

## CONSTRUCTION OF BIHOLOMORPHIC CONVEX MAPPINGS ON $D_p$ IN $C^n$

MING-SHENG LIU AND YU-CAN ZHU

**ABSTRACT.** In this paper, we first prove some sufficient conditions for the biholomorphic convex mappings on the Reinhardt domain  $D_p$  ( $p_j \geq 2$ ,  $j = 1, \dots, n$ ) in  $C^n$ . From these, we construct some concrete examples of biholomorphic convex mappings on the Reinhardt domain  $D_p$  ( $p_j \geq 2$ ,  $j = 1, \dots, n$ ). We also introduce a linear operator and a subclass of biholomorphic convex mappings for the purpose of constructing some biholomorphic convex mappings on  $D_p$  in  $C^n$ .

Let  $C^n$  be the vector space of  $n$ -complex variables  $z = (z_1, z_2, \dots, z_n)$  with the usual inner product  $\langle z, w \rangle = \sum_{j=1}^n z_j \bar{w}_j$ , where  $w = (w_1, w_2, \dots, w_n) \in C^n$ . Suppose that  $D$  is a domain in  $C^n$ . If, for every  $z \in D$ ,  $\lambda \in C$  and  $|\lambda| \leq 1$ , we have  $\lambda z \in D$ , then we call  $D$  a balanced domain. The *Minkowski functional* of a balanced domain  $D$  is defined by

$$\rho(z) = \inf \left\{ t > 0, \frac{z}{t} \in D \right\}, \quad z \in C^n.$$

Suppose that  $D$  is a bounded convex balanced domain in  $C^n$  and  $\rho(z)$  is the Minkowski functional of  $D$ . Then  $\rho(\bullet)$  is a norm of  $C^n$ , and  $D = \{z \in C^n : \rho(z) < 1\}$ ,  $\rho(\lambda z) = |\lambda| \rho(z)$ , where  $\lambda \in C$ ,  $z \in C^n$  and  $\rho(z) = 0$  if and only if  $z = 0$ , see [15].

Assume  $p_j > 1$ ,  $j = 1, 2, \dots, n$ . Let  $D_p = \{(z_1, z_2, \dots, z_n) \in C^n : \sum_{j=1}^n |z_j|^{p_j} < 1\}$ . Then  $D_p$  is a bounded convex balanced domain in

---

2000 AMS *Mathematics subject classification.* Primary 32H05, 30C45.

*Keywords and phrases.* Biholomorphic convex mapping, Reinhardt domain, Minkowski functional.

This research is partly supported by the National Natural Science Foundation of China (No. 10471048), the Natural Science Foundation of Fujian Province, China (No. Z0511013) and the Education Commission Foundation of Fujian Province, China (No. JB04038).

Received by the editors on June 21, 2005, and in revised form on January 8, 2006.

DOI:10.1216/RMJ-2009-39-3-853 Copyright ©2009 Rocky Mountain Mathematics Consortium

$C^n$ , and the Minkowski functional  $\rho(z)$  of  $D_p$  satisfies

$$(1) \quad \sum_{j=1}^n \left| \frac{z_j}{\rho(z)} \right|^{p_j} = 1.$$

When  $p_1 = p_2 = \cdots = p_n = p$ , we denote  $D_p$  by  $B_p^n$ . At this time,

$$\rho(z) = \sqrt[p]{|z_1|^p + |z_2|^p + \cdots + |z_n|^p}.$$

In particular, let  $\Delta = B_p^1$  be the unit disc in the complex plane  $C$ .

Let  $H(D_p)$  be the class of  $f : D_p \rightarrow C^n$ , which are holomorphic mappings on Reinhardt domain  $D_p$  in  $C^n$ . The first Fréchet derivative and the second Fréchet derivative of a mapping  $f \in H(D_p)$  at point  $z$  are denoted by  $Df(z)(\cdot)$  and  $D^2f(z)(b, \cdot)$ , respectively. Their matrix representations are

$$Df(z) = \left( \frac{\partial f_j(z)}{\partial z_k} \right)_{1 \leq j, k \leq n}, \quad D^2f(z)(b, \cdot) = \left( \sum_{l=1}^n \frac{\partial^2 f_j(z)}{\partial z_k \partial z_l} b_l \right)_{1 \leq j, k \leq n},$$

where  $f(z) = (f_1(z), \dots, f_n(z))$  and  $b = (b_1, \dots, b_n) \in C^n$ . A mapping  $f \in H(D_p)$  is said to be locally biholomorphic on  $D_p$  if  $f$  has a local inverse at each point  $z \in D_p$  or, equivalently, if  $\det Df(z) \neq 0$  at each point on  $D_p$ .

Let  $N(D_p)$  denote the class of all locally biholomorphic mappings  $f : D_p \rightarrow C^n$  such that  $f(0) = 0, Df(0) = I$ , where  $I$  is the unit matrix of  $n \times n$ . If  $f \in N(D_p)$  is a biholomorphic mapping on  $D_p$  and  $f(D_p)$  is a convex domain in  $C^n$ , then we say that  $f$  is a biholomorphic convex mapping on  $D_p$ . The class of all biholomorphic convex mappings on  $D_p$  with  $f(0) = 0, Df(0) = I$ , is denoted by  $K(D_p)$ . In particular, we let  $K = K(\Delta), N(\Delta) = N(B_p^1)$ . Then

$$N(\Delta) = \{f : \Delta \rightarrow C \text{ is analytic and } f'(z) \neq 0 \text{ for } z \in \Delta \text{ with } f(0) = f'(0) - 1 = 0\},$$

$$f \in K \iff f \in N(\Delta) \quad \text{and} \quad \operatorname{Re} \left\{ \frac{zf''(z)}{f'(z)} + 1 \right\} > 0, \quad \text{for all } z \in \Delta.$$

It is not usually easy to construct concrete biholomorphic convex mappings on some domains in  $C^n$ , which is an important content in the study about the geometric function theory of several complex variables, even if on the unit ball  $B_2^n$ . Until a few years ago, we only knew a few concrete examples about convex mappings on  $B_2^n$ . Roper and Suffridge [13] proved that: If  $f \in K$  and  $F(z_1, z_2) = (f(z_1), \sqrt{f'(z_1)}z_2)$ , then  $F \in K(B_2^2)$ . From this, we may construct a lot of concrete examples about biholomorphic convex mappings on  $B_2^2$ . However, its proof is very complex; Graham and Kohr and others [4–9] gave a simplified proof of the theorem of Roper and Suffridge and studied the other properties of the Roper-Suffridge operator. Roper and Suffridge [14] also gave two concrete examples of biholomorphic convex mappings on  $B_2^2$ . Moreover, Gong and Liu [2, 3] generalized the Roper-Suffridge operator to the Reinhardt domain  $D_p = \{z = (z_1, z_2, \dots, z_n) \in C^n : \sum_{j=1}^n |z_j|^{p_j} < 1\}$ , where  $p_1 = 2, p_2 \geq 1, p_3 \geq 1, \dots, p_n \geq 1$ . Recently, we generalized the Roper-Suffridge operator to Banach spaces in [10, 18, 19]. But, according to the result in [1, 11], none of these concrete examples belongs to  $K(D_p)(p_j > 2, j = 1, 2, \dots, n)$ . Hence, at present, the concrete examples about biholomorphic convex mappings on  $D_p(p_j > 2, j = 1, 2, \dots, n)$  are scarce. It is rather hard to construct concrete examples of biholomorphic convex mappings on  $D_p$ . The purpose of this paper is to prove some sufficient conditions for biholomorphic convex mappings on  $D_p$ . From these, we construct some concrete biholomorphic convex mappings on the Reinhardt domain  $D_p, p_j \geq 2, j = 1, \dots, n$ . In order to derive our main results, we need the following lemma, which easily follows from [16, Theorem 3 and Corollary 2]. Also, please refer to [6, Theorem 6.3.9].

**Lemma 1.** *Suppose that  $p_j \geq 2, j = 1, 2, \dots, n, \rho(z)$  is the Minkowski functional of  $D_p$ , and  $f \in N(D_p)$ . Then  $f \in K(D_p)$  if and only if for any  $z = (z_1, z_2, \dots, z_n) \in D_p \setminus \{0\}$  and  $b = (b_1, b_2, \dots, b_n) \in C^n \setminus \{0\}$  such that*

$$\operatorname{Re} \left\{ \sum_{j=1}^n p_j \left| \frac{z_j}{\rho(z)} \right|^{p_j} \frac{b_j}{z_j} \right\} = 0,$$

we have

$$J_f(z, b) = \operatorname{Re} \left\{ \sum_{j=1}^n \frac{p_j^2}{2} \frac{|z_j|^{p_j-2}}{\rho(z)^{p_j}} |b_j|^2 + \sum_{j=1}^n p_j \left( \frac{p_j}{2} - 1 \right) \left| \frac{z_j}{\rho(z)} \right|^{p_j} \left( \frac{b_j}{z_j} \right)^2 \right\}$$

$$\begin{aligned}
& -2 \sum_{j=1}^n \frac{p_j}{\rho(z)} \left| \frac{z_j}{\rho(z)} \right|^{p_j} \left\langle Df(z)^{-1} D^2 f(z)(b, b), \frac{\partial \rho}{\partial \bar{z}} \right\rangle \\
& \geq 0.
\end{aligned}$$

**Theorem 1.** *Suppose that  $n \geq 2$ ,  $p_j \geq 2$ ,  $j = 1, 2, \dots, n$ , and  $g_j : \Delta \rightarrow C$  are analytic on  $\Delta$  with  $g_j(0) = g'_j(0) = 0$ ,  $j = 1, 2, \dots, n-1$ ,  $f_j(\zeta) \in N(\Delta)$  satisfy the conditions  $|\zeta f''_j(\zeta)| \leq |f'_j(\zeta)|$ ,  $\zeta \in \Delta$ ,  $j = 1, 2, \dots, n$ . Let*

$$\begin{aligned}
f(z) = & (f_1(z_1) + g_1(z_n), f_2(z_2) + g_2(z_n), \dots, \\
& f_{n-1}(z_{n-1}) + g_{n-1}(z_n), f_n(z_n)).
\end{aligned}$$

*If, for any  $z = (z_1, \dots, z_n) \in D_p \setminus \{0\}$ , the inequality*

$$\sum_{j=1}^{n-1} p_j \left[ \left| \frac{g'_j(z_n)}{f'_j(z_j)} \right| + \left| \frac{g'_j(z_n)}{f'_j(z_j)} \right| \left| \frac{f''_n(z_n)}{f'_n(z_n)} \right| \right] \leq p_n \left( 1 - \left| \frac{z_n f''_n(z_n)}{f'_n(z_n)} \right| \right) |z_n|^{p_n-2}$$

*holds, then  $f \in K(D_p)$ .*

*Proof.* By direct computation of the Fréchet derivatives of  $f(z)$ , we have

$$\begin{aligned}
Df(z) = & \begin{pmatrix} f'_1(z_1) & 0 & \cdots & 0 & g'_1(z_n) \\ 0 & f'_2(z_2) & \cdots & 0 & g'_2(z_n) \\ \cdots & \cdots & \cdots & \cdots & \cdots \\ 0 & 0 & \cdots & f'_{n-1}(z_{n-1}) & g'_{n-1}(z_n) \\ 0 & 0 & \cdots & 0 & f'_n(z_n) \end{pmatrix}, \\
Df(z)^{-1} = & \begin{pmatrix} 1/(f'_1(z_1)) & 0 & \cdots & 0 & \cdots \\ 0 & 1/(f'_2(z_2)) & \cdots & 0 & \cdots \\ \cdots & \cdots & \cdots & \cdots & \cdots \\ 0 & 0 & \cdots & 1/(f'_{n-1}(z_{n-1})) & \cdots \\ 0 & 0 & \cdots & \cdots & 0 \\ & & & & & - (g'_1(z_n))/(f'_1(z_1)f'_n(z_n)) \\ & & & & & - (g'_2(z_n))/(f'_2(z_2)f'_n(z_n)) \\ & & & & & \cdots \\ & & & & & - (g'_{n-1}(z_n))/(f'_{n-1}(z_{n-1})f'_n(z_n)) \\ & & & & & 1/(f'_n(z_n)) \end{pmatrix};
\end{aligned}$$

$$\begin{aligned}
 D^2f(z)(b, b) &= \begin{pmatrix} f_1''(z_1)b_1 & 0 & \cdots & 0 & g_1''(z_n)b_n \\ 0 & f_2''(z_2)b_2 & \cdots & 0 & g_2''(z_n)b_n \\ \cdots & \cdots & \cdots & \cdots & \cdots \\ 0 & 0 & \cdots & f_{n-1}''(z_{n-1})b_{n-1} & g_{n-1}''(z_n)b_n \\ 0 & 0 & \cdots & 0 & f_n''(z_n)b_n \end{pmatrix} \\
 &\times \begin{pmatrix} b_1 \\ b_2 \\ \cdots \\ b_{n-1} \\ b_n \end{pmatrix} = \begin{pmatrix} f_1''(z_1)b_1^2 + g_1''(z_n)b_n^2 \\ f_2''(z_2)b_2^2 + g_2''(z_n)b_n^2 \\ \cdots \\ f_{n-1}''(z_{n-1})b_{n-1}^2 + g_{n-1}''(z_n)b_n^2 \\ f_n''(z_n)b_n^2 \end{pmatrix}.
 \end{aligned}$$

According to (1) and  $|z_j|^{p_j} = z_j^{p_j/2} \overline{z_j}^{p_j/2}$ , direct computation yields

$$(2) \quad \frac{\partial \rho}{\partial \overline{z_l}} = \frac{p_l |z_l|^{p_l}}{2 \overline{z_l} \rho(z)^{p_l-1} \sum_{j=1}^n p_j |z_j / \rho(z)|^{p_j}}.$$

Fix  $z = (z_1, z_2, \dots, z_n) \in D_p \setminus \{0\}$  and  $b = (b_1, b_2, \dots, b_n) \in C^n \setminus \{0\}$  such that

$$\operatorname{Re} \left\{ \sum_{j=1}^n p_j \left| \frac{z_j}{\rho(z)} \right|^{p_j} \frac{b_j}{z_j} \right\} = 0.$$

From (1), we have  $|z_j / \rho(z)| \leq 1$ ,  $j = 1, 2, \dots, n$ , for all  $z = (z_1, z_2, \dots, z_n) \in D_p$ . Notice that  $p_j \geq 2$ ,  $j = 1, 2, \dots, n$ ; by the hypotheses of Theorem 1, we obtain

$$\begin{aligned}
 J_f(z, b) &\geq \sum_{j=1}^n p_j \frac{|z_j|^{p_j-2}}{\rho(z)^{p_j}} |b_j|^2 - 2 \sum_{j=1}^n \frac{p_j}{\rho(z)} \left| \frac{z_j}{\rho(z)} \right|^{p_j} \\
 &\quad \times \operatorname{Re} \left\langle Df(z)^{-1} D^2f(z)(b, b), \frac{\partial \rho}{\partial \overline{z}} \right\rangle \\
 &= \sum_{j=1}^n p_j \frac{|z_j|^{p_j-2}}{\rho(z)^{p_j}} |b_j|^2 - \operatorname{Re} \left\{ \sum_{j=1}^{n-1} \left[ \frac{f_j''(z_j)}{f_j'(z_j)} b_j^2 + \frac{g_j''(z_n)}{f_j'(z_j)} b_n^2 \right. \right. \\
 &\quad \left. \left. - \frac{g_j'(z_n) f_n''(z_n) b_n^2}{f_j'(z_j) f_n'(z_n)} \right] \frac{p_j |z_j|^{p_j}}{z_j \rho(z)^{p_j}} + \frac{f_n''(z_n)}{f_n'(z_n)} \frac{p_n |z_n|^{p_n}}{z_n \rho(z)^{p_n}} b_n^2 \right\} \\
 &\geq \sum_{j=1}^{n-1} |b_j|^2 p_j \frac{|z_j|^{p_j-2}}{\rho(z)^{p_j}} \left[ 1 - \left| \frac{z_j f_j''(z_j)}{f_j'(z_j)} \right| \right]
 \end{aligned}$$

$$\begin{aligned}
& + |b_n|^2 \left[ \left( 1 - \left| \frac{z_n f_n''(z_n)}{f_n'(z_n)} \right| \right) \frac{p_n |z_n|^{p_n-2}}{\rho(z)^{p_n}} \right. \\
& - \sum_{j=1}^{n-1} \left| \frac{g_j''(z_n)}{f_j'(z_j)} \right| \frac{p_j}{|z_j|} \cdot \left| \frac{z_j}{\rho(z)} \right|^{p_j} \\
& - \sum_{j=1}^{n-1} \left| \frac{g_j'(z_n)}{f_j'(z_j)} \right| \left| \frac{f_n''(z_n)}{f_n'(z_n)} \right| \frac{p_j}{|z_j|} \cdot \left| \frac{z_j}{\rho(z)} \right|^{p_j} \Big] \\
& = \sum_{j=1}^{n-1} |b_j|^2 p_j \frac{|z_j|^{p_j-2}}{\rho(z)^{p_j}} \left[ 1 - \left| \frac{z_j f_j''(z_j)}{f_j'(z_j)} \right| \right] \\
& + |b_n|^2 \left[ \left( 1 - \left| \frac{z_n f_n''(z_n)}{f_n'(z_n)} \right| \right) \frac{p_n |z_n|^{p_n-2}}{\rho(z)^{p_n}} \right. \\
& - \sum_{j=1}^{n-1} \left| \frac{g_j''(z_n)}{f_j'(z_j)} \right| \frac{p_j}{\rho(z)} \cdot \left| \frac{z_j}{\rho(z)} \right|^{p_j-1} \\
& - \sum_{j=1}^{n-1} \left| \frac{g_j'(z_n)}{f_j'(z_j)} \right| \left| \frac{f_n''(z_n)}{f_n'(z_n)} \right| \frac{p_j}{\rho(z)} \cdot \left| \frac{z_j}{\rho(z)} \right|^{p_j-1} \Big] \\
& \geq \frac{|b_n|^2}{\rho(z)^{p_n}} \left\{ p_n \left( 1 - \left| \frac{z_n f_n''(z_n)}{f_n'(z_n)} \right| \right) |z_n|^{p_n-2} \right. \\
& \quad \left. - \sum_{j=1}^{n-1} p_j \left[ \left| \frac{g_j''(z_n)}{f_j'(z_j)} \right| + \left| \frac{g_j'(z_n)}{f_j'(z_j)} \right| \left| \frac{f_n''(z_n)}{f_n'(z_n)} \right| \right] \cdot \rho(z)^{p_n-1} \right\}.
\end{aligned}$$

Since  $p_n \geq 2$  and  $0 < \rho(z) < 1$ , for every  $z \in D_p \setminus \{0\}$ , then we have that  $\rho(z)^{p_n-1} < 1$  for all  $z \in D_p$ , so

$$\begin{aligned}
J_f(z, b) & \geq \frac{|b_n|^2}{\rho(z)^{p_n}} \left\{ p_n \left( 1 - \left| \frac{z_n f_n''(z_n)}{f_n'(z_n)} \right| \right) |z_n|^{p_n-2} \right. \\
& \quad \left. - \sum_{j=1}^{n-1} p_j \left[ \left| \frac{g_j''(z_n)}{f_j'(z_j)} \right| + \left| \frac{g_j'(z_n)}{f_j'(z_j)} \right| \left| \frac{f_n''(z_n)}{f_n'(z_n)} \right| \right] \right\} \geq 0.
\end{aligned}$$

Hence, by Lemma 1, we obtain  $f \in K(D_p)$ , and the proof is complete.  $\square$

Setting  $g_j(\zeta) \equiv 0$ ,  $j = 1, 2, \dots, n-1$ , in Theorem 1, we have the following corollary.

**Corollary 1.** *Suppose that  $p_j \geq 2, j = 1, 2, \dots, n$ , and  $f_j(\zeta) \in N(\Delta)$  with  $|\zeta f_j''(\zeta)| \leq |f_j'(\zeta)|, \zeta \in \Delta, j = 1, 2, \dots, n$ . Let*

$$f(z) = (f_1(z_1), f_2(z_2), \dots, f_{n-1}(z_{n-1}), f_n(z_n)).$$

*Then  $f \in K(D_p)$ .*

*Remark 1.* Setting  $n = 2, p_j \equiv p, j = 1, 2$ , in Corollary 1, we get Theorem 4.1 in [12]. Setting  $p_j \equiv p, j = 1, 2, \dots, n$ , in Corollary 1, we get Theorem 4 in [17].

**Corollary 2.** *Suppose that  $n \geq 2, p_j \geq 2, j = 1, 2, \dots, n$ . Let*

$$HK = \left\{ f : f(z) = z + \sum_{k=2}^{\infty} a_k z^k \text{ is analytic in } \Delta, \text{ and } \sum_{k=2}^{\infty} k^2 |a_k| \leq 1 \right\}.$$

*If  $f_j \in HK, j = 1, 2, \dots, n$ , then*

$$f(z) = (f_1(z_1), f_2(z_2), \dots, f_n(z_n)) \in K(D_p).$$

*Proof.* Assume  $g \in HK$ ; then we have  $g(z) = z + \sum_{k=2}^{\infty} a_k z^k$  and

$$\begin{aligned} 0 \leq |zg''(z)| &= \left| \sum_{k=2}^{\infty} k(k-1)a_k z^{k-1} \right| \\ &\leq \sum_{k=2}^{\infty} k(k-1)|a_k||z|^{k-1} \\ &< \sum_{k=2}^{\infty} k^2|a_k| - \sum_{k=2}^{\infty} k|a_k||z|^{k-1} \\ &\leq 1 - \sum_{k=2}^{\infty} k|a_k||z|^{k-1} \leq |g'(z)| \end{aligned}$$

for all  $z \in \Delta$ . Hence, by Corollary 1, we obtain  $f(z) \in K(D_p)$ , and the proof is complete.  $\square$

**Example 1.** Suppose that  $p_j \geq 2$ ,  $0 < |\lambda_j| \leq 1$ ,  $f_j(z_j) = (e^{\lambda_j z_j} - 1)/\lambda_j \in N(\Delta)$ ,  $j = 1, \dots, n$ ; then

$$0 \leq |z_j f_j''(z_j)| = |\lambda_j z_j f_j'(z_j)| < |f_j'(z_j)|, \quad z_j \in \Delta;$$

hence, by Corollary 1, we obtain

$$f(z) = \left( \frac{e^{\lambda_1 z_1} - 1}{\lambda_1}, \frac{e^{\lambda_2 z_2} - 1}{\lambda_2}, \dots, \frac{e^{\lambda_n z_n} - 1}{\lambda_n} \right) \in K(D_p).$$

**Example 2.** Suppose that  $n \geq 2$ ,  $p_j \geq 2$ ,  $j = 1, 2, \dots, n$ ,  $0 < |\lambda| \leq 1$ , and  $k$  is a positive integer such that  $k < p_n \leq k + 1$ . Let

$$f(z) = \left( z_1 + a'_1 z_1^2 + a_1 z_n^{k+1} + b_1 z_n^{k+2}, \dots, \right. \\ \left. z_{n-1} + a'_{n-1} z_{n-1}^2 + a_{n-1} z_n^{k+1} + b_{n-1} z_n^{k+2}, \frac{e^{\lambda z_n} - 1}{\lambda} \right),$$

where  $c = \max\{|a'_j| : j = 1, 2, \dots, n-1\}$ . If  $c \leq 1/4$ , and

$$\frac{k+1}{1-2c} \sum_{j=1}^{n-1} p_j [k|a_j| + (k+2)|b_j|] \\ + \frac{|\lambda|}{1-2c} \sum_{j=1}^{n-1} p_j [(k+1)|a_j| + (k+2)|b_j|] \leq p_n(1-|\lambda|),$$

then  $f(z) \in K(D_p)$ .

*Proof.* Set  $f_n(z_n) = (e^{\lambda z_n} - 1)/\lambda$ ,  $f_j(\xi) = \xi + a'_j \xi^2$ ,  $g_j(\xi) = a_j \xi^{k+1} + b_j \xi^{k+2}$ ,  $j = 1, 2, \dots, n-1$ . Then

$$f'_n(z_n) = e^{\lambda z_n}, \quad f''_n(z_n) = \lambda f'_n(z_n), \quad f'_j(\xi) = 1 + 2a'_j \xi, \quad f''_j(\xi) = 2a'_j,$$

$$g'_j(\xi) = (k+1)a_j \xi^k + (k+2)b_j \xi^{k+1},$$

$$g''_j(\xi) = (k+1)k a_j \xi^{k-1} + (k+2)(k+1)b_j \xi^k;$$



thus, it follows from  $c = \max\{|a'_j| : j = 1, 2, \dots, n - 1\} \leq 1/4$  that  $|f'_j(z_j)| \geq 1 - 2c > 0, j = 1, 2, \dots, n$ . Simple computation yields

$$|z_j f''_j(z_j)| = 2|a'_j| |z_j| < 1 - 2|a'_j| |z_j| \leq |f'_j(z_j)|, \quad j = 1, 2, \dots, n - 1,$$

and

$$\left| \frac{z_n f''_n(z_n)}{f'_n(z_n)} \right| = |\lambda| |z_n| < |\lambda| \leq 1.$$

According to the hypotheses, therefore, we have

$$\begin{aligned} & \sum_{j=1}^{n-1} p_j \left[ \left| \frac{g''_j(z_n)}{f'_j(z_j)} \right| + \left| \frac{g'_j(z_n)}{f'_j(z_j)} \right| \left| \frac{f''_n(z_n)}{f'_n(z_n)} \right| \right] \\ & \leq \frac{k+1}{1-2c} \sum_{j=1}^{n-1} p_j [k|a_j| + (k+2)|b_j|] |z_n|^{k-1} \\ & \quad + \frac{|\lambda|}{1-2c} \sum_{j=1}^{n-1} p_j [(k+1)|a_j| + (k+2)|b_j|] |z_n|^k \\ & \leq p_n (1 - |\lambda|) |z_n|^{k-1} = p_n (1 - |\lambda|) |z_n|^{p_n-2} |z_n|^{k+1-p_n} \\ & \leq p_n \left( 1 - \left| \frac{z_n f''_n(z_n)}{f'_n(z_n)} \right| \right) |z_n|^{p_n-2}. \end{aligned}$$

Hence, by Theorem 1, we obtain  $f \in K(D_p)$ , and the proof is complete.  $\square$

**Example 3.** Suppose that  $n \geq 2, p_j \geq 2, j = 1, 2, \dots, n$ , and  $k$  is a positive integer such that  $k < p_n \leq k + 1$ . Let

$$\begin{aligned} f(z) = & (z_1 + a'_1 z_1^2 + a_1 z_n^{k+1} + b_1 z_n^{k+2}, \dots, \\ & z_{n-1} + a'_{n-1} z_{n-1}^2 + a_{n-1} z_n^{k+1} + b_{n-1} z_n^{k+2}, z_n + a'_n z_n^2), \end{aligned}$$

where  $c = \max\{|a'_j| : j = 1, 2, \dots, n\}$ . If  $c \leq 1/4$ , and

$$\begin{aligned} & \frac{k+1}{1-2c} \sum_{j=1}^{n-1} p_j [k|a_j| + (k+2)|b_j|] \\ & \quad + \frac{2c}{(1-2c)^2} \sum_{j=1}^{n-1} p_j [(k+1)|a_j| + (k+2)|b_j|] \\ & \leq \frac{p_n(1-4c)}{1-2c}, \end{aligned}$$

then  $f(z) \in K(D_p)$ .

*Proof.* Set  $f_j(\xi) = \xi + a'_j \xi^2$ ,  $j = 1, \dots, n$ ,  $g_j(\xi) = a_j \xi^{k+1} + b_j \xi^{k+2}$ ,  $j = 1, 2, \dots, n-1$ . Then

$$\begin{aligned} f'_j(\xi) &= 1 + 2a'_j \xi, & f''_j(\xi) &= 2a'_j, \\ g'_j(\xi) &= (k+1)a_j \xi^k + (k+2)b_j \xi^{k+1}, \\ g''_j(\xi) &= (k+1)ka_j \xi^{k-1} + (k+2)(k+1)b_j \xi^k; \end{aligned}$$

thus, it follows from  $c = \max\{|a'_j| : j = 1, 2, \dots, n\} \leq 1/4$  that for any  $|z_j| < 1$ ,  $j = 1, 2, \dots, n$ , we have

$$|f'_j(z_j)| \geq 1 - 2c > 0.$$

Simple computation yields

$$|z_j f''_j(z_j)| = 2|a'_j||z_j| < 1 - 2|a'_j||z_j| \leq |f'_j(z_j)|,$$

and

$$\left| \frac{z_n f''_n(z_n)}{f'_n(z_n)} \right| \leq \frac{2c|z_n|}{1-2c} \leq \frac{2c}{1-2c} \leq 1.$$

According to the hypotheses, therefore, we have

$$\begin{aligned} & \sum_{j=1}^{n-1} p_j \left[ \left| \frac{g''_j(z_n)}{f'_j(z_j)} \right| + \left| \frac{g'_j(z_n)}{f'_j(z_j)} \right| \left| \frac{f''_n(z_n)}{f'_n(z_n)} \right| \right] \\ & \leq \frac{k+1}{1-2c} \sum_{j=1}^{n-1} p_j [k|a_j| + (k+2)|b_j|] |z_n|^{k-1} \\ & \quad + \frac{2c}{(1-2c)^2} \sum_{j=1}^{n-1} p_j [(k+1)|a_j| + (k+2)|b_j|] |z_n|^k \\ & \leq \frac{p_n(1-4c)}{1-2c} |z_n|^{k-1} \\ & = \frac{p_n(1-4c)}{1-2c} |z_n|^{p_n-2} |z_n|^{k+1-p_n} \\ & \leq p_n \left( 1 - \frac{2c}{1-2c} \right) |z_n|^{p_n-2} \\ & \leq p_n \left( 1 - \left| \frac{z_n f''_n(z_n)}{f'_n(z_n)} \right| \right) |z_n|^{p_n-2}. \end{aligned}$$

Hence, by Theorem 1, we obtain  $f \in K(D_p)$ , and the proof is complete.  $\square$

**Example 4.** Suppose that  $n \geq 2, p_j \geq 2, 0 < |\lambda_j| < 1, j = 2, \dots, n$ , and  $k$  is a positive integer such that  $k < p_n \leq k + 1$ . Let

$$f(z) = \left( z_1 + az_n^{k+1}, \frac{e^{\lambda_2 z_2} - 1}{\lambda_2}, \dots, \frac{e^{\lambda_n z_n} - 1}{\lambda_n} \right).$$

If  $|a| \leq (p_n(1 - |\lambda_n|))/(p_1(k + 1)(k + |\lambda_n|))$ , then  $f(z) \in K(D_p)$ .

Setting  $p_j \equiv p, j = 1, 2, \dots, n$ , in Theorem 1, we have the following corollary.

**Corollary 3.** *Suppose that  $n \geq 2, p \geq 2$ , and  $g_j : \Delta \rightarrow C$  are analytic on  $\Delta$  with  $g_j(0) = g'_j(0) = 0, j = 1, 2, \dots, n - 1, f_j(\zeta) \in N(\Delta)$  satisfy the conditions  $|\zeta f''_j(\zeta)| \leq |f'_j(\zeta)|, \zeta \in \Delta, j = 1, 2, \dots, n$ . Let*

$$f(z) = (f_1(z_1) + g_1(z_n), f_2(z_2) + g_2(z_n), \dots, f_{n-1}(z_{n-1}) + g_{n-1}(z_n), f_n(z_n)).$$

If, for any  $z = (z_1, \dots, z_n) \in B_p^n \setminus \{0\}$ , we have

$$\sum_{j=1}^{n-1} \left[ \left| \frac{g''_j(z_n)}{f'_j(z_j)} \right| + \left| \frac{g'_j(z_n)}{f'_j(z_j)} \right| \left| \frac{f''_n(z_n)}{f'_n(z_n)} \right| \right] \leq \left( 1 - \left| \frac{z_n f''_n(z_n)}{f'_n(z_n)} \right| \right) |z_n|^{p-2},$$

then  $f \in K(B_p^n)$ .

**Theorem 2.** *Suppose that  $n \geq 2, p_j \geq 2, j = 1, 2, \dots, n$ , and  $f_j \in N(\Delta), j = 2, 3, \dots, n, f_1(z_1, \dots, z_n) : D_p \rightarrow C$  is holomorphic with  $f_1(0, 0, \dots, 0) = 0, \partial f_1 / \partial z_1(0, 0, \dots, 0) = 1$ . Let*

$$f(z) = (f_1(z_1, z_2, \dots, z_n), f_2(z_2), \dots, f_n(z_n)),$$

where  $z = (z_1, z_2, \dots, z_n)$ . If, for any  $z = (z_1, \dots, z_n) \in D_p \setminus \{0\}$ , we have

$$\frac{\partial f_1}{\partial z_1} \neq 0, \quad \sum_{j=1}^n \left| z_1 \frac{\partial^2 f_1}{\partial z_1 \partial z_j} \right| \leq \left| \frac{\partial f_1}{\partial z_1} \right|,$$

$$\begin{aligned} & \left| \frac{[(\partial f_1)/(\partial z_j)] \cdot [(f_j''(z_j))/(f_j'(z_j))]}{(\partial f_1)/(\partial z_1)} \right| + \sum_{l=1}^n \left| \frac{[(\partial^2 f_1)/(\partial z_j \partial z_l)]}{(\partial f_1)/(\partial z_1)} \right| \\ & \leq \frac{p_j}{p_1} \left( 1 - \left| \frac{z_j f_j''(z_j)}{f_j'(z_j)} \right| \right) \cdot |z_j|^{p_j-2}, \quad j = 2, \dots, n; \end{aligned}$$

then  $f \in K(D_p)$ .

*Proof.* By direct computation of the Fréchet derivatives of  $f(z)$ , we have

$$Df(z) = \begin{pmatrix} \partial f_1/\partial z_1 & \partial f_1/\partial z_2 & \cdots & \partial f_1/\partial z_{n-1} & \partial f_1/\partial z_n \\ 0 & f_2'(z_2) & \cdots & 0 & 0 \\ \cdots & \cdots & \cdots & \cdots & \cdots \\ 0 & 0 & \cdots & f_{n-1}'(z_{n-1}) & 0 \\ 0 & 0 & \cdots & 0 & f_n'(z_n) \end{pmatrix},$$

$$Df(z)^{-1} = \begin{pmatrix} 1/[(\partial f_1)/(\partial z_1)] & -[(\partial f_1/\partial z_2)]/[(\partial f_1/\partial z_1)f_2'(z_2)] & \cdots & \cdots & \cdots \\ 0 & 1/(f_2'(z_2)) & \cdots & \cdots & \cdots \\ \cdots & \cdots & \cdots & \cdots & \cdots \\ 0 & 0 & \cdots & \cdots & \cdots \\ 0 & 0 & \cdots & \cdots & \cdots \\ -[(\partial f_1/\partial z_{n-1})]/[(\partial f_1/\partial z_1)f_{n-1}'(z_{n-1})] & -[(\partial f_1/\partial z_n)]/[(\partial f_1/\partial z_1)f_n'(z_n)] & \cdots & \cdots & \cdots \\ 0 & 0 & \cdots & \cdots & \cdots \\ \cdots & \cdots & \cdots & \cdots & \cdots \\ 1/(f_{n-1}'(z_{n-1})) & 0 & \cdots & \cdots & \cdots \\ 0 & 1/f_n'(z_n) & \cdots & \cdots & \cdots \end{pmatrix},$$

$$D^2 f(z)(b, b) = \begin{pmatrix} \sum_{l=1}^n (\partial^2 f_1 / \partial z_1 \partial z_l) b_l & \sum_{l=1}^n (\partial^2 f_1 / \partial z_2 \partial z_l) b_l \\ 0 & f_2''(z_2) b_2 \\ \dots & \dots \\ 0 & 0 \\ 0 & 0 \\ \dots & \sum_{l=1}^n (\partial^2 f_1 / \partial z_{n-1} \partial z_l) b_l & \sum_{l=1}^n (\partial^2 f_1 / \partial z_n \partial z_l) b_l \\ \dots & 0 & 0 \\ \dots & \dots & \dots \\ \dots & f_{n-1}''(z_{n-1}) b_{n-1} & 0 \\ \dots & 0 & f_n''(z_n) b_n \end{pmatrix} \\ \times \begin{pmatrix} b_1 \\ b_2 \\ \dots \\ b_{n-1} \\ b_n \end{pmatrix} = \begin{pmatrix} \sum_{k=1}^n \sum_{l=1}^n (\partial^2 f_1 / \partial z_k \partial z_l) b_k b_l \\ f_2''(z_2) b_2^2 \\ \dots \\ f_{n-1}''(z_{n-1}) b_{n-1}^2 \\ f_n''(z_n) b_n^2 \end{pmatrix}.$$

Fix  $z = (z_1, z_2, \dots, z_n) \in D_p \setminus \{0\}$  and  $b = (b_1, b_2, \dots, b_n) \in C^n \setminus \{0\}$  such that

$$\operatorname{Re} \left\{ \sum_{j=1}^n p_j \left| \frac{z_j}{\rho(z)} \right|^{p_j} \frac{b_j}{z_j} \right\} = 0.$$

From (1), we have  $|z_1/\rho(z)| \leq 1$ . Since  $p_j \geq 2, j = 1, 2, \dots, n$ , and  $0 < \rho(z) < 1$  for any  $z \in D_p \setminus \{0\}$ , then we have  $\rho(z)^{p_j-1} < 1$  for all  $z \in D_p$ . So, by (2) and the hypotheses of Theorem 2, we obtain

$$J_f(z, b) \geq \sum_{j=1}^n p_j \frac{|z_j|^{p_j-2}}{\rho(z)^{p_j}} |b_j|^2 \\ - 2 \sum_{j=1}^n \frac{p_j}{\rho(z)} \left| \frac{z_j}{\rho(z)} \right|^{p_j} \operatorname{Re} \left\langle Df(z)^{-1} D^2 f(z)(b, b), \frac{\partial \rho}{\partial \bar{z}} \right\rangle \\ = \sum_{j=1}^n p_j \frac{|z_j|^{p_j-2}}{\rho(z)^{p_j}} |b_j|^2 \\ - \operatorname{Re} \left\{ \frac{1}{\partial f_1 / \partial z_1} \left[ \sum_{k=1}^n \sum_{l=1}^n \frac{\partial^2 f_1}{\partial z_k \partial z_l} b_k b_l \right. \right. \\ \left. \left. - \sum_{k=2}^n \frac{\partial f_1}{\partial z_k} \frac{f_k''(z_k)}{f_k'(z_k)} b_k^2 \right] p_1 \frac{|z_1|^{p_1}}{z_1 \rho(z)^{p_1}} \right\}$$

$$\begin{aligned}
& + \sum_{j=2}^n \frac{f_j''(z_j)}{f_j'(z_j)} b_j^2 p_j \frac{|z_j|^{p_j}}{z_j \rho(z)^{p_j}} \Big\} \\
\geq & \sum_{j=1}^n p_j \frac{|z_j|^{p_j-2}}{\rho(z)^{p_j}} |b_j|^2 - \sum_{j=2}^n \left| \frac{f_j''(z_j)}{f_j'(z_j)} \right| |b_j|^2 p_j \frac{|z_j|^{p_j-1}}{\rho(z)^{p_j}} \\
& - \frac{1}{|\partial f_1 / \partial z_1|} \left[ \sum_{k=1}^n \sum_{l=1}^n \left| \frac{\partial^2 f_1}{\partial z_k \partial z_l} \right| |b_k| |b_l| \right. \\
& \left. + \sum_{k=2}^n \left| \frac{\partial f_1}{\partial z_k} \right| \left| \frac{f_k''(z_k)}{f_k'(z_k)} \right| |b_k|^2 \right] p_1 \frac{|z_1|^{p_1-1}}{\rho(z)^{p_1}} \\
\geq & \sum_{j=1}^n p_j \frac{|z_j|^{p_j-2}}{\rho(z)^{p_j}} |b_j|^2 - \sum_{j=2}^n \left| \frac{f_j''(z_j)}{f_j'(z_j)} \right| |b_j|^2 p_j \frac{|z_j|^{p_j-1}}{\rho(z)^{p_j}} \\
& - \frac{1}{|\partial f_1 / \partial z_1|} \left[ \sum_{k=1}^{n-1} \sum_{l=k+1}^n \left| \frac{\partial^2 f_1}{\partial z_k \partial z_l} \right| \cdot 2 |b_k| |b_l| \right. \\
& \left. + \sum_{k=1}^n \left| \frac{\partial^2 f_1}{\partial z_k^2} \right| |b_k|^2 + \sum_{k=2}^n \left| \frac{\partial f_1}{\partial z_k} \right| \left| \frac{f_k''(z_k)}{f_k'(z_k)} \right| |b_k|^2 \right] p_1 \frac{|z_1|^{p_1-1}}{\rho(z)^{p_1}} \\
\geq & \sum_{j=1}^n p_j \frac{|z_j|^{p_j-2}}{\rho(z)^{p_j}} |b_j|^2 - \sum_{j=2}^n \left| \frac{f_j''(z_j)}{f_j'(z_j)} \right| |b_j|^2 p_j \frac{|z_j|^{p_j-1}}{\rho(z)^{p_j}} \\
& - \frac{1}{|\partial f_1 / \partial z_1|} \left[ \sum_{k=1}^{n-1} \sum_{l=k+1}^n \left| \frac{\partial^2 f_1}{\partial z_k \partial z_l} \right| (|b_k|^2 + |b_l|^2) \right. \\
& \left. + \sum_{k=1}^n \left| \frac{\partial^2 f_1}{\partial z_k^2} \right| |b_k|^2 \right. \\
& \left. + \sum_{k=2}^n \left| \frac{\partial f_1}{\partial z_k} \right| \left| \frac{f_k''(z_k)}{f_k'(z_k)} \right| |b_k|^2 \right] p_1 \frac{|z_1|^{p_1-1}}{\rho(z)^{p_1}} \\
= & p_1 \frac{|z_1|^{p_1-2}}{\rho(z)^{p_1}} |b_1|^2 + \sum_{j=2}^n |b_j|^2 p_j \frac{|z_j|^{p_j-2}}{\rho(z)^{p_j}} \left[ 1 - \left| \frac{f_j''(z_j)}{f_j'(z_j)} \right| \right] \\
& - \frac{1}{|\partial f_1 / \partial z_1|} \left[ \sum_{k=1}^{n-1} \sum_{l=k+1}^n \left| \frac{\partial^2 f_1}{\partial z_k \partial z_l} \right| |b_k|^2 \right]
\end{aligned}$$

$$\begin{aligned}
 & + \sum_{l=2}^n \sum_{k=1}^{l-1} \left| \frac{\partial^2 f_1}{\partial z_k \partial z_l} \right| |b_l|^2 \\
 & + \sum_{k=1}^n \left| \frac{\partial^2 f_1}{\partial z_k^2} \right| |b_k|^2 + \sum_{k=2}^n \left| \frac{\partial f_1}{\partial z_k} \right| \left| \frac{f_k''(z_k)}{f_k'(z_k)} \right| |b_k|^2 \Big] p_1 \frac{|z_1|^{p_1-1}}{\rho(z)^{p_1}} \\
 \geq & p_1 \frac{|z_1|^{p_1-2}}{\rho(z)^{p_1}} |b_1|^2 \left[ 1 - \sum_{j=1}^n \left| \frac{z_1 \frac{\partial^2 f_1}{\partial z_1 \partial z_j}}{\partial f_1 / \partial z_1} \right| \right] \\
 & + \sum_{j=2}^n |b_j|^2 \left\{ p_j \frac{|z_j|^{p_j-2}}{\rho(z)^{p_j}} \left[ 1 - \left| \frac{z_j f_j''(z_j)}{f_j'(z_j)} \right| \right] \right. \\
 & - p_1 \frac{|z_1|^{p_1-1}}{\rho(z)^{p_1}} \left| \frac{(\partial f_1 / \partial z_j) \cdot (f_j''(z_j) / f_j'(z_j))}{\partial f_1 / \partial z_1} \right| \\
 & \left. - p_1 \frac{|z_1|^{p_1-1}}{\rho(z)^{p_1}} \sum_{k=1}^n \left| \frac{(\partial^2 f_1) / (\partial z_j \partial z_k)}{\partial f_1 / \partial z_1} \right| \right\} \\
 \geq & \sum_{j=2}^n \frac{p_1 |b_j|^2}{\rho(z)^{p_j}} \left\{ \frac{p_j}{p_1} \cdot |z_j|^{p_j-2} \left[ 1 - \left| \frac{z_j f_j''(z_j)}{f_j'(z_j)} \right| \right] \right. \\
 & \quad - \left| \frac{z_1}{\rho(z)} \right|^{p_1-1} \rho(z)^{p_j-1} \\
 & \quad \cdot \left[ \left| \frac{(\partial f_1 / \partial z_j) \cdot (f_j''(z_j) / f_j'(z_j))}{\partial f_1 / \partial z_1} \right| \right. \\
 & \quad \left. \left. + \sum_{k=1}^n \left| \frac{\partial^2 f_1 / (\partial z_j \partial z_k)}{\partial f_1 / \partial z_1} \right| \right] \right\} \\
 \geq & \sum_{j=2}^n \frac{p_1 |b_j|^2}{\rho(z)^{p_j}} \left\{ \frac{p_j}{p_1} \left[ 1 - \left| \frac{z_j f_j''(z_j)}{f_j'(z_j)} \right| \right] \cdot |z_j|^{p_j-2} \right. \\
 & \quad - \left[ \left| \frac{(\partial f_1 / \partial z_j) \cdot (f_j''(z_j) / f_j'(z_j))}{\partial f_1 / \partial z_1} \right| \right. \\
 & \quad \left. \left. + \sum_{k=1}^n \left| \frac{\partial^2 f_1 / (\partial z_j \partial z_k)}{\partial f_1 / \partial z_1} \right| \right] \right\} \geq 0.
 \end{aligned}$$

Hence, by Lemma 1, we obtain  $f \in K(D_p)$ , and the proof is complete.  $\square$

**Corollary 4.** *Suppose that  $n \geq 2, p_j \geq 2, j = 1, 2, \dots, n$ , and let*

$$f(z_1, z_2, \dots, z_n) = (f_1(z_1, z_2, \dots, z_n), z_2, \dots, z_n),$$

where  $f_1(z_1, \dots, z_n) : D_p \rightarrow C$  is holomorphic with  $f_1(0, 0, \dots, 0) = 0, \partial f_1 / \partial z_1(0, 0, \dots, 0) = 1$ . If for any  $z = (z_1, \dots, z_n) \in D_p \setminus \{0\}$ , we have

$$\frac{\partial f_1}{\partial z_1} \neq 0, \quad \sum_{l=1}^n \left| \frac{\partial^2 f_1}{\partial z_1 \partial z_l} \right| \leq \left| \frac{\partial f_1}{\partial z_1} \right|, \quad \sum_{l=1}^n \left| \frac{\partial^2 f_1}{\partial z_j \partial z_l} \right| \leq \frac{p_j}{p_1} \cdot \left| \frac{\partial f_1}{\partial z_1} \right| |z_j|^{p_j-2},$$

$$j = 2, \dots, n,$$

then  $f \in K(D_p)$ .

**Corollary 5.** *Suppose that  $n \geq 2, p_j \geq 2, j = 1, 2, \dots, n$ , and  $f_j \in N(\Delta)$  with  $|\zeta f_j''(\zeta)| \leq |f_j'(\zeta)|, j = 2, 3, \dots, n, \zeta \in \Delta, f_1(z_1, z_2) : \Delta \times \Delta \rightarrow C$  is holomorphic with  $f_1(0, 0) = 0, \partial f_1 / \partial z_1(0, 0) = 1$ . Let*

$$f(z) = (f_1(z_1, z_2), f_2(z_2), \dots, f_n(z_n)),$$

where  $z = (z_1, z_2, \dots, z_n)$ . If, for any  $z = (z_1, \dots, z_n) \in D_p \setminus \{0\}$ , we have

$$\frac{\partial f_1}{\partial z_1} \neq 0, \quad \sum_{j=1}^2 |z_1 \frac{\partial^2 f_1}{\partial z_1 \partial z_j}| \leq \left| \frac{\partial f_1}{\partial z_1} \right|,$$

$$\left| \frac{\partial f_1}{\partial z_2} \right| \cdot \left| \frac{f_2''(z_2)}{f_2'(z_2)} \right| + \sum_{l=1}^2 \left| \frac{\partial^2 f_1}{\partial z_2 \partial z_l} \right| \leq \frac{p_2}{p_1} \left| \frac{\partial f_1}{\partial z_1} \right| \left( 1 - \left| \frac{z_2 f_2''(z_2)}{f_2'(z_2)} \right| \right) \cdot |z_2|^{p_2-2},$$

then  $f \in K(D_p)$ .

**Example 5.** Suppose that  $n \geq 2, p_j \geq p_1 \geq 2, j = 2, \dots, n$ , and  $k_1, k_j$  are integers such that  $k_1 \geq 0, k_j < p_j \leq k_j + 1, j = 2, 3, \dots, n$ . Let

$$f(z) = \left( z_1 + \frac{a_1}{k_1 + 2} z_1^{k_1+2} + a_2 z_1 z_2^{k_2+1} + a_3 z_1 z_3^{k_3+1} + \dots \right. \\ \left. + a_n z_1 z_n^{k_n+1}, \quad z_2, \dots, z_n \right).$$

If  $\sum_{j=1}^n [(k_j + 1)^2 + 1] |a_j| \leq 1$ , then  $f(z) \in K(D_p)$ .



**Example 6.** Suppose that  $p_j \geq p_1 \geq 2, j = 2, \dots, n$ , and  $k_1, k_j$  are positive integers such that  $k_1 \geq 1, k_j < p_j \leq k_j + 1, j = 2, 3, \dots, n, k = \max_{1 \leq j \leq n} \{k_j\}$ . If

$$|a| \leq \left[ (k + 1) \sum_{j=1}^n (k_j + 1) \right]^{-1},$$

then

$$f(z) = \left( z_1 + az_1^{k_1+1}z_2^{k_2+1} \dots z_n^{k_n+1}, z_2, \dots, z_n \right) \in K(D_p).$$

*Proof.* Set  $f_1(z) = z_1 + az_1^{k_1+1}z_2^{k_2+1} \dots z_n^{k_n+1}$ . Then, for  $j = 1, 2, \dots, n$ , we have

$$\begin{aligned} \sum_{l=1}^n \left| \frac{\partial^2 f_1}{\partial z_1 \partial z_l} \right| &\leq (k_1 + 1)|a| \left[ \sum_{l=2}^n (k_l + 1)|z_1|^{k_1} + k_1|z_1|^{k_1-1} \right] \\ &\leq (k + 1)|a| \left[ \sum_{l=1}^n (k_l + 1) - 1 \right] |z_1|^{k_1-1} \\ &\leq [1 - (k + 1)|a|], \end{aligned}$$

$$\begin{aligned} \sum_{l=1}^n \left| \frac{\partial^2 f_1}{\partial z_j \partial z_l} \right| &\leq (k_j + 1)|a| \left[ \sum_{l \neq j}^n (k_l + 1)|z_j|^{k_j} + k_j|z_j|^{k_j-1} \right] \\ &\leq (k + 1)|a| \left[ \sum_{l=1}^n (k_l + 1) - 1 \right] |z_j|^{k_j-1} \\ &\leq [1 - (k + 1)|a|] |z_j|^{p_j-2} |z_j|^{k_j+1-p_j} \\ &\leq [1 - (k + 1)|a|] |z_j|^{p_j-2}, \quad j = 2, 3, \dots, n. \end{aligned}$$

Since  $|\partial f_1 / \partial z_1| > 1 - (k_1 + 1)|a| \geq 1 - (k + 1)|a|$  and  $p_j \geq p_1 \geq 2, j = 2, \dots, n$ , by Corollary 4, we obtain that  $f(z) = (z_1 + az_1^{k_1+1}z_2^{k_2+1} \dots z_n^{k_n+1}, z_2, \dots, z_n) \in K(D_p)$ , and the proof is complete.  $\square$

By applying Corollary 5, we may prove the following two examples.

**Example 7.** Suppose that  $p_j \geq 2$ ,  $j = 1, 2, \dots, n$ ,  $0 < |\lambda_2| < p_2/(p_1 + p_2)$ ,  $0 < |\lambda_j| \leq 1$ ,  $j = 3, \dots, n$ , and  $k_1, k_2$  are positive integers such that  $k_1 \geq 1$ ,  $k_2 < p_2 \leq k_2 + 1$ . If

$$|a| \leq \min \left\{ \frac{1}{(k_1 + 1)(k_1 + k_2 + 2)}, \frac{p_2 - (p_1 + p_2)|\lambda_2|}{p_1(k_2 + 1)(k_1 + k_2 + |\lambda_2| + 1) + p_2(k_1 + 1)(1 - |\lambda_2|)} \right\},$$

then

$$f(z) = \left( z_1 + az_1^{k_1+1}z_2^{k_2+1}, \frac{e^{\lambda_2 z_2} - 1}{\lambda_2}, \dots, \frac{e^{\lambda_n z_n} - 1}{\lambda_n} \right) \in K(D_p).$$

**Example 8.** Suppose that  $p_j \geq 2$ ,  $j = 1, 2, \dots, n$ , and  $k_1, k_2$  are positive integers such that  $k_1 \geq 1$ ,  $k_2 < p_2 \leq k_2 + 1$ . If  $f_j \in N(\Delta)$  with  $|\zeta f_j''(\zeta)| \leq |f_j'(\zeta)|$ ,  $j = 3, \dots, n$ ,  $\zeta \in \Delta$ , and

$$|a| \leq \min \left\{ \frac{1}{(k_1 + 1)(k_1 + k_2 + 2)}, \frac{p_2}{p_1(k_2 + 1)(k_1 + k_2 + 1) + p_2(k_1 + 1)} \right\},$$

then

$$f(z) = \left( z_1 + az_1^{k_1+1}z_2^{k_2+1}, z_2, f_3(z_3), \dots, f_n(z_n) \right) \in K(D_p).$$

*Remark 2.* Suppose that  $g_1, g_2, \dots, g_n \in N(\Delta)$ . Let

$$\Phi_n(g_1, g_2, \dots, g_n)(z) = (g_1(z_1), g_2(z_2), \dots, g_n(z_n)).$$

In general, if  $g_1(z_1), g_2(z_2), \dots, g_n(z_n) \in K(\Delta)$ , we cannot conclude that

$$\Phi_n(g_1, g_2, \dots, g_n)(z) = (g_1(z_1), g_2(z_2), \dots, g_n(z_n)) \in K(D_p).$$

For instance, the mapping  $f(z) = (z_1/(1 - z_1), z_2, \dots, z_n) \notin K(D_p)$ .

In fact, suppose that  $f(z) = (z_1/(1 - z_1), z_2, \dots, z_n)$  is a biholomorphic convex mapping on  $D_p$ ; taking  $z_1(r) = (r, 0, 0, \dots, 0), z_2(r) = (0, r, 0, \dots, 0) \in D_p$  with  $0 < r < 1$ , we have

$$\frac{1}{2}[f(z_1(r)) + f(z_2(r))] \in f(D_p).$$

This leads to

$$(3) \quad f^{-1}\left(\frac{1}{2}[f(z_1(r)) + f(z_2(r))]\right) \in D_p.$$

On the other hand, direct computation yields

$$f^{-1}\left(\frac{1}{2}[f(z_1(r)) + f(z_2(r))]\right) = \left(\frac{r}{r + 2(1 - r)}, \frac{r}{2}, 0, \dots, 0\right),$$

and

$$\left(\frac{r}{r + 2(1 - r)}\right)^{p_1} + \left(\frac{r}{2}\right)^{p_2} \rightarrow 1 + \frac{1}{2^{p_2}} > 1 \quad (r \rightarrow 1^-),$$

that is,

$$f^{-1}\left(\frac{1}{2}[f(z_1(r)) + f(z_2(r))]\right) \notin D_p \quad (r \rightarrow 1^-)$$

which contradicts (3). Hence,  $f(z) = (z_1/(1 - z_1), z_2, \dots, z_n)$  is not a convex mapping on  $D_p$ .

Let  $SK(D_p)$  be the subclass of  $N(D_p)$  consisting of all mappings  $f(z)$  which satisfy the following conditions: for any  $z = (z_1, z_2, \dots, z_n) \in D_p \setminus \{0\}$  and  $b = (b_1, b_2, \dots, b_n) \in C^n \setminus \{0\}$  such that

$$(4) \quad \operatorname{Re} \left\{ \sum_{j=1}^n p_j \left| \frac{z_j}{\rho(z)} \right|^{p_j} \frac{b_j}{z_j} \right\} = 0,$$

we have

$$(5) \quad |w_j| \leq |b_j|^2, j = 1, 2, \dots, n,$$

where  $Df(z)^{-1}D^2f(z)(b, b) = (w_1, \dots, w_n)$ ,  $f \in N(D_p)$ .

It is evident that

$$f \in SK(\Delta) \iff f \in N(\Delta) \quad \text{and} \quad \left| \frac{f''(z)}{f'(z)} \right| \leq 1, \quad z \in \Delta,$$

and  $SK(\Delta) \subset K(\Delta)$ .

**Theorem 3.** *Suppose that  $p_j \geq 2$ ,  $j = 1, 2, \dots, n$ . Then  $SK(D_p) \subset K(D_p)$ .*

*Proof.* Fix  $f \in SK(D_p)$ . For any  $z = (z_1, z_2, \dots, z_n) \in D_p \setminus \{0\}$  and  $b = (b_1, b_2, \dots, b_n) \in C^n \setminus \{0\}$  such that (4) holds, we have

$$\begin{aligned} J_f(z, b) &\geq \sum_{j=1}^n p_j \frac{|z_j|^{p_j-2}}{\rho(z)^{p_j}} |b_j|^2 \\ &\quad - 2 \sum_{j=1}^n \frac{p_j}{\rho(z)} \left| \frac{z_j}{\rho(z)} \right|^{p_j} \operatorname{Re} \left\langle Df(z)^{-1}D^2f(z)(b, b), \frac{\partial \rho}{\partial \bar{z}} \right\rangle \\ &= \sum_{j=1}^n p_j \frac{|z_j|^{p_j-2}}{\rho(z)^{p_j}} |b_j|^2 - \operatorname{Re} \left\{ \sum_{j=1}^n w_j p_j \frac{|z_j|^{p_j}}{z_j \rho(z)^{p_j}} \right\} \\ &\geq \sum_{j=1}^n p_j \frac{|z_j|^{p_j-2}}{\rho(z)^{p_j}} |b_j|^2 - \sum_{j=1}^n |w_j| p_j \frac{|z_j|^{p_j-1}}{\rho(z)^{p_j}} \\ &= \sum_{j=1}^n p_j \frac{|z_j|^{p_j-2}}{\rho(z)^{p_j}} [|b_j|^2 - |z_j| |w_j|] \\ &\geq \sum_{j=1}^n p_j \frac{|z_j|^{p_j-2}}{\rho(z)^{p_j}} [|b_j|^2 - |w_j|] \geq 0, \end{aligned}$$

so by Lemma 1, we obtain that  $f \in K(D_p)$ . Hence,  $SK(D_p) \subset K(D_p)$ , and the proof is complete.  $\square$

*Remark 3.* From Theorem 3, we have  $SK(D_p) \subset K(D_p)$ ,  $p_j \geq 2$ ,  $j = 1, 2, \dots, n$ , but  $SK(D_p) \neq K(D_p)$ . In fact, we let  $k$  be a positive

integer such that  $k < p_n \leq k + 1$  and

$$f(z) = \left( z_1 + \frac{p_n}{k(k+1)p_1} z_n^{k+1}, z_2, \dots, z_n \right).$$

By Example 3, we have  $f(z) \in K(D_p)$ .

On the other hand, let  $b = (0, \dots, 0, 1)$ ,  $z = (r^2, 0, \dots, 0, \sqrt[p_n]{1 - r^{p_1}} i)$ ,  $0 < r < 1$ . Then  $z \in D_p \setminus \{0\}$  satisfies condition (4). Set  $Df(z)^{-1}D^2f(z)(b, b) = (w_1, w_2, \dots, w_n)$ . Simple computation yields

$$|w_1| = \frac{p_n}{p_1} |z_n|^{k-1} > 0 = |b_1|^2,$$

where  $z_n = \sqrt[p_n]{1 - r^{p_1}} i$ . Hence,  $f(z) \notin SK(D_p)$ .

**Theorem 4.** *Suppose that  $p_j \geq 2$ ,  $j = 1, 2, \dots, n$ . Then  $\Phi_n(g_1, g_2, \dots, g_n) \in SK(D_p)$  if and only if  $g_j \in SK(\Delta)$ ,  $j = 1, 2, \dots, n$ .*

*Proof.* Let  $f = \Phi_n(g_1, g_2, \dots, g_n) = (g_1(z_1), g_2(z_2), \dots, g_n(z_n))$ . By direct computation of the Fréchet derivatives of  $f(z)$ , we obtain

$$\begin{aligned} Df(z) &= \begin{pmatrix} g'_1(z_1) & 0 & \cdots & 0 \\ 0 & g'_2(z_2) & \cdots & 0 \\ \cdots & \cdots & \cdots & \cdots \\ 0 & 0 & \cdots & g'_n(z_n) \end{pmatrix}, \\ Df(z)^{-1} &= \begin{pmatrix} 1/g'_1(z_1) & 0 & \cdots & 0 \\ 0 & 1/g'_2(z_2) & \cdots & 0 \\ \cdots & \cdots & \cdots & \cdots \\ 0 & 0 & \cdots & 1/g'_n(z_n) \end{pmatrix}, \\ D^2f(z)(b, b) &= \begin{pmatrix} g''_1(z_1)b_1 & 0 & \cdots & 0 \\ 0 & g''_2(z_2)b_2 & \cdots & 0 \\ \cdots & \cdots & \cdots & \cdots \\ 0 & 0 & \cdots & g''_n(z_n)b_n \end{pmatrix} \begin{pmatrix} b_1 \\ b_2 \\ \cdots \\ b_n \end{pmatrix} \\ &= \begin{pmatrix} g''_1(z_1)b_1^2 \\ g''_2(z_2)b_2^2 \\ \cdots \\ g''_n(z_n)b_n^2 \end{pmatrix}, \end{aligned}$$

so

$$(6) \quad Df(z)^{-1}D^2f(z)(b, b) = \begin{pmatrix} (g_1''(z_1)/g_1'(z_1))b_1^2 \\ (g_2''(z_2)/g_2'(z_2))b_2^2 \\ \dots \\ (g_n''(z_n)/g_n'(z_n))b_n^2 \end{pmatrix}.$$

We first prove that if  $g_j \in SK(\Delta)$ ,  $j = 1, 2, \dots, n$ , then  $f = \Phi_n(g_1, g_2, \dots, g_n) \in SK(D_p)$ .

Since  $g_j \in SK(\Delta)$ ,  $j = 1, 2, \dots, n$ , it is evident that  $f = \Phi_n(g_1, g_2, \dots, g_n) \in N(D_p)$ .

Set  $Df(z)^{-1}D^2f(z)(b, b) = (w_1, \dots, w_n)$ . For any  $z = (z_1, z_2, \dots, z_n) \in D_p \setminus \{0\}$  and  $b = (b_1, b_2, \dots, b_n) \in C^n \setminus \{0\}$  such that

$$\operatorname{Re} \left\{ \sum_{j=1}^n p_j \left| \frac{z_j}{\rho(z)} \right|^{p_j} \frac{b_j}{z_j} \right\} = 0.$$

From (6), we have

$$|w_j| = \left| \frac{g_j''(z_j)}{g_j'(z_j)} \right| |b_j|^2 \leq |b_j|^2, \quad j = 1, 2, \dots, n.$$

Hence,  $f = \Phi_n(g_1, g_2, \dots, g_n) \in SK(D_p)$ .

Conversely, assume  $f = \Phi_n(g_1, g_2, \dots, g_n) \in SK(D_p)$ ; it is obvious that  $g_j \in N(\Delta)$ .

Fix  $k$ ,  $1 \leq k \leq n$ . Taking  $z_k \in \Delta \setminus \{0\}$ , we have  $z = (0, \dots, 0, z_k, 0, \dots, 0) \in D_p \setminus \{0\}$ ,  $b = (0, \dots, 0, iz_k, 0, \dots, 0) \in C^n \setminus \{0\}$ , and

$$\operatorname{Re} \left\{ \sum_{j=1}^n p_j \left| \frac{z_j}{\rho(z)} \right|^{p_j} \frac{b_j}{z_j} \right\} = \operatorname{Re} \left\{ p_k \left| \frac{z_k}{\rho(z)} \right|^{p_k} i \right\} = 0.$$

By (5) and (6), we have

$$|w_k| = \left| \frac{g_k''(z_k)}{g_k'(z_k)} \right| |z_k|^2 \leq |z_k|^2;$$

thus,

$$\left| \frac{g_k''(z_k)}{g_k'(z_k)} \right| \leq 1, \quad 0 < |z_k| < 1.$$

According to the continuity of  $g_k''(z_k)/g_k'(z_k)$ , we have

$$\left| \frac{g_k''(z_k)}{g_k'(z_k)} \right| \leq 1, \quad |z_k| < 1;$$

hence,  $g_k \in SK(\Delta)$ ,  $1 \leq k \leq n$ . This completes the proof.  $\square$

**Example 9.** Suppose that  $p_j \geq 2$ ,  $j = 1, 2, \dots, n$ . Let

$$f(z) = \left( \frac{z_1}{1 - a_1 z_1}, \frac{z_2}{1 - a_2 z_2}, \dots, \frac{z_n}{1 - a_n z_n} \right).$$

Then

$$f(z) \in SK(D_p) \subset K(D_p) \iff |a_j| \leq \frac{1}{3}, \quad j = 1, 2, \dots, n.$$

*Proof.* We first suppose that  $|a_j| \leq 1/3$ ,  $j = 1, 2, \dots, n$ . Set  $f_j(z_j) = z_j/(1 - a_j z_j)$ ,  $z_j \in \Delta$ ,  $j = 1, 2, \dots, n$ ; then  $f_j(z_j)$  are analytic in  $\Delta$ , and

$$f_j'(z_j) = \frac{1}{(1 - a_j z_j)^2} \neq 0, \quad f_j''(z_j) = \frac{2a_j}{(1 - a_j z_j)^3}.$$

This leads to  $f_j \in N(\Delta)$ , and

$$\left| \frac{z_j f_j''(z_j)}{f_j'(z_j)} \right| = \left| \frac{2a_j z_j}{1 - a_j z_j} \right| \leq \frac{2|a_j||z_j|}{1 - |a_j||z_j|} \leq \frac{2|a_j|}{1 - |a_j|} \leq 1,$$

for  $j = 1, 2, \dots, n$ . So  $f_j \in SK(\Delta)$ ,  $j = 1, 2, \dots, n$ . Hence, by Theorem 4 and Theorem 3, we obtain that  $f(z) \in SK(D_p) \subset K(D_p)$ .

Conversely, we suppose that  $f(z) \in SK(D_p) \subset K(D_p)$ . Then by Theorem 4, we have  $f_j \in SK(\Delta)$ ,  $j = 1, 2, \dots, n$ .

Fix  $j$ ,  $1 \leq j \leq n$ ; the function  $f_j(z_j)$  is analytic on  $\Delta$ , and

$$\left| \frac{z_j f_j''(z_j)}{f_j'(z_j)} \right| = \left| \frac{2a_j z_j}{1 - a_j z_j} \right| \leq 1,$$

for all  $z_j \in \Delta$ . So  $|a_j| \leq 1$ . If  $a_j = 0$ , it is obvious that  $|a_j| < 1/3$ . In the following, we assume that  $a_j \neq 0$ .

Let  $a_j = r_j e^{i\alpha_j}$  with  $r_j = |a_j|$  and  $\alpha_j$  a real number. Taking  $z_j = R_j e^{-i\alpha_j} \in \Delta$ , we have

$$\left| \frac{z_j f_j''(z_j)}{f_j'(z_j)} \right| = \left| \frac{2a_j z_j}{1 - a_j z_j} \right| = \frac{2r_j R_j}{1 - r_j R_j} \leq 1;$$

this leads to  $r_j R_j \leq 1/3$ . Setting  $R_j \rightarrow 1^-$ , we obtain  $|a_j| = r_j \leq 1/3$ , and the proof is complete.  $\square$

**Example 10.** Suppose that  $p_j \geq 2$ ,  $j = 1, 2, \dots, n$ ,  $|\lambda_j| > 0$ ,  $j = 2, \dots, n$ , and let

$$f(z) = \left( \frac{z_1}{1 - a_1 z_1}, \frac{e^{\lambda_2 z_2} - 1}{\lambda_2}, \dots, \frac{e^{\lambda_n z_n} - 1}{\lambda_n} \right).$$

Then

$$f(z) \in SK(D_p) \subset K(D_p) \iff |a_1| \leq \frac{1}{3}, \text{ and } |\lambda_j| \leq 1, \quad j = 2, \dots, n.$$

**Example 11.** Suppose that  $p_j \geq 2$ ,  $|a_j| > 0$ ,  $j = 1, 2, \dots, n$ , and let

$$f(z) = \left( \frac{1}{2a_1} \log \frac{1 + a_1 z_1}{1 - a_1 z_1}, \frac{1}{2a_2} \log \frac{1 + a_2 z_2}{1 - a_2 z_2}, \dots, \frac{1}{2a_n} \log \frac{1 + a_n z_n}{1 - a_n z_n} \right),$$

with  $\log 1 = 0$ . Then

$$f(z) \in SK(D_p) \subset K(D_p) \iff |a_j| \leq \frac{1}{\sqrt{3}}, \quad j = 1, 2, \dots, n.$$

**Example 12.** Suppose that  $p_j \geq 2$ ,  $|a_j| > 0$ ,  $j = 2, \dots, n$ , and let

$$f(z) = \left( \frac{z_1}{1 - a_1 z_1}, \frac{1}{2a_2} \log \frac{1 + a_2 z_2}{1 - a_2 z_2}, \dots, \frac{1}{2a_n} \log \frac{1 + a_n z_n}{1 - a_n z_n} \right),$$



with  $\log 1 = 0$ . Then

$$f(z) \in SK(D_p) \subset K(D_p) \iff |a_1| \leq \frac{1}{3}$$

and

$$|a_j| \leq \frac{1}{\sqrt{3}}, \quad j = 2, 3, \dots, n.$$

#### REFERENCES

1. Sheng Gong, *Convex and starlike mappings in several complex variables*, Science Press/Kluwer Academic Publishers, Beijing, 1998.
2. Sheng Gong and Tai-Shun Liu, *On Roper-Suffridge extension operator*, J. d'Analyse. Math **88** (2002), 397–404.
3. ———, *The generalized Roper-Suffridge extension operator*, J. Math. Anal. Appl. **284** (2003), 425–434.
4. I. Graham, *Growth and covering theorems associated with the Roper-Suffridge extension operator*, Proc. Amer. Math. Soc. **127** (1999), 3215–3220.
5. I. Graham, H. Hamada, G. Kohr and T.J. Suffridge, *Extension operators for locally univalent mappings*, Michigan Math. J. **50** (2002), 37–55.
6. I. Graham and G. Kohr, *Geometric function theory in one and higher dimensions*, Marcel Dekker, Inc., New York, 2003.
7. ———, *Univalent mappings associated with the Roper-Suffridge extension operator*, J. d'Analyse. Math. **81** (2000), 331–342.
8. ———, *An extension theorem and subclass of univalent mappings in several complex variables*, Complex Variables **47** (2002), 59–72.
9. I. Graham, G. Kohr and M. Kohr, *Loewner chains and Roper-Suffridge extension operator*, J. Math. Anal. Appl. **247** (2000), 448–465.
10. Ming-Sheng Liu and Yu-Can Zhu, *On the generalized Roper-Suffridge extension operator in Banach spaces*, International J. Math. Math. Sci. **2005** (2005), 1171–1187.
11. Tai-Shun Liu and Wen-Jun Zhang, *On decomposition theorem of normalized biholomorphic convex mappings in Reinhardt domains*, Science in China **46** (2003), 94–106.
12. Jerry R. Muir, Jr. and T.J. Suffridge, *Construction of convex mappings of  $p$ -balls in  $C^2$* , Comput. Methods Functional Theory **4** (2004), 21–34.
13. K.A. Roper and T.J. Suffridge, *Convex mappings on the unit ball of  $C^n$* , J. Anal. Math. **65** (1995), 333–347.
14. ———, *Convexity properties of holomorphic mappings in  $C^n$* , Trans. Amer. Math. Soc. **351** (1999), 1803–1833.
15. A.E. Taylor and D.C. Lay, *Introduction to functional analysis*, John Wiley Sons, Inc., New York, 1980.

**16.** Yu-Can Zhu, *Criteria for biholomorphic convex mappings on bounded convex balanced domains*, Acta Math. Sinica **46** (2003), 1153–1162 (in Chinese).

**17.** ———, *Biholomorphic convex mappings on  $B_p^n$* , Chinese Annals Math. **24** (2003), 269–278 (in Chinese).

**18.** Yu-Can Zhu and Ming-Sheng Liu, *The generalized Roper-Suffridge extension operator in Banach spaces (I)*, Acta Math. Sinica **50** (2007), 189–196 (in Chinese).

**19.** ———, *The generalized Roper-Suffridge extension operator in Banach spaces (II)*, J. Math. Anal. Appl. **303** (2005), 530–544.

SCHOOL OF MATHEMATICAL SCIENCES, SOUTH CHINA NORMAL UNIVERSITY,  
GUANGZHOU 510631, GUANGDONG, CHINA

**Email address:** liumsh@scnu.edu.cn, liumsh65@163.com

DEPARTMENT OF MATHEMATICS, FUZHOU UNIVERSITY, FUZHOU 350002, FUJIAN,  
CHINA

**Email address:** zhuyucan@fzu.edu.cn