

NON-VANISHING OF CARLITZ-FERMAT QUOTIENTS MODULO PRIMES

NGUYEN NGOC DONG QUAN

1. Introduction. Let $q = p^s$, where p is a prime and s is a positive integer. Let \mathbb{F}_q be the finite field of q elements, and set $A = \mathbb{F}_q[T]$ and $k = \mathbb{F}_q(T)$. Let τ be the mapping defined by $\tau(x) = x^q$, and let $k\langle\tau\rangle$ denote the twisted polynomial ring. Let $C : A \rightarrow k\langle\tau\rangle$ ($a \mapsto C_a$) be the Carlitz module, namely, let C be an \mathbb{F}_q -algebra homomorphism such that $C_T = T + \tau$. Let R be any commutative k -algebra. The definition of the Carlitz module C implies that $C_T(a) = Ta + a^q$ for every $a \in R$.

Let \wp be a monic prime in A . The *Carlitz-Fermat quotient* $\mathcal{Q}_\wp : A \rightarrow A$ is the mapping defined by

$$\mathcal{Q}_\wp(a) := \frac{C_{\wp-1}(a)}{\wp} \quad \text{for each } a \in A.$$

The notion of Carlitz-Fermat quotients first appeared in the work of Mauduit [6]. In this note, we prove several non-vanishing results of Carlitz-Fermat quotients modulo primes in A , which are Carlitz module analogues of the results in [5]. As a by-product, we give an alternative proof of the result in [2] that a Mersenne prime in A is a non-Wieferich prime in the Carlitz module context. We briefly recall the notions of Mersenne primes and Wieferich primes in the Carlitz module setting.

Definition 1.1. A *Mersenne prime* M in A is a prime of the form $\alpha C_\wp(1)$, where \wp is a monic prime in A and α is an element in \mathbb{F}_q^\times .

Definition 1.2. Let W be a prime element in A . Write $W = \alpha\wp$, where $\alpha \in \mathbb{F}_q^\times$ is the leading coefficient of W and \wp is a monic prime in A . We say that W is a *Wieferich prime* if $\mathcal{Q}_\wp(1) \equiv 0 \pmod{\wp}$; otherwise, W is called a *non-Wieferich prime*.

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The notion of Mersenne primes in A was introduced by the author in [2], and the notion of Wieferich primes in A was first introduced by Dinesh Thakur in [9]. See also Thakur's recent preprint [11] for more beautiful results on several types of primes in A and their connections with zeta values.

2. Carlitz-Fermat quotients. In this section, we prove several properties of Carlitz-Fermat quotients. The main result of this section is the following.

Proposition 2.1. *Let \wp be a monic prime in A of degree $d > 0$. Then*

- (i) \mathcal{Q}_\wp is an \mathbb{F}_q -module homomorphism;
- (ii) $\mathcal{Q}_\wp(a + m\wp) \equiv \mathcal{Q}_\wp(a) - m \pmod{\wp}$ for all $a, m \in A$;
and
- (iii) $\mathcal{Q}_\wp(C_m(a)) \equiv m\mathcal{Q}_\wp(a) \pmod{\wp}$ for all $a, m \in A$.

Proof. Since the Carlitz module C is an \mathbb{F}_q -algebra homomorphism, we see that (i) follows immediately.

We now prove (ii). By [8, Proposition 12.11], one can write $C_\wp(x) \in A[x]$ in the form

$$(2.1) \quad C_\wp(x) = \wp x + [\wp, 1]x^q + \cdots + [\wp, d-1]x^{q^{d-1}} + x^{q^d},$$

where $[\wp, i]$ is a polynomial of degree $q^i(d-i)$ for each $1 \leq i \leq d-1$. Furthermore, we know that $[\wp, i]$ is divisible by \wp for each $1 \leq i \leq d-1$. Hence, we see that

$$\begin{aligned} C_{\wp-1}(m\wp) &= \wp(m\wp) + [\wp, 1](m\wp)^q + \cdots + (m\wp)^{q^d} - m\wp \\ &= \wp \left(m\wp + [\wp, 1]m^q\wp^{q-1} + \cdots + m^{q^d}\wp^{q^d-1} - m \right), \end{aligned}$$

and thus $\mathcal{Q}_\wp(m\wp) \equiv -m \pmod{\wp}$. It thus follows from part (i) that

$$\mathcal{Q}_\wp(a + m\wp) = \mathcal{Q}_\wp(a) + \mathcal{Q}_\wp(m\wp) \equiv \mathcal{Q}_\wp(a) - m \pmod{\wp}.$$

We now prove that (iii) holds. Let m be an arbitrary element in A of degree h , and let $a \in A$. We can write $C_m(x) \in A[x]$ in the form

$$C_m(x) = mx + [m, 1]x^q + [m, 2]x^{q^2} + \cdots + [m, h-1]x^{q^{h-1}} + [m, h]x^{q^h},$$

where $[m, i]$ is a polynomial of degree $q^i(h - i)$ for each $1 \leq i \leq h$. We see that

$$\begin{aligned} \wp \mathcal{Q}_\wp(C_m(a)) &= C_{\wp-1}(C_m(a)) = C_{m(\wp-1)}(a) \\ &= C_m(C_{\wp-1}(a)) = C_m(\wp \mathcal{Q}_\wp(a)) \\ &= m(\wp \mathcal{Q}_\wp(a)) + [m, 1](\wp \mathcal{Q}_\wp(a))^q + \cdots + [m, h](\wp \mathcal{Q}_\wp(a))^{q^h}, \end{aligned}$$

and thus

$$\mathcal{Q}_\wp(C_m(a)) = m\mathcal{Q}_\wp(a) + [m, 1]\wp^{q-1}(\mathcal{Q}_\wp(a))^q + \cdots + [m, h]\wp^{q^h-1}(\mathcal{Q}_\wp(a))^{q^h}.$$

Therefore, we deduce that

$$\mathcal{Q}_\wp(C_m(a)) \equiv m\mathcal{Q}_\wp(a) \pmod{\wp},$$

which proves that (iii) is true. □

Remark 2.2. Let p be an odd prime in \mathbb{Z} . Recall that the *Fermat quotient* $q_p : \mathbb{Z} \rightarrow \mathbb{Z}$ is defined by $q_p(a) = (a^{p-1} - 1)/p$ for each integer a with $\gcd(a, p) = 1$. According to [1], Eisenstein noted that the Fermat quotient q_p satisfies the following properties.

- (1) $q_p(ab) \equiv q_p(a) + q_p(b) \pmod{p}$;
- (2) $q_p(a + mp) \equiv q_p(a) - m/a \pmod{p}$;

and

- (3) $q_p(a^m) \equiv mq_p(a) \pmod{p}$.

There are well-known analogies [3, 8, 10] between the Carlitz module $a \mapsto C_m(a)$, $m \in A$, and the power map $a \mapsto a^m$, $m \in \mathbb{Z}$. Hence, (i), (ii) and (iii) in Proposition 2.1 are Carlitz module analogues of (1), (2) and (3) mentioned above.

3. Non-vanishing of Carlitz-Fermat quotients modulo primes.

In this section, using Proposition 2.1, we prove several non-vanishing results of Carlitz-Fermat quotients modulo primes.

Theorem 3.1. *Let \wp be a monic prime in A of degree $d > 0$, and let \mathcal{Q}_\wp be the Carlitz-Fermat quotient of \wp . Let a, m be nonzero elements in A such that \wp does not divide m . Assume that $C_m(a) = b\wp$ for some*

$b \in A$. Then

$$\mathcal{Q}_\varphi(a) \equiv -\frac{b}{m} \pmod{\varphi}.$$

Proof. It follows from part Proposition 2.1 (iii) that

$$m\mathcal{Q}_\varphi(a) \equiv \mathcal{Q}_\varphi(C_m(a)) = \mathcal{Q}_\varphi(b\varphi) \pmod{\varphi}.$$

By parts (i) and (ii) in Proposition 2.1, we deduce that $\mathcal{Q}_\varphi(b\varphi) \equiv -b \pmod{\varphi}$, and thus $\mathcal{Q}_\varphi(a) \equiv -b/m \pmod{\varphi}$. \square

In [2], the author proves that a Mersenne prime is a non-Wieferich prime in the Carlitz module context. We present here an alternative proof of this result using Theorem 3.1.

Corollary 3.2. *Let $M_P = \alpha C_P(1)$ be a Mersenne prime, where α is an element in \mathbb{F}_q^\times and P is a monic prime in A of degree $d > 0$. Then M_P is a non-Wieferich prime.*

Proof. Write $M_P = \beta\varphi$, where $\beta \in \mathbb{F}_q^\times$ is the leading coefficient of M_P and φ is a monic prime in A . We see that $C_P(1) = \alpha^{-1}M_P = \alpha^{-1}\beta\varphi$. We can write $C_P(x) \in A[x]$ in the form

$$C_P(x) = Px + [P, 1]x^q + \cdots + [P, d-1]x^{q^{d-1}} + x^{q^d},$$

where $[P, i]$ is a polynomial in A of degree $q^i(d-i)$ for each $1 \leq i \leq d-1$. Furthermore, it is known [4, Proposition 2.4] that $[P, i]$ is divisible by P for each $1 \leq i \leq d-1$. Hence, we deduce that

$$\begin{aligned} \beta\varphi &= M_P = \alpha C_P(1) \\ &= \alpha(P + [P, 1] + \cdots + [P, d-1] + 1) \equiv \alpha \pmod{P}, \end{aligned}$$

and thus $\varphi \equiv \alpha\beta^{-1} \not\equiv 0 \pmod{P}$.

Since P, φ are relatively prime, applying Theorem 3.1 with $P, \varphi, 1$ and $\alpha^{-1}\beta$ in the roles of m, φ, a and b , respectively, we deduce that

$$\mathcal{Q}_\varphi(1) \equiv -\frac{\alpha^{-1}\beta}{P} \not\equiv 0 \pmod{\varphi},$$

and thus $M_P = \beta\varphi$ is a non-Wieferich prime. \square

Corollary 3.3. *Let a be an element in A , and let m, n be nonzero elements in A . Let H be the unique element in A such that $C_{mn}(a) =$*

$C_n(a)H$. Assume that there exists a monic prime \wp dividing H such that \wp does not divide mn . Write $H = b\wp$ for some $b \in A$. Then

$$\mathcal{Q}_\wp(a) \equiv -\frac{bC_n(a)}{mn} \pmod{\wp}.$$

Proof. We see that $C_{mn}(a) = HC_n(a) = bC_n(a)\wp$. Since \wp does not divide mn , applying Theorem 3.1 with $a, bC_n(a), mn$ and \wp in the roles of a, b, m and \wp , respectively, we deduce that

$$\mathcal{Q}_\wp(a) \equiv -\frac{bC_n(a)}{mn} \pmod{\wp}. \quad \square$$

Corollary 3.4. *We maintain the same notation and assumptions as in Corollary 3.3. Assume that $v_\wp(H) = 1$, where v_\wp denotes the \wp -adic valuation. Assume further that m and $C_n(a)$ are relatively prime. Then $\mathcal{Q}_\wp(a) \not\equiv 0 \pmod{\wp}$.*

Proof. By Corollary 3.3, we know that

$$\mathcal{Q}_\wp(a) \equiv -\frac{bC_n(a)}{mn} \pmod{\wp}.$$

We prove that $bC_n(a) \not\equiv 0 \pmod{\wp}$. Indeed, we know that $1 = v_\wp(H) = v_\wp(b\wp) = 1 + v_\wp(b)$, and thus $v_\wp(b) = 0$. Hence, $b \not\equiv 0 \pmod{\wp}$.

We can write $C_m(x) \in A[x]$ in the form

$$C_m(x) = mx + [m, 1]x^q + \cdots + [m, \deg(m)]x^{q^{\deg(m)}},$$

where $[m, i]$ is a polynomial of degree $q^i(\deg(m) - i)$ for each $1 \leq i \leq \deg(m) - 1$ and $[m, \deg(m)]$ is the leading coefficient of m . Then we see that

$$\begin{aligned} C_{mn}(a) &= C_m(C_n(a)) \\ &= C_n(a)(m + [m, 1](C_n(a))^{q-1} + \cdots + [m, \deg(m)](C_n(a))^{q^{\deg(m)-1}}). \end{aligned}$$

Since $C_{mn}(a) = C_n(a)H$, we deduce that

$$H = m + [m, 1](C_n(a))^{q-1} + \cdots + [m, \deg(m)](C_n(a))^{q^{\deg(m)-1}}.$$

Since $\gcd(m, C_n(a)) = 1$, it follows from the equation of H that $H \equiv m \not\equiv 0 \pmod{\mathfrak{q}}$ for each prime \mathfrak{q} dividing $C_n(a)$. Hence, H and

$C_n(a)$ are relatively prime, and therefore $C_n(a) \not\equiv 0 \pmod{\varphi}$. This implies that $bC_n(a) \not\equiv 0 \pmod{\varphi}$, and hence

$$\mathcal{Q}_\varphi(a) \equiv -\frac{bC_n(a)}{mn} \not\equiv 0 \pmod{\varphi}. \quad \square$$

Remark 3.5. Corollary 3.3 and Corollary 3.4 are Carlitz analogues of Corollary 2 and Corollary 3 in [5], respectively.

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DEPARTMENT OF MATHEMATICS, UNIVERSITY OF TEXAS AT AUSTIN, AUSTIN, TX 78712

Email address: dongquan.ngoc.nguyen@gmail.com