

RINGS WHOSE TOTAL GRAPHS HAVE GENUS AT MOST ONE

HAMID REZA MAIMANI, CAMERON WICKHAM
AND SIAMAK YASSEMI

ABSTRACT. Let R be a commutative ring with $Z(R)$ its set of zero-divisors. In this paper, we study the total graph of R , denoted by $T(\Gamma(R))$. It is the (undirected) graph with all elements of R as vertices and, for distinct $x, y \in R$, the vertices x and y are adjacent if and only if $x + y \in Z(R)$. We investigate properties of the total graph of R and determine all isomorphism classes of finite commutative rings whose total graph has genus at most one (i.e., a planar or toroidal graph). In addition, it is shown that, given a positive integer g , there are only finitely many finite rings whose total graph has genus g .

1. Introduction. Let R be a commutative ring with non-zero unity. Let $Z(R)$ be the set of zero-divisors of R . The concept of the graph of zero divisors of R was first introduced by Beck [6], where he was mainly interested in colorings. In his work all elements of the ring were vertices of the graph. This investigation of colorings of a commutative ring was then continued by D.D. Anderson and Naseer in [2]. In [5], D.F. Anderson and Livingston associate a graph, $\Gamma(R)$, to R with vertices $Z(R) \setminus \{0\}$, the set of nonzero zero-divisors of R , and for distinct $x, y \in Z(R) \setminus \{0\}$, vertices x and y are adjacent if and only if $xy = 0$.

An interesting question was proposed by D.F. Anderson, et al. [4]: For which finite commutative rings R is $\Gamma(R)$ planar? A partial answer was given in [1], but the question remained open for local rings of order 32. In [12] and then independently in [7, 13] it is shown that there is no ring of order 32 whose zero-divisor graph is planar.

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The genus of a graph is the minimal integer n such that the graph can be drawn without crossing itself on a sphere with n handles (i.e., an oriented surface of genus n). Thus, a planar graph has genus zero, because it can be drawn on a sphere without self-crossing. In [13, 16] the rings whose zero-divisor graphs have genus one are studied. A genus one graph is called a toroidal graph. In other words, graph G is toroidal if it can be embedded on the torus; that means the graph's vertices can be placed on a torus such that no edges cross. Usually, it is assumed that G is also nonplanar. In [17] it is shown that, for a positive integer g , there are only finitely many finite rings whose zero-divisor graph has genus g .

In [3], D.F. Anderson and Badawi introduced the total graph of R , denoted by $T(\Gamma(R))$, as the graph with all elements of R as vertices, and for distinct $x, y \in R$, the vertices x and y are adjacent if and only if $x + y \in Z(R)$.

In this paper, we investigate properties of the total graph of R and determine all isomorphism classes of finite rings whose total graph has genus at most one (i.e., a planar or toroidal graph). In addition, we show that, for a positive integer g , there are only finitely many finite rings whose total graph has genus g .

1. Main result. A *complete graph* is a graph in which each pair of distinct vertices is joined by an edge. We denote the complete graph with n vertices by K_n . A *bipartite graph* is a graph such that its vertex set can be partitioned into two subsets V_1 and V_2 , and each edge joins a vertex of V_1 to a vertex of V_2 . A *complete bipartite graph* is a bipartite graph such that each vertex in V_1 is joined by an edge to each vertex in V_2 and is denoted by $K_{m,n}$ when $|V_1| = m$ and $|V_2| = n$. A *clique* of a graph is a maximal complete subgraph. For a graph G , the *degree* of a vertex v in G , denoted $\deg(v)$, is the number of edges of G incident with v . The number $\delta(G) = \min\{\deg(v) \mid v \text{ is a vertex of } G\}$ is the *minimum degree* of G . For a nonnegative integer k , a graph is called *k-regular* if every vertex has degree k . Recall that a graph is said to be *connected* if, for each pair of distinct vertices v and w , there is a finite sequence of distinct vertices $v = v_1, \dots, v_n = w$ such that each pair $\{v_i, v_{i+1}\}$ is an edge. Such a sequence is said to be a *path*, and the *distance* $d(v, w)$ between connected vertices v and w is the length of the shortest path connecting them. For any graph G , the disjoint union of k copies of G is denoted kG . Let S be a nonempty subset of

vertex set of graph G . The *subgraph induced by S* is the subgraph with the vertex set S and with any edges whose endpoints are both in the S and is denoted by $\langle S \rangle$.

Let $G_1 = (V_1, E_1)$ and $G_2 = (V_2, E_2)$ be two graphs with disjoint vertices set V_i and edges set E_i . The cartesian product of G_1 and G_2 is denoted by $G = G_1 \times G_2$ with vertices set $V_1 \times V_2$, and (x, y) is adjacent to (x', y') if $x = x'$ and y is adjacent y' in G_2 or $y = y'$ and x is adjacent to x' in G_1 .

Lemma 1.1. *Let x be a vertex of $T(\Gamma(R))$. Then the degree of x is either $|Z(R)|$ or $|Z(R)| - 1$. In particular, if $2 \in Z(R)$, then $T(\Gamma(R))$ is a $(|Z(R)| - 1)$ -regular graph.*

Proof. If x is adjacent to y , then $x + y = a \in Z(R)$, and hence $y = a - x$ for some $a \in Z(R)$. We have two cases:

Case 1. Suppose $2x \in Z(R)$. Then x is adjacent to $a - x$ for any $a \in Z(R) \setminus \{2x\}$. Thus, the degree of x is $|Z(R)| - 1$. In particular, if $2 \in Z(R)$, then $T(\Gamma(R))$ is a $(|Z(R)| - 1)$ -regular graph.

Case 2. Suppose $2x \notin Z(R)$. Then x is adjacent to $a - x$ for any $a \in Z(R)$. Thus, the degree of x is $|Z(R)|$. □

Let S_k denote the sphere with k handles, where k is a nonnegative integer, that is, S_k is an oriented surface of genus k . The genus of a graph G , denoted by $\gamma(G)$, is the minimum integer n such that the graph can be embedded in S_n . A graph G is called planar if $\gamma(G) = 0$, and toroidal if $\gamma(G) = 1$. We note here that, if H is a subgraph of a graph G , then $\gamma(H) \leq \gamma(G)$.

In the following theorem we bring some well-known formulas, see, e.g., [14, 15]:

Theorem 1.2. *The following statements hold:*

- (a) For $n \geq 3$ we have $\gamma(K_n) = \lceil [(n - 3)(n - 4)]/12 \rceil$.
- (b) For $m, n \geq 2$ we have $\gamma(K_{m,n}) = \lceil [(m - 2)(n - 2)]/4 \rceil$.
- (c) Let G_1 and G_2 be two graphs and, for each i , p_i the number of vertices of G_i . Then $\max\{p_1\gamma(G_2) + \gamma(G_1), p_2\gamma(G_1) + \gamma(G_2)\} \leq \gamma(G_1 \times G_2)$.

According to Theorem 1.2, we have $\gamma(K_n) = 0$ for $1 \leq n \leq 4$ and $\gamma(K_n) = 1$ for $5 \leq n \leq 7$ and, for other values of n , $\gamma(K_n) \geq 2$.

Lemma 1.3. *Let \mathbf{F}_q denote the field with q elements. Then the total graph of $\mathbf{F}_2 \times \mathbf{F}_q$ is isomorphic to $K_2 \times K_q$. Furthermore, for any positive integer m and $q > 2$,*

$$\gamma(T(\Gamma(\mathbf{F}_{2^m} \times \mathbf{F}_q))) \geq 2^m \left\lceil \frac{(q-3)(q-4)}{12} \right\rceil.$$

Proof. We induct on m . If $m = 1$, let $V_0 = \{(0, y) \mid y \in \mathbf{F}_q\}$, and let $V_1 = \{(1, y) \mid y \in \mathbf{F}_q\}$. Then the subgraphs G_i of the total graph of $\mathbf{F}_2 \times \mathbf{F}_q$ induced by each of the V_i is K_q . Now, for each $y \in \mathbf{F}_q$, there is an edge between $(0, y) \in G_0$ and $(1, -y) \in G_1$. Furthermore, these are the only other edges in the total graph. Identifying $(1, -y)$ with $(1, y)$, we can replace G_1 with an isomorphic copy G'_1 ; under this isomorphism, the edge between $(0, y) \in G_0$ and $(1, -y) \in G_1$ is the edge between $(0, y) \in G_0$ and $(1, y) \in G'_1$. Thus, the total graph of $\mathbf{F}_2 \times \mathbf{F}_q$ has vertex set $\{(x, y) \mid x \in \mathbf{F}_2 \text{ and } y \in \mathbf{F}_q\}$, with an edge between (x, y) and (x', y') if $x = x'$ and $y \neq y'$, or $y = y'$ and $x \neq x'$. That is, it is the graph $K_2 \times K_q$. Parts (a) and (c) of Theorem 1.2 now yield

$$\gamma(T(\Gamma(\mathbf{F}_2 \times \mathbf{F}_q))) = \gamma(K_2 \times K_q) \geq 2 \left\lceil \frac{(q-3)(q-4)}{12} \right\rceil.$$

If $m > 1$, we can partition \mathbf{F}_{2^m} into two sets, S_1 and S_2 , each of cardinality 2^{m-1} ; let f be a bijection from S_1 to S_2 . Since each element of a field of characteristic 2 is its own inverse, then the subgraph of $T(\Gamma(\mathbf{F}_{2^m} \times \mathbf{F}_q))$ induced by $S_i \times \mathbf{F}_q$ is isomorphic to $T(\Gamma(\mathbf{F}_{2^{m-1}} \times \mathbf{F}_q))$. For any $y \in \mathbf{F}_q$ and $s \in S_1$, the element (s, y) is adjacent to $(f(s), -y)$. We thus have a copy of $K_2 \times T(\Gamma(\mathbf{F}_{2^{m-1}} \times \mathbf{F}_q))$ as a subgraph of $T(\Gamma(\mathbf{F}_{2^m} \times \mathbf{F}_q))$. Part (c) of Theorem 1.2 and the induction hypothesis now yield

$$\begin{aligned} \gamma(T(\Gamma(\mathbf{F}_{2^m} \times \mathbf{F}_q))) &\geq \gamma(K_2 \times T(\Gamma(\mathbf{F}_{2^{m-1}} \times \mathbf{F}_q))) \\ &\geq 2\gamma(T(\Gamma(\mathbf{F}_{2^{m-1}} \times \mathbf{F}_q))) \\ &\geq 2^m \left\lceil \frac{(q-3)(q-4)}{12} \right\rceil. \quad \square \end{aligned}$$

A *subdivision* of a graph is a graph obtained from it by replacing edges with pairwise internally disjoint paths. A remarkably simple characterization of planar graphs was given by Kuratowski in 1930. The Kuratowski theorem says that a graph is planar if and only if it contains no subdivision of K_5 or $K_{3,3}$ (see [3, page 153]). In addition, every planar graph has a vertex v such that $\deg(v) \leq 5$.

Theorem 1.4. *For any positive integer g , there are finitely many finite rings R whose total graph has genus g .*

Proof. Let R be a finite ring. If R is local, then $Z(R)$ is the maximal ideal of R and $|R| \leq |Z(R)|^2$. If R is not local, then $R = R_1 \times R_2 \times \cdots \times R_n$ where each of the R_i 's is a local ring and $n \geq 2$ [10]. Suppose that $|R_1| \leq |R_2| \leq \cdots \leq |R_n|$, and set $R_1^* = 0 \times R_2 \times \cdots \times R_n$. Since $|R| = |R_1||R_1^*|$, we conclude that $|R| \leq |R_1^*|^2$. Let S denote either $Z(R)$ if R is local or R_1^* if R is not local. Then every pair of elements of S is adjacent in $T(\Gamma(R))$, and hence we have a complete graph $K_{|S|}$ in the structure of $T(\Gamma(R))$. This implies that $\gamma(K_{|S|}) \leq g$. Therefore, $\lceil ((|S| - 3)(|S| - 4)/12) \rceil \leq g$, so $|S| \leq (7 + \sqrt{49 + 48(g - 1)})/2$, and hence $|R| \leq ((7 + \sqrt{49 + 48(g - 1)})/2)^2$. \square

Theorem 1.5. *Let R be a finite ring such that $T(\Gamma(R))$ is planar. Then the following hold:*

(a) *If R is a local ring, then R is a field or R is isomorphic to the one of the 9 following rings:*

$$\mathbb{Z}_4, \frac{\mathbb{Z}_2[X]}{(X^2)}, \frac{\mathbb{Z}_2[X]}{(X^3)}, \frac{\mathbb{Z}_2[X, Y]}{(X, Y)^2}, \frac{\mathbb{Z}_4[X]}{(2X, X^2)},$$

$$\frac{\mathbb{Z}_4[X]}{(2X, X^2 - 2)}, \mathbb{Z}_8, \mathbf{F}_4[X](X^2), \frac{\mathbb{Z}_4[X]}{(X^2 + X + 1)}.$$

(b) *If R is not local ring, then R is an infinite integral domain or R is isomorphic to $\mathbb{Z}_2 \times \mathbb{Z}_2$ or \mathbb{Z}_6 .*

Proof. Any planar graph has a vertex v with $\deg(v) \leq 5$. So, if the total graph of R is planar, then $\delta(T(\Gamma(R))) \leq 5$. By Lemma 1.1, $\delta(T(\Gamma(R))) = |Z(R)|$ or $|Z(R)| - 1$, and hence $|Z(R)| \leq 6$.

(a) Assume that R is a local ring, and let $n = |Z(R)|$ and $m = |R/Z(R)|$. If $2 \in Z(R)$, then $T(\Gamma(R)) \cong mK_n$ ([3, Theorems 2.1 and 2.2]). Hence, $|Z(R)| \leq 4$. Also, $|R| = 2^k$ since $2 \in Z(R)$. So $|R| = 16, 8, 4$, or 2 . According to Corbas and Williams [8], there are two nonisomorphic rings of order 16 with maximal ideals of order 4, namely, $\mathbf{F}_4[x]/(x^2)$ and $\mathbf{Z}_4[x]/(x^2 + x + 1)$ (see also Redmond [11]), so for these rings we have $T(\Gamma(R)) \cong 4K_4$. Since K_4 is planar we conclude that the total graphs of these rings are planar. In [8] it is also shown that there are 5 local rings of order 8 (except F_8). In all of these rings, we have $|Z(R)| = 4$ and hence $T(\Gamma(R)) \cong 2K_4$. Also, there are two non-isomorphic local rings of order 4; these are \mathbf{Z}_4 and $\mathbf{Z}_2[X]/(X^2)$. For both we have $T(\Gamma(R)) \cong 2K_2$, and thus they are planar. Note that, if $|Z(R)| = 1$, then R is a field and hence the total graph is planar. If $2 \notin Z(R)$, then $T(\Gamma(R)) \cong K_n \cup ((m - 1)/2)K_{n,n}$ ([3, Theorem 2.2]). This implies $n \leq 2$, and thus R either has order 4 or is a field.

(b) Suppose that R is not local ring. Since R is finite, then there are finite local rings R_i such that $R = R_1 \times \dots \times R_t$ where $t \geq 2$. Since $|Z(R)| \leq 6$, then we have the following candidates:

$$\mathbf{Z}_2 \times \mathbf{Z}_2, \mathbf{Z}_6, \mathbf{Z}_2 \times \mathbf{F}_4, \mathbf{Z}_2 \times \mathbf{Z}_4, \mathbf{Z}_2 \times \frac{\mathbf{Z}_2[X]}{(X^2)},$$

$$\mathbf{Z}_2 \times \mathbf{Z}_5, \mathbf{Z}_3 \times \mathbf{Z}_3, \mathbf{Z}_3 \times \mathbf{F}_4.$$

The total graph of $\mathbf{Z}_2 \times \mathbf{Z}_2$ is isomorphic to the cycle C_4 , and this graph is planar. By Lemma 1.3, the total graph of $\mathbf{Z}_6 \cong \mathbf{Z}_2 \times \mathbf{Z}_3$ is isomorphic to $K_2 \times K_3$, which is also planar.

Let R be a ring with $|R| = n$. The subgraph of the total graph of $\mathbf{Z}_2 \times R$ induced by the set $\{0\} \times R$ is a copy of K_n . The edge $(1, 0) - (0, 0)$, together with the paths $(1, 0) - (1, -r) - (0, r)$ for each $r \in R$ yield a subdivision of K_{n+1} in the total graph of $\mathbf{Z}_2 \times R$. Thus, the total graphs of $\mathbf{Z}_2 \times \mathbf{F}_4, \mathbf{Z}_2 \times \mathbf{Z}_4, \mathbf{Z}_2 \times \mathbf{Z}_2[X]/(X^2)$ and $\mathbf{Z}_2 \times \mathbf{Z}_5$ are not planar. Also, the total graph of $\mathbf{Z}_3 \times R$ contains a subgraph which is isomorphic to $K_{3,n}$ (consider the induced subgraph $\langle S \rangle$ where $S = \{(1, r) \mid r \in R\} \cup \{(2, r) \mid r \in R\}$). Thus, the total graphs of $\mathbf{Z}_3 \times \mathbf{Z}_3$ and $\mathbf{Z}_3 \times \mathbf{F}_4$ are not planar. \square

Theorem 1.6. *Let R be a finite ring such that $T(\Gamma(R))$ is toroidal. Then the following statements hold:*

- (a) If R is a local ring, then R is isomorphic to \mathbb{Z}_9 , or $\mathbb{Z}_3[X]/(X^2)$.
- (b) If R is not a local ring, then R is isomorphic to one of the following rings:

$$\mathbb{Z}_2 \times \mathbf{F}_4, \mathbb{Z}_3 \times \mathbb{Z}_3, \mathbb{Z}_2 \times \mathbb{Z}_4, \mathbb{Z}_2 \times \frac{\mathbb{Z}_2[x]}{(x^2)}, \mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_2.$$

Proof. For any graph G with ν vertices and genus g , we have $\delta(G) \leq 6 + (12g - 12)/\nu$. If $\gamma(G) = 1$, then $\delta(G) \leq 6$ and equality holds if and only if G is a triangulation of the torus and 6-regular (see [16, Proposition 2.1]). If R is a finite ring with toroidal total graph, then $\delta(T(\Gamma(R))) \leq 6$, and by Lemma 1.1 $\delta(T(\Gamma(R))) = |Z(R)|$ or $|Z(R)| - 1$. Thus, we conclude that $|Z(G)| \leq 7$.

(a) Let R be a local ring. If $2 \in Z(R)$, then $T(\Gamma(R))$ is a disjoint union of copies of the complete graph K_n , where $|Z(R)| = n$. Hence, $5 \leq n \leq 7$. But, in this case, $|Z(R)|$ is a power of 2, and thus there are no such local rings. Now suppose that $2 \notin Z(R)$. Then $T(\Gamma(R)) \cong K_n \cup ((m - 1)/2)K_{n,n}$, where $n = |Z(R)|$ and $m = |R/Z(R)|$. Thus, $3 \leq n \leq 4$, and since $2 \notin Z(R)$, we must have $n = 3$. There are two local rings, \mathbb{Z}_9 and $\mathbb{Z}_3[X]/(X^2)$, such that the cardinality of the set of zero-divisors is 3; both of these rings have total graph $K_3 \cup K_{3,3}$ which is toroidal.

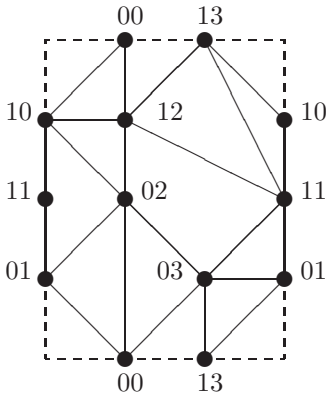
(b) Assume that R is not a local ring. Since $|Z(R)| \leq 7$, we have the following candidates for R by Theorem 1.5 (b):

$$\mathbb{Z}_2 \times \mathbf{F}_4, \mathbb{Z}_2 \times \mathbb{Z}_4, \mathbb{Z}_2 \times \frac{\mathbb{Z}_2[x]}{(x^2)},$$

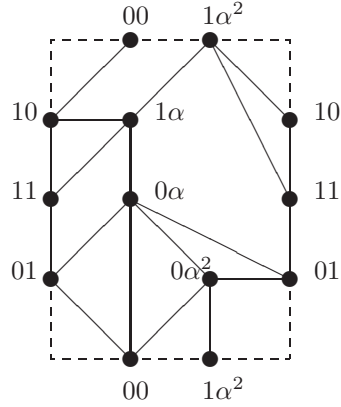
$$\mathbb{Z}_2 \times \mathbb{Z}_5, \mathbb{Z}_3 \times \mathbb{Z}_3, \mathbb{Z}_3 \times \mathbf{F}_4, \mathbf{F}_4 \times \mathbf{F}_4, \mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_2.$$

By Theorem 1.5, $\gamma(T(\Gamma(\mathbb{Z}_2 \times \mathbf{F}_4)))$, $\gamma(T(\Gamma(\mathbb{Z}_2 \times \mathbb{Z}_4)))$, $\gamma(T(\Gamma(\mathbb{Z}_3 \times \mathbb{Z}_3)))$ are all at least 1. The embeddings in Figure 1, parts (a), (b) and (c), show explicitly that $\gamma(T(\Gamma(\mathbb{Z}_2 \times \mathbf{F}_4))) = \gamma(T(\Gamma(\mathbb{Z}_2 \times \mathbb{Z}_4))) = \gamma(T(\Gamma(\mathbb{Z}_3 \times \mathbb{Z}_3))) = 1$. Since $T(\Gamma(\mathbb{Z}_2 \times \mathbb{Z}_2[x]/(x^2))) \cong T(\Gamma(\mathbb{Z}_2 \times \mathbb{Z}_4))$, then $\gamma(T(\Gamma(\mathbb{Z}_2 \times \mathbb{Z}_2[x]/(x^2)))) = 1$.

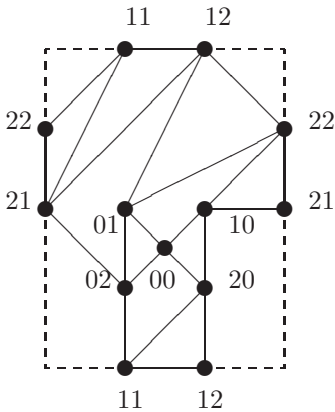
If we partition the elements of $\mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_2$ by the four sets $V_1 = \{(0, 0, 0), (1, 1, 1)\}$, $V_2 = \{(1, 0, 0), (0, 1, 1)\}$, $V_3 = \{(0, 1, 0), (1, 0, 1)\}$ and $V_4 = \{(0, 0, 1), (1, 1, 0)\}$, it is clear that $T(\Gamma(\mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_2)) = K_{2,2,2,2}$. Hence, $\gamma(\mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_2) = 1$ by [9, Corollary 4].



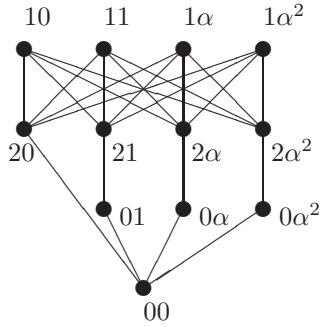
(a) Embedding of $\mathbb{Z}_2 \times \mathbb{Z}_4$



(b) Embedding of $\mathbb{Z}_2 \times \mathbb{F}_4$



(c) Embedding of $\mathbb{Z}_3 \times \mathbb{Z}_3$



(d) A subgraph of $T(\Gamma(\mathbb{Z}_3 \times \mathbb{F}_4))$ that is a subdivision of $K_{5,4}$

FIGURE 1. Embeddings in the torus and a subgraph of $T(\Gamma(\mathbb{Z}_3 \times \mathbb{F}_4))$.

By Lemma 1.3, $\gamma(T(\Gamma(\mathbf{Z}_2 \times \mathbf{Z}_5))) \geq 2$ and thus is not toroidal. By (the proof of) Lemma 1.3, $\gamma(T(\Gamma(\mathbf{F}_4 \times \mathbf{F}_4))) \geq 2\gamma(T(\Gamma(\mathbf{Z}_2 \times \mathbf{F}_4)))$, and so by Theorem 1.5, $T(\Gamma(\mathbf{F}_4 \times \mathbf{F}_4))$ is not toroidal.

Finally, Figure 1 (d) shows a subgraph of $T(\Gamma(\mathbf{Z}_3 \times \mathbf{F}_4))$ that is a subdivision of $K_{5,4}$, and thus by Theorem 1.2, $\gamma(T(\Gamma(\mathbf{Z}_3 \times \mathbf{F}_4))) \geq 2$. \square

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DEPARTMENT OF MATHEMATICS, SHAHID RAJAEI TEACHER TRAINING UNIVERSITY, TEHRAN, IRAN AND INSTITUTE FOR RESEARCH IN FUNDAMENTAL SCIENCES (IPM), TEHRAN, IRAN

Email address: maimani@ipm.ir

MATHEMATICS DEPARTMENT, MISSOURI STATE UNIVERSITY, SPRINGFIELD, MO 65897

Email address: cwickham@missouristate.edu

DEPARTMENT OF MATHEMATICS, UNIVERSITY OF TEHRAN, TEHRAN, IRAN AND INSTITUTE FOR RESEARCH IN FUNDAMENTAL SCIENCES (IPM), TEHRAN, IRAN

Email address: yassemi@ipm.ir