

Algebraic varieties with small Chow groups

By

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Introduction

Let X be a smooth complete n -dimensional variety over a field k , let $A^i X = A_{n-i} X$ denote the Chow groups of X , and let $A_{\text{hom}}^i X = A_{n-i}^{\text{hom}} X$ denote the kernel of the cycle class map

$$\text{cl}^i: A^i X \rightarrow H^{2i} X$$

to a fixed Weil cohomology theory. The group of 0-cycles of degree 0, denoted by $A_0^{\text{hom}} X$, is called finite dimensional if there exist a universal domain $\Omega \supset k$ and an integer $m \in \mathbb{N}$ such that the natural map

$$\begin{aligned} S^m X_\Omega \times S^m X_\Omega &\rightarrow A_0^{\text{hom}}(X_\Omega) \\ (a, b) &\mapsto a - b \end{aligned}$$

is surjective, where S^m denotes m th symmetric power.

One of the cornerstones of the study of Chow groups is the following famous result of Mumford [Mum] [Bl 1, Lecture 1]:

(0.1) Theorem (Mumford). *Let X be a surface over an algebraically closed field. If $A_0^{\text{hom}} X$ is finite dimensional, then $H^2 X$ is algebraic.*

Bloch conjectured that the converse holds [Bl 1, Lecture 1]:

(0.2) Conjecture (Bloch). *If X is a surface over an algebraically closed field such that $H^2 X$ is algebraic, then $A_0^{\text{hom}} X$ is finite dimensional.*

Mumford's theorem is usually read as indicating that for a general variety, the Chow groups are "very large" in codimension > 1 . But another way of paraphrasing Mumford's theorem is that varieties with "small" Chow groups have very special properties.

This last idea is systematically explored by Bloch and Srinivas [B-S]. Observing that $A_0^{\text{hom}} X$ is finite dimensional iff $A_0(X_\Omega)$ has support on a curve (for a universal

domain Ω), they study varieties X for which $A_0(X_\Omega)$ is supported on some subvariety, i.e. for which there exists a closed (possibly singular and reducible) $Y \subset X_\Omega$ of dimension r such that there is a surjection

$$A_0 Y \rightarrow A_0(X_\Omega).$$

If r is small, Bloch and Srinivas show this has many interesting consequences: e.g. if $r < n$ then the geometric genus $p_g(X) = 0$, if $r \leq 3$ then the Hodge conjecture in codimension 2 is true for X , if $r \leq 2$ then the algebraic equivalence coincides with the homological one for codimension 2 cycles on X , and so on.

The influence of all Chow groups A^* (not just A_0) on Weil cohomology H^* is further studied by Jannsen, who proves (in the beautiful survey article [Ja 2]):

(0.3) Theorem (Jannsen). *Let X be a smooth complete variety over a universal domain Ω . Suppose all cycle class maps $\text{cl}^i: A^i X \otimes H^0 \Omega \rightarrow H^{2i} X$ are injective. Then they are also surjective, i.e. there is a ring-isomorphism*

$$A^* X \otimes H^0 \Omega \xrightarrow{\sim} H^* X.$$

In particular, if $\Omega = \mathbb{C}$, it follows that the Hodge numbers $h^{p,q}(X)$ vanish for $p \neq q$.

A similar result is proven by Esnault and Levine [E-L]:

(0.4) Theorem (Esnault-Levine). *Let X be a smooth complete variety over \mathbb{C} . Suppose all cycle class maps into Deligne cohomology*

$$\text{cl}_{\mathcal{D}}^i: A^i X_{\mathcal{D}} \rightarrow H_{\mathcal{D}}^{2i}(X, \mathcal{Q}(i))$$

are injective. Then they are also surjective, and $h^{p,q}(X) = 0$ for $|p - q| > 1$.

In this paper, the main goal is to unify (and mildly generalize) these results of Mumford, Bloch-Srinivas, Jannsen and Esnault-Levine. Motivated by the Bloch-Srinivas approach, the following definition seems natural: We say that

$$\text{Niveau}(A^i(X)_{\mathcal{D}}) \leq r$$

if there exists a closed (possibly singular and reducible) $Y \subset X$ of codimension $i - r$ such that push-forward induces a surjection

$$A_{n-r}(Y)_{\mathcal{D}} \rightarrow A^i(X)_{\mathcal{D}}.$$

The cases $r = 0$ and $r = 1$ correspond to the injectivity of cl^i and $\text{cl}_{\mathcal{D}}^i$ respectively, as assumed in (0.3) and (0.4), cf. (1.5).

The main result is that over a universal domain, the niveau of Chow groups $A_{\mathcal{D}}^*$ influences the niveau of other cohomology theories $H^*(-, *)$ (see (1.7) and (1.9) for precise statements). A particular case is that if X is a smooth complete

n -dimensional variety over \mathbb{C} with

$$\text{Niveau}(A^i(X)_{\mathbb{Q}}) \leq r \quad \forall i \leq \frac{n-r}{2},$$

then one has $h^{p,q}(X) = 0$ if $|p-q| > r$, i.e. X has a small Hodge diamond. Note that this is a Mumford-type result.

Another special case of our main result is that over a universal domain, the niveau of Chow groups in high degree influences the niveau of low degree Chow groups, cf. (1.8.1).

The conjectural existence of a category of mixed motives ([Be],[Ja 2], [SaS]) has led people ([Ja 2],[Pa]) to conjecture that the converse is true: over a universal domain, the niveau of Chow groups should in its turn be determined by the niveau of Weil cohomology, in particular the vanishing $h^{p,q}(X) = 0$ for $|p-q| > r$ should imply that

$$\text{Niveau}(A^i(X)_{\mathbb{Q}}) \leq r,$$

cf. (1.11) for a more precise statement. Note that this is a Bloch-type conjecture.

It should be stressed that the methods of proof in this paper are far from being new. The main idea, viz. that small Chow groups give a decomposition of the diagonal

$$\Delta \in A^n(X_{\Omega} \times X_{\Omega})_{\mathbb{Q}},$$

and that this decomposition has consequences for other cohomology theories H^* since the diagonal acts as correspondence on H^* , can also be found in the afore-mentioned works of Bloch-Srinivas, Jannsen and Esnault-Levine. This idea makes its first appearance in Bloch's book [B1 1, Appendix to Lecture 1], where it is attributed to Colliot-Thélène.

In a second section, we give several applications of this approach; most of these are straightforward generalizations of applications in [B-S]. The principle of these applications is that if a smooth complete variety X over a universal domain satisfies

$$\text{Niveau}(A^i(X)_{\mathbb{Q}}) \leq r \quad \forall i,$$

then (the Chow motive of) X behaves in every way as (the Chow motive of) an r -dimensional variety. For instance, if all Chow groups of X have niveau ≤ 3 , then the Hodge conjecture for X is true since it can be reduced to the known cases of curves and divisors, cf. §2.2.

A new application is given in a sequel to this article [La 2]; here we verify Murre's conjectures (on a motivic decomposition of the Chow motive, [Mur]) for 3- and 4-folds with $\text{Niveau}(A^i(X)_{\mathbb{Q}}) \leq 2$.

1. Main result

The following definition is inspired by the notion of a “twisted Poincaré duality theory” [B-O]:

(1.0) Definition. Let $\mathcal{V}\mathcal{A}\mathcal{R}_k$ be the category whose objects are smooth complete varieties over the field k , and with arbitrary morphisms of varieties as arrows. Let R be a ring.

A good cohomology theory with values in R on $\mathcal{V}\mathcal{A}\mathcal{R}_k$ is a contravariant functor

$$H^*(-, *) : \mathcal{V}\mathcal{A}\mathcal{R}_k \rightarrow \{\text{bigraded } R\text{-algebras}\}$$

satisfying:

- (i) Every $X \in \mathcal{V}\mathcal{A}\mathcal{R}_k$ has a canonical element

$$[X] \in H^0(X, 0),$$

the *fundamental class*;

- (ii) For every $X \in \mathcal{V}\mathcal{A}\mathcal{R}_k$ there is a ring-structure

$$H^i(X, j) \otimes (X, l) \rightarrow H^{i+k}(X, j+l)$$

for which $[X]$ is a unit and which is compatible with pull-backs;

- (iii) For a proper morphism $p: X \rightarrow Y$ between equidimensional varieties in $\mathcal{V}\mathcal{A}\mathcal{R}_k$ there exists a functorial push-forward

$$p_* : H^i(X, j) \rightarrow H^{i+2d}(Y, j+d)$$

where $d := \dim X - \dim Y$;

- (iv) (*Projection formula*) For a proper morphism $p: X \rightarrow Y$, one has

$$p_*(\alpha \cdot p^*\beta) = p_*\alpha \cdot \beta$$

for any $\alpha \in H^i(X, j)$, $\beta \in H^k(Y, l)$;

- (v) (*Base change*) For a Cartesian diagram of projections

$$\begin{array}{ccc} X \times X' \times X'' & \xrightarrow{p'} & X \times X' \\ \downarrow q' & & \downarrow q \\ X' \times X'' & \xrightarrow{p} & X' \end{array}$$

one has $p^*q_* = (q')_*(p')^*$;

- (vi) There exists a “cycle class” natural transformation of contravariant functors

$$cl^i : A_{\mathcal{Q}}^i \rightarrow H^{2i}(-, i),$$

compatible with product and proper push-forward;

- (vii) (*Vanishing*) For $X \in \mathcal{V}\mathcal{A}\mathcal{R}_k$ of pure dimension n , one has

$$H^i(X, j) = 0 \text{ if } i < 0 \text{ or } i > 2n.$$

The following is a weak version of the notion of “Weil cohomology” that can be found in the literature:

(1.1) Definition. A *Weil cohomology* is a contravariant functor

$$H^*: \mathcal{V} \mathcal{A} \mathcal{R}_k \rightarrow \{\text{graded } R\text{-algebras}\}$$

($R = \mathbb{Q}, \mathbb{R}, \mathbb{C}$ or \mathbb{Q}_l) satisfying:

- (i) $H^i(-, j) = H^i$ defines a good cohomology theory;
- (ii) Each $H^i X$ is a finitely generated R -module, and for any n -dimensional variety X , $H^{2n} X$ is generated by the irreducible components of X ;
- (iii) (*Poincaré duality*) For any n -dimensional X , intersection defines a perfect pairing

$$H^i X \times H^{2n-i} X \rightarrow H^{2n} X;$$

- (iv) (*Weak Lefschetz*) If X is projective and $Y \subset X$ is a smooth hyperplane section, then the homomorphisms

$$H^{i-2} Y \rightarrow H^i X$$

are surjective for $i > n := \dim X$.

(1.2) Examples. Every twisted Poincaré duality theory [B-O] satisfying the vanishing (vii) gives a good cohomology theory; in particular we have singular cohomology with rational coefficients for $k = \mathbb{C}$, Deligne cohomology $H_{\mathcal{D}}^*(-, \mathbb{Q}(*))$ for $k = \mathbb{C}$, étale cohomology with values in \mathbb{Q}_l for k algebraically closed of characteristic prime to l , DeRham cohomology for k algebraically closed.

Singular, étale and DeRham cohomology are the main examples of Weil cohomologies.

Over any field k , a trivial example of a good cohomology theory is given by

$$H^i(X, j) = \begin{cases} A^j(X)_{\mathbb{Q}} & \text{if } i = 2j; \\ 0 & \text{otherwise.} \end{cases}$$

Extending this last example, it is expected that higher Chow groups [Bl 2] form a good cohomology theory after a renumbering (indeed, it is even expected they are the universal good cohomology theory), but for the vanishing (vii) the Beilinson-Soulé conjecture [So] is needed.

The next definition is motivated by Grothendieck’s coniveau filtration [Gro 1][B-O] and by the work of Bloch-Srinivas [B-S]:

(1.3) Definition. Let $X \in \mathcal{V} \mathcal{A} \mathcal{R}_k$.

- (i) We say that

$$\text{Niveau}(A^i(X)_{\mathcal{O}}) \leq r$$

if there exists a closed reduced subscheme $Y \subset X$ of codimension $\geq i-r$ such that one has $A^i(X \setminus Y)_{\mathcal{O}} = 0$ (equivalently, such that push-forward induces a surjection

$$A_{n-i}(Y)_{\mathcal{O}} \rightarrow A^i(X)_{\mathcal{O}}).$$

(ii) For any good cohomology theory $H^*(-, *)$, we say that

$$\text{Niveau}(H^i(X, j)) \leq r$$

if there exists a smooth complete variety Y of dimension $d \leq n + (r-i)/2$ and a proper morphism $Y \rightarrow X$ inducing a surjection

$$H^{i+2d-2n}(Y, j+d-n) \rightarrow H^i(X, j).$$

(1.4) Remarks. 1. For a Weil cohomology H^* , it is immediate that one has

$$\text{Niveau}(H^i X) \leq r \Leftrightarrow H^i X = N^{\lceil \frac{i-r}{2} \rceil} H^i X,$$

where N^* denotes the *coniveau filtration* on H^* [Gro 1] [Gro 2] [B-O], i.e.

$$N^l H^i X := \bigcup_{\substack{p: Y \rightarrow X \text{ proper,} \\ Y \text{ smooth of dim. } n-l}} \text{Im}(H^{i-2l} Y \rightarrow H^i X).$$

2. Suppose $k = \mathbb{C}$, and H^* is singular cohomology. The above definition of the coniveau filtration coincides with the following one:

$$N^l H^i X := \bigcup_{Y \subset X \text{ closed of codim. } l} \text{Im}(H_{2n-i} Y \rightarrow H^i X),$$

as can be seen using resolution of singularities.

It is not hard to see that

$$\text{Niveau}(H^i X) \leq r \Rightarrow h^{p,q}(X) = 0 \text{ for } p+q=i, |p-q| > r,$$

where $h^{p,q}$ denotes the Hodge numbers. In fact, it is expected that these two statements are equivalent; the right-to-left implication is a consequence of Grothendieck's generalized Hodge conjecture [Gro 2].

The following lemma further motivates definition (1.2):

(1.5) Lemma. *Let X be a smooth complete variety of dimension n over a universal domain Ω , and let s be a non-negative integer.*

- (i) $\text{Niveau}(A^i(X)_{\mathcal{Q}}) \leq 0 \quad \forall i \geq n-s$
 $\Leftrightarrow A^i_{\text{hom}}(X)_{\mathcal{Q}} = 0 \quad \forall i \geq n-s$
 $\Leftrightarrow A^i(X)_{\mathcal{Q}}$ has finite rank $\forall i \geq n-s$.

(ii) Let $\Omega = C$. Then

$$\text{Niveau}(A^i(X)_{\mathcal{Q}}) \leq 1 \quad \forall i \geq n-s \Leftrightarrow A^i_{\text{AJ}}(X)_{\mathcal{Q}} = 0 \quad \forall i \geq n-s.$$

Proof. (i) Clearly the second statement implies the last, and the last implies the first. That the first statement implies the second follows from (1.7) with $r=0$, cf. (2.1).

(ii) This follows from the fact that both statements are equivalent to the existence of a decomposition of the diagonal as in (1.7) (ii) with $r=1$ (for the equivalence between this decomposition and the right-hand-side of (1.5)(ii), cf. [E-L]).

(1.6) Remarks. 1. The question whether the equivalence of lemma (1.5) holds for any individual index i still seems open. Also, I don't know whether (1.5) holds without tensoring by \mathcal{Q} .

2. Beilinson and Murre have conjectured the existence of an $i+1$ -step filtration F^* on $A^i(X)_{\mathcal{Q}}$, of which the first two steps should be homological and Abel-Jacobi equivalence [Be][Mur][Ja 2][Ja 3]. In terms of this conjectural filtration, the condition $\text{Niveau}(A^i(X)_{\mathcal{Q}}) \leq r$ should correspond to $F^{r+1}A^i(X)_{\mathcal{Q}} = 0$.

With the above terminology, the main result of this paper is:

(1.7) Theorem. *Let X be a smooth complete variety of dimension n defined over the field k , let $\Omega \supset k$ be a universal domain. For any two given non-negative integers r and s , the following statements are equivalent:*

- (i) $\text{Niveau}(A^i(X_{\Omega})_{\mathcal{Q}}) \leq r$ for all $i \geq n-s$;
- (ii) *There exist closed and reduced subschemes V_0, \dots, V_s and W_0, \dots, W_{s+1} of X_{Ω} such that $\dim V_j \leq j+r (j=0, \dots, s)$, $\dim W_j \leq n-j (j=0, \dots, s+1)$, and such that the diagonal $\Delta \in A^n(X_{\Omega} \times X_{\Omega})_{\mathcal{Q}}$ has a decomposition*

$$\Delta = \Delta_0 + \Delta_1 + \dots + \Delta_s + \Delta^{s+1},$$

with Δ_j in the image

$$A_n(V_j \times W_j)_{\mathcal{Q}} \rightarrow A^n(X_{\Omega} \times X_{\Omega})_{\mathcal{Q}}$$

($j=0, \dots, s$), and Δ^{s+1} in the image

$$A_n(X_{\Omega} \times W_{s+1})_{\mathcal{Q}} \rightarrow A^n(X_{\Omega} \times X_{\Omega})_{\mathcal{Q}};$$

- (iii) $\text{Niveau}(H^i(X_{\Omega}, l)) \leq \begin{cases} 2r & \text{for all } i > 2(n-s-1); \\ \max(2r, i-2s-2) & \text{for all } i \end{cases}$

for all good cohomology theories.

Proof. (iii) \Rightarrow (i): This is trivial, since for $H^*(X, *)$ we are allowed to take the Chow groups (1.2).

(i) \Rightarrow (ii): The hypothesis

$$\text{Niveau}(A^n(X_\Omega))_{\mathbf{Q}} \leq r$$

means that there exists $Y \subset X_\Omega$ of dimension $\leq r$ such that

$$A^n(X_\Omega \setminus Y)_{\mathbf{Q}} = 0.$$

Taking k to be the smallest field of definition of X and Y , and using Bloch's result that for a field extension $K \subset L$ the application

$$A^*(M_K)_{\mathbf{Q}} \rightarrow A^*(M_L)_{\mathbf{Q}}$$

is injective [Bl 1, Appendix to Lecture 1], we can suppose that k is finitely generated over its prime subfield and that

$$\text{Niveau}(A^n(X_k))_{\mathbf{Q}} \leq r$$

for any finitely generated $K \supset k$.

Consider now the restriction

$$A^n(X_k \times X_k)_{\mathbf{Q}} \rightarrow A^n(X_{k(X)})_{\mathbf{Q}}.$$

The last group has niveau $\leq r$ by assumption, i.e. there exists $V_0 \subset X_{k(X)}$ of dimension $\leq r$ and a surjection

$$A_0(V_0)_{\mathbf{Q}} \twoheadrightarrow A^n(X_{k(X)})_{\mathbf{Q}}.$$

In particular, the restriction of the diagonal to $X_{k(X)}$ comes from a cycle on V_0 . Let $\Delta_0 \in A^n(X \times X)_{\mathbf{Q}}$ be the closure of this cycle.

By construction, the cycle

$$\Delta^1 = \Delta - \Delta_0 \in A^n(X \times X)_{\mathbf{Q}}$$

maps to 0 in

$$A^n(X_{k(X)})_{\mathbf{Q}} = \varinjlim_{U \subset X \text{ open}} A^n(X \times U)_{\mathbf{Q}},$$

so that it maps to 0 when restricted to some sufficiently small U . Denoting by $W_1 \subset X$ the complement of such a U , we find by localization that the cycle Δ^1 comes from $A_n(X \times W_1)_{\mathbf{Q}}$.

If $s=0$, we have found a decomposition $\Delta = \Delta_0 + \Delta^1$ satisfying (ii), where

$W_0 = X$. If $s \geq 1$, we apply the same reasoning to

$$\Delta^1 \in A_n(X \times W_1)_{\mathbf{Q}}$$

and the restriction

$$A_n(X \times W_1)_{\mathbf{Q}} \rightarrow A^{n-1}(X_{k(W_1)})_{\mathbf{Q}}.$$

After $s+1$ steps, we arrive at a decomposition satisfying (ii).

(ii) \Rightarrow (iii): We consider the action of the correspondence

$$\Delta = \Delta_0 + \Delta_1 + \cdots + \Delta_s + \Delta^{s+1}$$

on the R -module $H^i(X, l)$.

Let $\tilde{V}_j \rightarrow V_j$, $\tilde{W}_j \rightarrow W_j$ be generically finite proper morphisms with \tilde{V}_j and \tilde{W}_j smooth (these exist by de Jong's work [dJ]); let

$$\begin{aligned} \tilde{\Delta}_j &\in A_n(\tilde{V}_j \times \tilde{W}_j)_{\mathbf{Q}} = A^r(\tilde{V}_j \times \tilde{W}_j)_{\mathbf{Q}} \\ \tilde{\Delta}^{s+1} &\in A^{n-s-1}(X \times \tilde{W}_{s+1})_{\mathbf{Q}} \end{aligned}$$

be cycles mapping to Δ_j , Δ^{s+1} .

First, let's consider the action of the correspondence Δ_j , which will be denoted $(\Delta_j)_*$, for $j=0, \dots, s$. This action fits into the following commutative diagram:

$$\begin{array}{ccc} H^i(\tilde{V}_j \times \tilde{W}_j, l) & \xrightarrow{[\tilde{\Delta}_j]} & H^{i+2r}(\tilde{V}_j \times \tilde{W}_j, l+r) \\ \uparrow & & \downarrow \\ H^i(\tilde{V}_j, l) & & H^{i-2j}(\tilde{W}_j, l-j) \\ \uparrow & & \downarrow \\ H^i(X, l) & \xrightarrow{(\Delta_j)_*} & H^i(X, l), \end{array}$$

where the left (resp. right) vertical maps are the obvious pull-backs (resp. push-forwards). (Commutativity of this diagram follows from the axioms defining good cohomology: If $f_j: \tilde{V}_j \rightarrow X$, $g_j: \tilde{W}_j \rightarrow X$ denotes the natural proper morphisms, p_1 resp. $p_2: X \times X \rightarrow X$ denotes projection on the first resp. second factor, and $p_{\tilde{V}}$ resp. $p_{\tilde{W}}$ denotes projection from $\tilde{V}_j \otimes \tilde{W}_j$ on the first resp. second factor, then for any $\alpha \in H^i(X, l)$:

$$\begin{aligned} (\Delta_j)_* \alpha &:= (p_2)_* ((p_1)^* \alpha \cdot [\Delta_j]) \\ &= (p_2)_* ((p_1)^* \alpha \cdot (f_j \times g_j)_* [\tilde{\Delta}_j]) \\ &= (p_2)_* (f_j \times g_j)_* ((f_j \times g_j)^* (p_1)^* \alpha \cdot [\tilde{\Delta}_j]) \\ &= (g_j)_* (p_{\tilde{W}})_* ((p_{\tilde{V}})^* (f_j)^* \alpha \cdot [\tilde{\Delta}_j]) \end{aligned}$$

— We found this argument in [E-L, Lemma 2.1], where it is stated for Deligne cohomology.)

Note that $H^i(\tilde{V}_j, l) = 0$ for $i > 2 \cdot \dim \tilde{V}_j = 2(j+r)$, so the above diagram implies $(\Delta_j)_* H^i(X, l)$ comes from \tilde{W}_j of dimension $n-j \leq n + 2r - i$, i.e.

$$\text{Niveau}((\Delta_j)_* H^i(X, l)) \leq 2r.$$

Next we consider the action of the correspondence Δ^{s+1} . There is a commutative diagram similar to the above one:

$$\begin{array}{ccc} H^i(X \times \tilde{W}_{s+1}, l) & \xrightarrow{(\Delta^{s+1})} & H^{i+2(n-s-1)}(X \times \tilde{W}_{s+1}, l+n-s-1) \\ & & \downarrow \\ & & H^{i-2(s+1)}(\tilde{W}_{s+1}, l-s-1) \\ & & \downarrow \\ H^i(X, l) & \xrightarrow{(\Delta^{s+1})_*} & H^i(X, l) \end{array}$$

which implies

$$\text{Niveau}((\Delta^{s+1})_* H^i(X, l)) \leq i - 2s - 2.$$

Altogether, since $\Delta = \Delta_0 + \Delta_s + \Delta^{s+1}$ acts as the identity, we find that

$$\text{Niveau}(H^i(X, l)) = \text{Niveau}(\Delta_* H^i(X, l)) \leq \max(2r, i - 2s - 2).$$

To get the bound on the niveau in case $i > 2(n-s-1)$, we apply the same reasoning to the correspondence

$$\Delta = {}^t\Delta = {}^t\Delta_0 + \dots + {}^t\Delta_s + {}^t\Delta^{s+1}$$

(where t denotes the transpose); vanishing of cohomology now gives that ${}^t\Delta^{s+1}$ acts as 0, and the conclusion follows.

(1.8) Remarks. 1. Here are some particular cases of theorem (1.7). Suppose X is defined over a universal domain $k = \Omega$, and that $A_0(X)_{\mathcal{Q}} \cong \mathcal{Q}$, i.e. $\text{Niveau}(A^n(X)_{\mathcal{Q}}) = 0$. Then it follows from (1.7) that $A^1_{\text{hom}}(X) \otimes \mathcal{Q} = 0$. More generally,

$$A^{\text{hom}}_0(X)_{\mathcal{Q}} = A^{\text{hom}}_{d-1}(X)_{\mathcal{Q}} = \dots = A^{\text{hom}}_s(X)_{\mathcal{Q}} = 0$$

implies

$$A^1_{\text{hom}}(X)_{\mathcal{Q}} = A^2_{\text{hom}}(X)_{\mathcal{Q}} = \dots = A^{s+1}_{\text{hom}}(X)_{\mathcal{Q}} = 0$$

(here I have used lemma (1.5)(i)).

Likewise,

$$A^{\text{AJ}}_0(X)_{\mathcal{Q}} = A^{\text{AJ}}_1(X)_{\mathcal{Q}} = \dots = A^{\text{AJ}}_s(X)_{\mathcal{Q}} = 0$$

implies

$$A^2_{\text{AJ}}(X)_{\mathcal{Q}} = A^3_{\text{AJ}}(X)_{\mathcal{Q}} = \dots = A^{s+2}_{\text{AJ}}(X)_{\mathcal{Q}} = 0$$

(using lemma (1.5)(ii)).

In particular, to have injectivity for all cycle class maps cl^i (resp. $cl_{\mathcal{Q}}^i$) in Jannsen's theorem (0.3)(resp. in Esnault-Levine's theorem (0.4)), it suffices to have injectivity of a bit less than half of them.

I like to consider this influence of A^i for i large on A^i for i small as a kind of "crypto-Poincaré duality" on the level of Chow groups.

2. Here is another corollary of theorem (1.7): Let X, X' be two smooth complete varieties over a universal domain Ω , and suppose that

$$\begin{aligned} \text{Niveau}(A_i X) &\leq r & \forall i \leq s, \\ \text{Niveau}(A_i X') &\leq r' & \forall i \leq s. \end{aligned}$$

Then one has

$$\text{Niveau}(A_i(X \times X')) \leq r + r' \quad \forall i \leq s$$

(as follows from the equivalence (i) \Leftrightarrow (ii) in (1.7)). For 0-cycles this corollary is easily proved directly, but for $i > 0$ it seems to be non-trivial. If a good category of mixed motives \mathcal{MM}_k exists, this corollary could be deduced from the Künneth formula for the Weil cohomology and Beilinson's formula, cf. remark (1.12)(ii) below; as such this corollary presents some evidence in favour of \mathcal{MM}_k .

3. As many people have stressed in this context [Ja 2][Sc], the hypothesis that Ω be a "very large" field is essential in (1.7). For instance, if k is a finite field it is known that

$$\text{Niveau}(A_0(X_k)_{\mathcal{Q}}) \leq 1$$

for any variety X [K-M]; the same is expected to hold for number fields k [Ja 1]. But of course, a variety over a finite field does not necessarily have an algebraic H^2 .

4. In view of applications, it would be interesting to know whether theorem (1.7) holds without tensoring A^* by \mathcal{Q} . An application in the style of our second section, but not ignoring torsion, is given by Colliot-Thélène [Co, Theorem 4.3. 10].

In case of a Weil cohomology H^* , one can give a better bound for $\text{Niveau}(H^*)$ than the one appearing in (1.7):

(1.9) Theorem. *Let X be a smooth complete variety of dimension n defined over a universal domain Ω . Suppose*

$$\text{Niveau}(A^i(X)_{\mathcal{Q}}) \leq r \text{ for all } i \geq n - s.$$

Then for any Weil cohomology H^* one has

$$\text{Niveau}(H^i X) \leq \max(r, i - 2s - 2),$$

i.e.

$$H^i X = N^l H^i X$$

for $l := \min(s + 1, \lceil \frac{i-r}{2} \rceil)$.

Proof. It follows from (1.7) that the diagonal decomposes as

$$\Delta = \Delta_0 + \dots + \Delta_s + \Delta^{s+1}$$

(notation as in (1.7)), and we consider the action of Δ on $\text{Gr}_N^l H^i X$.

The action of Δ_j factors as

$$\begin{array}{ccc} \text{Gr}_N^l H^i(\tilde{V}_j \times \tilde{W}_j) & \xrightarrow{[\Delta_j]} & \text{Gr}_N^{l+r} H^{i+2r}(\tilde{V}_j \times \tilde{W}_j) \\ \uparrow & & \downarrow \\ \text{Gr}_N^l H^i \tilde{V}_j & & \text{Gr}_N^{l-j} H^{i-2j} \tilde{W}_j \\ \uparrow & & \downarrow \\ \text{Gr}_N^l H^i X & \xrightarrow{(\Delta_j)_*} & \text{Gr}_N^l H^i X. \end{array}$$

Clearly $\text{Gr}_N^{l-j} = 0$ if $l < j$. On the other hand, it follows from weak Lefschetz that $\text{Gr}_N^l H^i \tilde{V}_j = 0$ for $l < i - \dim \tilde{V}_j = i - j - r$. Putting these two inequalities together, we find that Δ_j acts as 0 if $l < \lceil \frac{i-r}{2} \rceil$.

Likewise, the correspondence Δ^{s+1} acts as 0 on $\text{Gr}_N^l H^i X$ for $l < s + 1$.

(1.10) Corollary. *Let X be a smooth complete n -dimensional variety over \mathbb{C} , and suppose that*

$$\text{Niveau}(A^i(X)_{\mathbb{Q}}) \leq r \quad \text{for all } i \geq n - s.$$

Then

$$h^{p,q}(X) = 0 \quad \text{if } |p - q| > r \text{ and } p \leq s.$$

The results (1.9) and (1.10) are ‘‘Mumford type’’ theorems. Inspired by Bloch’s conjecture, several people [Pa][Ja 2] have conjectured the converse implication:

(1.11) Conjecture. The converses of (1.9) and (1.10) hold. In particular, for $X \subset \mathbb{P}^{n+1}(\mathbb{C})$ a degree d hypersurface, this conjecture predicts that $A_i(X)_{\mathbb{Q}} = \mathbb{Q}$ for all $i < \lfloor \frac{n+1}{d} \rfloor$.

(1.12) Remarks. 1. The case $s = 0, r = 1$ of theorem (1.9) is Mumford’s theorem (0.1). The case $r = 1$ of (1.10) is proven by Esnault-Levine [E-L]. A weaker version of (1.9) is proven by Paranjape [Pa], who also makes the conjecture (1.11).

Results closely related to (1.10) have been obtained by Lewis [Le 1] and Schoen [Sc], but only under the hypothesis of the generalized Hodge conjecture or some

standard conjecture.

2. Philosophically speaking, in view of remark (1.6) one also expects a Mumford type theorem for the Beilinson-Murre filtration on Chow groups. That is, suppose such a filtration F^* exists. Then if X is an n -dimensional variety with $p_g(X) > 0$, one should have

$$F^n A^n(X)_{\mathbf{Q}} \neq 0,$$

i.e. the filtration has maximal length.

3. The converse of (1.9) would follow from the existence of a category of mixed motives \mathcal{MM}_k in which the so-called *Beilinson formula* holds:

$$\mathrm{Gr}_F^r A^i(X)_{\mathbf{Q}} \cong \mathrm{Ext}_{\mathcal{MM}_k}^r(h(\mathrm{Spec} k), h^{2i-r}(X)(i));$$

here F is the conjectural filtration on Chow groups alluded to in (1.6), and h denotes motives for homological equivalence. This argument is explained in detail in [Ja 2, 3.3 and 3.4].

The converse of (1.10) would follow from the converse of (1.9) in conjunction with the generalized Hodge conjecture, cf. (1.4).2.

4. Voisin has proven conjecture (1.11) for certain “well-formed” hypersurfaces [Vo 2]. Another result in the direction of (1.11) is proven by Esnault-Levine-Viehweg [E-L-V].

2. Applications

§2.1. Surjectivity

(2.1) Proposition. *Let X be a smooth complete n -dimensional variety defined over a universal domain Ω .*

(i) *Let H^* be a Weil cohomology. Suppose the cycle class map*

$$\mathrm{cl}^i : A^i X \otimes H^0 \Omega \rightarrow H^{2i} X$$

is injective for all $i \geq n - s$. Then cl^i is an isomorphism for $i \geq n - s$ and for $i \leq s + 1$.

(ii) *Suppose $\Omega = \mathbf{C}$ and suppose the map*

$$\mathrm{cl}_{\mathcal{Q}}^i : A^i(X)_{\mathbf{Q}} \rightarrow H_{\mathcal{Q}}^{2i}(X, \mathbf{Q}(i))$$

is injective for all $i \geq n - s$. Then $\mathrm{cl}_{\mathcal{Q}}^i$ is an isomorphism for $i \geq n - s$ and for $i \leq s + 2$.

Proof. (i) From (1.5) and (1.7) it follows that the diagonal of X decomposes as

$$\Delta = \Delta_0 + \cdots + \Delta_s + \Delta^{s+1} \in A^n(X \times X) \times H^0(\Omega),$$

where the Δ_j have support on lower-dimensional varieties $V_j \times W_j$ as in (1.7). Consider now the action of Δ on H^{2i} , for some $i \leq s + 1$. The action of Δ_j factors as

$$\begin{array}{ccc}
 H^{2i}(\tilde{V}_j \times \tilde{W}_j) & \xrightarrow{[\tilde{\Delta}_j]} & H^{2k}(\tilde{V}_j \times \tilde{W}_j) \\
 \uparrow & & \downarrow \\
 H^{2i}(\tilde{V}_j) & & H^{2i-2j}(\tilde{W}_j) \\
 \uparrow & & \downarrow \\
 H^{2i}(X) & \xrightarrow{(\Delta)_*} & H^{2i}(X)
 \end{array}$$

(notation as in (1.7)). But the group $H^{2i}(\tilde{V}_j)$ vanishes if $i > \dim \tilde{V}_j = j$, and for $i \leq j$ the group $H^{2i-2j}(\tilde{W}_j)$ is either 0 or generated by cycles. A similar diagram shows $(\Delta^{s+1})_* H^{2i}$ to be generated by cycles (here the assumption $i < s+1$ comes in), and we conclude that

$$H^{2i}(X) = \Delta_* H^{2i}(X)$$

is generated by cycles.

In case $i \geq n-s$, we use the transpose of the diagonal

$$\Delta = {}^t\Delta = {}^t\Delta_0 + \dots + {}^t\Delta_s + {}^t\Delta^{s+1}.$$

(ii) Similar to the above.

(2.2) Corollary. *Let X be a smooth complete Fano variety over C . Then the Abel-Jacobi map*

$$AJ^2 : A_{\text{hom}}^2(X) \rightarrow J^2$$

is an isomorphism modulo torsion.

Proof. A Fano variety X is rationally connected [Ca][Ko], so has $A_0(X)_{\mathcal{Q}} \cong \mathcal{Q}$.

(2.3) Remarks. 1. For quartic 3-folds, Bloch proves that AJ^2 is an isomorphism also on the torsion parts [Bl 1, Lecture 3].

2. It follows from (2.2) that every Fano hypersurface whose J^2 is non-trivial modulo torsion is an exception to the Noether-Lefschetz theorem. These exceptions (cubic and quartic 3-folds) are also noted by Green [Gre].

§2.2. Hodge conjecture

(2.4) Proposition. *Let X be a smooth complete n -dimensional variety over C .*

(i) Suppose $\text{Niveau}(A_i(X)_{\mathcal{Q}}) \leq 3$ for $i=0, 1, \dots, s$. Then the Hodge conjecture for X is verified in codimensions $\leq s+2$ and $\geq n-s-2$, i.e. the map

$$cl^i : A^i(X)_{\mathcal{Q}} \rightarrow H^{i,i}(X, \mathcal{Q})$$

is surjective for $i \leq s+2$ and for $i \geq n-s-2$;

(ii) Suppose $\text{Niveau}(A_i(X)_{\mathcal{Q}}) \leq 2$ for $i=0, 1, \dots, s$. Then the generalized Hodge conjecture for X is verified in degrees $i \leq 2s+4$ and $\geq 2n-2s-3$, i.e. for these values

of i , every level $i-2l$ sub-Hodge structure of $H^i(X, \mathbf{Q})$ is contained in $N^l H^i(X, \mathbf{Q})$.

Proof. (i) We use the decomposition of the diagonal resulting from (1.7), and consider the action of Δ on

$$HC^i(X) := H^{i,i}(X, \mathbf{Q}) / \text{Im } \text{cl}^i.$$

The action of Δ^{s+1} factors as

$$\begin{array}{ccc} HC^i(X \times \tilde{W}_{s+1}) & \rightarrow & HC^{i+n-s-1}(X \times \tilde{W}_{s+1}) \\ & & \downarrow \\ & & HC^{i-s-1}(\tilde{W}_{s+1}) \\ \uparrow & & \downarrow \\ HC^i(X) & \xrightarrow{(\Delta^{s+1})_*} & HC^i(X). \end{array}$$

Since the Hodge conjecture is known for curves and divisors, the group $HC^{i-s-1}(\tilde{W}_{s+1})$ vanishes for $i \leq s+2$, i.e. Δ^{s+1} acts as 0 on $HC^i(X)$ for these values of i .

The action of Δ_j ($j=0, \dots, s$) factors as

$$\begin{array}{ccc} HC^i(\tilde{V}_j \times \tilde{W}_j) & \rightarrow & HC^{i+3}(\tilde{V}_j \times \tilde{W}_j) \\ \uparrow & & \downarrow \\ HC^i(\tilde{V}_j) & & HC^{i-j}(\tilde{W}_j) \\ \uparrow & & \downarrow \\ HC^i(X) & \xrightarrow{(\Delta)_*} & HC^i(X). \end{array}$$

The Hodge conjecture being known for curves, $HC^i(\tilde{V}_j) = 0$ if $i \geq \dim \tilde{V}_j - 1 = j + 2$. But since the Hodge conjecture is known for divisors, $HC^{i-j}(\tilde{W}_j) = 0$ if $i \leq j + 1$.

We conclude that Δ acts trivially on $HC^i(X)$ for $i \leq s + 2$, so these groups are 0, i.e. the Hodge conjecture holds in this range.

For $i \geq n - s - 2$, we use the transpose of the diagonal.

(ii) Follows as above, using the fact that the generalized Hodge conjecture is known in degrees ≤ 2 and $\geq 2n - 1$.

(2.5) Corollary. (i) *The Hodge conjecture is completely verified for: uniruled 4-folds; rationally connected 4-and 5-folds (in particular Fano 4-and 5-folds);*
 (ii) *The generalized Hodge conjecture is completely verified for: uniruled 3-folds; rationally connected 3-and 4-folds (in particular Fano 3-and 4-folds); cubics of dimension at most 6; a variety of dimension at most 6 which is the intersection of a quadric and a cubic; a variety of dimension at most 8 which is the intersection of two quadrics.*

Proof. (i) Obviously uniruled 4-folds have $\text{Niveau}(A_0(X)) \leq 3$, and rationally connected varieties have $\text{Niveau}(A_0(X)) \leq 0$.

(ii) Obviously uniruled 3-folds have $\text{Niveau}(A_0(X)) \leq 2$.

For cubic 5- and 6-folds, the conclusion follows from the fact that they verify

$$A_0(X)_{\mathcal{Q}} \cong A_1(X)_{\mathcal{Q}} \cong \mathcal{Q}$$

(i.e. these two Chow groups have niveau ≤ 0), which is proven by Paranjape [Pa] and by Kollár [Ko], generalizing Roitman's work on A_0 [Ro].

The intersection of a quadric and a cubic also has A_0 and A_1 of rank one; this is proven by Esnault-Levine-Viehweg [E-L-V].

The intersection of two quadrics has

$$A_0(X)_{\mathcal{Q}} \cong A_1(X)_{\mathcal{Q}} \cong A_2(X)_{\mathcal{Q}} \cong \mathcal{Q},$$

this is again proven in [E-L-V].

(2.6) Remarks. 1. For uniruled 4-folds, the Hodge conjecture was first proven by Conte and Murre [C-M]; it has since been reproven in many different ways [St][SaM, Remark 1.8].

2. The case $s=0$ of (2.4)(i) was proven by Bloch and Srinivas (only they forgot to mention that the Hodge conjecture is also verified in codimension $n-2$).

§2.3. Algebraic and homological equivalence

(2.7) Proposition. *Let X be a smooth complete n -dimensional variety over a universal domain Ω , and suppose $\text{Niveau}(A^i(X)_{\mathcal{Q}}) \leq 2$ for $i=0, \dots, s$. Then the Griffiths group*

$$\text{Gr}^i(X)_{\mathcal{Q}} := Z_{\text{hom}}^i(X) \otimes \mathcal{Q} / Z_{\text{alg}}^i(X) \otimes \mathcal{Q}$$

is 0 for $i \leq s+2$ and for $i \geq n-s-1$.

Proof. Let $\Delta = \Delta_0 + \dots + \Delta_s + \Delta^{s+1}$ act on $\text{Gr}^i(X)_{\mathcal{Q}}$. The action of Δ_j factors as

$$\begin{array}{ccc} \text{Gr}^i(\tilde{V}_j \times \tilde{W}_j)_{\mathcal{Q}} & \rightarrow & \text{Gr}^{i+2}(\tilde{V}_j \times \tilde{W}_j)_{\mathcal{Q}} \\ \uparrow & & \downarrow \\ \text{Gr}^i(\tilde{V}_j)_{\mathcal{Q}} & & \text{Gr}^{i-j}(\tilde{W}_j)_{\mathcal{Q}} \\ \uparrow & & \downarrow \\ \text{Gr}^i(X)_{\mathcal{Q}} & \xrightarrow{(\Delta_j)_*} & \text{Gr}^i(X)_{\mathcal{Q}}. \end{array}$$

Since homological and algebraic equivalence coincide for 0-cycles, the group $\text{Gr}^i(\tilde{V}_j)_{\mathcal{Q}}$ vanishes for $i \geq \dim \tilde{V}_j = j+2$. Since homological and algebraic equivalence coincide for divisors, the group $\text{Gr}^{i-j}(\tilde{W}_j)_{\mathcal{Q}}$ vanishes for $i \leq j+1$. It follows that Δ_j acts as 0 on $\text{Gr}^i(X)_{\mathcal{Q}}$.

Similarly, we find that Δ^{s+1} acts as 0 if $i \leq s+2$; this ends the proof for $i \leq s+2$.

For $i \geq n-s-1$, we use the transpose $\Delta = {}^t\Delta = {}^t\Delta_0 + \dots + {}^t\Delta_s + {}^t\Delta^{s+1}$.

(2.8) Remarks.1. Proposition (2.7) is inspired by Bloch-Srinivas, who prove the case $s=0$. In fact, using Merkuriev-Suslin on K_2 , they prove vanishing of $\text{Gr}^2(X)$ not neglecting torsion [B-S, Theorem 1].

2. By way of example: every rationally connected 3-fold or 4-fold has torsion Griffiths groups; the same holds for cubic 5-folds and 6-folds; a cubic 7-fold X has

$$\text{Gr}^i(X)_{\mathcal{Q}}=0 \quad \text{for } i \leq 3 \text{ or } i \geq 5$$

(for these examples, cf. the proof of (2.5)). This last result is optimal since Albano and Collino have proven that a cubic 7-fold has a non-finitely generated $\text{Gr}^4(X)_{\mathcal{Q}}$ [A-C].

§2.4. Chow-Lefschetz conjecture

(2.9) The *Chow-Lefschetz conjecture* [Ha] asserts that if $X \subset Z$ is an inclusion of smooth complete varieties such that the complement $Z \setminus X$ is affine, then pull-back induces an isomorphism

$$A^i Z \xrightarrow{\sim} A^i X \quad \text{for } i < \dim X/2 =: n/2.$$

The case $i=1$ has been settled by Grothendieck [SGA2], but apart from this little progress has been made, not even for $Z = \mathbb{P}^{n+1}$. Note that the truth of the conjecture would follow from the truth of Beilinson’s fomula mentioned in (1.12).3.

(2.10) Proposition. *Let $X \subset Z$ be as in (2.9), defined over a universal domain Ω . Suppose that $A^*(Z)_{\mathcal{Q}} \rightarrow H^*Z$ is an isomorphism.*

(i) *Suppose $A_i^{\text{hom}}(X)_{\mathcal{Q}}=0$ for $i=0, \dots, s < \frac{n}{2}-2$. Then there are isomorphisms*

$$A^i(Z)_{\mathcal{Q}} \xrightarrow{\sim} A^i(X)_{\mathcal{Q}} \quad \text{for } i \leq s+2;$$

(ii) *Let $\Omega = \mathbb{C}$. Suppose $A_i^{\text{AJ}}(X)_{\mathcal{Q}}=0$ for $i=0, \dots, s < \frac{n}{2}-4$. Then there are isomorphisms*

$$A^i(Z)_{\mathcal{Q}} \xrightarrow{\sim} A^i(X)_{\mathcal{Q}} \quad \text{for } i \leq s+3.$$

Proof. (i) Immediate from (1.8).1 and the weak Lefschetz theorem for the Weil cohomology (1.1)(iv).

(ii) Immediate from (1.8).1 and the weak Lefschetz theorem for Deligne cohomology.

(2.11) Examples. Let $X \subset \mathbb{P}^{n+1}(\mathbb{C})$ be a smooth hypersurface of degree d , and suppose $d \leq n > 8$. Then $A^i(X)_{\mathcal{Q}} = \mathcal{Q}$ for $i \leq 3$.

For cubics we can actually do better: suppose $d=3$ in the above, then

$$\begin{aligned} A^i(X)_{\mathcal{Q}} &= \mathcal{Q} \quad \text{for } i < \min(L+3, \frac{n}{2}), \\ A^i(X)_{\mathcal{Q}} &= \mathcal{Q} \quad \text{for } i < \min(L+4, \frac{n}{2}-1), \end{aligned}$$

where L is defined as the largest integer satisfying $(L+2)(L+3) \leq 2n+2$. (This last result follows from (2.10) combined with the fact that cubics have $A^i(X) = \mathcal{Q}$ for $i \leq L$ [Ko] [E-L-V].)

§2.5, Decomposability

(2.12) Definition. Let X be a smooth complete variety over a field k , and let \mathcal{X}_i denote the Zariski sheaves on X associated to higher algebraic K -theory. We say that the group $H^i(X, \mathcal{X}_i)$ is *decomposable* if the cokernel of the natural map

$$H^i(X, \mathcal{X}_i) \otimes_{K_{i-1}k} k \rightarrow H^i(X, \mathcal{X}_i)$$

is torsion.

Likewise, we say that the higher Chow group $A^i(X, l)$ [Bl 2] is *decomposable* if the natural map

$$A^{i-1}X \otimes A^l(k, l) \rightarrow A^i(X, l)$$

has torsion cokernel.

(2.13) Proposition. Let X be a smooth complete n -dimensional variety over a universal domain Ω .

(i) Suppose that $\text{Niveau}(A^i(X)_{\mathcal{Q}}) \leq 1$ for all $i \leq s$. Then there are isomorphisms

$$A^{i-1}X \otimes A^1(\Omega, 1) \otimes \mathcal{Q} \xrightarrow{\sim} A^i(X, 1) \otimes \mathcal{Q}$$

for $i \leq s+2$ and for $i \geq n-s$;

(ii) Suppose that $A_i^{\text{hom}}(X)_{\mathcal{Q}} = 0$ for all $i \leq s$. Then $A^i(X, 2)$ is decomposable for $i \leq s+2$ and for $i \geq n-s+1$.

Proof. (i) Suppose first $i \leq s+2$. To prove decomposability, consider the action of

$$\Delta = \Delta_0 + \dots + \Delta_s + \Delta^{s+1}$$

on $A^i(X, 1)$.

The action of Δ_j factors over $A^i(\tilde{V}_j, 1)$ (which by (2.14) is decomposable for $i \geq \dim \tilde{V}_j + 1 = j + 2$) and over $A^{i-j}(\tilde{W}_j, 1)$ (which by (2.14) is decomposable for $i \leq j + 1$), so it sends $A^i(X, 1)$ into its decomposable part.

The action of Δ^{s+1} factors over $A^{i-s-1}(\tilde{W}_{s+1}, 1)$, so goes into the decomposable part for $i \leq s+2$.

To prove injectivity, consider the action of Δ on

$$\text{Ker}(A^{i-1}X \otimes A^1(\Omega, 1) \otimes \mathcal{Q} \rightarrow A^i(X, 1))$$

and use lemma (2.14).

In case $i \geq n - s$, use the transpose of the diagonal.

(ii) Similar to (i)

(2.,14) Lemma. *Let M be a smooth m -dimensional variety over a field k . Then the natural map determines isomorphisms*

$$A^i M \otimes A^1(k, 1) \xrightarrow{\sim} A^{i+1}(M, 1)$$

for $i=0$ and for $i=m$.

Proof. The $i=0$ case follows from Bloch's computation $A^1(M, 1) = k^*$ [Bl 2, Theorem 6.1].

For $i=m$, surjectivity is obvious. To prove injectivity, note that by the truth of Gersten's conjecture [Qu, §7 Prop. 5.14] [Bl 2, §10], $A^{m+1}(M, 1) \cong H^m(M, \mathcal{K}_{m+1})$ equals

$$\text{Coker} \left(\bigoplus_{x \in M^{(m-1)}} A^2(k(x), 2) \rightarrow \bigoplus_{x \in M^{(m)}} A^1(k, 1) \right)$$

(where as usual $M^{(i)}$ denotes codimension i points of M).

Also $A^m M \otimes A^1(k, 1)$ equals

$$\text{Coker} \left(\bigoplus_{x \in M^{(m-1)}} A^1(k(x), 1) \otimes A^1(k, 1) \rightarrow \bigoplus_{x \in M^{(m)}} A^1(k, 1) \right),$$

and the exterior product map factors over the groups inside the parentheses, so injectivity follows from surjectivity of

$$A^1(k(x), 1) \otimes A^1(k, 1) \rightarrow A^2(k(x), 2).$$

(2.5) Corollary. *Let X be a smooth complete variety over \mathbb{C} , and suppose $A_i^{\text{AJ}}(X)_{\mathbb{Q}} = 0$ for $i=0, \dots, s$. Then the cycle class map*

$$A^i(X, 1)_{\mathbb{Q}} \rightarrow H_{\mathbb{Q}}^{2i-1}(X, \mathbb{Q}(i))$$

is surjective with kernel $A_{\text{hom}}^{i-1} X \otimes A^1(\mathbb{C}, 1)$ for $i \leq s+2$ and for $i \geq n-s$.

Proof. Applying the diagonal to Deligne cohomology, we find that $H_{\mathbb{Q}}^{2i-1}(X, \mathbb{Q}(i))$ is decomposable for the indicated i , i.e. there is a surjection

$$p: H_{\mathbb{Q}}^{2i-1}(X, \mathbb{Q}(i-1)) \otimes H_{\mathbb{Q}}^1(\mathbb{C}, \mathbb{Q}(1)) \rightarrow H_{\mathbb{Q}}^{2i-1}(X, \mathbb{Q}(i));$$

this proves surjectivity of the cycle class map.

To prove the statement about the kernel, it suffices to prove that

$$\text{Ker } p = \text{Ker}(H_{\mathbb{Q}}^{2i-2}(X, \mathbb{Q}(i-1)) \rightarrow H^{i-1, i-1}(X, \mathbb{Q}) \otimes H_{\mathbb{Q}}^1(\mathbb{C}, \mathbb{Q}(1)))$$

for the indicated i . This last statement follows from the $i = \dim X + 1$ -case [E-L, Lemma 2.2] after applying the diagonal.

(2.16) Corollary. *Let X be a smooth complete n -dimensional variety over a universal domain.*

- (i) *Under the assumption of (2.13)(i), $H^{i-1}(X, \mathcal{K}_i)$ is decomposable for $i \leq s+2$ and for $i \geq n-s$;*
- (ii) *Under the assumption of (2.13)(ii), $H^{i-2}(X, \mathcal{K}_i)$ is decomposable for $i \leq s+2$ and for $i \geq n-s+1$.*

Proof. This is immediate from (2.13) and the existence of functorial “Bloch formula” isomorphisms

$$A^i(X, l)_{\mathbf{Q}} \cong H^{i-1}(X, \mathcal{K}_i) \otimes \mathbf{Q} \quad \text{for } l \leq 2$$

[La 1, 2.27].

(2.17) Remarks. 1. The decomposability of $A^2(S, 1)$ for a surface S with $A_0^{\text{AJ}}(S)_{\mathbf{Q}} = 0$ was proven by Coombes-Srinivas [C-S]. The decomposability of $A^i(X, 1)$ for X and i as in (2.13)(i) was proven by Esnault-Levine [E-L, §4]; the isomorphism in (2.13)(i) answers a question about the kernel asked by Müller-Stach [Mü].

2. The notion of “decomposable $H^i(X, \mathcal{K}_i)$ ” as given in (2.12) is more restrictive than Esnault-Levine’s definition [E-L]; however the two notions coincide for $l-i=1$ [E-L, §4].

3. In contrast to (2.15), Voisin has proven [Vo 1, 1.6] that if $X \subset \mathbf{P}^3(\mathbf{C})$ is a general hypersurface of general type, then the cycle class map

$$A^2(X, 1)_{\mathbf{Q}} \rightarrow H_{\mathbb{Z}}^3(X, \mathbf{Q}(2))$$

is not surjective.

4. As a special case of (2.16), we find that $H^1(X, \mathcal{K}_2)$, $H^{n-1}(X, \mathcal{K}_n)$, $H^0(X, \mathcal{K}_2)$ and $H^{n-1}(X, \mathcal{K}_{n+1})$ are decomposable for any Fano variety X of dimension n ; for more examples of varieties satisfying the assumptions, cf. (2.5).

§2.6. Murre conjectures. In a sequel to this article [La 2], we prove that Murre’s conjectures (on a decomposition of the Chow motive of a variety, [Mur]) hold for varieties X over a universal domain verifying

$$\dim X \leq 4, \quad \text{Niveau}(A^i(X)_{\mathbf{Q}}) \leq 2.$$

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