

A NOTE ON A CHARACTERIZATION OF METRICS GENERATED BY NORMS

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ABSTRACT. With reference to papers of Oikhberg and Rosenthal [3] and Šemrl [4, 5], we make a contribution to the problem of characterization of metrics generated by norms.

1. Introduction. Let X be a real linear space with a metric d on it. Šemrl [5] (motivated by earlier papers [3, 4]) showed that d is generated by a norm if and only if: it is translation invariant

$$(1.1) \quad d(x+z, y+z) = d(x, y), \quad x, y, z \in X,$$

algebraic midpoints are metric ones

$$(1.2) \quad d\left(\frac{x+y}{2}, x\right) = d\left(\frac{x+y}{2}, y\right) = \frac{1}{2} d(x, y), \quad x, y \in X$$

and

$$(1.3) \quad \text{for each } x \in X \text{ the set } \{tx : t \in [0, 1]\} \text{ is bounded.}$$

Conditions (1.1) and (1.2) do not suffice, for consider the metric d_f in \mathbb{R} where $d_f(x, y) = |f(x) - f(y)|$ and $f: \mathbb{R} \rightarrow \mathbb{R}$ is an injective and discontinuous additive mapping.

Analyzing the proof of Šemrl's theorem one can notice that, in fact, condition (1.2) can be relaxed to

$$(1.2') \quad d(2x, 0) = 2d(x, 0), \quad x \in X.$$

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The conjunction of (1.1) and (1.2) is equivalent to that of (1.1) and (1.2'). Condition (1.2') is essentially weaker than (1.2), and it is easy to observe that neither (1.2') implies (1.1), nor (1.1) implies (1.2').

The aim of this note is to consider another geometrical property of a metric, which together with some regularity condition characterizes normed spaces among metric ones.

2. Mid-segment property and a characterization of normed spaces. Let us consider a condition which relates to the elementary mid-segment property: if x , y and z are vertices of a triangle, then the segment joining the midpoints $(x+z)/2$ and $(y+z)/2$ is half as long as the one joining x and y . Thus, let

$$d\left(\frac{x+z}{2}, \frac{y+z}{2}\right) = \frac{1}{2} d(x, y), \quad x, y, z \in X,$$

which equivalently could be written in a simpler form:

$$(*) \quad d\left(\frac{x-y}{2}, 0\right) = \frac{1}{2} d(x, y), \quad x, y \in X.$$

Condition $(*)$ is equivalent to the conjunction of conditions (1.1) and (1.2). Indeed, it follows from $(*)$ that $d(x/2, 0) = d(x, 0)/2$, whence $d(x, y) = d(x-y, 0)$. Inserting $x+z$ and $y+z$ in place of x and y one gets (1.1). Next, using (1.1), we have

$$d\left(\frac{x+y}{2}, y\right) = d\left(\frac{x-y}{2} + y, y\right) = d\left(\frac{x-y}{2}, 0\right) = \frac{1}{2} d(x, y),$$

whence (1.2) holds true. Conversely, assuming (1.1) and (1.2), one gets $(*)$:

$$d\left(\frac{x-y}{2}, 0\right) = d\left(\frac{x-y}{2} + y, y\right) = d\left(\frac{x+y}{2}, y\right) = \frac{1}{2} d(x, y).$$

It follows thus from the theorem of Šemrl that any metric d satisfying $(*)$ and (1.3) comes from a norm and conversely. Assuming merely $(*)$, we obtain a somewhat weaker result.

Proposition 2.1. *If a metric d on a real vector space X satisfies $(*)$, then there exists a mapping $\varphi: X \rightarrow [0, \infty)$ satisfying conditions:*

- (i) $\varphi(x) = 0 \Leftrightarrow x = 0$;
- (ii) $\varphi(-x) = \varphi(x)$, $x \in X$;
- (iii) $\varphi(x + y) \leq \varphi(x) + \varphi(y)$, $x, y \in X$;
- (iv) $\varphi(2x) = 2\varphi(x)$, $x \in X$,

and such that $d(x, y) = \varphi(x - y)$, $x, y \in X$.

Conversely, if $\varphi: X \rightarrow \mathbb{R}$ satisfies (i)–(iv), then $d(x, y) := \varphi(x - y)$, $x, y \in X$, is a metric on X and satisfies (*).

Proof. Take $\varphi(x) := 2d((x/2), 0)$, $x \in X$. Then it follows from (*) that $\varphi(x - y) = d(x, y)$. Conditions (i)–(iv) follow easily from (1.1) and (1.2). For the converse, it is easy to check that conditions (i), (ii) and (iii) imply that d is a metric, and (*) follows from (iv). \square

It is well known that conditions (iii) and (iv) imply

$$\varphi(nx) = n\varphi(x), \quad x \in X, \quad n \in \mathbb{N}.$$

Hence, $\varphi(px) = p\varphi(x)$, $x \in X$, $p \in \mathbb{Q}_+$ and, from (ii), one gets

$$(iv') \quad \varphi(px) = |p|\varphi(x), \quad x \in X, \quad p \in \mathbb{Q}.$$

Proposition 2.2. *Let X be a real vector space, and let a mapping $\varphi: X \rightarrow [0, \infty)$ satisfy conditions (i)–(iv). Then φ is a norm on X if and only if φ additionally satisfies*

- (v) *for all $x \in X$ there exists $\varepsilon > 0$ and $M \geq 0$ for all $t \in [0, \varepsilon]$, $\varphi(tx) \leq M$.*

Proof. Obviously, each norm satisfies (v). Conversely, assuming (v), we have to check that $\varphi(tx) = |t|\varphi(x)$ for all $x \in X$ and $t \in \mathbb{R}$. Let $x \in X$ and $t \in \mathbb{R}$. For $n \in \mathbb{N}$, choose $r_n \in \mathbb{Q}$ such that $t - \varepsilon/n \leq r_n \leq t$. Thus, $0 \leq n(t - r_n) \leq \varepsilon$, which implies $0 \leq \varphi((t - r_n)x) \leq M/n$. By (iii) and (iv'),

$$\varphi(tx) \geq \varphi(r_n x) - \varphi((r_n - t)x) = |r_n|\varphi(x) - \varphi((r_n - t)x),$$

whence, letting $n \rightarrow \infty$, $\varphi(tx) \geq |t|\varphi(x)$. Inserting tx and $1/t$ in place of x and t , respectively, we get the reverse inequality. \square

Remark 2.3. Actually, the above elementary proof can be omitted in view of a more general result. It follows from (iii) and (iv) that,

for any fixed $x \in X$, the mapping $\varphi_x: \mathbb{R} \ni t \mapsto \varphi(tx) \in [0, \infty)$ is Jensen-convex and, due to (v), bounded from above on some interval $[0, \varepsilon]$. Hence, it is continuous (Bernstein-Doetsch theorem, cf., [1] or [2, Theorem 6.4.2]) and homogeneity of φ_x , with respect to real scalars, follows. What is more, intervals $[0, \varepsilon]$ on which mappings φ_x are bounded from the above, can be replaced by sets from a wider class \mathfrak{A} of subsets possessing the property that any J -convex function bounded from above on such set must be continuous (cf., [2, Chapter 9, Theorem 9.3.3]). Furthermore, one could replace (v) by any other condition guaranteeing continuity of J -convex mappings φ_x .

Let D be a subset of a real vector space X . An *algebraic interior* of D is defined by

$$x_0 \in \text{alg int } D \iff \text{for all } y \in X \text{ there exists } \varepsilon > 0 : \\ x_0 + \lambda y \in D \text{ for } \lambda \in [0, \varepsilon).$$

We say that D is bounded on rays at a point $x_0 \in D$ whenever, for any $y \in X$, the set

$$D_y := \{x_0 + \lambda y : \lambda \geq 0\} \cap D$$

is bounded (however, the bound may depend on y).

Finally, we arrive at our main result.

Theorem 2.4 (Main theorem). *Let d be a metric in a real vector space X satisfying the mid-segment property (*). Suppose that there exist a subset $D \subset X$ and a point $x_0 \in \text{alg int } D$ such that D is bounded on rays at x_0 . Then d is generated by a norm.*

Proof. Due to Proposition 2.1, the mapping $\varphi(x) := 2d((x/2), 0)$ satisfies (i)–(iv) and generates d . Assuming that D is bounded on rays at $x_0 \in \text{alg int } D$ and defining $D' := D - x_0$, one gets that $0 \in \text{alg int } D'$ and D' is bounded on rays at 0. Thus, φ satisfies (v), and it follows from Proposition 2.2 that φ is a norm. \square

In particular, the following holds true.

Corollary 2.5. *Let d be a metric in X satisfying $(*)$, and suppose that there exists a bounded subset $D \subset X$ with a nonempty algebraic interior. Then d is generated by a norm.*

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