa_n$, there exist $h \ge 0$ and $t_1, \ldots, t_n \in T$ such that

$$\eta = (1/\pi)^h (t_1 a_1 + \dots + t_n a_n).$$

It follows that $\pi^h \eta \in N \cap (Ta_1 + \cdots + Ta_n) = M$ whence $\eta \in M_{\mathfrak{P}}$ since π is a unit of V. This yields $N \subseteq M_{\mathfrak{P}}$ and the desired conclusion follows.

Our next Theorem 8 will be based on Theorem 1 in [3]; we state it in the following, less general form, which is exactly what we need.

Theorem [3]. Let V be a valuation domain such that $\operatorname{rank}_V \hat{V} = \infty$. Let A_V be a reduced torsion-free V-algebra of finite rank n; let \hat{A}_V be the completion of A_V in the V-topology. Then there exist $\alpha \in \hat{V}$, $\delta \in \hat{A}_V$ such that:

- (i) $1_{A_V}, \alpha, \delta$ are independent over A_V ;
- (ii) the V-submodule $N = A_V + A_V \alpha + A_V \delta$ of \hat{A}_V has rank 3n;
- (iii) $\operatorname{End}_V(N_*) = A_V$, where N_* is the purification of N in \hat{A}_V .

We recall that an *R*-module *M* is said to be cotorsion-free if *M* is reduced, torsion-free and does not contain isomorphic copies of $\hat{R} = T$. We will need the simple fact that *M* is cotorsion-free if and only if $M_{\mathfrak{P}}$ is reduced. In fact if *M* contains a copy of *T*, then $M_{\mathfrak{P}}$ contains a copy of $T_{\mathfrak{P}} = Q$. Conversely let us suppose that $M_{\mathfrak{P}} \supseteq Q\xi$ where without loss of generality, $\xi \in M$. In order to show that $M \supseteq T\xi$, it is enough to prove that $\xi/y \in M$ for any $y \in R \setminus \pi R$, since $T = R_{\mathfrak{N}}$. Now ξ/y belongs to $Q\xi \subseteq M_{\mathfrak{P}}$ whence we can write $\xi/y = a/\pi^k$, where $a \in M$. To reach the desired conclusion we have to show that $a \in \pi^k M$. If not we may assume that $a \notin \pi M$ and k > 0. We have $ya = \pi^k \xi \in \pi^k M$; let $z \in R$ be such that $yz \equiv 1 \mod \pi R$, $1 = yz + \lambda \pi$, say; then $a = zya + \lambda \pi a \in \pi M$ against our assumption.

Theorem 8. Let $R = V \cap T$ be a T-large domain. Every cotorsionfree Hausdorff R-algebra A of finite rank n is the R-endomorphism

algebra of a torsion-free Hausdorff R-module M of rank 3n.

Proof. Since A is Hausdorff, $A_{\mathfrak{N}}$ is the \mathfrak{N} -adic completion of A; we can write $A_{\mathfrak{N}} = Tz_1 \oplus \cdots \oplus Tz_n$ where the z_i lie in A and $z_1 = 1_A$. Let us now consider the V-algebra $A_{\mathfrak{P}}$; let us note that $A_{\mathfrak{P}}$ is reduced since A is cotorsion-free. We are thus in the position to apply the preceding theorem to $A_{\mathfrak{P}}$ since $\operatorname{rank}_V \hat{V} = \infty$ holds in view of Proposition 4. Let $N = A_{\mathfrak{P}} + A_{\mathfrak{P}}\alpha + A_{\mathfrak{P}}\delta \subseteq \hat{A}_{\mathfrak{P}}$ be the V-module such that $\operatorname{End}_V(N_*) = A_{\mathfrak{P}}$. Let us note that the elements z_1, \ldots, z_n , $z_1\alpha, \ldots, z_n\alpha, z_1\delta, \ldots, z_n\delta$ of N are linearly independent over Q since $1_A, \alpha, \delta$ are independent over A; moreover, $A \subseteq Qz_1 \oplus \cdots \oplus Qz_n$ implies that

 $N_* \subseteq Qz_1 \oplus \cdots Qz_n \oplus Qz_1 \alpha \oplus \cdots \oplus Qz_n \alpha \oplus Qz_1 \delta \oplus \cdots \oplus Qz_n \delta.$

Let us consider the R-module

 $C = Tz_1 \oplus \cdots Tz_n \oplus Tz_1 \alpha \oplus \cdots \oplus Tz_n \alpha \oplus Tz_1 \delta \oplus \cdots \oplus Tz_n \delta,$

and let us set $M = N_* \cap C$. It is clear that the *R*-module M has rank 3n since it contains $Rz_1 \oplus \cdots \oplus Rz_n\delta$; we will show that $\operatorname{End}_R(M) = A$ whence our statement follows. Let us first show that $M_{\mathfrak{P}} = N_*$. It is clear that $N_* \supseteq M_{\mathfrak{P}}$ since N_* is a V-module. Let now η be any element of N_* ; then $\eta \in Qz_1 \oplus \cdots \oplus Qz_n \delta$ so that $\eta = \sum a_i z_i + \sum b_i z_i \alpha + \sum c_i z_i \delta$, where $a_i, b_i, c_i \in Q$. Since any $d \in Q$ is of the form $d = v/\pi^k$ with $v \in T$ and $k \ge 0$, it is clear that we can write $\eta = (1/\pi)^h \theta$, where $h \ge 0$ is a suitable integer and $\theta \in C$. It follows that $\theta = \pi^h \eta \in N_* \cap C = M$ whence $\eta \in M_{\mathfrak{P}}$, since $\pi \notin \mathfrak{P}$; we conclude that $N_* \subseteq M_{\mathfrak{P}}$ too. From $N_* = M_{\mathfrak{P}}$ we deduce that every *R*-endomorphism of *M* extends uniquely to an *R*-endomorphism of N_* . Moreover, $\operatorname{End}_R(N_*) = \operatorname{End}_V(N_*) = A_{\mathfrak{P}}$ whence $\operatorname{End}_R(M) \subseteq A_{\mathfrak{P}}$. We also have $A \subseteq \operatorname{End}_R(M)$ since M is an A-module; in fact N_* is by definition an A-module and to see that C is an A-module, it is enough to observe that $A \subseteq A_{\mathfrak{N}} = Tz_1 \oplus \cdots \oplus Tz_n$. To end the proof, it suffices to check that no $\rho \in A_{\mathfrak{P}} \setminus A$ can be an endomorphism of M. In fact since A is Hausdorff, for such a ρ we can write $\rho = a/\pi^k$ where $a \in A, k > 0$ and $a/\pi \notin A$. From $\pi A_{\mathfrak{N}} \cap A = \pi A$ (see the proof of Proposition 5), it follows that $a/\pi \notin A_{\mathfrak{N}}$ too. Then $\rho z_1 = \rho 1_A = \rho \notin A_{\mathfrak{N}}$. Since $C = A_{\mathfrak{N}} \oplus A_{\mathfrak{N}} \alpha \oplus A_{\mathfrak{N}} \delta$ and $1_A, \alpha, \delta$ are independent over A, we conclude that $\rho z_1 \notin C$ whence $\rho z_1 \notin M$ and $\rho \notin \operatorname{End}_R(M)$.

The assumption that the R-algebra A is cotorsion-free cannot be eliminated, due to the following example.

Example 9. The finite rank Hausdorff *R*-algebra $A = T \times T$ is not the endomorphism algebra of a Hausdorff torsion-free *R*-module of finite rank. This fact can be verified exactly as in the proof of the corollary of Theorem 1 in [**3**].

Our last result shows the remarkable fact that the Krull-Schmidt theorem fails for finite rank torsion-free modules over a T-large domain R; its proof is an adaptation of the argument developed in Theorem 2 of [3].

Theorem 10. Let $R = V \cap T$ be a *T*-large domain. Then for every $n \in \mathbf{N}$ there exists an *R*-module *N* of rank > *n* which admits two non-isomorphic direct decompositions into indecomposable summands.

Proof. Let $\lambda \in R$ be such that $\lambda \pi \equiv 1 \mod \mathfrak{P}$. We know that for almost all prime numbers q, the polynomial $f = Y^q - \lambda \pi$ is irreducible in Q[Y] whence, a fortiori in R[Y], since it is monic. Let us also assume that $q \notin \mathfrak{P} \cup \mathfrak{N}$ whence q is a unit of R, and let $f_1 = Y - 1$, $f_2 = Y^{q-1} + \cdots + Y + 1$. Then from $q \in (f_1, f_2)R[Y]$ it follows that $1 \in (f_1, f_2)R[Y]$. Let g_2 be a monic irreducible factor of f_2 in R[Y]; let us set $g_1 = f_1$ which is irreducible in R[Y] too. We conclude that we can write $1 = g_1h_1 + g_2h_2$ for suitable $h_1, h_2 \in R[Y]$. Let us also observe that from $\lambda \pi \equiv 1 \mod \mathfrak{P}$ it follows that $\bar{g}_1 \bar{g}_2$ divides \bar{f} in $(R/\mathfrak{P})[Y]$, where $\bar{g}_1, \bar{g}_2, \bar{f}$ are the reductions of g_1, g_2, f modulo \mathfrak{P} . Moreover, since R is integrally closed and g_1, g_2, f are all irreducible in R[Y] and monic, they are also prime elements of R[Y]. Let us consider the *R*-algebra A = $R[Y]/(g_1g_2f)$; A is cotorsion-free of finite rank since g_1g_2f is monic. We are in the position to apply Theorem 8. Let M be a finite rank torsionfree Hausdorff R-module such that $\operatorname{End}_R(M) = A$. As in [3] we can see that from g_1, g_2, f prime in R[Y], it follows that A has no idempotent elements whence M is indecomposable. Note that rank M > 3q since rank A > q. Let $\phi : R[Y] \to A$ denote the canonical map and let us define submodules $M_i \subset M$ by $M_i = \phi(g_i h_i)(M), i = 1, 2$. Define mappings $M \to M_1 \oplus M_2$ by $m \mapsto (\phi(g_1h_1)(m), \phi(g_2h_2)(m))$ and

 $M_1 \oplus M_2 \to M$ by $(m_1, m_2) \mapsto m_1 + m_2$. The composition map is $\phi(g_1h_1 + g_2h_2) = \phi(1) = 1_A$ so that $N = M_1 \oplus M_2 = M \oplus K$ for a suitable K. Moreover, rank $M > \operatorname{rank} M_i$, i = 1, 2 (see [3]). Thus when M_1, M_2, K are expressed as direct sums of indecomposable modules, we get inequivalent decompositions. Finally, if we choose q such that 3q > n, we get $n < 3q < \operatorname{rank} M < \operatorname{rank} N$.

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