

A BRUNN-MINKOWSKI THEORY FOR MINIMAL SURFACES

YVES MARTINEZ-MAURE

ABSTRACT. The aim of this paper is to motivate the development of a Brunn-Minkowski theory for minimal surfaces. In 1988, H. Rosenberg and E. Toubiana studied a sum operation for finite total curvature complete minimal surfaces in \mathbb{R}^3 and noticed that minimal hedgehogs of \mathbb{R}^3 constitute a real vector space [14]. In 1996, the author noticed that the square root of the area of minimal hedgehogs of \mathbb{R}^3 that are modelled on the closure of a connected open subset of \mathbb{S}^2 is a convex function of the support function [5]. In this paper, the author (i) gives new geometric inequalities for minimal surfaces of \mathbb{R}^3 ; (ii) studies the relation between support functions and Enneper-Weierstrass representations; (iii) introduces and studies a new type of addition for minimal surfaces; (iv) extends notions and techniques from the classical Brunn-Minkowski theory to minimal surfaces. Two characterizations of the catenoid among minimal hedgehogs are given.

1. Introduction and statement of results

The set \mathcal{K}^{n+1} of convex bodies of the $(n+1)$ -Euclidean vector space \mathbb{R}^{n+1} is usually equipped with Minkowski addition and multiplication by nonnegative real numbers. The theory of hedgehogs consists of considering \mathcal{K}^{n+1} as a convex cone of the vector space $(\mathcal{H}^{n+1}, +, \cdot)$ of formal differences of convex bodies of \mathbb{R}^{n+1} . More precisely, it consists of:

1. considering each formal difference of convex bodies of \mathbb{R}^{n+1} as a hypersurface of \mathbb{R}^{n+1} (possibly with singularities and self-intersections), called a ‘hedgehog’;
2. extending the mixed volume $V : (\mathcal{K}^{n+1})^{n+1} \rightarrow \mathbb{R}$ to a symmetric $(n+1)$ -linear form on \mathcal{H}^{n+1} ;
3. considering the Brunn-Minkowski theory in \mathcal{H}^{n+1} .

The relevance of this theory can be illustrated by the following two principles:

Received July 10, 2003; received in final form February 18, 2004.
2000 *Mathematics Subject Classification.* 53A10, 52A40.

1. to study convex bodies by splitting them into a sum of hedgehogs to reveal their structure;
2. to convert analytical problems into geometrical ones by considering certain real functions on the unit sphere \mathbb{S}^n of \mathbb{R}^{n+1} as support functions of a hedgehog (or of a ‘multi-hedgehog’, see below).

The first principle permitted the author to disprove an old conjectured characterization of the 2–sphere [9] and the second one to give a geometrical proof of the Sturm-Hurwitz theorem [11]. The reader will find a short introduction of the theory in [12]. For an elementary survey of hedgehogs with a smooth support function, see [8].

The idea of defining geometrical differences of convex bodies goes back to H. Geppert who gave a first study of hedgehogs in \mathbb{R}^2 and \mathbb{R}^3 (under the German names ‘stützbare Bereiche’ and ‘stützbare Flächen’) [1]. The name ‘hedgehog’ came from a paper by R. Langevin, G. Levitt and H. Rosenberg [3] who implicitly considered differences of convex bodies of class C_+^2 (i.e., of convex bodies whose boundary is a C^2 -hypersurface with positive Gauss curvature) as envelopes parametrized by their Gauss map. Let us recall the main points of their approach.

The boundary of a convex body $K \subset \mathbb{R}^{n+1}$ of class C_+^2 is determined by its support function $h : \mathbb{S}^n \rightarrow \mathbb{R}$, $u \mapsto \sup \{ \langle x, u \rangle \mid x \in K \}$ (which must be of class C^2) as the envelope \mathcal{H}_h of the family of hyperplanes given by

$$\langle x, u \rangle = h(u).$$

Now, this envelope \mathcal{H}_h is well defined for any $h \in C^2(\mathbb{S}^n; \mathbb{R})$ (which is not necessarily the support function of a convex hypersurface). Its natural parametrization $x_h : \mathbb{S}^n \rightarrow \mathcal{H}_h$, $u \mapsto h(u)u + (\nabla h)(u)$, can be interpreted as the inverse of its Gauss map in the sense that, at each regular point $x_h(u)$ of \mathcal{H}_h , u is a normal vector to \mathcal{H}_h . This envelope \mathcal{H}_h is called the *hedgehog* with support function h .

The notion of hedgehog of \mathbb{R}^3 can be extended by considering hedgehogs whose support function is only defined (and C^2) on some spherical domain $\Omega \subset \mathbb{S}^2$. Among hedgehogs defined on the unit sphere \mathbb{S}^2 punctured at a finite number of points, we can consider those that are minimal, that is, those whose mean curvature H is zero at all the smooth points. The condition that a hedgehog $\mathcal{H}_h \subset \mathbb{R}^3$ is minimal means simply that its support function h satisfies the equation

$$\Delta_{\mathbb{S}^2} h + 2h = 0,$$

where $\Delta_{\mathbb{S}^2}$ is the spherical Laplace operator on \mathbb{S}^2 (see [4]). In other words, a minimal hedgehog \mathcal{H}_h (modelled on \mathbb{S}^2 punctured at a finite number of points) is a trivial hedgehog (i.e., a point) or a (possibly branched) minimal surface with total curvature -4π that is parametrized by the inverse of its Gauss map.

A study of minimal hedgehogs has been given by H. Rosenberg and E. Toubiana [14]. Concerning linear structures on the collections of minimal surfaces in \mathbb{R}^3 and \mathbb{R}^4 , the reader is also referred to the paper by A. Small [17].

Geometric inequalities for minimal hedgehogs (resp. N -hedgehogs) in \mathbb{R}^3 . In this paper, we are interested in the extension to minimal surfaces of notions and techniques from the Brunn-Minkowski theory. The idea of developing a Brunn-Minkowski theory for minimal surfaces of \mathbb{R}^3 arises naturally from the fact that a (reversed) Brunn-Minkowski type inequality holds for minimal hedgehogs.

Let K be the closure of a (nonempty) connected open subset of \mathbb{S}^2 and let \mathcal{H}_k be a minimal hedgehog modelled on K . Then the area of $x_k(K)$ is finite and given by

$$\text{Area}[x_k(K)] = - \int_K R_k d\sigma,$$

where σ is the spherical Lebesgue measure on \mathbb{S}^2 and R_k the ‘curvature function’ of \mathcal{H}_k , that is, $1/K_k$, where K_k is the Gauss curvature of \mathcal{H}_k (regarded as a function of the normal). Now, if \mathcal{H}_l is another minimal hedgehog modelled on K , then

$$(1.1) \quad \sqrt{A(k+l)} \leq \sqrt{A(k)} + \sqrt{A(l)},$$

where $A(h) = \text{Area}[x_h(K)]$. In fact, we can regard the set of hedgehogs modelled (up to a translation) on K as a real vector space endowed with a prehilbertian structure for which the norm is given by the square root of the area. Consider the set of support functions (of a minimal hedgehog) modelled on K and identify two such functions k and l when $x_k(K)$ and $x_l(K)$ are translates of each other. Then the quotient set $\mathcal{H}(K)$ inherits a real vector space structure and we have the following result.

THEOREM 1.1 ([5]). *The map $\sqrt{A} : \mathcal{H}(K) \rightarrow \mathbb{R}_+, h \mapsto \sqrt{\text{Area}[x_h(K)]}$, is a norm associated with a scalar product $A : \mathcal{H}(K)^2 \rightarrow \mathbb{R}$, which may be interpreted as an algebraic mixed area:*

$$\forall (k, l) \in \mathcal{H}(K)^2, (\text{Mixed Area})[x_k(K), x_l(K)] := A(k, l).$$

By the Cauchy-Schwarz inequality we have

$$(1.2) \quad A(k, l)^2 \leq A(k) \cdot A(l).$$

COROLLARY 1.2. *As a consequence, the area $A : \mathcal{H}(K) \rightarrow \mathbb{R}_+, h \mapsto \text{Area}[x_h(K)]$, is a strictly convex map, and thus, for any nonempty convex subset \mathcal{K} of $\mathcal{H}(K)$, the problem of minimizing A over \mathcal{K} has at most one optimal solution.*

REMARK 1.1. Inequality (1.1) (resp. (1.2)) has to be compared with the following Brunn-Minkowski inequality (resp. Minkowski inequality). For any pair (K, L) of convex bodies of \mathbb{R}^3 , we have (see, for instance, [15])

$$\sqrt{A(K + L)} \geq \sqrt{A(K)} + \sqrt{A(L)}$$

and

$$A(K, L)^2 \geq A(K) \cdot A(L),$$

where $A(H)$ (resp. $A(K, L)$) is the surface area (resp. the mixed surface area) of the convex body $H \subset \mathbb{R}^3$ (resp. of the pair (K, L)).

The author has obtained similar inequalities for various classes of hedgehogs as a consequence of an extension of the Alexandrov-Fenchel inequality [6].

REMARK 1.2. Let $\mathcal{H}(\mathbb{S}^2)$ be the real vector space of support functions of minimal hedgehogs defined (up to a translation) on the unit sphere punctured at a finite number of points. To each $h \in \mathcal{H}(\mathbb{S}^2)$ let us assign the positive Borel measure μ_h defined on \mathbb{S}^2 by

$$\forall \Omega \in \mathcal{B}(\mathbb{S}^2), \mu_h(\Omega) = - \int_{\Omega} R_h d\sigma,$$

where $\mathcal{B}(\mathbb{S}^2)$ denotes the σ -algebra of Borel subsets of \mathbb{S}^2 . Then we notice that the map

$$m : \mathcal{H}(\mathbb{S}^2) \rightarrow \{ \sqrt{\mu} \mid \mu \text{ is a positive Borel measure on } \mathbb{S}^2 \}, h \mapsto \sqrt{\mu_h},$$

satisfies the following properties:

- (i) $\forall h \in \mathcal{H}(\mathbb{S}^2), m(h) = 0 \iff h = 0_{\mathcal{H}(\mathbb{S}^2)}$;
- (ii) $\forall \lambda \in \mathbb{R}, \forall h \in \mathcal{H}(\mathbb{S}^2), m(\lambda h) = |\lambda| m(h)$;
- (iii) $\forall (k, l) \in \mathcal{H}(\mathbb{S}^2)^2, m(k + l) \leq m(k) + m(l)$.

REMARK 1.3. Let \mathcal{H}_k and \mathcal{H}_l be two hedgehogs whose support function is defined (and C^2) on some spherical domain $\Omega \subset \mathbb{S}^2$. On this domain, we can define their mixed curvature function by

$$R_{(k,l)} := \frac{1}{2} (R_{k+l} - R_k - R_l).$$

The symmetric map $(\alpha, \beta) \mapsto R_{(\alpha,\beta)}$ is bilinear on the vector space of hedgehogs modelled on Ω [10]. Given any $u \in \Omega$, the polynomial function $P_u(t) = R_{k+tl}(u)$ thus satisfies $P_u(t) = R_k(u) + 2tR_{(k,l)}(u) + t^2R_l(u)$ for all $t \in \mathbb{R}$.

When k and l are the support functions of two convex bodies of class C^2_+ , $P_u(t)$ must have a zero, so that

$$R_{(k,l)}(u)^2 \geq R_k(u) \cdot R_l(u)$$

and hence

$$\sqrt{R_{k+l}(u)} \geq \sqrt{R_k(u)} + \sqrt{R_l(u)},$$

by noticing that $R_{(k,l)} > 0$.

When \mathcal{H}_k and \mathcal{H}_l are minimal hedgehogs, $P_u(t)$ is nonpositive on \mathbb{R} , so that

$$R_{(k,l)}(u)^2 \leq R_k(u) \cdot R_l(u)$$

and hence

$$\sqrt{-R_{k+l}(u)} \leq \sqrt{-R_k(u)} + \sqrt{-R_l(u)}.$$

Note that $A(k, l) = \int_K R_{(k,l)} d\sigma$ for all $(k, l) \in \mathcal{H}(K)^2$ and that inequality (1.2) can be deduced from the inequality $R_{(k,l)}^2 \leq R_k \cdot R_l$.

Inequality (1.1) can be extended to some asymptotic areas of embedded ends in \mathbb{R}^3 . The (possibly branched) complete minimal surfaces of finite nonzero total curvature in \mathbb{R}^3 can be regarded as ‘multi-hedgehogs’ provided they have only a finite number of branch points [14]: the (possibly singular) envelope of a family of cooriented planes of \mathbb{R}^3 is called an *N-hedgehog* if, for an open dense set of $u \in \mathbb{S}^2$, it has exactly N cooriented support planes with normal vector u . Hedgehogs with a C^2 support function are merely 1-hedgehogs.

We know that embedded ends of a minimal surface of \mathbb{R}^3 are flat or of catenoid type (i.e., asymptotic to a planar or catenoid end). More precisely (see [16]), each embedded end is the graph (over the exterior of a bounded region in an (x_1, x_2) -plane orthogonal to the limiting normal at the end) of a function of the form

$$u(x_1, x_2) = a \ln(r) + b + \frac{cx_1 + dx_2}{r^2} + O\left(\frac{1}{r^2}\right), \quad r = \sqrt{x_1^2 + x_2^2},$$

with $a = 0$ when the end is flat.

Let E be an embedded flat end of a minimal surface of \mathbb{R}^3 and let P be its asymptotic plane. Define the asymptotic area of E by

$$A_s[E] = \iint_{\Delta} \left(\sqrt{1 + u_{x_1}(x_1, x_2)^2 + u_{x_2}(x_1, x_2)^2} - 1 \right) dx_1 dx_2 \in [0, +\infty],$$

where $u : \Delta \rightarrow \mathbb{R}, (x_1, x_2) \mapsto u(x_1, x_2)$ is the function whose graph is equal to E . Given any increasing sequence (K_n) of compact subsets of P such that $K_n \rightarrow \Delta$, $A_s[E]$ may be interpreted as the limit of

$$\text{Area}[\pi^{-1}(K_n) \cap E] - \text{Area}[K_n],$$

where π denotes the orthogonal projection onto the asymptotic plane.

THEOREM 1.3 ([5]). *The asymptotic area of every embedded flat end of a minimal surface $S \subset \mathbb{R}^3$ is finite.*

Note that hedgehogs never have flat ends: if an end is flat, then the limiting normal at the end is a branch point of the Gauss map so that the surface cannot be a hedgehog (see, for instance, [4]). Let E be an embedded flat end

of a minimal N -hedgheg, where $N \geq 2$. After a rotation, we may assume the limiting normal at the end is $n = (0, 0, -1)$. Then E admits a Weierstrass representation $(g(z), f(z)dz)$ of the form

$$g(z) = z^N \text{ and } f(z) = \frac{\alpha}{z^2} + \sum_{k=0}^{+\infty} c_k z^k,$$

where α is nonzero [4]. (In the next subsection, the reader will find an introduction and some remarks on the Weierstrass representation of minimal surfaces in \mathbb{R}^3 .) Given $r \in]0, 1[$, the pieces of minimal N -hedghegs defined (up to a translation) by a parametrization of the form

$$\begin{aligned} X_f : D = \{z \in \mathbb{C} \mid 0 < |z| \leq r\} &\rightarrow \mathbb{R}^3, \\ z = x + iy &\mapsto \operatorname{Re} \left(\int \frac{1}{2} f(z) (1 - z^{2N}) dz, \right. \\ &\left. \int \frac{i}{2} f(z) (1 + z^{2N}) dz, \int f(z) z^N dz \right), \end{aligned}$$

where $f(z) = (\alpha/z^2) + \sum_{k=0}^{+\infty} c_k z^k$ (α may be 0), constitute a real vector space $(E_N, +, \cdot)$, where addition is defined by $X_{f_1} + X_{f_2} = X_{f_1+f_2}$ and scalar multiplication by $\lambda \cdot X_f = X_{\lambda f}$. Let us denote by S_f the surface parametrized by $X_f : D \rightarrow \mathbb{R}^3$.

THEOREM 1.4. *For every $S_f \in E_N$, define $A_s(f)$ by*

$$A_s(f) := \iint_D (1 - \langle N(z), n \rangle) \left\| \left(\frac{\partial X_f}{\partial x} \times \frac{\partial X_f}{\partial y} \right) (z) \right\| dx dy,$$

where

$$N(z) = \frac{2}{|z|^{2N} + 1} \left(\operatorname{Re}(z^N), \operatorname{Im}(z^N), \frac{|z|^{2N} - 1}{2} \right)$$

is the unit normal at $X_f(z)$ if $\left(\frac{\partial X_f}{\partial x} \times \frac{\partial X_f}{\partial y} \right) (z) \neq 0$ and where D is identified with

$$\left\{ (x, y) \in \mathbb{R}^2 \mid 0 < \sqrt{x^2 + y^2} \leq r \right\}.$$

- (i) *If S_f is an embedded flat end, then $A_s(f)$ is its asymptotic area $A_s[S_f]$.*
- (ii) *The map $\sqrt{A_s} : E_N \rightarrow \mathbb{R}_+$, $S_f \mapsto \sqrt{A_s(f)}$, is a norm associated with a scalar product (which may be interpreted as a mixed algebraic asymptotic area).*

Addition of minimal surfaces and Enneper-Weierstrass representation. It is well known that any minimal surface $S \subset \mathbb{R}^3$ (possibly with isolated branch points) can be locally represented in the form

$$(1.3) \quad \begin{cases} X_1(x, y) = \frac{1}{2} \operatorname{Re} \left[\int_{z_0}^z (1 - g(\zeta)^2) f(\zeta) d\zeta \right] + c_1, \\ X_2(x, y) = \frac{1}{2} \operatorname{Re} \left[\int_{z_0}^z i (1 + g(\zeta)^2) f(\zeta) d\zeta \right] + c_2, \\ X_3(x, y) = \operatorname{Re} \left[\int_{z_0}^z g(\zeta) f(\zeta) d\zeta \right] + c_3, \end{cases}$$

where $f(z)$ is an arbitrary holomorphic function on an open simply connected neighbourhood \mathcal{U} of $z_0 \in \mathbb{C}$ and $g(z)$ an arbitrary meromorphic function on \mathcal{U} such that, at each pole of order n of $g(z)$, $f(z)$ has a zero of order at least $2n$, the integral being taken along any path connecting z_0 to $z = x + iy \in \mathbb{C}$ in \mathcal{U} , and naturally, c_1, c_2 and c_3 denote real constants. Recall that (see, e.g., [13])

$$\begin{aligned} N(z) &:= \frac{\left(\frac{\partial X}{\partial x} \times \frac{\partial X}{\partial y} \right) (x, y)}{\left\| \left(\frac{\partial X}{\partial x} \times \frac{\partial X}{\partial y} \right) (x, y) \right\|} \\ &= \frac{2}{|g(z)|^2 + 1} \left(\operatorname{Re}[g(z)], \operatorname{Im}[g(z)], \frac{|g(z)|^2 - 1}{2} \right), \end{aligned}$$

is the (unit) normal to the surface at $X(x, y) = (X_1(x, y), X_2(x, y), X_3(x, y))$ and $g(z)$ its image under the stereographic projection $\sigma : \mathbb{S}^2 - \{(0, 0, 1)\} \rightarrow \mathbb{C}$, $(x, y, t) \mapsto \frac{x+iy}{1-t}$. Thus, $X : \mathcal{U} \rightarrow \mathbb{R}^3, z = x+iy \mapsto (X_1(x, y), X_2(x, y), X_3(x, y))$, is a hedgehog (that is, X can be interpreted as the inverse of the stereographic projection of its Gauss map) if and only if $g(z) = z$. The simplest choice of ‘Weierstrass data’ $(g(z), f(z) dz) = (z, dz)$ gives Enneper’s surface. Recall that this surface and the catenoid, which is given by $(g(z), f(z) dz) = (z, dz/z^2)$, are the only two complete regular minimal surfaces that are hedgehogs (see, e.g., [13]).

Representation (1.3) can be generalized to generate all minimal surfaces of \mathbb{R}^3 : if $S \subset \mathbb{R}^3$ is a minimal surface (possibly with isolated branch points), M its Riemann surface and $g = \sigma \circ N : M \rightarrow \mathbb{C} \cup \{\infty\}$ the stereographic projection (from the north pole) of its Gauss map, then S can be represented in the form (1.3) for some holomorphic function f on M and some fixed $z_0 \in M$.

Given any two (possibly branched) minimal surfaces S_1 and S_2 modelled (up to a translation) by Weierstrass data $(g(z), f_1(z) dz)$ and $(g(z), f_2(z) dz)$ on a Riemann surface M (and thus sharing the same ‘Gauss map’ $g(z)$), we can define their sum $S_1 + S_2$ as the (possibly branched) minimal surface given (up to a translation) by $(g(z), (f_1(z) + f_2(z)) dz)$. For any minimal surface

S modelled (up to a translation) by Weierstrass data $(g(z), f(z) dz)$ on M and for any complex number λ , we can define the minimal surface λS as the minimal surface given (up to a translation) by $(g(z), \lambda f(z) dz)$. Of course, in order for $z \mapsto \operatorname{Re} \int \phi_\lambda(z) dz$ to be well-defined on M , where

$$\phi_\lambda(z) := \lambda f(z) \left(\frac{1}{2} (1 - g(z)^2), \frac{i}{2} (1 + g(z)^2), g(z) \right),$$

we need that no component of ϕ_λ has a real period on M , that is,

$$\operatorname{Period}_\gamma [\phi_\lambda] := \operatorname{Re} \oint_\gamma \phi_\lambda(z) dz = 0_{\mathbb{R}^3},$$

for all closed curves γ on M , but in the case when this period condition is not satisfied, we may consider the minimal surface λS modelled on the universal covering space of M (i.e., \mathbb{C} or the open unit disc). By hypothesis, ϕ_1 has no real period on M since S is modelled on M . It follows that for any $\lambda \in \mathbb{R}$ the surface λS is also modelled on M (since ϕ_λ clearly has no real period on M if $\lambda \in \mathbb{R}$). Thus, minimal surfaces modelled (up to a translation) by Weierstrass data $(g(z), f(z) dz)$ on a common Riemann surface M and sharing the same ‘Gauss map’ $g(z)$ constitute a real vector space E_M (which can be identified with the space of all holomorphic functions $f(z)$ having a zero of order at least $2n$ at each pole of order n of $g(z)$ and satisfying

$$\operatorname{Period}_\gamma \left[f \left(\frac{1}{2} (1 - g^2), \frac{i}{2} (1 + g^2), g \right) \right] = 0_{\mathbb{R}^3}$$

for all closed curves γ on M).

Recall that (i) the associate surfaces to a minimal surface S modelled (up to a translation) by Weierstrass data $(g(z), f(z) dz)$ on a Riemann surface M are the surfaces $S_\theta = e^{i\theta} S$ given (up to a translation) by $(g(z), e^{i\theta} f(z) dz)$, where $\theta \in [0, \frac{\pi}{2}]$; and (ii) the conjugate surface S^* to S is the associated surface $S_{\pi/2}$. Clearly, S^* and S_θ are (locally) parametrized by $X^*(z) = -\operatorname{Im} \int \phi(z) dz$ and $X_\theta = (\cos \theta) X - (\sin \theta) X^*$, where $\phi := f \left(\frac{1}{2} (1 - g^2), \frac{i}{2} (1 + g^2), g \right)$ and $X(z) := \operatorname{Re} \int \phi(z) dz$. In other words, we have $S_\theta = (\cos \theta) S - (\sin \theta) S^*$, where the surfaces are modelled on the universal covering space of M in the case when ϕ has a real period on M .

REMARK 1.4. Every hedgehog $\mathcal{H}_h \subset \mathbb{R}^{n+1}$ has a unique representation in the form

$$(1.4) \quad \mathcal{H}_h = \mathcal{H}_c + \mathcal{H}_p,$$

where \mathcal{H}_c is centred (i.e., centrally symmetric with centre at the origin) and \mathcal{H}_p projective (i.e., modelled on $\mathbb{P}^n(\mathbb{R}) = \mathbb{S}^n / (\text{antipodal relation})$). This representation is given by

$$h = c + p,$$

where

$$c(u) = \frac{1}{2}(h(u) + h(-u)) \text{ and } p(u) = \frac{1}{2}(h(u) - h(-u)).$$

In the same way, every minimal hedgehog $\mathcal{H}_h \subset \mathbb{R}^3$ has a unique representation in the form (1.4). If \mathcal{H}_h is given by Weierstrass data $(z, f(z) dz)$, then \mathcal{H}_c and \mathcal{H}_p are given (up to a translation) by the following decomposition of $f(z)$:

$$f(z) = f_c(z) + f_p(z),$$

where

$$f_c(z) = \frac{1}{2} \left(f(z) + \frac{1}{z^4} \overline{f\left(\frac{-1}{\bar{z}}\right)} \right),$$

$$f_p(z) = \frac{1}{2} \left(f(z) - \frac{1}{z^4} \overline{f\left(\frac{-1}{\bar{z}}\right)} \right)$$

(see [18] for the determination of $f_p(z)$). Let us consider the case of Enneper’s surface, whose support function is given by

$$h(u) = \frac{(x^2 - y^2)(2r - t)}{2(r - t)^2},$$

where $r = \sqrt{x^2 + y^2 + t^2}$ and $u = (x, y, t) \in \mathbb{S}^2 \subset \mathbb{R}^3$. In this case, we get

$$c(u) = \frac{x^2 - y^2}{(x^2 + y^2)^2} \text{ and } p(u) = \frac{t(x^2 - y^2)(2r^2 + x^2 + y^2)}{2(x^2 + y^2)^2}$$

(resp. $f_c(z) = \frac{1}{2}(1 + 1/z^4)$ and $f_p(z) = \frac{1}{2}(1 - 1/z^4)$) and we notice that (i) \mathcal{H}_c has 5 planes of symmetry (with equations $x = 0, y = 0, z = 0, x + y = 0$ and $x - y = 0$), 4 curves of double points lying on the plane $z = 0$, and 4 branch points (namely $(1/\sqrt{2}, 1/\sqrt{2}, 0)$ and the points deduced from it by symmetry); (ii) \mathcal{H}_p is Henneberg’s surface (which is thus the ‘projective part’ of Enneper’s surface). Figure 1 below shows the central symmetrization of Enneper’s surface.

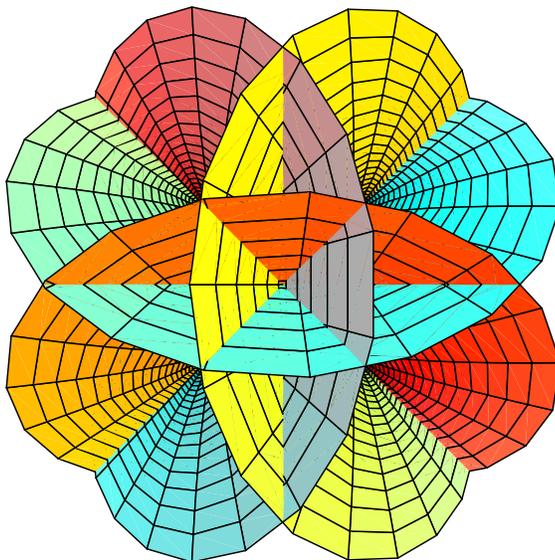


FIGURE 1

Relation between Enneper-Weierstrass representation and support function. We have the following result.

THEOREM 1.5. *Let $X : \mathcal{U} \ni z_0 \rightarrow \mathbb{R}^3, z \mapsto \operatorname{Re} \left[\int_{z_0}^z \phi(\zeta) d\zeta \right]$, where*

$$\phi(z) := f(z) \left(\frac{1}{2} (1 - g(z)^2), \frac{i}{2} (1 + g(z)^2), g(z) \right),$$

be the Weierstrass representation of a piece of a minimal surface (possibly with isolated branch points) such that

$$N : \mathcal{U} \rightarrow N(\mathcal{U}) \subset \mathbb{S}^2,$$

$$z \mapsto N(z) = \frac{2}{|g(z)|^2 + 1} \left(\operatorname{Re}[g(z)], \operatorname{Im}[g(z)], \frac{|g(z)|^2 - 1}{2} \right),$$

is a diffeomorphism of \mathcal{U} onto $N(\mathcal{U})$. Then $X(\mathcal{U})$ can be regarded as a hedgehog \mathcal{H}_h whose parametrization $x_h : N(\mathcal{U}) \rightarrow \mathcal{H}_h \subset \mathbb{R}^3$ is given by $x_h = \nabla \varphi$, where $\varphi : v \mapsto \|v\| h(v/\|v\|)$ is the positively 1-homogeneous extension of h to

$\{tu \mid u \in N(\mathcal{U}) \text{ and } t \in \mathbb{R}_+^*\}$ [8]. Given $g(z)$, the support function h and the holomorphic function f are related by

$$(1.5) \quad \phi(z) = \frac{2g'(z)}{1 + |g(z)|^2} (L_\varphi)_{N(z)} \left(\overline{v_g(z)} \right),$$

where $(L_\varphi)_{N(z)}$ is the endomorphism of \mathbb{C}^3 that is represented in the standard basis by the Hessian matrix $(\text{Hess } \varphi)_{N(z)}$ of φ at $N(z)$ and $v_g(z) = (1, i, g(z))$, so that

$$f(z) = \frac{2g'(z)}{(1 + |g(z)|^2)^2} \left[\overline{{}^t V_g(z)} \cdot (\text{Hess } \varphi)_{N(z)} \cdot \overline{V_g(z)} \right],$$

where $V_g(z)$ is the column matrix ${}^t v_g(z)$.

Let $\mathcal{H}_h \subset \mathbb{R}^3$ be a minimal hedgehog defined by Weierstrass data $(z, f(z) dz)$ on the sphere \mathbb{S}^2 punctured at a finite number of points. From (1.5) it follows that

$$f(z) = \frac{2}{z(1 + |z|^2)} \left[(\nabla \varphi_t)(N(z)) \cdot \overline{V_g(z)} \right],$$

where φ_t is the partial derivative of φ with respect to the third coordinate in the standard basis of \mathbb{R}^3 and $\nabla \varphi_t = (\varphi_{xt}, \varphi_{yt}, \varphi_{t^2})$ is its gradient. Changing the orientation of the normal, this gives

$$\tilde{f}(z) = \frac{2}{z(1 + |z|^2)} \left[(\nabla \tilde{\varphi}_t)(N(z)) \cdot \overline{V_g(z)} \right],$$

where $\tilde{f}(z) = -(1/z^4) \overline{f(-1/\bar{z})}$ and $\tilde{\varphi}(u) = -\varphi(-u)$. Noting that $N(-1/\bar{z}) = -N(z)$ and comparing $f(-1/\bar{z})$ with $\tilde{f}(z)$, we get easily

$$\varphi_{t^2}(N(z)) = \text{Re} [z^2 f(z)].$$

Now, inflection points of level curves of a hedgehog $\mathcal{H}_h \subset \mathbb{R}^3$ (with a support function of class C^∞) are given by

$$\varphi_{t^2}(u) = 0, \nabla \varphi_t(u) \neq 0 \text{ and } R_h(u) \neq 0,$$

where $\varphi(u) = \|u\| h(u/\|u\|)$. (By ‘inflection point’ of a level curve $\mathcal{C} \subset \mathcal{H}_h$ we mean a point where \mathcal{C} has a contact of order ≥ 2 with its tangent line.) Therefore we have:

COROLLARY 1.6. *Let $\mathcal{H}_h \subset \mathbb{R}^3$ be a nontrivial minimal hedgehog defined by Weierstrass data $(z, f(z) dz)$ on the unit sphere \mathbb{S}^2 punctured at a finite number of points. The inflection points of level curves of \mathcal{H}_h are given by*

$$\text{Re} [z^2 f(z)] = 0, z \neq 0 \text{ and } f(z) \neq 0.$$

It follows easily that the hedgehog \mathcal{H}_h is necessarily a catenoid if it is complete and if no level curve of \mathcal{H}_h has an inflection point.

Orthogonal-projection techniques. Let $\mathcal{H}_h \subset \mathbb{R}^3$ be a hedgehog with support function $h \in C^2(\mathbb{S}^2; \mathbb{R})$. We can get information on \mathcal{H}_h by considering its images under orthogonal projections onto planes. We proceed as follows. For any $u \in \mathbb{S}^2$ we consider the restriction h_u of h to the great circle $\mathbb{S}_u^1 = \mathbb{S}^2 \cap u^\perp$, where u^\perp is the linear subspace orthogonal to u . This restriction is the support function of a plane hedgehog $\mathcal{H}_{h_u} \subset u^\perp$, which is merely the image of $x_h(\mathbb{S}_u^1)$ under the orthogonal projection onto u^\perp :

$$\mathcal{H}_{h_u} = \pi_u [x_h(\mathbb{S}_u^1)],$$

where π_u is the orthogonal projection onto the plane u^\perp . The index of a point $x \in u^\perp - \mathcal{H}_{h_u}$ with respect to \mathcal{H}_{h_u} (i.e., the winding number of \mathcal{H}_{h_u} around x) gives us information on the curvature of \mathcal{H}_h on the line $\{x\} + \mathbb{R}u$:

THEOREM 1.7 ([7]). *Let x be a regular value of the map $x_h^u = \pi_u \circ x_h : \mathbb{S}^2 \rightarrow u^\perp$. The index of $x \in u^\perp - \mathcal{H}_{h_u}$ with respect to \mathcal{H}_{h_u} is given by*

$$i_{h_u}(x) = \frac{1}{2} (\nu_h(x)^+ - \nu_h(x)^-),$$

where $\nu_h(x)^+$ (resp. $\nu_h(x)^-$) is the number of $v \in \mathbb{S}^2$ such that $x_h(v)$ is an elliptic (resp. a hyperbolic) point of \mathcal{H}_h lying on the line $\{x\} + \mathbb{R}u$.

Recall that the index $i_h(x)$ of a point x with respect to a plane hedgehog \mathcal{H}_h can be related to the number of cooriented support lines of \mathcal{H}_h passing through x :

THEOREM 1.8 ([7]). *For any hedgehog $\mathcal{H}_h \subset \mathbb{R}^2$ we have*

$$\forall x \in \mathbb{R}^2 - \mathcal{H}_h, i_h(x) = 1 - \frac{1}{2} n_h(x),$$

where $n_h(x)$ is the number of cooriented support lines of \mathcal{H}_h passing through x , i.e., the number of zeros of the map $h_x : \mathbb{S}^1 \rightarrow \mathbb{R}, u \mapsto h(u) - \langle x, u \rangle$.

Theorem 1.7 admits an analogue for minimal hedgehogs:

THEOREM 1.9. *Let $\mathcal{H}_h \subset \mathbb{R}^3$ be a complete minimal hedgehog modelled on \mathbb{S}^2 punctured at a finite number of points e_1, \dots, e_n (corresponding to its ends) and let $u \in \mathbb{S}^2$ be such that $\mathbb{S}_u^1 \subset \mathbb{S}^2 - \{e_1, \dots, e_n\}$. Then, for any regular value $x \in u^\perp - \mathcal{H}_{h_u}$ of the map $x_h^u = \pi_u \circ x_h : \mathbb{S}^2 - \{e_1, \dots, e_n\} \rightarrow u^\perp$, we have*

$$i_{h_u}(x) + N_h^u(x)^+ = \sum_{e_k \in \mathbb{S}_u^+} d(e_k),$$

where $\mathbb{S}_u^+ \subset \mathbb{S}^2$ is the halfsphere defined by $\langle u, v \rangle > 0$, $N_h^u(x)^+$ the number of $v \in \mathbb{S}_u^+ - \{e_j \mid \langle e_j, u \rangle > 0\}$ such that $x_h(v) \in \{x\} + \mathbb{R}u$ and $d(e_k)$ the winding

number of the end with limiting normal e_k . Replacing u by $-u$, it follows that

$$i_{h_u}(x) + N_h^u(x)^- = \sum_{e_k \in \mathbb{S}_u^-} d(e_k),$$

where $\mathbb{S}_u^- \subset \mathbb{S}^2$ is the hemisphere defined by $\langle u, v \rangle < 0$ and $N_h^u(x)^-$ the number of $v \in \mathbb{S}_u^- - \{e_j \mid \langle e_j, u \rangle < 0\}$ such that $x_h(v) \in \{x\} + \mathbb{R}u$. Consequently,

$$i_{h_u}(x) = \frac{1}{2}(N(h) - N_h^u(x)),$$

where $N_h^u(x) = N_h^u(x)^- + N_h^u(x)^+$ is the number of $v \in \mathbb{S}^2 - \{e_1, \dots, e_n\}$ such that $x_h(v) \in \{x\} + \mathbb{R}u$ and $N(h)$ the total spinning of \mathcal{H}_h , that is, $N(h) = \sum_{k=1}^n d(e_k)$.

COROLLARY 1.10. *Let $\mathcal{H}_h \subset \mathbb{R}^3$ be a complete nontrivial minimal hedgehog. If \mathcal{H}_h does not intersect a pencil of lines that fill up a right circular cone, then \mathcal{H}_h is a catenoid.*

Theorem 1.9 can be generalized as follows. Consider a minimal multihedgehog $\mathcal{H}_h \subset \mathbb{R}^3$ given by a Weierstrass representation $X : \mathcal{U} \rightarrow \mathbb{R}^3$ and let $N : \Omega \rightarrow \mathbb{S}^2$ be its Gauss map (regarded as a map defined on the set Ω of regular points of X). The support function h can be regarded as a function of $z \in \Omega$ and defined by: $\forall z \in \Omega, h(z) = \langle X(z), N(z) \rangle$. For any $u \in \mathbb{S}^2$ such that \mathbb{S}_u^1 contains no limiting normal at an end of \mathcal{H}_h let h_u be the restriction of h to $N^{-1}(\mathbb{S}_u^1)$. If $X[N^{-1}(\mathbb{S}_u^1)]$ contains no parabolic point of \mathcal{H}_h , then h_u can be interpreted as the support function of the family of plane multihedgehogs, say \mathcal{H}_{h_u} , that constitute the image of $X[N^{-1}(\mathbb{S}_u^1)]$ under the orthogonal projection onto the plane u^\perp . The index of a point $x \in u^\perp - \mathcal{H}_{h_u}$ with respect to the family of multihedgehogs \mathcal{H}_{h_u} can be defined as the algebraic intersection number of almost every oriented half-line of u^\perp with origin x with the family of multihedgehogs equipped with their transverse orientation.

THEOREM 1.11. *Let $\mathcal{H}_h \subset \mathbb{R}^3$ be a complete minimal multihedgehog having n ends with limiting normals e_1, \dots, e_n . Let $X : \mathcal{U} \rightarrow \mathbb{R}^3$ be a Weierstrass representation of \mathcal{H}_h and let $N : \Omega \rightarrow \mathbb{S}^2$ be its Gauss map (regarded as a map defined on the set Ω of regular points of X). Let $u \in \mathbb{S}^2$ be such that $\mathbb{S}_u^1 \subset \mathbb{S}^2 - \{e_1, \dots, e_n\}$ and such that $X[N^{-1}(\mathbb{S}_u^1)]$ contains no parabolic point of \mathcal{H}_h . Then, for any $x \in u^\perp - \mathcal{H}_{h_u}$ such that the line $\{x\} + \mathbb{R}u$ contains no branch point of \mathcal{H}_h , we have*

$$i_{h_u}(x) + N_h^u(x)^+ = \sum_{\{k \mid \langle e_k, u \rangle > 0\}} d_k,$$

where $N_h^u(x)^+$ is the number of $z \in N^{-1}(\mathbb{S}_u^+)$ such that $X(z) \in \{x\} + \mathbb{R}u$ and d_k the winding number of the k th end. Replacing u by $-u$, it follows that

$$i_{h_u}(x) + N_h^u(x)^- = \sum_{\{k | \langle e_k, u \rangle < 0\}} d_k,$$

where $N_h^u(x)^-$ is the number of $z \in N^{-1}(\mathbb{S}_u^-)$ such that $X(z) \in \{x\} + \mathbb{R}u$. Consequently,

$$i_{h_u}(x) = \frac{1}{2}(N(h) - N_h^u(x)),$$

where $N_h^u(x) = N_h^u(x)^- + N_h^u(x)^+$ is the number of $z \in \mathcal{U}$ such that $X(z) \in \{x\} + \mathbb{R}u$ and $N(h)$ the total spinning of \mathcal{H}_h , that is, $N(h) = \sum_{k=1}^n d_k$. In particular, the total spinning of \mathcal{H}_h has the same parity as $N_h^u(x)$.

2. Further remarks and proof of results

Proof of Theorem 1.4. (i) If S_f is an embedded flat end, then $A_s(f)$ is its asymptotic area $A_s[S_f]$ for $\langle N(z), n \rangle \left\| \left(\frac{\partial X_f}{\partial x} \times \frac{\partial X_f}{\partial y} \right) (z) \right\| dx dy$ is the area of the orthogonal projection, onto the asymptotic plane, of the element of area $\left\| \left(\frac{\partial X_f}{\partial x} \times \frac{\partial X_f}{\partial y} \right) (z) \right\| dx dy$ on the end.

(ii) We know that (see, e.g., [13])

$$\forall z = x + iy \in D, \left\| \left(\frac{\partial X_f}{\partial x} \times \frac{\partial X_f}{\partial y} \right) (z) \right\| = \left(|f(z)| \frac{(1 + |z|^{2N})}{2} \right)^2,$$

so that

$$\begin{aligned} A_s(f) &= \iint_D (1 - \langle N(z), n \rangle) \left\| \left(\frac{\partial X_f}{\partial x} \times \frac{\partial X_f}{\partial y} \right) (z) \right\| dx dy \\ &= \iint_D |f(z)|^2 |z|^{2N} \frac{1 + |z|^{2N}}{2} dx dy. \end{aligned}$$

Consequently, $\sqrt{A_s} : E_N \rightarrow \mathbb{R}_+$ is a norm associated with the scalar product given by

$$A_s(f_1, f_2) = \iint_D \operatorname{Re} \left[f_1(z) \overline{f_2(z)} \right] |z|^{2N} \frac{1 + |z|^{2N}}{2} dx dy. \quad \square$$

REMARK 2.1. Recall that the Gauss curvature of a minimal surface S modelled (up to a translation) by Weierstrass data $(g(z), f(z) dz)$ on a Riemann surface M is given by (see, e.g., [13])

$$K_S = - \left[\frac{4|g'|}{|f|(1 + |g|^2)^2} \right]^2.$$

If the surface S is different from a plane, we define its curvature function by $R_S := 1/K_S$ outside the isolated zeros of K_S . Consequently, it is natural to define the mixed curvature function of two (possibly branched) minimal surfaces S_1 and S_2 modelled (up to a translation) by Weierstrass data $(g(z), f_1(z) dz)$ and $(g(z), f_2(z) dz)$ on M (and thus sharing the same ‘Gauss map’ $g(z)$) by

$$R_{(S_1, S_2)}(z) = -\operatorname{Re} \left[f_1(z) \overline{f_2(z)} \right] \left[\frac{(1 + |g(z)|^2)^2}{4|g'(z)|} \right]^2.$$

Note that $R_{(S_1, S_2)} = 0$ if and only if the surface S_2 is homothetic to the conjugate surface S_1^* to S_1 . We have obviously the inequalities $R_{(S_1, S_2)}^2 \leq R_{S_1} \cdot R_{S_2}$ and $\sqrt{-R_{S_1+S_2}} \leq \sqrt{-R_{S_1}} + \sqrt{-R_{S_2}}$, which generalize those of Remark 1.3.

REMARK 2.2. For any $h \in \mathcal{H}(\mathbb{S}^2)$, denote by $r_h(u)$ the common absolute value of the principal radii of curvature of \mathcal{H}_h at $x_h(u)$. In other words, define r_h by $r_h = \sqrt{-R_h}$, where R_h is the curvature function of \mathcal{H}_h .

Let K be the closure of a (nonempty) connected open subset of \mathbb{S}^2 and let $\mathcal{H}_h \subset \mathbb{R}^3$ be a hedgehog modelled on K . The Cauchy-Schwarz inequality gives

$$\operatorname{Area}[x_h(K)] \geq \frac{M_K(h)^2}{\operatorname{Area}[K]},$$

where $M_K(h) = \int_K r_h d\sigma$. This inequality has to be compared with the Minkowski inequality

$$S \leq \frac{M^2}{4\pi},$$

where S is the surface area and M the integral of mean curvature of a convex body $K \subset \mathbb{R}^3$ (see [15]). Recall that if K is a convex body of class C_+^2 , then M is simply given by

$$M = \frac{1}{2} \int_{\mathbb{S}^2} (R_1 + R_2) d\sigma,$$

where R_1 and R_2 are the principal radii of curvature of K . The above Minkowski inequality was extended in [6] to any hedgehog whose support function is of class C^2 on \mathbb{S}^2 .

Proof of Theorem 1.5. For all $z = x + iy \in \mathcal{U}$ we have

$$X(z) = x_h[N(z)] = (\nabla\varphi)[N(z)],$$

and thus

$$X_\xi(z) = (L_\varphi)_{N(z)}(N_\xi(z)),$$

where $N_\xi(z) = \frac{\partial}{\partial \xi} [N(x + iy)]$, $X_\xi(z) = \frac{\partial}{\partial \xi} [X(x + iy)]$ and $\xi = x$ or y . Note that

$$N_\xi(z) = \frac{2}{1 + |g(z)|^2} [(P_\xi, Q_\xi, PP_\xi + QQ_\xi)(z) - (PP_\xi + QQ_\xi)(z)N(z)],$$

where $g(z) = P(x, y) + iQ(x, y)$, $P_\xi = \frac{\partial P}{\partial \xi}$ and $Q_\xi = \frac{\partial Q}{\partial \xi}$. As φ is positively 1-homogeneous, we have

$$(L_\varphi)_{N(z)}(N(z)) = 0,$$

and we thus get

$$X_\xi(z) = \frac{2}{1 + |g(z)|^2} (L_\varphi)_{N(z)} [(P_\xi, Q_\xi, PP_\xi + QQ_\xi)(z)].$$

Now, direct calculation gives

$$\begin{aligned} \operatorname{Re} \left[\frac{2g'(z)}{1 + |g(z)|^2} (L_\varphi)_{N(z)} \left(\overline{v_g(z)} \right) \right] &= \frac{2}{1 + |g(z)|^2} (L_\varphi)_{N(z)} [(P_x, Q_x, PP_x + QQ_x)(z)], \\ \operatorname{Im} \left[\frac{2g'(z)}{1 + |g(z)|^2} (L_\varphi)_{N(z)} \left(\overline{v_g(z)} \right) \right] &= -\frac{2}{1 + |g(z)|^2} (L_\varphi)_{N(z)} [(P_y, Q_y, PP_y + QQ_y)(z)], \end{aligned}$$

so that

$$\phi(z) = X_x(z) - iX_y(z) = \frac{2g'(z)}{1 + |g(z)|^2} (L_\varphi)_{N(z)} \left(\overline{v_g(z)} \right). \quad \square$$

Proof of Theorem 1.9. It suffices to prove the relation

$$i_{h_u}(x) + N_h^u(x)^+ = \sum_{e_k \in \mathbb{S}_+^1} d(e_k),$$

for any regular value $x \in u^\perp - \mathcal{H}_{h_u}$ of $x_h^u = \pi_u \circ x_h : \mathbb{S}^2 - \{e_1, \dots, e_n\} \rightarrow u^\perp$.

Let (x_1, x_2, x_3) be the standard coordinates in \mathbb{R}^3 . Without loss of generality, we can identify u^\perp with the plane given by the equation $x_3 = 0$ (and thus with the Euclidean vector plane \mathbb{R}^2) and assume that x is its origin $0_{\mathbb{R}^2}$. The index $i_{h_u}(x)$ is the winding number of \mathcal{H}_{h_u} around $x \in u^\perp - \mathcal{H}_{h_u}$. It is given by

$$i_{h_u}(x) = \frac{1}{2\pi} \int_{\mathcal{H}_{h_u}} \omega,$$

where ω is the closed 1-form defined by

$$\omega_{(x_1, x_2)} = \frac{x_1 dx_2 - x_2 dx_1}{x_1^2 + x_2^2}$$

on $\mathbb{R}^2 - \{0_{\mathbb{R}^2}\}$. This index $i_{h_u}(x)$ can also be regarded as the winding number of $x_h(\mathbb{S}_u^1)$ around the oriented line, say $D_x(u)$, passing through x and directed by u . In other words, $i_{h_u}(x)$ is given by

$$i_{h_u}(x) = \frac{1}{2\pi} \int_{x_h(\mathbb{S}_u^1)} \omega,$$

which can be checked by an easy calculation. Writing $\Sigma_u^+ = \mathbb{S}_u^+ - \{e_j \mid e_j \in \mathbb{S}_u^+\}$, we thus have

$$i_{h_u}(x) = \frac{1}{2\pi} \int_{\partial S} \omega,$$

where S denotes the surface $x_h[\Sigma_u^+]$ equipped with its transverse orientation. Let $\{f_1, \dots, f_L\}$ be the set consisting of all e_j such that $\langle e_j, u \rangle > 0$, i.e., $e_j \in \mathbb{S}_u^+$. Since x is a regular value of the map $x_h^u = \pi_u \circ x_h : \mathbb{S}^2 - \{e_1, \dots, e_n\} \rightarrow u^\perp$, there exists a small closed disc, say D , centred at x whose inverse image under $(x_h^u)^+ : \mathbb{S}_u^+ - \{f_1, \dots, f_L\} \rightarrow u^\perp, v \mapsto x_h^u(v)$, is empty or admits a partition of the form

$$[(x_h^u)^+]^{-1}(D) = \bigcup_{k=1}^K D_k,$$

where $K = N_h^u(x)^+$ and D_k is such that the map $\pi_u \circ x_h$ defines a diffeomorphism from D_k onto D for all $k \in \{1, \dots, K\}$. As f_1, \dots, f_L are limiting normals at ends of the complete minimal hedgehog \mathcal{H}_h , there exist small disjoint spherical discs $\Delta_1, \dots, \Delta_L$ punctured at f_1, \dots, f_L that are disjoint from \mathbb{S}_u^1 and from each D_k ($1 \leq k \leq K$). Now, Stokes's formula gives

$$\int_{\partial S} \omega = \sum_{k=1}^K \int_{\partial S_k} \omega + \sum_{l=1}^L \int_{\partial \Sigma_l} \omega,$$

where S_k (resp. Σ_l) denotes the surface $x_h(D_k)$ (resp. $x_h(\Delta_l)$) equipped with its transverse orientation. As \mathcal{H}_h is a (possibly branched) minimal surface, the maps $x_h : D_k \rightarrow S_k$ are orientation reversing and thus the orthogonal projections of the oriented curves ∂S_k into the (x_1, x_2) -plane have winding number -1 around x . Consequently,

$$\sum_{k=1}^K \int_{\partial S_k} \omega = -N_h^u(x)^+.$$

To complete the proof, it suffices to notice that we have also

$$\sum_{l=1}^L \int_{\partial \Sigma_l} \omega = \sum_{l=1}^L d(f_l) = \sum_{e_k \in \mathbb{S}_u^+} d(e_k),$$

from the definition of the winding number of an end. □

The proof of Theorem 1.9 can be easily adapted to obtain a proof of Theorem 1.11; the details are left to the reader.

Proof of Corollary 1.10. By assumption, there exists a line D that does not intersect \mathcal{H}_h and that is such that no limiting normal at an end of \mathcal{H}_h belongs to the vector plane that is orthogonal to D . Let $u \in \mathbb{S}^2$ be a unit vector parallel to the line D and define x by $\{x\} = D \cap u^\perp$. According to Theorem 1.9 we have

$$i_{h_u}(x) = \frac{1}{2}(N(h) - N_h^u(x)) = \frac{N(h)}{2} > 0.$$

Theorem 1.8 now implies $i_{h_u}(x) = 1$ and thus $N(h) = 2$. The proof is completed by showing that \mathcal{H}_h must be a catenoid if $N(h) = 2$. This was proved by Hoffman and Karcher (see [2, Corollary 3.2]) for a connected complete minimal immersed surface $M \subset \mathbb{R}^3$ with finite total curvature and their proof remains valid if we drop the assumption that M has no branch points. \square

The author wishes to thank Eric Toubiana for helpful comments and conversations during the preparation of the paper.

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Y. MARTINEZ-MAURE, 1, RUE AUGUSTE PERRET, F-92500 RUEIL-MALMAISON, FRANCE
E-mail address: martinezmaure@aol.com