## UNIFORM FINITE GENERATION OF THE ROTATION GROUP FRANKLIN LOWENTHAL

I. Introduction. Since the rotation group SO(3) has no two dimensional connected Lie subgroups, the subgroup generated by two different one-parameter rotation groups  $T_{\phi}$  and  $S_{\theta}$  is in fact just SO(3). If a Lie group H is generated by two one-parameter subgroups, one says H is uniformly finitely generated by them if there exists a positive integer n such that every element of H can be expressed as a product of elements chosen alternately from the two one-parameter subgroups whose length is at most n. Define the least such n as the order of generation of H.

The fact that SO(3) is uniformly finitely generated by  $T_{\phi}$  and  $S_{\theta}$  is a simple consequence of its being compact; an elegant proof of this involving Baire category theory was suggested to the author by R. B. Burckel and is included in the Appendix. The goal of this paper is to compute the order of generation of SO(3) by  $T_{\phi}$  and  $S_{\theta}$ . This will be determined as a function of the angle  $\psi$ ,  $0 < \psi \le \pi/2$ , between the axes of the rotation groups  $T_{\phi}$  and  $S_{\theta}$  without any prior knowledge that SO(3) was uniformly finitely generated by them. It turns out that if  $\psi = \pi/2$ , the order of generation is 3; if  $\pi/(k+1) \le \psi < \pi/k$ , then the order of generation is k+2 ( $k \ge 2$ ).

Instead of working with SO(3) itself, it will be more convenient to work with the induced subgroup of the Möbius group, called the isometry group of the spherical geometry and denoted by G. This has the disadvantage that the role of the angle  $\pi/k$  is obscured. It is, of course, possible to translate the entire proof back to the sphere where  $\pi/k$  enters in a natural manner; this will be described briefly at the end of the paper. However, the author believes the ideas involved in the proof are easier to visualize in the extended complex plane. Further, it will be interesting that the Tchebyshev polynomials turn out to play a central role in the proof presented here.

II. Preliminaries. Let the sphere have center at  $(0, 0, \frac{1}{2})$  and radius  $\frac{1}{2}$ ; let the axes of the rotation groups be the z axis and the line: y = 0,  $z = \frac{1}{2} - (\cot \psi)x$ . These determine as fixed points of the rotations the respective pair of points (0, 0, 1), (0, 0, 0) and  $(-(\sin \psi)/2, 0, (1 + \cos \psi)/2)$ ,  $((\sin \psi)/2, 0, (1 - \cos \psi)/2)$  on the sphere. Under

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stereographic projection,  $T_{\phi}$  and  $S_{\theta}$  correspond respectively to the one-parameter subgroups of the Möbius group

$$(1) W = T_t(z) = e^{it}z, 0 \le t \le 2\pi,$$

(2) 
$$W = S_{s}'(z) = K^{-1}(e^{is}K(z)), \quad 0 \le s \le 2\pi,$$

where

$$K(z) = \frac{z-r}{z+1/r}, \qquad r = \tan\frac{\psi}{2}.$$

These are just all elliptic transformations with fixed points 0,  $\infty$  and r, -1/r respectively;  $T_t$  and  $S_s'$  generate G. The Möbius transformation W = L(z) = rz leaves 0 and  $\infty$  fixed and takes 1 into r,  $-1/r^2$  into -1/r; let  $x = 1/r^2$ . The inner automorphism induced by L(z) leaves  $T_t$  invariant and transforms  $S_s'$  into  $S_s^x$ , the group of all elliptic transformations with fixed points 1 and -x;  $x = \cot^2(\psi/2)$ .  $T_t$  and  $S_s^x$  generate the group  $G_x = L^{-1}GL(G_1 = G)$ . The order of generation of G by  $T_t$  and  $S_s'$  is the same as the order of generation of  $G_x$  by  $T_t$  and  $S_s^x$ .

The infinitesimal generators of  $T_t$  and  $S_s^x$  are  $\epsilon = iw$ ,  $\eta_x = i(w-1)(w+x)$  respectively, i.e.,  $T_t(z)$  and  $S_s^x(z)$  are respectively the solutions of the differential systems [1]

(3) 
$$dw/dt = iw, \qquad w(0, z) = z,$$

(4) 
$$dw/ds = i(w-1)(w+x), \ w(0,z) = z.$$

SO(3) is transitive; in fact, if  $P_1$ ,  $Q_1$  and  $P_2$ ,  $Q_2$  are two pairs of points such that the distance on the sphere between  $P_1$  and  $Q_1$  equals the distance between  $P_2$  and  $Q_2$ , then there is a rotation taking  $P_1$  into  $P_2$  and  $P_3$  into  $P_4$  and  $P_4$  into  $P_5$  and  $P_6$  into  $P_7$  and there is a rotation taking  $P_8$  into  $P_8$ . Applying this result to the fixed points of the rotations  $P_8$  and  $P_8$  that lie in the northern and southern hemisphere respectively, i.e.  $P_8$  (0, 0, 1) and  $P_8$  and  $P_8$  (1) and  $P_8$  are two pairs of points of the rotations  $P_8$  and  $P_8$  and  $P_8$  are two pairs of  $P_8$  and  $P_8$  and  $P_8$  are two pairs of  $P_8$  and  $P_8$  and  $P_8$  are two pairs of  $P_8$  are two pairs of  $P_8$  and  $P_8$  are two pairs of  $P_8$  and  $P_8$  are two pairs of  $P_8$  are two pairs of  $P_8$  and  $P_8$  are two pairs of  $P_8$  and  $P_8$  are two pairs of  $P_8$  and  $P_8$  are two pairs of  $P_8$  are two pairs of  $P_8$  and  $P_8$  are two pairs of  $P_8$  and  $P_8$  are two pairs of  $P_8$  are two pairs of  $P_8$  and  $P_8$  are two pairs of  $P_8$  are two pairs of  $P_8$  and  $P_8$  are tw

$$(5) V_{\mathbf{x}}(\infty) = 0, V_{\mathbf{x}}(-\mathbf{x}) = 1,$$

(6) 
$$W_x(\infty) = 1, \quad W_x(-x) = 0.$$

Since  $G_x$  is transitive, it is meaningful to define for any pair of points  $\alpha$  and  $\beta$  in the extended complex plane the order of  $\alpha$  with respect to  $\beta$ , written  $\operatorname{ord}_{\beta}(\alpha)$ , as the smallest positive integer n such that

there exists a product of  $T_t$  and  $S_s^x$  of length n taking  $\alpha$  into  $\beta$ .

The set of all rotations of the sphere taking a prescribed point P into a prescribed point Q transform a point P' different from P (and from the antipodal point of P) into a circle on the sphere; thus under the set of all Möbius transformations of  $G_x$  taking  $\alpha$  into  $\beta$  the possible images of a point  $\alpha' \neq \alpha$ ,  $\alpha' \neq -1/r^2\bar{\alpha}$  constitute either a circle or a line in the extended complex plane. In particular if  $\beta = 0$ , this set is a circle centered at the origin. If R(z) is a transformation in  $G_x$  such that  $R(\alpha) = 0$  then every Möbius transformation in  $G_x$  taking  $\alpha$  into 0 has a representation of the form

(7) 
$$T_t R(z)$$
 for some  $t, 0 \le t \le 2\pi$ .

Hence, if  $m(x) = \operatorname{ord}_0(\alpha)$ , then every Möbius transformation in  $G_x$  taking  $\alpha$  into 0 can be represented as a product of  $T_t$  and  $S_s$  of length  $\leq m(x) + 1$ .

III. Since  $x = \cot^2(\psi/2)$ , the result about SO(3) mentioned in the Introduction is an immediate consequence of the theorem below:

**THEOREM.** The order of generation of G by  $T_t$  and  $S_s^{-1}$  is three. For x satisfying

(8) 
$$\cot^2 \frac{\pi}{2k} < x \le \cot^2 \frac{\pi}{2(k+1)},$$

the order of generation of  $G_x$  by  $T_t$  and  $S_s^x$  is k+2 for  $k=2,3,4,\cdots$ .

**PROOF.** Define the orbit of  $z_0$  under  $\epsilon$  to be  $\{T_t(z_0): 0 \le t \le 2\pi\}$ ; for  $z_0 \ne 0$ ,  $\infty$ , these orbits are the circles |z| = k. For  $z_0 \ne 1$ , -x, the orbit of z under  $\eta_x$  is the circle of Apollonius with respect to 1 and -x that passes through  $z_0$ .

Case x=1. The orbit of 0 under  $\eta_1$  is the imaginary axis. Clearly  $\operatorname{ord}_0(\alpha)=2$  if  $\alpha$  is not on the imaginary axis and  $\operatorname{ord}_0(\alpha)=1$  if  $\alpha=ai$ , a real,  $a\neq 0$ . Hence by the remark at the end of §II, order of generation of G is at most 3. But the transformation  $W_1$  that takes  $\infty \to 1$ ,  $-1 \to 0$  cannot be expressed as a product of length 2 since in fact the first element of the product must leave either -1 or  $\infty$  fixed and  $\operatorname{ord}_{-1}(0)=\operatorname{ord}_{\infty}(1)=2$ .

For x > 1, the orbit of 0 under  $\eta_x$  is the circle |(z-1)/(z+x)| = 1/x; this circle (denoted by  $K_x$ ) intersects the real axis at the points 0 and 2x/(x-1). The line R(z) = (1-x)/2 is the orbit of  $\infty$  under  $\eta_x$ ; the circles of Apollonius |(z-1)/(z+x)| = k with 0 < k < 1 lie in the half-plane R(z) > (1-x)/2; those with k > 1 lie in the half-plane R(z) < (1-x)/2.

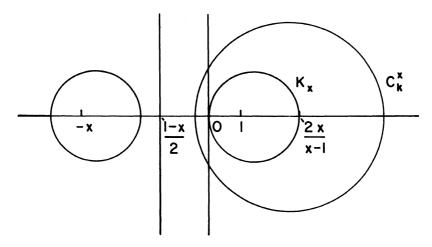


FIGURE 1. Orbits under  $\eta_x$ .

For 1/x < k < 1, the circle of Apollonius |(z-1)/(z+x)| = k, denoted by  $C_k^x$ , intersects the negative real axis at a point greater than (1-x)/2; this point minimizes the distance between points on  $C_k^x$  and the origin. Observe that this minimum distance increases from 0 to (x-1)/2 as k increases from 1/x to 1 or if one expresses this minimum distance from  $C_k^x$  to 0 as a function of the point where  $C_k^x$  intersects the positive real axis, then as the latter increases from 2x/(x-1) to  $\infty$ , the distance increases from 0 to (x-1)/2. Note that the circle of Apollonius through the point u on the positive real axis,  $u \ge 2x/(x-1)$ , lies, except for the point of tangency, in the interior of the circle |z| = u. Further the distance of the circle of Apollonius through  $z = ue^{i\theta}$  from the origin, considered as a function of  $\theta$ , is a minimum when  $\theta = 0$ , i.e., for the point z = u.

To determine  $m(x) = \operatorname{ord}_0(\alpha)$ , it suffices to find the smallest positive integer such that there is a product of  $T_t$  and  $S_s{}^x$  of that length taking  $\alpha$  into some point on  $K_x$  and then to add one to that integer. Observe that a transformation on  $G_x$  of minimum length taking  $\alpha$  to 0 must end with an  $S_s{}^x$ . Thus  $\operatorname{ord}_0(-x)$  must always be even, as a transformation of minimum length taking -x into 0 must begin with a  $T_t$ ; similarly  $\operatorname{ord}_0(\infty)$  is odd.

LEMMA 1. If 
$$\operatorname{ord}_0(-x) = 2q$$
,  $q$  a positive integer, then 
$$S_s^{x}T_tS_{\pi}^{x}T_{\pi} \cdot \cdot \cdot S_{\pi}^{x}T_{\pi}(z),$$

a product of length 2q, takes -x into 0 for appropriate choices of t and s.

**PROOF.** If q=1 there is nothing to prove; assume q>1. It suffices to prove that  $|S_{\pi}^{x}T_{\pi}\cdots S_{\pi}^{x}T_{\pi}(-x)| \leq 2x/(x-1)$ , where the product  $S_{\pi}^{x}T_{\pi}$  is repeated q-1 times. As the  $\operatorname{ord}_{0}(-x)=2q$ , there is a product

$$S_{s_{q-1}}^{x} T_{t_{q-1}} \cdots T_{t_{2}} S_{s_{1}}^{x} T_{t_{1}}$$

which takes -x into a point whose absolute value is at most 2x/(x-1). Note that  $S_{\pi}^{x}$  takes the real axis into itself so that  $S_{\pi}^{x}(u)$ , u > 2x/(x-1), is the point on the orbit of u under  $\eta_{x}$  closest to the origin. Since q > 1,  $T_{\pi}(-x) > 2x/(x-1)$ . Clearly

(9) 
$$- S_{\pi}^{x} T_{\pi}(-x) \leq |S_{s_{1}}^{x} T_{t_{1}}(-x)|.$$

If q=2, the lemma is proved; if q>2 then if  $p=S_{\pi}^{x}T_{\pi}(-x)$ , -p>2x/(x-1). If  $|z_{0}|\geq -p$ , then

$$(10) - S_{\pi}^{x}T_{\pi}(p) \leq |S_{s}^{x}T_{t}(z_{0})|$$

for all possible s, t. Hence by induction

(11) 
$$-S_{\pi}^{x}T_{\pi} \cdot \cdot \cdot S_{\pi}^{x}T_{\pi}(-x) \leq |S_{s_{q-1}}^{x}T_{t_{q-1}} \cdot \cdot \cdot S_{s_{1}}^{x}T_{t_{1}}(-x)|.$$

$$(2q-2 \text{ factors})$$

Lemma 2. If  $\operatorname{ord}_0(\infty) = 2q + 1$ , then for some choice of s, t, there exists a product:  $S_s^x T_t S_{\pi}^x T_{\pi} \cdots S_{\pi}^x T_{\pi} S_{\pi}^x$  of length 2q + 1 taking  $\infty$  into 0.

**Proof.** Same as Lemma 1. Observe that  $S_{\pi}^{x}(\infty) = (1-x)/2$ .

**Lemma** 3. ord<sub>0</sub> 
$$(-x) \ge \text{ord}_0 ((1-x)/2)$$
.

**PROOF.** This is clear as  $x \ge (x - 1)/2$  and as the first element in a product of minimum length taking -x into 0 must be a  $T_t$ .

**Lemma 4.** 
$$\operatorname{ord}_{0}(\infty) = \operatorname{ord}_{0}((1-x)/2) + 1.$$

**PROOF.** Since  $S_{\pi}^{x}(\infty) = (1-x)/2$ .  $\operatorname{ord}_{0}(\infty) \leqq \operatorname{ord}_{0}((1-x)/2) + 1$ . Further there must be at least one point on R(z) = (1-x)/2 whose order with respect to zero is one less than the order of  $\infty$  with respect to zero. But clearly  $\operatorname{ord}_{0}((1-x)/2) \leqq \operatorname{ord}_{0}(z)$  for all z satisfying R(z) = (1-x)/2.

Lemma 5. 
$$\operatorname{ord}_0(\infty) = \operatorname{ord}_0(-x) \pm 1$$
.

From the previous two lemmas  $\operatorname{ord}_0(-x) \ge \operatorname{ord}_0(\infty) - 1$ . If  $x \le 2x/(x-1)$ , then  $\operatorname{ord}_0(-x) = \operatorname{ord}_0((1-x)/2) = 2$ . If x > 2x/(x-1), then  $(1-x)/2 < S_{\pi}^{x}T_{\pi}(-x) < 0$  and hence:  $\operatorname{ord}_0(-x) \le \operatorname{ord}_0((1-x)/2) + 2 = \operatorname{ord}_0(\infty) + 1$ . Since  $\operatorname{ord}_0(-x)$  is even, and  $\operatorname{ord}_0(\infty)$  is odd, the lemma is proved.

Lemma 6. Let  $\alpha$  be any point of the extended complex plane. Then  $\operatorname{ord}_0(\alpha) \leq \operatorname{ord}_0(-x) + 1$  and  $\operatorname{ord}_0(\alpha) \leq \operatorname{ord}_0(\infty) + 1$ .

PROOF. If  $\alpha \neq -x$ , then there exists an element  $S_s^x$  such that  $-x < S_s^x(\alpha) \leq 1$ . If  $\beta = S_s^x(\alpha)$ , then for  $0 \leq \beta \leq 1$ ,  $\operatorname{ord}_0(\beta) \leq 2$ , and if  $-x < \beta < 0$ , clearly  $\operatorname{ord}_0(-x) \geq \operatorname{ord}_0(\beta)$  so the first part of the lemma is proved. Similarly there exists an element  $T_t$  such that either  $T_t(\alpha)$  is on the line R(z) = (1-x)/2 or  $(1-x)/2 < T_t(\alpha) \leq 0$ . If  $\beta = T_t(\alpha)$ , it is clear in either case that  $\operatorname{ord}_0(\infty) \geq \operatorname{ord}_0(\beta)$  and the second inequality is proved.

Lemma 7. 
$$\operatorname{ord}_0(\infty) = \operatorname{ord}_1(-x)$$
;  $\operatorname{ord}_1(\infty) = \operatorname{ord}_0(-x)$ .

Since there is a rotation that interchanges the axes of  $T_{\phi}$  and  $S_{\theta}$ , there is a transformation W = R'(z) in G such that

(12) 
$$R'(0) = r$$
,  $R'(r) = 0$ ,  $R'(-1/r) = \infty$ ,  $R'(\infty) = -1/r$ .

Let  $R(z) = L^{-1}R'L$ ; then R(z) is in  $G_x$  and

(13) 
$$R(0) = 1$$
,  $R(1) = 0$ ,  $R(-x) = \infty$  and  $R(\infty) = -x$ .

Hence the inner automorphism induced on  $G_x$  by R leaves  $G_x$  invariant and interchanges the two one parameter subgroups  $T_t$  and  $S_s^x$ . If  $W(z) = S_{s_q}^x T_{t_q} \cdots S_{s_1}^x t_{t_1}$  takes -x into 0, then  $R^{-1}WR$  takes  $\infty$  into 1; but

$$R^{-1}WR = R^{-1}S_{s_{q}}^{x}R R^{-1}T_{t_{q}}R \cdot \cdot \cdot \cdot R^{-1}S_{s_{1}}^{x}R R^{-1}T_{t_{1}}R$$

$$= T_{s_{q}}S_{t_{q}}^{x} \cdot \cdot \cdot \cdot T_{s_{1}}S_{t_{1}}^{x};$$
(14)

this has the same length as W(z).

Thus  $\operatorname{ord}_0(-x) \geqq \operatorname{ord}_1(\infty)$ ; similarly  $\operatorname{ord}_1(\infty) \geqq \operatorname{ord}_0(-x)$ . Similarly one shows  $\operatorname{ord}_0(\infty) = \operatorname{ord}_1(-x)$ .

It follows from the above lemmas that the order of generation of  $G_x$  is just

(15) 
$$n = \max\{\operatorname{ord}_0(-x), \operatorname{ord}_0(\infty)\} + 1.$$

First observe that  $\max\{\operatorname{ord}_0(-x), \operatorname{ord}_0(\infty)\}$  is by Lemma 5 either equal to  $\operatorname{ord}_0(-x) + 1$  or it is equal to  $\operatorname{ord}_0(\infty) + 1$ . By Lemma 6  $\operatorname{ord}_0(\alpha) \leq \max\{\operatorname{ord}_0(-x), \operatorname{ord}_0(\infty)\}$  for all  $\alpha$  in the extended complex plane. In view of the remark made subsequent to (7), it is clear that the order of generation of  $G_x$  is less than or equal to

$$\max\{\operatorname{ord}_0(\infty), \operatorname{ord}_0(-x)\} + 1.$$

Consider the transformation  $V_x$  of  $G_x$  described in (5). If  $V_x$  were expressible as a product of length equal to  $\operatorname{ord}_0(\infty)$ , such a product would have to start with an  $S_s^x$ . Hence -x would remain fixed under the first element of the product and thus  $\operatorname{ord}_1(-x) \leq \operatorname{ord}_0(\infty) - 1$  in contradiction to Lemma 7. Hence the order of generation of  $G_x$  is at least  $\operatorname{ord}_0(\infty) + 1$ . Similarly, as  $W_x$  in (6) is in  $G_x$ , the order of generation of  $G_x$  is at least  $\operatorname{ord}_0(-x) + 1$  and (15) is thus established.

It suffices to determine  $\operatorname{ord}_0(-x)$  and  $\operatorname{ord}_0(\infty)$  in  $G_x$  relative to  $T_t$  and  $S_s^x$ . It is clear that if  $t_1$  is the largest real solution of x=2x/(x-1), then for  $1 \le x \le t_1$ ,  $\operatorname{ord}_0(-x)=2$  (in fact,  $t_1=3$ ). By Lemma 1,  $\operatorname{ord}_0(-x)=4$  if and only if  $T_\pi S_\pi^x(x) \le 2x/(x-1)$  and x>2x/(x-1); further, it follows from the same lemma that for  $n \ge 3$ ,  $\operatorname{ord}_0(-x)=2n$  if and only if

(16) 
$$T_{\pi}S_{\pi}^{x}T_{\pi}S_{\pi}^{x}\cdots \cdots T_{\pi}S_{\pi}^{x}(x) \leq 2x/(x-1)$$

$$(2n-2 \text{ factors})$$

and

(17) 
$$T_{\pi}S_{\pi}^{x} \cdot \cdot \cdot \cdot T_{\pi}S_{\pi}^{x}(x) > 2x/(x-1)$$

$$(2n-4 \text{ factors})$$

both hold.

Now a simple calculation shows

(18) 
$$T_{\pi} S_{\pi}^{x}(u) = \frac{(x-1)u - 2x}{2u + x - 1}.$$

If one now defines  $F_1(x) = x$ ,  $F_n(x)$  recursively by

(19) 
$$F_n(x) = \frac{(x-1) F_{n-1}(x) - 2x}{2F_{n-1}(x) + x - 1}; \qquad n \ge 2,$$

then  $F_{n-1}(x)$  is the expression consisting of 2n-4 factors in (17) (if n=2, it is just x) and  $F_n(x)$  is the expression in (16). Thus  $\operatorname{ord}_0(-x)=2n$  if and only if  $F_{n-1}>2x/(x-1)$  but  $F_n(x) \leq 2x/(x-1)$ ,  $n\geq 2$ .

 $F_n(x)$  is a rational function and the asymptotic expression

(20) 
$$\lim_{x \to +\infty} \frac{F_n(x)}{x} = \frac{1}{2n-1}$$

is easily established by induction.

Define  $t_0 = 1$ ; then  $t_1$  is the only solution of  $F_1(x) = 2x/(x-1)$  that is greater than  $t_0$ ; further clearly  $F_1$  is finite and  $F_1 > 0$  for  $x \ge t_0$ . In fact it is possible to construct a strictly increasing sequence of real numbers  $\langle t_n \rangle$  such that

 $F_n(x)$  is finite for  $x \ge t_{n-1}$  and  $F_n'(x) > 0$  for  $x \ge t_{n-1}$ ; and  $t_n$  is the *only* solution of:

(21) 
$$F_n(x) = 2x/(x-1)$$
 that is  $> t_{n-1}$ .

To prove this note that it is true for n = 1; if  $F_{n-1}(t_{n-1}) = 2x/(x-1)$ , then  $F_n(t_{n-1}) = 0$  by (19); a simple computation shows

(22) 
$$F_{n'}(x) = \frac{(x+1)^2 F_{n-1}(x) + 2(F_{n-1}(x) - 1)^2}{[2F_{n-1}(x) + (x-1)]^2} .$$

Since  $F'_{n-1}(x) > 0$  for  $x \ge t_{n-2}$  and  $F_{n-1}(t_{n-1}) > 0$  it is clear that  $F_{n-1}(x) > 0$  for all  $x \ge t_{n-1}$ , so that  $F_n(x)$  is defined for  $x \ge t_{n-1}$  and clearly by (22)  $F_n' > 0$  for  $x \ge t_{n-1}$ . Now  $F_n(t_{n-1}) = 0$ ,  $F_n' > 0$  for  $x \ge t_{n-1}$  together with (20) imply that

(23) 
$$F_n(x) = 2x/(x-1) \text{ must have one and only one root greater than } t_{n-1};$$

denote it by  $t_n$ . This completes the inductive argument; note in fact that (21) holds for  $x \ge t_{n-2}$ ,  $n \ge 2$ , since  $F_{n-1}(t_{n-2}) = 0$ ,  $n \ge 3$  ( $F_1(t_0) = 1$ ).

Thus for  $t_{n-1} < x \le t_n$ ,  $\operatorname{ord}_0(-x) = 2n$ . Further  $\lim_{n \to \infty} t_n = \infty$ ; this follows from the fact that if  $\lim_{n \to \infty} t_n = T$  is finite, then for all  $x \ge T$ ,  $F_n(x) > 2x/(x-1)$  for all n, and hence  $\operatorname{ord}_0(-x)$  would not be finite for such x.

The above properties of  $\langle t_n \rangle$  will be, in fact, trivial once they are explicitly determined. To do this, write

$$(24) F_n(x) = P_n(x)/Q_n(x),$$

where  $P_n(x)$  and  $Q_n(x)$  are relatively prime polynomials with real coefficients,  $P_n$  monic. Note that  $P_n$  and  $Q_n$  are thus relatively prime over the complex numbers. From (20) it follows that degree  $P_n$  = degree  $Q_n + 1$ , for all n, and the leading coefficient of  $Q_n$  is 2n - 1. Now

(25) 
$$F_{n+1}(x) = \frac{(x-1)P_n(x) - 2x Q_n(x)}{2P_n(x) + (x-1)Q_n(x)} = \frac{P_{n+1}}{Q_{n+1}}.$$

**Lemma** 8.  $P_{n+1}^* = (x-1)P_n - 2xQ_n$  and  $Q_{n+1}^* = 2P_n + (x-1)Q_n$  are relatively prime.

**PROOF.** First it is easily shown by induction that  $F_n(-1) = -1$ , for all n, and hence  $P_n(-1) = -Q_n(-1) \neq 0$ . Hence  $P_{n+1}^*(-1) = -2P_n(-1) + 2Q_n(-1) = +4Q_n(-1) \neq 0$ . Further at a zero of  $Q_n$ ,  $Q_{n+1}^* \neq 0$ , since otherwise  $P_n$  would be zero. Hence if  $P_{n+1}^*$  and  $Q_{n+1}^*$  have a common zero, then

(26) 
$$(x-1)P_n = 2xQ_n$$

$$2P_n = -(x-1)Q_n$$

$$> 2(x-1)P_n = 4xQ_n$$

$$2(x-1)P_n = -(x-1)^2 Q_n$$

and hence  $4x = -(x-1)^2$ , i.e., x = -1; but  $P_{n+1}^*(-1) \neq 0$ .

Since  $P_{n+1}^*$  is monic, the recurrence formula

(27) 
$$P_{n+1} = (x-1)P_n - 2xQ_n; Q_{n+1} = 2P_n + (x-1)Q_n,$$

are established;  $P_1 = x$ ,  $Q_1 = 1$ ; degree  $P_n = n$ .

To find the root of (22) that is greater than  $t_{n-1}$ , it suffices to find the root of the polynomial equation of degree n+1:

$$(28) (x-1)P_n - 2xQ_n = 0$$

that is greater than  $t_{n-1}$ . But (28) is just

(29) 
$$P_{n+1} = 0 \qquad (n\text{th equation}).$$

 $P_{n+2}$  can be expressed in terms of  $P_n$  and  $Q_n$ ; it is more illuminating to get a recurrence relation involving only the  $P_n$ .

$$P_{n+2} = (x-1)P_{n+1} - 2xQ_{n+1}$$

$$= (x-1)P_{n+1} - 2x(2P_n + (x-1)Q_n)$$

$$= (x-1)P_{n+1} - 4xP_n + (x-1)(P_{n+1} - (x-1)P_n)$$

$$= 2(x-1)P_{n+1} - (x+1)^2 P_n, \quad n \ge 1.$$

A simple calculation yields  $P_2 = x^2 - 3x$  and  $P_3 = x^3 - 10x^2 + 5x$ . Observe that by (30) it follows that x is a factor of all  $P_n$ . Define  $R_n = P_{n+1}/x$ . Then the nth equation is given by  $R_n = 0$ , degree  $R_n = n$  and the root of  $R_n = 0$  that is greater than  $t_{n-1}$  is the same as the root of  $P_{n+1} = 0$  that is greater than  $t_{n-1}$  ( $t_1 = 3 > 0$ ). Thus  $R_1 = x - 3$ ,  $R_2 = x^2 - 10x + 5$  and  $R_n$  satisfy the same recurrence relation (30).

Since  $x = \cot^2(\psi/2) = (1 + \cos \psi)/(1 - \cos \psi)$ , it is expedient to introduce a new variable  $\psi$  by the equation

(31) 
$$x = \frac{1+y}{1-y} \; ; \quad y = \frac{x-1}{x+1} \; .$$

Let  $D_n(y) = (1 - y)^n R_n((1 + y)/(1 - y))$ ; substitution in (30) yields

(32) 
$$D_{n+1}(y) = 4yD_n(y) - 4D_{n-1}(y); \qquad n \ge 2,$$
$$D_1(y) = 4y - 2, \qquad D_2(y) = 16y^2 - 8y - 4.$$

Thus  $D_n(y) = 0$  is a polynomial equation of degree n and there is

precisely one root in the interval  $(t_{n-1}-1)/(t_{n-1}+1) < y < 1$ . Now finally define  $V_n = D_n/2^n$ ; then  $V_1 = 2y - 1$ ,  $V_2 = 4y^2 - 2y - 1$  and the recurrence relation for  $V_n$  is by (32)

(33) 
$$\frac{D_{n+1}}{2^{n+1}} = \frac{4}{2} \frac{y}{1} \frac{D_n}{2^n} - \frac{4}{4} \frac{D_{n-1}}{2^{n-1}}, \quad n \ge 2,$$

or

(34) 
$$V_{n+1} = 2yV_n - V_{n-1}, \qquad n \ge 2.$$

But (34) is the recurrence relation for the Tchebyshev polynomials; in fact, if  $U_n(y)$  is the Tchebyshev polynomial of type II then  $U_n - U_{n-1}$  satisfies (34) and  $V_1 = U_1 - U_0$ ,  $V_2 = U_2 - U_1$  so that

(35) 
$$V_n(y) = U_n(y) - U_{n-1}(y) = \frac{\sin(n+1)\theta - \sin n\theta}{\sin \theta}$$
,  $\theta = \cos^{-1}y$ .

Some elementary trigonometry yields

(36) 
$$V_n(y) = \frac{2\cos\left((2n+1)/2\right)\theta\sin\left(\theta/2\right)}{\sin\theta}, \qquad \theta = \cos^{-1}y,$$

and hence the roots of  $V_n(y) = 0$  are

(37) 
$$\cos \frac{\pi}{2n+1}$$
,  $\cos \frac{3\pi}{2n+1}$ ,  $\cdots$ ,  $\cos \frac{(2n-1)\pi}{(2n+1)}$ .

The largest root is  $\cos (\pi/(2n+1))$  and one can now directly verify that the only root of  $V_n(y) = 0$  that is greater than  $\cos(\pi/(2n-1))$  is  $\cos(\pi/(2n+1))$ . Hence  $t_n = \cot^2(\pi/2(2n+1))$ .

To determine  $\operatorname{ord}_0(\infty)$  one may, in view of Lemma 2, follow the above procedure; let  $G_1 = (x-1)/2$  and let  $G_n$  be defined recursively by (19). Again  $G_n(-1) = -1$  for all n; (20) is replaced by

(20') 
$$\lim_{x \to +\infty} \frac{G_n(x)}{x} = \frac{1}{2n}.$$

Let  $s_0 = 1$ ; again it is possible to construct a strictly increasing sequence of real numbers  $\langle s_n \rangle$  such that the only solution of  $G_n(x) = 2x/(x-1)$  that is greater than  $s_{n-1}$  is  $s_n$ . Then for  $s_{n-1} < x \le s_n$ ,  $\operatorname{ord}_0(\infty) = 2n+1$ . Clearly by Lemma 5,  $t_n < s_n < t_{n+1}$ ,  $n \ge 1$ . One obtains, exactly as above except that  $R_n = P_{n+1}$  replaces  $R_n = P_{n+1}/x$ , a sequence of polynomials  $C_n(y)$  satisfying the recurrence relation of the Tchebyshev polynomials with  $C_1 = 2y^2 - 1$ ,  $C_2 = 8y^3 - 6y$  for which the solution of the equation  $C_n(y) = 0$  in the interval  $(s_{n-1} - 1)/(s_{n-1} + 1) < y < 1$  is desired. But one observes that now if one denotes by  $T_n(y)$  the Tchebyshev polynomials of type I:

(38) 
$$C_n(y) = T_{n+1}(y) = \cos(n+1)\theta, \quad \theta = \cos^{-1}(y).$$

Hence the *n* zeros of  $C_n(y) = 0$  are

(39) 
$$\cos \frac{\pi}{2(n+1)}$$
,  $\cos \frac{3\pi}{2(n+1)}$ ,  $\cdots$ ,  $\cos \frac{(2n+1)\pi}{2(n+1)}$ .

The largest root is  $\cos(\pi/(2n+2))$  and this is the only root greater than  $\cos(\pi/2n)$ . Hence  $s_n = \cot^2(\pi/2(2n+2))$ .

Thus it has been established that for

(40) 
$$\cot^2 \frac{\pi}{2(2n)} < x \le \cot^2 \frac{\pi}{2(2n+1)},$$

 $\operatorname{ord}_0(-x) = 2n$ ,  $\operatorname{ord}_0(\infty) = 2n + 1$ , and thus the order of generation of  $G_x$  is 2n + 2, and for

(41) 
$$\cot^2 \frac{\pi}{2(2n+1)} < x \le \cot^2 \frac{\pi}{2(2n+2)},$$

 $\operatorname{ord}_0(-x)=2n+2$ ,  $\operatorname{ord}_0(\infty)=2n+1$ , and thus order of generation of  $G_x$  is 2n+3. This establishes (8) in case k is even and odd respectively.

Remark. This proof admits a simple interpretation on the sphere. The "optimal" method of taking either of the two fixed points in the northern hemisphere into the South pole involves successive rotations by  $\pi$  until the last two elements in the product (Lemmas 1 and 2). The "critical" values of  $\psi$ , the angle between the axes of rotation, are those for which a product consisting entirely of rotations by  $\pi$  is needed to take one of those fixed points into the South pole. For example, if  $\psi = \pi/3$ , two rotations by  $\pi$  are needed to take the point  $(-(\sin \psi)/2, 0, (1 + \cos \psi)/2)$  into the South pole; if  $\psi < \pi/3$  the order of this point becomes at least 4; in fact, the order of this point is 4 for  $\pi/5 \le \psi < \pi/3$ . If  $\psi = \pi/4$ , three rotations by  $\pi$  take the North pole into the South pole; if  $\psi < \pi/4$ , the order of the North pole becomes at least 5 and is in fact equal to 5 for  $\pi/6 \le \psi < \pi/4$ .

**Remark.** If the order of generation of SO(3) by  $T_{\theta}$  and  $S_{\phi}$  is n, then every rotation can be written as a product of length exactly n by insertion of  $T_0 = S_0 = \text{Identity}$  an appropriate number of times.

Corollary. Let the order of generation of SO(3) by  $T_{\theta}$  and  $S_{\phi}$  be n. Then every rotation can be expressed as a product of length n whose last element is a  $T_{\phi}$ . Further every rotation can also be expressed as a product of length n whose last element is an  $S_{\theta}$ .

**PROOF.** If the rotation can be expressed as a product of length less than n the result is trivial. If the rotation cannot be expressed as a

product of length less than n, then the point taken into the South pole must have order n-1 so every rotation taking that point into the South pole can be written as a product of length n whose last element is  $T_{\phi}$ . Similarly, the point taken by the rotation into  $((\sin \psi)/2, 0, (1-\cos \psi)/2)$  has order n-1 so that every such rotation can be expressed as a product of length n with last element  $S_{\phi}$ .

## Appendix.

THEOREM. Let G be a compact, connected Lie group; suppose X and Y generate the Lie algebra g and that  $e^{tX}$ ,  $e^{sY}$  are compact. Then G is uniformly finitely generated by  $e^{tX}$ ,  $e^{sY}$ .

**PROOF.** Let  $G_n$  be all products of  $e^{tX}$ ,  $e^{sY}$  of length  $\leq n$ ;  $G_n$  is clearly compact;  $\bigcup_{n=1}^{\infty} G_n = G$ . By the Baire category theorem as G is a complete metric space, some  $G_N$  (and hence  $G_n$ ,  $n \geq N$ ) contains an open set U.  $\bigcup_{T \in G} TU = G$ ; since the sets TU are open, this is an open cover of G and has a finite subcover. Hence  $\exists T_1, \cdots, T_k$  such that  $\bigcup_{i=1}^k T_i U = G$ . But each  $T_i$ ,  $i = 1, \cdots, k$ , is a finite product of  $e^{tX}$ ,  $e^{sY}$  and as  $U \subset G_N$ , the theorem is proved.

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