

## GALOIS REPRESENTATIONS ATTACHED TO THE PRODUCT OF TWO ELLIPTIC CURVES

AMADEU REVERTER AND NÚRIA VILA

ABSTRACT. We study the images of mod  $p$  Galois representations attached to the abelian variety product of two elliptic curves. The case of two nonisogenous elliptic curves without complex multiplication has been considered by Serre [3]. In this paper we examine the case of two isogenous elliptic curves.

Let  $E_1, E_2$  be two elliptic curves defined over a number field  $K$ . Let  $p$  be a prime number, and let  $E_1[p]$  and  $E_2[p]$  denote the group of  $p$ -torsion points of  $E_1$  and  $E_2$ . The action of the absolute Galois group  $G_K$  of  $K$  on the  $p$ -torsion points of  $E_1$  and  $E_2$  defines the Galois representations

$$\rho_{E_1,p} : G_K \longrightarrow \text{Aut}(E_1[p]), \quad \rho_{E_2,p} : G_K \longrightarrow \text{Aut}(E_2[p])$$

and the homomorphism

$$\psi_p : G_K \longrightarrow \text{Aut}(E_1[p]) \times \text{Aut}(E_2[p]).$$

Let us denote

$$M_p := \{(s, s') \in \text{Aut}(E_1[p]) \times \text{Aut}(E_2[p]) : \det s = \det s'\}.$$

Let  $\chi_p$  be the mod  $p$  cyclotomic character. We have that  $\det \rho_{E_1,p} = \det \rho_{E_2,p} = \chi_p$ , by the Weil pairing. Then the image  $\psi_p(G_K)$  is contained in  $M_p$ .

Serre [3] studies the image  $\psi_p(G_K)$  whenever the elliptic curves are without complex multiplication and not  $\overline{K}$ -isogenous. Using Falting's results [2] on the Tate conjecture, we have

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**Theorem [3].** *Let  $E_1/K$  and  $E_2/K$  be two elliptic curves without complex multiplication and nonisogenous. Then  $\psi_p(G_K) = M_p$ , for all but finitely many primes  $p$ .*

From now on we will consider elliptic curves defined over  $K$  and  $K$ -isogenous. First we need some results concerning the relationship between the image of mod  $p$  Galois representation attached to elliptic curves,  $K$ -isogenies and  $p$ -torsion points.

**1. Images and isogenies.** Let  $K$  be a number field and let  $E/K$  be an elliptic curve defined over  $K$ . Let  $p$  be a prime number, and let  $\chi_p$  be the mod  $p$  cyclotomic character. Let  $\rho_{E,p}$  be the mod  $p$  Galois representation associated to the  $p$ -torsion points  $E[p]$  of the elliptic curve  $E$ . Observe that the elliptic curve  $E/K$  admits an isogeny of degree  $p$  defined over  $K$  if and only if the image  $\rho_{E,p}(G_K)$  is contained in a Borel subgroup. If  $E_1/K$  and  $E_2/K$  are related by an isogeny defined over  $K$  of degree prime to  $p$ , then this isogeny induces a  $G_K$ -module isomorphism from  $E_1[p]$  to  $E_2[p]$ , which identifies the images  $\rho_{E_1,p}(G_K)$  and  $\rho_{E_2,p}(G_K)$ . Moreover, we have

**Lemma 1.1.** *Let  $E_1/K$  and  $E_2/K$  be two elliptic curves and  $\phi : E_1 \rightarrow E_2$  be a  $K$ -isogeny of degree  $p$ . Then the following conditions are equivalent:*

(i) *There exists a one-dimensional  $G_K$ -stable subspace of  $E_1[p]$  not annihilated by  $\phi$ .*

(ii)  *$\rho_{E_1,p}(G_K)$  is contained in a split Cartan subgroup of  $\text{Aut}(E_1[p])$ .*

(iii) *There exists an elliptic curve  $E_3/K$  non- $K$ -isomorphic to  $E_2$  and a  $K$ -isogeny  $\phi' : E_1 \rightarrow E_3$  of degree  $p$ .*

**Lemma 1.2.** *Let  $E/K$  be an elliptic curve with nontrivial  $p$ -torsion points defined over  $K$ . Then a basis of  $E[p]$  exists such that*

$$\rho_{p,E}(G_K) = \begin{cases} \begin{pmatrix} 1 & * \\ 0 & \chi_p(G_K) \end{pmatrix} & \text{if } E \text{ has only one } K\text{-isogeny} \\ & \text{of degree } p, \\ \begin{pmatrix} 1 & 0 \\ 0 & \chi_p(G_K) \end{pmatrix} & \text{otherwise.} \end{cases}$$

*Proof.* Let  $P \in E(K)[p] \setminus \{0\}$  and  $Q \in E[p]$  such that  $\{P, Q\}$  is an  $\mathbf{F}_p$ -basis of  $E[p]$ . Let  $\sigma_0 \in G_K$  such that  $P^{\sigma_0} = P$ ,  $Q^{\sigma_0} = c_{\sigma_0}P + d_{\sigma_0}Q$  and  $d_{\sigma_0}$  generate the cyclic group  $\det \rho_{E,p}(G_K) = \chi_p(G_K) \subseteq \mathbf{F}_p^*$ . If  $d_{\sigma_0} \neq 1$ , take  $\{P, Q'\}$  as a basis, where  $Q' = c_{\sigma_0}P + (d_{\sigma_0} - 1)Q$ . Then

$$\begin{pmatrix} 1 & 0 \\ 0 & \chi_p(G_K) \end{pmatrix} \subseteq \rho_{E,p}(G_K) \subseteq \begin{pmatrix} 1 & * \\ 0 & \chi_p(G_K) \end{pmatrix}.$$

Therefore, using Lemma 1.1 we obtain the result.  $\square$

**Lemma 1.3.** *Let  $E_1/K$  and  $E_2/K$  be two elliptic curves, and let  $\phi : E_1 \rightarrow E_2$  be a  $K$ -isogeny of degree  $p$ . Assume that,*

- (i)  $\chi_p(G_K) \neq \{1\}$ .
- (ii)  $E_1$  and  $E_2$  have nontrivial  $K$  defined  $p$ -torsion points.
- (iii) The image  $\rho_{E_1,p}(G_K)$  is conjugate to  $\begin{pmatrix} 1 & * \\ 0 & \chi_p(G_K) \end{pmatrix}$ .

*Then the image  $\rho_{E_2,p}(G_K)$  is conjugate to  $\begin{pmatrix} 1 & 0 \\ 0 & \chi_p(G_K) \end{pmatrix}$ .*

*Proof.*  $\phi(E_1[p])$  is a  $G_K$ -stable line in  $E_2[p]$  on which  $G_K$  acts via  $\chi_p$ , and  $E_2[p]$  also contains a  $G_K$ -stable line on which  $G_K$  acts trivially, by assumption (ii). The result follows from (i).  $\square$

**Lemma 1.4.** *Let  $E_1/K$  and  $E_2/K$  be two elliptic curves and  $\phi : E_1 \rightarrow E_2$  be a  $K$ -isogeny of degree  $p \neq 2$ . Assume that  $E_2(K)[p] = \{0\}$ . Then the curve  $E_1$  has nontrivial  $K$ -rational  $p$ -torsion points if and only if  $\rho_{E_2,p}(G_K)$  is conjugate to  $\begin{pmatrix} \chi_p(G_K) & * \\ 0 & 1 \end{pmatrix}$ .*

*Proof.* Assume that  $E_1(K)[p] \neq \{0\}$ .  $\phi(E_1[p])$  is a  $G_K$ -stable line in  $E_2[p]$  on which  $G_K$  acts via  $\chi_p$ . As in Lemma 1.2, we see that there exists a basis of  $E_2[p]$  such that  $\rho_{E_2,p}(G_K) = \begin{pmatrix} \chi_p(G_K) & * \\ 0 & 1 \end{pmatrix}$ . Conversely, by Lemma 1.1,  $\hat{\phi}(E_2[p])$  is a  $G_K$ -stable line in  $E_1[p]$  on which  $G_K$  acts trivially, where  $\hat{\phi}$  is the dual isogeny to  $\phi$ .  $\square$

**Definition.** Let  $E/K$  be an elliptic curve and let  $p \neq 2$  be a prime number. We will say that  $E$  is a  $p$ -exceptional elliptic curve over  $K$  if it satisfies the following conditions:

- (i) The elliptic curve  $E$  has no nontrivial  $K$ -rational  $p$ -torsion points.
- (ii) There exist an elliptic curve  $E'/K$  and a  $K$ -isogeny  $\phi : E \rightarrow E'$  of degree  $p$ .
- (iii) Every elliptic curve  $E'$   $K$ -isogenous to  $E$  with isogeny of degree  $p$  has no nontrivial  $K$ -rational  $p$ -torsion points.

We remark that, from the 722 elliptic curves without complex multiplication listed in the Antwerp tables [1], only 39 are 3-exceptional over  $\mathbf{Q}$ , 27 are 5-exceptional over  $\mathbf{Q}$ , 8 are 7-exceptional over  $\mathbf{Q}$ , 4 are 11-exceptional over  $\mathbf{Q}$  and 4 are 13-exceptional over  $\mathbf{Q}$ ; if  $p > 13$ , all elliptic curves are non- $p$ -exceptional over  $\mathbf{Q}$ . More precisely, the  $p$ -exceptional elliptic curves over  $\mathbf{Q}$  without complex multiplication, with conductor less than or equal to 200 are:

$p = 3$  : 50A, 50B, 50C, 50D; 80A, 80B, 80C, 80D;  
 98A, 98B, 98C, 98D, 98E, 98F; 100A, 100B, 100C, 100D;  
 112E, 112F, 112G, 112H, 112I, 112J;  
 150I, 150J, 150K, 150L, 150M, 150N, 150O, 150P;  
 175C, 175D, 175E; 176A, 176B; 196A, 196B

$p = 5$  : 50E, 50F, 50G, 50H; 75A, 75B;  
 99C, 99D, 99E; 121A, 121B, 121C;  
 150E, 150F, 150G, 150H; 171I, 171J;  
 175F, 175G; 176D, 176E, 176F; 198Q, 198R, 198S, 198T

$p = 7$  : 162A, 162B, 162C, 162D, 162G, 162H, 162I, 162J

$p = 11$  : 121F, 121G, 121H, 121I

$p = 13$  : 147A, 147B, 147I, 147J.

Using Lemmas 1.2 and 1.4 we can give the images of the mod  $p$  Galois representation attached to non- $p$ -exceptional elliptic curves which admit a  $K$ -isogeny of degree  $p$ .

**Lemma 1.5.** *Let  $E/K$  be a non- $p$ -exceptional elliptic curve over  $K$ . Assume that  $E$  admits a  $K$ -isogeny of degree  $p$ , then*

- (i) *If  $E(K)[p] \neq \{0\}$  and  $E$  admits only one  $K$ -isogeny of degree  $p$ ,*

then there exists a basis of  $E[p]$  such that

$$\rho_{E,p}(G_K) = \begin{pmatrix} 1 & * \\ 0 & \chi_p(G_K) \end{pmatrix}.$$

(ii) If  $E(K)[p] \neq \{0\}$  and  $E$  admits more than one  $K$ -isogeny of degree  $p$ , then there exists a basis of  $E[p]$  such that

$$\rho_{E,p}(G_K) = \begin{pmatrix} 1 & 0 \\ 0 & \chi_p(G_K) \end{pmatrix}.$$

(iii) If  $E(K)[p] = \{0\}$ , then there exists a basis of  $E[p]$  such that

$$\rho_{E,p}(G_K) = \begin{pmatrix} \chi_p(G_K) & * \\ 0 & 1 \end{pmatrix}.$$

**2. Product of two  $K$ -isogenous elliptic curves.** Let  $E_1$  and  $E_2$  be two elliptic curves defined over  $K$  and  $K$ -isogenous. If we fix a basis of  $E_1[p]$  and a basis of  $E_2[p]$ , we can identify  $\text{Aut}(E_1[p])$  and  $\text{Aut}(E_2[p])$  with  $\text{GL}_2(\mathbf{F}_p)$ , and  $\text{Aut}(E_1[p] \times E_2[p])$  with  $\text{GL}_4(\mathbf{F}_p)$ . We have a natural injection  $\text{Aut}(E_1[p]) \times \text{Aut}(E_2[p]) \hookrightarrow \text{Aut}(E_1[p] \times E_2[p])$ .

We consider the homomorphism

$$\psi_p : G_K \longrightarrow \text{Aut}(E_1[p]) \times \text{Aut}(E_2[p]).$$

We remark that, in the case of  $K$ -isogenous elliptic curves, we have a strict inclusion  $\psi_p(G_K) \subset M_p$  for all prime numbers  $p$  not dividing the degree of the isogeny. In fact we have  $\psi_p(G_K) = \{(s, s) \in M_p : s \in \rho_{E_1,p}(G_K)\}$  in this case.

Let us denote  $N_p := M_p \cap (\rho_{E_1,p}(G_K) \times \rho_{E_2,p}(G_K))$ . Clearly, we have that the image  $\psi_p(G_K) \subseteq N_p$ .

**Theorem 2.1.** *Let  $E_1/K$  and  $E_2/K$  be two elliptic curves. Let  $p$  be a prime number, and let  $\phi : E_1 \rightarrow E_2$  be a  $K$ -isogeny of degree  $p$ .*

Suppose that the  $p$ th cyclotomic character  $\chi_p$  over  $K$  is nontrivial and that  $E_1$  is a non- $p$ -exceptional elliptic curve, then  $\psi_p(G_K) = N_p$ .

*Proof.* By Lemmas 1.5, 1.2, 1.3 and 1.4, we can find a basis of  $E_1[p] \times E_2[p]$  such that  $N_p$  has, matricially, one of the following expressions:

$$\begin{aligned}
 \text{(i)} \quad & \left\{ \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & d & 0 & 0 \\ 0 & 0 & 1 & c \\ 0 & 0 & 0 & d \end{pmatrix} \right\}_{\substack{d \in \chi_p(G_K) \\ c \in \mathbf{F}_p}} & \quad \text{(ii)} \quad & \left\{ \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & d & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & d \end{pmatrix} \right\}_{d \in \chi_p(G_K)} \\
 \text{(iii)} \quad & \left\{ \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & d & 0 & 0 \\ 0 & 0 & d & c \\ 0 & 0 & 0 & 1 \end{pmatrix} \right\}_{\substack{d \in \chi_p(G_K) \\ c \in \mathbf{F}_p}} & \quad \text{(iv)} \quad & \left\{ \begin{pmatrix} a & c & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & c' \\ 0 & 0 & 0 & a \end{pmatrix} \right\}_{\substack{a \in \chi_p(G_K) \\ c \in \mathbf{F}_p \\ c' \in \mathbf{F}_p}}.
 \end{aligned}$$

In cases (i), (ii) and (iii), if  $(s, s') \in N_p$ , let  $\sigma \in G_K$  such that  $s' = \rho_{E_2,p}(\sigma)$ . Since  $\det s = \det s'$ , then  $\rho_{E_1,p}(\sigma) = s$  and  $(s, s') = \psi_p(\sigma) \in \psi_p(G_K)$ .

In case (iv), if  $(s, s') \in N_p$ , with  $s = \begin{pmatrix} a & c \\ 0 & 1 \end{pmatrix}$  and  $s' = \begin{pmatrix} 1 & c' \\ 0 & a \end{pmatrix}$ , let  $\sigma \in G_K$  such that  $\rho_{E_1,p}(\sigma) = s$ . Then  $\rho_{E_2,p}(\sigma) = \begin{pmatrix} 1 & c_\sigma \\ 0 & a \end{pmatrix}$ . There exists  $\sigma'' \in G_K$  such that  $\rho_{E_2,p}(\sigma'') = \begin{pmatrix} 1 & c' - c_\sigma \\ 0 & 1 \end{pmatrix}$  and  $\rho_{E_1,p}(\sigma'') = \text{id}$ . Therefore,  $(s, s') = \psi_p(\sigma) \circ \psi_p(\sigma'') = \psi_p(\sigma \circ \sigma'') \in \psi_p(G_K)$ .

*Remark.* In the case of  $p$ -exceptional elliptic curves, we have a strict inclusion  $\psi_p(G_K) \subset N_p$ . Let  $\phi : E_1 \rightarrow E_2$  be a  $K$ -isogeny of degree  $p$ . Let  $\varphi_1^{E_1}$  and  $\varphi_2^{E_1}$ , respectively  $\varphi_1^{E_2}$  and  $\varphi_2^{E_2}$ , be the two characters  $G_K \rightarrow \mathbf{F}_p^*$ , giving the action of  $G_K$  on the stable line  $L$  and on the quotient  $E_1[p]/L$ , respectively on  $\phi(L)$  and  $E_2[p]/\phi(L)$ . Then  $\varphi_1^{E_1} = \varphi_2^{E_2}$  and  $\varphi_2^{E_1} = \varphi_1^{E_2}$ , which are nontrivial, since  $E_1$  and  $E_2$  are  $p$ -exceptional.

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SEMINARI DE MATEMÀTIQUES, I.B. BELLVITGE., AVDA. AMÈRICA, 99, E-08907  
L'HOSPITALET DE LLOBREGAT, SPAIN  
*E-mail address:* areverte@pie.xtec.es

DEPARTAMENT D'ÀLGEBRA I GEOMETRIA, FACULTAT DE MATEMÀTIQUES, UNI-  
VERSITAT DE BARCELONA, GRAN VIA DE LES CORTS CATALANES, 585, E-08007  
BARCELONA, SPAIN  
*E-mail address:* vila@cerber.mat.ub.es