

## THE CHARACTERIZATION OF MOORE-PENROSE INVERSE MODULE MAPS AND THEIR CONTINUITY

LUNCHUAN ZHANG

**ABSTRACT.** In this paper we will introduce the concept of *Moore-Penrose inverse module map* and an equivalent characterization, provided that  $E$  and  $F$  are both (right) Hilbert  $C^*$ -modules over a  $C^*$ -algebra  $A$ , with  $L(E, F)$  the set of all adjointable  $A$ -module maps from  $E$  to  $F$ . Then we use the concept as a main tool in obtaining a Douglas type factorization theorem about certain important bounded module maps. Thus, we come to the discussion of the continuity of Moore-Penrose inverse module maps depending upon a parameter: Let  $X$  be a topological space and  $x \mapsto T_x : X \mapsto L(E)$  a continuous map, with  $R(T_x)$  a closed submodule in  $E$  for each  $x \in X$ . Then the Moore-Penrose inverse module map  $T_x^+$  of  $T_x$  is continuous if and only if  $\|T_x^+\|$  is locally bounded. Furthermore, this is equivalent to the following statement:

For any  $x_0$  in  $X$ , there exists a neighborhood  $U_0$  of  $x_0$  and a positive number  $\lambda$  such that  $(0, \lambda^2) \subseteq \mathbf{C} \setminus \sigma(T_x^*T_x)$  for all  $x \in U_0$ , where  $\sigma(T)$  denotes the spectrum of the operator  $T$ .

**1. Introduction.** Hilbert  $C^*$ -modules constitute a frequently used tool in operator theory and operator algebras. Research fields benefiting from it include  $K$ -theory, index theory for operator-valued conditional expectations, group representation theory, operator-valued free probability and investigations into compact quantum groups, generalized Atiyah-Singer index theorems and topological invariants. Besides these, the theory of Hilbert  $C^*$ -modules is very interesting in its own right.

It is well known that Moore-Penrose inverse matrices and Moore-Penrose inverse operators play an important role in matrix theory and in operator theory, respectively. Meanwhile, in the study of factorization of Hilbert  $C^*$ -module maps, there is no suitable tool to use. Motivated by the above observation, in this paper we will introduce the concept of *Moore-Penrose inverse module maps* and an

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equivalent characterization, see Theorem 1. Then we use it as the main tool in obtaining a Douglas type factorization theorem of certain important bounded module maps, see Theorem 2. In the end, we describe explicitly the continuity of Moore-Penrose inverse module maps depending upon a parameter, see Theorem 3. We also obtain some other interesting results.

For the basic theory of Hilbert  $C^*$ -modules, see [3].

**2. The main results and proofs.** Let  $E$  be a Hilbert  $C^*$ -module over a  $C^*$ -algebra  $A$ . The *orthogonal complement* of a closed submodule  $F$  of  $E$  is  $F^\perp = \{y \in E : \langle x, y \rangle = 0, \text{ for all } x \in F\}$ , and  $F$  is said to be *complemented* if  $E = F \oplus F^\perp$ .

**Definition 1.** Let  $E$  and  $F$  be Hilbert  $C^*$ -modules over a  $C^*$ -algebra  $A$ , and let  $T \in L(E, F)$ . If there exists a  $T^+ \in L(F, E)$  such that

- (1)  $TT^+T = T$ ,
- (2)  $T^+TT^+ = T^+$ ,
- (3)  $(TT^+)^* = TT^+$ ,
- (4)  $(T^+T)^* = T^+T$ ,

then  $T^+$  is called the *Moore-Penrose inverse module map* of  $T$ .

*Remark 1.* If  $A$  degenerates to complex field  $\mathbf{C}$ , then  $T^+$  will reduce to the usual Hilbert space Moore-Penrose inverse. On the other hand, if  $E = F$ , since  $L(E)$  is a  $C^*$ -algebra, see [3], then  $T^+$  will agree with the Moore-Penrose inverse in a  $C^*$ -algebra, see [2].

*Remark 2.* From the conditions of the above Definition 1 we have that  $T^+$  is unique. Indeed, suppose that there is another module operator  $T' \in L(F, E)$ , which is also a Moore-Penrose inverse of  $T$ . With Definition 1 and routine calculation we have  $R(TT^+) = R(T) = R(TT')$  and  $R(T^+T) = R(T^*) = R(T'T)$ . Note that  $TT^+, T^+T, TT', T'T$  are all projections, hence  $TT^+ = TT'$  and  $T^+T = T'T$ . Therefore,  $T^+ = T^+TT^+ = T^+TT' = T'TT' = T'$ .

The following theorem gives an equivalent characterization of the Moore-Penrose inverse module map:

**Theorem 1.** *Let  $E, F$  be Hilbert  $C^*$ -modules over a  $C^*$ -algebra  $A$ , and let  $T \in L(E, F)$ . Then the following statements are equivalent:*

- (1)  $T$  has a Moore-Penrose inverse module map in  $L(F, E)$ ,
- (2)  $R(T)$  is a closed submodule in  $F$ .

*Proof.* 1)  $\Rightarrow$  (2) is clear, see the Remark 2.

(2)  $\Rightarrow$  (1). Suppose that  $R(T)$  is closed. By [3, Theorem 3.2],  $N(T)$  and  $R(T)$  are both complemented submodules in  $E$  and in  $F$ , respectively, where  $N(T)$  is the kernel of  $T$ ; so  $E = N(T) \oplus R(T^*)$  and  $F = N(T^*) \oplus R(T)$ . Define a linear map  $T^+ : F \mapsto E$  by

$$T^+ x = \begin{cases} (T|N(T)^\perp)^{-1}x & x \in R(T) \\ 0 & x \in N(T^*), \end{cases}$$

and a linear map  $(T^+)^* : E \mapsto F$  by

$$(T^+)^* x = \begin{cases} (T^*|N(T^*)^\perp)^{-1}x & x \in R(T^*) \\ 0 & x \in N(T). \end{cases}$$

To prove that  $T^+$  is the Moore-Penrose inverse of  $T$ , the key step is to prove  $T^+ \in L(F, E)$ , equivalently,  $\langle T^+ x, y \rangle = \langle x, (T^+)^* y \rangle$ ,  $x \in F$ ,  $y \in E$ . The verification of this identity is straightforward using the orthogonal direct sum decompositions, and so is the verification of conditions (1)–(4) of Definition 1.  $\square$

Following Theorem 1 above, the main result of [2] becomes a simple consequence, that is,

**Corollary 1** (see [2]). *Let  $A$  be a unital  $C^*$ -algebra, and let  $a \neq 0$  in  $A$ . Then  $a$  has a Moore-Penrose inverse  $a^+$  in  $A$  if and only if  $aA$  is a closed right ideal in  $A$ .*

*Proof.* Apply Theorem 1 to the operator of left multiplication by  $a$ ; then Corollary 1 is completed.  $\square$

In the following, we will apply the Moore-Penrose inverse module map to obtain a factorization result which is the analogue for  $C^*$ -modules of a well-known result of Douglas, see [1]:

**Theorem 2.** *Given a Hilbert  $C^*$ -module  $E$  over a  $C^*$ -algebra  $A$ , and  $T, S \in L(E)$  with  $R(S)$  closed, the following statements are equivalent:*

- (1)  $R(T) \subset R(S)$ ,
- (2)  $TT^* \leq \lambda^2 SS^*$ , for some  $\lambda \geq 0$ ,
- (3) there exists a  $Q \in L(E)$  such that  $T = SQ$ .

*Proof.* (3)  $\Rightarrow$  (1) is clear.

(1)  $\Rightarrow$  (3). Suppose that (1) holds. For each  $x \in E$ , we have  $T(x) \in R(S)$ . Since  $S$  has closed range,  $N(S)$  is a complemented submodule, see [3, Theorem 3.2], so there is a unique  $y \in N(S)^\perp$  such that  $T(x) = S(y)$ . Define a linear map  $Q$  by  $Q(x) = y$ . It is obvious that  $T = SQ$ , and the only thing left to show is  $Q \in L(E)$ , which is accomplished by the computation  $Q = S^+SQ = S^+T$ .

(3)  $\Rightarrow$  (2) is clear.

(2)  $\Rightarrow$  (3). Since  $R(S)$  is closed, so is  $R(S^*)$ , see [3, Theorem 3.2]. Define a linear map  $Q_1$  on  $E$  by  $Q_1S^*(x) = T^*(x)$ , for  $x \in E$  and  $Q_1 = 0$  on  $(S^*E)^\perp$ .  $Q_1$  is well defined since

$$\|T^*x\|^2 = \|\langle TT^*x, x \rangle\| \leq \lambda^2 \|\langle SS^*x, x \rangle\| = \lambda^2 \|S^*x\|^2.$$

By construction,

$$T^* = Q_1S^* = Q_1S^*(S^+)^*S^* = T^*(S^+)^*S^*,$$

so  $T = SQ$ , where  $Q = S^+T \in L(E)$ .  $\square$

*Remark 3.* We point out that much of the proof of Theorem 2 above is modeled after Douglas's.

From Theorem 2 we can obtain directly the following factorization result in  $C^*$ -algebras:

**Corollary 2.** *Let  $A$  be a  $C^*$ -algebra,  $a, b \in A$ , and suppose  $bA$  is a closed right ideal of  $A$ . Then the following statements are equivalent:*

- (1) there is a  $c$  in  $A$  such that  $a = bc$ ,
- (2)  $aa^* \leq \lambda^2 bb^*$ , for some constant  $\lambda \geq 0$ .

*Remark 4.* First, we point out that the above corollary has been known for some time, for example it follows from Theorem 1.5.2 in Pedersen’s book (where the context is closed left ideal), see [4, Theorem 1.5.2]. Second, in Corollary 2 the implication “(1)  $\Rightarrow$  (2)” does not require the assumption that  $bA$  is closed. But the converse implication is not correct in general if the condition that  $bA$  being closed is removed. The following is an easy counterexample:

**Counterexample 1.** In  $C[0, 1]$ , define  $f$  and  $g$  as follows:  $f(x) = |x - 1/2|$ ;  $g(x) = f(x)$  for  $0 \leq x \leq 1/2$ , and  $g(x) = 2f(x)$  for  $1/2 < x \leq 1$ . Then  $ff^* \leq gg^*$ . But there is no  $h$  in  $C[0, 1]$  such that  $f = gh$ .

To finish, we will describe the continuity of  $T_x^+$ , where  $x \mapsto T_x : X \mapsto L(E)$  is a continuous map, and  $X$  is a topological space. We can obtain the following result:

**Theorem 3.** *Suppose that  $x \mapsto T_x : X \mapsto L(E)$  is a continuous map, and  $R(T_x)$  is a closed submodule in  $E$ , for each  $x$  in  $X$ , where  $X$  is a topological space. Then the following statements are equivalent:*

- (1)  $x \mapsto T_x^+ : X \mapsto L(E)$  is continuous.
- (2)  $\|T_x^+\|$  is locally bounded, that is, for any  $x_0 \in X$ , there exists a constant  $M > 0$  and a neighborhood  $U_0$  of  $x_0$  such that  $\|T_x^+\| \leq M$  for all  $x \in U_0$ .
- (3) For any  $x_0 \in X$ , there exists a neighborhood  $U_0$  of  $x_0$  and a positive number  $\lambda$  such that  $(0, \lambda^2) \subseteq \mathbf{C} \setminus \sigma(T_x^*T_x)$  for all  $x \in U_0$ , where  $\sigma(T_x^*T_x)$  is the spectrum of the operator  $T_x^*T_x$ .

In order to prove Theorem 3 we need the following lemma:

**Lemma 1.** *Suppose that  $R(T)$  is a closed submodule in a Hilbert  $C^*$ -module  $E$ , where  $T$  is a positive operator in  $L(E)$ . Then  $0 < \lambda \leq \|T^+\|^{-1}$  if and only if  $(0, \lambda) \subseteq \mathbf{C} \setminus \sigma(T)$ .*

*Proof.* Suppose that  $0 < \lambda \leq \|T^+\|^{-1}$ . For any  $k \in (0, \lambda)$ , we have  $\|kT^+\| = k\|T^+\| < 1$ , so  $T^+(T - k) = T^+T - kT^+$  is invertible on

$R(T^+T)$ . Note that  $T^+$  is invertible on  $R(T^+T)$ , and  $T - k$  is self-adjoint; hence,  $T - k$  is invertible on  $R(T^+T)$ . On the other hand,  $(T - k)|_{N(T)} = -k$  is invertible on  $N(T)$ , where  $N(T)$  is the kernel of  $T$ . Since  $E = N(T) \oplus R(T^*) = N(T) \oplus R(T^+T)$ , it follows that  $(T - k)$  is invertible on  $E$ . Therefore  $k \in \mathbf{C} \setminus \sigma(T)$ , that is,  $(0, \lambda) \subseteq \mathbf{C} \setminus \sigma(T)$ .

Conversely, suppose that  $(0, \lambda) \subseteq \mathbf{C} \setminus \sigma(T)$ . Since  $T$  is positive, we have  $\inf\{\sigma(T) \setminus \{0\}\} \geq \lambda$ . For any  $k \in (0, \lambda)$ , note that the restriction  $T_0$  of  $T$  to  $R(T^+T)$  is invertible, so we have  $\inf\{\sigma(T_0)\} \geq \lambda > k$  on  $R(T^+T)$ , which implies  $T^+T_0 - kT^+ \geq 0$  on  $R(T^+T)$ . Note again that  $T$  is positive, so  $T^+|_{N(T)} = T^+|_{N(T^*)} = 0$ . Since  $R(T^+T) \oplus N(T) = E$ , it follows that  $\|kT^+\| \leq \|T^+T_0\| = \|T^+T\| = 1$  on  $E$ ; therefore,  $\lambda \leq \|T^+\|^{-1}$ .  $\square$

*Proof of Theorem 3.* (1)  $\Rightarrow$  (2) is clear.

(2)  $\Rightarrow$  (1). Suppose that, for any  $x_0 \in X$ , there exists a constant  $M > 0$  and a neighborhood  $U_0$  of  $x_0$  such that  $\|T_x^+\| \leq M$  for all  $x \in U_0$ . Since  $x \mapsto T_x$  is continuous, for each  $\varepsilon > 0$  there is an open neighborhood  $U$  of  $x_0$  such that  $\|T_x - T_{x_0}\| < \varepsilon$  for all  $x \in U$ . The properties of Definition 1 lead to  $T_x^+(T_x^*)^+T_x^* = T_x^+$  and  $T_x^*(T_x^*)^+T_x^+ = T_x^*$ ; using these relations and routine calculation we can get the following equation:

$$T_x^+ - T_{x_0}^+ = -T_x^+(T_x - T_{x_0})T_{x_0}^+ + T_x^+(T_x^*)^+(T_x^* - T_{x_0}^*)(1 - T_{x_0}T_{x_0}^+) + (1 - T_x^+T_x)(T_x^* - T_{x_0}^*)T_{x_0}^{*+}T_{x_0}^+.$$

Set  $\bar{U} = U_0 \cap U$ ; combining the above proof with this equation we can find a constant  $M > 0$  such that  $\|T_x^+ - T_{x_0}^+\| < M\varepsilon$  for all  $x \in \bar{U}$ , showing that  $x \mapsto T_x^+$  is continuous at  $x_0$ . Here we omit the details.

(2)  $\Rightarrow$  (3). Suppose that, for any  $x_0 \in X$ , there exists a constant  $M > 0$  and a neighborhood  $U_0$  of  $x_0$  such that  $\|T_x^+\| \leq M$  for all  $x \in U_0$ ; hence,  $\|T_x^+\|^{-1} \geq M^{-1}$ . Since  $\|(T_x^*T_x)^+\| = \|T_x^+T_x^{*+}\| = \|T_x^+T_x^{*+}\| = \|T_x^+\|^2$  (here we use the basic fact that  $(T^*)^+ = (T^+)^*$ , and  $T^+(T^*)^+$  is the Moore-Penrose inverse module map of  $T^*T$  in  $L(E)$  by routine calculation), we have  $M \leq \|T_x^+\|^{-1} \Leftrightarrow M^2 \leq \|(T_x^*T_x)^+\|^{-1}$ . Then it follows from Lemma 1 that  $(0, M^{-2}) \subseteq C \setminus \sigma(T_x^*T_x)$  for all  $x \in U$ .

(3)  $\Rightarrow$  (2). Suppose that for any  $x_0 \in X$ , there exists a neighborhood  $U_0$  of  $x_0$  and a positive number  $\lambda$  such that  $(0, \lambda^2) \subseteq \mathbf{C} \setminus \sigma(T_x^*T_x)$  for

all  $x \in U_0$ . It follows from Lemma 1 that  $\|(T_x^* T_x)^+\|^{-1} \geq \lambda^2$ , noticing again that  $0 < \lambda \leq \|T_x^+\|^{-1} \Leftrightarrow 0 < \lambda^2 \leq \|(T_x^* T_x)^+\|^{-1}$ ; hence,  $\|T_x^+\| \leq \lambda^{-1}$  for all  $x \in U_0$ , showing that  $\|T_x^+\|$  is locally bounded.  $\square$

**Counterexample 2.** In  $M_2(\mathbf{C})$ , the invertible matrices  $\begin{pmatrix} n/(n+1) & 0 \\ 0 & 1/n \end{pmatrix}$  converge to  $\begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$ , which has a Moore-Penrose inverse; however, the inverses  $\begin{pmatrix} (n+1)/n & 0 \\ 0 & n \end{pmatrix}$  are unbounded, hence do not converge. Thus, the boundedness condition of Theorem 3 is necessary.

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SCHOOL OF INFORMATION SCIENCE, RENMIN UNIVERSITY OF CHINA, BEIJING 100090, P.R. CHINA  
**Email address:** zhanglc@ruc.edu.cn