

# A fixed point formula for compact almost complex manifolds

By

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## Abstract

In this paper, using the group structures of the spheres  $S^1$ ,  $S^3$  and the results of Atiyah-Patodi-Singer, Donnelly and Morita, we introduce a fixed point formula for periodic automorphisms of compact almost complex manifolds. Our main result is Theorem 1.3. The theorem is refined for a certain case if the almost complex manifold admits an Einstein-Kähler metric.

## 1. Introduction and Main Theorem

Let  $M$  be a compact  $2m$ -dimensional almost complex manifold with the almost complex structure  $J$  and  $P \rightarrow M$  the associated principal  $GL(m; \mathbb{C})$ -bundle of  $M$ . We call a diffeomorphism  $\psi : M \rightarrow M$  an automorphism of  $M$  if  $\psi$  commutes with  $J$  and denote the topological group consisting of all automorphisms of  $M$  by  $A(M)$ . The group  $A(M)$  naturally acts on  $P$  on the left.

**Definition 1.1.** Let  $S(n)$  be the set of symmetric homogeneous polynomials in  $x_1, x_2, \dots, x_m$  of order  $n$  with integral coefficients. Let

$$\phi = \phi(\tau_1, \tau_2, \dots, \tau_m)$$

be any element of  $S(n)$  where  $\tau_j = \sigma_j(x_1, x_2, \dots, x_m)$  is the  $j$ -th elementary symmetric polynomial in  $\{x_i\}_{i=1}^m$ , whose degree is equal to  $j$ . Let  $V_\phi$  be the element of the representation ring  $R(GL(m; \mathbb{C}))$  of  $GL(m; \mathbb{C})$  defined by

$$\begin{aligned} V_\phi &= \phi(\tau_1, \tau_2, \dots, \tau_m) \in R(GL(m; \mathbb{C})) \\ &\subset R(T^m) = \mathbb{Z}[t_1, t_1^{-1}, t_2, t_2^{-1}, \dots, t_m, t_m^{-1}], \end{aligned}$$

where  $T^m$  is the maximal torus of  $GL(m; \mathbb{C})$ ,  $t_i : T^m \rightarrow S^1$  is the  $i$ -th factor projection and  $\tau_j = \sigma_j(t_1 - 1, t_2 - 1, \dots, t_m - 1)$  is the  $j$ -th elementary symmetric polynomial in  $t_1 - 1, t_2 - 1, \dots, t_m - 1$ . Note that  $\sigma_j = \sigma_j(t_1, t_2, \dots, t_m)$  is isomorphic to the  $GL(m; \mathbb{C})$ -representation  $\wedge^j \mathbb{C}^m$ . Hence, setting

$$\hat{\phi}(\sigma_1, \sigma_2, \dots, \sigma_m) = \phi(\tau_1, \tau_2, \dots, \tau_m),$$

we have

$$V_\phi = \hat{\phi}(\wedge^1 \mathbb{C}^m, \wedge^2 \mathbb{C}^m, \dots, \wedge^m \mathbb{C}^m) \in R(GL(m; \mathbb{C})).$$

Using this virtual  $GL(m; \mathbb{C})$ -representation  $V_\phi$ , we can define a virtual complex vector bundle  $E_\phi$  on  $M$  by

$$(1.1) \quad E_\phi = P \times_{GL(m; \mathbb{C})} V_\phi = \hat{\phi}(\wedge^1 TM, \wedge^2 TM, \dots, \wedge^m TM) \in K(M)$$

where  $TM$  is the tangent bundle of  $M$  and  $K(M)$  is the  $K$ -group of  $M$ . Then the action of  $A(M)$  on  $P$  naturally defines the action of  $A(M)$  on  $E_\phi$  and  $E_\phi$  is a virtual complex  $A(M)$ -vector bundle.

**Definition 1.2.** Let  $a$  be any periodic element of  $A(M)$ ,  $G$  the cyclic subgroup of  $A(M)$  generated by  $a$  and  $\Omega$  the fixed point set of  $a$  consisting of compact connected submanifolds  $N$  of  $M$ . Then the restriction of  $J$  defines an almost complex structure of  $N$  and the Todd class  $\text{Td}(TN)$  of  $TN$  is defined by

$$\text{Td}(TN) = \prod_{k=1}^d \frac{x_k}{1 - e^{-x_k}} \in H^*(N; \mathbb{C}),$$

where  $2d$  is the dimension of  $N$  and  $\prod_{k=1}^d (1 + x_k)$  equals to the total Chern class of  $TN$ . Note that  $\text{Td}(TN) = 1$  if  $N$  is a point. On the other hand, a complex  $G$ -vector bundle  $E$  over  $N$  is decomposed into the direct sum of subbundles

$$E = E_1 \oplus E_2 \oplus \dots \oplus E_s,$$

where  $a$  acts on the subbundle  $E_j$  via multiplication by  $e^{\sqrt{-1}\theta_j}$ . Then we can define the characteristic class  $\text{Ch}(E, a)$  by

$$\text{Ch}(E, a) = \sum_{j=1}^s e^{\sqrt{-1}\theta_j} \text{Ch}(E_j) \in H^*(N; \mathbb{C}),$$

where  $\text{Ch}(E_j)$  is the Chern character of  $E_j$ . This definition is extended to the case of virtual vector bundles by

$$\text{Ch}(E - F, a) = \text{Ch}(E, a) - \text{Ch}(F, a) \in H^*(N; \mathbb{C})$$

and  $\text{Ch}(*, a)$  defines a ring homomorphism

$$\text{Ch}(*, a) : K(N) \longrightarrow H^*(N; \mathbb{C}),$$

namely, satisfies the following equalities:

$$(1.2) \quad \text{Ch}(E \pm F, a) = \text{Ch}(E, a) \pm \text{Ch}(F, a), \quad \text{Ch}(E \otimes F, a) = \text{Ch}(E, a) \text{Ch}(F, a).$$

We can also define the characteristic class  $\mathfrak{U}(E, a)$  by

$$\mathfrak{U}(E, a) = \prod_{j=1}^s \prod_{k=1}^{r_j} \frac{1}{1 - e^{-x_k - \sqrt{-1}\theta_j}} \in H^*(N; \mathbb{C}),$$

where  $r_j = \text{rank}(E_j)$  and  $\prod_{k=1}^{r_j} (1 + x_k)$  equals to the total Chern class of  $E_j$ .

Our main result is the following theorem.

**Theorem 1.3.** *Let  $\ell$  be 0, 1 or 2 and  $\phi$  any element of  $S(n)$ . Let  $\psi$  be any periodic element of  $A(M)$  and assume that the order of  $\psi$  is  $p$ . Let  $\gamma$  be any natural number which is prime to  $p$ . Let  $\Omega(k)$  be the fixed point set of  $\psi^k$  ( $1 \leq k \leq p-1$ ) consisting of compact connected almost complex manifolds  $N$ ,  $\nu(N, M)$  the normal bundle of  $N$  in  $M$  and  $[N]$  the fundamental cycle of  $N$ . Then the equality*

$$\sum_{k=1}^{p-1} C_\ell(k, \gamma) \sum_{N \subset \Omega(k)} \text{Ch}(E_\phi|_N, \psi^k) \text{Td}(TN) \mathfrak{U}(\nu(N, M), \psi^k)[N] \equiv 0 \pmod{p}$$

holds for any  $n > m + \ell$ , where

$$C_0(k, \gamma) = 1, \quad C_1(k, \gamma) = \frac{1}{1 - e^{-2\pi\sqrt{-1}\gamma k/p}}, \quad C_2(k, \gamma) = \frac{1}{|1 - e^{-2\pi\sqrt{-1}\gamma k/p}|^2}.$$

Let  $N$  be a connected component of the fixed point set of the action of a periodic automorphism  $a$  of  $M$ . Assume that the restriction of the tangent bundle  $TM$  to  $N$  splits into the direct sum of complex line bundles

$$TM|_N = L_1 \oplus \cdots \oplus L_m$$

where  $a$  acts on  $L_j$  via multiplication by  $e^{\sqrt{-1}\theta_j}$ . Let  $\sigma_j$  be the  $j$ -th elementary symmetric polynomial in  $\{e^{\sqrt{-1}\theta_j} e^{c_1(L_j)}\}_{j=1}^m$  and  $\tau_j$  the  $j$ -th elementary symmetric polynomial in  $\{e^{\sqrt{-1}\theta_j} e^{c_1(L_j)} - 1\}_{j=1}^m$ . Then since

$$\text{Ch}(L_j, a) = e^{\sqrt{-1}\theta_j} e^{c_1(L_j)},$$

it follows from (1.1) and (1.2) that

$$(1.3) \quad \text{Ch}(E_\phi|_N, a) = \hat{\phi}(\sigma_1, \sigma_2, \dots, \sigma_m) = \phi(\tau_1, \tau_2, \dots, \tau_m).$$

The next corollary is deduced from Theorem 1.3 and (1.3).

**Corollary 1.4.** *Assume that  $\Omega(k)$  in Theorem 1.3 consists of points  $\{q_s\}_{s=1}^{N(k)}$  for any  $k$ . Then the automorphism  $\psi^k$  acts on the tangent space  $T_{q_s} M$  via multiplication by some periodic diagonal unitary matrix, which we assume is the diagonal matrix with diagonal entries  $\{e^{2\pi\sqrt{-1}h_{j_s}^k/p}\}_{j=1}^m$  ( $h_{j_s}^k \in \mathbb{Z}$ ). Let  $\tau_j$  be the  $j$ -th elementary symmetric polynomial in  $\{e^{2\pi\sqrt{-1}h_{j_s}^k/p} - 1\}_{j=1}^m$ . Then under the notation in Theorem 1.3, the equality*

$$\sum_{k=1}^{p-1} C_\ell(k, \gamma) \sum_{s=1}^{N(k)} \phi(\tau_1, \tau_2, \dots, \tau_m) \prod_{j=1}^m \frac{1}{1 - e^{-2\pi\sqrt{-1}h_{j_s}^k/p}} \equiv 0 \pmod{p}$$

holds for any  $n > m + \ell$ .

*Proof.* For any  $q_s \in \Omega(k)$ , the tangent space  $T_{q_s}M$  splits into the direct sum of  $m$ -copies of  $\mathbb{C}^1$

$$T_{q_s}M = \mathbb{C}_1^1 \oplus \mathbb{C}_2^1 \oplus \cdots \oplus \mathbb{C}_m^1,$$

where  $\psi^k$  acts on  $\mathbb{C}_j^1$  via multiplication by  $e^{2\pi\sqrt{-1}h_{j^k}^k/p}$ . Hence it follows from (1.3) that

$$\text{Ch}(E_\phi|_{q_i}, \psi^k) = \phi(\tau_1, \tau_2, \dots, \tau_m),$$

where  $\tau_j$  is the  $j$ -th elementary symmetric polynomial in  $\{e^{2\pi\sqrt{-1}h_{j^k}^k/p} - 1\}_{j=1}^m$ . Moreover, since  $\text{Td}(Tq_s) = 1$  for any  $s$ , the equality in Corollary 1.4 immediately follows from the equality in Theorem 1.3.  $\square$

**Remark 1.5.** As we will see in Remarks 3.3 and 4.2, the equality in Theorem 1.3 does not hold in general if  $n = m + \ell$ .

**Remark 1.6.** The author does not know whether the equality in Theorem 1.3 holds for  $\ell \geq 3$  by introducing some appropriate  $\mathcal{C}_\ell(k, \gamma)$ .

## 2. Proof of the Theorem

In this section we give the proof of Theorem 1.3. Let  $G$  be the cyclic subgroup of  $A(M)$  generated by  $\psi$ . We give a  $G$ -invariant Hermitian metric on  $M$  and let  $Q \rightarrow M$  be the subbundle of  $P$  consisting of unitary frames with respect to the metric. Let  $\nabla$  be a  $G$ -invariant connection in  $Q$ . Then since  $V_\phi$  is considered as a virtual representation of  $U(m)$  and  $E_\phi$  equals to  $Q \times_{U(m)} V_\phi$ , the natural  $U(m)$ -invariant inner product in  $V_\phi$  defines a  $G$ -invariant inner product in  $E_\phi$  and  $\nabla$  defines a unitary connection of  $E_\phi$ . The connection  $\nabla$  also defines a  $G$ -invariant connection of the half spinor bundles  $S^\pm = Q \times_{U(m)} \Delta^\pm$  over  $M$  where  $\Delta^\pm$  are the half spin representations of  $\text{spin}^c(2m)$ . (For details of spinor bundles and  $\text{spin}^c$ -Dirac operators, see [6].) Using the connections defined above, we can define the  $G$ -equivariant  $\text{spin}^c$ -Dirac (Dolbeault) operator

$$D : \Gamma(S^+ \otimes E_\phi) \longrightarrow \Gamma(S^- \otimes E_\phi)$$

and it follows from the Riemann-Roch theorem (see (4.3) in [2]) that

$$(2.1) \quad \text{Index}(D) := \dim \ker(D) - \dim \text{coker}(D) = \int_M \text{Ch}(E_\phi, \nabla) \text{Td}(TM, \nabla),$$

where  $\text{Ch}(E_\phi, \nabla)$  is the Chern character form of  $E_\phi$  with respect to  $\nabla$ ,  $\text{Td}(TM, \nabla)$  is the Todd form of  $TM$  with respect to  $\nabla$ . Here for any  $1 \leq j \leq m$ , we can see that

$$\text{Ch}(\wedge^j TM, \nabla) = \sigma_j(e^{x_1}, e^{x_2}, \dots, e^{x_m}),$$

where by definition the  $j$ -th Chern form  $c_j(TM, \nabla)$  is the  $j$ -th elementary symmetric polynomial in  $x_1, x_2, \dots, x_m$ . Hence it follows from (1.1) and (1.2) that

$$\text{Ch}(E_\phi, \nabla) = \hat{\phi}(\sigma_1, \sigma_2, \dots, \sigma_m) = \phi(\tau_1, \tau_2, \dots, \tau_m)$$

where  $\tau_j$  is the  $j$ -th elementary symmetric polynomial in  $e^{x_1} - 1, e^{x_2} - 1, \dots, e^{x_m} - 1$  for  $1 \leq j \leq m$ . Since

$$(2.2) \quad \begin{aligned} \tau_j &= \sigma_j(e^{x_1} - 1, e^{x_2} - 1, \dots, e^{x_m} - 1) \\ &= \sigma_j(x_1, x_2, \dots, x_m) + \text{higher order terms} \\ &= c_j(TM, \nabla) + \text{higher order terms}, \end{aligned}$$

we have

$$\text{Ch}(E_\phi, \nabla) = \phi(c_1(TM, \nabla), c_2(TM, \nabla), \dots, c_m(TM, \nabla)) + \text{higher order terms}$$

and therefore it follows that

$$(2.3) \quad \int_M \text{Ch}(E_\phi, \nabla) \text{Td}(TM, \nabla) = 0$$

because the order of  $\phi$  is greater than  $m$  and the dimension of  $M$  is  $2m$ . On the other hand, it follows from (4.6) in [2] that

$$(2.4) \quad \begin{aligned} \text{Index}(D, \psi^k) &:= \text{Tr}(\psi^k|_{\ker(D)}) - \text{Tr}(\psi^k|_{\text{coker}(D)}) \\ &= \sum_{N \subset \Omega(k)} \text{Ch}(E_\phi|_N, \psi^k) \text{Td}(TN) \mathfrak{U}(\nu(N, M), \psi^k)[N] \end{aligned}$$

for  $1 \leq k \leq p-1$ . Now let  $V$  be any finite dimensional complex  $G$ -module and  $\beta$  an eigenvalue of  $\psi|_V$ . Then since  $\beta^p = 1$ , it follows that

$$\sum_{k=1}^p \beta^k \equiv 0 \pmod{p}$$

and hence it follows that

$$(2.5) \quad \sum_{k=1}^p \text{Tr}(\psi^k|_V) \equiv 0 \pmod{p}.$$

Therefore we have

$$\sum_{k=1}^p \text{Index}(D, \psi^k) \equiv 0 \pmod{p}$$

and hence it follows from (2.1), (2.3) and (2.4) that

$$\begin{aligned} & \sum_{k=1}^{p-1} \sum_{N \subset \Omega(k)} \text{Ch}(E_\phi|_N, \psi^k) \text{Td}(TN) \mathfrak{U}(\nu(N, M), \psi^k)[N] \\ &= \sum_{k=1}^{p-1} \text{Index}(D, \psi^k) = \sum_{k=1}^p \text{Index}(D, \psi^k) \equiv 0 \pmod{p} \end{aligned}$$

because  $\text{Index}(D, \psi^p) = \text{Index}(D) = 0$ . This completes the proof of the equality in Theorem 1.3 for  $\ell = 0$ .

Now assume that  $\ell = 1$  or  $2$  and let  $D^{2\ell}$  and  $\partial D^{2\ell} = S^{2\ell-1}$  be the unit disk and the unit sphere in  $\mathbb{C}^\ell$  respectively. Let  $\mathbb{H}$  be the set of quaternions, which is identified with  $\mathbb{C}^2$  as follows:

$$\mathbb{H} \ni a + bi + cj + dk = (a + bi) + (c + di)j \longleftrightarrow (a + bi, c + di) \in \mathbb{C}^2.$$

Then  $\mathbb{C}^1$  is contained in  $\mathbb{H}$  by  $a + bi = a + bi + 0j + 0k$ . Let  $\alpha := e^{2\pi\sqrt{-1}/p}$  be the primitive  $p$ -th root of 1. Then  $G$  acts on  $\mathbb{H}$  by  $\psi \cdot h = h\alpha^\gamma$  ( $h \in \mathbb{H}$ ), which corresponds to the  $SU(2)$ -transformation

$$\mathbb{C}^2 \ni (z_1, z_2) \longrightarrow (\alpha^\gamma z_1, \bar{\alpha}^\gamma z_2) \in \mathbb{C}^2$$

under the identification above because  $j\alpha^\gamma = \bar{\alpha}^\gamma j$ . This  $G$ -action defines  $G$ -actions on  $D^{2\ell}, S^{2\ell-1}$  for  $\ell = 1, 2$ . We give the standard metric on  $S^{2\ell-1}$ , which is  $G$ -invariant, and give a  $G$ -invariant Hermitian metric on  $D^{2\ell}$  such that it is a product metric of  $S^{2\ell-1} \times [0, \delta]$  near  $\partial D^{2\ell} = S^{2\ell-1}$ . Here since  $\ell$  equals to 1 or 2, the sphere  $S^{2\ell-1}$  has a group structure. Actually the group structure of  $S^3$  is induced from the multiplication in the quaternions  $\mathbb{H}$  and  $S^1$  is the subgroup of  $S^3$  consisting of complex numbers. Using this group structure, we can construct a global orthonormal frame field  $\{F_A^1, F_A^2, F_A^3\}_{A \in S^3}$  on  $S^3$  as follows:

$$F_A^1 = i \cdot A, F_A^2 = j \cdot A, F_A^3 = k \cdot A \in \mathbb{H}.$$

It is clear that  $\{F_A^1\}_{A \in S^1}$  defines a global orthonormal frame field on  $S^1$ . Now considering the associativity of the multiplication in  $\mathbb{H}$ , we can see that the frame field above is invariant under the action of  $G$ . Hence the trivialization of the tangent bundle  $TS^3$ :

$$TS^3 \ni (A, w = aF_A^1 + bF_A^2 + cF_A^3) \longrightarrow (A, (a, b, c)) \in S^3 \times \mathbb{R}^3$$

( $A \in S^3, w \in T_A S^3$ ) is  $G$ -invariant and therefore the unique trivial spin<sup>c</sup>-structure of  $S^{2\ell-1}$  is  $G$ -invariant. Moreover  $F_A^0 := A$  defines the outward unit normal vector field on  $S^{2\ell-1}$  and the trivialization of  $TD^4|_{S^3}$ :

$$\begin{aligned} TD^4|_{S^3} \ni (A, v = aF_A^0 + bF_A^1 + cF_A^2 + dF_A^3) \\ \longrightarrow (A, ((a + bi), (c + di))) \in S^3 \times \mathbb{C}^2 \end{aligned}$$

( $A \in S^3, v \in T_A D^4$ ) is  $G$ -invariant. Therefore the quotient  $(TS^{2\ell-1})/G$  is the trivial real vector bundle and the quotient  $(TD^{2\ell}|_{S^{2\ell-1}})/G$  is the trivial complex vector bundle.

Set  $X = M \times D^{2\ell}$  and  $Y = \partial X = M \times S^{2\ell-1}$ . Then the metric on  $M$  and the metrics on  $D^{2\ell}, S^{2\ell-1}$  define the  $G$ -invariant product metrics on  $X, Y$  respectively and the  $G$ -actions on  $D^{2\ell}, S^{2\ell-1}$  define the diagonal  $G$ -actions on  $X, Y$  as follows:

$$(2.6) \quad \psi \cdot (q, h) = (\psi \cdot q, h\alpha^\gamma) \quad (q \in M, h \in \mathbb{H}).$$

Moreover the tangent bundle  $TX, TY$  splits as

$$\begin{aligned} TX &= q_X^* TM \oplus r_X^* TD^{2\ell} = q_X^* TM \oplus \varepsilon_{\mathbb{C}}^{\ell}, \\ TY &= q_Y^* TM \oplus r_Y^* TS^{2\ell-1} = q_Y^* TM \oplus \varepsilon^{2\ell-1}, \end{aligned}$$

where  $q_X : X \rightarrow M, q_Y : Y \rightarrow M$  denote the first factor projections,  $r_X : X \rightarrow D^{2\ell}, r_Y : Y \rightarrow S^{2\ell-1}$  denote the second factor projections and  $\varepsilon_{\mathbb{C}}^k$  ( $\varepsilon^k$ ) denotes the trivial complex (real) vector bundle of rank  $k$  with a  $G$ -invariant trivialization. Therefore  $\text{spin}^c$ -structures on  $X, Y$  are defined by the  $U(m)$ -structures  $q_X^* Q, q_Y^* Q$  respectively and connections  $\nabla^X, \nabla^Y$  in  $q_X^* Q, q_Y^* Q$  are induced from the connection  $\nabla$  in  $Q$ . These connections  $\nabla^X, \nabla^Y$  define  $G$ -invariant metric connections of  $TX, TY$ , which are the direct sum of the connection  $\nabla$  of  $TM$  and the globally flat connections of the trivial bundles. These connections  $\nabla^X, \nabla^Y$  also define  $G$ -invariant connections of the half spinor bundles  $S_X^{\pm} = q_X^* Q \times_{U(m)} \Delta^{\pm}$  over  $X$  and a  $G$ -invariant connection of the spinor bundle  $S_Y = S_X^+|_Y = S_X^-|_Y = q_Y^* Q \times_{U(m)} \Delta$  over  $Y$  where  $\Delta^{\pm}$  are the half spin representations of  $\text{spin}^c(2m+2\ell)$  and  $\Delta$  is the spin representation of  $\text{spin}^c(2m+2\ell-1)$ .

Set  $E_{\phi,X} = q_X^* E_{\phi} = q_X^* Q \times_{U(m)} V_{\phi}$  and  $E_{\phi,Y} = q_Y^* E_{\phi} = q_Y^* Q \times_{U(m)} V_{\phi}$ . Then  $E_{\phi,X}$  and  $E_{\phi,Y}$  are virtual  $G$ -vector bundles with  $G$ -invariant unitary connections  $\nabla^X, \nabla^Y$  and the restriction of  $E_{\phi,X}$  to  $Y$  coincides with  $E_{\phi,Y}$ . Using the  $\text{spin}^c$ -structures and the connections defined above, we can define the  $G$ -equivariant  $\text{spin}^c$ -Dirac operators

$$\begin{aligned} D_X &: \Gamma(S_X^+ \otimes E_{\phi,X}) \rightarrow \Gamma(S_X^- \otimes E_{\phi,X}), \\ D_Y &: \Gamma(S_Y \otimes E_{\phi,Y}) \rightarrow \Gamma(S_Y \otimes E_{\phi,Y}). \end{aligned}$$

Since the metric and the connection  $\nabla^X$  is product near  $\partial X = Y$ ,  $D_X$  can be expressed as

$$D_X = \sigma \left( \frac{\partial}{\partial u} + D_Y \right)$$

on the collar  $Y \times [0, \delta) \subset X$  where  $u$  is the coordinate of  $[0, \delta)$  and  $\sigma$  is a bundle isomorphism defined by the Clifford multiplication (see [1]). Hence the following equality is deduced from (4.3) in [1] (see also (4.6) in [2] and Lemma 3.5.4 in [6]):

$$(2.7) \quad \text{Index}(D_X) = \int_X \text{Ch}(E_{\phi,X}, \nabla^X) \text{Td}(TX, \nabla^X) - \frac{1}{2}(\eta_Y + \dim \ker D_Y),$$

where  $\text{Index}(D_X)$  is the index of  $D_X$  with a certain global boundary condition, which is an integer,  $\text{Ch}(E_{\phi,X}, \nabla^X)$  is the Chern character form of  $E_{\phi,X}$  with respect to  $\nabla^X$ ,  $\text{Td}(TX, \nabla^X)$  is the Todd form of  $TX$  with respect to  $\nabla^X$  and  $\eta_Y$  is the eta invariant of  $D_Y$ . (For details of eta invariants, see [1], [3].) Here the same argument as was used to prove (2.3) shows that

$$(2.8) \quad \int_X \text{Ch}(E_{\phi,X}, \nabla^X) \text{Td}(TX, \nabla^X) = 0$$

because the order of  $\phi$  is greater than  $m + \ell$  and the dimension of  $X$  is  $2m + 2\ell$ . Therefore it follows from (2.7) that

$$(2.9) \quad \frac{1}{2}\eta_Y = -\text{Index}(D_X) - \frac{1}{2}\dim \ker D_Y.$$

Let  $O$  be the origin of  $\mathbb{C}^\ell$ . Then  $M$  is regarded as an almost complex submanifold of  $X$  by the identification of  $M$  with  $M \times \{O\}$  and hence  $N$  is also regarded as an almost complex submanifold of  $X$ . Note that the fixed point set of the  $G$ -action on  $X$  is contained in  $M$  and coincides with the fixed point set of the  $G$ -action on  $M$ . Let  $\nu(N, X)$  be the normal bundle of  $N$  in  $X$ . Then  $\nu(N, X)$  is decomposed into the direct sum of complex subbundles

$$\nu(N, X) = \nu(N, M) \oplus \varepsilon_{\mathbb{C}}^\ell = \oplus_j \nu_j(N, M) \oplus \varepsilon_{\mathbb{C}}^\ell,$$

where  $\psi^k$  acts on  $\nu_j(N, M)$  via multiplication by  $e^{\sqrt{-1}\theta_j}$  and acts on the trivial complex line bundle  $\varepsilon_{\mathbb{C}}^\ell = N \times \mathbb{C}^\ell$  by

$$\psi^k \cdot (q, (z_1, \dots, z_\ell)) = \begin{cases} (q, (\alpha^{\gamma^k} z_1)) & (\ell = 1), \\ (q, (\alpha^{\gamma^k} z_1, \bar{\alpha}^{\gamma^k} z_2)) & (\ell = 2) \end{cases}$$

( $q \in N, (z_1, \dots, z_\ell) \in \mathbb{C}^\ell$ ). Hence the following equality is deduced from Theorem 1.2 in [3] (see also (4.6) in [2] and Lemma 3.5.4 in [6]):

$$(2.10) \quad \begin{aligned} & \text{Index}(D_X, \psi^k) \\ &= \sum_{N \subset \Omega(k)} \text{Ch}(E_\phi|_N, \psi^k) \text{Td}(TN) \mathfrak{U}(\nu(N, M), \psi^k) C_\ell(k, \gamma)[N] \\ & \quad - \frac{1}{2} \{ \eta_Y(\psi^k) + \text{Tr}(\psi^k|_{\ker D_Y}) \} \end{aligned}$$

for  $1 \leq k \leq p - 1$ , where  $\text{Index}(D_X, \psi^k)$  is the index of  $D_X$  with a certain global boundary condition evaluated at  $\psi^k$ , namely,

$$\text{Index}(D_X, \psi^k) := \text{Tr}(\psi^k|_{\ker D_X}) - \text{Tr}(\psi^k|_{\text{coker } D_X}),$$

$\eta_Y(\psi^k)$  is the eta invariant of  $D_Y$  evaluated at  $\psi^k$  and

$$C_1(k, \gamma) = \frac{1}{1 - \alpha^{-\gamma^k}}, \quad C_2(k, \gamma) = \frac{1}{1 - \alpha^{-\gamma^k}} \frac{1}{1 - \bar{\alpha}^{-\gamma^k}} = \frac{1}{|1 - \alpha^{-\gamma^k}|^2}.$$

Note that  $\text{Index}(D_X, \psi^p)$ ,  $\eta_Y(\psi^p)$  coincide with  $\text{Index}(D_X)$ ,  $\eta_Y$  in (2.7) respectively.

Since the restriction of the  $G$ -action to  $Y$  is free and preserves the metric and the  $\text{spin}^c$ -structure of  $Y$ , the quotient space  $M_S = Y/G$  is a smooth manifold with the metric and the  $\text{spin}^c$ -structure inherited from those of  $Y$ . The quotient space  $X/G$  also has the metric and the  $\text{spin}^c$ -structure inherited from those of  $X$  near  $\partial(X/G) = M_S$ , whose restriction to  $M_S$  coincides with those of  $M_S$ . Moreover the  $G$ -invariant metric connections  $\nabla^Y, \nabla^X$  of  $TY, TX$  define

a metric connection  $\nabla^S$  of  $TM_S$ , a unitary connection  $\nabla^{X/G}$  of  $T(X/G)$  near  $M_S$  respectively. We can show that  $M_S$  is the boundary of an almost complex manifold  $W$  as follows. Let  $\varepsilon^1$  be the normal bundle of  $S^{2\ell-1}$  in  $\mathbb{C}^\ell$ , which has a  $G$ -invariant trivialization, and  $\varepsilon_S^1$  the quotient bundle  $(r_Y^*\varepsilon^1)/G$ . Note that both of  $\varepsilon^1$  and  $\varepsilon_S^1$  are trivial real line bundles. Since  $TS^{2\ell-1} \oplus \varepsilon^1 = TD^{2\ell}|_{S^{2\ell-1}}$  has the standard complex structure, which is invariant under the action of  $G$ ,

$$\begin{aligned} TM_S \oplus \varepsilon_S^1 &\cong (q_Y^*TM \oplus r_Y^*TS^{2\ell-1} \oplus r_Y^*\varepsilon^1)/G \\ &\cong (q_Y^*TM \oplus r_Y^*(TD^{2\ell}|_{S^{2\ell-1}}))/G \end{aligned}$$

has a complex structure. Hence the  $(2m + 2\ell - 1)$ -dimensional compact manifold  $M_S$  is stably almost complex manifold and therefore it follows from the result of Morita [8] that there exists a compact  $(2m + 2\ell)$ -dimensional almost complex manifold  $W$  such that  $\partial W = M_S$  and  $W = X/G$  near  $M_S$  as an almost complex manifold with Hermitian metric. The Hermitian metric of  $X/G$  near  $M_S$  is extended to a Hermitian metric on  $W$ . Let  $Q^W$  be the principal  $U(m + \ell)$ -bundle of unitary frames on  $W$ . Then the connection  $\nabla^{X/G}$  extends to a unitary connection  $\nabla^W$  in  $Q^W$ . On the other hand, we can see that  $TW|_{M_S} = (TX/G)|_{M_S}$  is orthogonally decomposed into

$$(2.11) \quad TW|_{M_S} \cong (q_Y^*TM \oplus r_Y^*(TD^{2\ell}|_{S^{2\ell-1}}))/G \cong (TM)_S \oplus \varepsilon_C^\ell,$$

where  $(TM)_S$  is the vector bundle over  $M_S$  defined by  $(TM)_S = (q_Y^*TM)/G$  and  $\varepsilon_C^\ell$  is the trivial complex line bundle of rank  $\ell$ . Then the connection  $\nabla^W$  splits according to (2.11) as

$$(2.12) \quad \nabla^W|_{TM_S} = \nabla^{X/G}|_{TM_S} = \nabla^{(TM)_S} \oplus \nabla^0,$$

where  $\nabla^{(TM)_S}$  denotes the connection of  $(TM)_S$  naturally defined by  $\nabla$  and  $\nabla^0$  denotes the globally flat connection of  $\varepsilon_C^\ell$ . Now let  $V_\phi^W$  be the element of the representation ring  $R(U(m + \ell))$  defined by

$$\begin{aligned} V_\phi^W &= \phi(\tau_1, \tau_2, \dots, \tau_m) \in R(U(m + \ell)) \\ &\subset \mathbb{Z}[t_1, t_1^{-1}, \dots, t_m, t_m^{-1}, \dots, t_{m+\ell}, t_{m+\ell}^{-1}], \end{aligned}$$

where  $\tau_j = \sigma_j(t_1 - 1, \dots, t_m - 1, t_{m+1} - 1, \dots, t_{m+\ell} - 1)$  and set

$$E_\phi^W = Q^W \times_{U(m+\ell)} V_\phi^W.$$

Then the connection  $\nabla^W$  naturally defines a unitary connection of  $E_\phi^W$  and the  $E_\phi^W$ -valued  $\text{spin}^c$ -Dirac operator  $D_W$  is defined.

On the other hand, the quotient bundle  $E_{\phi,S} = E_{\phi,Y}/G$  is a virtual complex vector bundle over  $M_S$  with a unitary connection and the  $G$ -equivariant Dirac operator  $D_Y$  naturally defines a differential operator  $D_S$ , which is the  $E_{\phi,S}$ -valued  $\text{spin}^c$ -Dirac operator on  $M_S$ . Since  $Q_S = (q_Y^*Q)/G$  is the unitary frame bundle associated to  $(TM)_S$ , it follows from (2.11) and (2.12) that

$Q^W|_{M_S}$  is reducible to  $Q_S$  with the connection. Since  $V_\phi^W$  is isomorphic to  $V_\phi$  as a virtual  $U(m)$ -representation, it follows that

$$\begin{aligned} E_\phi^W|_{M_S} &\cong (Q^W|_{M_S}) \times_{U(m+\ell)} V_\phi^W \cong Q_S \times_{U(m)} V_\phi^W \cong Q_S \times_{U(m)} V_\phi \\ &\cong q_Y^*(Q \times_{U(m)} V_\phi)/G = (q_Y^* E_\phi)/G = E_{\phi,Y}/G = E_{\phi,S}, \end{aligned}$$

where  $\cong$  denotes the isomorphism as a virtual vector bundle with an inner product and a unitary connection. Hence, on the collar  $M_S \times [0, \delta) \subset W$ ,  $D_W$  can be expressed as

$$D_W = \sigma \left( \frac{\partial}{\partial u} + D_S \right),$$

where  $u$  is the coordinate of  $[0, \delta)$  and  $\sigma$  is a bundle isomorphism defined by the Clifford multiplication. Hence the following equality is deduced from (4.3) in [1] as well as in (2.7):

$$(2.13) \quad \text{Index}(D_W) = \int_W \text{Ch}(E_\phi^W, \nabla^W) \text{Td}(TW, \nabla^W) - \frac{1}{2}(\eta_S + \dim \ker D_S),$$

where  $\text{Index}(D_W)$  is the index of  $D_W$  with a certain global boundary condition,  $\text{Ch}(E_\phi^W, \nabla^W)$  is the Chern character form of  $E_\phi^W$ ,  $\text{Td}(TW, \nabla^W)$  is the Todd form of  $TW$  and  $\eta_S$  is the eta invariant of  $D_S$ . Here since the  $\text{spin}^c$ -structure of  $M_S$  comes from the  $U(m)$ -structure of  $Y$  which is naturally defined by that of  $M$ , the spinor bundle  $S_{M_S} = S_Y/G$  on  $M_S$  splits into  $S_{M_S} = S_{M_S}^+ \oplus S_{M_S}^-$  and  $D_S$  splits into  $D_S = D_S^+ \oplus D_S^-$ , where

$$(2.14) \quad \begin{aligned} D_S^+ &: \Gamma(S_{M_S}^+ \otimes E_{\phi,S}) \longrightarrow \Gamma(S_{M_S}^- \otimes E_{\phi,S}), \\ D_S^- &= (D_S^+)^* : \Gamma(S_{M_S}^- \otimes E_{\phi,S}) \longrightarrow \Gamma(S_{M_S}^+ \otimes E_{\phi,S}). \end{aligned}$$

Hence we have

$$\dim \ker D_S = \dim \ker D_S^+ + \dim \ker D_S^-.$$

On the other hand, since the dimension of  $Y$  is odd, it follows that

$$(2.15) \quad \text{Index}(D_S^+) = \dim \ker D_S^+ - \dim \ker (D_S^+)^* = 0$$

(see Proposition 9.2 in [2]). Therefore we have

$$\dim \ker D_S^- = \dim \ker (D_S^+)^* = \dim \ker D_S^+$$

and hence it follows that

$$\frac{1}{2} \dim \ker D_S = \dim \ker D_S^+ \equiv 0 \pmod{\mathbb{Z}}.$$

Moreover it follows from (3.6) in [3] that

$$\frac{1}{2} \eta_S = \frac{1}{p} \sum_{k=1}^p \frac{1}{2} \eta_Y(\psi^k).$$

Hence it follows from (2.13) that

$$(2.16) \quad \frac{1}{p} \sum_{k=1}^{p-1} \frac{1}{2} \eta_Y(\psi^k) + \frac{1}{p} \frac{1}{2} \eta_Y \equiv \int_W \text{Ch}(E_\phi^W, \nabla^W) \text{Td}(TW, \nabla^W) \pmod{\mathbb{Z}}.$$

Here it follows from (2.9) and (2.10) that

$$(2.17) \quad \begin{aligned} & \frac{1}{p} \sum_{k=1}^{p-1} \frac{1}{2} (\eta_Y(\psi^k)) + \frac{1}{p} \frac{1}{2} \eta_Y \\ &= \frac{1}{p} \sum_{k=1}^{p-1} C_\ell(k, \gamma) \sum_{N \subset \Omega(k)} \text{Ch}(E_\phi|_N, \psi^k) \text{Td}(TN) \mathfrak{U}(\nu(N, M), \psi^k)[N] \\ & \quad - \frac{1}{p} \sum_{k=1}^p \text{Index}(D_X, \psi^k) - \frac{1}{p} \sum_{k=1}^p \frac{1}{2} \text{Tr}(\psi^k|_{\ker D_Y}). \end{aligned}$$

Here since the  $\text{spin}^c$ -structure of  $Y$  comes from the  $U(m)$ -structure of  $M$ , the spinor bundle  $S_Y$  splits into  $S_Y = S_Y^+ \oplus S_Y^-$  and  $D_Y$  splits into  $D_Y = D_Y^+ \oplus D_Y^-$  where

$$\begin{aligned} D_Y^+ &: \Gamma(S_Y^+ \otimes E_{\phi, Y}) \longrightarrow \Gamma(S_Y^- \otimes E_{\phi, Y}), \\ D_Y^- &= (D_Y^+)^* : \Gamma(S_Y^- \otimes E_{\phi, Y}) \longrightarrow \Gamma(S_Y^+ \otimes E_{\phi, Y}) \end{aligned}$$

as in (2.14). Here since  $\psi^k$  ( $1 \leq k \leq p-1$ ) acts freely on  $Y$ , it follows from the fixed point formula in [2] that

$$\text{Index}(D_Y^+, \psi^k) := \text{Tr}(\psi^k|_{\ker D_Y^+}) - \text{Tr}(\psi^k|_{\ker(D_Y^+)^*}) = 0$$

for any  $1 \leq k \leq p-1$ . Moreover, since the dimension of  $Y$  is odd, it follows as in (2.15) that

$$\text{Index}(D_Y^+) = \text{Tr}(\psi^p|_{\ker D_Y^+}) - \text{Tr}(\psi^p|_{\ker(D_Y^+)^*}) = 0$$

and hence that

$$\begin{aligned} \sum_{k=1}^p \frac{1}{2} \text{Tr}(\psi^k|_{\ker D_Y}) &= \sum_{k=1}^p \frac{1}{2} \{ \text{Tr}(\psi^k|_{\ker D_Y^+}) + \text{Tr}(\psi^k|_{\ker D_Y^-}) \} \\ &= \sum_{k=1}^p \frac{1}{2} \{ \text{Tr}(\psi^k|_{\ker D_Y^+}) + \text{Tr}(\psi^k|_{\ker(D_Y^+)^*}) \} = \sum_{k=1}^p \text{Tr}(\psi^k|_{\ker D_Y^+}). \end{aligned}$$

Therefore it follows from (2.5) that

$$(2.18) \quad \begin{aligned} & \sum_{k=1}^p \text{Index}(D_X, \psi^k) + \sum_{k=1}^p \frac{1}{2} \text{Tr}(\psi^k|_{\ker D_Y}) \\ &= \sum_{k=1}^p \text{Index}(D_X, \psi^k) + \sum_{k=1}^p \text{Tr}(\psi^k|_{\ker D_Y^+}) \equiv 0 \pmod{p}. \end{aligned}$$

Hence it follows from (2.16), (2.17) and (2.18) that

$$(2.19) \quad \frac{1}{p} \sum_{k=1}^{p-1} C_\ell(k, \gamma) \sum_{N \subset \Omega(k)} \text{Ch}(E_\phi|_N, \psi^k) \text{Td}(TN) \mathfrak{U}(\nu(N, M), \psi^k)[N] \\ \equiv \int_W \text{Ch}(E_\phi^W, \nabla^W) \text{Td}(TW, \nabla^W) \pmod{\mathbb{Z}}.$$

Here the same argument as was used to prove (2.3) shows that

$$(2.20) \quad \int_W \text{Ch}(E_\phi^W, \nabla^W) \text{Td}(TW, \nabla^W) = 0$$

because the order of  $\phi$  is greater than  $m + \ell$  and the dimension of  $W$  is  $2m + 2\ell$ . Now the equality in Theorem 1.3 is deduced from (2.19) and (2.20). This completes the proof of Theorem 1.3.

### 3. Examples

In this section, applying Theorem 1.3, we give certain fixed point formulae for the standard torus  $T^2$ , the sphere  $S^6$  and the complex projective space  $\mathbb{C}\mathbb{P}^m$ , which can be verified by direct computation.

**Example 3.1.** Let  $T^2$  be the standard torus defined by  $T^2 = \mathbb{C}/(\mathbb{Z} + \sqrt{-1}\mathbb{Z})$ . Let  $\psi$  be the automorphism of  $T^2$  defined by the  $\pi/2$ -rotation with center at  $(1+i)/2$ . Then the order of  $\psi$  is 4 and the fixed point set  $\Omega(k)$  of  $\psi^k$  is as follows:

$$\Omega(1) = \Omega(3) = \left\{ A = \frac{1+i}{2}, B = 1+i \right\}, \\ \Omega(2) = \left\{ A = \frac{1+i}{2}, B = 1+i, C = \frac{1}{2} + i, D = 1 + \frac{i}{2} \right\}.$$

Set  $\ell = 2$ ,  $\gamma = 3$  and  $\phi = x_1^n = \tau_1^n \in S(n)$ . Since  $\psi^k$  acts on  $T_A T^2$ ,  $T_B T^2$  via multiplication by  $i^k$  for  $1 \leq k \leq 3$  and  $\psi^2$  acts on  $T_C T^2$ ,  $T_D T^2$  via multiplication by  $-1$ , it follows from Corollary 1.4 that the equality

$$(3.1) \quad \frac{1}{|1-i^{-3}|^2} \left( 2(i-1)^n \frac{1}{1-i^{-1}} \right) \\ + \frac{1}{|1-i^{-6}|^2} \left( 2(i^2-1)^n \frac{1}{1-i^{-2}} + 2(-1-1)^n \frac{1}{1-(-1)^{-1}} \right) \\ + \frac{1}{|1-i^{-9}|^2} \left( 2(i^3-1)^n \frac{1}{1-i^{-3}} \right) \equiv 0 \pmod{4}$$

holds for any  $n > m + \ell = 3$ . The equality above can be easily verified as follows:

$$\begin{aligned} \text{the left-hand side of (3.1)} &= i(i-1)^{n-1} + (-2)^n + \overline{(i(i-1)^{n-1})} \\ &= 2\text{Re}(i(i-1)^{n-1}) + (-2)^n \equiv 0 \pmod{4}, \end{aligned}$$

where  $\text{Re}$  denotes the real part because we can show that both of the real part and the imaginary part of  $i(i-1)^{n-1}$  are even for  $n \geq 3$  by induction.

**Example 3.2.** Let  $\mathbb{O} = \mathbb{H} \oplus \mathbb{H}$  be the set of octonions with multiplication defined by the rule

$$x \cdot x' = (q_1, q_2) \cdot (q'_1, q'_2) \equiv (q_1 q'_1 - \overline{q'_2} q_2, q'_2 q_1 + q_2 \overline{q'_1})$$

for any  $x, x' \in \mathbb{O}$  (see [7]). The conjugation  $\overline{x}$  and the real part  $\text{Re}(x)$  of  $x = (q_1, q_2) \in \mathbb{O}$  are defined by  $\overline{x} = (\overline{q_1}, -q_2)$  and  $\text{Re}(x) = \text{Re}(q_1)$  respectively. Moreover the standard Euclidean inner product  $\langle x, x' \rangle$  and its norm  $|x|$  are defined for  $x, x' \in \mathbb{O}$  by

$$\langle x, x' \rangle = \text{Re}(x \cdot \overline{x'}) = \text{Re}(\overline{x} \cdot x'), \quad |x| = \sqrt{\langle x, x \rangle} = \sqrt{x \cdot \overline{x}} = \sqrt{\overline{x} \cdot x}$$

respectively. The map

$$\mathbb{O} \ni ((z_1, z_2), (z_3, z_4)) \longrightarrow (z_1, z_2, z_3, z_4) \in \mathbb{C}^4$$

gives an isomorphism as a complex vector space. We denote  $((z_1, z_2), (z_3, z_4))$  by  $(z_1, z_2, z_3, z_4)$  hereafter. Let  $\text{Im}(\mathbb{O})$  be the set of pure imaginary octonions, namely,

$$\text{Im}(\mathbb{O}) = \{x \in \mathbb{O} \mid \overline{x} = -x\},$$

which is isomorphic to  $\mathbb{R}^7$  as a real vector space and  $S^6$  the standard 6-dimensional sphere defined by

$$S^6 = \{A = (z_1, z_2, z_3, z_4) \in \text{Im}(\mathbb{O}) \mid |A| = |z_1|^2 + |z_2|^2 + |z_3|^2 + |z_4|^2 = 1\}.$$

Then, for any point  $A \in S^6$ , the tangent space  $T_A S^6$  is given by

$$T_A S^6 = \{B \in \text{Im}(\mathbb{O}) \mid \langle A, B \rangle = 0\}.$$

For any  $A \in S^6$ ,  $B \in T_A S^6$ , set  $J_A(B) = A \cdot B$ . Then since the equality  $\overline{x} \cdot (x \cdot y) = (\overline{x} \cdot x) \cdot y = |x|^2 y$  holds for any  $x, y \in \mathbb{O}$ , we have

$$J_A(J_A(B)) = A \cdot (A \cdot B) = -\overline{A} \cdot (A \cdot B) = -(\overline{A} \cdot A) \cdot B = -|A|^2 B = -B,$$

which implies that  $J_A(B) \in T_A S^6$  and  $J_A^2 = -1$  because  $\overline{A} \cdot (A \cdot B) = B$  implies that  $\langle A, A \cdot B \rangle = \text{Re}(\overline{A} \cdot (A \cdot B)) = \text{Re}(B) = 0$ . Hence this  $J$  defines an almost complex structure of  $S^6$ . Let  $p$  be any natural number,  $\alpha = e^{2\pi\sqrt{-1}/p}$  the primitive  $p$ -th root of 1 and  $\psi$  the periodic  $\mathbb{C}$ -linear map of  $\mathbb{O}$  of order  $p$  defined by

$$\mathbb{O} \ni (q_1, q_2) = (z_1, z_2, z_3, z_4) \longrightarrow (q_1, \alpha q_2) = (z_1, z_2, \alpha z_3, \alpha z_4).$$

Then  $\psi$  maps  $S^6$  to  $S^6$  and we have

$$\begin{aligned} \psi(x) \cdot \psi(y) &= (q_1, \alpha q_2) \cdot (q'_1, \alpha q'_2) = (q_1 q'_1 - \overline{\alpha q'_2} \alpha q_2, \alpha q'_2 q_1 + \alpha q_2 \overline{q'_1}) \\ &= (q_1 q'_1 - \overline{q'_2} \overline{\alpha} \alpha q_2, \alpha q'_2 q_1 + \alpha q_2 \overline{q'_1}) = (q_1 q'_1 - \overline{q'_2} q_2, \alpha(q'_2 q_1 + q_2 \overline{q'_1})) \\ &= \psi(x \cdot y) \end{aligned}$$

for any  $x = (q_1, q_2), y = (q'_1, q'_2) \in \mathbb{O}$ . Hence it follows that

$$J_{\psi(A)}(\psi_*(B)) = J_{\psi(A)}(\psi(B)) = \psi(A) \cdot \psi(B) = \psi(A \cdot B) = \psi_*(J_A(B))$$

for any  $A \in S^6, B \in T_A S^6$ , which implies that  $\psi$  commutes with  $J$ . Hence  $\psi$  defines an automorphism of the almost complex manifold  $S^6$ . The fixed point set of  $\psi^k$  is independent of  $k$  and coincides with the standard 2-dimensional sphere

$$S^2 = \{(z_1, z_2, z_3, z_4) \in S^6 \mid z_3 = z_4 = 0\}$$

for any  $1 \leq k \leq p-1$ . The normal bundle  $\nu(S^2, S^6)$  is the trivial complex vector bundle of rank 2 and  $\psi^k$  acts on  $\nu(S^2, S^6)$  via multiplications by  $\alpha^k$ .

Set  $\ell = 0$  and  $\phi = (x_1 x_2 x_3)^n = \tau_3^n \in S(3n)$ . Since  $TS^6|_{S^2}$  splits into the direct sum  $TS^2 \oplus \nu(S^2, S^6)$  and  $\psi^k$  acts on  $TS^2$  via multiplication by 1, it follows from (1.3) that

$$\text{Ch}(E_\phi|_{S^2}, \psi^k) = \{(e^{c_1(TS^2)} - 1)(\alpha^k - 1)^2\}^n = \{(e^{2x} - 1)(\alpha^k - 1)^2\}^n,$$

where  $x$  denotes the positive generator of  $H^2(S^2) \cong \mathbb{Z}$ . Hence it follows from Theorem 1.3 that the equality

$$\begin{aligned} (3.2) \quad & \sum_{k=1}^{p-1} \{(e^{2x} - 1)(\alpha^k - 1)^2\}^n \frac{x}{1 - e^{-x}} \left( \frac{1}{1 - \alpha^{-k}} \right)^2 [S^2] \\ &= 2^n \sum_{k=1}^{p-1} (\alpha^k - 1)^{2n} \left( \frac{1}{1 - \alpha^{-k}} \right)^2 (x^n + \text{higher order terms}) [S^2] \\ &\equiv 0 \pmod{p} \end{aligned}$$

holds for any  $n$  such that  $3n > m + \ell = 3$ . The equality above can also be easily verified because  $3n > 3$  implies that  $n \geq 2$  and hence that

$$(x^n + \text{higher order terms}) [S^n] = 0.$$

**Remark 3.3.** If  $3n = m + \ell = 3 \iff n = 1$ , it follows from (2.5) and (3.2) that

$$\begin{aligned} & \sum_{k=1}^{p-1} (e^{2x} - 1)(\alpha^k - 1)^2 \frac{x}{1 - e^{-x}} \left( \frac{1}{1 - \alpha^{-k}} \right)^2 [S^2] \\ &= 2 \sum_{k=1}^{p-1} \alpha^{2k} = 2 \left( \sum_{k=1}^p \alpha^{2k} - 1 \right) \equiv -2 \neq 0 \pmod{p} \end{aligned}$$

if  $p \neq 2$ .

**Example 3.4.** Let  $M$  be the  $m$ -dimensional complex projective space  $\mathbb{C}\mathbb{P}^m$ ,  $p$  any natural number,  $\alpha = e^{2\pi\sqrt{-1}/p}$  the primitive  $p$ -th root of 1 and  $\psi$  the periodic automorphism of  $\mathbb{C}\mathbb{P}^m$  of order  $p$  defined by

$$\mathbb{C}\mathbb{P}^m \ni [z_0 : z_1 : \cdots : z_m] \longrightarrow [\alpha z_0 : z_1 : \cdots : z_m].$$

Then the fixed point set of  $\psi^k$  is independent of  $k$  and coincides with the disjoint union of the point  $q = [1 : 0 : \cdots : 0]$  and the hyperplane  $\mathbf{CP}^{m-1}$  defined by  $z_0 = 0$ . Set  $\ell = 1$ ,  $\gamma = 1$  and  $\phi = (x_1 + x_2 + \cdots + x_m)^n = \tau_1^n \in S(n)$ . Then it follows that  $\phi = (t_1 + t_2 + \cdots + t_m - m)^n$  and hence that

$$E_\phi = \otimes^n (T\mathbf{CP}^m - \varepsilon_{\mathbb{C}}^m),$$

where  $\psi^k$  acts on the trivial bundle  $\varepsilon_{\mathbb{C}}^m$  via multiplication by 1. Here  $\psi^k$  acts on  $\nu(q, \mathbf{CP}^m) \cong \mathbb{C}^m$  via multiplication by  $\alpha^{-k}$  and hence we have

$$\text{Ch}(T\mathbf{CP}^m|_q, \psi^k) = m\alpha^{-k}, \quad \text{Td}(Tq) = 1, \quad \mathfrak{U}(\nu(q, M), \psi^k) = \left( \frac{1}{1 - \alpha^k} \right)^m.$$

On the other hand, the normal bundle  $\nu(\mathbf{CP}^{m-1}, \mathbf{CP}^m)$  is isomorphic to the restriction of the hyperplane bundle  $L$  to  $\mathbf{CP}^{m-1}$  and  $\psi^k$  acts on  $\nu(\mathbf{CP}^{m-1}, \mathbf{CP}^m) \cong L|_{\mathbf{CP}^{m-1}}$  via multiplication by  $\alpha^k$ . Let  $x$  be the positive generator of  $H^2(\mathbf{CP}^{m-1}) \cong \mathbb{Z}$  which equals to the first Chern class  $c_1(L|_{\mathbf{CP}^{m-1}})$ . Then since

$$T\mathbf{CP}^m|_{\mathbf{CP}^{m-1}} = T\mathbf{CP}^{m-1} \oplus (L|_{\mathbf{CP}^{m-1}}),$$

where  $\psi^k$  acts on  $T\mathbf{CP}^{m-1}$  via multiplication by 1, it follows that

$$\begin{aligned} \text{Ch}(T\mathbf{CP}^m|_{\mathbf{CP}^{m-1}}, \psi^k) &= me^x - 1 + \alpha^k e^x, \quad \text{Td}(T\mathbf{CP}^{m-1}) = \left( \frac{x}{1 - e^{-x}} \right)^m, \\ \mathfrak{U}(\nu(\mathbf{CP}^{m-1}, \mathbf{CP}^m), \psi^k) &= \frac{1}{1 - \alpha^{-k} e^{-x}}. \end{aligned}$$

Hence it follows from Theorem 1.3 that the equality

$$(3.3) \quad \sum_{k=1}^{p-1} \frac{1}{1 - \alpha^{-k}} (m\alpha^{-k} - m)^n \left( \frac{1}{1 - \alpha^k} \right)^m + \varphi(x)[\mathbf{CP}^{m-1}] \equiv 0 \pmod{p}$$

holds for any  $n > m + \ell = m + 1$ , where

$$\varphi(x) = \sum_{k=1}^{p-1} \frac{1}{1 - \alpha^{-k}} (me^x - 1 + \alpha^k e^x - m)^n \left( \frac{x}{1 - e^{-x}} \right)^m \frac{1}{1 - \alpha^{-k} e^{-x}}.$$

We can verify (3.3) as follows.

$$\begin{aligned}
(3.4) \quad & \varphi(x)[\mathbf{CP}^{m-1}] = x^{m-1}\text{-coefficient of } \varphi(x) \\
& = x^{-1}\text{-coefficient of} \\
& \frac{\varphi(x)}{x^m} = \sum_{k=1}^{p-1} \frac{(me^x - 1 + \alpha^k e^x - m)^n}{(1 - \alpha^{-k})(1 - e^{-x})^m (1 - \alpha^{-k} e^{-x})} \\
& = \sum_{k=1}^{p-1} \frac{(\alpha^k)^2}{\alpha^k - 1} ((m + \alpha^k)e^x - 1 - m)^n \frac{(e^x)^m}{(e^x - 1)^m} \frac{1}{\alpha^k e^x - 1} e^x \\
& = \frac{1}{2\pi i} \oint_{C(x)} \sum_{k=1}^{p-1} \frac{(\alpha^k)^2}{\alpha^k - 1} ((m + \alpha^k)e^x - 1 - m)^n \frac{(e^x)^m}{(e^x - 1)^m} \frac{1}{\alpha^k e^x - 1} e^x dx \\
& (C(x) \text{ is a sufficiently small counterclockwise simple loop around } 0 \in \mathbb{C}) \\
& = \frac{1}{2\pi i} \oint_{C(y)} \sum_{k=1}^{p-1} \frac{(\alpha^k)^2}{\alpha^k - 1} ((m + \alpha^k)(y + 1) - 1 - m)^n \frac{(y + 1)^m}{y^m} \frac{1}{\alpha^k (y + 1) - 1} dy \\
& (y = e^x - 1, C(y) \text{ is a counterclockwise simple loop around } 0 \in \mathbb{C}) \\
& = y^{-1}\text{-coefficient of} \\
& \sum_{k=1}^{p-1} \frac{(\alpha^k)^2}{\alpha^k - 1} ((m + \alpha^k)(y + 1) - 1 - m)^n \frac{(y + 1)^m}{y^m} \frac{1}{\alpha^k (y + 1) - 1} \\
& = y^{m-1}\text{-coefficient of} \\
& \sum_{k=1}^{p-1} \frac{(\alpha^k)^2}{\alpha^k - 1} ((m + \alpha^k)y + \alpha^k - 1)^n (y + 1)^m \frac{1}{\alpha^k - 1 + \alpha^k y} \\
& = y^{m-1}\text{-coefficient of} \\
& \sum_{k=1}^{p-1} \frac{(\alpha^k)^2}{(\alpha^k - 1)^2} \sum_{i=0}^n \binom{n}{i} (m + \alpha^k)^i y^i (\alpha^k - 1)^{n-i} \sum_{j=0}^m \binom{m}{j} y^j \sum_{s=0}^{\infty} \left( \frac{-\alpha^k y}{\alpha^k - 1} \right)^s \\
& = y^{m-1}\text{-coefficient of} \\
& \sum_{k=1}^{p-1} \sum_{i=0}^n \sum_{j=0}^m \sum_{s=0}^{\infty} \binom{n}{i} \binom{m}{j} (-1)^s (\alpha^k)^{s+2} (m + \alpha^k)^i (\alpha^k - 1)^{n-i-s-2} y^{i+j+s} \\
& = \sum_{k=1}^{p-1} \sum_{i=0}^{m-1} \sum_{s=0}^{m-1-i} \binom{n}{i} \binom{m}{m-1-i-s} (-1)^s (\alpha^k)^{s+2} (m + \alpha^k)^i (\alpha^k - 1)^{n-i-s-2} \\
& = \sum_{k=1}^{p-1} (\alpha^k - 1) R(\alpha^k),
\end{aligned}$$

where  $R(z)$  is an integral polynomial defined by

$$R(z) = \sum_{i=0}^{m-1} \sum_{s=0}^{m-1-i} \binom{n}{i} \binom{m}{m-1-i-s} (-1)^s z^{s+2} (m+z)^i (z-1)^{n-i-s-3}.$$

(Note that  $n > m + 1$  and  $i + s \leq m - 1$  imply that  $n - i - s - 3 \geq 0$ .)

Now since  $(\alpha^{\pm\nu})^p = 1$  for any nonnegative integer  $\nu$ , it follows that

$$\sum_{k=1}^{p-1} (\alpha^{\pm k})^\nu = \sum_{k=1}^{p-1} (\alpha^{\pm\nu})^k = \sum_{k=1}^p (\alpha^{\pm\nu})^k - 1 \equiv -1 \pmod{p}$$

(see (2.5)). Hence, for any integral polynomial  $Q(z)$ , we can see that

$$(3.5) \quad \sum_{k=1}^{p-1} Q(\alpha^k) \equiv \sum_{k=1}^{p-1} Q(\alpha^{-k}) \equiv -Q(1) \pmod{p}$$

and therefore it follows from (3.4) that

$$\varphi(x)[\mathbf{C}\mathbb{P}^{m-1}] = \sum_{k=1}^{p-1} (\alpha^k - 1)R(\alpha^k) \equiv -(1 - 1)R(1) = 0 \pmod{p}.$$

On the other hand, it follows from (3.5) that

$$\begin{aligned} & \sum_{k=1}^{p-1} \frac{1}{1 - \alpha^{-k}} (m\alpha^{-k} - m)^n \left( \frac{1}{1 - \alpha^k} \right)^m \\ &= \sum_{k=1}^{p-1} (-m^n)(\alpha^{-k})^m (\alpha^{-k} - 1)^{n-m-1} \equiv m^n \cdot 1^m \cdot (1 - 1)^{n-m-1} = 0 \pmod{p} \end{aligned}$$

because  $n - m - 1 > 0$ . Hence the equality (3.7) is verified.

#### 4. Relation to the Einstein-Kähler metrics

If the Ricci form  $\rho(\omega)$  of the Kähler form  $\omega$  on a Kähler manifold  $M$  is a constant multiple of  $\omega$ ,  $M$  is called an Einstein-Kähler manifold and the metric corresponding to  $\omega$  is called an Einstein-Kähler metric. In this section, we refine the result of Theorem 1.3 for  $\ell = 1$ ,  $\gamma = 1$  and  $\phi = \tau_1^{m+1}$  in the case that  $M$  is an Einstein-Kähler manifold.

Let  $M$  be an  $m$ -dimensional complex manifold and  $A(M)$  the complex Lie group consisting of all biholomorphic automorphisms of  $M$ . Assume that the periodic element  $\psi \in A(M)$  of order  $p$  is contained in the identity component of  $A(M)$  and hence is expressed as  $\psi = \exp v$  by a holomorphic vector field  $v$  on  $M$ . Then using the result of Futaki in [4] and the result in [9], we can prove the next theorem.

**Theorem 4.1.** *If  $M$  admits an Einstein-Kähler metric, then under the notation in Theorem 1.3 the equality*

$$(4.1) \quad \sum_{k=1}^{p-1} C_1(k, 1) \sum_{N \subset \Omega(k)} \text{Ch}(E_\phi|_N, \psi^k) \text{Td}(TN) \mathfrak{U}(\nu(N, M), \psi^k)[N] \equiv 0 \pmod{p}$$

holds for  $\phi = (x_1 + x_2 + \cdots + x_m)^{m+1} = \tau_1^{m+1} \in S(m+1)$ .

*Proof.* Let  $G$  be the cyclic subgroup of  $A(M)$  generated by  $\psi$ . Set  $\ell = 1$ ,  $\gamma = 1$  and  $\phi = (x_1 + x_2 + \cdots + x_m)^{m+1} = \tau_1^{m+1} \in S(m+1)$ . Then  $G$  acts freely on  $Y = M \times S^1$  by

$$\psi \cdot (q, z) = (\psi \cdot q, z\alpha) \quad (q \in M, z \in \mathbb{C}).$$

Let  $M_S$  be the quotient space  $Y/G$  and  $W$  the  $(2m+2)$ -dimensional almost complex manifold whose boundary is  $M_S$  as in Section 2. Then it follows from Theorem 1.6 and Lemma 2.1 in [9] that the equality

$$(4.2) \quad f(v) \equiv \int_W c_1(TW, \nabla^W)^{m+1} \pmod{\mathbb{Z}}$$

holds, where  $f(v)$  is the Futaki invariant of  $v$  (see [4]). Since

$$\text{Ch}(E_\phi^W, \nabla^W) = c_1(TW, \nabla^W)^{m+1} + \text{higher order terms}$$

(see (2.2)) it follows from (4.2) that

$$f(v) \equiv \int_W \text{Ch}(E_\phi^W, \nabla^W) \text{Td}(E_\phi^W, \nabla^W) \pmod{\mathbb{Z}}.$$

Therefore it follows from (2.19) and the equality above that

$$(4.3) \quad f(v) \equiv \frac{1}{p} \sum_{k=1}^{p-1} C_1(k, 1) \sum_{N \subset \Omega(k)} \text{Ch}(E_\phi|_N, \psi^k) \text{Td}(TN) \mathfrak{U}(\nu(N, M), \psi^k)[N] \pmod{\mathbb{Z}}.$$

On the other hand, Futaki proved in [4] (see also [5]) that  $f(v) = 0$  for any holomorphic vector field  $v$  if  $M$  admits an Einstein-Kähler metric. Hence it follows that

$$\frac{1}{p} \sum_{k=1}^{p-1} C_1(k, 1) \sum_{N \subset \Omega(k)} \text{Ch}(E_\phi|_N, \psi^k) \text{Td}(TN) \mathfrak{U}(\nu(N, M), \psi^k)[N] \equiv 0 \pmod{\mathbb{Z}}$$

if  $M$  admits an Einstein-Kähler metric. This completes the proof of Theorem 4.1.  $\square$

**Remark 4.2.** Note that it follows from Theorem 1.3 that the equality (4.1) holds for any almost complex manifold  $M$  if  $\phi \in S(n)$  and  $n > m + \ell = m + 1$ . On the other hand, the equality in Theorem 1.3 does not hold in general if  $n = m + \ell$ . For example, let  $M$  be the blowing-up of  $\mathbb{C}\mathbb{P}^2$  at one point. Then as was seen in [9] (see Theorem 1.6 and p. 215 in [9]) there exists a periodic biholomorphic automorphism  $\psi = \exp v \in A(M)$  such that  $f(v)$  is not an integer. Hence it follows from (4.3) that

$$\sum_{k=1}^{p-1} C_1(k, 1) \sum_{N \subset \Omega(k)} \text{Ch}(E_\phi|_N, \psi^k) \text{Td}(TN) \mathfrak{U}(\nu(N, M), \psi^k)[N] \not\equiv 0 \pmod{p},$$

where  $\phi = (x_1 + x_2 + \cdots + x_m)^{m+1} = \tau_1^{m+1} \in S(m+1) = S(m+\ell)$ .

**Example 4.3.** Let  $M = \mathbf{CP}^m$  and  $\psi$  the periodic automorphism defined in Example 3.4. Then the equality (4.1) holds for any periodic  $\psi \in A(\mathbf{CP}^m)$  because  $A(\mathbf{CP}^m)$  is connected and  $\mathbf{CP}^m$  admits an Einstein-Kähler metric. In fact it follows as in (3.3) that the equality

the left-hand side of (4.1)

$$= \sum_{k=1}^{p-1} \frac{1}{1 - \alpha^{-k}} (m\alpha^{-k} - m)^{m+1} \left( \frac{1}{1 - \alpha^k} \right)^m + \varphi(x)[\mathbf{CP}^{m-1}] \equiv 0 \pmod{p}$$

holds, where

$$\varphi(x) = \sum_{k=1}^{p-1} \frac{1}{1 - \alpha^{-k}} (me^x - 1 + \alpha^k e^x - m)^{m+1} \left( \frac{x}{1 - e^{-x}} \right)^m \frac{1}{1 - \alpha^{-k} e^{-x}}.$$

Hence it follows from the same argument as in Example 3.4 and (3.5) that

the left-hand side of (4.1)

$$\begin{aligned} &\equiv m^{m+1} \cdot 1^m \cdot (1-1)^{m+1-m-1} \\ &\quad - \sum_{i=0}^{m-1} \sum_{s=0}^{m-1-i} \binom{m+1}{i} \binom{m}{m-1-i-s} \\ &\quad \quad \times (-1)^s 1^{s+2} (m+1)^i (1-1)^{m+1-i-s-2} \pmod{p} \\ &= m^{m+1} - \sum_{i=0}^{m-1} \binom{m+1}{i} \binom{m}{0} (-1)^{m-1-i} (m+1)^i (1-1)^0 \\ &= m^{m+1} \\ &\quad - \sum_{i=0}^{m+1} \binom{m+1}{i} (-1)^{m+1-i} (m+1)^i + (m+1)^{m+1} - \binom{m+1}{m} (m+1)^m \\ &= m^{m+1} - \sum_{i=0}^{m+1} \binom{m+1}{i} (-1)^{m+1-i} (m+1)^i = m^{m+1} - \{(m+1) - 1\}^{m+1} \\ &= m^{m+1} - m^{m+1} = 0. \end{aligned}$$

Thus the equality (4.1) holds for  $M = \mathbf{CP}^m$  and

$$\psi : \mathbf{CP}^m \ni [z_0 : z_1 : \cdots : z_m] \longrightarrow [\alpha z_0 : z_1 : \cdots : z_m].$$

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