

ON SINGULARITY OF ENERGY MEASURES FOR SYMMETRIC DIFFUSIONS WITH FULL OFF-DIAGONAL HEAT KERNEL ESTIMATES

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We show that, for a strongly local, regular symmetric Dirichlet form over a complete, locally compact geodesic metric space, full off-diagonal heat kernel estimates with walk dimension strictly larger than two (*sub-Gaussian* estimates) imply the singularity of the energy measures with respect to the symmetric measure, verifying a conjecture by M. T. Barlow in (*Contemp. Math.* **338** (2003) 11–40). We also prove that in the contrary case of walk dimension two, that is, where full off-diagonal *Gaussian* estimates of the heat kernel hold, the symmetric measure and the energy measures are mutually absolutely continuous in the sense that a Borel subset of the state space has measure zero for the symmetric measure if and only if it has measure zero for the energy measures of all functions in the domain of the Dirichlet form.

1. Introduction. It is an established result in the field of analysis on fractals that, on a large class of typical fractal spaces, there exists a nice diffusion process $\{X_t\}_{t \geq 0}$ which is symmetric with respect to some canonical measure m and exhibits strong subdiffusive behavior in the sense that its transition density (heat kernel) $p_t(x, y)$ satisfies the following *sub-Gaussian estimates*:

$$(1.1) \quad \frac{c_1}{m(B(x, t^{1/\beta}))} \exp\left(-c_2 \left(\frac{d(x, y)^\beta}{t}\right)^{\frac{1}{\beta-1}}\right) \leq p_t(x, y) \leq \frac{c_3}{m(B(x, t^{1/\beta}))} \exp\left(-c_4 \left(\frac{d(x, y)^\beta}{t}\right)^{\frac{1}{\beta-1}}\right)$$

for all points x, y and all $t > 0$, where $c_1, c_2, c_3, c_4 > 0$ are some constants, d is a natural geodesic metric on the space, $B(x, r)$ denotes the open ball of radius r centered at x and $\beta \geq 2$ is a characteristic of the diffusion called the *walk dimension*. This result was obtained first for the Sierpiński gasket in [14], then for nested fractals in [38], for affine nested fractals in [18] and for Sierpiński carpets in [6–8] (see also [10, 11, 41]), and in most of (essentially all) the known examples it turned out that $\beta > 2$; see, for example, Proposition 5.3 below and [32] for an elementary proof of $\beta > 2$ for Sierpiński gaskets and carpets, respectively. Therefore, (1.1) implies, in particular, that a typical distance the diffusion travels by time t is of order $t^{1/\beta}$, which is in sharp contrast with the order $t^{1/2}$ of such a distance for the Brownian motion and uniformly elliptic diffusions on Euclidean spaces and Riemannian manifolds, where (1.1) with $\beta = 2$, the usual *Gaussian estimates*, are known to hold widely; see, for example, [20, 46, 47, 49] and references therein.

The main concern of this paper is singularity and absolute continuity of the energy measures associated with a general m -symmetric diffusion $\{X_t\}_{t \geq 0}$ satisfying (1.1) for some $\beta \geq 2$, on a locally compact separable metric measure space (X, d, m) . Under the standard

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assumption of the regularity of the Dirichlet form $(\mathcal{E}, \mathcal{F})$ of $\{X_t\}_{t \geq 0}$, the *energy measure* of a function $f \in \mathcal{F} \cap L^\infty(X, m)$ is defined as the unique Borel measure $\Gamma(f, f)$ on X such that

$$\begin{aligned}
 (1.2) \quad \int_X g \, d\Gamma(f, f) &= \mathcal{E}(f, fg) - \frac{1}{2}\mathcal{E}(f^2, g) \\
 &= \lim_{t \downarrow 0} \frac{1}{2t} \int_X g(x) \mathbb{E}_x[|f(X_t) - f(X_0)|^2] \, dm(x)
 \end{aligned}$$

for any $g \in \mathcal{F} \cap \mathcal{C}_c(X)$, where a quasicontinuous m -version of f is used for defining $\{f(X_t)\}_{t \geq 0}$ and $\mathcal{C}_c(X)$ denotes the set of \mathbb{R} -valued continuous functions on X with compact supports. Then, the approximation of f by $\{(-n) \vee (f \wedge n)\}_{n=1}^\infty$ defines $\Gamma(f, f)$ also for general $f \in \mathcal{F}$. In probabilistic terms, let

$$(1.3) \quad f(X_t) - f(X_0) = M_t^{[f]} + N_t^{[f]}, \quad t \geq 0$$

be the *Fukushima decomposition*, an extension of Itô’s formula and the semimartingale decomposition in the framework of regular symmetric Dirichlet forms, of $\{f(X_t) - f(X_0)\}_{t \geq 0}$ into the sum of the martingale part $M^{[f]} = \{M_t^{[f]}\}_{t \geq 0}$ and the zero-energy part $N^{[f]} = \{N_t^{[f]}\}_{t \geq 0}$ (see [19], Theorem 5.2.2). Then, $\Gamma(f, f)$ arises as the Revuz measure of the quadratic variation process $\langle M^{[f]} \rangle = \{\langle M^{[f]} \rangle_t\}_{t \geq 0}$ of $M^{[f]}$ (see [19], Theorems 5.1.3 and 5.2.3). Therefore, the question of whether $\Gamma(f, f)$ is singular with respect to m could be considered as an analytical counterpart of that of whether $\langle M^{[f]} \rangle = \{\langle M^{[f]} \rangle_t\}_{t \geq 0}$ is singular as a function in $t \in [0, \infty)$, although the actual relation between these two questions is unclear. A better-founded probabilistic implication, due to [31], Proposition 12, of the singularity of $\Gamma(f, f)$ with respect to m for all $f \in \mathcal{F}$, is that there is no representation, in a certain stochastic sense, of the diffusion $\{X_t\}_{t \geq 0}$ in terms of a Brownian motion on \mathbb{R}^k for any $k \in \mathbb{N}$; see [31], Section 4, for details.

When $(\mathcal{E}, \mathcal{F})$ is given, on the basis of some differential structure on X , by $\mathcal{E}(f, g) = \int_X \langle \nabla f, \nabla g \rangle_x \, dm(x)$ for some first-order differential operator ∇ satisfying the usual Leibniz rule and some (measurable) Riemannian metric $\langle \cdot, \cdot \rangle_x$, the right-hand side of (1.2) is easily seen to be equal to $\int_X g(x) \langle \nabla f, \nabla f \rangle_x \, dm(x)$ and, hence, $d\Gamma(f, f)(x) = \langle \nabla f, \nabla f \rangle_x \, dm(x)$. In particular, $\Gamma(f, f)$ is absolutely continuous with respect to the symmetric measure m .

On the other hand, diffusions on self-similar fractals are known to exhibit completely different behavior. For a class of self-similar fractals, including the Sierpiński gasket, Kusuoka showed in [39] that the energy measures are *singular* with respect to the symmetric measure, which in the case of the Sierpiński gasket is the standard $\log_2 3$ -dimensional Hausdorff measure. Later in [40], he extended this result to the case of the Brownian motion on a class of nested fractals, and Ben-Bassat, Strichartz and Teplyaev [15] obtained similar results for a class of self-similar Dirichlet forms on post-critically finite self-similar fractals under simpler assumptions and with a shorter proof.

The best result known so far in this direction is due to Hino [29]. There, he proved that, for a general self-similar Dirichlet form on a self-similar set, including the case of the Brownian motion on Sierpiński carpets, the following dichotomy holds for each self-similar (Bernoulli) measure μ (including the symmetric measure m):

- either (i) $\mu = \Gamma(h, h)$ for some $h \in \mathcal{F}$ that is harmonic on the complement of the canonical “boundary” of the self-similar set,
- or (ii) $\Gamma(f, f)$ is singular with respect to μ for any $f \in \mathcal{F}$.

It was also proved in [29] that the lower inequality in (1.1) for the heat kernel $p_t(x, y)$ with $\beta > 2$, which is known to hold in particular for Sierpiński carpets by the results in [7, 8, 32] (see also [10, 11, 41]), excludes the possibility of case (i) for $\mu = m$ and thus implies the

singularity of $\Gamma(f, f)$ with respect to the symmetric measure m for any $f \in \mathcal{F}$. This is the only existing result proving the singularity of the energy measures for diffusions on *infinitely ramified* self-similar fractals like Sierpiński carpets. The reader is also referred to [31] for simple geometric conditions which exclude case (i) of the above dichotomy in the setting of post-critically finite self-similar sets.

All of these results on the singularity of the energy measures heavily relied on the exact self-similarity of the state space and the Dirichlet form. In reality, however, even without the self-similarity the anomalous space-time scaling relation exhibited by the terms $t^{1/\beta}$ and $d(x, y)^\beta/t$ in (1.1) should still imply singular behavior of the sample paths of the quadratic variation $\langle M^{[f]} \rangle$ of the martingale part $M^{[f]}$ in (1.3). Therefore, it is natural to conjecture, as Barlow did in [4], Section 5, Remarks, 5.1, that the heat kernel estimates (1.1) with $\beta > 2$ should imply the singularity of the energy measures with respect to the symmetric measure m . The first half of our main result (Theorem 2.13(a)) verifies this conjecture in the completely general framework of a strongly local, regular symmetric Dirichlet form $(\mathcal{E}, \mathcal{F})$ over a complete, locally compact separable metric measure space (X, d, m) satisfying a certain geodesic-like property called the *chain condition* (see Definition 2.10(a)) and the *volume doubling property*

$$(1.4) \quad m(B(x, 2r)) \leq C_D m(B(x, r)), \quad (x, r) \in X \times (0, \infty).$$

Note here that the chain condition is necessary for making the strict inequality $\beta > 2$ for the exponent β in (1.1) meaningful. Indeed, by [44], Corollary 1.8 (or Theorem 2.11), and [24], Proof of Theorem 6.5, under the general framework mentioned above, (1.1) is equivalent to the conjunction of the chain condition, (1.4), the upper inequality in (1.1) and the so-called *near-diagonal lower estimate*

$$(1.5) \quad p_t(x, y) \geq \frac{c_1}{m(B(x, t^{1/\beta}))} \quad \text{for all } x, y \in X \text{ with } d(x, y) \leq \delta t^{1/\beta}$$

for some constants $c_1, \delta > 0$. Then, by [24], Theorem 7.4, this latter set of conditions with the chain condition dropped is characterized, under the additional assumption that X is non-compact, by the conjunction of (1.4), the scale-invariant elliptic Harnack inequality and the mean exit time estimate

$$(1.6) \quad c_5 r^\beta \leq \mathbb{E}_x[\tau_{B(x, r)}] \leq c_6 r^\beta, \quad (x, r) \in X \times (0, \infty),$$

where $\tau_{B(x, r)} := \inf\{t \in [0, \infty) \mid X_t \notin B(x, r)\}$ ($\inf \emptyset := \infty$). Since the last characterization is preserved under the change of the metric from d to d^α for any $\alpha \in (0, 1)$ with the price of replacing β by β/α , it follows that we would be able to realize an arbitrarily large value of $\beta \geq 2$ by suitable changes of metrics if we did not assume the chain condition.

To complement the above result for the case of $\beta > 2$, as the second half of our main result (Theorem 2.13(b)) we also prove that (1.1) with $\beta = 2$ implies the “mutual absolute continuity” between the symmetric measure m and the energy measures $\Gamma(f, f)$, that is, that for each Borel subset A of the state space X , $m(A) = 0$ if and only if $\Gamma(f, f)(A) = 0$ for any $f \in \mathcal{F}$. In the context of studying (1.1) with $\beta = 2$ (Gaussian estimates), it is customary to *assume* from the beginning of the analysis that $\Gamma(f, f)$ is absolutely continuous with respect to m for a large class of $f \in \mathcal{F}$, whereas we *deduce from* (1.1) with $\beta = 2$ this absolute continuity for all $f \in \mathcal{F}$ as part of Theorem 2.13(b); see Remark 4.6 for some related results.

In fact, we state and prove our result in a slightly wider framework allowing a general space-time scaling function Ψ instead of considering just $\Psi(r) = r^\beta$. This generalization enables us to conclude the singularity of the energy measures for the canonical Dirichlet forms on (spatially homogeneous) *scale irregular Sierpiński gaskets* studied in [13, 25, 26], which are not exactly self-similar and, hence, are outside of the frameworks of the preceding

works [15, 29, 31, 39, 40]. See also [36], Chapter 24, for a discussion of these examples and Section 5 below for the proof that Theorem 2.13(a) applies to (at least some of) them.

This paper is organized as follows. In Section 2 we introduce the framework in detail and give the precise statement of our main result (Theorem 2.13). Then, its first half on the singularity of the energy measures (Theorem 2.13(a)) is proved in Section 3, and its second half on the absolute continuity (Theorem 2.13(b)) is proved in Section 4. An application of Theorem 2.13(a) to some scale irregular Sierpiński gaskets is presented in Section 5. In Appendix, for the reader’s convenience, we give complete proofs of a couple of miscellaneous facts utilized in the proof of Theorem 2.13(a).

NOTATION. Throughout this paper we use the following notation and conventions:

- (a) The symbols \subset and \supset for set inclusion *allow* the case of the equality.
- (b) $\mathbb{N} := \{n \in \mathbb{Z} \mid n > 0\}$, that is, $0 \notin \mathbb{N}$.
- (c) The cardinality (the number of elements) of a set A is denoted by $\#A$.
- (d) We set $\infty^{-1} := 0$. We write $a \vee b := \max\{a, b\}$, $a \wedge b := \min\{a, b\}$, $a^+ := a \vee 0$ and $a^- := -(a \wedge 0)$ for $a, b \in [-\infty, \infty]$, and we use the same notation also for $[-\infty, \infty]$ -valued functions and equivalence classes of them. All numerical functions in this paper are assumed to be $[-\infty, \infty]$ -valued.
- (e) Let X be a non-empty set. We define $\mathbb{1}_A = \mathbb{1}_A^X \in \mathbb{R}^X$ for $A \subset X$ by

$$\mathbb{1}_A(x) := \mathbb{1}_A^X(x) := \begin{cases} 1 & \text{if } x \in A, \\ 0 & \text{if } x \notin A, \end{cases}$$

and set $\|f\|_{\text{sup}} := \|f\|_{\text{sup}, X} := \sup_{x \in X} |f(x)|$ for $f : X \rightarrow [-\infty, \infty]$.

(f) Let X be a topological space. We set $\mathcal{C}(X) := \{f \mid f : X \rightarrow \mathbb{R}, f \text{ is continuous}\}$ and $\mathcal{C}_c(X) := \{f \in \mathcal{C}(X) \mid X \setminus f^{-1}(0) \text{ has compact closure in } X\}$.

(g) Let (X, \mathcal{B}) be a measurable space, and let μ, ν be σ -finite measures on (X, \mathcal{B}) . We write $\nu \ll \mu$ and $\nu \perp \mu$ to mean that ν is absolutely continuous and singular, respectively, with respect to μ . We set $\mu|_A := \mu|_{\mathcal{B}|_A}$ for $A \in \mathcal{B}$, where $\mathcal{B}|_A := \{B \cap A \mid B \in \mathcal{B}\}$.

2. Framework and the main result. In this section we introduce the framework of this paper and state our main result. After introducing the framework of a strongly local regular Dirichlet space and the associated energy measures in Section 2.1, we give in Section 2.2 the precise formulation of the off-diagonal heat kernel estimates and an equivalent condition for the estimates which is convenient for the proof of the main result. Then, we give the statement of our main theorem (Theorem 2.13) in Section 2.3 and outline its proof in Section 2.4.

2.1. *Metric measure Dirichlet space and energy measure.* Throughout this paper we consider a *complete*, locally compact separable metric space (X, d) , equipped with a Radon measure m with full support, that is, a Borel measure m on X which is finite on any compact subset of X and strictly positive on any non-empty open subset of X , and we always assume $\#X \geq 2$ to exclude the trivial case of $\#X = 1$. Such a triple (X, d, m) is referred to as a *metric measure space*. We set $B(x, r) := \{y \in X \mid d(x, y) < r\}$ for $(x, r) \in X \times (0, \infty)$ and $\text{diam}(X, d) := \sup_{x, y \in X} d(x, y)$; note that $\#X \geq 2$ is equivalent to $\text{diam}(X, d) \in (0, \infty]$.

Furthermore, let $(\mathcal{E}, \mathcal{F})$ be a *symmetric Dirichlet form* on $L^2(X, m)$; by definition, \mathcal{F} is a dense linear subspace of $L^2(X, m)$, and $\mathcal{E} : \mathcal{F} \times \mathcal{F} \rightarrow \mathbb{R}$ is a non-negative definite symmetric bilinear form which is *closed* (\mathcal{F} is a Hilbert space under the inner product $\mathcal{E}_1 := \mathcal{E} + \langle \cdot, \cdot \rangle_{L^2(X, m)}$) and *Markovian* ($f^+ \wedge 1 \in \mathcal{F}$ and $\mathcal{E}(f^+ \wedge 1, f^+ \wedge 1) \leq \mathcal{E}(f, f)$ for any $f \in \mathcal{F}$). Recall that $(\mathcal{E}, \mathcal{F})$ is called *regular*, if $\mathcal{F} \cap \mathcal{C}_c(X)$ is dense both in $(\mathcal{F}, \mathcal{E}_1)$ and in $(\mathcal{C}_c(X), \|\cdot\|_{\text{sup}})$, and that $(\mathcal{E}, \mathcal{F})$ is called *strongly local*, if $\mathcal{E}(f, g) = 0$ for any $f, g \in \mathcal{F}$ with

$\text{supp}_m[f], \text{supp}_m[g]$ compact and $\text{supp}_m[f - a\mathbb{1}_X] \cap \text{supp}_m[g] = \emptyset$ for some $a \in \mathbb{R}$. Here, for a Borel measurable function $f : X \rightarrow [-\infty, \infty]$ or an m -equivalence class f of such functions, $\text{supp}_m[f]$ denotes the support of the measure $|f| dm$, that is, the smallest closed subset F of X with $\int_{X \setminus F} |f| dm = 0$, which exists since X has a countable open base for its topology; note that $\text{supp}_m[f]$ coincides with the closure of $X \setminus f^{-1}(0)$ in X if f is continuous. The pair $(X, d, m, \mathcal{E}, \mathcal{F})$ of a metric measure space (X, d, m) and a strongly local, regular symmetric Dirichlet form $(\mathcal{E}, \mathcal{F})$ on $L^2(X, m)$ is termed a *metric measure Dirichlet space*, or a *MMD space* in abbreviation. We refer to [17, 19] for details of the theory of symmetric Dirichlet forms.

The central object of the study of this paper is the energy measures associated with a MMD space, which are defined as follows. Note that $fg \in \mathcal{F}$ for any $f, g \in \mathcal{F} \cap L^\infty(X, m)$ by [19], Theorem 1.4.2(ii), and that $\{(-n) \vee (f \wedge n)\}_{n=1}^\infty \subset \mathcal{F}$ and $\lim_{n \rightarrow \infty} (-n) \vee (f \wedge n) = f$ in norm in $(\mathcal{F}, \mathcal{E}_1)$ by [19], Theorem 1.4.2(iii).

DEFINITION 2.1 ([19], (3.2.13), (3.2.14) and (3.2.15)). Let $(X, d, m, \mathcal{E}, \mathcal{F})$ be a MMD space. The *energy measure* $\Gamma(f, f)$ of $f \in \mathcal{F}$ associated with $(X, d, m, \mathcal{E}, \mathcal{F})$ is defined, first for $f \in \mathcal{F} \cap L^\infty(X, m)$ as the unique $([0, \infty]$ -valued) Borel measure on X such that

$$(2.1) \quad \int_X g d\Gamma(f, f) = \mathcal{E}(f, fg) - \frac{1}{2}\mathcal{E}(f^2, g) \quad \text{for all } g \in \mathcal{F} \cap \mathcal{C}_c(X),$$

and then by $\Gamma(f, f)(A) := \lim_{n \rightarrow \infty} \Gamma((-n) \vee (f \wedge n), (-n) \vee (f \wedge n))(A)$ for each Borel subset A of X for general $f \in \mathcal{F}$. We also define the *mutual energy measure* $\Gamma(f, g)$ of $f, g \in \mathcal{F}$ as the Borel signed measure on X given by $\Gamma(f, g) := \frac{1}{2}(\Gamma(f + g, f + g) - \Gamma(f, f) - \Gamma(g, g))$, so that $\Gamma(\cdot, \cdot)$ is bilinear and symmetric and satisfies the Cauchy–Schwarz inequality:

$$(2.2) \quad \Gamma(af + bg, af + bg) = a^2\Gamma(f, f) + 2ab\Gamma(f, g) + b^2\Gamma(g, g), \quad a, b \in \mathbb{R},$$

$$(2.3) \quad |\Gamma(f, g)(B)|^2 \leq \Gamma(f, f)(B)\Gamma(g, g)(B) \quad \text{for all Borel subsets } B \text{ of } X.$$

Note that by [19], Lemma 3.2.3, and the strong locality of $(\mathcal{E}, \mathcal{F})$,

$$(2.4) \quad \Gamma(f, g)(X) = \mathcal{E}(f, g) \quad \text{for all } f, g \in \mathcal{F}.$$

2.2. *Off-diagonal heat kernel estimates and equivalent condition.* The most general form of the off-diagonal heat kernel estimates, which we are introducing in Definition 2.4 below, involves a homeomorphism $\Psi : [0, \infty) \rightarrow [0, \infty)$ representing the scaling relation between time and space variables:

ASSUMPTION 2.2. Throughout this paper we fix a homeomorphism $\Psi : [0, \infty) \rightarrow [0, \infty)$ such that

$$(2.5) \quad C_\Psi^{-1} \left(\frac{R}{r}\right)^{\beta_0} \leq \frac{\Psi(R)}{\Psi(r)} \leq C_\Psi \left(\frac{R}{r}\right)^{\beta_1}$$

for all $0 < r \leq R$ for some constants $1 < \beta_0 \leq \beta_1$ and $C_\Psi \geq 1$.

The following condition is standard and often treated as part of the standing assumptions in the context of heat kernel estimates on general MMD spaces.

DEFINITION 2.3 (VD). Let (X, d, m) be a metric measure space. We say that (X, d, m) satisfies the *volume doubling property* **VD**, if there exists a constant $C_D > 1$ such that for all $x \in X$ and all $r > 0$,

$$\text{VD} \quad m(B(x, 2r)) \leq C_D m(B(x, r)).$$

Note that, if (X, d, m) satisfies **VD**, then $B(x, r)$ is relatively compact (i.e., has compact closure) in X for all $(x, r) \in X \times (0, \infty)$ by virtue of the completeness of (X, d) .

DEFINITION 2.4 (HKE(Ψ)). Let $(X, d, m, \mathcal{E}, \mathcal{F})$ be a MMD space, and let $\{P_t\}_{t>0}$ denote its associated Markov semigroup. A family $\{p_t\}_{t>0}$ of $[0, \infty]$ -valued Borel measurable functions on $X \times X$ is called the *heat kernel* of $(X, d, m, \mathcal{E}, \mathcal{F})$, if p_t is the integral kernel of the operator P_t for any $t > 0$, that is, for any $t > 0$ and for any $f \in L^2(X, m)$,

$$P_t f(x) = \int_X p_t(x, y) f(y) dm(y) \quad \text{for } m\text{-a.e. } x \in X.$$

We say that $(X, d, m, \mathcal{E}, \mathcal{F})$ satisfies the *heat kernel estimates* **HKE(Ψ)**, if its heat kernel $\{p_t\}_{t>0}$ exists and there exist $C_1, c_1, c_2, c_3, \delta \in (0, \infty)$ such that, for each $t > 0$,

$$(2.6) \quad p_t(x, y) \leq \frac{C_1}{m(B(x, \Psi^{-1}(t)))} \exp(-c_1 \Phi(c_2 d(x, y), t)) \quad \text{for } m\text{-a.e. } x, y \in X,$$

$$(2.7) \quad p_t(x, y) \geq \frac{c_3}{m(B(x, \Psi^{-1}(t)))} \quad \text{for } m\text{-a.e. } x, y \in X \text{ with } d(x, y) \leq \delta \Psi^{-1}(t),$$

where

$$(2.8) \quad \Phi(R, t) := \Phi_\Psi(R, t) := \sup_{r>0} \left(\frac{R}{r} - \frac{t}{\Psi(r)} \right), \quad (R, t) \in [0, \infty) \times (0, \infty).$$

REMARK 2.5.

(a) It easily follows from (2.5) that (2.8) defines a lower semi-continuous function $\Phi = \Phi_\Psi : [0, \infty) \times (0, \infty) \rightarrow [0, \infty)$ such that, for any $R, t \in (0, \infty)$, $\Phi(0, t) = 0$, $\Phi(\cdot, t)$ is strictly increasing and $\Phi(R, \cdot)$ is strictly decreasing.

(b) If $\beta > 1$ and Ψ is given by $\Psi(r) = r^\beta$, then an elementary differential calculus shows that $\Phi(R, t) = (\beta - 1)\beta^{-\frac{\beta}{\beta-1}}(R^\beta/t)^{\frac{\beta}{\beta-1}}$ for any $(R, t) \in [0, \infty) \times (0, \infty)$, in which case the right-hand side of (2.6) coincides with that of (1.1).

(c) If a MMD space $(X, d, m, \mathcal{E}, \mathcal{F})$ satisfies **VD** and **HKE(Ψ)**, then there exists a version of the heat kernel $p_t(x, y)$ which is continuous in $(t, x, y) \in (0, \infty) \times X \times X$; see, for example, [12], Theorem 3.1.

(d) If a MMD space $(X, d, m, \mathcal{E}, \mathcal{F})$ satisfies the chain condition (see Definition 2.10(a) below) in addition to **VD** and **HKE(Ψ)**, then (2.7) can be strengthened to a lower bound of the same form as (2.6) valid for m -a.e. $x, y \in X$; see, for example, [24], Proof of Theorem 6.5. Note that this global lower bound implies (2.7) since $\Phi(c_2 d(x, y), t)$ is less than some constant as long as $d(x, y) \leq \delta \Psi^{-1}(t)$ by [23], (5.13).

In fact, **HKE(Ψ)** itself is not very convenient for analyzing the energy measures, and there is a characterization of **HKE(Ψ)** by the conjunction of two functional inequalities which are more suitable for our purpose, defined as follows:

DEFINITION 2.6 (PI(Ψ) and CS(Ψ)). Let $(X, d, m, \mathcal{E}, \mathcal{F})$ be a MMD space.

(a) We say that $(X, d, m, \mathcal{E}, \mathcal{F})$ satisfies the *Poincaré inequality* **PI(Ψ)**, if there exist constants $C_P > 0$ and $A \geq 1$ such that, for all $(x, r) \in X \times (0, \infty)$ and all $f \in \mathcal{F}$,

$$(PI(\Psi)) \quad \int_{B(x,r)} |f - f_{B(x,r)}|^2 dm \leq C_P \Psi(r) \int_{B(x,Ar)} d\Gamma(f, f),$$

where $f_{B(x,r)} := m(B(x, r))^{-1} \int_{B(x,r)} f dm$.

(b) For open subsets U, V of X with $\bar{U} \subset V$, where \bar{U} denotes the closure of U in X , we say that a function $\varphi \in \mathcal{F}$ is a *cutoff function* for $U \subset V$ if $0 \leq \varphi \leq 1$ m -a.e., $\varphi = 1$ m -a.e. on a neighbourhood of \bar{U} and $\text{supp}_m[\varphi] \subset V$. Then, we say that $(X, d, m, \mathcal{E}, \mathcal{F})$ satisfies the *cutoff Sobolev inequality* $\text{CS}(\Psi)$, if there exists $C_S > 0$ such that the following holds: for each $x \in X$ and each $R, r > 0$ there exists a cutoff function $\varphi \in \mathcal{F}$ for $B(x, R) \subset B(x, R+r)$ such that, for all $f \in \mathcal{F}$,

$$\text{CS}(\Psi) \int_X f^2 d\Gamma(\varphi, \varphi) \leq \frac{1}{8} \int_{B(x, R+r) \setminus B(x, R)} \varphi^2 d\Gamma(f, f) + \frac{C_S}{\Psi(r)} \int_{B(x, R+r) \setminus B(x, R)} f^2 dm.$$

Here and in what follows, we always consider a quasi-continuous m -version of $f \in \mathcal{F}$, which exists by [19], Theorem 2.1.3, and is unique \mathcal{E} -q.e. (i.e., up to sets of capacity zero) by [19], Lemma 2.1.4, so that the values of f are uniquely determined $\Gamma(g, g)$ -a.e. for each $g \in \mathcal{F}$ since $\Gamma(g, g)(N) = 0$ for any Borel subset N of X of capacity zero by [19], Lemma 3.2.4; see [19], Section 2.1, for the definitions of the capacity and the quasi-continuity of functions with respect to a regular symmetric Dirichlet form.

REMARK 2.7. The specific constant $\frac{1}{8}$ in the right-hand side of $\text{CS}(\Psi)$ is chosen for the sake of convenience in its use; see, for example, the proof of Lemma 3.3 below. There is no harm in making this choice because $\text{CS}(\Psi)$ is equivalent to the same condition with $\frac{1}{8}$ replaced by arbitrary $C'_S > 0$ under Assumption 2.2 for Ψ by [2], Lemma 5.1, whose proof is easily seen to be valid without assuming $\text{diam}(X, d) = \infty$ or VD .

THEOREM 2.8 ([2, 9, 10, 22]; see also [42], Theorem 3.2). *If a MMD space $(X, d, m, \mathcal{E}, \mathcal{F})$ satisfies VD and $\text{HKE}(\Psi)$, then it also satisfies $\text{PI}(\Psi)$ and $\text{CS}(\Psi)$ and (X, d) is connected.*

REMARK 2.9.

(a) The converse of Theorem 2.8 has been proved in [22], Theorem 1.2, under the additional assumption that (X, d) is noncompact:

If a MMD space $(X, d, m, \mathcal{E}, \mathcal{F})$ satisfies VD , $\text{PI}(\Psi)$ and $\text{CS}(\Psi)$ and (X, d) is connected and noncompact, then $(X, d, m, \mathcal{E}, \mathcal{F})$ also satisfies $\text{HKE}(\Psi)$.

This converse implication should be true even without assuming the non-compactness of (X, d) , because [24], Theorem 4.2, seems to be the only relevant result in [21, 22, 24] requiring seriously the non-compactness but a suitable modification of it can be in fact proved by using [23], Theorem 6.2, also in the case where (X, d) is compact. Since the converse would not increase the applicability of our main theorem (Theorem 2.13), which assumes $\text{PI}(\Psi)$ and $\text{CS}(\Psi)$ rather than $\text{HKE}(\Psi)$, we refrain from going into further details of its validity.

(b) There is a (minor but) non-trivial technical gap in the proofs of the implication from VD and $\text{HKE}(\Psi)$ to $\text{PI}(\Psi)$ presented in [22, 42]. Specifically, both of their proofs utilize the Neumann and Dirichlet heat semigroups $\{P_t^{N,B}\}_{t>0}$ and $\{P_t^{D,B}\}_{t>0}$, respectively, on a given ball $B := B(x, r)$ and the inequality

$$(2.9) \quad \int_B P_t^{N,B} (|f - g(y)|^2)(y) dm(y) \geq \int_B P_t^{D,B} (|f - g(y)|^2)(y) dm(y)$$

for $f, g \in L^2(B, m|_B)$ and $t \in (0, \infty)$, but the expressions $P_t^{N,B} (|f - g(y)|^2)(y)$ and $P_t^{D,B} (|f - g(y)|^2)(y)$ in (2.9) do not make literal sense. While the latter can be still interpreted as representing $\int_B P_t^{D,B}(y, z) |f(z) - g(y)|^2 dm(z)$ with $\{P_t^{D,B}\}_{t>0}$ denoting the heat

kernel of $\{P_t^{D,B}\}_{t>0}$, whose existence is easily implied by $\text{HKE}(\Psi)$, the former does not allow even this way of interpretation because the heat kernel of $\{P_t^{N,B}\}_{t>0}$ might not exist. In fact, (2.9) should rather be interpreted as

$$(2.10) \quad \begin{aligned} & \int_B (P_t^{N,B}(f^2) - 2gP_t^{N,B}f + g^2P_t^{N,B}\mathbb{1}_B) dm \\ & \geq \int_B (P_t^{D,B}(f^2) - 2gP_t^{D,B}f + g^2P_t^{D,B}\mathbb{1}_B) dm, \end{aligned}$$

which follows from the observation that, if additionally g is a simple function on B , then

$$\begin{aligned} P_t^{N,B}(f^2) - 2gP_t^{N,B}f + g^2P_t^{N,B}\mathbb{1}_B &= \sum_{a \in g(B)} \mathbb{1}_{g^{-1}(a)} P_t^{N,B}(|f - a\mathbb{1}_B|^2) \\ &\geq \sum_{a \in g(B)} \mathbb{1}_{g^{-1}(a)} P_t^{D,B}(|f - a\mathbb{1}_B|^2) \\ &= P_t^{D,B}(f^2) - 2gP_t^{D,B}f + g^2P_t^{D,B}\mathbb{1}_B \quad m|_B\text{-a.e.} \end{aligned}$$

Now, the proofs of $\text{PI}(\Psi)$ in [22], Proof of Theorem 1.2, and [42], Proof of Theorem 3.2, can be easily justified by replacing (2.9) with (2.10) in their arguments.

2.3. *Statement of the main result.* The statement of our main result (Theorem 2.13 below) requires some more definitions. First, the following conditions on the metric are crucial for Theorem 2.13, especially for its first half on the singularity of the energy measures.

DEFINITION 2.10. Let (X, d) be a metric space.

(a) For $\varepsilon > 0$ and $x, y \in X$, we say that a sequence $\{x_i\}_{i=0}^N$ of points in X is an ε -chain in (X, d) from x to y if

$$N \in \mathbb{N}, \quad x_0 = x, \quad x_N = y \quad \text{and} \quad d(x_i, x_{i+1}) < \varepsilon \quad \text{for all } i \in \{0, 1, \dots, N - 1\}.$$

Then, for $\varepsilon > 0$ and $x, y \in X$, define (with the convention that $\inf \emptyset := \infty$)

$$(2.11) \quad d_\varepsilon(x, y) := \inf \left\{ \sum_{i=0}^{N-1} d(x_i, x_{i+1}) \mid \{x_i\}_{i=0}^N \text{ is an } \varepsilon\text{-chain in } (X, d) \text{ from } x \text{ to } y \right\}.$$

We say that (X, d) satisfies the *chain condition* if there exists $C \geq 1$ such that

$$(2.12) \quad d_\varepsilon(x, y) \leq Cd(x, y) \quad \text{for all } \varepsilon > 0 \text{ and all } x, y \in X.$$

(b) We say that (X, d) (or d) is *geodesic* if for any $x, y \in X$ there exists $\gamma : [0, 1] \rightarrow X$ such that $\gamma(0) = x, \gamma(1) = y$ and $d(\gamma(s), \gamma(t)) = |s - t|d(x, y)$ for any $s, t \in [0, 1]$.

In fact, under the assumption that $B(x, r)$ is relatively compact in X for all $(x, r) \in X \times (0, \infty)$, (X, d) satisfies the chain condition if and only if d is bi-Lipschitz equivalent to a geodesic metric ρ on X ; see Proposition A.1 in Appendix A.1.

The following definition is standard in studying Gaussian heat kernel estimates, that is, (2.6) with $\Psi(r) = r^2$ and the matching lower estimate of $p_t(x, y)$.

DEFINITION 2.11. Let $(X, d, m, \mathcal{E}, \mathcal{F})$ be a MMD space. We define its *intrinsic metric* $d_{\text{int}} : X \times X \rightarrow [0, \infty]$ by

$$(2.13) \quad d_{\text{int}}(x, y) := \sup\{f(x) - f(y) \mid f \in \mathcal{F}_{\text{loc}} \cap \mathcal{C}(X), \Gamma(f, f) \leq m\},$$

where

$$(2.14) \quad \mathcal{F}_{\text{loc}} := \left\{ f \left| \begin{array}{l} f \text{ is an } m\text{-equivalence class of } \mathbb{R}\text{-valued Borel measurable} \\ \text{functions on } X \text{ such that } f\mathbb{1}_V = f^\# \mathbb{1}_V \text{ } m\text{-a.e. for some} \\ f^\# \in \mathcal{F} \text{ for each relatively compact open subset } V \text{ of } X \end{array} \right. \right\}$$

and the energy measure $\Gamma(f, f)$ of $f \in \mathcal{F}_{\text{loc}}$ associated with $(X, d, m, \mathcal{E}, \mathcal{F})$ is defined as the unique Borel measure on X such that $\Gamma(f, f)(A) = \Gamma(f^\#, f^\#)(A)$ for any relatively compact Borel subset A of X and any $V, f^\#$ as in (2.14) with $A \subset V$; note that $\Gamma(f^\#, f^\#)(A)$ is independent of a particular choice of such $V, f^\#$ by (2.3), (2.2) and [19], Corollary 3.2.1.

In the literature on Gaussian heat kernel estimates, it is customary to assume that the intrinsic metric d_{int} is a complete metric on X compatible with the original topology of X , in which case it sounds natural in view of (2.13) to guess that the symmetric measure m and the family of energy measures $\Gamma(f, f)$ should be “mutually absolutely continuous.” The following definition due to [30] rigorously formulates the notion of such a measure.

DEFINITION 2.12 ([30], Definition 2.1). Let $(X, d, m, \mathcal{E}, \mathcal{F})$ be a MMD space. A σ -finite Borel measure ν on X is called a *minimal energy-dominant measure* of $(\mathcal{E}, \mathcal{F})$ if the following two conditions are satisfied:

- (i) (Domination) For every $f \in \mathcal{F}$, $\Gamma(f, f) \ll \nu$.
- (ii) (Minimality) If another σ -finite Borel measure ν' on X satisfies condition (i) with ν replaced by ν' , then $\nu \ll \nu'$.

Note that by [30], Lemmas 2.2, 2.3 and 2.4, a minimal energy-dominant measure of $(\mathcal{E}, \mathcal{F})$ always exists and is precisely a σ -finite Borel measure ν on X such that for each Borel subset A of X , $\nu(A) = 0$ if and only if $\Gamma(f, f)(A) = 0$ for all $f \in \mathcal{F}$.

Now, we can state the main theorem of this paper, which asserts that the conjunction of **VD**, **PI**(Ψ) and **CS**(Ψ) implies the singularity and the absolute continuity of the energy measures, if $\Psi(r)$ decays as $r \downarrow 0$ sufficiently faster than r^2 and at most as fast as r^2 , respectively. We also describe what the intrinsic metric d_{int} looks like in each case. Remember that the assumption of **VD**, **PI**(Ψ) and **CS**(Ψ) in the following theorem can be replaced with that of **VD** and **HKE**(Ψ) by virtue of Theorem 2.8 and that $\text{diam}(X, d) \in (0, \infty]$ by $\#X \geq 2$.

THEOREM 2.13. Let $(X, d, m, \mathcal{E}, \mathcal{F})$ be a MMD space satisfying **VD**, **PI**(Ψ) and **CS**(Ψ).

- (a) (Singularity) If (X, d) satisfies the chain condition and

$$(2.15) \quad \liminf_{\lambda \rightarrow \infty} \liminf_{r \downarrow 0} \frac{\lambda^2 \Psi(r/\lambda)}{\Psi(r)} = 0,$$

then $\Gamma(f, f) \perp m$ for all $f \in \mathcal{F}$. In this case the intrinsic metric d_{int} is identically zero.

- (b) (Absolute continuity) If

$$(2.16) \quad \limsup_{r \downarrow 0} \frac{\Psi(r)}{r^2} > 0,$$

then m is a minimal energy-dominant measure of $(\mathcal{E}, \mathcal{F})$ and, in particular, $\Gamma(f, f) \ll m$ for all $f \in \mathcal{F}$. In this case, the intrinsic metric d_{int} is a geodesic metric on X , and there exist $r_1, r_2 \in (0, \text{diam}(X, d))$ and $C_1, C_2 \geq 1$ such that

$$(2.17) \quad C_1^{-1} r^2 \leq \Psi(r) \leq C_1 r^2 \quad \text{for all } r \in (0, r_1),$$

$$(2.18) \quad C_2^{-1} d(x, y) \leq d_{\text{int}}(x, y) \leq C_2 d(x, y) \text{ for all } x, y \in X \text{ with } d(x, y) \wedge d_{\text{int}}(x, y) < r_2.$$

Furthermore, if, additionally, (X, d) satisfies the chain condition, then d_{int} is bi-Lipschitz equivalent to d , that is, (2.18) with $r_2 = \infty$ holds for some $C_2 \geq 1$.

REMARK 2.14. If $\Psi(r) = r^\beta$ for some $\beta > 1$, then (2.15) is equivalent to $\beta > 2$ and (2.16) is equivalent to $\beta \leq 2$. For general Ψ , however, the conditions (2.15) and (2.16) are not complementary to each other since there are examples of Ψ satisfying Assumption 2.2 but not either of (2.15) and (2.16); indeed, for each $k \in \mathbb{N}$, the homeomorphism $\Psi_k : [0, \infty) \rightarrow [0, \infty)$ given by

$$(2.19) \quad \Psi_k(r) := r^2 \eta_0^{ok}(r \wedge 1) \quad \text{where } \eta_0^{ok}(r \wedge 1) \quad \text{where } \eta_0(r) := \frac{1}{\log(e - 1 + r^{-1})} (\eta_0(0) := 0)$$

and η_0^{ok} denotes the k -fold composition of $\eta_0 : [0, \infty) \rightarrow [0, \infty)$, is such an example. In fact, for a large class of such Ψ , including Ψ_k as in (2.19), it is possible to construct a MMD space which is equipped with a geodesic metric and satisfies VD and HKE(Ψ), by considering a class of fractals obtained by modifying the construction of the scale irregular Sierpiński gaskets in Section 5 below in the following manner suggested by Barlow in [5]:

For each $\mathbf{l} = (l_n)_{n=1}^\infty \in (\mathbb{N} \setminus \{1, 2, 3, 4\})^\mathbb{N}$, we define the two-dimensional level- \mathbf{l} thin scale irregular Sierpiński gasket $\hat{K}^\mathbf{l}$ by (5.1) with $N = 2$ and with S_l in Section 5 replaced by

$$\hat{S}_l := \{(i_1, i_2) \in (\mathbb{N} \cup \{0\})^2 \mid i_1 + i_2 \leq l - 1, i_1 i_2 (l - 1 - i_1 - i_2) = 0\},$$

that is, with the ways of cell subdivision in Section 5 modified so as to keep only the cells along the boundary of the triangle at each subdivision step. Then, we can define in exactly the same way as Section 5 a canonical MMD space $(\hat{K}^\mathbf{l}, \hat{d}_l, \hat{m}_l, \hat{\mathcal{E}}^\mathbf{l}, \hat{\mathcal{F}}_l)$ over $\hat{K}^\mathbf{l}$ with the metric \hat{d}_l geodesic, and, furthermore it can be shown, regardless of the possible unboundedness of $\mathbf{l} = (l_n)_{n=1}^\infty$, to satisfy VD, HKE($\hat{\Psi}_l$) for a homeomorphism $\hat{\Psi}_l : [0, \infty) \rightarrow [0, \infty)$ defined explicitly in terms of \mathbf{l} , and $\hat{\Gamma}_l(f, f) \perp \hat{m}_l$ for all $f \in \hat{\mathcal{F}}_l$ for its associated energy measures $\hat{\Gamma}_l(\cdot, \cdot)$. Now, it is possible to prove that for each homeomorphism $\eta : [0, 1] \rightarrow [0, 1]$ satisfying $\eta(0) = 0$ and the (seemingly mild) condition that

$$(2.20) \quad \sum_{n=0}^\infty \frac{\eta^{-1}(2^{-n-1})}{\eta^{-1}(2^{-n})} < \infty,$$

which holds, for example, for η_0^{ok} as in (2.19) for any $k \in \mathbb{N}$, there exist $\mathbf{l}_\eta \in (\mathbb{N} \setminus \{1, 2, 3, 4\})^\mathbb{N}$ and $C_\eta \geq 1$ such that

$$(2.21) \quad C_\eta^{-1} \hat{\Psi}_{\mathbf{l}_\eta}(r) \leq \Psi_\eta(r) := r^2 \eta(r \wedge 1) \leq C_\eta \hat{\Psi}_{\mathbf{l}_\eta}(r) \quad \text{for any } r \in [0, \infty).$$

The details of the results stated in this paragraph will appear in the forthcoming paper [33].

Since the decay rate of $\hat{\Psi}_{\mathbf{l}_\eta}(r)$ as $r \downarrow 0$ can be made arbitrarily close to that of r^2 by taking suitable $\mathbf{l} \in (\mathbb{N} \setminus \{1, 2, 3, 4\})^\mathbb{N}$, for example, \mathbf{l}_η as in (2.21) with $\eta = \eta_0^{ok}$ for arbitrarily large $k \in \mathbb{N}$ yet the associated MMD space $(\hat{K}^\mathbf{l}, \hat{d}_l, \hat{m}_l, \hat{\mathcal{E}}^\mathbf{l}, \hat{\mathcal{F}}_l)$ still satisfies $\hat{\Gamma}_l(f, f) \perp \hat{m}_l$ for all $f \in \hat{\mathcal{F}}_l$, it is natural to expect that we would always have $\Gamma(f, f) \perp m$ for all $f \in \mathcal{F}$ under the assumptions of Theorem 2.13 unless (2.16) holds. Namely, we have the following conjecture:

CONJECTURE 2.15 (Energy measure singularity dichotomy). *Let $(X, d, m, \mathcal{E}, \mathcal{F})$ be a MMD space satisfying VD, PI(Ψ) and CS(Ψ), and assume further that (X, d) satisfies the chain condition and that*

$$(2.22) \quad \lim_{r \downarrow 0} \frac{\Psi(r)}{r^2} = 0.$$

Then, $\Gamma(f, f) \perp m$ for all $f \in \mathcal{F}$.

2.4. *Outline of the proof.* The proofs of Theorem 2.13(a) and Theorem 2.13(b) are completed in Sections 3 and 4, respectively.

In Section 3 we reduce the proof of Theorem 2.13(a) to the case of harmonic functions by approximating an arbitrary function in \mathcal{F} by “piecewise harmonic functions;” see Propositions 3.9 and 3.10. The proof proceeds by contradiction. If the energy measure $\Gamma(h, h)$ of a harmonic function h has a non-trivial absolutely continuous part with respect to the symmetric measure m , then by Lebesgue’s differentiation theorem we can approximate $\Gamma(h, h)$ by a constant multiple of m locally at sufficiently many scales; see Lemma 3.1. Then, we can estimate the variances of h on small balls from above by using $\text{PI}(\Psi)$ and from below by $\text{CS}(\Psi)$ and the harmonicity of h ; see (3.13) and (3.14). The conjunction of these upper and lower bounds contradicts the assumption (2.15) on Ψ .

In Section 4 we prove Theorem 2.13(b). We first deduce (2.17) from the assumption (2.16) and a recent result [44], Corollary 1.10, by the second-named author (Lemma 4.1). We next show that, for small enough r , the function $(r - d(x, \cdot))^+$ belongs to \mathcal{F} and has energy measure absolutely continuous with respect to the symmetric measure m (Lemma 4.3). Then, we approximate any function in \mathcal{F} by using combinations of functions of the form $(r - d(x, \cdot))^+$; see Lemma 4.4 and Proposition 4.5. The minimality of m follows from $\text{PI}(\Psi)$ and Lemma 4.3 (Proposition 4.7), the finiteness of the intrinsic metric d_{int} from (2.18) and [44], Lemma 2.2 (Proposition 4.8), and we finally conclude the bi-Lipschitz equivalence of d_{int} to d (Proposition 4.8) by combining (2.18), the chain condition for (X, d) and the geodesic property of d_{int} proved in [48], Theorem 1.

NOTATION. In the following we will use the notation $A \lesssim B$ for quantities A and B to indicate the existence of an implicit constant $C > 0$ depending on some inessential parameters such that $A \leq CB$.

3. Singularity. In this section we give the proof of Theorem 2.13(a), that is, the singularity of the energy measures under the assumption (2.15). We start with a lemma describing the local behavior of a Radon measure in relation to another with **VD**:

LEMMA 3.1. *Let (X, d, m) be a metric measure space satisfying **VD**, and let ν be a Radon measure on X , that is, a Borel measure on X which is finite on any compact subset of X . Let $\nu = \nu_a + \nu_s$ denote the Lebesgue decomposition of ν with respect to m , where $\nu_a \ll m$ and $\nu_s \perp m$. Let $\delta_0 \in (0, 1)$. Then, for m -a.e. $x \in \{z \in X \mid \frac{d\nu_a}{dm}(z) > 0\}$, there exists $r_0 = r_0(x, \delta_0) > 0$ such that for every $r \in (0, r_0)$, every $\delta \in [\delta_0, 1]$ and every $y \in B(x, r)$,*

$$(3.1) \quad \frac{1}{2} \frac{d\nu_a}{dm}(x) \leq \frac{\nu(B(y, \delta r))}{m(B(y, \delta r))} \leq 2 \frac{d\nu_a}{dm}(x).$$

PROOF. Let $f := \frac{d\nu_a}{dm}$ denote the Radon–Nikodym derivative. By **VD** and [27], (2.8),

$$(3.2) \quad \lim_{r \downarrow 0} \frac{1}{m(B(x, r))} \int_{B(x, r)} |f(z) - f(x)| dm(z) = 0$$

for m -a.e. $x \in X$. Also there exists $C_1 > 0$ (which depends only on the constant C_D in **VD** and δ_0) such that for all $x \in X$, $r > 0$, $\delta \in [\delta_0, 1]$ and $y \in B(x, r)$ we have

$$\begin{aligned} & \frac{| \nu_a(B(y, \delta r)) - f(x)m(B(y, \delta r)) |}{m(B(y, \delta r))} \\ &= \frac{|\int_{B(y, \delta r)} (f(z) - f(x)) dm(z)|}{m(B(y, \delta r))} \end{aligned}$$

$$\begin{aligned}
 (3.3) \quad &\leq \frac{\int_{B(x,2r)} |f(z) - f(x)| dm(z)}{m(B(y, \delta r))} \quad (\text{by } B(y, \delta r) \subset B(y, r) \subset B(x, 2r)) \\
 &\leq \frac{\int_{B(x,2r)} |f(z) - f(x)| dm(z)}{m(B(x, 2r))} \frac{m(B(y, 3r))}{m(B(y, \delta_0 r))} \quad (\text{by } B(x, 2r) \subset B(y, 3r) \text{ and } \delta \geq \delta_0) \\
 &\leq C_1 \frac{\int_{B(x,2r)} |f(z) - f(x)| dm(z)}{m(B(x, 2r))} \quad (\text{by VD}).
 \end{aligned}$$

Using (3.2) and (3.3), we obtain the following: for every $x \in X$ satisfying $\frac{dv_a}{dm}(x) > 0$ and (3.2), there exists $r_1 = r_1(x, \delta_0) > 0$ such that, for all $r \in (0, r_1)$, $\delta \in [\delta_0, 1]$ and $y \in B(x, r)$,

$$(3.4) \quad \frac{1}{2} \frac{dv_a}{dm}(x) \leq \frac{v_a(B(y, \delta r))}{m(B(y, \delta r))} \leq \frac{3}{2} \frac{dv_a}{dm}(x).$$

On the other hand, by Proposition A.4 in Appendix A.2 (see also [45], Theorem 7.13),

$$(3.5) \quad \lim_{r \downarrow 0} \frac{v_s(B(x, r))}{m(B(x, r))} = 0$$

for m -a.e. $x \in X$. By using VD as in (3.3) above, we obtain the following: for every $x \in X$ satisfying $\frac{dv_a}{dm}(x) > 0$ and (3.5), there exists $r_2 = r_2(x, \delta_0) > 0$ such that, for all $r \in (0, r_2)$, $\delta \in [\delta_0, 1]$ and $y \in B(x, r)$,

$$(3.6) \quad \frac{v_s(B(y, \delta r))}{m(B(y, \delta r))} \leq C_1 \frac{v_s(B(x, 2r))}{m(B(x, 2r))} \leq \frac{1}{2} \frac{dv_a}{dm}(x).$$

Combining (3.2), (3.4), (3.5) and (3.6), we get the desired conclusion with $r_0 = r_1 \wedge r_2$. \square

We first prove the singularity of the energy measures of harmonic functions, which are defined in the present framework as follows:

DEFINITION 3.2. Let $(X, d, m, \mathcal{E}, \mathcal{F})$ be a MMD space. A function $h \in \mathcal{F}$ is said to be \mathcal{E} -harmonic on an open subset U of X , if

$$(3.7) \quad \mathcal{E}(h, f) = 0 \quad \begin{array}{l} \text{for all } f \in \mathcal{F} \cap \mathcal{C}_c(X) \text{ with } \text{supp}_m[f] \subset U \text{ or, equivalently,} \\ \text{for all } f \in \mathcal{F}_U := \{g \in \mathcal{F} \mid g = 0 \text{ } \mathcal{E}\text{-q.e. on } X \setminus U\}, \end{array}$$

where the equivalence of the two definitions follows from [19], Corollary 2.3.1.

The following reverse Poincaré inequality is an easy consequence of CS(Ψ):

LEMMA 3.3 (Reverse Poincaré inequality). Let $(X, d, m, \mathcal{E}, \mathcal{F})$ be a MMD space satisfying CS(Ψ), and let C_S denote the constant in CS(Ψ). Then, for any $(x, r) \in X \times (0, \infty)$, any $a \in \mathbb{R}$ and any function $h \in \mathcal{F} \cap L^\infty(X, m)$ that is \mathcal{E} -harmonic on $B(x, 2r)$,

$$(3.8) \quad \int_{B(x,r)} d\Gamma(h, h) \leq \frac{8C_S}{\Psi(r)} \int_{B(x,2r) \setminus B(x,r)} |h - a|^2 dm.$$

PROOF. Let $(x, r) \in X \times (0, \infty)$, and let $h \in \mathcal{F} \cap L^\infty(X, m)$ be \mathcal{E} -harmonic on $B(x, 2r)$. By the regularity of $(\mathcal{E}, \mathcal{F})$ and [19], Exercise 1.4.1, we can take $g \in \mathcal{F} \cap \mathcal{C}_c(X)$ such that $g = 1$ on $B(x, 2r)$, then g is \mathcal{E} -harmonic on $B(x, 2r)$ and $\Gamma(g, g)(B(x, 2r)) = 0$ by the strong locality of $(\mathcal{E}, \mathcal{F})$ and [19], Corollary 3.2.1 (or [17], Theorem 4.3.8), whence $\Gamma(h, h)|_{B(x,2r)} = \Gamma(h - ag, h - ag)|_{B(x,2r)}$ for any $a \in \mathbb{R}$ by (2.2) and (2.3). Therefore, (3.8) for general $a \in \mathbb{R}$ follows from (3.8) for $a = 0$ by considering $h - ag$ instead of h .

Let φ be a cutoff function for $B(x, r) \subset B(x, 2r)$ from $\text{CS}(\Psi)$. Then, since $h, \varphi \in \mathcal{F} \cap L^\infty(X, m)$, h is \mathcal{E} -harmonic on $B(x, 2r)$ and $h\varphi^2 = 0$ \mathcal{E} -q.e. on $X \setminus B(x, 2r)$ by $\text{supp}_m[\varphi] \subset B(x, 2r)$ and [19], Lemma 2.1.4, we have

$$\begin{aligned}
 0 &= \mathcal{E}(h, h\varphi^2) = \Gamma(h, h\varphi^2)(X) \quad (\text{by (3.7) and (2.4)}) \\
 &= \int_X \varphi^2 d\Gamma(h, h) + 2 \int_X \varphi h d\Gamma(h, \varphi) \quad (\text{by [19], Lemma 3.2.5}) \\
 &\geq \int_X \varphi^2 d\Gamma(h, h) - 2\sqrt{\int_X \varphi^2 d\Gamma(h, h) \int_X h^2 d\Gamma(\varphi, \varphi)} \\
 (3.9) \quad &\quad (\text{by [19], Proof of Lemma 5.6.1}) \\
 &\geq \int_X \varphi^2 d\Gamma(h, h) - \frac{1}{2} \int_X \varphi^2 d\Gamma(h, h) - 2 \int_X h^2 d\Gamma(\varphi, \varphi) \\
 &\geq \frac{1}{4} \int_X \varphi^2 d\Gamma(h, h) - \frac{2C_S}{\Psi(r)} \int_{B(x, 2r) \setminus B(x, r)} h^2 dm \quad (\text{by CS}(\Psi)).
 \end{aligned}$$

Noting that $\varphi = 1$ \mathcal{E} -q.e. on $B(x, r)$ by [19], Lemma 2.1.4, and, hence, that $\varphi = 1$ $\Gamma(h, h)$ -a.e. on $B(x, r)$ by [19], Lemma 3.2.4, from (3.9) we now obtain

$$\int_{B(x, r)} d\Gamma(h, h) \leq \int_X \varphi^2 d\Gamma(h, h) \leq \frac{8C_S}{\Psi(r)} \int_{B(x, 2r) \setminus B(x, r)} h^2 dm,$$

proving (3.8) for $a = 0$. \square

Proposition 3.5 below establishes the singularity of the energy measures of \mathcal{E} -harmonic functions. For our convenience we introduce the notion of an ε -net in a metric space as follows:

DEFINITION 3.4. Let (X, d) be a metric space, and let $\varepsilon > 0$. A subset N of X is called an ε -net in (X, d) if the following two conditions are satisfied:

- (i) (Separation) N is ε -separated in (X, d) , that is, $d(x, y) \geq \varepsilon$ for any $x, y \in N$ with $x \neq y$.
- (ii) (Maximality) If $N \subset M \subset X$ and M is ε -separated in (X, d) , then $M = N$.

It is elementary to see that an ε -net in (X, d) exists if $B(x, r)$ is totally bounded in (X, d) for any $(x, r) \in X \times (0, \infty)$ and that any ε -net in (X, d) is finite if (X, d) is totally bounded.

PROPOSITION 3.5. Let $(X, d, m, \mathcal{E}, \mathcal{F})$ be a MMD space satisfying VD , $\text{PI}(\Psi)$ and $\text{CS}(\Psi)$, and assume further that d is geodesic and that Ψ satisfies (2.15). Let U be an open subset of X , and let $h \in \mathcal{F} \cap L^\infty(X, m)$ be \mathcal{E} -harmonic on U . Then, $\Gamma(h, h)|_U \perp m|_U$.

PROOF. Assume to the contrary that the conclusion $\Gamma(h, h)|_U \perp m|_U$ fails. Let $A \geq 1$ denote the constant in $\text{PI}(\Psi)$, and let $\lambda > 4A$. By Lemma 3.1 and by replacing h with αh for some suitable $\alpha \in (0, \infty)$ if necessary, there exist $x \in U$ and $r_{x, \lambda} > 0$ with $B(x, r_{x, \lambda}) \subset U$ such that, for all $r \in (0, r_{x, \lambda})$, $\delta \in [\lambda^{-1}, 1]$ and $y \in B(x, r)$,

$$(3.10) \quad \frac{1}{2} \leq \frac{\Gamma(h, h)(B(y, \delta r))}{m(B(y, \delta r))} \leq 2.$$

We remark that the constant $r_{x, \lambda}$ depends on both x and λ , as suggested by the notation.

We set $h_{B(y,s)} := m(B(y,s))^{-1} \int_{B(y,s)} h \, dm$ for $(y,s) \in X \times (0, \infty)$. Let $r \in (0, r_{x,\lambda})$, and let N be an r/λ -net in $(B(x,r), d)$. Then, for all $y_1, y_2 \in N$ such that $d(y_1, y_2) \leq 3r/\lambda$,

$$\begin{aligned}
 & |h_{B(y_1,r/\lambda)} - h_{B(y_2,r/\lambda)}|^2 \\
 &= \left| \frac{1}{m(B(y_1, r/\lambda))m(B(y_2, r/\lambda))} \right. \\
 &\quad \left. \times \int_{B(y_1,r/\lambda)} \int_{B(y_2,r/\lambda)} (h(z_1) - h(z_2)) \, dm(z_2) \, dm(z_1) \right|^2 \\
 &\leq \frac{1}{m(B(y_1, r/\lambda))m(B(y_2, r/\lambda))} \\
 &\quad \times \int_{B(y_1,r/\lambda)} \int_{B(y_2,r/\lambda)} |h(z_1) - h(z_2)|^2 \, dm(z_2) \, dm(z_1) \\
 (3.11) \quad & \text{(by the Cauchy–Schwarz inequality)} \\
 &\lesssim \frac{1}{m(B(y_1, 4r/\lambda))^2} \\
 &\quad \times \int_{B(y_1,4r/\lambda)} \int_{B(y_1,4r/\lambda)} |h(z_1) - h(z_2)|^2 \, dm(z_2) \, dm(z_1) \quad \text{(by VD)} \\
 &\lesssim \frac{\Psi(r/\lambda)}{m(B(y_1, 4r/\lambda))} \int_{B(y_1,4Ar/\lambda)} d\Gamma(h, h) \quad \text{(by PI(\Psi) and Assumption 2.2)} \\
 &\leq C_1 \Psi(r/\lambda) \quad \text{(by (3.10) and VD),}
 \end{aligned}$$

where $C_1 > 0$ depends only on the constants in Assumption 2.2, VD and PI(\Psi).

Let $y_1, y_2 \in N$ be arbitrary. Since (X, d) is geodesic, approximating the concatenation of a geodesic from y_1 to x and a geodesic from x to y_2 by using points in N as done in [34], Proof of Lemma 2.5, we can choose $k \in \mathbb{N}$ and $\{z_i\}_{i=0}^k \subset N$ so that $k \leq 3\lambda$, $z_0 = y_1$, $z_k = y_2$ and $d(z_i, z_{i+1}) \leq 3r/\lambda$ for all $i \in \{0, \dots, k-1\}$. Therefore, by the triangle inequality and (3.11) we obtain

$$(3.12) \quad |h_{B(y_1,r/\lambda)} - h_{B(y_2,r/\lambda)}| \leq \sum_{i=0}^{k-1} |h_{B(z_i,r/\lambda)} - h_{B(z_{i+1},r/\lambda)}| \leq 3C_1^{1/2} \lambda \sqrt{\Psi(r/\lambda)}.$$

Let $y_1 \in N$ be fixed. Combining (3.12) and (3.10) with VD and PI(\Psi), we conclude

$$\begin{aligned}
 & \int_{B(x,r)} |h - h_{B(x,r)}|^2 \, dm \\
 & \leq \int_{B(x,r)} |h - h_{B(y_1,r/\lambda)}|^2 \, dm \\
 & \leq \sum_{y_2 \in N} \int_{B(y_2,r/\lambda)} |h - h_{B(y_1,r/\lambda)}|^2 \, dm \\
 (3.13) \quad & \leq 2 \sum_{y_2 \in N} \int_{B(y_2,r/\lambda)} (|h_{B(y_1,r/\lambda)} - h_{B(y_2,r/\lambda)}|^2 + |h - h_{B(y_2,r/\lambda)}|^2) \, dm \\
 & \leq 2 \sum_{y_2 \in N} \int_{B(y_2,r/\lambda)} (9C_1 \lambda^2 \Psi(r/\lambda) + |h - h_{B(y_2,r/\lambda)}|^2) \, dm \quad \text{(by (3.12))} \\
 & \lesssim \lambda^2 \Psi(r/\lambda) m(B(x,r)) + \sum_{y_2 \in N} \Psi(r/\lambda) \Gamma(h, h)(B(y_2, Ar/\lambda)) \quad \text{(by VD and PI(\Psi))}
 \end{aligned}$$

$$\begin{aligned} &\lesssim \lambda^2 \Psi(r/\lambda) m(B(x, r)) + \sum_{y_2 \in N} \Psi(r/\lambda) m(B(y_2, r/\lambda)) \quad (\text{by (3.10) and VD}) \\ &\leq C_2 \lambda^2 \Psi(r/\lambda) m(B(x, r)) \quad (\text{by VD}), \end{aligned}$$

where $C_2 > 0$ depends only on the constants in Assumption 2.2, VD and PI(Ψ).

On the other hand, by Lemma 3.3, (2.5), (3.10) and VD, for all $r \in (0, r_{x,\lambda})$ we have

$$(3.14) \quad \int_{B(x,r)} |h - h_{B(x,r)}|^2 dm \geq C_3^{-1} \Psi(r) \Gamma(h, h)(B(x, r/2)) \geq C_4^{-1} \Psi(r) m(B(x, r)),$$

where $C_3, C_4 > 0$ depend only on the constants in Assumption 2.2, VD and CS(Ψ). Now, it follows from (3.13) and (3.14) that

$$\frac{\lambda^2 \Psi(r/\lambda)}{\Psi(r)} \geq C_2^{-1} C_4^{-1} \quad \text{for all } \lambda > 4A \text{ and all } r \in (0, r_{x,\lambda}),$$

and, hence, $\liminf_{\lambda \rightarrow \infty} \liminf_{r \downarrow 0} \lambda^2 \Psi(r/\lambda) / \Psi(r) \geq C_2^{-1} C_4^{-1} > 0$, which contradicts (2.15) and completes the proof. \square

The absolute continuity and singularity of energy measures are preserved under linear combinations and norm convergence in $(\mathcal{F}, \mathcal{E}_1)$, as stated in the following two lemmas:

LEMMA 3.6. *Let $(X, d, m, \mathcal{E}, \mathcal{F})$ be a MMD space, and let ν be a σ -finite Borel measure on X . Let $f, g \in \mathcal{F}$ and $a, b \in \mathbb{R}$.*

- (a) *If $\Gamma(f, f) \ll \nu$ and $\Gamma(g, g) \ll \nu$, then $\Gamma(af + bg, af + bg) \ll \nu$.*
- (b) *If $\Gamma(f, f) \perp \nu$ and $\Gamma(g, g) \perp \nu$, then $\Gamma(af + bg, af + bg) \perp \nu$.*

PROOF. (a) This is immediate from (2.2) and (2.3).

(b) By $\Gamma(f, f) \perp \nu$ and $\Gamma(g, g) \perp \nu$ there exist Borel subsets B_1, B_2 of X such that $\Gamma(f, f)(B_1) = \Gamma(g, g)(B_2) = 0$ and $\nu(X \setminus B_1) = \nu(X \setminus B_2) = 0$. Then, $B := B_1 \cap B_2$ satisfies $\Gamma(f, f)(B) = \Gamma(g, g)(B) = 0$, hence, $\Gamma(af + bg, af + bg)(B) = 0$ by (2.2) and (2.3), and also $\nu(X \setminus B) = 0$, proving $\Gamma(af + bg, af + bg) \perp \nu$. \square

LEMMA 3.7. *Let $(X, d, m, \mathcal{E}, \mathcal{F})$ be a MMD space, and let ν be a σ -finite Borel measure on X . Let $\{f_n\}_{n=1}^\infty \subset \mathcal{F}$ and $f \in \mathcal{F}$ satisfy $\lim_{n \rightarrow \infty} \mathcal{E}(f - f_n, f - f_n) = 0$.*

- (a) *If $\Gamma(f_n, f_n) \ll \nu$ for every $n \in \mathbb{N}$, then $\Gamma(f, f) \ll \nu$.*
- (b) *If $\Gamma(f_n, f_n) \perp \nu$ for every $n \in \mathbb{N}$, then $\Gamma(f, f) \perp \nu$.*

PROOF. (a) This is immediate from [30], Proof of Lemma 2.2.

(b) For each $n \in \mathbb{N}$, by $\Gamma(f_n, f_n) \perp \nu$ there exists a Borel subset B_n of X such that $\Gamma(f_n, f_n)(B_n) = 0$ and $\nu(X \setminus B_n) = 0$. Then, $B := \bigcap_{n=1}^\infty B_n$ satisfies $\Gamma(f_n, f_n)(B) = 0$ for all $n \in \mathbb{N}$ and $\nu(X \setminus B) = 0$. By (2.3), (2.2) with $a = -b = 1$ and (2.4),

$$(3.15) \quad \begin{aligned} \Gamma(f, f)(B) &= |\Gamma(f, f)(B)^{1/2} - \Gamma(f_n, f_n)(B)^{1/2}|^2 \\ &\leq \Gamma(f - f_n, f - f_n)(B) \leq \mathcal{E}(f - f_n, f - f_n) \xrightarrow{n \rightarrow \infty} 0, \end{aligned}$$

so that B satisfies both $\Gamma(f, f)(B) = 0$ and $\nu(X \setminus B) = 0$, proving $\Gamma(f, f) \perp \nu$. \square

We next show that any non-negative function in $\mathcal{F} \cap \mathcal{C}_c(X)$ can be approximated in norm in $(\mathcal{F}, \mathcal{E}_1)$ by “piecewise \mathcal{E} -harmonic functions” whose energy measures charge only their domains of \mathcal{E} -harmonicity. This approximation is used together with Lemma 3.7(b) to extend the singularity of the energy measures to all $f \in \mathcal{F}$ in Proposition 3.10 below, and is obtained on the basis of the following fact from the theory of regular symmetric Dirichlet forms:

LEMMA 3.8. *Let $(X, d, m, \mathcal{E}, \mathcal{F})$ be a MMD space, let U be an open subset of X with $m(U) < \infty$ and let F be a closed subset of X with $F \subset U$. Then, there exists a linear map $H_F^U : \mathcal{F}_U \cap L^\infty(X, m) \rightarrow \mathcal{F}_U$ such that, for any $f \in \mathcal{F}_U \cap L^\infty(X, m)$ with $f \geq 0$, $H_F^U(f) = f$ \mathcal{E} -q.e. on F , $H_F^U(f)$ is \mathcal{E} -harmonic on $U \setminus F$ and $0 \leq H_F^U(f) \leq \|f\|_{L^\infty(X, m)}$ \mathcal{E} -q.e.*

PROOF. Let H_F^U be the map H_B defined in [19], Theorem 4.3.2, with $B := F \cup (X \setminus U)$. It is a linear map from the extended Dirichlet space \mathcal{F}_e to itself by [19], Theorem 4.6.5, and for any $f \in \mathcal{F}_e$ with $f \geq 0$ we have $0 \leq H_F^U(f) \leq \|f\|_{L^\infty(X, m)}$ \mathcal{E} -q.e. by [19], Lemma 2.1.4, Theorem 4.2.1(ii) and Theorem 4.1.1, and $H_F^U(f) = f$ \mathcal{E} -q.e. on B by [19], Theorem A.2.6(i), Theorem 4.1.3 and Theorem 4.2.1(ii). In particular, for any $f \in \mathcal{F}_U \cap L^\infty(X, m)$, $H_F^U(f) = H_F^U(f^+) - H_F^U(f^-) \in L^\infty(X, m)$, $H_F^U(f) = f$ \mathcal{E} -q.e. on F , $H_F^U(f) = f = 0$ \mathcal{E} -q.e. on $X \setminus U$, hence, $H_F^U(f) \in \mathcal{F}_e \cap L^2(X, m) = \mathcal{F}$ by $m(U) < \infty$ and [19], Theorem 1.5.2(iii), thus $H_F^U(f) \in \mathcal{F}_U$, and $H_F^U(f)$ is \mathcal{E} -harmonic on $X \setminus B = U \setminus F$ for any $f \in \mathcal{F}_U \cap L^\infty(X, m)$ by [19], Theorem 4.6.5, completing the proof. \square

PROPOSITION 3.9. *Let $(X, d, m, \mathcal{E}, \mathcal{F})$ be a MMD space. Let $f \in \mathcal{F} \cap \mathcal{C}_c(X)$ satisfy $f \geq 0$, and for each $n \in \mathbb{N}$ set $F_n := f^{-1}(2^{-n}\mathbb{Z})$ and define $f_n \in \mathcal{F}_{X \setminus f^{-1}(0)} \cap L^\infty(X, m)$ by*

$$(3.16) \quad f_n = \sum_{k \in \mathbb{Z} \cap [0, 2^n \|f\|_{\text{sup}}]} f_{n,k} \quad \text{where } f_{n,k} := H_{f^{-1}([k2^{-n}, \infty))}^{f^{-1}((k2^{-n}, \infty))} ((f - k2^{-n})^+ \wedge 2^{-n}).$$

Then, for any $n \in \mathbb{N}$, $f_n = f$ \mathcal{E} -q.e. on F_n , f_n is \mathcal{E} -harmonic on $X \setminus F_n$, $\Gamma(f_n, f_n)(F_n) = 0$ and $|f - f_n| \leq 2^{-n} \mathbf{1}_{X \setminus f^{-1}(0)}$ \mathcal{E} -q.e. Moreover, $\lim_{n \rightarrow \infty} \mathcal{E}_1(f - f_n, f - f_n) = 0$.

PROOF. Let $n \in \mathbb{N}$ and $k \in \mathbb{Z} \cap [0, 2^n \|f\|_{\text{sup}}]$. Since $(f - k2^{-n})^+ \wedge 2^{-n} \in \mathcal{F}_{f^{-1}((k2^{-n}, \infty))} \cap \mathcal{C}_c(X)$ by [19], Theorem 1.4.1, we immediately see from Lemma 3.8 that $f_{n,k}$ is a well-defined element of $\mathcal{F}_{f^{-1}((k2^{-n}, \infty))}$, is \mathcal{E} -harmonic on $f^{-1}((k2^{-n}, (k + 1)2^{-n}))$ and satisfies

$$(3.17) \quad 0 \leq f_{n,k} \leq 2^{-n} \mathcal{E}\text{-q.e.} \quad \text{and} \quad f_{n,k} = \begin{cases} 0 & \mathcal{E}\text{-q.e. on } f^{-1}([0, k2^{-n}]), \\ 2^{-n} & \mathcal{E}\text{-q.e. on } f^{-1}([(k + 1)2^{-n}, \infty)). \end{cases}$$

In particular, $f_{n,k}$ is \mathcal{E} -harmonic on $X \setminus f^{-1}(\{k2^{-n}, (k + 1)2^{-n}\})$ by the strong locality of $(\mathcal{E}, \mathcal{F})$ and the fact that $g \mathbf{1}_U \in \mathcal{F} \cap \mathcal{C}_c(X)$ and $\text{supp}_m[g \mathbf{1}_U] \subset U$ for any $g \in \mathcal{F} \cap \mathcal{C}_c(X)$ with $\text{supp}_m[g] \subset X \setminus f^{-1}(\{k2^{-n}, (k + 1)2^{-n}\})$ by [19], Exercise 1.4.1 and Theorem 1.4.2(ii), where U denotes any one of $f^{-1}([0, k2^{-n}))$, $f^{-1}((k2^{-n}, (k + 1)2^{-n}))$ and $f^{-1}(((k + 1)2^{-n}, \infty))$. Thus, $f_n \in \mathcal{F}_{X \setminus f^{-1}(0)} \cap L^\infty(X, m)$, f_n is \mathcal{E} -harmonic on $X \setminus F_n$, and it easily follows from (3.17) that $|f - f_n| \leq 2^{-n} \mathbf{1}_{X \setminus f^{-1}(0)}$ \mathcal{E} -q.e. and that $f_n = f \in 2^{-n} \mathbb{Z}$ \mathcal{E} -q.e. on F_n , whence $\Gamma(f_n, f_n)(F_n) \leq \Gamma(f_n, f_n)(f_n^{-1}(2^{-n}\mathbb{Z})) = 0$ by the absolute continuity of $\Gamma(f_n, f_n)(f_n^{-1}(\cdot))$ with respect to the Lebesgue measure on \mathbb{R} deduced from the strong locality of $(\mathcal{E}, \mathcal{F})$ and [17], Theorem 4.3.8. Also, integrating the inequality $|f - f_n|^2 \leq 4^{-n} \mathbf{1}_{X \setminus f^{-1}(0)}$ yields $\|f - f_n\|_{L^2(X, m)} \leq 2^{-n} m(X \setminus f^{-1}(0))^{1/2} \xrightarrow{n \rightarrow \infty} 0$.

Finally, for any $n, k \in \mathbb{N}$ with $n \leq k$, we have $\mathcal{E}(f, f_n) = \mathcal{E}(f_n, f_n) = \mathcal{E}(f_k, f_n)$ by the \mathcal{E} -harmonicity of f_n on $X \setminus F_n$, $f = f_n = f_k$ \mathcal{E} -q.e. on F_n and (3.7), and, therefore,

$$(3.18) \quad \mathcal{E}(f, f) = \mathcal{E}(f_n, f_n) + \mathcal{E}(f - f_n, f - f_n) \geq \mathcal{E}(f_n, f_n),$$

$$(3.19) \quad \mathcal{E}(f_k, f_k) - \mathcal{E}(f_n, f_n) = \mathcal{E}(f_k - f_n, f_k - f_n) \geq 0.$$

Then, $\{\mathcal{E}(f_n, f_n)\}_{n=1}^\infty \subset [0, \mathcal{E}(f, f)]$ by (3.18), it is non-decreasing by (3.19) and, hence, converges in \mathbb{R} , which together with (3.19) and $\lim_{n \rightarrow \infty} \|f - f_n\|_{L^2(X, m)} = 0$ implies that

$\{f_n\}_{n=1}^\infty$ is a Cauchy sequence in the Hilbert space $(\mathcal{F}, \mathcal{E}_1)$. So $\lim_{n \rightarrow \infty} \mathcal{E}_1(g - f_n, g - f_n) = 0$ for some $g \in \mathcal{F}$, which has to coincide with f by $\lim_{n \rightarrow \infty} \|f - f_n\|_{L^2(X,m)} = 0$. \square

As mentioned above, we now prove the following proposition as the last main step:

PROPOSITION 3.10. *Let $(X, d, m, \mathcal{E}, \mathcal{F})$ be a MMD space, and assume that $\Gamma(h, h)|_U \perp m|_U$ for any open subset U of X and any $h \in \mathcal{F} \cap L^\infty(X, m)$ that is \mathcal{E} -harmonic on U . Then, $\Gamma(f, f) \perp m$ for all $f \in \mathcal{F}$.*

PROOF. Since $\mathcal{F} \cap \mathcal{C}_c(X)$ is norm dense in $(\mathcal{F}, \mathcal{E}_1)$ by the regularity of $(\mathcal{E}, \mathcal{F})$, in view of Lemma 3.7(b) it suffices to consider the case of $f \in \mathcal{F} \cap \mathcal{C}_c(X)$. Also, writing $f = f^+ - f^-$ and noting that $f^+, f^- \in \mathcal{F} \cap \mathcal{C}_c(X)$ by [19], Theorem 1.4.2(i), thanks to Lemma 3.6(b) we may assume without loss of generality that $f \geq 0$.

Then, for each $n \in \mathbb{N}$, setting $F_n := f^{-1}(2^{-n}\mathbb{Z})$ and defining $f_n \in \mathcal{F}_{X \setminus f^{-1}(0)} \cap L^\infty(X, m)$ by (3.16), we have $\Gamma(f_n, f_n)(F_n) = 0$ and the \mathcal{E} -harmonicity of f_n on $X \setminus F_n$ by Proposition 3.9, and, therefore, the assumption yields $\Gamma(f_n, f_n)|_{X \setminus F_n} \perp m|_{X \setminus F_n}$, which together with $\Gamma(f_n, f_n)(F_n) = 0$ implies $\Gamma(f_n, f_n) \perp m$. Now, $\Gamma(f, f) \perp m$ follows by this fact, the norm convergence $\lim_{n \rightarrow \infty} \mathcal{E}_1(f - f_n, f - f_n) = 0$ from Proposition 3.9 and Lemma 3.7(b). \square

PROOF OF THEOREM 2.13(a). It is easy to verify that **VD** is preserved under a bi-Lipschitz change of the metric and that so is **PI**(Ψ) provided Ψ satisfies Assumption 2.2. The same holds also for **CS**(Ψ) under Assumption 2.2 for Ψ and **VD** by [2], Lemma 5.7; to be precise, here we need to use a slight variant of [2], Lemma 5.7, with the radius $r/2$ in its assumption replaced by $r/(2C^2)$ for the constant $C \geq 1$ in the bi-Lipschitz equivalence of the metrics, but [2], Proof of Lemma 5.7, works also for this variant. Therefore, using Proposition A.1, we may assume without loss of generality that d is geodesic, and now it follows from Propositions 3.5 and 3.10 that $\Gamma(f, f) \perp m$ for all $f \in \mathcal{F}$. In particular, for any $f \in \mathcal{F}_{\text{loc}} \cap \mathcal{C}(X)$ with $\Gamma(f, f) \leq m$, we have $\Gamma(f, f)(X) = 0$, which together with **PI**(Ψ) and the relative compactness of $B(x, r)$ in X for all $(x, r) \in X \times (0, \infty)$ implies that $f = a\mathbb{1}_X$ for some $a \in \mathbb{R}$. Thus, $d_{\text{int}}(x, y) = 0$ for any $x, y \in X$ by (2.13). \square

The above proof of Theorem 2.13(a) easily extends to the more general situation where the Poincaré inequality **PI**(Ψ_{PI}) and the cutoff Sobolev inequality **CS**(Ψ_{CS}) are assumed to hold with respect to possibly different space-time scale functions Ψ_{PI} and Ψ_{CS} , as follows:

THEOREM 3.11. *Let $\Psi_{\text{PI}}, \Psi_{\text{CS}} : [0, \infty) \rightarrow [0, \infty)$ be homeomorphisms satisfying Assumption 2.2, and let $(X, d, m, \mathcal{E}, \mathcal{F})$ be a MMD space satisfying **VD**, **PI**(Ψ_{PI}) and **CS**(Ψ_{CS}). Assume further that (X, d) satisfies the chain condition and that*

$$(3.20) \quad \liminf_{\lambda \rightarrow \infty} \liminf_{r \downarrow 0} \frac{\lambda^2 \Psi_{\text{PI}}(r/\lambda)}{\Psi_{\text{CS}}(r)} = 0.$$

Then, $\Gamma(f, f) \perp m$ for all $f \in \mathcal{F}$.

PROOF. It is straightforward to see that the proof of Proposition 3.5 extends to the present situation under the additional assumption that d is geodesic. The rest of the proof goes in exactly the same way as the above proof of Theorem 2.13(a). \square

4. Absolute continuity. In this section we give the proof of Theorem 2.13(b), namely, the “mutual absolute continuity” between the symmetric measure m and the energy measures under the assumption (2.16). *In this section we do NOT assume that (X, d) satisfies the chain condition except in Proposition 4.8.* Recall that we always have $\text{diam}(X, d) \in (0, \infty]$ for a metric measure space (X, d, m) by our standing assumption that $\#X \geq 2$.

We begin with the following lemma, which shows that the estimate (2.16) can be upgraded to the Gaussian space-time scaling (2.17) at small scales:

LEMMA 4.1. *Let $(X, d, m, \mathcal{E}, \mathcal{F})$ be a MMD space satisfying VD, PI(Ψ) and CS(Ψ), and assume further that Ψ satisfies (2.16). Then, there exist $r_1 \in (0, \text{diam}(X, d))$ and $C_1 \geq 1$ such that (2.17) holds.*

PROOF. By [44], Corollary 1.10, there exists $C_1 \geq 1$ such that

$$(4.1) \quad C_1^{-1} \frac{r^2}{s^2} \leq \frac{\Psi(r)}{\Psi(s)} \quad \text{for all } 0 < s \leq r < \text{diam}(X, d).$$

The desired upper bound on $\Psi(r)$ follows immediately from (4.1). The lower bound on $\Psi(r)$ for $r \in (0, \text{diam}(X, d))$ follows by letting $s \downarrow 0$ in (4.1) and using (2.16) to obtain

$$\frac{\Psi(r)}{r^2} \geq C_1^{-1} \limsup_{s \downarrow 0} \frac{\Psi(s)}{s^2} > 0,$$

completing the proof. \square

The upper inequality in (2.18) is obtained from VD, PI(Ψ) and (2.17), as follows:

LEMMA 4.2. *Let $(X, d, m, \mathcal{E}, \mathcal{F})$ be a MMD space satisfying VD and PI(Ψ), and assume further that Ψ satisfies (2.17). Then, there exist $C, r_0 > 0$ such that $d_{\text{int}}(x, y) \leq Cd(x, y)$ for all $x, y \in X$ with $d(x, y) < r_0$.*

PROOF. Let $f \in \mathcal{F}_{\text{loc}} \cap \mathcal{C}(X)$ satisfy $\Gamma(f, f) \leq m$. Then, by [44], Lemma 2.4 (see also [28], Lemma 5.15), there exists $C > 0$ such that

$$(4.2) \quad |f(x) - f(y)| \leq C\sqrt{\Psi(r)} \quad \text{for all } x, y \in X \text{ and } r > 0 \text{ with } d(x, y) \leq C^{-1}r.$$

The desired estimate follows from (4.2), (2.17) and (2.13). \square

On the other hand, the lower inequality in (2.18) follows from VD, CS(Ψ) and (2.17), as stated in the following lemma, which also establishes standard properties of the functions $(1 - r^{-1}d(x, \cdot))^+$ in studying Gaussian heat kernel estimates as a key step of the proof of the “mutual absolute continuity” between the symmetric measure m and the energy measures:

LEMMA 4.3. *Let $(X, d, m, \mathcal{E}, \mathcal{F})$ be a MMD space satisfying VD and CS(Ψ), and assume further that Ψ satisfies (2.17). Then, there exist $C, r_0 > 0$ such that, for all $(x, r) \in X \times (0, r_0)$, the function $f_{x,r} := (1 - r^{-1}d(x, \cdot))^+$ satisfies $f_{x,r} \in \mathcal{F}$ and $\Gamma(f_{x,r}, f_{x,r}) \leq C^2r^{-2}m$. In particular, $d_{\text{int}}(x, y) \geq C^{-1}d(x, y)$ for all $x, y \in X$ with $d(x, y) \wedge (Cd_{\text{int}}(x, y)) < r_0$.*

PROOF. Let $r_1 > 0$ and $C_1 \geq 1$ be as in (2.17), $(x, r) \in X \times (0, r_1)$ and $n \in \mathbb{N} \setminus \{1\}$. For each $i \in \{1, \dots, n - 1\}$, let $\varphi_{i,n} \in \mathcal{F}$ be a cutoff function for $B(x, ir/n) \subset B(x, (i + 1)r/n)$ as given in CS(Ψ) and set $U_{i,n} := B(x, (i + 1)r/n) \setminus B(x, ir/n)$, so that by CS(Ψ) we have

$$(4.3) \quad \int_X g^2 d\Gamma(\varphi_{i,n}, \varphi_{i,n}) \leq \frac{1}{8} \int_{U_{i,n}} d\Gamma(g, g) + \frac{C_S}{\Psi(r/n)} \int_{U_{i,n}} g^2 dm$$

for all $g \in \mathcal{F}$. Set

$$\varphi_n := \frac{1}{n-1} \sum_{i=1}^{n-1} \varphi_{i,n},$$

so that $0 \leq \varphi_n \leq 1$ m -a.e., $\text{supp}_m[\varphi_n] \subset B(x, r)$ and

$$(4.4) \quad |\varphi_n - f_{x,r}| \leq 2n^{-1} \mathbb{1}_{B(x,r)} \quad m\text{-a.e.}$$

By the strong locality of $(\mathcal{E}, \mathcal{F})$, [19], Corollary 3.2.1 (or [17], Theorem 4.3.8), and (2.3), we have

$$(4.5) \quad \Gamma(\varphi_n, \varphi_n) = (n-1)^{-2} \sum_{i=1}^{n-1} \Gamma(\varphi_{i,n}, \varphi_{i,n}).$$

Combining (4.3), (4.5) and (2.17), we obtain

$$(4.6) \quad \begin{aligned} \int_X g^2 d\Gamma(\varphi_n, \varphi_n) &\leq \frac{(n-1)^{-2}}{8} \int_{B(x,r)} d\Gamma(g, g) + \frac{C_S(n-1)^{-2}}{\Psi(r/n)} \int_{B(x,r)} g^2 dm \\ &\leq \frac{(n-1)^{-2}}{8} \int_{B(x,r)} d\Gamma(g, g) + \frac{4C_1C_S}{r^2} \int_{B(x,r)} g^2 dm \end{aligned}$$

for all $g \in \mathcal{F}$. Therefore, choosing $g \in \mathcal{F} \cap \mathcal{C}_c(X)$ with $g = 1$ on $B(x, r)$, which exists by the regularity of $(\mathcal{E}, \mathcal{F})$ and [19], Exercise 1.4.1, and noting that $\Gamma(\varphi_n, \varphi_n)(X \setminus B(x, r)) = \Gamma(g, g)(B(x, r)) = 0$ by $\text{supp}_m[\varphi_n] \subset B(x, r)$, the strong locality of $(\mathcal{E}, \mathcal{F})$ and [19], Corollary 3.2.1 (or [17], Theorem 4.3.8), we see from (4.6) that

$$\mathcal{E}_1(\varphi_n, \varphi_n) \leq \left(\frac{4C_1C_S}{r^2} + 1 \right) m(B(x, r)) \quad \text{for all } n \in \mathbb{N} \setminus \{1\}.$$

Hence, by the Banach–Saks theorem ([17], Theorem A.4.1(i)), there exists a subsequence $\{\varphi_{n_k}\}_{k=1}^\infty$ of $\{\varphi_n\}_{n=2}^\infty$ such that its Cesàro mean sequence

$$\psi_i := \frac{1}{i} \sum_{k=1}^i \varphi_{n_k}, \quad i \in \mathbb{N},$$

converges in norm in $(\mathcal{F}, \mathcal{E}_1)$ as $i \rightarrow \infty$, but then its limit must be $f_{x,r}$ by (4.4) and, in particular, $f_{x,r} \in \mathcal{F}$. On the other hand, by (2.2) and the Cauchy–Schwarz inequality similar to (2.3), we have the triangle inequality

$$(4.7) \quad \left| \left(\int_X g^2 d\Gamma(f_1, f_1) \right)^{1/2} - \left(\int_X g^2 d\Gamma(f_2, f_2) \right)^{1/2} \right| \leq \left(\int_X g^2 d\Gamma(f_1 - f_2, f_1 - f_2) \right)^{1/2}$$

for all $f_1, f_2 \in \mathcal{F}$ and all bounded Borel measurable function $g : X \rightarrow \mathbb{R}$. Combining (4.7) and (2.4) with $\lim_{i \rightarrow \infty} \mathcal{E}_1(f_{x,r} - \psi_i, f_{x,r} - \psi_i) = 0$ in the same way as (3.15), we obtain

$$(4.8) \quad \begin{aligned} &\int_X g^2 d\Gamma(f_{x,r}, f_{x,r}) \\ &= \lim_{i \rightarrow \infty} \int_X g^2 d\Gamma(\psi_i, \psi_i) \\ &\leq \liminf_{i \rightarrow \infty} \frac{1}{i} \sum_{k=1}^i \int_X g^2 d\Gamma(\varphi_{n_k}, \varphi_{n_k}) \quad (\text{by (4.7) and the Cauchy–Schwarz inequality}) \\ &\leq \lim_{i \rightarrow \infty} \frac{1}{i} \sum_{k=1}^i \left(\frac{(n_k-1)^{-2}}{8} \int_{B(x,r)} d\Gamma(g, g) + \frac{4C_1C_S}{r^2} \int_{B(x,r)} g^2 dm \right) \quad (\text{by (4.6)}) \\ &= \frac{4C_1C_S}{r^2} \int_{B(x,r)} g^2 dm \quad \text{for all } g \in \mathcal{F} \cap \mathcal{C}_c(X). \end{aligned}$$

Since $\mathcal{F} \cap \mathcal{C}_c(X)$ is dense in $(\mathcal{C}_c(X), \|\cdot\|_{\text{sup}})$ by the regularity of $(\mathcal{E}, \mathcal{F})$, it follows from (4.8) that

$$(4.9) \quad \Gamma(f_{x,r}, f_{x,r}) \leq 4C_1 C_S r^{-2} m.$$

In particular, for all $(x, r) \in X \times (0, r_1)$, the function

$$\hat{f}_{x,r} := r(4C_1 C_S)^{-1/2} f_{x,r}$$

satisfies $\hat{f}_{x,r} \in \mathcal{F} \cap \mathcal{C}(X)$ and $\Gamma(\hat{f}_{x,r}, \hat{f}_{x,r}) \leq m$ by (4.9), and we therefore obtain

$$(4.10) \quad d_{\text{int}}(x, y) \geq \hat{f}_{x,r}(x) - \hat{f}_{x,r}(y) = (4C_1 C_S)^{-1/2} r \quad \text{for all } y \in X \text{ with } d(x, y) \geq r$$

in view of (2.13). Thus, for each $x, y \in X$, if $d(x, y) \geq r_1$, then $(4C_1 C_S)^{1/2} d_{\text{int}}(x, y) \geq r_1$ by (4.10), hence, if $(4C_1 C_S)^{1/2} d_{\text{int}}(x, y) < r_1$, then $d(x, y) < r_1$, and if in turn $d(x, y) < r_1$, then $d_{\text{int}}(x, y) \geq (4C_1 C_S)^{-1/2} d(x, y)$ either by using (4.10) with $r = d(x, y) \in (0, r_1)$ or by $d(x, y) = 0$, completing the proof. \square

We also need the following lemma for the proof of the absolute continuity of the energy measures achieved as Proposition 4.5 below. Recall the notion of an ε -net in a metric space (X, d) introduced in Definition 3.4.

LEMMA 4.4 (Lipschitz partition of unity). *Let $(X, d, m, \mathcal{E}, \mathcal{F})$ be a MMD space satisfying **VD** and **CS**(Ψ), and assume further that Ψ satisfies (2.17). Then, there exist $C, r_0 > 0$ such that, for any $\varepsilon \in (0, r_0)$ and any ε -net $N \subset X$ in (X, d) , there exists $\{\varphi_z\}_{z \in N} \subset \mathcal{F} \cap \mathcal{C}_c(X)$ with the following properties:*

- (a) $\sum_{z \in N} \varphi_z(x) = 1$ for all $x \in X$.
- (b) $0 \leq \varphi_z(x) \leq \mathbb{1}_{B(z, 2\varepsilon)}(x)$ for all $x \in X$ and all $z \in N$.
- (c) φ_z is $C\varepsilon^{-1}$ -Lipschitz for all $z \in N$, that is, $|\varphi_z(x) - \varphi_z(y)| \leq C\varepsilon^{-1}d(x, y)$ for all $x, y \in X$.
- (d) $\Gamma(\varphi_z, \varphi_z) \leq C\varepsilon^{-2}m$ for all $z \in N$.
- (e) $\mathcal{E}(\varphi_z, \varphi_z) \leq C\varepsilon^{-2}m(B(z, \varepsilon))$ for all $z \in N$.

PROOF. Let $r_0 > 0$ be the constant from Lemma 4.3, and let $f_{x,r} \in \mathcal{F} \cap \mathcal{C}(X)$ be as defined in Lemma 4.3 for each $(x, r) \in X \times (0, r_0)$. Let $\varepsilon \in (0, r_0/2)$, and let $N \subset X$ be an ε -net in (X, d) . Noting that

$$(4.11) \quad \frac{1}{2} \leq \sum_{w \in N} f_{w, 2\varepsilon}(y) = \sum_{w \in N \cap B(z, 4\varepsilon)} f_{w, 2\varepsilon}(y) \leq \#(N \cap B(z, 4\varepsilon)) \lesssim 1$$

for all $z \in X$ and all $y \in B(z, 2\varepsilon)$ by $\bigcup_{w \in N} B(w, \varepsilon) = X$ and **VD**, we define

$$(4.12) \quad \varphi_z := \frac{f_{z, 2\varepsilon}}{\sum_{w \in N} f_{w, 2\varepsilon}} = \frac{f_{z, 2\varepsilon}}{\sum_{w \in N \cap B(z, 4\varepsilon)} f_{w, 2\varepsilon}} \quad \text{for each } z \in N,$$

so that properties (a) and (b) obviously hold and $\{\varphi_z\}_{z \in N} \subset \mathcal{F} \cap \mathcal{C}_c(X)$ by [43], Exercise I.4.16 (or Corollary I.4.13), and the relative compactness of $B(z, 2\varepsilon)$ in X . The estimate (d) follows easily from the chain rule [19], Theorem 3.2.2, for Γ , the Cauchy–Schwarz inequality similar to (2.3), (4.11) and Lemma 4.3, and the estimate (e) is an immediate consequence of (2.4), (b), [19], Corollary 3.2.1 (or [17], Theorem 4.3.8), (d) and **VD**.

It remains to prove (c). First, note that by the triangle inequality, $f_{z, 2\varepsilon}$ is $(2\varepsilon)^{-1}$ -Lipschitz for all $z \in X$, that is,

$$(4.13) \quad |f_{z, 2\varepsilon}(x) - f_{z, 2\varepsilon}(y)| \leq (2\varepsilon)^{-1}d(x, y) \quad \text{for all } x, y, z \in X.$$

Let $z \in N$ and $x, y \in X$. If $d(x, y) \geq \varepsilon$, then

$$(4.14) \quad |\varphi_z(x) - \varphi_z(y)| \leq 1 \leq \varepsilon^{-1}d(x, y).$$

On the other hand, if $d(x, y) < \varepsilon$, then

$$\begin{aligned} & |\varphi_z(x) - \varphi_z(y)| \\ & \leq \left| \frac{f_{z,2\varepsilon}(x)}{\sum_{w \in N} f_{w,2\varepsilon}(x)} - \frac{f_{z,2\varepsilon}(y)}{\sum_{w \in N} f_{w,2\varepsilon}(x)} \right| + \left| \frac{f_{z,2\varepsilon}(y)}{\sum_{w \in N} f_{w,2\varepsilon}(x)} - \frac{f_{z,2\varepsilon}(y)}{\sum_{w \in N} f_{w,2\varepsilon}(y)} \right| \\ (4.15) \quad & \leq \varepsilon^{-1}d(x, y) + \left| \frac{1}{\sum_{w \in N} f_{w,2\varepsilon}(x)} - \frac{1}{\sum_{w \in N} f_{w,2\varepsilon}(y)} \right| \quad (\text{by (4.11) and (4.13)}) \\ & \leq \varepsilon^{-1}d(x, y) + 4 \left| \sum_{w \in N \cap B(x, 4\varepsilon)} (f_{w,2\varepsilon}(y) - f_{w,2\varepsilon}(x)) \right| \quad (\text{by (4.11) and } d(x, y) < \varepsilon) \\ & \lesssim \varepsilon^{-1}d(x, y) \quad (\text{by (4.13) and (4.11)}). \end{aligned}$$

Combining (4.14) and (4.15), we obtain (c). \square

PROPOSITION 4.5 (Energy dominance of m). *Let $(X, d, m, \mathcal{E}, \mathcal{F})$ be a MMD space satisfying **VD**, **PI**(Ψ) and **CS**(Ψ), and assume further that Ψ satisfies (2.16). Then, m is an energy-dominant measure of $(\mathcal{E}, \mathcal{F})$, that is, $\Gamma(f, f) \ll m$ for all $f \in \mathcal{F}$.*

PROOF. Since $\mathcal{F} \cap \mathcal{C}_c(X)$ is dense in $(\mathcal{F}, \mathcal{E}_1)$ by the regularity of $(\mathcal{E}, \mathcal{F})$, by Lemma 3.7(a) it suffices to show that $\Gamma(f, f) \ll m$ for all $f \in \mathcal{F} \cap \mathcal{C}_c(X)$.

Let $f \in \mathcal{F} \cap \mathcal{C}_c(X)$. Noting that Lemma 4.4 is applicable by Lemma 4.1, let $r_1, r_0 > 0$ be the constants in Lemmas 4.1 and 4.4, respectively. Let $n \in \mathbb{N}$ satisfy $4n^{-1} < r_1 \wedge r_0$, let $N_n \subset X$ be an n^{-1} -net in (X, d) and let $\{\varphi_z\}_{z \in N_n}$ be the Lipschitz partition of unity as given in Lemma 4.4. We define

$$(4.16) \quad f_n := \sum_{z \in N_n} f_{B(z, n^{-1})} \varphi_z \quad \text{where } f_{B(z, n^{-1})} := \frac{1}{m(B(z, n^{-1}))} \int_{B(z, n^{-1})} f \, dm,$$

so that f_n is, in fact, a finite linear combination of $\{\varphi_z\}_{z \in N_n}$ by the relative compactness of $\bigcup_{x \in \text{supp}_m[f]} B(x, n^{-1})$ in X and, hence, satisfies $f_n \in \mathcal{F} \cap \mathcal{C}_c(X)$ and, by Lemma 3.6(a),

$$(4.17) \quad \Gamma(f_n, f_n) \ll m.$$

Since $\|f_n\|_{\text{sup}} \leq \|f\|_{\text{sup}}$ by Lemma 4.4(a),(b), we easily see that

$$(4.18) \quad |f_n(x) - f_n(y)| \lesssim n \|f\|_{\text{sup}} d(x, y) \quad \text{for any } x, y \in X$$

by treating the case of $d(x, y) \geq n^{-1}$ and that of $d(x, y) < n^{-1}$ separately as in (4.14) and (4.15) and using Lemma 4.4(b),(c) and **VD** for the latter case, and f_n is thus Lipschitz. Furthermore, by Lemma 4.4(a),(b), for any $x \in X$ we have

$$\begin{aligned} |f_n(x) - f(x)| &= \left| \sum_{z \in N_n \cap B(x, 2n^{-1})} (f_{B(z, n^{-1})} - f(x)) \varphi_z(x) \right| \\ &\leq \sum_{z \in N_n \cap B(x, 2n^{-1})} |f_{B(z, n^{-1})} - f(x)| \varphi_z(x) \\ &\leq \sup\{|f(w) - f(x)| \mid w \in B(x, 3n^{-1})\}, \end{aligned}$$

which together with the uniform continuity of $f \in \mathcal{C}_c(X)$ on X yields

$$(4.19) \quad \|f_n - f\|_{\text{sup}} \leq \sup\{|f(z) - f(w)| \mid z, w \in X, d(z, w) < 3n^{-1}\} \xrightarrow{n \rightarrow \infty} 0.$$

Also, choosing $(x_0, r) \in X \times (0, \infty)$ so that $\text{supp}_m[f] \subset B(x_0, r)$, we have $\text{supp}_m[f_n] \subset B(x_0, r + 4)$ by Lemma 4.4(b), and, therefore, from (4.19) we obtain

$$(4.20) \quad \|f_n - f\|_{L^2(X, m)} \leq \|f_n - f\|_{\text{sup}m(B(x_0, r + 4))}^{1/2} \xrightarrow{n \rightarrow \infty} 0.$$

On the other hand, using $\text{PI}(\Psi)$ together with VD and Lemma 4.1 in the same way as (3.11), for all $z, w \in N_n$ with $d(z, w) \leq 3n^{-1}$ we have

$$(4.21) \quad |f_{B(z, n^{-1})} - f_{B(w, n^{-1})}|^2 \lesssim \frac{n^{-2}}{m(B(z, n^{-1}))} \int_{B(z, 4An^{-1})} d\Gamma(f, f),$$

where $A \geq 1$ is the constant in $\text{PI}(\Psi)$. For each $z \in N_n$, observing that

$$f_n(x) = f_{B(z, n^{-1})} + \sum_{w \in N_n \cap B(z, 3n^{-1})} (f_{B(w, n^{-1})} - f_{B(z, n^{-1})})\varphi_w(x) \quad \text{for all } x \in B(z, n^{-1})$$

by Lemma 4.4(a),(b), we see from the strong locality of $(\mathcal{E}, \mathcal{F})$, [19], Corollary 3.2.1 (or [17], Theorem 4.3.8), (4.7) and the Cauchy–Schwarz inequality that

$$(4.22) \quad \begin{aligned} &\Gamma(f_n, f_n)(B(z, n^{-1})) \\ &\leq \#(N_n \cap B(z, 3n^{-1})) \\ &\times \sum_{w \in N_n \cap B(z, 3n^{-1})} |f_{B(w, n^{-1})} - f_{B(z, n^{-1})}|^2 \Gamma(\varphi_w, \varphi_w)(B(z, n^{-1})) \\ &\lesssim \Gamma(f, f)(B(z, 4An^{-1})) \quad (\text{by VD, (4.21) and Lemma 4.4(d)}). \end{aligned}$$

Since $X = \bigcup_{z \in N_n} B(z, n^{-1})$ and $\sum_{z \in N_n} \mathbb{1}_{B(z, 4An^{-1})} \lesssim 1$ by VD , from (2.4) and (4.22) we obtain

$$(4.23) \quad \mathcal{E}(f_n, f_n) \leq \sum_{z \in N_n} \Gamma(f_n, f_n)(B(z, n^{-1})) \lesssim \sum_{z \in N_n} \Gamma(f, f)(B(z, 4An^{-1})) \lesssim \mathcal{E}(f, f).$$

It follows from (4.20) and (4.23) that $\{f_n\}_{n > 4(r_1 \wedge r_0)^{-1}}$ is a bounded sequence in $(\mathcal{F}, \mathcal{E}_1)$, and, hence, by the Banach–Saks theorem [17], Theorem A.4.1(i), there exists a subsequence $\{f_{n_k}\}_{k=1}^\infty$ of $\{f_n\}_{n > 4(r_1 \wedge r_0)^{-1}}$ such that its Cesàro mean sequence $\{i^{-1} \sum_{k=1}^i f_{n_k}\}_{i=1}^\infty$ converges in norm in $(\mathcal{F}, \mathcal{E}_1)$, but then the limit must necessarily be f by (4.20). Now, by (4.17), Lemma 3.6(a) and Lemma 3.7(a), we obtain $\Gamma(f, f) \ll m$, completing the proof. \square

REMARK 4.6. The above proof of Proposition 4.5 is inspired by [37], Proof of Proposition 4.7. Note that it also shows that $\mathcal{F} \cap \text{Lip}_c(X, d)$ is dense in $(\mathcal{F}, \mathcal{E}_1)$ in the situation of Proposition 4.5, where $\text{Lip}_c(X, d) := \{f \in \mathcal{C}_c(X) \mid f \text{ is Lipschitz with respect to } d\}$. We remark that Proposition 4.5 and this denseness were proved also in [1], Lemma 2.11, with a very similar proof under the additional a priori assumptions that d is the intrinsic metric d_{int} and that Ψ is given by $\Psi(r) = r^2$.

PROPOSITION 4.7 (Minimality of m). *Let $(X, d, m, \mathcal{E}, \mathcal{F})$ be a MMD space satisfying VD , $\text{PI}(\Psi)$ and $\text{CS}(\Psi)$, and assume further that Ψ satisfies (2.16). If ν is a minimal energy-dominant measure of $(\mathcal{E}, \mathcal{F})$, then $m \ll \nu$.*

PROOF. Let $m = m_a + m_s$ be the Lebesgue decomposition of m with respect to ν , so that $m_a \ll \nu$ and $m_s \perp \nu$. We are to show that $m_s(X) = 0$, which will yield $m = m_a \ll \nu$.

Noting that Lemma 4.3 is applicable by Lemma 4.1, let $r_1 \in (0, \text{diam}(X, d))$ and $C, r_0 > 0$ be the constants in Lemmas 4.1 and 4.3, respectively. Then, by Lemma 4.3, for all $(x, r) \in$

$X \times (0, r_0)$ we have $f_{x,r} := (1 - r^{-1}d(x, \cdot))^+ \in \mathcal{F}$ and $\Gamma(f_{x,r}, f_{x,r}) \leq C^2 r^{-2} m$, which together with $\Gamma(f_{x,r}, f_{x,r}) \ll \nu \perp m_S$ implies that

$$(4.24) \quad \Gamma(f_{x,r}, f_{x,r}) \leq C^2 r^{-2} m_a.$$

On the other hand, for each $(x, r) \in X \times (0, r_1/2)$, by $B(x, r) \neq X$ (recall that $r_1 \in (0, \text{diam}(X, d))$) and [44], Proof of Corollary 2.3, there exists $y \in B(x, 3r/4) \setminus B(x, r/2)$, and then there exists $\delta \in (0, 1)$ determined solely by the constant C_D in VD such that

$$(4.25) \quad 1 - (f_{x,r})_{B(x,r)} \geq \frac{m(B(y, r/4))}{4m(B(x, r))} \geq \delta \quad \text{by } B(y, r/4) \subset B(x, r) \setminus B(x, r/4) \text{ and VD,}$$

where $(f_{x,r})_{B(x,r)} := m(B(x, r))^{-1} \int_{B(x,r)} f_{x,r} dm$. Thus, for all $(x, r) \in X \times (0, r_1/2)$ we have $f_{x,r} - (f_{x,r})_{B(x,r)} \geq \delta/2$ on $B(x, \delta r/2)$ by (4.25) and, hence,

$$(4.26) \quad \begin{aligned} m(B(x, Ar)) &\lesssim m(B(x, \delta r/2)) \lesssim \int_{B(x,r)} |f_{x,r} - (f_{x,r})_{B(x,r)}|^2 dm \quad (\text{by VD}) \\ &\lesssim \Psi(r) \Gamma(f_{x,r}, f_{x,r})(B(x, Ar)) \quad (\text{by PI}(\Psi)) \\ &\lesssim m_a(B(x, Ar)) \quad (\text{by Lemma 4.1 and (4.24)}), \end{aligned}$$

where $A \geq 1$ is the constant in PI(Ψ).

Now, assume to the contrary that $m_S(X) > 0$. Then, by $m_a \ll \nu \perp m_S$ and the inner regularity of m_S (see, e.g., [45], Theorem 2.18), there exists a compact subset K of X such that $m_S(K) > 0$ and $m_a(K) = 0$. Let $\varepsilon \in (0, r_1/2)$, set $K_\varepsilon := \bigcup_{x \in K} B(x, \varepsilon)$ and let N_ε be a 2ε -net in (K, d) , so that K_ε is relatively compact in X , $K \subset \bigcup_{x \in N_\varepsilon} B(x, 2\varepsilon)$ and $B(x, \varepsilon) \cap B(y, \varepsilon) = \emptyset$ for any $x, y \in N_\varepsilon$ with $x \neq y$. Using these properties, we obtain

$$\begin{aligned} 0 < m(K) &\leq \sum_{x \in N_\varepsilon} m(B(x, 2\varepsilon)) \lesssim \sum_{x \in N_\varepsilon} m(B(x, \varepsilon)) \lesssim \sum_{x \in N_\varepsilon} m_a(B(x, \varepsilon)) \quad (\text{by VD and (4.26)}) \\ &= m_a\left(\bigcup_{x \in N_\varepsilon} B(x, \varepsilon)\right) \leq m_a(K_\varepsilon) \xrightarrow{\varepsilon \downarrow 0} m_a(K) = 0, \end{aligned}$$

which is a contradiction and thereby proves that $m_S(X) = 0$. \square

As the last step of the proof of Theorem 2.13(b), we now establish first the finiteness of d_{int} , and then the bi-Lipschitz equivalence of d_{int} to d under the additional assumption of the chain condition for (X, d) :

PROPOSITION 4.8. *Let $(X, d, m, \mathcal{E}, \mathcal{F})$ be a MMD space satisfying VD, PI(Ψ) and CS(Ψ), and assume further that Ψ satisfies (2.16). Then, d_{int} is a geodesic metric on X . Moreover, if, additionally, (X, d) satisfies the chain condition, then d_{int} is bi-Lipschitz equivalent to d .*

PROOF. By Lemmas 4.1, 4.2 and 4.3, there exist $r_0 > 0$ and $C \geq 1$ such that

$$(4.27) \quad C^{-1}d(x, y) \leq d_{\text{int}}(x, y) \leq Cd(x, y) \quad \text{for all } x, y \in X \text{ with } d(x, y) \wedge d_{\text{int}}(x, y) < r_0.$$

Let d_ε and $d_{\text{int},\varepsilon}$ denote the ε -chain metric corresponding to d and d_{int} , respectively, as defined in Definition 2.10(a) for each $\varepsilon > 0$; note that $d_{\text{int},\varepsilon}$ can be defined by (2.11) even though d_{int} is yet to be shown to be a metric on X . Let $\varepsilon \in (0, r_0)$. Then, we easily see from (2.11), (4.27) and the triangle inequality for d and d_{int} that for all $x, y \in X$,

$$(4.28) \quad C^{-1}d(x, y) \leq (C^{-1}d_{C\varepsilon}(x, y)) \vee d_{\text{int}}(x, y) \leq d_{\text{int},\varepsilon}(x, y) \leq Cd_{C^{-1}\varepsilon}(x, y) < \infty,$$

where we used the fact that $d_{C^{-1}\varepsilon}(x, y) < \infty$ by [44], Lemma 2.2. It follows from (4.28), (4.27) and the completeness of (X, d) that d_{int} is a complete metric on X compatible with the original topology of (X, d) , and thus we can apply [48], Theorem 1, to obtain the geodesic property of d_{int} , which together with (2.11) and (4.28) implies that

$$(4.29) \quad d_{\text{int}}(x, y) = d_{\text{int},\varepsilon}(x, y) \geq C^{-1}d(x, y) \quad \text{for all } x, y \in X.$$

Finally, assuming now that (X, d) satisfies the chain condition, for some $C' \geq 1$ we have $d_{C^{-1}\varepsilon}(x, y) \leq C'd(x, y)$ for all $x, y \in X$, which in combination with (4.28) shows that

$$(4.30) \quad d_{\text{int},\varepsilon}(x, y) \leq Cd_{C^{-1}\varepsilon}(x, y) \leq CC'd(x, y) \quad \text{for all } x, y \in X.$$

We therefore conclude from (4.29) and (4.30) the bi-Lipschitz equivalence of d_{int} to d . \square

PROOF OF THEOREM 2.13(b). We have (2.17) by Lemma 4.1, then (2.18) by (2.17), Lemmas 4.2 and 4.3, and m is a minimal energy-dominant measure of $(\mathcal{E}, \mathcal{F})$ by Propositions 4.5 and 4.7. Finally, by Proposition 4.8, d_{int} is a geodesic metric on X , and it is bi-Lipschitz equivalent to d under the additional assumption of the chain condition for (X, d) . \square

5. Examples: Scale irregular Sierpiński gaskets. This section is devoted to presenting an application of Theorem 2.13(a) to a class of fractals called *scale irregular Sierpiński gaskets*, which are constructed in a way similar to the standard Sierpiński gasket (K^2 in Figure 1) but allowing different configurations of the cells in different scales and, thus, are not exactly self-similar. This class of fractals are also called *homogeneous random Sierpiński gaskets* in the literature, especially when the sequence of cell configurations in different scales is randomly chosen according to some probability distribution, but here we prefer not to use this term because we do not make such random construction. We could introduce an abstract class of self-similar fractals generalizing the Sierpiński gasket and use them to construct our scale irregular Sierpiński gaskets, as is done in [13, 26] and [36], Chapter 24. For the sake of brevity, however, we instead consider just a concrete family of self-similar Sierpiński gaskets, which give rise to the higher dimensional analogs of the two-dimensional scale irregular Sierpiński gaskets considered initially by Hambly in [25].

Throughout this section, we fix $N \in \mathbb{N} \setminus \{1\}$ and a regular N -dimensional simplex $\Delta \subset \mathbb{R}^N$ with side length 1 and the set of its vertices $\{q_k \mid k \in \{0, \dots, N\}\} =: V_0$, where Δ denotes the convex hull of V_0 in \mathbb{R}^N and is thus a compact convex subset of \mathbb{R}^N . For each $l \in \mathbb{N} \setminus \{1\}$, we set $S_l := \{(i_k)_{k=1}^N \in (\mathbb{N} \cup \{0\})^N \mid \sum_{k=1}^N i_k \leq l - 1\}$, and for each $i = (i_k)_{k=1}^N \in S_l$ set $q_i^l := q_0 + \sum_{k=1}^N (i_k/l)(q_k - q_0)$ and define $F_i^l : \mathbb{R}^N \rightarrow \mathbb{R}^N$ by $F_i^l(x) := q_i^l + l^{-1}(x - q_0)$.

Let $l = (l_n)_{n=1}^\infty \in (\mathbb{N} \setminus \{1\})^\mathbb{N}$ satisfy $\sup_{n \in \mathbb{N}} l_n < \infty$, set $W_n^l := \prod_{k=1}^n S_{l_k}$ for each $n \in \mathbb{N}$ and $F_w^l := F_{w_1}^{l_1} \circ \dots \circ F_{w_n}^{l_n}$ for each $n \in \mathbb{N}$ and $w = w_1 \dots w_n \in W_n^l$. We define the N -dimensional

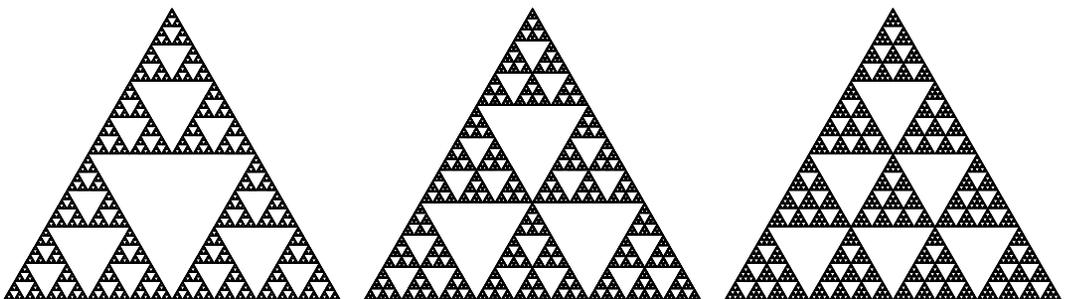


FIG. 1. The 2-dimensional level- l (self-similar) Sierpiński gaskets K^l ($l = 2, 3, 4$).

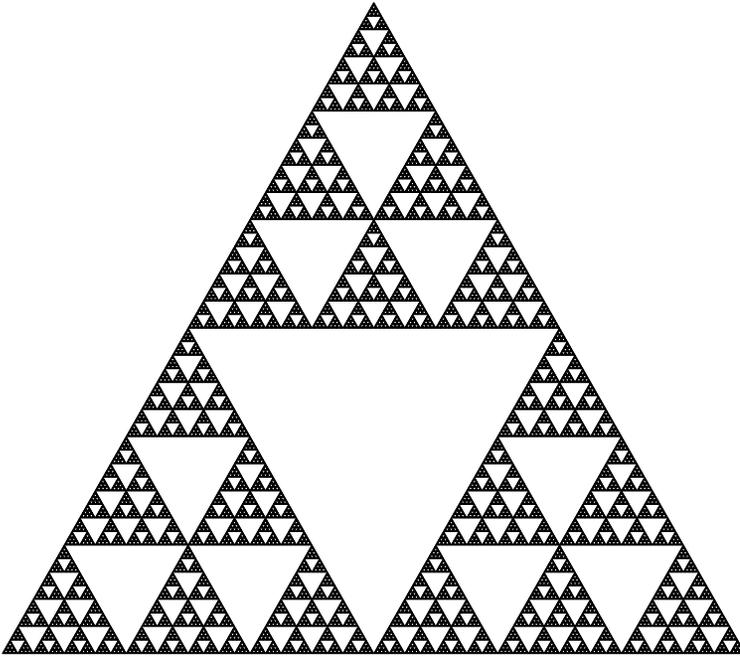


FIG. 2. A 2-dimensional level- l scale irregular Sierpiński gasket K^l ($l = (2, 3, 4, 2, \dots)$).

level- l scale irregular Sierpiński gasket K^l as the non-empty compact subset of Δ given by

$$(5.1) \quad K^l := \bigcap_{n=1}^{\infty} \bigcup_{w \in W_n^l} F_w^l(\Delta)$$

(see Figure 2); note that $\{\bigcup_{w \in W_n^l} F_w^l(\Delta)\}_{n=1}^{\infty}$ is a strictly decreasing sequence of non-empty compact subsets of Δ and that

$$(5.2) \quad F_w^l(\Delta) \cap F_v^l(\Delta) = F_w^l(V_0) \cap F_v^l(V_0) \quad \text{for any } n \in \mathbb{N} \text{ and any } w, v \in W_n^l \text{ with } w \neq v.$$

We also set $V_0^l := V_0$ and $V_n^l := \bigcup_{w \in W_n^l} F_w^l(V_0)$ for each $n \in \mathbb{N}$, so that $\{V_n^l\}_{n=0}^{\infty}$ is a strictly increasing sequence of finite subsets of K^l and $\bigcup_{n=0}^{\infty} V_n^l$ is dense in K^l . In particular, for each $l \in \mathbb{N} \setminus \{1\}$ we let $\mathbf{l}_l := (l)_{n=1}^{\infty}$ denote the constant sequence with value l , set $K^{\mathbf{l}} := K^{l_i}$ and $V_n^{\mathbf{l}} := V_n^{l_i}$ for $n \in \mathbb{N} \cup \{0\}$, and call $K^{\mathbf{l}}$ the N -dimensional level- l Sierpiński gasket, which is exactly self-similar in the sense that $K^{\mathbf{l}} = \bigcup_{i \in S_l} F_i^{\mathbf{l}}(K^{\mathbf{l}})$ (see Figure 1).

As discussed in [13, 25, 26] (see also [36], Part 4), we can define a canonical MMD space $(K^{\mathbf{l}}, d_l, m_l, \mathcal{E}^{\mathbf{l}}, \mathcal{F}_l)$ over $K^{\mathbf{l}}$ with the metric d_l geodesic, as follows. First, we define $d_l : K^{\mathbf{l}} \times K^{\mathbf{l}} \rightarrow [0, \infty)$ by

$$(5.3) \quad d_l(x, y) := \inf\{\text{Length}(\gamma) \mid \gamma : [0, 1] \rightarrow K^{\mathbf{l}}, \gamma \text{ is continuous, } \gamma(0) = x, \gamma(1) = y\},$$

where $\text{Length}(\gamma)$ denotes the Euclidean length of γ , that is, the total variation of γ as an \mathbb{R}^N -valued map. Then, it is easy to see by following [13], Proof of Lemma 2.4, that d_l is a geodesic metric on $K^{\mathbf{l}}$ which is bi-Lipschitz equivalent to the restriction to $K^{\mathbf{l}}$ of the Euclidean metric on \mathbb{R}^N . Next, the standard measure-theoretic arguments immediately show that there exists a unique Borel probability measure m_l on $K^{\mathbf{l}}$ such that

$$(5.4) \quad m_l(F_w^{\mathbf{l}}(K^{\mathbf{l}})) = \frac{1}{M_n^{\mathbf{l}}} \quad \text{for any } n \in \mathbb{N} \text{ and any } w \in W_n^{\mathbf{l}},$$

where $M_n^l := (\#S_{l_1}) \cdots (\#S_{l_n})$, and then m_l is clearly a Radon measure on K^l with full support. The measure m_l can be considered as the “uniform distribution on K^l .”

The Dirichlet form $(\mathcal{E}^l, \mathcal{F}_l)$ is constructed as the “inductive limit” of a certain canonical sequence of discrete Dirichlet forms on the finite sets $\{V_n^l\}_{n=0}^\infty$ by the standard method presented in [35], Chapter 3 (see also [3], Sections 6 and 7). We start with defining a non-negative definite symmetric bilinear form $\mathcal{E}^0 : \mathbb{R}^{V_0} \times \mathbb{R}^{V_0} \rightarrow \mathbb{R}$ on $\mathbb{R}^{V_0} = \mathbb{R}^{V_0^l}$ by

$$(5.5) \quad \mathcal{E}^0(f, g) := \frac{1}{2} \sum_{j,k=0}^N (f(q_j) - f(q_k))(g(q_j) - g(q_k)), \quad f, g \in \mathbb{R}^{V_0}.$$

We would like to define a bilinear form $\mathcal{E}^{l,n}$ on $\mathbb{R}^{V_n^l}$ for each $n \in \mathbb{N}$ as the sum of the copies of (5.5) on $\{F_w^l(V_0)\}_{w \in W_n^l}$ and take their limit as $n \rightarrow \infty$, but for the existence of their limit they actually need to be multiplied by certain scaling factors given as follows. For each $l \in \mathbb{N} \setminus \{1\}$, the Euclidean-geometric symmetry of $V_0 = V_0^l$ and V_1^l immediately implies the existence of a unique $r_l \in (0, \infty)$ such that, for any $f \in \mathbb{R}^{V_0}$,

$$(5.6) \quad \min \left\{ \sum_{i \in S_l} \mathcal{E}^0(g \circ F_i^l|_{V_0}, g \circ F_i^l|_{V_0}) \mid g \in \mathbb{R}^{V_1^l}, g|_{V_0} = f \right\} = r_l \mathcal{E}^0(f, f),$$

and $r_l \in (0, 1)$ by [35], Corollary 3.1.9. Then, setting $\mathcal{E}^{l,0} := \mathcal{E}^0$ and defining for each $n \in \mathbb{N}$ a non-negative definite symmetric bilinear form $\mathcal{E}^{l,n} : \mathbb{R}^{V_n^l} \times \mathbb{R}^{V_n^l} \rightarrow \mathbb{R}$ on $\mathbb{R}^{V_n^l}$ by

$$(5.7) \quad \mathcal{E}^{l,n}(f, g) := \frac{1}{R_n^l} \sum_{w \in W_n^l} \mathcal{E}^0(f \circ F_w^l|_{V_0}, g \circ F_w^l|_{V_0}), \quad f, g \in \mathbb{R}^{V_n^l},$$

where $R_n^l := r_{l_1} \cdots r_{l_n}$, we easily see from (5.6) and (5.2) that, for any $n \in \mathbb{N}$ and any $f \in \mathbb{R}^{V_{n-1}^l}$,

$$(5.8) \quad \min \{ \mathcal{E}^{l,n}(g, g) \mid g \in \mathbb{R}^{V_n^l}, g|_{V_{n-1}^l} = f \} = \mathcal{E}^{l,n-1}(f, f).$$

The equality (5.8) allows us to take the “inductive limit” of $\{\mathcal{E}^{l,n}\}_{n=0}^\infty$, that is, to define a linear subspace \mathcal{F}_l of $\mathcal{C}(K^l)$ and a non-negative definite symmetric bilinear form $\mathcal{E}^l : \mathcal{F}_l \times \mathcal{F}_l \rightarrow \mathbb{R}$ on \mathcal{F}_l by

$$(5.9) \quad \mathcal{F}_l := \left\{ f \in \mathcal{C}(K^l) \mid \lim_{n \rightarrow \infty} \mathcal{E}^{l,n}(f|_{V_n^l}, f|_{V_n^l}) < \infty \right\},$$

$$(5.10) \quad \mathcal{E}^l(f, g) := \lim_{n \rightarrow \infty} \mathcal{E}^{l,n}(f|_{V_n^l}, g|_{V_n^l}) \in \mathbb{R}, \quad f, g \in \mathcal{F}_l,$$

where $\{\mathcal{E}^{l,n}(f|_{V_n^l}, f|_{V_n^l})\}_{n=0}^\infty \subset [0, \infty)$ is non-decreasing by (5.8) and, hence, has a limit in $[0, \infty]$ for any $f \in \mathcal{C}(K^l)$. Then, exactly the same arguments as in [36], Chapter 22, show that $(\mathcal{E}^l, \mathcal{F}_l)$ is a local regular resistance form on K^l in the sense of [36], Chapters 3, 6 and 7, with its resistance metric giving the same topology as d_l , and is thereby a strongly local, regular symmetric Dirichlet form on $L^2(K^l, m_l)$ by [36], Theorem 9.4.

For the present MMD space $(K^l, d_l, m_l, \mathcal{E}^l, \mathcal{F}_l)$, it turns out that the right choice of a space-time scale function Ψ is the homeomorphism $\Psi_l : [0, \infty) \rightarrow [0, \infty)$ defined by

$$(5.11) \quad \Psi_l(s) := \begin{cases} \frac{(L_n^l s)^{\beta_{l_n}}}{T_n^l} & \text{if } n \in \mathbb{N} \text{ and } s \in [(L_n^l)^{-1}, (L_{n-1}^l)^{-1}], \\ s^{\beta_l^{\min}} & \text{if } s \in [1, \infty), \end{cases}$$

where $\beta_l := \log_l(\#S_l/r_l)$ for $l \in \mathbb{N} \setminus \{1\}$, $\beta_l^{\min} := \min_{n \in \mathbb{N}} \beta_{l_n}$, $L_0^l := T_0^l := 1$, $L_n^l := l_1 \cdots l_n$ and $T_n^l := M_n^l/R_n^l$ for $n \in \mathbb{N}$, so that $\beta_l \in (1, \infty)$ for any $l \in \mathbb{N} \setminus \{1\}$ by $\#S_l \geq l + 1$ and

$r_l < 1$ and, hence, also $\beta_l^{\min} \in (1, \infty)$ by $\sup_{n \in \mathbb{N}} l_n < \infty$. It is immediate from (5.11) and $\sup_{n \in \mathbb{N}} l_n < \infty$ that Ψ_l satisfies Assumption 2.2 with β_l^{\min} and $\beta_l^{\max} := \max_{n \in \mathbb{N}} \beta_{l_n}$ in place of β_0 and β_1 , respectively. In particular, if $l \in \mathbb{N} \setminus \{1\}$ and \mathbf{l} is the constant sequence $\mathbf{l}_l = (l)_{n=1}^\infty$ with value l , then $\Psi_{\mathbf{l}_l}(s) = s^{\beta_l}$ for any $s \in [0, \infty)$.

The following result is essentially a special case of [13], Theorem 4.5 and Lemma 5.3, and it is concluded from [36], Theorem 15.10, by proving the conditions $(DM1)_{\Psi_l, d_l}$ and $(DM2)_{\Psi_l, d_l}$ defined in [36], Definition 15.9(3),(4), which can be achieved in exactly the same way as [36], Chapter 24:

THEOREM 5.1. $(K^l, d_l, m_l, \mathcal{E}^l, \mathcal{F}_l)$ satisfies **VD** and **HKE**(Ψ_l).

COROLLARY 5.2. $(K^l, d_l, m_l, \mathcal{E}^l, \mathcal{F}_l)$ satisfies **VD**, **PI**(Ψ_l) and **CS**(Ψ_l).

PROOF. This is immediate from Assumption 2.2 for Ψ_l , Theorems 5.1 and 2.8. \square

Thus, our present MMD space $(K^l, d_l, m_l, \mathcal{E}^l, \mathcal{F}_l)$ will prove to fall into the situation of Theorem 2.13(a) once Ψ_l has been shown to satisfy (2.15), which is indeed the case, as stated in Proposition 5.3 below. Note that this proposition is not entirely obvious since it seems impossible to calculate the values of r_l and β_l explicitly for general $l \in \mathbb{N} \setminus \{1\}$.

PROPOSITION 5.3. $\beta_l > 2$ for any $l \in \mathbb{N} \setminus \{1\}$. In particular, $\beta_l^{\min} > 2$ and Ψ_l satisfies (2.15).

PROOF. Let $l \in \mathbb{N} \setminus \{1\}$, consider the case where \mathbf{l} is the constant sequence $\mathbf{l}_l = (l)_{n=1}^\infty$ with value l , that is, that of the N -dimensional level- l Sierpiński gasket K^l , set $d_l := d_{l_l}$, $m_l := m_{l_l}$ and $(\mathcal{E}^l, \mathcal{F}_l) := (\mathcal{E}^{l_l}, \mathcal{F}_{l_l})$, and let $\Gamma_l(f, f)$ denote the energy measure of $f \in \mathcal{F}_l$ associated with $(K^l, d_l, m_l, \mathcal{E}^l, \mathcal{F}_l)$. Then, by [31], Theorem 2, we have $\Gamma_l(f, f) \perp m_l$ for all $f \in \mathcal{F}_l$, which together with Corollary 5.2 for $\mathbf{l} = \mathbf{l}_l$ and Theorem 2.13(b) implies that $\limsup_{s \downarrow 0} s^{\beta_l - 2} = \limsup_{s \downarrow 0} s^{-2} \Psi_{l_l}(s) = 0$ since only one of $\Gamma_l(f, f) \perp m_l$ and $\Gamma_l(f, f) \ll m_l$ can hold for each $f \in \mathcal{F}_l \setminus \mathbb{R} \mathbb{1}_{K^l}$ by $\Gamma_l(f, f)(K^l) = \mathcal{E}^l(f, f) > 0$. Thus, $\beta_l > 2$, which in combination with $\sup_{n \in \mathbb{N}} l_n < \infty$ yields $\beta_l^{\min} > 2$. Now, (2.5) for Ψ_l with $\beta_l^{\min} > 2$ in place of β_0 shows (2.15) for Ψ_l .

An alternative elementary proof of $\beta_l > 2$, which is a slight modification of that suggested by an anonymous referee, is based on the specific structure of V_1^l and \mathcal{E}^0 and goes as follows. Define $f : \mathbb{R}^N \rightarrow \mathbb{R}$ by $f(q_0 + \sum_{k=1}^N a_k(q_k - q_0)) := \sum_{k=1}^N a_k$ for each $(a_k)_{k=1}^N \in \mathbb{R}^N$. Then, since $f \circ F_i^l = l^{-1} f + f(q_i^l) \mathbb{1}_{\mathbb{R}^N}$ for any $i \in S_l$ and $g := f|_{V_1^l}$ is easily seen not to attain the minimum in the left-hand side of (5.6) with $f|_{V_0}$ in place of f , from (5.5) and (5.6) we obtain

$$0 < \mathcal{E}^0(f|_{V_0}, f|_{V_0}) < \frac{1}{r_l} \sum_{i \in S_l} \mathcal{E}^0(f \circ F_i^l|_{V_0}, f \circ F_i^l|_{V_0}) = \frac{\#S_l}{r_l} l^{-2} \mathcal{E}^0(f|_{V_0}, f|_{V_0}),$$

whence $\#S_l/r_l > l^2$ and $\beta_l = \log_l(\#S_l/r_l) > 2$. \square

REMARK 5.4. The alternative proof of $\beta_l > 2$ in the second paragraph of the proof of Proposition 5.3 above can be adapted to give an elementary proof of the counterpart of $\beta_l > 2$ for the canonical Dirichlet form on Sierpiński carpets; see [32] for details.

Finally, applying Theorem 2.13(a) to $(K^l, d_l, m_l, \mathcal{E}^l, \mathcal{F}_l)$ on the basis of Corollary 5.2 and Proposition 5.3, we arrive at the following result.

THEOREM 5.5. Let $\Gamma_l(f, f)$ denote the energy measure of $f \in \mathcal{F}_l$ associated with the MMD space $(K^l, d_l, m_l, \mathcal{E}^l, \mathcal{F}_l)$. Then, $\Gamma_l(f, f) \perp m_l$ for all $f \in \mathcal{F}_l$.

APPENDIX: MISCELLANEOUS FACTS

In this appendix we state and prove a couple of miscellaneous facts utilized in the proof of Theorem 2.13(a). The former (Proposition A.1) achieves the equivalence between the chain condition and the bi-Lipschitz equivalence to a geodesic metric and allows us to reduce the proof to the case where the metric is geodesic. The latter (Proposition A.4) is a straightforward extension, to a general metric measure space satisfying VD, of the classical Lebesgue differentiation theorem ([45], Theorem 7.13) for singular measures on the Euclidean space, and here we give a complete proof of it for the reader’s convenience.

A.1. Chain condition and bi-Lipschitz equivalence to a geodesic metric.

PROPOSITION A.1. *Let (X, d) be a metric space such that $B(x, r) := \{y \in X \mid d(x, y) < r\}$ is relatively compact in X for any $(x, r) \in X \times (0, \infty)$. Then, the following are equivalent:*

- (a) (X, d) satisfies the chain condition.
- (b) There exists a geodesic metric ρ on X which is bi-Lipschitz equivalent to d , that is, satisfies $C^{-1}d(x, y) \leq \rho(x, y) \leq Cd(x, y)$ for any $x, y \in X$ for some $C \in [1, \infty)$.

We need the following definition and lemma for the proof of Proposition A.1.

DEFINITION A.2. Let (X, d) be a metric space, and let $x, y \in X$. We say that $z \in X$ is a *midpoint* in (X, d) between x, y if $d(x, z) = d(y, z) = d(x, y)/2$.

LEMMA A.3. *Let (X, d) be a metric space. If $\varepsilon > 0$ and $x, y \in X$ satisfy $d_\varepsilon(x, y) < \infty$, then there exists $z \in X$ such that $|2d_\varepsilon(x, z) - d_\varepsilon(x, y)| \leq 5\varepsilon$ and $|2d_\varepsilon(y, z) - d_\varepsilon(x, y)| \leq 5\varepsilon$.*

PROOF. By the definition (2.11) of $d_\varepsilon(x, y)$ and the assumption $d_\varepsilon(x, y) < \infty$, we can take an ε -chain $\{x_i\}_{i=0}^n$ in (X, d) from x to y such that

$$(A.1) \quad \sum_{i=0}^{n-1} d(x_i, x_{i+1}) \geq d_\varepsilon(x, y) \geq \sum_{i=0}^{n-1} d(x_i, x_{i+1}) - \varepsilon.$$

Let $k \in \{1, \dots, n\}$ be the smallest integer such that

$$(A.2) \quad \sum_{i=0}^{k-1} d(x_i, x_{i+1}) \geq \frac{1}{2} \sum_{i=0}^{n-1} d(x_i, x_{i+1}).$$

We claim that $z := x_k$ satisfies the desired inequalities. Indeed, by $d(x_{k-1}, x_k) < \varepsilon$ and the minimality of k among the elements of $\{1, \dots, n\}$ with the property (A.2), we have

$$(A.3) \quad \sum_{i=0}^{k-1} d(x_i, x_{i+1}) \geq \frac{1}{2} \sum_{i=0}^{n-1} d(x_i, x_{i+1}) > \sum_{i=0}^{k-1} d(x_i, x_{i+1}) - \varepsilon$$

and

$$(A.4) \quad \frac{1}{2} \sum_{i=0}^{n-1} d(x_i, x_{i+1}) \geq \sum_{i=k}^{n-1} d(x_i, x_{i+1}) > \frac{1}{2} \sum_{i=0}^{n-1} d(x_i, x_{i+1}) - \varepsilon.$$

Noting that d_ε satisfies the triangle inequality, we see from the lower inequality in (A.1) and the definition (2.11) of d_ε that

$$\sum_{i=0}^{n-1} d(x_i, x_{i+1}) - \varepsilon \leq d_\varepsilon(x, y) \leq d_\varepsilon(x, z) + d_\varepsilon(y, z) \leq \sum_{i=0}^{n-1} d(x_i, x_{i+1}),$$

which yields

$$(A.5) \quad -\varepsilon \leq \left(d_\varepsilon(x, z) - \sum_{i=0}^{k-1} d(x_i, x_{i+1}) \right) + \left(d_\varepsilon(y, z) - \sum_{i=k}^{n-1} d(x_i, x_{i+1}) \right) \leq 0.$$

Since both of the terms in (A.5) are non-positive by (2.11), we obtain

$$(A.6) \quad \left| d_\varepsilon(x, z) - \sum_{i=0}^{k-1} d(x_i, x_{i+1}) \right| \leq \varepsilon \quad \text{and} \quad \left| d_\varepsilon(y, z) - \sum_{i=k}^{n-1} d(x_i, x_{i+1}) \right| \leq \varepsilon.$$

Now, it follows from the triangle inequality, (A.6), (A.3) and (A.1) that

$$\begin{aligned} \left| d_\varepsilon(x, z) - \frac{1}{2}d_\varepsilon(x, y) \right| &\leq \left| d_\varepsilon(x, z) - \sum_{i=0}^{k-1} d(x_i, x_{i+1}) \right| + \left| \sum_{i=0}^{k-1} d(x_i, x_{i+1}) - \frac{1}{2} \sum_{i=0}^{n-1} d(x_i, x_{i+1}) \right| \\ &\quad + \frac{1}{2} \left| d_\varepsilon(x, y) - \sum_{i=0}^{n-1} d(x_i, x_{i+1}) \right| \\ &\leq \varepsilon + \varepsilon + \frac{\varepsilon}{2} = \frac{5}{2}\varepsilon, \end{aligned}$$

and in the same way from (A.6), (A.4) and (A.1) that $|2d_\varepsilon(y, z) - d_\varepsilon(x, y)| \leq 5\varepsilon$. \square

PROOF OF PROPOSITION A.1. (b) \Rightarrow (a): Let $\varepsilon > 0$ and $x, y \in X$. Note that $\rho_{C^{-1}\varepsilon}(x, y) = \rho(x, y)$ by the definition (2.11) of $\rho_{C^{-1}\varepsilon}(x, y)$ and the geodesic property of ρ . Since $C^{-1}\rho \leq d \leq C\rho$ by (b), each $C^{-1}\varepsilon$ -chain in (X, ρ) from x to y is also an ε -chain in (X, d) from x to y , and, therefore,

$$d_\varepsilon(x, y) \leq C\rho_{C^{-1}\varepsilon}(x, y) = C\rho(x, y) \leq C^2d(x, y).$$

(a) \Rightarrow (b): Note that, for each $x, y \in X$, $(0, \infty) \ni \varepsilon \mapsto d_\varepsilon(x, y)$ is a non-increasing function and, hence, the limit $\rho(x, y) := \lim_{\varepsilon \downarrow 0} d_\varepsilon(x, y)$ exists. Since d_ε is a metric on X and $d \leq d_\varepsilon \leq Cd$ for any $\varepsilon > 0$ for some $C \geq 1$ by (a), ρ is a metric on X , satisfies $d \leq \rho \leq Cd$ and is thus bi-Lipschitz equivalent to d , which in particular yields the completeness of the metric space (X, ρ) , thanks to that of (X, d) implied by the assumed relative compactness of $B(x, r)$ in X for all $(x, r) \in X \times (0, \infty)$.

It remains to prove that (X, ρ) is geodesic, and by its completeness and [16], Proof of Theorem 2.4.16, it suffices to show that, for any $x, y \in X$, there exists a midpoint $z \in X$ in (X, ρ) between x, y . To this end, let $x, y \in X$ and, noting Lemma A.3, for each $n \in \mathbb{N}$ choose $z_n \in X$ so that

$$(A.7) \quad |2d_{n^{-1}}(x, z_n) - d_{n^{-1}}(x, y)| \leq 5n^{-1} \quad \text{and} \quad |2d_{n^{-1}}(y, z_n) - d_{n^{-1}}(x, y)| \leq 5n^{-1}.$$

Then, since $\{z_n\}_{n=1}^\infty$ is included in the relatively compact subset $B(x, Cd(x, y) + 5)$ of X by (A.7) and $d \leq d_{n^{-1}} \leq Cd$, there exists a subsequence $\{z_{n_k}\}_{k=1}^\infty$ of $\{z_n\}_{n=1}^\infty$ converging to some $z \in X$ in (X, d) . Now, for any $k \in \mathbb{N}$, by the triangle inequality for $d_{n_k^{-1}}$, (A.7), $d_{n_k^{-1}} \leq Cd$ and $\lim_{j \rightarrow \infty} d(z, z_{n_j}) = 0$ we obtain

$$\begin{aligned} |2d_{n_k^{-1}}(x, z) - d_{n_k^{-1}}(x, y)| &\leq 2|d_{n_k^{-1}}(x, z) - d_{n_k^{-1}}(x, z_{n_k})| + |2d_{n_k^{-1}}(x, z_{n_k}) - d_{n_k^{-1}}(x, y)| \\ &\leq 2d_{n_k^{-1}}(z, z_{n_k}) + 5n_k^{-1} \leq 2Cd(z, z_{n_k}) + 5n_k^{-1} \xrightarrow{k \rightarrow \infty} 0, \end{aligned}$$

which yields $2\rho(x, z) - \rho(x, y) = \lim_{k \rightarrow \infty} (2d_{n_k^{-1}}(x, z) - d_{n_k^{-1}}(x, y)) = 0$. Exactly the same argument also shows $2\rho(y, z) - \rho(x, y) = 0$, proving that z is a midpoint in (X, ρ) between x, y and thereby completing the proof. \square

A.2. Lebesgue’s differentiation theorem for singular measures.

PROPOSITION A.4 (Cf. [45], Theorem 7.13). *Let (X, d, m) be a metric measure space satisfying VD, let ν be a Radon measure on X , that is, a Borel measure on X which is finite on any compact subset of X , and assume $\nu \perp m$. Then,*

$$(A.8) \quad \lim_{r \downarrow 0} \frac{\nu(B(x, r))}{m(B(x, r))} = 0 \quad \text{for } m\text{-a.e. } x \in X.$$

PROOF. By taking $x_0 \in X$ and considering $\nu(\cdot \cap B(x_0, n))$ for each $n \in \mathbb{N}$ instead of ν , we may assume without loss of generality that $\nu(X) < \infty$. For each $x \in X$, we define

$$(Q_r \nu)(x) := \frac{\nu(B(x, r))}{m(B(x, r))}, \quad r \in (0, \infty), \quad (M\nu)(x) := \sup_{r \in (0, \infty)} (Q_r \nu)(x),$$

$$(\overline{D}\nu)(x) := \limsup_{r \downarrow 0} (Q_r \nu)(x).$$

Since $(0, \infty) \ni r \mapsto m(B(x, r))$ and $(0, \infty) \ni r \mapsto \nu(B(x, r))$ are left-continuous, we have

$$(A.9) \quad (M\nu)(x) = \sup_{r \in (0, \infty) \cap \mathbb{Q}} (Q_r \nu)(x) \quad \text{and} \quad (\overline{D}\nu)(x) = \lim_{n \rightarrow \infty} \sup_{r \in (0, n^{-1}) \cap \mathbb{Q}} (Q_r \nu)(x).$$

An easy application of the triangle inequality shows that the functions $X \ni x \mapsto m(B(x, r))$ and $X \ni x \mapsto \nu(B(x, r))$ are lower semi-continuous and, hence, Borel measurable. Thus, $X \ni x \mapsto (Q_r \nu)(x)$ is also Borel measurable and so are $X \ni x \mapsto (M\nu)(x)$ and $X \ni x \mapsto (\overline{D}\nu)(x)$ by (A.9). Let C_D denote the constant in VD. Using the estimate $m(B(x, 3r)) \leq C_D^2 m(B(x, r))$ for $(x, r) \in X \times (0, \infty)$ and the arguments in [45], Proofs of Lemma 7.3 and Theorem 7.4, together with the inner regularity of m (see, e.g., [45], Theorem 2.18), we obtain the maximal inequality

$$(A.10) \quad m((M\nu)^{-1}((\lambda, \infty])) \leq C_D^2 \lambda^{-1} \nu(X) \quad \text{for all } \lambda > 0.$$

Let $\lambda, \varepsilon > 0$. Since $\nu \perp m$, the inner regularity of ν (see, e.g., [45], Theorem 2.18) implies the existence of a compact subset K of X such that $m(K) = 0$ and $\nu(K) > \nu(X) - \varepsilon$. Set $\nu_1 := \nu(\cdot \cap K)$ and $\nu_2 := \nu(\cdot \cap (X \setminus K))$, so that $\nu = \nu_1 + \nu_2$ and $\nu_2(X) < \varepsilon$. For every $x \in X \setminus K$, we have

$$(\overline{D}\nu)(x) = (\overline{D}\nu_2)(x) \leq (M\nu_2)(x),$$

hence,

$$(\overline{D}\nu)^{-1}((\lambda, \infty]) \subset K \cup (M\nu_2)^{-1}((\lambda, \infty])$$

and, therefore, it follows from $m(K) = 0$, (A.10) for the measure ν_2 and $\nu_2(X) < \varepsilon$ that

$$(A.11) \quad m((\overline{D}\nu)^{-1}((\lambda, \infty])) \leq m((M\nu_2)^{-1}((\lambda, \infty])) \leq C_D^2 \lambda^{-1} \nu_2(X) < C_D^2 \lambda^{-1} \varepsilon.$$

Since (A.11) holds for every $\lambda, \varepsilon > 0$, we conclude that $\overline{D}\nu = 0$ m -a.e., which is (A.8). \square

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