

AN IMPROVEMENT ON A THEOREM OF THE GOLDBACH-WARING TYPE

CLAUS BAUER

ABSTRACT. Let p_i , $2 \leq i \leq 5$ be prime numbers. It is proved that all but $\ll x^{19193/19200+\varepsilon}$ positive even integers N smaller than x can be represented as

$$N = p_1^2 + p_2^3 + p_3^4 + p_4^5.$$

1. Introduction and statement of results. I.M. Vinogradov [14] proved the ternary Goldbach-conjecture in 1937. Its method was successfully applied to different problems in additive prime number theory by various mathematicians. Among them Prachar established in 1952, [11] the following result: *There exists a constant $c > 0$ such that all but $\ll x(\log x)^{-c}$ even integers N smaller than x are representable as*

$$(1.1) \quad N = p_1^2 + p_2^3 + p_3^4 + p_4^5$$

for prime numbers p_i .

The author could improve upon this result in [1] by giving the following estimate: *There exists a positive number δ such that all but*

$$\ll x^{1-\delta}$$

positive even integers $N \leq x$ are representable as in (1.1).

Here the constant δ is very small and its value depends on the existence of the possible Siegel-zero (see [3]) of the Dirichlet series $L(s, \chi)$. Using a method first developed in [2] we will improve on this estimate by showing the following theorem:

Theorem. *All but $\ll x^{19193/19200+\varepsilon}$ positive even integers smaller than x can be represented as in (1.1).*

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Using the circle method the main difficulties arise on the major arcs, where we apply mean value estimates for Dirichlet polynomials and power moments of L -functions. Compared to [1] no special attention is paid to the possible Siegel zero and the Deuring-Heilbronn phenomena is not used.

2. Notation and structure of the proof. We will choose our notation similar to the one in [8]. By k we will always denote an integer $k \in \{2, 3, 4, 5\}$, by p we denote a prime number and L denotes $\log x$. c is an effective positive constant and ε will denote an arbitrarily small positive number; both of them may take different values at different occasions. For example, we may write

$$L^c L^c \ll L^c, \quad x^\varepsilon L^c \ll x^\varepsilon.$$

$d_2(n)$ denotes the number of divisors of n and $[a_1, \dots, a_n]$ denotes the least common multiple of the integers a_1, \dots, a_n . Be further

$$r \sim R \iff R/2 < r \leq R, \quad \sum_{\chi \bmod q}^* = \sum_{\substack{x \bmod q \\ x \text{ primitive}}}^*, \quad \sum_{1 \leq a \leq q}^* = \sum_{\substack{1 \leq a \leq q \\ (a, q) = 1}}^*.$$

$$P = N^{(7/150 - \varepsilon)}, \quad Q = NP^{-1}L^{-E}, \quad (E > 0 \text{ will be defined later}),$$

and

$$\mu = \frac{1}{2} + \frac{1}{3} + \frac{1}{4} + \frac{1}{5} - 1.$$

We define for any characters $\chi, \chi_j \pmod{q}$, $q \leq P$, and a fixed integer N :

$$C_k(a, \chi) = \sum_{l=1}^q \chi(l) e\left(\frac{al^k}{q}\right), \quad C_k(a, \chi_0) = C_k(a, q)$$

$$Z(q, \chi_2, \chi_3, \chi_4, \chi_5) = \sum_{h=1}^q {}^* e\left(\frac{-hN}{q}\right) \prod_{k=2}^5 C_k(h, \chi_k),$$

$$Y(q) = Z(q, \chi_0, \chi_0, \chi_0, \chi_0), \quad A(q) = \frac{Y(q)}{\phi^4(q)},$$

$$S_k(\lambda, \chi) = \sum_{\sqrt[5]{x}/2^{k+1} \leq n \leq \sqrt[5]{x}} \Lambda(n) \chi(n) e(n^k \lambda),$$

$$T_k(\lambda) = \sum_{\sqrt[k]{x}/2^{k+1} \leq n \leq \sqrt[k]{x}} e(n^k \lambda),$$

$$W_k(\lambda, \chi) = S_k(\lambda, \chi) - E_0 T_k(\lambda),$$

$$E_0 = \begin{cases} 1 & \text{if } \chi = \chi_0, \\ 0 & \text{otherwise.} \end{cases}$$

Using the circle method we define the major arcs M and minor arcs m as follows:

$$M = \sum_{q \leq P} \sum_{a=1}^q {}^* I(a, q), I(a, q) = \left[\frac{a}{q} - \frac{1}{Qq}, \frac{a}{q} + \frac{1}{Qq} \right],$$

$$m = \left[\frac{1}{Q}, 1 + \frac{1}{Q} \right] \setminus M.$$

Let

$$R(N) = \sum_{\substack{\sqrt[k]{x}/2^{k+1} \leq n_k \leq \sqrt[k]{x} \\ k \in \{2, \dots, 5\} \\ n_2^2 + \dots + n_5^5 = N}} \Lambda(n_2) \cdots \Lambda(n_5).$$

Then we find

$$(2.1) \quad \begin{aligned} R(N) &= \int_{1/Q}^{1+1/Q} e(-N\alpha) \prod_{k=2}^5 S_k(\alpha) d\alpha \\ &= \left(\int_M + \int_m \right) e(-N\alpha) \prod_{k=2}^5 S_k(\alpha) d\alpha \\ &=: R_1(N) + R_2(N). \end{aligned}$$

Using Theorem 1 in [5] and Lemma 3 in [11], we obtain

$$\begin{aligned} \sum_{x/2 \leq N < x} |I_2(N)|^2 &\leq \max_{\alpha \in m} |S_5(\alpha)|^2 \int_m |S_2(\alpha) S_3(\alpha) S_4(\alpha)|^2 \\ &\ll x^{2\mu+1+\varepsilon} P^{-1/128}, \end{aligned}$$

from which we derive that

$$(2.2) \quad I_2(N) \ll N^\mu L^{-1000}$$

for all but $\ll x^{1+2\varepsilon} P^{-1/128} < x^{19193/19200+3\varepsilon}$ even integers $x/2 \leq N < x$. In Sections 3–5 we will show that, for any given $G > 0$,

$$(2.3) \quad R_1(N) = \frac{1}{120} P_0 \sum_{q \leq P} A(q) + O(x^\mu L^{-G}),$$

where

$$(2.4) \quad x^\mu \ll P_0 := \sum_{\substack{m_1+m_2+m_3+m_4=N \\ x/2^{k+1} < m_k \leq x}} \frac{1}{m^{1-(1/k)}} \ll x^\mu \quad \text{for } N \in (x/2, x].$$

In Section 6 we will derive from (2.3) that for all but $\ll x^{443/450+\varepsilon}$ positive even integers $x/2 < N \leq x$, the following holds

$$(2.5) \quad R_1(N) = \frac{1}{120} P_0 \prod_{p \leq P} s(p) + O(x^\mu L^{-G}).$$

Using that

$$\prod_{p \leq P} s(p) \gg (\log P)^{-960}$$

(see [1, Lemma 4.5]) the theorem follows from (2.1), (2.2), (2.4) and (2.5).

3. The major arcs. We will make use of the following lemmas:

Lemma 3.1. *If $(a, q) = 1$, then*

$$C_k(a, \chi_q) \ll q^{1/2+\varepsilon}.$$

Proof. This is contained in Lemmas 5.1 and 5.2 in [9].

Lemma 3.2. *Let $f(x), g(x)$ and $f'(x)$ be three real differentiable and monotonic functions in the interval $[a, b]$ and $|g(x)| \ll M$.*

(i) *If $|f'(x)| \gg m > 0$, then*

$$\int_a^b g(x) e(f(x)) dx \ll M/m.$$

(ii) If $|f''(x)| \gg r > 0$, then

$$\int_a^b g(x)e(f(x)) dx \ll M/r^{1/2}.$$

(iii) If $|f'(x)| \leq \theta < 1$, $g(x)$, $g'(x) \ll 1$, then

$$\sum_{a < n \leq b} g(n)e(f(n)) = \int_a^b g(x)e(f(x)) dx + O\left(\frac{1}{1-\theta}\right).$$

Proof. See Lemma 4.8 in [13].

Lemma 3.3. For primitive characters $\chi_i \pmod{r_i}$, $i = 1, 2, 3, 4$, and the principal character $\chi_0 \pmod{q}$, we have

$$\sum_{\substack{q \leq P \\ r|q}} \frac{|Z(q, \chi_0\chi_1, \chi_0\chi_2, \chi_0\chi_3, \chi_0\chi_4)|}{\phi^4(q)} \ll r^{-1+\varepsilon} (\log P)^c,$$

where $r = [r_1, r_2, r_3, r_4]$.

Proof. Let J denote the lefthand side in Lemma 3.3, and write $Z(q) = Z(q, \chi_0\chi_1, \chi_0\chi_2, \chi_0\chi_3, \chi_0\chi_4)$. Using Lemmas 4.1 and 4.3 a) in [1], we argue as in the proof of Lemma 6.7 in [7] and obtain

$$J \ll \sum_{u|a} \frac{|Z(ur)|}{\phi^4(ur)} \sum_{\substack{q \leq P/ur \\ (q,r)=1}} |A(q)|,$$

where $a \ll 1$. From Lemma 3.1, we derive

$$\sum_{u|a} \frac{|Z(ur)|}{\phi^4(ur)} \ll r^{-1+\varepsilon}.$$

Lemma 3.3 follows therefore from

Lemma 3.4.

$$\sum_{q \leq P} |A(q)| \ll (\log P)^c.$$

Proof. Using Lemmas 4.1, 4.4a) and (4.6) in [1], we find

$$\sum_{q \leq P} |A(q)| \ll \prod_{p \leq P} \left(1 + \frac{c}{p}\right) \ll (\log P)^c.$$

Splitting the summation over n in residue classes modulo q we obtain

$$S_k\left(\frac{a}{q} + \lambda\right) = \frac{C_k(a, q)}{\phi(q)} T_k(\lambda) + \frac{1}{\phi(q)} \sum_{\chi \bmod q} C_k(a, \chi) W_k(\lambda, \chi) + O(L^2).$$

Thus we obtain from (2.1),

$$(3.1) \quad R_1(N) = R_1^m(N) + R_1^e(N) + O(x^\mu L^{-G}) \quad \text{for any } G > 0,$$

where

$$\begin{aligned} R_1^m(N) &= \sum_{q \leq P} \frac{1}{\phi^4(q)} \\ &\quad \cdot \sum_{1 \leq a \leq q}^* \int_{-1/Qq}^{1/Qq} \prod_{k=2}^5 C_k(a, q) e\left(-\frac{a}{q} N\right) T_k(\lambda) e(-\lambda N) d\lambda, \\ R_1^e(N) &= \sum_{\substack{k, l=2 \\ k < l}}^5 \sum_{q \leq P} \frac{1}{\phi^4(q)} \sum_{1 \leq a \leq q}^* \int_{-1/Qq}^{1/Qq} \prod_{m \in \{k, l\}} C_m(a, q) T_m(\lambda) \\ &\quad \cdot \prod_{\substack{o=2 \\ o \neq k \\ o \neq l}}^5 \sum_{\chi \bmod q} C_o(a, \chi) W_o(\lambda, \chi) e\left(-\frac{a}{q} N - \lambda N\right) d\lambda \\ &\quad + \sum_{k=2}^5 \sum_{q \leq P} \frac{1}{\phi^4(q)} \sum_{1 \leq a \leq q}^* \int_{-1/Qq}^{1/Qq} C_k(a, q) T_k(\lambda) \\ &\quad \cdot \prod_{\substack{l=2 \\ l \neq k}}^5 \sum_{\chi \bmod q} C_l(a, q) W_l(\lambda, \chi) e\left(-\frac{a}{q} N - \lambda N\right) d\lambda \end{aligned}$$

$$\begin{aligned}
 & + \sum_{q \leq P} \frac{1}{\phi^4(q)} \sum_{1 \leq a \leq q}^* \int_{-1/Qq}^{1/Qq} \prod_{k=2}^t \sum_{\chi \bmod q} \\
 & \quad \cdot C_k(a, \chi) W_k(\chi, \lambda) e\left(-\frac{a}{q} N - \lambda N\right) d\lambda, \\
 & =: S_1 + S_2 + S_3 + S_4.
 \end{aligned}$$

We first calculate $R_1^m(N)$. Applying Lemma 3.2 yields

$$\begin{aligned}
 T_k(\lambda) &= \int_{\sqrt[k]{x}/2^{k+1}}^{\sqrt[k]{x}} e(\lambda u^k) du + O(1) \\
 &= \frac{1}{k} \int_{x/2^{k+1}}^x v^{1/k-1} e(\lambda v) dv + O(1) \\
 &= \frac{1}{k} \sum_{x/2^{k+1} < m \leq x} \frac{e(\lambda m)}{m^{1-(1/k)}} + O(1).
 \end{aligned}$$

Substituting this in $R_1^m(N)$, we see

$$\begin{aligned}
 R_1^m(N) &= \frac{1}{120} \sum_{q \leq P} A(q) \int_{-1/Qq}^{1/Qq} \prod_{k=2}^5 \left(\sum_{x/2^{k+1} < m \leq x} \frac{e(\lambda m)}{m^{1-(1/k)}} \right) e(-N\lambda) d\lambda \\
 &+ O\left(\left| \max_{2 \leq l \leq 5} \sum_{q \leq P} A(q) \int_{1/Qq}^{-1/Qq} \prod_{\substack{k=2 \\ k \neq l}}^5 \sum_{2/2^{k+1} < m \leq x} \frac{e(\lambda m)}{m^{1-(1/k)}} d\lambda \right| \right).
 \end{aligned}$$

Using Lemma 3.3 and the trivial bound

$$(3.2) \quad \sum_{x/2^{k+1} < m \leq x} \frac{e(\lambda m)}{m^{1-(1/k)}} \ll \min\left(\sqrt[k]{x}, \frac{1}{x^{1-(1/k)}|\lambda|}\right)$$

we derive

(3.3)

$$\begin{aligned}
 R_1^m(N) &= \frac{1}{120} \sum_{q \leq P} A(q) \int_{-1/2}^{1/2} \prod_{k=2}^5 \left(\sum_{x/2^{k+1} < m \leq x} \frac{e(\lambda m)}{m^{1-(1/k)}} \right) e(-N\lambda) d\lambda \\
 &\quad + O\left(\sum_{q \leq P} |A(q)| \int_{1/Qq}^{1/2} \frac{1}{x^{3-\mu} |\lambda|^4} d\lambda \right) + O(x^\mu L^{-G}) \\
 &= \frac{1}{120} P_0 \sum_{q \leq P} A(q) + O((PQ)^3 x^{\mu-3} L^c) + O(x^\mu L^{-G}) \\
 &= \frac{1}{120} P_0 \sum_{q \leq P} A(q) + O(x^\mu L^{-G}),
 \end{aligned}$$

where P_0 is defined as in (2.4) and E is chosen sufficiently large in $Q = NP^{-1}L^{-E}$. In the sequel $E = E(G)$ is fixed. Now we estimate the terms S_i , $i = 1, 2, 3, 4$. Using Lemma 3.3 we can estimate S_4 in the following way:

$$\begin{aligned}
 |S_4| &\leq \sum_{q \leq P} \frac{1}{\phi^4(q)} \sum_{\chi_2 \bmod q} \sum_{\chi_3 \bmod q} \sum_{\chi_4 \bmod q} \sum_{\chi_5 \bmod q} \\
 &\quad \cdot |Z(q, \chi_2, \chi_3, \chi_4, \chi_5)| \int_{-1/Qq}^{1/Qq} \prod_{k=2}^5 |W_k(\lambda, \chi_j)| d\lambda \\
 &\leq \sum_{r_2 \leq P} \sum_{r_3 \leq P} \sum_{r_4 \leq P} \sum_{\substack{r_5 \leq P \\ [r_2, r_3, r_4, r_5] \leq P}} \sum_{\chi_2 \bmod r_3}^* \sum_{\chi_3 \bmod r_3}^* \sum_{\chi_4 \bmod r_4}^* \sum_{\chi_5 \bmod r_5}^* \\
 &\quad \cdot \int_{-1/Q[r_2, r_3, r_4, r_5]}^{1/Q[r_2, r_3, r_4, r_5]} \prod_{k=2}^5 |W_k(\lambda, \chi_k)| d\lambda \\
 &\quad \cdot \sum_{\substack{q \leq P \\ [r_2, r_3, r_4, r_5] | q}} \frac{|Z(q, \chi_2 \chi_0, \chi_3 \chi_0, \chi_4 \chi_0, \chi_5 \chi_0)|}{\phi^4(q)}, \\
 &\ll L^c \sum_{r_2 \leq P} \sum_{r_3 \leq P} \sum_{r_4 \leq P} \sum_{r_5 \leq P} [r_2, r_3, r_4, r_5]^{-1+\varepsilon} \\
 &\quad \cdot \sum_{\chi_2 \bmod r_2}^* \sum_{\chi_3 \bmod r_3}^* \sum_{\chi_4 \bmod r_4}^* \sum_{\chi_5 \bmod r_5}^*
 \end{aligned}$$

$$\int_{-1/Q[r_2, r_3, r_4, r_5]} \prod_{k=2}^5 |W_k(\lambda, \chi_k)| d\lambda.$$

Using $[r_2, r_3, r_4, r_5] \geq (r_2 r_3)^{1/7} (r_4 r_5)^{5/14}$, we obtain

$$\begin{aligned} S_4 &\ll L^c \max_{2 \leq k < l < m < n \leq 5} \max_{|\lambda| \leq 1/Q} \sum_{r_k \leq P} r_k^{-5/14+\varepsilon} \sum_{\chi_k \bmod r_k}^* \\ &\quad \cdot |W_k(\lambda, \chi_k | \max_{|\lambda| \leq 1/Q} \sum_{r_l \leq P} r_l^{-5/14+\varepsilon} \\ &\quad \cdot \sum_{\chi_l \bmod r_l}^* |W_l(\lambda, \chi_l | \sum_{r_m \leq P} r_m^{-1/7+\varepsilon} \sum_{\chi_m \bmod r_m}^* \\ (3.4) \quad &\quad \cdot \left(\int_{-1/Qr_m}^{1/Qr_m} |W_m(\lambda, \chi_m|^2 d\lambda \right)^{1/2} \\ &\quad \cdot \sum_{r_n \leq P} r_n^{-1/7+\varepsilon} \sum_{\chi_n \bmod r_n}^* \left(\int_{-1/Qr_n}^{1/Qr_n} |W_n(\lambda, \chi_n|^2 d\lambda \right)^{1/2} \\ &\ll L^c \max_{2 \leq k < l < m < n \leq 5} \max_{|\lambda| \leq 1/Q} I_k(\lambda) \max_{|\lambda| \leq 1/Q} I_l(\lambda) W_m W_n, \end{aligned}$$

where

$$\begin{aligned} I_k(\lambda) &= \sum_{r \leq P} r^{-5/14+\varepsilon} \sum_{\chi}^* |W_k(\lambda, \chi|, \\ W_k &= \sum_{r \leq P} r^{-1/7+\varepsilon} \sum_{\chi}^* \left(\int_{-1/Qr}^{1/Qr} |W_k(\lambda, \chi|^2 d\lambda \right)^{1/2}. \end{aligned}$$

Arguing similarly we obtain

$$\begin{aligned} S_1 + S_2 + S_3 &\ll L^c \max_{2 \leq k < l < m < n \leq 5} \max_{|\lambda| \leq 1/Q} |T_k(\lambda)| \\ &\quad \cdot \max_{|\lambda| \leq 1/Q} |T_l(\lambda)| \left(\int_{-1/Q}^{1/Q} |T_m(\lambda)|^2 d\lambda \right)^{1/2} W_n \\ (3.5) \quad &+ L^c \max_{2 \leq k < l < m < n \leq 5} \max_{|\lambda| \leq 1/Q} |T_k(\lambda)| \max_{|\lambda| \leq 1/Q} |T_l(\lambda)| W_m W_n \\ &+ L^c \max_{2 \leq k < l < m < n \leq 5} \max_{|\lambda| \leq 1/Q} |T_k(\lambda)| \max_{|\lambda| \leq 1/Q} I_l(\lambda) W_m W_n. \end{aligned}$$

We have trivially

$$\max_{|\lambda| \leq 1/Q} |T_k(\lambda)| \ll x^{1/k}.$$

Using (3.2) we obtain

$$\left(\int_{-1/Q}^{1/Q} |T(\lambda)|^2 d\lambda \right)^{1/2} \ll x^{(1/k)-(1/2)}.$$

Thus we see from (3.1) and (3.3)–(3.5) that the proof of (2.3) reduces to the proof of the following two lemmas:

Lemma 3.5. *If $P \leq x^{(7/150)-\varepsilon}$ and $2 \leq k \leq 5$,*

$$W_k \ll_B x^{1/k-1/2} L^{-B}$$

for any $B > 0$.

Lemma 3.6. *If $P \leq x^{(7/150)-\varepsilon}$ and $2 \leq k \leq 5$,*

$$\max_{|\lambda| \leq 1/Q} I(\lambda) \ll x^{1/k} L^A$$

for a certain $A > 0$.

For the proof of these lemmas we will appeal to the following lemmas:

Lemma 3.7. *For any $P \geq 1$, $T \geq 1$ and $k = 0, 1$,*

$$\sum_{q \leq P} \sum_{\chi}^* \int_{-T}^T \left| L^{(k)} \left(\frac{1}{2} + it, \chi \right) \right|^4 dt \ll P^2 T (\log PT)^{4(k+1)}.$$

Lemma 3.8. *For any $P \geq 1$, $T \geq 1$ and any complex numbers a_n*

$$\sum_{q \leq P} \sum_{\chi}^* \int_{-T}^T \left| \sum_{n=M+N}^M a_n \chi(n) n^{-it} \right|^2 dt \ll \sum_{n=M+N}^M (P^2 T + n) |a_n|^2.$$

Lemma 3.9. *Let $N^*(\alpha, T, q)$ denote the number of zeros $\sigma + it$ of all L -functions to primitive characters modulo q within the region $\sigma \geq \alpha$, $|t| \leq T$. Then*

$$\sum_{q \leq Q} N^*(\alpha, T, q) \ll T^{12(1-\alpha)/5} (\log QT)^c.$$

The lemmas 3.7–3.9 may be found in [10, Chapters 2, 3 and 5].

4. Proof of Lemma 3.5. In order to prove the lemma, it is enough to show that

$$(4.1) \quad W_{k,R} \ll x^{(1/k)-(1/2)} R^{1/7-\varepsilon} L^{-B},$$

where

$$W_{k,R} = \sum_{r \sim R} \sum_{\chi}^* \left(\int_{-1/Qr}^{1/Qr} |W_k(\lambda, \chi)|^2 d\lambda \right)^{1/2}$$

for $R \leq P/2$. Applying Lemma 1 [4], we see

$$(4.2) \quad \int_{-1/Qr}^{1/Qr} |W_k(\lambda, \chi)|^2 d\lambda \ll (QR)^{-2} \int_{x/2^{k+2}}^x \left| \sum_{\substack{t < m^k \leq t+Qr \\ x/2^{k+1} < m^k \leq x}} \Lambda(m)\chi(m) - E_0 \sum_{\substack{t < m^k \leq t+Qr \\ x/2^{k+1} < m^k \leq x}} 1 \right|^2 dt.$$

We set $X = \max(x/2^{k+1}, t)$ and $X + Y = \min(x, t + Qr)$. In the sequel we will treat the case $R > L^D$ and $R \leq L^D$ for a sufficiently large constant $D > 0$ separately. In the first case we apply a slight modification of Heath-Brown’s identity [6],

$$-\frac{\zeta^t}{\zeta}(s) = \sum_{j=1}^K \binom{K}{j} (-1)^{j-1} \zeta^t(s) \zeta^{j-1}(s) M^j(s) - \frac{\zeta^t}{\zeta}(s) (1 - \zeta(s)M(s))^K,$$

with $K = 5$ and

$$M(s) = \sum_{n \leq x^{1/5k}} \mu(n)$$

to the sum

$$\sum_{X < m^k \leq X+Y}.$$

Arguing exactly as in part III, [15], we find by applying Heath Brown's identity and Perron's summation formula (see [13, Lemma 3.12]) that the inner sum of (4.2) – where always $E_0 = 0$ because of $R > L^D$ and the primitivity of the characters – is a linear combination of $O(L^c)$ terms of the form

$$S_k = \frac{1}{2\pi i} \int_{-T}^T F_k \left(\frac{1}{2} + iu, \chi \right) \frac{(X+Y)^{(1/2+iu)/k} - X^{(1/2+iu)/k}}{(1/2) + iu} du + O(T^{-1}x^{(1/k)+\varepsilon}),$$

where $2 \leq T \leq x$,

$$(4.3) \quad \begin{aligned} F_k(x, \chi) &= \prod_{j=1}^{10} f_{k,j}(s, \chi), \\ f_{k,j}(s, \chi) &= \sum_{n \in I_{k,j}} a_{k,j}(n) \chi(n) n^{-s}, \\ a_{k,j}(n) &= \begin{cases} \log n \text{ or } 1 & j = 1, \\ 1 & 1 < j \leq 5, \\ \mu(n) & 6 \leq 10 \end{cases} \\ I_j &= (N_{k,j}, 2N_{k,j}], \quad 1 \leq j \leq 10, \\ \sqrt[k]{x} \ll \prod_{j=1}^{10} N_{k,j} \ll \sqrt[k]{x}, \quad N_{k,j} \leq x^{1/5k}, \quad 6 \leq j \leq 10. \end{aligned}$$

Since

$$\frac{(X+Y)^{(1/k)[(1/2)+iu]} - X^{(1/k)[(1/2)+iu]}}{(1/2) + iu} \ll \min(QRx^{(1/2k)-1}, x^{1/2k}(|u|+1)^{-1})$$

by taking $T = x^{2\varepsilon} P^2(1 + |\lambda|x)$ and $T_0 = x(QR)^{-1}$, we conclude that S_k is bounded by

$$\begin{aligned} &\ll QRx^{(1/2k)-1} \int_{-T_0}^{T_0} \left| F_k\left(\frac{1}{2} + it, \chi\right) \right| du \\ &\quad + x^{1/2k} \int_{T_0 \leq |u| \leq T} \left| F_k\left(\frac{1}{2} + it, \chi\right) \right| \frac{du}{|u|} + x^{1/k} P^{-2}, \end{aligned}$$

Thus we derive from (4.2) that in order to prove (4.1) it is enough to show that

$$(4.4) \quad \sum_{r \sim R} \sum_{\chi}^* \int_0^{T_0} \left| F_k\left(\frac{1}{2} + it, \chi\right) g \right| dt \ll x^{1/2k} R^{1/7-\varepsilon} L^{-B},$$

$$(4.5) \quad \sum_{r \sim R} \sum_{\chi}^* \int_{T_1}^{2T_1} \left| F_k\left(\frac{1}{2} + it, \chi\right) \right| dt \ll x^{1/2k-1} QR^{8/7-\varepsilon} T_1 L^{-B}, \quad T_0 < |T_1| \leq T.$$

For the proof of (4.4) and (4.5) we will prove two propositions. We will need the estimate

$$(4.6) \quad \sum_{n \leq x} d_2^k(n) \ll_k x L^{c(k)}.$$

We now establish

Proposition 1. *If there exist N_{k,j_1} and N_{k,j_2} , $1 \leq j_1, j_2 \leq 5$, such that $N_{k,j_1} N_{k,j_2} \geq P^{12/7+3\varepsilon}$, then (4.4) is true.*

Proof. We suppose without loss of generality that $j_1 = 1, a_1(n) = \log n$ and $j_2 = 2, a_2(n) = 1$. Arguing exactly as in the proof of Proposition 1 in [15], we find

$$f_{k,1}\left(\frac{1}{2} + it, \chi\right) \ll L \left(\int_{-x^{1/k}}^{x^{1/k}} \left| L'\left(\frac{1}{2} + it + iv, \chi\right) \right|^4 \frac{dv}{1 + |v|} \right)^{1/4} + L,$$

and so we find by using Lemma 3.7,

$$\begin{aligned}
 & \sum_{r \sim R} \sum_{\chi}^* \int_0^{T_0} \left| f_1 \left(\frac{1}{2} + it, \chi \right) \right|^4 dt \\
 & \ll L^4 \int_{-x^{1/k}}^{x^{1/k}} \frac{dv}{1+|v|} \sum_{r \sim R} \sum_{\chi}^* \int_v^{T_0+v} \left| L' \left(\frac{1}{2} + it, \chi \right) \right|^4 dt + T_0 R^2 L^4 \\
 & \ll L^5 \max_{|N| \leq x^{1/k}} \int_{N/2}^N \frac{dv}{1+|v|} \sum_{r \sim R} \sum_{\chi}^* \int_v^{T_0+v} \left| L' \left(\frac{1}{2} + it, \chi \right) \right|^4 dt \\
 & \quad + T_0 R^2 L^4 \\
 & \quad + L^5 \max_{|N| \leq x^{1/k}} N^{-1} \int_0^{T_0} dt \sum_{r \sim R} \sum_{\chi \bmod r}^* \int_{(N/2)+t}^{N+t} \left| L' \left(\frac{1}{2} + iv, \chi \right) \right|^4 dv \\
 & \quad + T_0 R^2 L^4 \\
 & \ll R^2 T_0 L^c.
 \end{aligned}$$

Using Lemma 3.8, (4.6) and Holder’s inequality, we obtain

$$\begin{aligned}
 & \sum_{r \sim R} \sum_{\chi}^* \int_0^{T_0} \left| F_k \left(\frac{1}{2} + it, \chi \right) \right| dt \\
 & \ll \left(\sum_{r \sim R} \sum_{\chi}^* \int_0^{T_0} \left| f_{k,1} \left(\frac{1}{2} + it, \chi \right) \right| dt \right)^{1/4} \\
 & \quad \cdot \left(\sum_{r \sim R} \sum_{\chi}^* \int_0^{T_0} \left| f_{k,2} \left(\frac{1}{2} + it, \chi \right) \right| dt \right)^{1/4} \\
 & \quad \cdot \left(\sum_{r \sim R} \sum_{\chi}^* \int_0^{T_0} \left| \prod_{j=3}^{10} f_{k,j} \left(\frac{1}{2} + it, \chi \right) \right| dt \right)^{1/2} \\
 & \ll (R^2 T_0)^{1/2} \left(R^2 T_0 + \frac{x^{1/k}}{N_{k,1} N_{k,2}} \right)^{1/2} L^c \\
 & \ll x^{1/2k} R^{1/7-\varepsilon} L^{-B},
 \end{aligned}$$

by the definition of T_0 and the condition of the proposition.

Proposition 2. *Let $J = \{1, \dots, 10\}$. If J can be divided into two nonoverlapping subsets J_1 and J_2 such that*

$$\max \left(\prod_{j \in J_1} N_{k,j}, \prod_{j \in J_2} N_{k,j} \right) \ll x^{1/k} P^{-(12/7)-3\varepsilon}$$

then (4.4) is true.

Proof. let

$$\begin{aligned} F_{k,i}(s, \chi) &= \prod_{j \in J_i} f_{k,j}(s, \chi) \\ &= \sum_{n \ll M_i} b_i(n) \chi(n) n^{-s}, \\ b_i(n) &\ll d_2^c(n), \quad i = 1, 2, \end{aligned}$$

where $M_i = \prod_{j \in J_i} N_{k,j}$, $i = 1, 2$. Applying Lemma 3.8, (4.3) and (4.6) we see

$$\begin{aligned} &\sum_{r \sim R} \sum_{\chi}^* \int_0^{T_0} \left| F_k \left(\frac{1}{2} + it, \chi \right) \right| dt \\ &\ll \left(\sum_{r \sim R} \sum_{\chi}^* \int_0^{T_0} \left| F_{k,1} \left(\frac{1}{2} + it, \chi \right) \right| dt \right)^{1/2} \\ &\quad \cdot \left(\sum_{r \sim R} \sum_{\chi}^* \int_0^{T_0} \left| F_{k,2} \left(\frac{1}{2} + it, \chi \right) \right| dt \right)^{1/2} \\ &\ll (R^2 T_0 + M_1)^{1/2} (R^2 T_0 + M_2)^{1/2} \\ &\ll R^2 T_0 + x^{1/2k} R P^{-(6/7)-(3/2)\varepsilon} T_0^{1/2} + x^{1/2k} L^c. \end{aligned}$$

This proves the proposition because of $R > L^D$. Whereas for the proof of the proposition an estimate $P \ll x^{(7/130)-\varepsilon}$ would have been enough, we need the estimate $P \leq x^{(7/150)-\varepsilon}$ in the following. Now we can prove (4.4). In view of Proposition 1 we assume

$$N_{k,i} N_{k,j} \leq P^{12/7+3\varepsilon} \leq x^{2/5k}, \quad 1 \leq i, \quad j \leq 5, \quad i \neq j.$$

Therefore, we see from (4.3) that there exists at most one $N_{k,j}$, $1 \leq j \leq 10$, with $N_{k,j} \geq x^{1/5k}$. Suppose such a $N_{k,j}$ is N_{k,j_0} if it exists (otherwise $N_{k,j_0} = 1$). Reorder the other $N_{k,j}$ as follows:

$$N_{k,j_1} \geq N_{k,j_2} \geq \dots \geq N_{k,j_K}, \quad K = 9 \text{ or } 10.$$

We find an integer $1 \leq l \leq K - 1$ such that

$$N_{k,j_0} N_{k,j_1} \dots N_{k,j_{l-1}} \leq x^{2/5k} \quad \text{and} \quad N_{k,j_0} N_{k,j_1} \dots N_{k,j_l} \geq x^{2/5k}.$$

Taking $M_1 = N_{k,j_0} N_{k,j_1} \dots N_{k,j_l}$ and $M_2 = N_{k,j_{l+1}} \dots N_{k,j_K}$, we have

$$M_1 \ll x^{2/5k} N_{k,j_l} \leq x^{3/5k} \quad \text{and} \quad M_2 \ll x^{1/5k} M_1^{-1} \ll x^{3/5k}.$$

The sets M_1 and M_2 satisfy the conditions of Proposition 2 and therefore (4.4) is proved. The proof of (4.5) goes along the same lines. (4.1) is now proved in the case $R > L^D$. If $R \leq L^D$ we can estimate the sum on the righthand side of (4.2) by using the zero expansion of the von Mangoldt function:

$$\begin{aligned} & \sum_{\substack{t < m^k \leq t+Qr \\ x/2^k < m^k \leq x}} \Lambda(m)\chi(m) - E_0 \sum_{\substack{t < m^k \leq t+Qr \\ x/2^k < m^k \leq x}} 1 \\ &= \sum_{X < m^k \leq X+Y} \Lambda(m)\chi(m) - E_0 \sum_{X < m^k \leq X+Y} 1 \\ &\ll \sum_{|\text{Im } \rho| \leq x^{1/3k}} \left| \frac{(X+Y)^{\rho/k}}{\rho} - \frac{X^{\rho/k}}{\rho} \right| + O(x^{2/3k} L^2) \\ &\ll QRx^{(1/k)-1} \sum_{|\text{Im } \rho| \leq x^{1/3k}} x^{\beta-1/k} + O(x^{2/3k} L^2), \end{aligned}$$

where ρ runs over the nontrivial zeros of the L -function corresponding to $\chi \pmod r$ with $|\text{Im } \rho| \leq x^{1/3k}$ and $\beta = \text{Re } \rho$. Applying Lemma 3.9 and the fact that $L(\sigma + it, \chi)$ with $\chi \pmod r \leq L^D$ has no zeros in the region (see [12], VIII Satz 6.2)

$$\sigma \geq 1 - \delta(T) := 1 - \frac{c_0}{\log r + (\log(T+2))^{4/5}}, \quad |t| \leq T,$$

where c_0 is an absolute constant and taking $T = x^{1/3k}$ we obtain from (4.2)

$$\begin{aligned} & \int_{-1/Q^r}^{1/Q^r} |W_k(\lambda, \chi)|^2 d\lambda \\ & \ll x^{(2/k)-1} \left(\sum_{|\operatorname{Im} \rho| \leq x^{1/3k}} x^{(\beta-1)/k} \right)^2 + (QR)^{-2} x^{1+(4/3k)} L^4 \\ & \ll x^{(2/k)-1} L^c \left(\max_{(1/2) \leq \beta \leq 1-\delta(T)} x^{(4/5k)(1-\beta)} x^{(1/k)(\beta-1)} \right)^2 \\ & \quad + P^2 x^{(4/3k)-1} L^{2E+4} \\ & \ll x^{(2/k)-1} \exp(-cL^{1/5}). \end{aligned}$$

This gives (4.1) for $R \leq L^D$.

5. Proof of Lemma 3.6. To prove the lemma it is enough to show that

$$\max_{R \leq P/2} \sum_{r \sim R} \sum_{\chi}^* |W_k(\lambda, \chi_r)| \ll x^{1/k} R^{(5/14)-\varepsilon} L^A,$$

uniformly for $|\lambda| \leq Q^{-1}$. Arguing as in the section before – we do not have to apply Gallagher’s lemma here – we find

$$\begin{aligned} W_k(\lambda, \chi) & \ll L^c \max_{I_{a_1, \dots, I_{a_{2k+1}}}} \left| \int_{-T}^T F\left(\frac{1}{2} + it, \chi\right) dt \right. \\ & \quad \left. \cdot \int_{x/2^{k+1}}^x u^{(1/2k)-1} e\left(\frac{t}{2k\pi} \log u + \lambda u\right) du \right| + x^{1/k} P^{-1}, \end{aligned}$$

for $T = P^3$. Estimating the inner integral by Lemma 3.2, we obtain

$$\begin{aligned} & \int_{x/2^{k+1}}^x u^{(1/2k)-1} e\left(\frac{t}{2k\pi} \log u + \lambda u\right) du \\ & \ll x^{(1/2k)-1} \min\left(\frac{x}{\sqrt{|t|+1}}, \frac{x}{\min_{x/2^{k+1} < u \leq x} |t + 2k\pi\lambda u|}\right). \end{aligned}$$

Taking $T_0 = 4k\pi x Q^{-1}$, we conclude that in order to prove this lemma it is enough to prove that for $P \leq x^{(7/150)-\varepsilon}$ and $2 \leq k \leq 5$, the

following holds

$$(5.1) \quad \sum_{r \sim R} \sum_{\chi}^* \int_0^{T_0} \left| F_k \left(\frac{1}{2} + it, \chi \right) \right| dt \ll x^{1/2k} T_0^{1/2} R^{5/14-\varepsilon} L^c,$$

$$(5.2) \quad \sum_{r \sim R} \sum_{\chi}^* \int_{T_1}^{2T_1} \left| F_k \left(\frac{1}{2} + it, \chi \right) \right| dt \ll x^{1/2k} R^{5/14-\varepsilon} T_1 L^c,$$

$$T_0 < |T_1| \leq T.$$

These estimates are shown in the same way as (4.4) and (4.5). here the condition $P \leq x^{(7/150)-\varepsilon}$ is needed. Two propositions analogous to Propositions 1 and 2 are proved:

Proposition 3. *If there exist N_{k,j_1} and N_{k,j_2} , $1 \leq j_1, j_2 \leq 5$, such that $N_{k,j_1}, N_{k,j_2} \geq P^{9/7+3\varepsilon}$, then (5.1) is true.*

Proposition 4. *Let $J = \{1, \dots, 10\}$. If J can be divided into two nonoverlapping subsets J_1 and J_2 such that*

$$\max \left(\prod_{j \in J_1} N_{k,j}, \prod_{j \in J_2} N_{k,j} \right) \ll x^{1/k} P^{-(9/7)-3\varepsilon},$$

then (5.1) is true.

Remark. Here we do not need to treat the case $R > L^D$ separately because we do not have to save a factor L^{-B} .

6. The singular series. We now derive (2.5) from (2.3). In the sequel we write $A(q, N)$ instead of $A(q)$ and $s(p, N)$ instead of $s(p)$ because we will argue for variable N .

Lemma 6.1. *For $P \leq x^{(7/150)-\varepsilon}$, we have*

$$(6.1) \quad \sum_{N \leq x} \left| \prod_{p \leq P} s(p, N) - \sum_{q \leq P} A(q, N) \right| \ll x P^{-(1/3)+\varepsilon},$$

which implies that for all but $\ll x^{1+2\varepsilon}P^{-1/3}$ even integers N with $1 \leq N \leq x$, the following holds

$$(6.2) \quad \prod_{p \leq P} s(p, N) = \sum_{q \leq P} A(q, N) + O(x^{-\varepsilon}).$$

From here, (2.5) follows.

Proof. Equation (6.1) was proved in Lemma 5.1 in [1] for a sufficiently small ε for P as large as x^ε . We show that it also holds for $x^\varepsilon < P \leq x^{(7/150)-\varepsilon}$. We argue exactly as in the proof of Lemma 5.1 in [1], but here we set: $V := \exp(\log x \log P / \log \log x)$ and $v = 3 \log \log x / 4 \log P$. Denoting the lefthand side in (6.1) by J , we follow the proof of Lemma 5.1 in [1]:

$$(6.3) \quad \begin{aligned} J &\ll xV^{-v}L^cL^{1/2} + x^{1+\varepsilon}P^{-1/3} + x^{7/8+\varepsilon} + x^{(31/40)+\varepsilon} \\ &\quad \cdot \sum_{10 \leq m \leq (2+\varepsilon) \log P / \log \log x} (m \log(xe))^m \\ &\ll x^{7/8+\varepsilon} + x^{1+\varepsilon}P^{-1/3} + x^{(31/40)+\varepsilon} \\ &\quad \cdot \sum_{10 \leq m \leq (2+\varepsilon) \log P / \log \log x} (m(\log(xe)))^m. \end{aligned}$$

For the calculation of the last sum, we have used $x^{(m-1)/2} \leq V$ and therefore $m \leq (2 + \varepsilon) \log P (\log \log x)^{-1}$ for a sufficiently large x . We obtain as an upper bound:

$$(6.4) \quad \begin{aligned} &\ll P^{2+\varepsilon} \sum_{10 \leq m \leq (2+\varepsilon) \log P / \log \log x} (\log(xe))^m \\ &\ll P^{2+\varepsilon} \exp((2 + \varepsilon) \log P \log \log(xe) / \log \log x) \log P / \log \log x \\ &\ll P^{2+\varepsilon} \exp((2 + 2\varepsilon) \log P) \log P \ll P^{4+3\varepsilon}. \end{aligned}$$

We derive from (6.3) and (6.4)

$$\begin{aligned} J &\ll x^{7/8+\varepsilon} + x^{1+\varepsilon}P^{-1/3} + x^{(31/40)+\varepsilon}P^{4+3\varepsilon}L^c \\ &\ll x^{1+\varepsilon}P^{-1/3}. \end{aligned}$$

This completes the proof of Lemma 6.1.

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TELLABS OPERATIONS INC., ONE KENDALL SQUARE, BLDG. 100, SUITE 121,
CAMBRIDGE, MA 02139
E-mail address: claus.bauer@tellabs.com