

## FRACTIONAL CONE AND HEX SPLINES

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**ABSTRACT.** We introduce an extension of cone splines and box splines to fractional and complex orders. These new families of multivariate splines are defined in the Fourier domain along certain  $s$ -directional meshes and include as special cases the 3-directional box splines [3] and hex splines [18] previously considered by Condat and Van De Ville, et al. These cone and hex splines of fractional and complex order generalize the univariate fractional and complex B-splines defined in [6, 17] and, e.g., investigated in [7, 12]. Explicit time domain representations are derived for these splines on 3-directional meshes. We present some properties of these two multivariate spline families, such as recurrence, decay and refinement. Finally, we show that a bivariate hex spline and its integer lattice translates form a Riesz basis of its linear span.

**1. Introduction.** Given a direction matrix or knot set, fractional cone and hex splines are defined in the Fourier domain as ridges along the directions of the knots. These new families include as special cases the 3-directional box splines introduced by Condat and Van De Ville [3] as well as the hex splines defined by Van De Ville, et al. [18]. Whereas the latter multivariate splines provide integer smoothness along a direction, the new families allow for fractional smoothness along different directions, thus defining *continuous* families (with respect to smoothness) of functions. In the case of complex orders, cone and hex splines have an additional phase factor automatically built in that allows, exactly as in the one-dimensional setting, the enhancement of frequencies along the directions defined by the knot set. The importance of phase has been well known since the experiment by Oppenheimer and Lim [15]. For this reason, hex splines of complex

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orders may be potentially employed for multivariate signal or image analysis.

This paper, however, focuses on the mathematical foundations of cone and hex splines of fractional and complex orders. In the current setting, these two spline families generalize the univariate concepts of fractional and complex B-splines as defined by Blu and Unser [17], respectively, Forster, et al., [6] to higher dimensions. Previously, an extension of univariate complex B-splines to higher dimensions was proposed in [12]. This approach is based on a generalized version of the Hermite-Genocchi formula and produces the analog of multivariate simplex splines (of complex orders). Our approach also differs from that undertaken in [8], where the multivariate extension was accomplished via ridge functions. The focus of our setting lies more on the geometry of the knot set.

The structure of this paper is as follows. In Section 2, we briefly review the definitions of cone and box splines and present those properties that will be relevant in subsequent sections. The next section deals with cone splines on 3-directional meshes. There, we extend the results obtained in [3] and obtain an explicit time domain representation of such cone splines on 3-directional meshes with different weights along the various directions. Hex splines with different weights along the given directions are defined in Section 3, and it is shown that the hex splines in [3, 18] are again special cases. Fractional cone and hex splines are introduced in Section 5. While they are defined in the frequency domain, explicit formulae for them in the time domain are presented. We show that fractional cone splines in  $\mathbb{R}^s$  constructed from  $s$  knots obey a recurrence formula which is similar to that derived by Micchelli [13] for polynomial cone splines. In the final section, we derive decay rates for hex splines and also show that hex splines are refinable functions. Further, we show that, with modest assumptions placed on the knots, the set  $V$  consisting of a bivariate hex spline and its integer lattice translates form a Riesz basis for  $\text{span}(V)$ . We conclude the paper by extending the definitions of fractional splines to introduce cone and hex splines of complex order.

**2. Review of cone and box splines.** In this section, we provide a review of cone splines and box splines. Definitions for each spline plus properties dealing with support, polynomial structure, smooth-

ness, Fourier representation and recurrence are provided. Finally, we introduce the multivariate backwards difference operator and recall how it can be used to represent a box spline in terms of a cone spline.

The B-spline of Curry and Schoenberg [4] is defined as follows:

$$(2.1) \quad N_{0,n}(x) := n[x_0, \dots, x_n](\cdot - x)_+^{n-1},$$

where  $x_0 \leq \dots \leq x_n$  with  $x_0 < x_n$  are the knots,  $x_+ = \max(0, x)$  is the truncated power function, and  $[x_0, \dots, x_n]$  is the divided difference operator. Multiplication by  $n$  normalizes the spline so that

$$\int_{\mathbb{R}} N_{0,n}(x) dx = 1.$$

**2.1. Cone splines.** It is natural to define multivariate splines by first generalizing the truncated power function that appears in (2.1). We have the following definition:

**Definition 2.1.** Let  $\mathbf{X} = \{\mathbf{x}^1, \dots, \mathbf{x}^s\} \subset \mathbb{R}^s$  be a linearly independent set of vectors with  $\text{cone}(\mathbf{X}) = \{t_1 \mathbf{x}^1 + \dots + t_s \mathbf{x}^s \mid t_1, \dots, t_s \geq 0\}$  and  $M = [\mathbf{x}^1 \dots \mathbf{x}^s]$ . Then the *cone spline*  $C(\mathbf{x} \mid \mathbf{X})$  is defined as

$$(2.2) \quad C(\mathbf{x} \mid \mathbf{X}) := \begin{cases} |\det(M)|^{-1} & \mathbf{x} \in \text{cone}(\mathbf{X}); \\ 0 & \text{otherwise,} \end{cases}$$

and inductively for additional nonzero knots by

$$C(\mathbf{x} \mid \mathbf{X} \cup \{\mathbf{x}^{s+1}\}) = \int_0^\infty C(\mathbf{x} - t\mathbf{x}^{s+1} \mid \mathbf{X}) dt.$$

Definition 2.1 leads to several properties, see [2], of cone splines. For  $\mathbf{X} = \{\mathbf{x}^1, \dots, \mathbf{x}^n\} \subset \mathbb{R}^s \setminus \{\mathbf{0}\}$ ,  $n \geq s$ ,  $\text{span}(\mathbf{X}) = \mathbb{R}^s$ . The first four properties appear in [2], and the last can be found in [5]:

- $\text{supp}(C(\mathbf{x} \mid \mathbf{X})) = \text{cone}(\mathbf{X}) := \{t_1 \mathbf{x}^1 + \dots + t_n \mathbf{x}^n \mid t_1, \dots, t_n \geq 0\}$ .
- $C(\mathbf{x} \mid \mathbf{X}) > 0$  for  $\mathbf{x} \in \text{cone}(\mathbf{X})$ .
- $C(\mathbf{x} \mid \mathbf{X})$  is a piecewise polynomial function of total degree  $n-s$ .
- Let  $p$  be the minimum number of knots which can be removed from  $\mathbf{X}$  so that the resulting set does not span  $\mathbb{R}^s$ . Then,

$$(2.3) \quad C(\mathbf{x} \mid \mathbf{X}) \in C^{p-2}(\mathbb{R}^s).$$

- For all continuous functions  $g : \mathbb{R}^s \rightarrow \mathbb{R}$  with compact support,

$$(2.4) \quad \int_{\mathbb{R}^s} C(\mathbf{x} \mid \mathbf{X}) g(\mathbf{x}) \, d\mathbf{x} = \int_{[0, \infty)^n} g\left(\sum_{k=1}^n t_k \mathbf{x}^k\right) \, d\mathbf{t}.$$

As the set  $C_0(\mathbb{R}^s)$  of continuous functions with compact support contains the set  $C_0^\infty$  of infinitely differentiable functions as a dense (in  $L^p$ ,  $p \in [1, \infty)$ ) subset and the latter is dense in the Schwartz space  $\mathcal{S}(\mathbb{R}^s)$ , we can interpret the cone spline  $C(\bullet \mid \mathbf{X})$  as a tempered distribution by setting

$$(2.5) \quad \langle C(\bullet \mid \mathbf{X}), g \rangle := \int_{[0, \infty)^n} g\left(\sum_{k=1}^n t_k \mathbf{x}^k\right) \, d\mathbf{t}, \quad \text{for all } g \in \mathcal{S}(\mathbb{R}^s).$$

We can use (2.5) to see that the Fourier transformation of the cone spline, considered as an element of  $\mathcal{S}'(\mathbb{R}^s)$ , is given by

$$(2.6) \quad \widehat{C}(\boldsymbol{\omega} \mid \mathbf{X}) = \prod_{k=1}^n \left( \frac{1}{i \langle \boldsymbol{\omega}, \mathbf{x}^k \rangle} + \pi \delta(\langle \boldsymbol{\omega}, \mathbf{x}^k \rangle) \right),$$

where  $\prod$  represents the direct or tensor product of distributions. Indeed, for any  $g \in \mathcal{S}(\mathbb{R}^s)$ ,

$$\begin{aligned} \langle \widehat{C}(\boldsymbol{\omega} \mid \mathbf{X}), g \rangle &= \langle C(\mathbf{x} \mid \mathbf{X}), \widehat{g} \rangle = \int_{[0, \infty)^n} \widehat{g}\left(\sum_{k=1}^n t_k \mathbf{x}^k\right) \, d\mathbf{t} \\ &= \langle \mathbf{H}, \widehat{g}(\mathbf{X}\mathbf{t}) \rangle = \langle \widehat{\mathbf{H}}(\mathbf{X}^T \boldsymbol{\omega}), g \rangle \\ &= \left\langle \prod_{k=1}^n \widehat{H}(\langle \mathbf{x}^k, \boldsymbol{\omega} \rangle), g \right\rangle \\ &= \left\langle \prod_{k=1}^n \left( \frac{1}{i \langle \boldsymbol{\omega}, \mathbf{x}^k \rangle} + \pi \delta(\langle \boldsymbol{\omega}, \mathbf{x}^k \rangle) \right), g \right\rangle, \end{aligned}$$

where we set

$$\mathbf{X}\mathbf{t} := \sum_{k=1}^n t_k \mathbf{x}^k,$$

and where  $H$  and  $\mathbf{H}$  denote the one-, respectively,  $n$ -dimensional Heaviside function.

**Remark 2.2.** In the sequel, we need to consider direct products of generalized functions  $S$  and  $T$  of the form  $S^m$  and  $S^m T^n$ ,  $m, n \in \mathbb{N}$ . These are defined as follows [10]:

$$\langle S^m, \phi(\mathbf{x}) \rangle := \langle S_{x_1}, \langle S_{x_2}, \dots \langle S_{x_m}, \phi(x_1, \dots, x_m) \rangle \dots \rangle \rangle,$$

where the subscript on  $S$  indicates on which variable  $S$  acts. Similarly, we define

$$\langle S^m T^n, \phi(\mathbf{x}, \mathbf{y}) \rangle := \langle S^m, \langle T^n, \phi(\mathbf{x}, \mathbf{y}) \rangle \rangle,$$

where  $S^m$ , respectively  $T^n$ , acts on the  $m$  variables  $\mathbf{x} = (x_1, \dots, x_m)$ , respectively, the  $n$  variables  $\mathbf{y} = (y_1, \dots, y_n)$ .

Distributional relation (2.4) may also be used to show that, if  $A$  is any nonsingular  $s \times s$  matrix, then

$$(2.7) \quad C(\mathbf{x} \mid \{A\mathbf{x}^1, \dots, A\mathbf{x}^n\}) = \frac{1}{|\det(A)|} C(A^{-1}\mathbf{x} \mid \mathbf{X}).$$

Finally, Micchelli [13] proved the following recurrence formula obeyed by cone splines:

$$(2.8) \quad C(\mathbf{x} \mid \mathbf{X}) = \frac{1}{n-s} \sum_{k=1}^n \lambda_k C(\mathbf{x} \mid \mathbf{X} \setminus \{\mathbf{x}^k\}),$$

where

$$\mathbf{x} = \sum_{k=1}^n \lambda_k \mathbf{x}^k.$$

Two cone splines are plotted in Figure 1.

**2.2. Box splines.** Multivariate box splines are defined in a manner similar to that for cone splines:

**Definition 2.3.** Let  $\mathbf{X} = \{\mathbf{x}^1, \dots, \mathbf{x}^s\} \subset \mathbb{R}^s$  be a linearly independent set of vectors. Let  $M = [\mathbf{x}^1 \cdots \mathbf{x}^s]$ , and denote by  $Z(\mathbf{X})$  the zonotope  $\{t_1 \mathbf{x}^1 + \cdots + t_s \mathbf{x}^s \mid t_1, \dots, t_s \in [0, 1]\}$ . Then, the *box spline*  $B(\mathbf{x} \mid \mathbf{X})$  is defined as

$$B(\mathbf{x} \mid \mathbf{X}) := \begin{cases} |\det(M)|^{-1} & \mathbf{x} \in Z(\mathbf{X}); \\ 0 & \text{otherwise,} \end{cases}$$

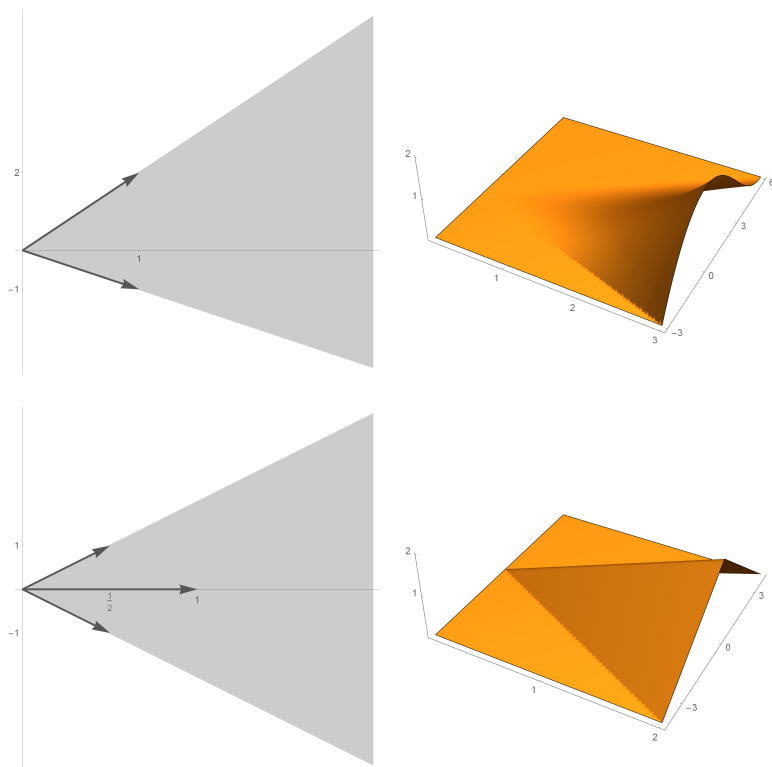


FIGURE 1. Two cone splines and their support. Let  $\mathbf{x}^1 = (1, 2)^T$  and  $\mathbf{x}^2 = (1, -1)^T$ . Then the knot set is  $\mathbf{X} = \{\mathbf{x}^1, \mathbf{x}^1, \mathbf{x}^2, \mathbf{x}^2, \mathbf{x}^3\}$  and the top spline is a piecewise cubic polynomial. The spline on the bottom is piecewise linear with knots  $\mathbf{x}^1 = (1/2, 1)^T$ ,  $\mathbf{x}^2 = (1/2, -1)^T$  and  $\mathbf{x}^3 = (1, 0)^T$ .

and inductively for additional nonzero knots by

$$B(\mathbf{x} \mid \mathbf{X} \cup \{\mathbf{x}^{s+1}\}) = \int_0^1 B(\mathbf{x} - t\mathbf{x}^{s+1} \mid \mathbf{X}) dt.$$

Definition 2.3 gives rise to several properties, see [2], of box splines. For  $\mathbf{X} = \{\mathbf{x}^1, \dots, \mathbf{x}^n\} \subset \mathbb{R}^s \setminus \{\mathbf{0}\}$ ,  $n \geq s$ ,  $\text{span}(\mathbf{X}) = \mathbb{R}^s$ :

- $\text{supp}(B(\mathbf{x} \mid \mathbf{X})) = Z(\mathbf{X}) = \{t_1\mathbf{x}^1 + \dots + t_n\mathbf{x}^n \mid t_1, \dots, t_n \in$

- $[0, 1]\}$ .
- $B(\mathbf{x} \mid \mathbf{X}) > 0$  for  $\mathbf{x} \in Z(\mathbf{X})$ .
- $B(\mathbf{x} \mid \mathbf{X})$  is a piecewise polynomial function of total degree  $n - s$ .
- For all continuous functions  $g : \mathbb{R}^s \rightarrow \mathbb{R}$  with compact support,

$$(2.9) \quad \int_{\mathbb{R}^s} B(\mathbf{x} \mid \mathbf{X}) g(\mathbf{x}) \, d\mathbf{x} = \int_{[0,1]^n} g\left(\sum_{k=1}^n t_k \mathbf{x}^k\right) \, dt.$$

Since  $B(\bullet \mid \mathbf{X}) \in L^1(\mathbb{R}^s)$ , we interpret  $B(\bullet \mid \mathbf{X})$  as a regular tempered distribution acting on Schwartz functions via (2.9). Employing similar arguments to those given in the derivation of the Fourier transform of a cone spline, replacing the  $n$ -dimensional Heaviside function by the characteristic function of the unit  $n$ -cube, it can be shown that  $\hat{B}(\bullet \mid \mathbf{X})$  is given by

$$(2.10) \quad \hat{B}(\boldsymbol{\omega} \mid \mathbf{X}) = \prod_{k=1}^n \frac{(1 - e^{-i\langle \boldsymbol{\omega}, \mathbf{x}^k \rangle})}{i\langle \boldsymbol{\omega}, \mathbf{x}^k \rangle}.$$

Writing equation (2.10) in the form

$$(2.11) \quad \begin{aligned} \hat{B}(\boldsymbol{\omega} \mid \mathbf{X}) &= \prod_{k=1}^n (1 - e^{-i\langle \boldsymbol{\omega}, \mathbf{x}^k \rangle}) \cdot \prod_{k=1}^n \frac{1}{i\langle \boldsymbol{\omega}, \mathbf{x}^k \rangle} \\ &= \prod_{k=1}^n (1 - e^{-i\langle \boldsymbol{\omega}, \mathbf{x}^k \rangle}) \cdot \prod_{k=1}^n \left( \frac{1}{i\langle \boldsymbol{\omega}, \mathbf{x}^k \rangle} + \pi \delta(\langle \boldsymbol{\omega}, \mathbf{x}^k \rangle) \right) \\ &=: \hat{\nabla}_{\mathbf{X}} \hat{C}(\boldsymbol{\omega} \mid \mathbf{X}), \end{aligned}$$

where we set

$$\hat{\nabla}_{\mathbf{X}} := \prod_{k=1}^n (1 - e^{-i\langle \boldsymbol{\omega}, \mathbf{x}^k \rangle})$$

and used the fact that  $(1 - e^{-i\langle \boldsymbol{\omega}, \mathbf{x}^k \rangle}) \cdot \delta(\langle \boldsymbol{\omega}, \mathbf{x}^k \rangle) = 0$ , in  $\mathcal{S}'(\mathbb{R}^s)$ . Taking the inverse Fourier transform of (2.11) and noting that  $\hat{\nabla}_{\mathbf{X}}$  is the Fourier transform of the multivariate backwards difference operator  $(1/\Gamma(n))\nabla_{\mathbf{X}}$  defined by

$$\nabla_{\mathbf{X}} := \prod_{\mathbf{x} \in \mathbf{X}} \nabla_{\mathbf{x}},$$

where the backwards difference operator in direction  $\mathbf{x}$  for a function  $f: \mathbb{R}^s \rightarrow \mathbb{R}$  is given as

$$\nabla_{\mathbf{x}} f := f - f(\bullet - \mathbf{x}),$$

we obtain the well-known identity

$$(2.12) \quad B(\mathbf{x} \mid \mathbf{X}) = \frac{1}{\Gamma(n)} \nabla_{\mathbf{x}} C(\mathbf{x} \mid \mathbf{X}).$$

This section concludes with examples of box splines plotted in Figure 2.

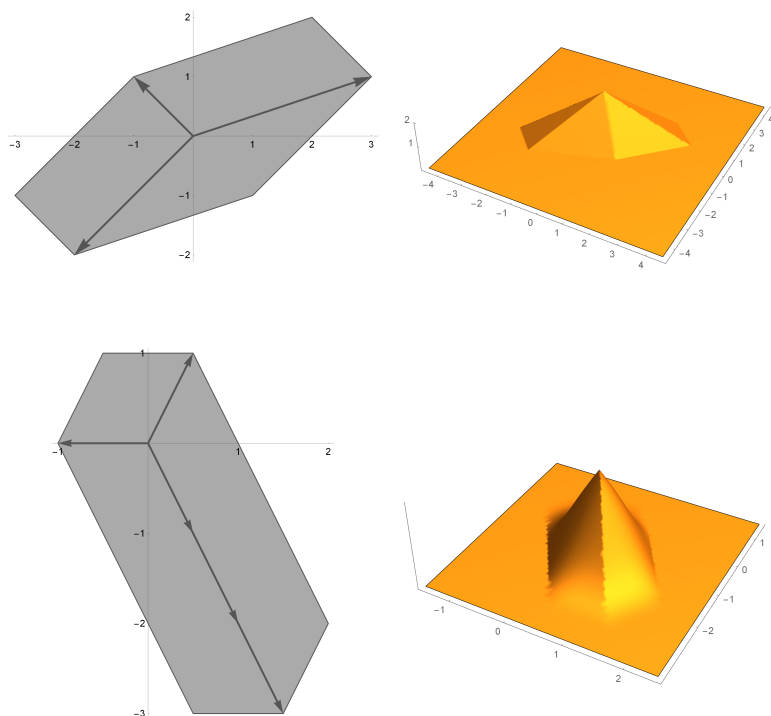


FIGURE 2. Two box splines and their support. The top spline is a piecewise linear polynomial. The knots are  $\mathbf{x}^1 = (3, 1)^T$  and  $\mathbf{x}^2 = (-2, -2)^T$  and  $\mathbf{x}^3 = (-1, 1)^T$ . The spline on the bottom is piecewise cubic. If  $\mathbf{x}^1 = (1/2, 1)^T$ ,  $\mathbf{x}^2 = (1/2, -1)^T$  and  $\mathbf{x}^3 = (-1, 0)^T$ , the knot set is  $\mathbf{X} = \{\mathbf{x}^1, \mathbf{x}^2, \mathbf{x}^2, \mathbf{x}^2, \mathbf{x}^3\}$ .

**3. Cone splines on 3-directional meshes.** In this section, we define cone splines on a 3-directional mesh and recall the explicit representation of a special case (each knot in  $\mathbf{X}$  is weighted equally) of these splines (or *ridge functions*) by Condat and Van De Ville [3]. The main result of this section is an explicit representation of cone splines on 3-directional meshes where knots can have different integer weights. Also included in this section is a closed formula for  $s$ -directional cone splines on  $\mathbb{R}^s$ .

A knot set  $\mathbf{X} = \{\mathbf{x}^1, \mathbf{x}^2, \mathbf{x}^3\} \subset \mathbb{R}^2$  will be called a *3-directional mesh* if  $\mathbf{x}^1, \mathbf{x}^2$  are linearly independent and  $\mathbf{x}^3 = \mathbf{x}^1 + \mathbf{x}^2$ .

We are interested in developing explicit formulae for cone splines on 3-directional meshes. In particular, we seek formulae for cone splines of the form

$$C_{\mathbf{n}}(\mathbf{x} \mid \mathbf{X}) := C_{(n_1, n_2, n_3)}(\mathbf{x} \mid \mathbf{X}) \\ := C\left(\mathbf{x} \mid \left\{ \underbrace{\mathbf{x}^1, \dots, \mathbf{x}^1}_{n_1 \text{ times}}, \underbrace{\mathbf{x}^2, \dots, \mathbf{x}^2}_{n_2 \text{ times}}, \underbrace{\mathbf{x}^3, \dots, \mathbf{x}^3}_{n_3 \text{ times}} \right\}\right)$$

where  $n_k \in \mathbb{Z}_+ := \{n \in \mathbb{Z} \mid n > 0\}$ ,  $k = 1, 2, 3$ .

For the special case  $\mathbf{n} = (n, n, n)$ ,  $n \in \mathbb{Z}_+$  and knot set  $\mathbf{X} = \{\mathbf{x}^1, \mathbf{x}^2, \mathbf{x}^3\}$  where

$$\mathbf{x}^1 = \begin{pmatrix} 1/2 \\ -\sqrt{3}/2 \end{pmatrix}, \quad \mathbf{x}^2 = \begin{pmatrix} 1/2 \\ \sqrt{3}/2 \end{pmatrix}, \quad \mathbf{x}^3 = \mathbf{x}^1 + \mathbf{x}^2 = \begin{pmatrix} 1 \\ 0 \end{pmatrix},$$

Condat and Van De Ville [3] were able to derive a closed formula for  $C_{\mathbf{n}}(\mathbf{x} \mid \mathbf{X})$ :

$$(3.1) \quad C_{\mathbf{n}}(\mathbf{x} \mid \mathbf{X}) = \frac{2}{\sqrt{3}} \sum_{k=0}^{n-1} \binom{n-1+k}{k} \frac{1}{(n-1-k)!(2n-1+k)!} \\ \times \left( \frac{2|x_2|}{\sqrt{3}} \right)^{n-1-k} \left( x_1 - \frac{|x_2|}{\sqrt{3}} \right)_+^{2m-1+k}.$$

It is straightforward to generalize (3.1) for the case where the knots of  $\mathbf{X}$  are not repeated the same number of times. In order to derive such a formula for  $\mathbf{n} = (n_1, n_2, n_3)$ , we first need the following result for  $s$ -dimensional cone splines built from  $s$  knots.

**Proposition 3.1.** *Let  $\mathbf{X} = \{\mathbf{x}^1, \dots, \mathbf{x}^s\} \subset \mathbb{R}^s$  be a linearly independent set of vectors, and suppose that  $\mathbf{n} = (n_1, \dots, n_s) \in \mathbb{Z}_+^s$ . Then*

$$(3.2) \quad C_{\mathbf{n}}(\mathbf{x} \mid \mathbf{X}) = |\det(M)|^{-1} \prod_{k=1}^s \frac{\langle \mathbf{x}, \tilde{\mathbf{x}}^k \rangle_+^{n_k-1}}{(n_k-1)!}$$

where  $M = [\mathbf{x}^1 \cdots \mathbf{x}^s]$  and  $\{\tilde{\mathbf{x}}^1, \dots, \tilde{\mathbf{x}}^s\}$  is the dual basis to  $\mathbf{X}$ .

*Proof.* Let  $\mathbf{E} = \{\mathbf{e}^1, \dots, \mathbf{e}^s\}$  be the canonical basis for  $\mathbb{R}^s$ . Using (2.6), we have

$$(3.3) \quad C_{\mathbf{n}}(\mathbf{x} \mid \mathbf{E}) = \prod_{k=1}^s \left( \frac{1}{i\langle \boldsymbol{\omega}, \mathbf{e}^k \rangle} + \pi \delta(\langle \boldsymbol{\omega}, \mathbf{e}^k \rangle) \right)^{n_k} = \prod_{k=1}^s \left( \frac{1}{i\omega_k} + \pi \delta(\omega_k) \right)^{n_k}.$$

Applying the inverse Fourier transform to equation 3.3, noting that each factor in the  $n_k$ -fold direct product produces the truncated power function  $(x_k)_+^0$ , we obtain the  $n_k$ -fold convolution product  $(x_k)_+^0 * \cdots * (x_k)_+^0$ . It is easy to establish that this product is

$$\frac{1}{(n_k-1)!} (x_k)_+^{n_k-1}.$$

Thus, we have

$$C_{\mathbf{n}}(\mathbf{x} \mid \mathbf{E}) = \prod_{k=1}^s \frac{(x_k)_+^{n_k-1}}{(n_k-1)!}.$$

Using (2.7), with  $A = M$ , and the fact that  $C_{\mathbf{n}}(\mathbf{x} \mid \mathbf{X}) = C_{\mathbf{n}}(\mathbf{x} \mid \{M\mathbf{e}^1, \dots, M\mathbf{e}^s\})$ , we write

$$C_{\mathbf{n}}(\mathbf{x} \mid \mathbf{X}) = \frac{1}{|\det(M)|} C_{\mathbf{n}}(M^{-1}\mathbf{x} \mid \mathbf{E}) = \frac{1}{|\det(M)|} \prod_{k=1}^s \frac{\langle \mathbf{x}, \tilde{\mathbf{x}}^k \rangle}{(n_k-1)!},$$

and the proof is complete.  $\square$

We can use Proposition 3.1 with  $s = 2$  to find a closed formula for cone splines whose knot set is a 3-directional mesh. We need the following lemma:

**Lemma 3.2.** *For  $n, m, \ell \in \mathbb{Z}_+$  and  $x, a, b \in \mathbb{R}$  with  $b < a < x$ , let*

$$F_{(n,m,\ell)}(x, a, b) = \frac{1}{(n-1)!(m-1)!(\ell-1)!} \int_a^x (u-b)^{n-1} (u-a)^{m-1} (x-u)^{\ell-1} du.$$

Then,

$$F_{(n,m,\ell)}(x,a,b) = \sum_{k=0}^{n-1} \binom{m+k-1}{k} \frac{1}{(n-1-k)!(m+\ell+k-1)!} \cdot (a-b)^{n-1-k} (x-a)_+^{m+\ell+k-1}.$$

*Proof.* This is a straightforward application of the result

$$(3.4) \quad \frac{1}{\Gamma(\alpha)} \int_a^x (u-a)^{\beta-1} (u-b)^{\gamma-1} (x-u)^{\alpha-1} du \\ = (a-b)^{\gamma-1} \frac{\Gamma(\beta)}{\Gamma(\alpha+\beta)} (x-a)^{\alpha+\beta-1} \times {}_2F_1\left(1-\gamma, \beta; \alpha+\beta; -\frac{x-a}{a-b}\right),$$

which appears in [16, page 41], where  $b < a < x$ ,  $\alpha > 0$ ,  $\operatorname{Re}(\beta) > 0$  and  $\gamma \in \mathbb{C}$ . In our case, we insert positive integers  $\gamma = n$ ,  $\beta = m$  and  $\alpha = \ell$  to write

$$(3.5) \quad F_{(n,m,\ell)}(x,a,b) = \frac{1}{(n-1)!(m+\ell-1)!} (a-b)^{n-1} (x-a)^{m+\ell-1} \\ \times {}_2F_1\left(1-n, m; m+\ell; -\frac{x-a}{a-b}\right) \\ = \frac{1}{(n-1)!(m+\ell-1)!} \sum_{k=0}^{\infty} \frac{(1-n)_k (m)_k}{(m+\ell)_k} \frac{(-1)^k}{k!} \\ \times (x-a)^{m+\ell+k-1} (a-b)^{n-1-k}.$$

The rising Pochhammer symbols in the  ${}_2F_1$  hypergeometric function are given by

$$(1-n)_k = (1-n)(2-n) \cdots (k-n) = (-1)^k \frac{(n-1)!}{(n-1-k)!} \\ (m)_k = m(m+1) \cdots (m+k-1) = \frac{(m+k-1)!}{(m-1)!} \\ (m+\ell)_k = \frac{(m+\ell+k-1)!}{(m+\ell-1)!}.$$

Inserting these identities into (3.5), simplifying and noting that  $(x-a) = (x-a)_+$ , gives the desired result.  $\square$

We are now ready to state a closed formula for cone splines on 3-directional meshes:

**Proposition 3.3.** *Suppose that  $\mathbf{X} \subset \mathbb{R}^2$  is the 3-directional knot set  $\mathbf{X} = \{\mathbf{x}^1, \mathbf{x}^2, \mathbf{x}^3\}$  with  $\mathbf{x}^1, \mathbf{x}^2$  linearly independent and  $\mathbf{x}^3 = \mathbf{x}^1 + \mathbf{x}^2 = (1, 0)^T$  and, without loss of generality,  $x_2^1 > 0$ . Then, the cone spline  $C_{\mathbf{n}}(\mathbf{x} \mid \mathbf{X})$  is given by*

$$C_{\mathbf{n}}(\mathbf{x} \mid \mathbf{X}) = \frac{1}{x_2^1} \begin{cases} \sum_{k=0}^{n_1-1} \binom{n_2+k-1}{k} \frac{1}{(n_1-1-k)!(n_2+n_3+k-1)!} \left(\frac{x_2}{x_2^1}\right)^{n_1-1-k} & x_2 \geq 0, \\ \sum_{k=0}^{n_2-1} \binom{n_1+k-1}{k} \frac{1}{(n_2-1-k)!(n_1+n_3+k-1)!} \left(-\frac{x_2}{x_2^1}\right)^{n_2-1-k} & x_2 < 0, \end{cases}$$

*Proof.* From (2.6), we know that

$$\widehat{C}_{\mathbf{n}}(\boldsymbol{\omega} \mid \mathbf{X}) = \widehat{C}_{(n_1, n_2)}(\boldsymbol{\omega} \mid \{\mathbf{x}^1, \mathbf{x}^2\}) \cdot \left(\frac{1}{i\omega_1} + \pi\delta(\omega_1)\right)^{n_3}$$

so that

(3.6)

$$\begin{aligned} C_{\mathbf{n}}(\mathbf{x} \mid \mathbf{X}) &= C_{(n_1, n_2)}(\mathbf{x} \mid \{\mathbf{x}^1, \mathbf{x}^2\}) * \frac{(x_1)_+^{n_3-1}}{(n_3-1)!} \delta(x_2) \\ &= \left(|\det(M)| \prod_{k=1}^3 (n_k-1)!\right)^{-1} \\ &\quad \times \int_{-\infty}^{x_1} \langle (u, x_2), \widetilde{\mathbf{x}}^1 \rangle_+^{n_1-1} \langle (u, x_2), \widetilde{\mathbf{x}}^2 \rangle_+^{n_2-1} (x_1-u)^{n_3-1} du. \end{aligned}$$

Since  $\mathbf{x}^1 + \mathbf{x}^2 = (1, 0)^T$ , we know that  $\mathbf{x}^2 = (1-x_1^1, -x_2^1)^T$ . From here,

we can easily see that the dual basis is

$$(3.7) \quad \{\tilde{\mathbf{x}}^1, \tilde{\mathbf{x}}^2\} = \left\{ \begin{pmatrix} 1 \\ \frac{1-x_1}{x_2^1} \end{pmatrix}, \begin{pmatrix} 1 \\ -\frac{x_1}{x_2^1} \end{pmatrix} \right\}$$

and  $|\det(M)| = x_2^1$ . Inserting these identities into (3.6) and setting  $K = (x_2^1 \prod_{k=1}^3 (n_k - 1)!)^{-1}$  gives

$$(3.8) \quad C_{\mathbf{n}}(\mathbf{x} \mid \mathbf{X}) = K \int_{-\infty}^{x_1} \left( u - \left( \frac{x_1^1 - 1}{x_2^1} \right) x_2 \right)_+^{n_1-1} \cdot \left( u - \frac{x_1^1}{x_2^1} x_2 \right)_+^{n_2-1} (x_1 - u)^{n_3-1} du.$$

If  $x_2 > 0$ , we have  $((x_1^1 - 1)/x_2^1)x_2 < (x_1^1/x_2^1)x_2 < x_1$  and (3.8) becomes

$$C_{\mathbf{n}}(\mathbf{x} \mid \mathbf{X}) = K \int_{(x_1^1/x_2^1)x_2}^{x_1} \left( u - \left( \frac{x_1^1 - 1}{x_2^1} \right) x_2 \right)_+^{n_1-1} \cdot \left( u - \frac{x_1^1}{x_2^1} x_2 \right)_+^{n_2-1} (x_1 - u)^{n_3-1} du,$$

and we can use Lemma 3.2 to complete the proof. The case where  $x_2 < 0$  is similar, and we can use the continuity of the cone spline (2.3) for the case  $x_2 = 0$ .  $\square$

**Remark 3.4.** Using (2.7), we can construct a formula for the cone spline with the general 3-directional mesh  $\mathbf{x}^1, \mathbf{x}^2, \mathbf{x}^1 + \mathbf{x}^2$ .

**Remark 3.5.** Setting  $\mathbf{n} = (n, n, n)$  in Proposition 3.3 gives the cone spline (3.1) of Condat and Van De Ville.

We use Proposition 3.3 to plot the cone splines shown in Figure 3.

**4. Hex splines.** Hex splines were introduced by Van De Ville, et al., [18] and are defined using equal weighting of the knots of

$$\mathbf{x}^1 = \left( \frac{1}{2}, -\frac{\sqrt{3}}{2} \right), \quad \mathbf{x}^2 = \left( \frac{1}{2}, \frac{\sqrt{3}}{2} \right) \quad \text{and} \quad -\mathbf{x}^3 = -\mathbf{x}^1 - \mathbf{x}^2.$$

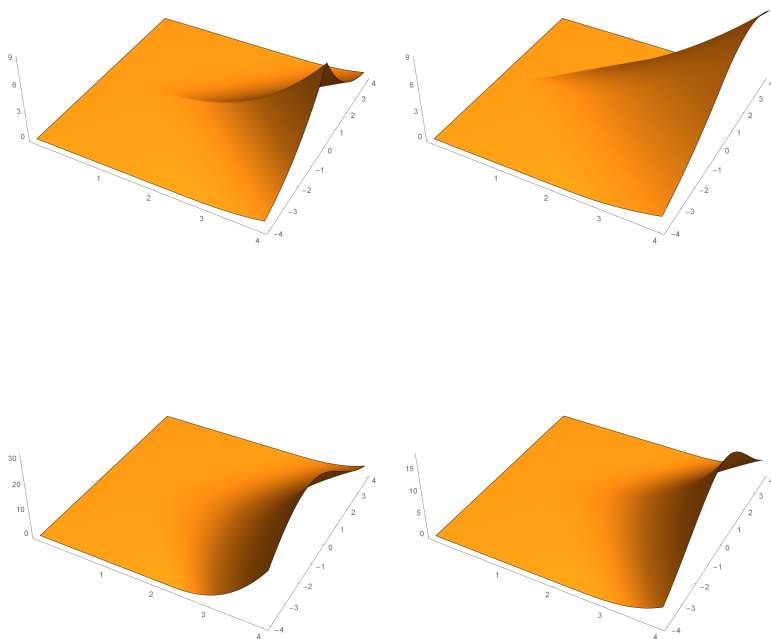


FIGURE 3. For  $\mathbf{X} = \{\mathbf{x}^1, \mathbf{x}^2, \mathbf{x}^3\}$  where  $\mathbf{x}^1 = (1/2, \sqrt{3}/2)^T$ ,  $\mathbf{x}^2 = (1/2, -\sqrt{3}/2)^T$  and  $\mathbf{x}^3 = (1, 0)^T$ , the cone splines plotted clockwise from the top left are  $C_{(1,1,3)}(\mathbf{x} \mid \mathbf{X})$ ,  $C_{(2,1,1)}(\mathbf{x} \mid \mathbf{X})$ ,  $C_{(2,3,1)}(\mathbf{x} \mid \mathbf{X})$ , and  $C_{(2,2,2)}(\mathbf{x} \mid \mathbf{X})$ .

Condat and Van De Ville gave an explicit representation of these splines in [3]. They were developed for applications that involve hexagonally sampled data. In this section, we generalize their results to the case where knot points can have arbitrary integer weights.

General hex splines can be defined by using box splines with the knot set  $\mathbf{X}^- = \{\mathbf{x}^1, \mathbf{x}^2, -\mathbf{x}^3\} \subset \mathbb{R}^2$ , where  $\mathbf{x}^3 = \mathbf{x}^1 + \mathbf{x}^2$ . For this knot set and  $\mathbf{n} = (n_1, n_2, n_3) \in \mathbb{Z}_+^3$ , our hex spline takes the form

$$B_{\mathbf{n}}(\mathbf{x} \mid \mathbf{X}^-) := B\left(\mathbf{x} \mid \left\{ \underbrace{\mathbf{x}^1, \dots, \mathbf{x}^1}_{n_1 \text{ times}}, \underbrace{\mathbf{x}^2, \dots, \mathbf{x}^2}_{n_2 \text{ times}}, \underbrace{-\mathbf{x}^3, \dots, -\mathbf{x}^3}_{n_3 \text{ times}} \right\}\right).$$

The resulting region of support of the spline is then a hexagon, see Figure 4.

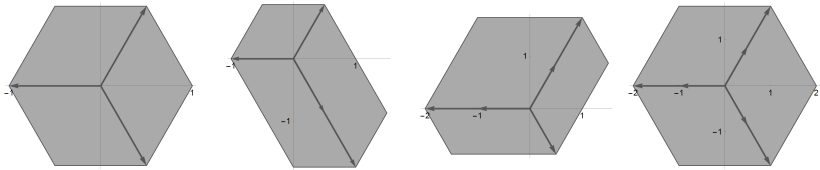


FIGURE 4. Support for hex splines (left to right)  $B_{(1,1,1)}(\mathbf{x} \mid \mathbf{X}^-)$ ,  $B_{(2,1,1)}(\mathbf{x} \mid \mathbf{X}^-)$ ,  $B_{(1,2,2)}(\mathbf{x} \mid \mathbf{X}^-)$ , and  $B_{(2,2,2)}(\mathbf{x} \mid \mathbf{X}^-)$  using the knots  $\mathbf{x}^1 = (1/2, -\sqrt{3}/2)^T$ ,  $\mathbf{x}^2 = (1/2, \sqrt{3}/2)^T$ , and  $-\mathbf{x}^3 = -\mathbf{x}^1 - \mathbf{x}^2 = (-1, 0)^T$ .

Consider the Fourier transform (2.10) of the box spline:

$$\begin{aligned} \widehat{B}_{\mathbf{n}}(\boldsymbol{\omega} \mid \mathbf{X}^-) &= \frac{(1 - e^{-i\langle \boldsymbol{\omega}, \mathbf{x}^1 \rangle})^{n_1} (1 - e^{-i\langle \boldsymbol{\omega}, \mathbf{x}^2 \rangle})^{n_2} (1 - e^{i\langle \boldsymbol{\omega}, \mathbf{x}^3 \rangle})^{n_3}}{(i\langle \boldsymbol{\omega}, \mathbf{x}^1 \rangle)^{n_1} (i\langle \boldsymbol{\omega}, \mathbf{x}^2 \rangle)^{n_2} (i\langle \boldsymbol{\omega}, -\mathbf{x}^3 \rangle)^{n_3}} \\ &= \frac{(1 - e^{-i\langle \boldsymbol{\omega}, \mathbf{x}^1 \rangle})^{n_1} (1 - e^{-i\langle \boldsymbol{\omega}, \mathbf{x}^2 \rangle})^{n_2} (e^{i\langle \boldsymbol{\omega}, \mathbf{x}^3 \rangle} - 1)^{n_3}}{(i\langle \boldsymbol{\omega}, \mathbf{x}^1 \rangle)^{n_1} (i\langle \boldsymbol{\omega}, \mathbf{x}^2 \rangle)^{n_2} (i\langle \boldsymbol{\omega}, \mathbf{x}^3 \rangle)^{n_3}} \\ &= \left( \frac{(1 - e^{-i\langle \boldsymbol{\omega}, \mathbf{x}^1 \rangle})}{i\langle \boldsymbol{\omega}, \mathbf{x}^1 \rangle} \right)^{n_1} \left( \frac{(1 - e^{-i\langle \boldsymbol{\omega}, \mathbf{x}^2 \rangle})}{i\langle \boldsymbol{\omega}, \mathbf{x}^2 \rangle} \right)^{n_2} \left( \frac{(e^{i\langle \boldsymbol{\omega}, \mathbf{x}^3 \rangle} - 1)}{i\langle \boldsymbol{\omega}, \mathbf{x}^3 \rangle} \right)^{n_3}. \end{aligned}$$

Using arguments from [10, Section 3.11] and [11, Theorem 7.1.16], we can rewrite each of the above factors as

$$\left( \frac{(1 - e^{-i\langle \boldsymbol{\omega}, \mathbf{x}^k \rangle})}{i\langle \boldsymbol{\omega}, \mathbf{x}^k \rangle} \right)^{n_k} = (1 - e^{-i\langle \boldsymbol{\omega}, \mathbf{x}^k \rangle})^{n_k} \left( \frac{1}{i\langle \boldsymbol{\omega}, \mathbf{x}^k \rangle} + \pi \delta(\langle \boldsymbol{\omega}, \mathbf{x}^k \rangle) \right)^{n_k},$$

for  $k = 1, 2$ , and a slight modification when  $k = 3$ , so that (4.1) becomes

$$\begin{aligned} \widehat{B}_{\mathbf{n}}(\boldsymbol{\omega} \mid \mathbf{X}^-) &= (1 - e^{-i\langle \boldsymbol{\omega}, \mathbf{x}^1 \rangle})^{n_1} (1 - e^{-i\langle \boldsymbol{\omega}, \mathbf{x}^2 \rangle})^{n_2} \\ &\quad \cdot (e^{i\langle \boldsymbol{\omega}, \mathbf{x}^3 \rangle} - 1)^{n_3} \widehat{C}_{\mathbf{n}}(\boldsymbol{\omega} \mid \mathbf{X}). \end{aligned}$$

Taking inverse Fourier transforms gives

$$(4.3) \quad B_{\mathbf{n}}(\mathbf{x} \mid \mathbf{X}^-) = f(\mathbf{x}) * C_{\mathbf{n}}(\mathbf{x} \mid \mathbf{X})$$

where  $f(\mathbf{x})$  is the inverse Fourier transform of

$$(4.4) \quad \widehat{f}(\boldsymbol{\omega}) = (1 - e^{-i\langle \boldsymbol{\omega}, \mathbf{x}^1 \rangle})^{n_1} (1 - e^{-i\langle \boldsymbol{\omega}, \mathbf{x}^2 \rangle})^{n_2} (e^{i\langle \boldsymbol{\omega}, \mathbf{x}^3 \rangle} - 1)^{n_3}.$$

As noted in [3], if we can write (4.4) as

$$\widehat{f}(\boldsymbol{\omega}) = \sum_{\mathbf{k} \in \mathbb{Z}^2} c_{k_1, k_2} e^{-i\langle \boldsymbol{\omega}, M\mathbf{k} \rangle}$$

where  $M = [\mathbf{x}^1 \ \mathbf{x}^2]$ , then

$$f(\mathbf{x}) = \sum_{\mathbf{k} \in \mathbb{Z}^2} c_{k_1, k_2} \delta(\mathbf{x} - M\mathbf{k})$$

so that (4.3) becomes

$$(4.5) \quad B_{\mathbf{n}}(\mathbf{x} \mid \mathbf{X}^-) = \sum_{\mathbf{k} \in \mathbb{Z}^2} c_{k_1, k_2} C_{\mathbf{n}}(\mathbf{x} - M\mathbf{k}).$$

In the case where  $\mathbf{n} = (n, n, n)$ ,  $n \in \mathbb{Z}_+$ , and  $\mathbf{x}^3 = \mathbf{x}^1 + \mathbf{x}^2 = (1, 0)^T$ , Condat and Van De Ville [3] found the following formula for the coefficients in (4.5):

$$(4.6) \quad c_{k_1, k_2} = \sum_{k=\max(k_1, k_2, 0)}^{\min(n+k_1, n+k_2, n)} (-1)^{k_1+k_2+k} \binom{n}{k-k_1} \binom{n}{k-k_2} \binom{n}{k},$$

and, combining (4.5) and (4.6) with the cone spline (3.1), they found the following formula for the hex spline:

$$(4.7) \quad B_{\mathbf{n}}(\mathbf{x} \mid \mathbf{X}) = \sum_{k_1, k_2=-n}^n c_{k_1, k_2} \sum_{k=0}^{n-1} \binom{n-1+k}{k} \\ \times \frac{1}{(2n-1+k)!(n-1-k)!} \left| \frac{2x_2}{\sqrt{3}} + k_1 - k_2 \right|^{n-1-k} \\ \times \left( x_1 - \frac{k_1+k_2}{2} - \left| \frac{x_2}{\sqrt{3}} + \frac{k_1-k_2}{2} \right| \right)_+^{2n-1+k}.$$

Figure 5 shows the hex splines of Condat and Van De Ville for  $n = 1, 2$ .

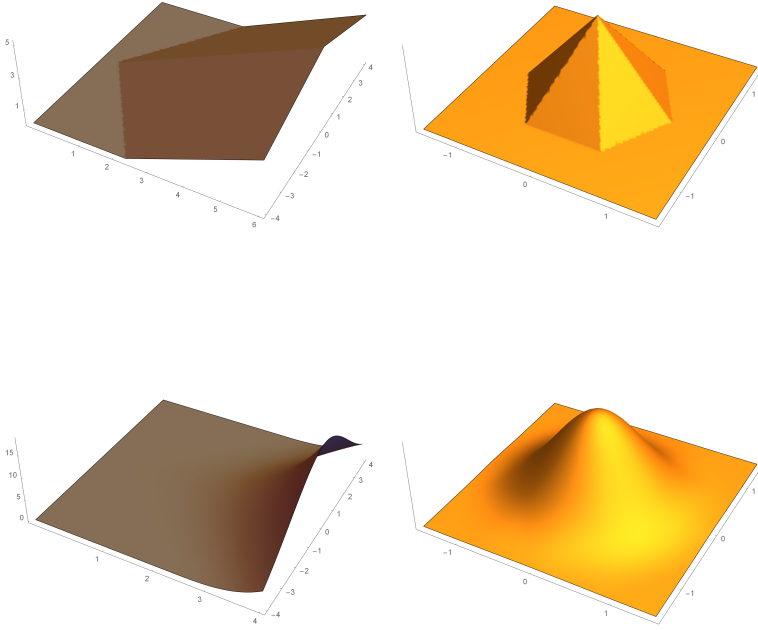


FIGURE 5.  $C_{\mathbf{n}}(\mathbf{x} \mid \mathbf{X})$  generator for  $B_{\mathbf{n}}(\mathbf{x} \mid \mathbf{X})$  for  $n = 1$  (top row) and  $n = 2$  (bottom row). The functions in the top row are piecewise linear, and the functions on the bottom row are piecewise quartic. The support sets for these splines are plotted (first and last) in Figure 4.

It is straightforward to generalize (4.6) for  $\mathbf{n} = (n_1, n_2, n_3)$ :

**Proposition 4.1.** For  $\mathbf{X} = \{\mathbf{x}^1, \mathbf{x}^2, \mathbf{x}^3\}$  where  $\mathbf{x}^3 = \mathbf{x}^1 + \mathbf{x}^2 = (1, 0)^T$ ,  $M = [\mathbf{x}^1 \mathbf{x}^2]$  and  $\mathbf{n} = (n_1, n_2, n_3) \in \mathbb{Z}_+^3$ , (4.4) can be expressed as

$$\hat{f}(\omega) = \sum_{\mathbf{k} \in \mathbb{Z}^2} c_{k_1, k_2} e^{-i\langle \omega, M\mathbf{k} \rangle},$$

where

$$c_{k_1, k_2} = \sum_{m=\max(n_3-n_1+k_1, n_3-n_2+k_2, 0)}^{n_3+\min(k_1, k_2, 0)} \binom{n_1}{n_1-n_3-k_1+m} \binom{n_2}{n_2-n_3-k_2+m} \binom{n_3}{m} (-1)^{k_1+k_2+m}.$$

*Proof.* We follow the argument used by Condat and Van De Ville [3]. For the ease of exposition, set  $z_1 = e^{-i\langle \boldsymbol{\omega}, \mathbf{x}^1 \rangle}$  and  $z_2 = e^{-i\langle \boldsymbol{\omega}, \mathbf{x}^2 \rangle}$ . Then (4.4) becomes

$$f(\boldsymbol{\omega}) = (1 - z_1^{-1})^{n_1} (1 - z_2^{-1})^{n_2} (z_1 z_2 - 1)^{n_3}.$$

Expanding each factor gives

$$f(\boldsymbol{\omega}) = \sum_{j=0}^{n_1} \sum_{k=0}^{n_2} \sum_{m=0}^{n_3} \binom{n_1}{j} \binom{n_2}{k} \binom{n_3}{m} (-1)^{j+k+m} z_1^{n_3-m-j} z_2^{n_3-m-k}.$$

Making the substitution  $k_1 = j + m - n_3$  and  $k_2 = k + m - n_3$  and simplifying yields

$$f(\boldsymbol{\omega}) = \sum_{m=0}^{n_3} \sum_{k_1=m-n_3}^{n_1+m-n_3} \sum_{k_2=m-n_3}^{n_2+m-n_3} \binom{n_1}{n_1-n_3+m-k_1} \binom{n_2}{n_2-n_3+m-k_2} \binom{n_3}{m} (-1)^{k_1+k_2+m} z_1^{-k_1} z_2^{-k_2}.$$

We can interchange the order of summations by changing the limits on the inner two sums to  $k_1, k_2 \in \mathbb{Z}$  and restricting the limits on  $m$  so that the binomial coefficient factors are nonzero. These changes give the desired result for  $c_{k_1, k_2}$ . Finally, note that

$$(4.8) \quad z_1^{-k_1} z_2^{-k_2} = e^{-i(\langle \boldsymbol{\omega}, \mathbf{x}^1 \rangle k_1 + \langle \boldsymbol{\omega}, \mathbf{x}^2 \rangle k_2)} = e^{-i(M^T \boldsymbol{\omega})^T \cdot \mathbf{k}} \\ = e^{-i\boldsymbol{\omega}^T \cdot M\mathbf{k}} = e^{-i\langle \boldsymbol{\omega}, M\mathbf{k} \rangle}. \quad \square$$

We can use Propositions 3.3 and 4.1 in conjunction with (4.5) to find explicit representations of hex splines. The hex splines corresponding to the second and third knot sets from Figure 4 are plotted in Figure 6.

**5. Fractional cone splines and hex splines.** This section contains the main results in the paper. We define cone and box splines of a

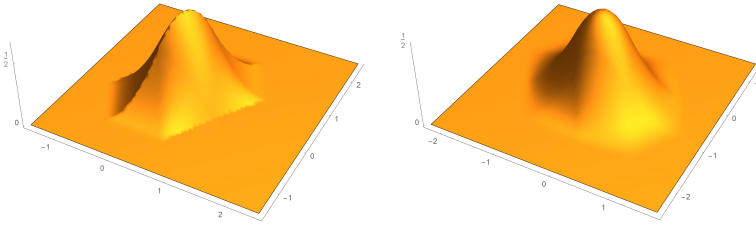


FIGURE 6. The hex splines  $B_{(2,1,1)}(\mathbf{x} \mid \mathbf{X}^-)$  and  $B_{(1,2,1)}(\mathbf{x} \mid \mathbf{X}^-)$ . The knots are  $\mathbf{x}^1 = (1/2, -\sqrt{3}/2)^T$ ,  $\mathbf{x}^2 = (1/2, \sqrt{3}/2)^T$  and  $-\mathbf{x}^3 = -\mathbf{x}^1 - \mathbf{x}^2 = (-1, 0)^T$ .

fractional order and then derive explicit representations of these functions on 3-directional meshes. Also included are a closed formula and a recurrence relation for fractional cone splines on  $s$ -directional knot sets in  $\mathbb{R}^s$ . The latter identity holds as a special case the recurrence formula (2.8) of Micchelli [13].

We generalize the cone splines and hex splines from Sections 3 and 4 by replacing the integer “weights” that represent how many times the knots in  $\mathbf{X}$  are repeated with positive real-valued weights.

**5.1. Fractional cone splines.** We begin with the fractional cone spline.

**Definition 5.1.** Let  $\mathbf{X} = \{\mathbf{x}^1, \dots, \mathbf{x}^n\} \subset \mathbb{R}^s \setminus \{\mathbf{0}\}$ ,  $n \geq s$ , with  $\text{span}(\mathbf{X}) = \mathbb{R}^s$ , and assume that  $\boldsymbol{\alpha} = (\alpha_1, \dots, \alpha_n) \in \mathbb{R}_+^n := \{\mathbf{x} \in \mathbb{R}^n \mid x_k > 0\}$ . We define the *fractional cone spline* in terms of its Fourier transform as the tempered distribution, given by

$$(5.1) \quad \widehat{C}_{\boldsymbol{\alpha}}(\boldsymbol{\omega} \mid \mathbf{X}) = \prod_{k=1}^n \left( \frac{1}{i\langle \boldsymbol{\omega}, \mathbf{x}^k \rangle} + \sigma_k \pi \delta(\langle \boldsymbol{\omega}, \mathbf{x}^k \rangle) \right)^{\alpha_k}$$

where

$$\sigma_k = \begin{cases} 0 & \alpha_k \notin \mathbb{Z}_+, \\ 1 & \alpha_k \in \mathbb{Z}_+, \end{cases}$$

Our first proposal gives a closed form for  $C_\alpha(\mathbf{x} \mid \mathbf{X})$  when  $n = s$ .

**Proposition 5.2.** *Let  $\mathbf{X} = \{\mathbf{x}^1, \dots, \mathbf{x}^s\}$  be a linearly independent set of vectors in  $\mathbb{R}^s$  with  $M = [\mathbf{x}^1 \cdots \mathbf{x}^s]$ ,  $\alpha = (\alpha_1, \dots, \alpha_s) \in \mathbb{R}_+^s$  and  $\{\tilde{\mathbf{x}}^1, \dots, \tilde{\mathbf{x}}^s\}$  the dual basis to  $\mathbf{X}$ . Then,*

$$(5.2) \quad C_\alpha(\mathbf{x} \mid \mathbf{X}) = \frac{1}{|\det(M)|} \prod_{k=1}^s \frac{\langle \mathbf{x}, \tilde{\mathbf{x}}^k \rangle_+^{\alpha_k - 1}}{\Gamma(\alpha_k)}.$$

*Proof.* Consider the canonical basis  $\mathbf{E} = \{\mathbf{e}^1, \dots, \mathbf{e}^s\}$  for  $\mathbf{R}^s$ . Using (5.1), we see that

$$\widehat{C}_\alpha(\boldsymbol{\omega} \mid \mathbf{E}) = \prod_{k=1}^s \left( \frac{1}{i\omega_k} + \sigma_k \pi \delta(\omega_k) \right)^{\alpha_k}.$$

Thus,

$$C_\alpha(\mathbf{x} \mid \mathbf{E}) = \prod_{k=1}^s \int_{\mathbb{R}} \left( \frac{1}{i\omega} + \sigma_k \pi \delta(\omega) \right)^{\alpha_k} e^{i\omega x_k} d\omega.$$

When  $\sigma_k = 1$  and  $\alpha_k \in \mathbb{Z}$ , then the corresponding factor is simply an  $\alpha_k$ -fold convolution

$$(x_k)_+^0 * \cdots * (x_k)_+^0 = \frac{1}{\Gamma(\alpha_k)} (x_k)_+^{\alpha_k - 1}.$$

When  $\sigma_k = 0$  and  $\alpha_k \notin \mathbb{Z}$  then the factor is the inverse Fourier transform of  $1/(i\omega)^{\alpha_k}$ , which is again  $(1/\Gamma(\alpha_k))(x_k)_+^{\alpha_k - 1}$ . Thus, we see that

$$(5.3) \quad C_\alpha(\mathbf{x} \mid \mathbf{E}) = \prod_{k=1}^s \frac{(x_k)_+^{\alpha_k - 1}}{\Gamma(\alpha_k)}.$$

Note that

$$(5.4) \quad \widehat{C}_\alpha(\boldsymbol{\omega} \mid \mathbf{X}) = \widehat{C}_\alpha(M^T \boldsymbol{\omega} \mid \mathbf{E}).$$

We use the fact that the inverse Fourier transform for  $(1/|\det(A)|)\widehat{f}(A\boldsymbol{\omega})$ ,  $A$  nonsingular, is  $f(A\mathbf{x})$  in conjunction with (5.3) and (5.4) to

infer that

$$\begin{aligned} C_{\alpha}(\mathbf{x} \mid \mathbf{X}) &= |\det(M^{-1})| C_{\alpha}(M^{-1}\mathbf{x} \mid \mathbf{E}) \\ &= \frac{1}{|\det(M)|} \prod_{k=1}^s \frac{\langle \mathbf{x}, \tilde{\mathbf{x}}^k \rangle_+^{\alpha_k-1}}{\Gamma(\alpha_k)}. \end{aligned} \quad \square$$

Figure 7 illustrates the results of Proposition 5.2. For  $C_{\alpha}(\mathbf{x} \mid \mathbf{X})$  given by (5.2), note that  $\text{supp}(C_{\alpha}) = \text{cone}(\mathbf{X})$ , and it is straightforward to show that these  $C_{\alpha}(\mathbf{x} \mid \mathbf{X})$  obey a recurrence formula similar to (2.8).

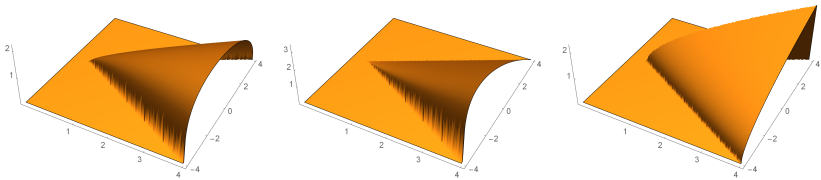


FIGURE 7. The splines  $C_{\alpha}(\mathbf{x} \mid \mathbf{X})$  for  $\mathbf{X} = \{(1, 1)^T, (1, -1)^T\}$ . Left to right,  $\alpha = (5/4, 3/2)$ ,  $\alpha = (6/5, 2)$  and  $\alpha = (3/2, 1)$ .

**Proposition 5.3.** *Let  $\mathbf{X} = \{\mathbf{x}^1, \dots, \mathbf{x}^s\}$  be a linearly independent set of vectors in  $\mathbb{R}^s$  and  $\mathbf{e}^k$  the  $k$ th canonical vector in  $\mathbb{R}^s$ . If  $\alpha - \mathbf{e}^k \in \mathbb{R}_+^s$  and  $|\alpha| = \alpha_1 + \dots + \alpha_s \neq s$ , then*

$$C_{\alpha}(\mathbf{x} \mid \mathbf{X}) = \frac{1}{|\alpha| - s} \sum_{k=1}^s \langle \mathbf{x}, \mathbf{x}^k \rangle C_{\alpha - \mathbf{e}^k}(\mathbf{x} \mid \mathbf{X}).$$

*Proof.* For  $k = 1, \dots, s$ ,

$$\begin{aligned} \langle \mathbf{x}, \tilde{\mathbf{x}}^k \rangle C_{\alpha - \mathbf{e}^k}(\mathbf{x} \mid \mathbf{X}) &= \langle \mathbf{x}, \tilde{\mathbf{x}}^k \rangle \left( \prod_{\substack{j=1 \\ j \neq k}}^s \frac{\langle \mathbf{x}, \tilde{\mathbf{x}}^j \rangle_+^{\alpha_j-1}}{\Gamma(\alpha_j)} \right) \frac{\langle \mathbf{x}, \tilde{\mathbf{x}}^k \rangle_+^{\alpha_k-2}}{\Gamma(\alpha_k - 1)} \\ &= \left( \prod_{j=1}^s \frac{\langle \mathbf{x}, \tilde{\mathbf{x}}^j \rangle_+^{\alpha_j-1}}{\Gamma(\alpha_j)} \right) (\alpha_k - 1) \end{aligned}$$

$$= (\alpha_k - 1)C_{\alpha}(\mathbf{x} \mid \mathbf{X}).$$

Summing both sides for  $k = 1, \dots, s$ , gives the desired result.  $\square$

We can generalize Proposition 3.3 for fractional cone splines on 3-directional meshes.

**Proposition 5.4.** *Let  $\mathbf{X}$  be a 3-directional mesh in  $\mathbb{R}^2$  with  $\mathbf{x}^3 = \mathbf{x}^1 + \mathbf{x}^2 = (1, 0)^T$  and  $\alpha \in \mathbb{R}_+^3$ . Then,*

$$C_{\alpha}(\mathbf{x} \mid \mathbf{X}) = \frac{1}{x_2^1} \begin{cases} \frac{1}{\Gamma(\alpha_1)\Gamma(\alpha_2+\alpha_3)} \left(\frac{x_2}{x_2^1}\right)^{\alpha_1-1} \left(x_1 - \frac{x_1^1}{x_2^1}x_2\right)_+^{\alpha_2+\alpha_3-1} \\ \quad \times {}_2F_1\left(1-\alpha_1, \alpha_2; \alpha_2+\alpha_3; -\frac{x_1-(x_1^1/x_2^1)x_2}{x_2/x_2^1}\right) & x_2 > 0, \\ \frac{1}{\Gamma(\alpha_2)\Gamma(\alpha_1+\alpha_3)} \left(-\frac{x_2}{x_2^1}\right)^{\alpha_2-1} \left(x_1 - (x_1^1-1/x_2^1)x_2\right)_+^{\alpha_1+\alpha_3-1} \\ \quad \times {}_2F_1\left(1-\alpha_2, \alpha_1; \alpha_1+\alpha_3; \frac{x_1-(x_1^1-1/x_2^1)x_2}{x_2/x_2^1}\right) & x_2 < 0, \\ \frac{1}{\Gamma(\alpha_1)\Gamma(\alpha_2)} \left(\sum_{k=0}^{\infty} \frac{(-1)^k}{k!\Gamma(\alpha_3-k)(\alpha_1+\alpha_2+k-1)}\right) (x_1)_+^{\alpha_1+\alpha_2+\alpha_3-2} & x_2 = 0, \end{cases}$$

*Proof.* This proof follows in much the same way as that of Proposition 3.3. We can write

$$\widehat{C}_{\alpha}(\omega \mid \mathbf{X}) = \widehat{C}_{(\alpha_1, \alpha_2)}(\omega \mid \{\mathbf{x}^1, \mathbf{x}^2\}) \cdot \left(\frac{1}{i\omega_1} + \pi\sigma_3\delta(\omega_1)\right),$$

where  $\sigma_3 = 1$  if  $\alpha_3 \in \mathbb{Z}$ ,  $\sigma_3 = 0$  if  $\alpha_3 \notin \mathbb{Z}$ , so that

$$\begin{aligned} C_{\alpha}(\mathbf{x} \mid \mathbf{X}) &= C_{(\alpha_1, \alpha_2)}(\omega \mid \mathbf{X}) * \frac{(x_1)_+^{\alpha_3-1}}{\Gamma(\alpha_3)} \delta(x_2) \\ (5.5) \quad &= \left(|\det(M)| \prod_{k=1}^3 \Gamma(\alpha_k)\right)^{-1} \\ &\quad \cdot \int_{-\infty}^{x_1} \langle (u, x_2), \widetilde{\mathbf{x}}^1 \rangle_+^{\alpha_1-1} \langle (u, x_2), \widetilde{\mathbf{x}}^2 \rangle_+^{\alpha_2-1} (x_1 - u)^{\alpha_3-1} du. \end{aligned}$$

The dual basis vectors are given in (3.7) so that (5.5) becomes

(5.6)

$$C_{\alpha}(\mathbf{x} \mid \mathbf{X}) = \left( x_2^1 \prod_{k=1}^3 \Gamma(\alpha_k) \right)^{-1} \cdot \int_{-\infty}^{x_1} \left( u - \left( \frac{x_1^1 - 1}{x_2^1} \right) x_2 \right)_+^{\alpha_1 - 1} \left( u - \frac{x_1^1}{x_2^1} x_2 \right)_+^{\alpha_2 - 1} (x_1 - u)^{\alpha_3 - 1} du.$$

If  $x_2 > 0$ , we have  $((x_1^1 - 1)/x_2^1)x_2 < (x_1^1/x_2^1)x_2 < x_1$  and (5.6) becomes

$$C_{\alpha}(\mathbf{x} \mid \mathbf{X}) = \left( x_2^1 \prod_{k=1}^3 \Gamma(\alpha_k) \right)^{-1} \cdot \int_{(x_1^1/x_2^1)x_2}^{x_1} \left( u - \left( \frac{x_1^1 - 1}{x_2^1} \right) x_2 \right)^{\alpha_1 - 1} \left( u - \frac{x_1^1}{x_2^1} x_2 \right)^{\alpha_2 - 1} (x_1 - u)^{\alpha_3 - 1} du,$$

and we can use (3.4) to complete the proof.

The case where  $x_2 < 0$  is similar. For  $x_2 = 0$ , we use (5.6) to write

$$C_{\alpha}((x_1, 0) \mid \mathbf{X}) = \left( x_2^1 \prod_{k=1}^3 \Gamma(\alpha_k) \right)^{-1} \int_0^{x_1} u^{\alpha_1 + \alpha_2 - 2} (x_1 - u)^{\alpha_3 - 1} du.$$

The generalized binomial theorem can be used to complete the proof.  $\square$

**Remark 5.5.** If each of  $\alpha_1, \alpha_2, \alpha_3$  are integers, Proposition 5.4 reduces to Proposition 3.3.

Figure 8 illustrates the results of Proposition 5.4.

**5.2. Fractional hex splines.** In this subsection, we define a bivariate fractional hex spline implicitly in terms of its Fourier transform. We then use this definition to derive an explicit formula for the spline. Motivated by (4.2) and Definition 5.1, we state the following definition:

**Definition 5.6.** Let  $\mathbf{X} = \{\mathbf{x}^1, \mathbf{x}^2, \mathbf{x}^3\}$  be a 3-directional mesh in  $\mathbb{R}^2$  with  $\mathbf{x}^1$  and  $\mathbf{x}^2$  linearly independent,  $\mathbf{x}^3 = \mathbf{x}^1 + \mathbf{x}^2 = (1, 0)^T$ , and

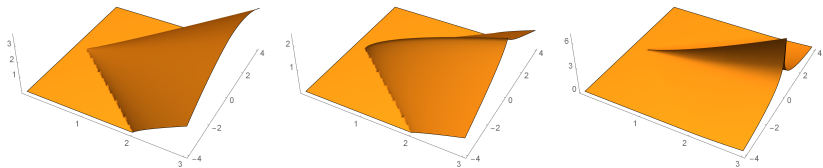


FIGURE 8. The splines  $C_{\alpha}(\mathbf{x} \mid \mathbf{X})$  for  $\mathbf{X} = \{(1/2, 1)^T, (-1/2, -1)^T, (1, 0)^T\}$ . Left to right:  $\alpha = (3/2, 5/4, 1/3)$ ,  $\alpha = (9/10, 4/5, 1)$  and  $\alpha = (3/5, 3/5, 2)$ .

assume that  $\alpha \in \mathbb{R}_+^3$ . We define the *fractional hex spline of order  $\alpha$*  in terms of its Fourier transform (as the tempered distribution):

$$(5.7) \quad \widehat{B}_{\alpha}(\omega \mid \mathbf{X}^-) = (1 - e^{-i\langle \omega, \mathbf{x}^1 \rangle})^{\alpha_1} (1 - e^{-i\langle \omega, \mathbf{x}^2 \rangle})^{\alpha_2} (e^{i\langle \omega, \mathbf{x}^3 \rangle} - 1)^{\alpha_3} \widehat{C}_{\alpha}(\omega \mid \mathbf{X})$$

where  $\mathbf{X}^- = \{\mathbf{x}^1, \mathbf{x}^2, -\mathbf{x}^3\}$ .

Taking inverse Fourier transforms of (5.7) gives

$$(5.8) \quad B_{\alpha}(\omega \mid \mathbf{X}^-) = f(\mathbf{x}) * C_{\alpha}(\mathbf{x} \mid \mathbf{X})$$

where

$$\widehat{f}(\omega) = (1 - e^{-i\langle \omega, \mathbf{x}^1 \rangle})^{\alpha_1} (1 - e^{-i\langle \omega, \mathbf{x}^2 \rangle})^{\alpha_2} (e^{i\langle \omega, \mathbf{x}^3 \rangle} - 1)^{\alpha_3}.$$

Letting  $z_k = e^{-i\langle \omega, \mathbf{x}^k \rangle}$ ,  $k = 1, 2$ , the generalized binomial theorem may be used to write

$$(5.9) \quad \begin{aligned} \widehat{f}(\omega) &= \sum_{\ell \geq 0} \sum_{k_1 \geq 0} \sum_{k_2 \geq 0} \binom{\alpha_1}{k_1} \binom{\alpha_1}{k_2} \binom{\alpha_1}{\ell} (-1)^{k_1+k+\ell} z_1^{-\ell-k_1} z_2^{-\ell-k_2} (z_1 z_2)^{\alpha_3} \\ &= \sum_{\ell \geq 0} \sum_{k_1 \geq -\ell} \sum_{k_2 \geq -\ell} \binom{\alpha_1}{k_1 + \ell} \binom{\alpha_1}{k_2 + \ell} \binom{\alpha_1}{\ell} (-1)^{k_1+k+\ell} z_1^{-k_1} z_2^{-k_2} (z_1 z_2)^{\alpha_3} \end{aligned}$$

$$\begin{aligned}
&= \sum_{\mathbf{k} \in \mathbb{Z}^2} \left( \sum_{\ell \geq \max(k_1, k_2, 0)} \binom{\alpha_1}{\ell - k_1} \binom{\alpha_2}{\ell - k_2} \binom{\alpha_3}{\ell} (-1)^{k_1 + k_2 + \ell} \right) z_1^{-k_1} z_2^{-k_2} (z_1 z_2)^{\alpha_3} \\
&= \sum_{\mathbf{k} \in \mathbb{Z}^2} c_{k_1, k_2} e^{-i \langle \boldsymbol{\omega}, M(\mathbf{k} - \boldsymbol{\alpha}_3) \rangle},
\end{aligned}$$

where  $\mathbf{k} = (k_1, k_2)^T$ ,  $\boldsymbol{\alpha}_3 = (\alpha_3, \alpha_3)^T$ ,  $M = [\mathbf{x}^1 \ \mathbf{x}^2]$  and

$$(5.10) \quad c_{k_1, k_2} = \sum_{\ell \geq \max(k_1, k_2, 0)} \binom{\alpha_1}{\ell - k_1} \binom{\alpha_2}{\ell - k_2} \binom{\alpha_3}{\ell} (-1)^{k_1 + k_2 + \ell}.$$

We used (4.8) to simplify identity (5.9) above.

We take inverse Fourier transforms of (5.9) to obtain

$$f(\mathbf{x}) = \sum_{\mathbf{k} \in \mathbb{Z}^2} c_{k_1, k_2} \delta(\mathbf{x} - M(\mathbf{k} - \boldsymbol{\alpha}_3)).$$

Relation (5.8) and the above argument give rise to the following time-domain representation of  $B_{\boldsymbol{\alpha}}(\mathbf{x} \mid \mathbf{X}^-)$ .

**Proposition 5.7.** *For the fractional hex spline in Definition 5.6, we have the following explicit representation:*

$$(5.11) \quad B_{\boldsymbol{\alpha}}(\mathbf{x} \mid \mathbf{X}^-) = \sum_{\mathbf{k} \in \mathbb{Z}^2} c_{k_1, k_2} C_{\boldsymbol{\alpha}}(\mathbf{x} - M(\mathbf{k} - \boldsymbol{\alpha}_3) \mid \mathbf{X}),$$

where the coefficients  $c_{k_1, k_2}$  are given by (5.10) and  $C_{\boldsymbol{\alpha}}(\mathbf{x} \mid \mathbf{X})$  is given by the relation in Proposition 5.4.

Proposition 5.7 is used to evaluate the hex spline plotted in Figure 9.

**Remark 5.8.** Note that (5.7) may also be written in the form

$$\widehat{B}_{\boldsymbol{\alpha}}(\boldsymbol{\omega} \mid \mathbf{X}^-) = \widehat{\nabla_{\mathbf{X}}^{\boldsymbol{\alpha}} C_{\boldsymbol{\alpha}}}(\boldsymbol{\omega} \mid \mathbf{X}),$$

where  $\widehat{\nabla_{\mathbf{X}}^{\boldsymbol{\alpha}}}$  is now the Fourier transform of the *fractional forward difference operator of order*  $\boldsymbol{\alpha} := (\alpha_1, \alpha_2, \alpha_3) \in \mathbb{R}_+^3$ , defined by

$$\nabla_{\mathbf{X}}^{\boldsymbol{\alpha}} := \prod_{i=1}^3 \nabla_{\mathbf{x}^i}^{\alpha_i},$$

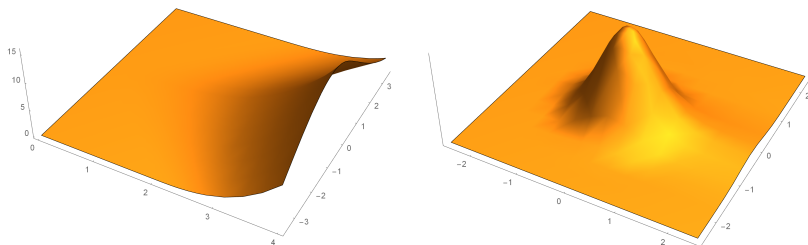


FIGURE 9. The fractional cone spline  $C_{\alpha}(\mathbf{x} \mid \mathbf{X})$  and box spline  $B_{\alpha}(\mathbf{x} \mid \mathbf{X}^-)$  for  $\mathbf{X} = \{(1/2, \sqrt{3}/2)^T, (1/2, -\sqrt{3}/2)^T, (1, 0)^T\}$  and  $\alpha = (1.5, 2.1, 2)$ .

where, for any function  $g : \mathbb{R}^3 \rightarrow \mathbb{R}$ ,

$$\nabla_{\mathbf{x}^i}^{\alpha_i} g := \sum_{k=0}^{\infty} \binom{\alpha_i}{k} (-1)^k g(\bullet - k\mathbf{x}^i),$$

(with a slight modification for  $i = 3$ ).

**6. Hex spline properties and splines of complex order.** We conclude the paper by stating several properties obeyed by hex splines and developing definitions of cone and hex splines of complex order. Unlike polynomial box splines, fractional hex splines are not compactly supported for  $\alpha \notin \mathbb{Z}^3$ . Thus, we give decay rates for  $B_{\alpha}(\mathbf{x} \mid \mathbf{X}^-)$ . We also show that hex splines are refinable and give an explicit formula for the refinement mask. Finally, we show that a hex spline and its translates along the integer lattice  $\mathbb{Z}^2$  form a Riesz sequence, and thus a Riesz basis, for an appropriate shift-invariant subspace of  $L^2(\mathbb{R}^2)$ .

**6.1. Decay and refinement.** First, we investigate the decay rate of the fractional hex spline  $B_{\alpha}(\bullet \mid \mathbf{X}^-)$ . To this end, recall that a function  $g : \mathbb{R}^2 \rightarrow \mathbb{R}$  belongs to the Sobolev space  $W^{r,p}(\mathbb{R}^2)$ ,  $r > 0$ ,  $1 < p < \infty$ ,

if and only if

$$(6.1) \quad (1 + \|\bullet\|^2)^{r/2} \widehat{g} \in L^p(\mathbb{R}^2).$$

It follows from Definition 5.6 that  $|\widehat{B}_\alpha(\omega \mid \mathbf{X}^-)| \leq K/\|\omega\|^{|\alpha|}$ , where  $K$  denotes a positive constant which depends only upon  $\mathbf{X}$ . Hence,  $B_\alpha(\bullet \mid \mathbf{X}^-) \in L^2(\mathbb{R}^2)$  if  $|\alpha| > 1$ , and  $B_\alpha(\bullet \mid \mathbf{X}^-) \in L^1(\mathbb{R}^2) \cap L^2(\mathbb{R}^2)$  if  $|\alpha| > 2$ . Equation (6.1) together with the above estimate on  $|\widehat{B}_\alpha(\bullet \mid \mathbf{X}^-)|$ , imply that

$$B_\alpha(\bullet \mid \mathbf{X}^-) \in W^{r,p}(\mathbb{R}^2) \quad \text{for } r < |\alpha| - \frac{2}{p}.$$

At this point, we recall the Sobolev embedding theorem in  $\mathbb{R}^2$  [1, Theorem 4.12]: If  $j \in \mathbb{N}_0$ ,  $1 \leq m \in \mathbb{N}$ , and  $mp > 2$ , then

$$W^{j+m,p}(\mathbb{R}^2) \hookrightarrow C_b^j(\mathbb{R}^2),$$

where  $C_b^j(\mathbb{R}^2)$  denotes the space of all bounded continuous functions with derivatives up to order  $j$ .

Choose  $m = 2$  and  $p = 2$ . Then  $\widehat{B}_\alpha(\bullet \mid \mathbf{X}^-) \in C_b^j(\mathbb{R}^2)$  for  $|\alpha| > j+3$ . In other words, for every multi-index  $\boldsymbol{\mu}$  with  $|\boldsymbol{\mu}| \leq j$ ,  $\partial^\mu \widehat{B}_\alpha(\bullet \mid \mathbf{X}^-)$  is bounded and continuous. However, then  $\mathbf{x}^\mu B_\alpha(\mathbf{x} \mid \mathbf{X}^-)$  is uniformly continuous and vanishes at infinity. Hence,

$$B_\alpha(\mathbf{x} \mid \mathbf{X}^-) \in \mathcal{O}(\mathbf{x}^{-\mu}) \quad \text{for } |\alpha| > j+3.$$

The fractional hex splines  $B_\alpha(\bullet \mid \mathbf{X}^-)$  satisfy two-scale relations of the form

$$(6.2) \quad B_\alpha(\mathbf{x} \mid \mathbf{X}^-) = \sum_{\mathbf{k} \in \mathbb{Z}^2} h(\mathbf{k}) B_\alpha(2\mathbf{x} - M(\mathbf{k} - \boldsymbol{\alpha}_3) \mid \mathbf{X}^-),$$

with  $M = [\mathbf{x}^1 \ \mathbf{x}^2]$  and  $\boldsymbol{\alpha}_3 = (\alpha_3, \alpha_3)^T$ , which are valid for  $|\alpha| > 1$  and for almost all  $\mathbf{x} \in \mathbb{R}^2$ .

In order to show the validity of the above refinement equation, recall that, if such an equation holds, the coefficients  $h(\mathbf{k})$  are the Fourier coefficients of the frequency response of the refinement filter  $H_\alpha$ . In other words,

$$H_\alpha(\omega) = \frac{2\widehat{B}_\alpha(2\omega \mid \mathbf{X}^-)}{\widehat{B}_\alpha(\omega \mid \mathbf{X}^-)} = 2 \prod_{j=1}^3 \left( \frac{i\langle \omega, \mathbf{x}^j \rangle}{i\langle 2\omega, \mathbf{x}^j \rangle} \right)^{\alpha_j} \left( \frac{1 - e^{-2i\langle \omega, \mathbf{x}^j \rangle}}{1 - e^{-i\langle \omega, \mathbf{x}^j \rangle}} \right)^{\alpha_j}$$

(with modification for  $j = 3$ )

$$= \frac{1}{2^{|\alpha|-1}} \prod_{j=1}^2 (1 + e^{-i\langle \omega, \mathbf{x}^j \rangle})^{\alpha_j} (1 + e^{i\langle \omega, \mathbf{x}^3 \rangle})^{\alpha_3}$$

(almost everywhere), with  $\mathbf{x}^3 = \mathbf{x}^1 + \mathbf{x}^2 = (1, 0)^T$ . Expanding the threefold product in the above equation using the generalized binomial theorem and proceeding as in the derivation of (5.9), we obtain

$$(6.3) \quad H_{\alpha}(\omega) = 1/2^{|\alpha|-1} \sum_{\mathbf{k} \in \mathbb{Z}^2} a(\mathbf{k}) e^{-i\langle \omega, M(\mathbf{k} - \alpha_3) \rangle},$$

where

$$(6.4) \quad a(\mathbf{k}) = \sum_{\ell \geq \max(k_1, k_2, 0)} \binom{\alpha_1}{\ell - k_1} \binom{\alpha_2}{\ell - k_2} \binom{\alpha_3}{\ell}.$$

Equation (6.3) now yields the desired two-scale relation (6.2).

**6.2. Riesz basis property.** We next consider bivariate hex splines and their translates along the lattice  $\mathbb{Z}^2$  and show that this set of functions forms a Riesz sequence, and thus a Riesz basis, for the appropriate shift-invariant subspace of  $L^2(\mathbb{R}^2)$ . To this end, we introduce the following fractional hex spline space. For the knot set  $\mathbf{X}^- = \{\mathbf{x}^1, \mathbf{x}^2, -\mathbf{x}^3\} \subset \mathbb{R}^2$ , where  $\mathbf{x}^3 = \mathbf{x}^1 + \mathbf{x}^2$ , and a multi-index  $\alpha \in \mathbb{R}_+^3$ , let

$$(6.5) \quad \begin{aligned} \mathcal{S}^{\alpha} &:= \mathcal{S}^{\alpha}(B_{\alpha}(\bullet \mid \mathbf{X}^-)) \\ &:= \left\{ f \in L^2(\mathbb{R}^2) \mid \text{there exists an } a \in \ell^2(\mathbb{Z}^2) \text{ such that} \right. \\ &\quad \left. f(x) = \sum_{\mathbf{k} \in \mathbb{Z}^2} a(\mathbf{k}) B_{\alpha}(\mathbf{x} - \mathbf{k} \mid \mathbf{X}^-) \right\}. \end{aligned}$$

Note that, for  $|\alpha| > 1$ , the space  $\mathcal{S}^{\alpha}$  is a principal shift-invariant subspace of  $L^2(\mathbb{R}^2)$ . Our goal is to derive conditions on the knots such that the family  $\{B_{\alpha}(\bullet - \mathbf{k} \mid \mathbf{X}^-) \mid \mathbf{k} \in \mathbb{Z}^2\}$  forms a Riesz basis for  $\mathcal{S}^{\alpha}$ . We proceed as follows. For  $B_{\alpha}(\bullet \mid \mathbf{X}^-)$ , we consider the

$(2\pi, 2\pi)$ -periodic function  $[\widehat{B}_\alpha](\bullet \mid \mathbf{X}^-)$  defined by

$$(6.6) \quad [\widehat{B}_\alpha](\omega \mid \mathbf{X}^-) := \sum_{\mathbf{k} \in \mathbb{Z}^2} \left| \widehat{B}_\alpha(\omega + 2\pi \mathbf{k} \mid \mathbf{X}^-) \right|^2.$$

We employ [19, Proposition 5.7 (i)] to show that for  $|\alpha| > 1$  the family  $\{B_\alpha(\bullet - \mathbf{k} \mid \mathbf{X}^-) \mid \mathbf{k} \in \mathbb{Z}^2\}$  is a Riesz sequence in  $L^2(\mathbb{R}^2)$ , i.e., that there exist two positive constants  $c$  and  $C$  such that

$$(6.7) \quad 0 < c \leq [\widehat{B}_\alpha](\omega \mid \mathbf{X}^-) \leq C < \infty,$$

for almost all  $\omega \in \mathbb{R}^2$ . For this purpose, we recall the definition of  $\widehat{B}_\alpha(\omega \mid \mathbf{X}^-)$ , namely,

$$\widehat{B}_\alpha(\omega \mid \mathbf{X}^-) = \left( \frac{1 - e^{-i\langle \omega, \mathbf{x}^1 \rangle}}{i\langle \omega, \mathbf{x}^1 \rangle} \right)^{\alpha_1} \left( \frac{1 - e^{-i\langle \omega, \mathbf{x}^2 \rangle}}{i\langle \omega, \mathbf{x}^2 \rangle} \right)^{\alpha_2} \left( \frac{e^{i\langle \omega, \mathbf{x}^3 \rangle} - 1}{i\langle \omega, \mathbf{x}^3 \rangle} \right)^{\alpha_3},$$

$$\alpha \in \mathbb{R}_+^3.$$

Therefore,

$$(6.8) \quad |\widehat{B}_\alpha(\omega + 2\pi \mathbf{k} \mid \mathbf{X}^-)|^2 = \prod_{j=1}^3 \left| \operatorname{sinc} \frac{\langle \omega + 2\pi \mathbf{k}, \mathbf{x}^j \rangle}{2} \right|^{2\alpha_j}.$$

Note that the function  $[\widehat{B}_\alpha](\bullet \mid \mathbf{X}^-)$  is symmetric about  $\omega = (\pi, \pi)$  so that, together with its  $(2\pi, 2\pi)$ -periodicity, it suffices to establish (6.7) for almost all  $\omega \in [0, \pi]^2$ .

In order to find a positive lower bound, we consider the summand  $\mathbf{k} = (0, 0)$  in (6.6). As the sinc function is symmetric about  $\langle \omega, \mathbf{x}^j \rangle = 0$ , it suffices to consider only positive arguments. Now,

$$\frac{\langle \omega, \mathbf{x}^j \rangle}{2} \leq \frac{\pi(|\mathbf{x}_1^j| + |\mathbf{x}_2^j|)}{2}.$$

If the direction  $\mathbf{x}^j$  is chosen in such a way that a positive constant  $\vartheta_j$  exists such that

$$(6.9) \quad |x_1^j| + |x_2^j| \leq \vartheta_j < 2,$$

then, as the sinc is decreasing over  $0 < \langle \omega, \mathbf{x}^j \rangle < \pi$ ,

$$\left| \operatorname{sinc} \frac{\langle \omega, \mathbf{x}^j \rangle}{2} \right| \geq \frac{2}{\pi \vartheta_j} \quad \text{for all } \omega \in [0, \pi]^2.$$

Hence, we may choose

$$c := \prod_{j=1}^3 \left( \frac{2}{\pi \vartheta_j} \right)^{2\alpha_j} > 0.$$

Note that, since  $\mathbf{x}^3 = (1, 0)^T$ , condition (6.9) is automatically fulfilled, and  $\vartheta_3 = 1$  naturally follows.

In order to obtain an upper bound, we proceed as follows. Suppose that, in addition to (6.9), the directions  $\mathbf{x}^j = (x_1^j, x_2^j)^T$  satisfy

$$(6.10) \quad \tau_j := \frac{x_2^j}{x_1^j} \notin \mathbb{Q}, \quad j = 1, 2,$$

where we assume without loss of generality that  $x_1^j \neq 0$ .

Next, we remark on the case  $j = 3$ .

Note that, since  $\boldsymbol{\omega}$  is bounded and  $\mathbf{x}^j$  satisfies conditions (6.9) and (6.10), there exists a  $\mathbf{k}_0 := (k_{0,1}, k_{0,2}) \in \mathbb{Z}^2$  so that  $|\langle \boldsymbol{\omega} + 2\pi\mathbf{k}, \mathbf{x}^j \rangle| = |\langle \boldsymbol{\omega}, \mathbf{x}^j \rangle + 2\pi\langle \mathbf{k}, \mathbf{x}^j \rangle| > 0$ , for all  $\mathbf{k} = (k_1, k_2)$  with  $|k_i| \geq |k_{0,i}|$ ,  $i = 1, 2$ , and all  $j = 1, 2$ . We denote the collection of all such  $\mathbf{k}$  by  $K$ . For  $\mathbf{k} \in K$ , the function  $\boldsymbol{\omega} \mapsto |\langle \boldsymbol{\omega} + 2\pi\mathbf{k}, \mathbf{x}^j \rangle|$  then attains its unique minimum value, which is strictly positive, at one of the endpoints of the square  $[0, \pi]^2$ . Denote this minimum value by  $\pi\langle \mathbf{m}, \mathbf{x}^j \rangle$ . The collection of all such  $\mathbf{m} = \mathbf{m}(\mathbf{k})$  constitutes a subset  $M$  of  $\mathbb{Z}^2$ .

Thus,

$$\begin{aligned} [\widehat{B_\alpha}](\boldsymbol{\omega} \mid \mathbf{X}^-) &= \sum_{\mathbf{k} \in \mathbb{Z}^2} \prod_{j=1}^3 \left| \operatorname{sinc} \frac{\langle \boldsymbol{\omega} + 2\pi\mathbf{k}, \mathbf{x}^j \rangle}{2} \right|^{2\alpha_j} \\ &= \sum_{\mathbf{k} \in \mathbb{Z}^2 \setminus K} \prod_{j=1}^3 \left| \operatorname{sinc} \frac{\langle \boldsymbol{\omega} + 2\pi\mathbf{k}, \mathbf{x}^j \rangle}{2} \right|^{2\alpha_j} \\ &\quad + \sum_{\mathbf{k} \in K} \prod_{j=1}^3 \left| \operatorname{sinc} \frac{\langle \boldsymbol{\omega} + 2\pi\mathbf{k}, \mathbf{x}^j \rangle}{2} \right|^{2\alpha_j} \\ &\leq \operatorname{card}(\mathbb{Z}^2 \setminus K) + \prod_{j=1}^3 \sum_{\mathbf{k} \in K} \left| \operatorname{sinc} \frac{\langle \boldsymbol{\omega} + 2\pi\mathbf{k}, \mathbf{x}^j \rangle}{2} \right|^{2\alpha_j}. \end{aligned}$$

Now,

$$\left| \operatorname{sinc} \frac{\langle \boldsymbol{\omega} + 2\pi \mathbf{k}, \mathbf{x}^j \rangle}{2} \right| \leq \begin{cases} \frac{2}{\pi |\langle \mathbf{m}, \mathbf{x}^j \rangle|} & j = 1, 2, \\ \frac{2}{\pi (2|k_1| - 1)} & j = 3, \end{cases}$$

for all  $\boldsymbol{\omega} \in [0, \pi]^2$ , for all  $\mathbf{k} \in K$ ,

and, therefore, for  $j = 1, 2$ ,

$$\begin{aligned} \sum_{\mathbf{k} \in K} \left| \operatorname{sinc} \frac{\langle \boldsymbol{\omega} + 2\pi \mathbf{k}, \mathbf{x}^j \rangle}{2} \right|^{2\alpha_j} &\leq \sum_{\mathbf{m} \in M} \left( \frac{2}{\pi |\langle \mathbf{m}, \mathbf{x}^j \rangle|} \right)^{2\alpha_j} \\ &\leq \left( \frac{2}{\pi} \right)^{2\alpha_j} \sum_{\mathbf{m} \neq (0,0)} \frac{1}{|\langle \mathbf{m}, \mathbf{x}^j \rangle|^{2\alpha_j}}. \end{aligned}$$

Rewriting the last sum produces

$$\begin{aligned} \sum_{\mathbf{m} \neq (0,0)} \frac{1}{|\langle \mathbf{m}, \mathbf{x}^j \rangle|^{2\alpha_j}} &= \sum_{(m_1, m_2) \neq (0,0)} \frac{1}{|x_1^j|^{2\alpha_j} |m_1 + \tau_j m_2|^{2\alpha_j}} \\ &= \zeta(Q_j; \alpha_j), \quad \alpha_j > 1. \end{aligned}$$

Here,  $\zeta(Q_j; \alpha_j)$  denotes the Epstein zeta function for the positive definite quadratic form  $Q_j(m_1, m_2) := (x_1^j)^2 |m_1 + \tau_j m_2|^2$ . The Epstein zeta function converges for  $\alpha_j > 1$  [20].

In the case  $j = 3$ , we instead obtain

$$\begin{aligned} \sum_{\mathbf{k} \in K} \left| \operatorname{sinc} \frac{\langle \boldsymbol{\omega} + 2\pi \mathbf{k}, \mathbf{x}^3 \rangle}{2} \right|^{2\alpha_3} &\leq \left( \frac{2}{\pi} \right)^{2\alpha_3} \sum_{k_1 \in \mathbb{Z} \setminus \{0\}} \frac{1}{(2|k_1| - 1)^{2\alpha_3}} \\ &\leq \left( \frac{2}{\pi} \right)^{2\alpha_3} \sum_{k_1 \in \mathbb{N}} \frac{1}{k_1^{2\alpha_3}} = \zeta(2\alpha_3), \end{aligned}$$

where  $\zeta(2\alpha_3)$  is the Riemann zeta function which converges for  $2\alpha_3 > 1$ . Thus, we may select for the positive constant  $C$  as an upper bound in (6.7) the value

$$C := \operatorname{card}(\mathbb{Z}^2 \setminus K) + \left( \frac{2}{\pi} \right)^{2|\boldsymbol{\alpha}|} \zeta(Q_1; \alpha_1) \zeta(Q_2; \alpha_2) \zeta(2\alpha_3) < \infty,$$

with  $\alpha_j > 1$ ,  $j = 1, 2$ , and  $\alpha_3 > 1/2$ .

We summarize the above findings in the next theorem.

**Theorem 6.1.** *Let  $\mathbf{X}^- = \{\mathbf{x}^1, \mathbf{x}^2, -\mathbf{x}^3\} \subset \mathbb{R}^2$ , where  $\mathbf{x}^3 = \mathbf{x}^1 + \mathbf{x}^2$ , be a knot set in  $\mathbb{R}^2$ . Suppose that the knots  $\mathbf{x}^1$  and  $\mathbf{x}^2$  satisfy the following two conditions:*

- (i)  $|x_1^j| + |x_2^j| \leq \vartheta_j < 2$ ,  $j = 1, 2$ .
- (ii)  $x_2^j/x_1^j \notin \mathbb{Q}$ ,  $j = 1, 2$ . (Assume without loss of generality that  $x_1^j \neq 0$ .)

*Further assume that  $|\alpha| > 1$ . Then the family  $\{B_\alpha(\bullet - \mathbf{k} \mid \mathbf{X}^-) \mid \mathbf{k} \in \mathbb{Z}^2\}$  of bivariate hex splines is a Riesz basis for the principal shift-invariant subspace  $\mathcal{S}^\alpha \subset L^2(\mathbb{R}^2)$ .*

It was our hope to show that, in connection with the refinement equation (6.2) and under the hypotheses of Theorem 6.1, that the spaces  $V_\ell^\alpha$  defined by

$$V_\ell^\alpha := \overline{\text{span}\{B_\alpha(2^\ell \bullet - M(\mathbf{k} - \alpha_3) \mid \mathbf{X}^-) \mid \mathbf{k} \in \mathbb{Z}^2\}}^{L^2(\mathbb{R}^2)}, \quad \ell \in \mathbb{Z},$$

form a dyadic multiresolution analysis of  $L^2(\mathbb{R}^2)$ . The properties

- (a)  $\bigcup_{\ell \in \mathbb{Z}} V_\ell^\alpha$  is dense in  $L^2(\mathbb{R}^2)$  and
- (b)  $\bigcap_{\ell \in \mathbb{Z}} V_\ell^\alpha = \emptyset$  follows immediately from [14, Theorems 2.3.2, 2.3.4].

Arguments similar to those employed above lead to a lower Riesz bound  $c > 0$ , although it is not possible to attain a finite upper Riesz bound  $C$ .

**6.3. Extension to complex orders.** Definitions 5.1 and 5.6 can be extended to complex orders  $z$ . As subsection 6.2 shows, fractional cone and hex splines provide continuous (with respect to order of smoothness) families of functions. A complex order generates a family of complex-valued functions which also contain phase information as will be seen below.

Definitions 5.1 and 5.6 are restated for complex orders.

**Definition 6.2.** Let  $\mathbf{X} = \{\mathbf{x}^1, \dots, \mathbf{x}^n\} \subset \mathbb{R}^n \setminus \{\mathbf{0}\}$ ,  $n \geq s$ , with  $\text{span}(\mathbf{X}) = \mathbb{R}^s$ , and assume that  $\mathbf{z} := (z_1, \dots, z_n) \in \mathbb{C}_+^n := \{\mathbf{z} \in \mathbb{C}^n \mid \text{Re } z_k > 0\}$ . We define the *cone spline of complex order  $\mathbf{z}$*  in terms of

its Fourier transform as the tempered distribution given by

$$(6.11) \quad \widehat{C}_{\mathbf{z}}(\boldsymbol{\omega} \mid \mathbf{X}) = \prod_{k=1}^n \left( \frac{1}{i\langle \boldsymbol{\omega}, \mathbf{x}^k \rangle} + \sigma_k \pi \delta(\langle \boldsymbol{\omega}, \mathbf{x}^k \rangle) \right)^{z_k}$$

where

$$\sigma_k = \begin{cases} 0 & z_k \notin \mathbb{Z}_+, \\ 1 & z_k \in \mathbb{Z}_+. \end{cases}$$

Similarly, we define a hex spline of complex order.

**Definition 6.3.** Let  $\mathbf{X} = \{\mathbf{x}^1, \mathbf{x}^2, \mathbf{x}^3\}$  be a 3-directional mesh in  $\mathbb{R}^2$  with  $\mathbf{x}^1$  and  $\mathbf{x}^2$  linearly independent and  $\mathbf{x}^3 = \mathbf{x}^1 + \mathbf{x}^2 = (1, 0)^T$ , and assume that  $\mathbf{z} \in \mathbb{C}_+^3$ . We define the *hex spline of complex order  $\mathbf{z}$*  in terms of its Fourier transform as follows:

$$(6.12) \quad \begin{aligned} \widehat{B}_{\mathbf{z}}(\boldsymbol{\omega} \mid \mathbf{X}^-) &:= (1 - e^{-i\langle \boldsymbol{\omega}, \mathbf{x}^1 \rangle})^{z_1} (1 - e^{-i\langle \boldsymbol{\omega}, \mathbf{x}^2 \rangle})^{z_2} (e^{i\langle \boldsymbol{\omega}, \mathbf{x}^3 \rangle} - 1)^{z_3} \widehat{C}_{\mathbf{z}}(\boldsymbol{\omega} \mid \mathbf{X}) \\ &= \left( \frac{1 - e^{-i\langle \boldsymbol{\omega}, \mathbf{x}^1 \rangle}}{i\langle \boldsymbol{\omega}, \mathbf{x}^1 \rangle} \right)^{z_1} \left( \frac{1 - e^{-i\langle \boldsymbol{\omega}, \mathbf{x}^2 \rangle}}{i\langle \boldsymbol{\omega}, \mathbf{x}^2 \rangle} \right)^{z_2} \left( \frac{e^{i\langle \boldsymbol{\omega}, \mathbf{x}^3 \rangle} - 1}{i\langle \boldsymbol{\omega}, \mathbf{x}^3 \rangle} \right)^{z_3}, \end{aligned}$$

where  $\mathbf{X}^- = \{\mathbf{x}^1, \mathbf{x}^2, -\mathbf{x}^3\}$ .

Recall the fact that, for complex numbers  $z$  and  $w$ ,  $w^z := e^{z \log w} = e^{z(\log |w| + i \arg w)}$  is uniquely defined for  $\arg w \in [-\pi, \pi)$ . Hence, we only consider the principal branch of the complex exponential function. As usual, we set  $0^z := 0$  and  $w^0 = 1$ .

Define

$$\Theta_k := \Theta(\boldsymbol{\omega} \mid \mathbf{x}^k) := \frac{1}{i\langle \boldsymbol{\omega}, \mathbf{x}^k \rangle} + \sigma_k \pi \delta(\langle \boldsymbol{\omega}, \mathbf{x}^k \rangle)$$

and

$$\Omega_k := \Omega(\boldsymbol{\omega} \mid \mathbf{x}^k) := \frac{1 - e^{-i\langle \boldsymbol{\omega}, \mathbf{x}^k \rangle}}{i\langle \boldsymbol{\omega}, \mathbf{x}^k \rangle}$$

(with an obvious modification for  $k = 3$ ).

Note that  $\Omega_k$  is well defined as it never crosses the negative real axis for

$$\operatorname{Im} \Omega_k = \frac{\cos \langle \boldsymbol{\omega}, \mathbf{x}^k \rangle - 1}{\langle \boldsymbol{\omega}, \mathbf{x}^k \rangle} = 0,$$

if and only if  $\langle \boldsymbol{\omega}, \mathbf{x}^k \rangle \in 2\pi\mathbb{Z}$  and

$$\operatorname{Re} \Omega_k = \frac{\sin \langle \boldsymbol{\omega}, \mathbf{x}^k \rangle}{\langle \boldsymbol{\omega}, \mathbf{x}^k \rangle} = 1,$$

for  $\langle \boldsymbol{\omega}, \mathbf{x}^k \rangle = 0$  and 0 for  $\langle \boldsymbol{\omega}, \mathbf{x}^k \rangle \in 2\pi\mathbb{Z} \setminus \{0\}$ .

With these observations, Equations (6.11) and (6.12) may be rewritten in the following form.

$$(6.13) \quad \widehat{C}_{\mathbf{z}}(\boldsymbol{\omega} \mid \mathbf{X}) = \widehat{C}_{\operatorname{Re} \mathbf{z}}(\boldsymbol{\omega} \mid \mathbf{X}) \exp \left( - \sum_k \operatorname{Im} z_k \cdot \arg \Theta_k \right) \\ \times \exp \left( i \sum_k \operatorname{Im} z_k \cdot \log |\Theta_k| \right)$$

and

$$(6.14) \quad \widehat{B}_{\mathbf{z}}(\boldsymbol{\omega} \mid \mathbf{X}^-) = \widehat{B}_{\operatorname{Re} \mathbf{z}}(\boldsymbol{\omega} \mid \mathbf{X}^-) \exp \left( - \sum_k \operatorname{Im} z_k \cdot \arg \Omega_k \right) \\ \times \exp \left( i \sum_k \operatorname{Im} z_k \cdot \log |\Omega_k| \right),$$

where we set  $\operatorname{Re} \mathbf{z} := (\operatorname{Re} z_1, \dots, \operatorname{Re} z_n)$ .

Equations (6.13) and (6.14) show that both the cone spline and hex spline consists of a cone spline, respectively hex spline of fractional order  $\operatorname{Re} z$ , multiplied by a modulation factor

$$\exp \left( - \sum_k \operatorname{Im} z_k \cdot \arg \Theta_k \right)$$

and a phase factor

$$\exp \left( i \sum_k \operatorname{Im} z_k \cdot \log |\Omega_k| \right).$$

A complex cone spline is plotted in Figure 10.

The existence of these two factors may allow the extraction of additional information from sampled data and the manipulation of images. In fact, the spectrum of a complex hex spline consist of the spectrum of a fractional hex spline combined with a modulating and a damping factor. The presence of an imaginary part causes the

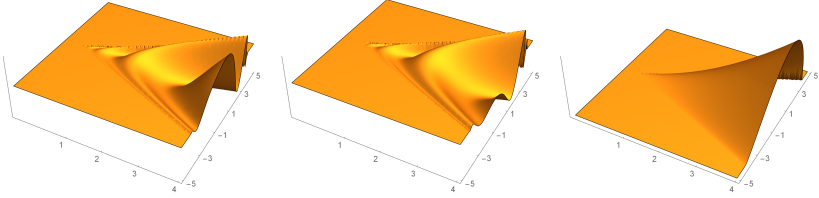


FIGURE 10. The complex cone spline  $C_{\mathbf{z}}(\boldsymbol{\omega} \mid \mathbf{X})$  with knot set  $\mathbf{X} = \{(1, 1)^T, (1, -1)^T\}$  and  $\mathbf{z} = (2.25 + 2.75i, 1.5 + 3.5i)$ . Plotted left to right are:  $\text{Re } C_{\mathbf{z}}(\boldsymbol{\omega} \mid \mathbf{X})$ ,  $\text{Im } C_{\mathbf{z}}(\boldsymbol{\omega} \mid \mathbf{X})$  and  $|C_{\mathbf{z}}(\boldsymbol{\omega} \mid \mathbf{X})|$ .

frequency components along the negative and positive directions of  $\mathbf{x}^k$  to be enhanced with different signs. This has the effect of shifting the frequency spectrum towards the negative or positive frequency side along  $\mathbf{x}^k$ , depending on the sign of the imaginary part.

Moreover, in contrast to, for instance, complex wavelet bases, the phase information

$$\exp\left(i \sum_k \text{Im } z_k \cdot \log |\Omega_k|\right)$$

is already built in, and an adjustable smoothness parameter, namely  $\text{Re } \mathbf{z}$ , provides a continuous family of functions. The importance of complex-valued transforms in image analysis is discussed in [9].

The potential applicability of hex splines to image analysis based on the above observations will be investigated in a forthcoming paper.

The results in the previous subsections regarding the time domain representation of cone and hex splines, the decay rate and refinement property as well as the Riesz basis property easily extend to complex orders;  $\boldsymbol{\alpha}$  must be replaced by  $\text{Re } \mathbf{z}$  and  $\alpha_k$  by  $\text{Re } z_k$ .

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