

## WEIGHTED COMPOSITION OPERATORS BETWEEN $H^\infty$ AND $\alpha$ -BLOCH SPACES IN THE UNIT BALL

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**Abstract.** The boundedness and compactness of the weighted composition operator between  $H^\infty$  and the  $\alpha$ -Bloch space  $\mathcal{B}^\alpha$  on the unit ball are discussed in this paper.

### 1. INTRODUCTION

Let  $B = \{z \in \mathbb{C}^n : |z| < 1\}$  be the open unit ball in  $\mathbb{C}^n$ , and let  $d\nu$  denote the normalized Lebesgue area measure on the unit ball  $B$  such that  $\nu(B) = 1$ . Let  $H(B)$  denote the class of all holomorphic functions on the unit ball and  $H^\infty = H^\infty(B)$  the space of all bounded holomorphic functions on the unit ball.

For a holomorphic function  $f$  we denote

$$\nabla f = \left( \frac{\partial f}{\partial z_1}, \dots, \frac{\partial f}{\partial z_n} \right).$$

For  $f \in H(B)$  with the Taylor expansion  $f(z) = \sum_{|\beta| \geq 0} a_\beta z^\beta$ , let  $\Re f(z) = \sum_{|\beta| \geq 0} |\beta| a_\beta z^\beta$  be the radial derivative of  $f$ , where  $\beta = (\beta_1, \beta_2, \dots, \beta_n)$  is a multi-index and  $z^\beta = z_1^{\beta_1} \cdots z_n^{\beta_n}$ . It is well known that

$$\Re f(z) = \sum_{j=1}^n z_j \frac{\partial f}{\partial z_j}(z),$$

see, for example [12].

Let  $\alpha > 0$ . The  $\alpha$ -Bloch space  $\mathcal{B}^\alpha = \mathcal{B}^\alpha(B)$  is the space of all holomorphic functions  $f$  on  $B$  such that

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$$b_\alpha(f) = \sup_{z \in B} (1 - |z|^2)^\alpha |\Re f(z)| < \infty.$$

It is clear that  $\mathcal{B}^\alpha$  is a normed space under the norm  $\|f\|_{\mathcal{B}^\alpha} = |f(0)| + b_\alpha(f)$ . It is well known (see, for example [12]) that  $f \in \mathcal{B}^\alpha(B)$  if and only if

$$(1) \quad a_\alpha(f) = \sup_{z \in B} (1 - |z|^2)^\alpha |\nabla f(z)| < \infty.$$

Moreover, in [1] it was shown that the quantities

$$\|f\|_{\mathcal{B}^\alpha} \quad \text{and} \quad |f(0)| + a_\alpha(f)$$

are equivalent.

Let  $\mathcal{B}_0^\alpha$  denote the subspace of  $\mathcal{B}^\alpha$  consisting of those  $f \in \mathcal{B}^\alpha$  for which

$$(1 - |z|^2)^\alpha |\Re f(z)| \rightarrow 0 \quad \text{as} \quad |z| \rightarrow 1.$$

This space is called the little  $\alpha$ -Bloch space.

Let  $\psi$  be a holomorphic function on the open unit ball. Define a linear operator  $\psi C_\varphi$  on  $H(B)$ , called weighted composition operator, by

$$(2) \quad (\psi C_\varphi f)(z) = \psi(z) \cdot (f \circ \varphi)(z),$$

where  $f \in H(B)$ . We can regard this operator as a generalization of a multiplication operator and a composition operator. It is interesting to provide a function theoretic characterization when  $\psi$  and  $\varphi$  induce a bounded or compact weighted composition operator on various spaces. The book [3] contains much information on this topic.

In [4], Ohno has characterized the boundedness and compactness of weighted composition operators between  $H^\infty$  and the Bloch space  $\mathcal{B}$  on the unit disk. In Theorem 1 of [4], Ohno gave the following Proposition: The operator  $\psi C_\varphi : \mathcal{B} \rightarrow H^\infty$  is compact if and only if  $\psi \in H^\infty$  and for every sequence  $(z_n)_{n \in \mathbb{N}}$  in the unit disk  $U$  such that  $\lim_{n \rightarrow \infty} |\varphi(z_n)| = 1$ ,  $\lim_{n \rightarrow \infty} \psi(z_n) = 0$ . However, in [5] we showed that this result is in fact wrong.

In the setting of the unit ball, some necessary and sufficient conditions for a composition operator to be compact on the Bloch space and the little Bloch space are given in [8]. In the setting of the unit polydisk, we have given some necessary and sufficient conditions for a weighted composition operator to be bounded or compact from  $H^\infty$  to the Bloch space in [5] (see, also papers [2] and [10]).

In this paper, we study the boundedness and compactness of the weighted composition operator between  $H^\infty$  and the  $\alpha$ -Bloch space  $\mathcal{B}^\alpha$ , generalizing some results of [4]. Moreover, our method shows how one may improve Theorem 1 of [4].

Throughout this paper, constants are denoted by  $C$ , they are positive and may differ from one occurrence to the other. The notation  $a \preceq b$  means that there is a positive constant  $C$  such that  $a \leq Cb$ . If both  $a \preceq b$  and  $b \preceq a$  hold, then we say that  $a \asymp b$ .

2. THE BOUNDEDNESS AND COMPACTNESS OF  $\psi C_\varphi : H^\infty \rightarrow \mathcal{B}^\alpha$

In this section, we will discuss the boundedness and compactness of weighted composition operators  $\psi C_\varphi : \mathcal{B}^\alpha$  (or  $\mathcal{B}_0^\alpha$ )  $\rightarrow H^\infty$ .

The following lemma was proven in [9].

**Lemma 1.** *Let  $f \in \mathcal{B}^\alpha(B)$ ,  $0 < \alpha < \infty$ . Then*

$$|f(z)| \leq C \begin{cases} \|f\|_{\mathcal{B}^\alpha} & , \alpha \in (0, 1) \\ \|f\|_{\mathcal{B}^\alpha} \ln \frac{2}{1 - |z|^2} & , \alpha = 1 \\ \frac{\|f\|_{\mathcal{B}^\alpha}}{(1 - |z|^2)^{\alpha-1}} & , \alpha > 1 \end{cases} ,$$

for some  $C > 0$  independent of  $f$ .

The next lemma can be proved in a standard way (see, for example, Theorem 3.11 in [3]).

**Lemma 2.** *Let  $X$  and  $Y$  be  $\mathcal{B}^\alpha$  or  $H^\infty$ . Then the operator  $\psi C_\varphi : X \rightarrow Y$  is compact if and only if  $\psi C_\varphi : X \rightarrow Y$  is bounded and for any bounded sequence  $(f_k)_{k \in \mathbb{N}}$  in  $X$  which converges to zero uniformly on compact subsets of  $B$ ,  $\psi C_\varphi f_k \rightarrow 0$  in  $Y$  as  $k \rightarrow \infty$ .*

The next lemma which follows is standard, but we will give a proof for the benefit of the reader.

**Lemma 3.** *If  $f \in H^\infty$ , then there exists a constant  $C$  such that  $\|f\|_{\mathcal{B}} \leq C\|f\|_\infty$ .*

*Proof.* By Proposition 3.1.3 of [7], we have

$$f(z) = \int_B \frac{f(w)}{(1 - \langle z, w \rangle)^{n+1}} d\nu(w).$$

From this and by [7, Proposition 1.4.10], we have that

$$\begin{aligned} |\Re f(z)| &= \left| \int_B \frac{(n+1)f(w)\langle z, w \rangle}{(1 - \langle z, w \rangle)^{n+2}} d\nu(w) \right| \\ &\leq C \int_B \frac{\|f\|_\infty}{|1 - \langle z, w \rangle|^{n+2}} d\nu(w) \leq C \frac{\|f\|_\infty}{1 - |z|^2}. \end{aligned}$$

From this and since  $|f(0)| \leq \|f\|_\infty$ , we can obtain

$$\|f\|_{\mathcal{B}} = |f(0)| + \sup_{z \in B} |\Re f(z)|(1 - |z|^2) \leq C\|f\|_\infty.$$

**Theorem 1.** Let  $\varphi = (\varphi_1, \dots, \varphi_n)$  be a holomorphic self-map of  $B$  and  $\psi \in H(B)$ . Then the following statements are equivalent:

- (1)  $\psi C_\varphi : \mathcal{B}_0 \rightarrow H^\infty$  is a bounded operator;
- (2)  $\psi C_\varphi : \mathcal{B} \rightarrow H^\infty$  is a bounded operator;
- (3)

$$(3) \quad K := \sup_{z \in B} |\psi(z)| \ln \frac{2}{1 - |\varphi(z)|^2} < \infty.$$

(4) Moreover, if  $\psi C_\varphi : \mathcal{B} \rightarrow H^\infty$  is bounded, then

$$(4) \quad \|\psi C_\varphi\|_{\mathcal{B} \rightarrow H^\infty} \asymp \sup_{z \in B} |\psi(z)| \ln \frac{2}{1 - |\varphi(z)|^2}.$$

*Proof.* (2)  $\Rightarrow$  (1) is obvious.

(1)  $\Rightarrow$  (3). Suppose  $\psi C_\varphi : \mathcal{B}_0 \rightarrow H^\infty$  is a bounded operator. For  $\lambda \in B$ , put

$$(5) \quad f(z) = \ln \frac{2}{1 - \langle z, \varphi(\lambda) \rangle}.$$

Since  $f(0) = \ln 2$  and

$$\begin{aligned} (1 - |z|^2) |\Re f(z)| &\leq (1 - |z|^2) |\nabla f(z)| = (1 - |z|^2) \left| \frac{\varphi(\lambda)}{1 - \langle z, \varphi(\lambda) \rangle} \right| \\ &\leq \frac{(1 - |z|^2)}{|1 - \langle z, \varphi(\lambda) \rangle|} \leq 2, \end{aligned}$$

we get that  $\|f\|_{\mathcal{B}} \leq 2 + \ln 2$ .

On the other hand, we have

$$(1 - |z|^2) |\Re f(z)| \leq \frac{(1 - |z|^2)}{|1 - \langle z, \varphi(\lambda) \rangle|} \leq \frac{(1 - |z|^2)}{1 - |\varphi(\lambda)|} \rightarrow 0,$$

as  $|z| \rightarrow 1$ , hence  $f \in \mathcal{B}_0$ . Thus

$$(2 + \ln 2) \|\psi C_\varphi\|_{\mathcal{B} \rightarrow H^\infty} \geq \|\psi C_\varphi f\|_\infty = \sup_{z \in B} |\psi(z) f(\varphi(z))| \geq |\psi(\lambda)| \ln \frac{2}{1 - |\varphi(\lambda)|^2}.$$

Therefore

$$(6) \quad \sup_{z \in B} |\psi(z)| \ln \frac{2}{1 - |\varphi(z)|^2} \leq (2 + \ln 2) \|\psi C_\varphi\|_{\mathcal{B} \rightarrow H^\infty} < \infty.$$

(3)  $\Rightarrow$  (2). Assume that (3) holds. For any  $f \in \mathcal{B}$ , by Lemma 1, we have that

$$(7) \quad \begin{aligned} |(\psi C_\varphi f)(z)| &= |\psi(z)||f(\varphi(z))| \\ &\leq C|\psi(z)| \ln \frac{2}{1-|\varphi(z)|^2} \|f\|_{\mathcal{B}} \leq CK \|f\|_{\mathcal{B}}, \end{aligned}$$

for any  $z \in B$ . Taking the supremum in (7) over  $z \in B$ , it follows that

$$(8) \quad \|\psi C_\varphi f\|_\infty \leq CK \|f\|_{\mathcal{B}}.$$

Thus  $\psi C_\varphi : \mathcal{B} \rightarrow H^\infty$  is bounded. By (8), we get

$$(9) \quad \|\psi C_\varphi\|_{\mathcal{B} \rightarrow H^\infty} = \sup_{\|f\|_{\mathcal{B}} \leq 1} \|\psi C_\varphi f\|_\infty \leq \sup_{\|f\|_{\mathcal{B}} \leq 1} CK \|f\|_{\mathcal{B}} \leq CK.$$

Combining (6) and (9), we obtain (4). This completes the proof of the theorem.

**Theorem 2.** *Let  $\alpha \in (0, 1)$ ,  $\varphi = (\varphi_1, \dots, \varphi_n)$  be a holomorphic self-map of  $B$  and  $\psi \in H(B)$ . Then, the following statements are equivalent:*

- (1)  $\psi C_\varphi : \mathcal{B}_0^\alpha \rightarrow H^\infty$  is a bounded operator;
- (2)  $\psi C_\varphi : \mathcal{B}^\alpha \rightarrow H^\infty$  is a bounded operator;
- (3)  $\psi \in H^\infty$ .

Moreover, if  $\psi C_\varphi : \mathcal{B}^\alpha \rightarrow H^\infty$  is bounded, then

$$(10) \quad \|\psi C_\varphi\|_{\mathcal{B}^\alpha \rightarrow H^\infty} \asymp \|\psi\|_\infty.$$

*Proof.* (2)  $\Rightarrow$  (1) is obvious.

(1)  $\Rightarrow$  (3). Suppose  $\psi C_\varphi : \mathcal{B}_0^\alpha \rightarrow H^\infty$  is bounded. Choose  $f(z) = 1$ , then  $f \in \mathcal{B}_0^\alpha$  and  $\|f\|_{\mathcal{B}^\alpha} \leq 1$ . Thus

$$(11) \quad \|\psi\|_\infty = \|\psi C_\varphi f\|_\infty \leq \|\psi C_\varphi\|_{\mathcal{B}^\alpha \rightarrow H^\infty} \|f\|_{\mathcal{B}^\alpha} \leq \|\psi C_\varphi\|_{\mathcal{B}^\alpha \rightarrow H^\infty}.$$

Hence  $\psi \in H^\infty$ .

(3)  $\Rightarrow$  (2). Suppose  $\psi \in H^\infty$ , then for any  $f \in \mathcal{B}^\alpha$ , by Lemma 1, we have

$$(12) \quad |(\psi C_\varphi f)(z)| = |\psi(z)||f(\varphi(z))| \leq C|\psi(z)| \|f\|_{\mathcal{B}^\alpha} \leq C\|\psi\|_\infty \|f\|_{\mathcal{B}^\alpha},$$

where  $C$  depends only on  $\alpha$ . Taking the supremum in (12) over  $B$  we obtain

$$\|\psi C_\varphi f\|_\infty \leq C\|\psi\|_\infty \|f\|_{\mathcal{B}^\alpha},$$

from which the boundedness of  $\psi C_\varphi : \mathcal{B}^\alpha \rightarrow H^\infty$  follows.

From this and (11), we get

$$\|\psi C_\varphi\|_{\mathcal{B}^\alpha \rightarrow H^\infty} \asymp \|\psi\|_\infty,$$

finishing the proof of the theorem.

**Theorem 3.** *Let  $\alpha > 1$ ,  $\varphi = (\varphi_1, \dots, \varphi_n)$  be a holomorphic self-map of  $B$  and  $\psi \in H(B)$ . Then, the following statements are equivalent:*

- (1)  $\psi C_\varphi : \mathcal{B}_0^\alpha \rightarrow H^\infty$  is a bounded operator;
- (2)  $\psi C_\varphi : \mathcal{B}^\alpha \rightarrow H^\infty$  is a bounded operator;
- (3)

$$(13) \quad M_1 := \sup_{z \in B} \frac{|\psi(z)|}{(1 - |\varphi(z)|^2)^{\alpha-1}} < \infty.$$

Furthermore, if  $\psi C_\varphi : \mathcal{B}^\alpha \rightarrow H^\infty$  is bounded, then

$$(14) \quad \|\psi C_\varphi\|_{\mathcal{B}^\alpha \rightarrow H^\infty} \asymp \sup_{z \in B} \frac{|\psi(z)|}{(1 - |\varphi(z)|^2)^{\alpha-1}}.$$

*Proof.* (2)  $\Rightarrow$  (1) is obvious.

(1)  $\Rightarrow$  (3). Suppose  $\psi C_\varphi : \mathcal{B}_0^\alpha \rightarrow H^\infty$  is bounded. For  $\lambda \in B$ , let

$$f(z) = \frac{1}{(1 - \langle z, \varphi(\lambda) \rangle)^{\alpha-1}}.$$

It is clear that  $f \in \mathcal{B}^\alpha$  and that  $\|f\|_{\mathcal{B}^\alpha} \leq 2^\alpha(\alpha - 1) + 1$ . Moreover,

$$(1 - |z|^2)^\alpha |\nabla f(z)| \leq 2^\alpha(\alpha - 1) \frac{(1 - |z|^2)^\alpha}{(1 - |\varphi(\lambda)|)^\alpha} \rightarrow 0$$

as  $z \rightarrow \partial B$ . This implies that  $f \in \mathcal{B}_0^\alpha$ . Similar to the proof of “(1)  $\Rightarrow$  (3)” in Theorem 1, we have

$$(15) \quad \sup_{z \in B} \frac{|\psi(z)|}{(1 - |\varphi(z)|^2)^{\alpha-1}} \leq \sup_{z \in B} |\psi(z)f(\varphi(z))| \\ \leq (2^\alpha(\alpha - 1) + 1) \|\psi C_\varphi\|_{\mathcal{B}^\alpha \rightarrow H^\infty} < \infty,$$

hence (13) holds.

(3)  $\Rightarrow$  (2). Assume that (13) holds. Then, by Lemma 1, for every  $f \in \mathcal{B}^\alpha$  and  $z \in B$ , we obtain

$$|(\psi C_\varphi f)(z)| = |\psi(z)||f(\varphi(z))| \\ \leq C|\psi(z)|(1 - |\varphi(z)|^2)^{1-\alpha} \|f\|_{\mathcal{B}^\alpha} \leq CM_1 \|f\|_{\mathcal{B}^\alpha},$$

and consequently

$$(16) \quad \|\psi C_\varphi f\|_\infty \leq CM_1 \|f\|_{\mathcal{B}^\alpha}.$$

Thus  $\psi C_\varphi : \mathcal{B}^\alpha \rightarrow H^\infty$  is bounded.

Similar to the proof of Theorem 1, combining (15) and (16), we have

$$\|\psi C_\varphi\|_{\mathcal{B}^\alpha \rightarrow H^\infty} \asymp \sup_{z \in B} \frac{|\psi(z)|}{(1 - |\varphi(z)|^2)^{\alpha-1}}.$$

Next, we will discuss the compactness of the operator  $\psi C_\varphi : \mathcal{B}^\alpha \rightarrow H^\infty$  or  $\psi C_\varphi : \mathcal{B}_0^\alpha \rightarrow H^\infty$ .

**Theorem 4.** *Let  $\varphi = (\varphi_1, \dots, \varphi_n)$  be a holomorphic self-map of  $B$  and  $\psi \in H(B)$ . Then, the following statements are equivalent:*

- (1)  $\psi C_\varphi : \mathcal{B}_0 \rightarrow H^\infty$  is a compact operator;
- (2)  $\psi C_\varphi : \mathcal{B} \rightarrow H^\infty$  is a compact operator;
- (3)  $\psi \in H^\infty$  and

$$(17) \quad \lim_{|\varphi(z)| \rightarrow 1} |\psi(z)| \ln \frac{2}{1 - |\varphi(z)|^2} = 0.$$

*Proof.* (2)  $\Rightarrow$  (1) is obvious.

(1)  $\Rightarrow$  (3). Suppose  $\psi C_\varphi : \mathcal{B}_0 \rightarrow H^\infty$  is compact. We have that  $\psi = \psi C_\varphi 1 \in H^\infty$ . Assume that  $(z_k)_{k \in \mathbb{N}}$  is a sequence in  $B$  such that  $\lim_{k \rightarrow \infty} |\varphi(z_k)| = 1$ . Let

$$(18) \quad g_k(z) = \left[ \ln \frac{2}{1 - |\varphi(z_k)|^2} \right]^{-1} \left[ \ln \frac{2}{1 - \langle z, \varphi(z_k) \rangle} \right]^2.$$

For any  $z \in B$ ,

$$\Re g_k(z) = 2 \left[ \ln \frac{2}{1 - |\varphi(z_k)|^2} \right]^{-1} \left( \ln \frac{2}{1 - \langle z, \varphi(z_k) \rangle} \right) \frac{\langle z, \varphi(z_k) \rangle}{1 - \langle z, \varphi(z_k) \rangle}.$$

Thus for any  $z \in B$ ,

$$\begin{aligned} (1 - |z|^2) |\Re g_k(z)| &\leq 2(1 - |z|^2) \left| \frac{\ln \frac{2}{1 - \langle z, \varphi(z_k) \rangle}}{\ln \frac{2}{1 - |\varphi(z_k)|^2}} \right| \left| \frac{1}{1 - |z|} \right| \\ &\leq 4 \frac{C + \ln \frac{2}{1 - |\varphi(z_k)|}}{\ln \frac{2}{1 - |\varphi(z_k)|^2}} \leq C \end{aligned}$$

On the other hand,

$$|g_k(0)| \leq \left( \ln \frac{2}{1 - |\varphi(z_k)|^2} \right)^{-1} (\ln 2)^2 \leq \ln 2.$$

Thus  $\|g_k\|_{\mathcal{B}} \leq M$ , where  $M$  is a constant independent of  $k$ . It is obvious that  $g_k \in H(\overline{B})$ , thus  $g_k \in \mathcal{B}_0$  for every  $k \in \mathbb{N}$ . Since for  $|z| = r < 1$ , we have

$$|g_k(z)| = \frac{\left| \ln \frac{2}{1 - \langle z, \varphi(z_k) \rangle} \right|^2}{\ln \frac{2}{1 - |\varphi(z_k)|^2}} \leq \frac{\left( \ln \frac{2}{1-r} + C \right)^2}{\ln \frac{2}{1 - |\varphi(z_k)|^2}} \rightarrow 0 \quad (k \rightarrow \infty),$$

that is,  $g_k \rightarrow 0$  uniformly on compact subsets of  $B$  as  $k \rightarrow \infty$ . Since  $\psi C_\varphi$  is compact, by Lemma 2, we have  $\lim_{k \rightarrow \infty} \|\psi C_\varphi g_k\|_\infty = 0$ . On the other hand, the following estimate holds

$$\|\psi C_\varphi g_k\|_\infty = \sup_{z \in B} |\psi(z)| |g_k(\varphi(z))| \geq |\psi(z_k)| \ln \frac{2}{1 - |\varphi(z_k)|^2}.$$

Thus

$$\lim_{k \rightarrow \infty} |\psi(z_k)| \ln \frac{2}{1 - |\varphi(z_k)|^2} = 0,$$

which implies (17).

(3) $\Rightarrow$ (2). Suppose  $\psi \in H^\infty$  and condition (17) hold, then it is easy to see that

$$\sup_{z \in B} |\psi(z)| \ln \frac{2}{1 - |\varphi(z)|^2} < \infty.$$

By Theorem 1,  $\psi C_\varphi : \mathcal{B} \rightarrow H^\infty$  is bounded. Assume that  $(f_k)_{k \in \mathbb{N}}$  is a bounded sequence and  $f_k \rightarrow 0$  uniformly on compact subsets of  $B$ . Denote  $K = \sup_{k \in \mathbb{N}} \|f_k\|_{\mathcal{B}}$ . For any  $\epsilon > 0$ , by (17), there exists a  $\delta \in (0, 1)$  such that if  $\delta < |\varphi(z)| < 1$ ,

$$|\psi(z)| \ln \frac{2}{1 - |\varphi(z)|^2} < \epsilon.$$

Thus if  $|\varphi(z)| > \delta$ , for every  $k \in \mathbb{N}$ , we have

$$(19) \quad |\psi(z)| |f_k(\varphi(z))| \leq C \|f_k\|_{\mathcal{B}} |\psi(z)| \ln \frac{2}{1 - |\varphi(z)|^2} \leq CK\epsilon.$$

On the other hand, since  $f_k \rightarrow 0$  uniformly on the compact  $\{w : |w| \leq \delta\}$  as  $k \rightarrow \infty$ , there exists a  $k_0$  such that  $|f_k(\varphi(z))| < \epsilon$  if  $|\varphi(z)| \leq \delta$  and  $k \geq k_0$ . Hence for  $|\varphi(z)| \leq \delta$  and  $k \geq k_0$ , we have

$$|\psi(z)| |f_k(\varphi(z))| \leq \|\psi\|_\infty \epsilon.$$



This and (19) imply that  $\lim_{k \rightarrow \infty} \|\psi C_\varphi f_k\|_\infty = 0$ . By Lemma 2, it follows that  $\psi C_\varphi : \mathcal{B} \rightarrow H^\infty$  is a compact operator, as desired.

**Theorem 5.** *Let  $\alpha > 1$ ,  $\varphi = (\varphi_1, \dots, \varphi_n)$  be a holomorphic self-map of  $B$  and  $\psi \in H(B)$ . Then, the following statements are equivalent:*

- (1)  $\psi C_\varphi : \mathcal{B}_0^\alpha \rightarrow H^\infty$  is a compact operator;
- (2)  $\psi C_\varphi : \mathcal{B}^\alpha \rightarrow H^\infty$  is a compact operator;
- (3)  $\psi \in H^\infty$  and

$$(20) \quad \lim_{|\varphi(z)| \rightarrow 1} \frac{|\psi(z)|}{(1 - |\varphi(z)|^2)^{\alpha-1}} = 0.$$

*Proof.* (2) $\Rightarrow$ (1) is obvious.

(3) $\Rightarrow$ (2) Assume that  $\psi \in H^\infty$  and condition (20) holds, then

$$\sup_{z \in B} \frac{|\psi(z)|}{(1 - |\varphi(z)|^2)^{\alpha-1}} < \infty.$$

By Theorem 3,  $\psi C_\varphi : \mathcal{B}^\alpha \rightarrow H^\infty$  is bounded. Now assume that  $(f_k)_{k \in \mathbb{N}}$  is a bounded sequence and  $f_k \rightarrow 0$  uniformly on compact subsets of  $B$ . Denote  $K_1 = \sup_{k \in \mathbb{N}} \|f_k\|_{\mathcal{B}^\alpha}$ . From (20) we have that, for every  $\epsilon > 0$ , there is a  $\delta \in (0, 1)$  such that if  $\delta < |\varphi(z)| < 1$ ,

$$\frac{|\psi(z)|}{(1 - |\varphi(z)|^2)^{\alpha-1}} < \epsilon.$$

This shows that if  $|\varphi(z)| > \delta$ , for any  $k \in \mathbb{N}$ , we have

$$(21) \quad |\psi(z)||f_k(\varphi(z))| \leq C \|f_k\|_{\mathcal{B}^\alpha} \frac{|\psi(z)|}{(1 - |\varphi(z)|^2)^{\alpha-1}} \leq CK_1 \epsilon.$$

The rest of the proof is similar to the corresponding proof of Theorem 4 and will be omitted.

(1) $\Rightarrow$ (3). Let  $(z_k)_{k \in \mathbb{N}}$  be a sequence of  $B$  such that  $\lim_{k \rightarrow \infty} |\varphi(z_k)| = 1$ . Choose

$$f_k(z) = \frac{1 - |\varphi(z_k)|^2}{(1 - \langle z, \varphi(z_k) \rangle)^\alpha}.$$

It is easy to see that  $f_k \in \mathcal{B}_0^\alpha$ ,  $\sup_{k \in \mathbb{N}} \|f_k\|_{\mathcal{B}^\alpha} \leq C$  and  $f_k \rightarrow 0$  uniformly on compact subsets of  $B$  as  $k \rightarrow \infty$ . Since  $\psi C_\varphi$  is compact, by Lemma 2, we have  $\lim_{k \rightarrow \infty} \|\psi C_\varphi f_k\|_\infty = 0$ . From this and since

$$\|\psi C_\varphi f_k\|_\infty = \sup_{z \in B} |\psi(z)||f_k(\varphi(z))| \geq \frac{|\psi(z_k)|}{(1 - |\varphi(z_k)|^2)^{\alpha-1}},$$

we obtain

$$\lim_{k \rightarrow \infty} \frac{|\psi(z_k)|}{(1 - |\varphi(z_k)|^2)^{\alpha-1}} = 0,$$

which implies (20).

Similar to the proof of Theorem 4, the following theorem can be obtained.

**Theorem 6.** *Let  $0 < \alpha < 1$ ,  $\varphi = (\varphi_1, \dots, \varphi_n)$  be a holomorphic self-map of  $B$ ,  $\psi \in H^\infty(B)$  and  $\lim_{|\varphi(z)| \rightarrow 1} |\psi(z)| = 0$ . Then,  $\psi C_\varphi : \mathcal{B}^\alpha \rightarrow H^\infty$  is a compact operator.*

**Remark 1.** Note that if  $\|\varphi\|_\infty < 1$ , then similar to the proof of Theorem 5, it can be proved that the compactness of the operator  $\psi C_\varphi : \mathcal{B}^\alpha \rightarrow H^\infty$  implies that  $\lim_{|\varphi(z)| \rightarrow 1} |\psi(z)| = 0$ . However, if  $\|\varphi\|_\infty = 1$ , we do not know, at the moment, if this is true.

### 3. THE BOUNDEDNESS AND COMPACTNESS OF $\psi C_\varphi : H^\infty \rightarrow \mathcal{B}^\alpha$

In this section, we characterize the boundedness and compactness of the operator  $\psi C_\varphi : H^\infty \rightarrow \mathcal{B}^\alpha$ . For simplicity of notation, we restrict ourselves to the case of  $\alpha = 1$ . We will begin by introducing some preliminary notation.

Let  $\varphi = (\varphi_1, \dots, \varphi_n)$  be a holomorphic self-map of  $B$ , denote

$$D\varphi(z) = \begin{pmatrix} \frac{\partial \varphi_1(z)}{\partial z_1} & \dots & \frac{\partial \varphi_1(z)}{\partial z_n} \\ \dots & \dots & \dots \\ \frac{\partial \varphi_n(z)}{\partial z_1} & \dots & \frac{\partial \varphi_n(z)}{\partial z_n} \end{pmatrix}$$

and  $D\varphi(z)^T$  be the transpose of the matrix  $D\varphi(z)$  (see [11]). Here

$$|D\varphi(z)| = \left( \sum_{k,l=1}^n \left| \frac{\partial \varphi_l(z)}{\partial z_k} \right|^2 \right)^{1/2}.$$

**Theorem 7.** *Let  $\varphi = (\varphi_1, \dots, \varphi_n)$  be a holomorphic self-map of  $B$  and  $\psi \in H(B)$ . If*

- (a)  $\psi \in \mathcal{B}$
- (b)

$$(22) \quad \sup_{z \in B} \frac{(1 - |z|^2)}{1 - |\varphi(z)|^2} |\psi(z)| |D\varphi(z)| < \infty,$$

then,  $\psi C_\varphi : H^\infty \rightarrow \mathcal{B}$  is bounded.

Conversely, if  $\psi C_\varphi : H^\infty \rightarrow \mathcal{B}$  is bounded, then

(c)  $\psi \in \mathcal{B}$

(d)

$$(23) \quad \sup_{z \in B} \frac{|\psi(z)|(1 - |z|^2)}{1 - |\varphi(z)|^2} |D\varphi(z)^T \overline{\varphi(z)^T}| < \infty.$$

*Proof.* Suppose that (a) and (b) hold. For a function  $f \in H^\infty(B)$ , we have

$$\begin{aligned} & |\nabla(\psi C_\varphi f)|(1 - |z|^2) \\ & \leq (1 - |z|^2)|\nabla\psi(z)||f(\varphi(z))| + |\psi(z)||\nabla(f \circ \varphi)(z)|(1 - |z|^2) \\ & = (1 - |z|^2)|\nabla\psi(z)||f(\varphi(z))| + |\psi(z)|(1 - |z|^2) \left( \sum_{k=1}^n \left| \sum_{l=1}^n \frac{\partial f}{\partial \zeta_l}(\varphi(z)) \frac{\partial \varphi_l}{\partial z_k}(z) \right|^2 \right)^{1/2} \\ & \leq (1 - |z|^2)|\nabla\psi(z)||f(\varphi(z))| \\ & \quad + |\psi(z)|(1 - |z|^2) \left( \sum_{k=1}^n \sum_{l=1}^n \left| \frac{\partial \varphi_l}{\partial z_k}(z) \right|^2 \right)^{1/2} \left( \sum_{l=1}^n \left| \frac{\partial f}{\partial \zeta_l}(\varphi(z)) \right|^2 \right)^{1/2} \\ & \leq (1 - |z|^2)|\nabla\psi(z)||f(\varphi(z))| + |\psi(z)|(1 - |z|^2)|D\varphi(z)| |(\nabla f)(\varphi(z))| \\ & \leq C\|\psi\|_{\mathcal{B}}\|f\|_\infty + C\|f\|_{\mathcal{B}} \frac{1 - |z|^2}{1 - |\varphi(z)|^2} |\psi(z)||D\varphi(z)|. \end{aligned}$$

By Lemma 3 we know that  $\|f\|_{\mathcal{B}} \leq C\|f\|_\infty$  for every  $f \in H^\infty(B)$ . This along with conditions (a) and (b) show that the operator  $\psi C_\varphi : H^\infty(B) \rightarrow \mathcal{B}(B)$  is bounded.

Conversely, suppose that  $\psi C_\varphi : H^\infty(B) \rightarrow \mathcal{B}(B)$  is bounded, i.e. there exists a constant  $C$  such that

$$(24) \quad \|\psi C_\varphi f\|_{\mathcal{B}} \leq C\|f\|_\infty$$

for all  $f \in H^\infty(B)$ . Taking  $f(z) \equiv 1$  and  $f(z) = z_l, l = 1, \dots, n$ , it follows that  $\psi \in \mathcal{B}(B)$  and  $\psi \varphi_l \in \mathcal{B}(B)$ .

For fixed  $\lambda \in B$ , we define the test function

$$f(z) = \frac{1 - |\varphi(\lambda)|^2}{1 - \langle z, \varphi(\lambda) \rangle}.$$

It is easy to see that  $f \in H^\infty(B)$  and  $\|f\|_\infty \leq 2$ . Therefore we have

$$\begin{aligned}
 & 2\|\psi C_\varphi\|_{H^\infty \rightarrow \mathcal{B}} \geq \|\psi C_\varphi f\|_{\mathcal{B}} \\
 & \geq \sup_{z \in B} (1 - |z|^2) |\nabla \psi(z) f(\varphi(z)) + \psi(z) \nabla(f \circ \varphi)(z)| \\
 & \geq (1 - |\lambda|^2) \left| \nabla \psi(\lambda) f(\varphi(\lambda)) + \psi(\lambda) \nabla(f \circ \varphi)(\lambda) \right| \\
 & \geq (1 - |\lambda|^2) |\psi(\lambda) \nabla(f \circ \varphi)(\lambda)| - (1 - |\lambda|^2) |\nabla \psi(\lambda) f(\varphi(\lambda))| \\
 (25) \quad & = (1 - |\lambda|^2) |\psi(\lambda)| \left( \sum_{k=1}^n \left| \sum_{l=1}^n \frac{\partial f}{\partial \zeta_l}(\varphi(\lambda)) \frac{\partial \varphi_l}{\partial z_k}(\lambda) \right|^2 \right)^{1/2} - (1 - |\lambda|^2) |\nabla \psi(\lambda)| \\
 & = (1 - |\lambda|^2) |\psi(\lambda)| \left( \sum_{k=1}^n \left| \sum_{l=1}^n \frac{\overline{\varphi_l(\lambda)}}{1 - |\varphi(\lambda)|^2} \frac{\partial \varphi_l}{\partial z_k}(\lambda) \right|^2 \right)^{1/2} - (1 - |\lambda|^2) |\nabla \psi(\lambda)| \\
 & = \frac{|\psi(\lambda)|(1 - |\lambda|^2)}{1 - |\varphi(\lambda)|^2} |D\varphi(z)^T \overline{\varphi(\lambda)^T}| - |\nabla \psi(\lambda)|(1 - |\lambda|^2).
 \end{aligned}$$

Since  $\psi \in \mathcal{B}(B)$ , we obtain

$$(26) \quad \sup_{\lambda \in B} \frac{|\psi(\lambda)|(1 - |\lambda|^2)}{1 - |\varphi(\lambda)|^2} |D\varphi(\lambda)^T \overline{\varphi(\lambda)^T}| < \infty.$$

Which completes the proof of the theorem.

**Theorem 8.** *Let  $\varphi = (\varphi_1, \dots, \varphi_n)$  be a holomorphic self-map of  $B$  and  $\psi \in H(B)$ . If*

- (a)  $\lim_{|z| \rightarrow 1} (1 - |z|^2) |\nabla \psi(z)| = 0$ ;
- (b)

$$(27) \quad \lim_{|z| \rightarrow 1} \frac{1 - |z|^2}{1 - |\varphi(z)|^2} |\psi(z)| |D\varphi(z)| = 0,$$

then,  $\psi C_\varphi : H^\infty \rightarrow \mathcal{B}$  is compact.

Conversely, if  $\psi C_\varphi : H^\infty \rightarrow \mathcal{B}$  is compact, then

- (c)  $\lim_{|\varphi(z)| \rightarrow 1} (1 - |z|^2) |\nabla \psi(z)| = 0$ ;
- (d)

$$(28) \quad \lim_{|\varphi(z)| \rightarrow 1} \frac{|\psi(z)|(1 - |z|^2)}{1 - |\varphi(z)|^2} |D\varphi(z)^T \overline{\varphi(z)^T}| = 0.$$

*Proof.* Suppose that conditions (a) and (b) hold. Then it is clear that  $\psi C_\varphi : H^\infty \rightarrow \mathcal{B}$  is bounded. Let  $(f_k)_{k \in \mathbb{N}}$  be a sequence in  $H^\infty$  such that  $\sup_{k \in \mathbb{N}} \|f_k\|_\infty \leq$

$L$  and  $f_k$  converges to 0 uniformly on compact subsets of  $B$  as  $k \rightarrow \infty$ . By the assumptions, for any  $\epsilon > 0$ , there is a  $\delta \in (0, 1)$ , such that

$$(29) \quad (1 - |z|^2)|\nabla\psi(z)| < \epsilon$$

and

$$(30) \quad \frac{1 - |z|^2}{1 - |\varphi(z)|^2}|\psi(z)||D\varphi(z)| < \epsilon.$$

whenever  $\delta < |z| < 1$ . Let  $K = \{w \in B : |w| \leq \delta\}$ . Note that  $K$  is a compact subset of  $B$ . Then, by employing (29), (30) and Lemma 3, we have that

$$\begin{aligned} & \|\psi C_\varphi f_k\|_{\mathcal{B}} \\ &= \sup_{z \in B} |\nabla(\psi C_\varphi f_k)|(1 - |z|^2) + |\psi(0)f_k(\varphi(0))| \\ &\leq \sup_{z \in B} (1 - |z|^2)|\nabla\psi(z)||f_k(\varphi(z))| \\ &\quad + \sup_{z \in B} |\psi(z)||\nabla(f_k \circ \varphi)(z)|(1 - |z|^2) + |\psi(0)f_k(\varphi(0))| \\ (31) \quad &\leq \sup_{z \in K} (1 - |z|^2)|\nabla\psi(z)||f_k(\varphi(z))| + \sup_{\delta < |z| < 1} (1 - |z|^2)|\nabla\psi(z)||f_k(\varphi(z))| \\ &\quad + \sup_{z \in K} (1 - |\varphi(z)|^2)|\nabla f_k(\varphi(z))|\frac{(1 - |z|^2)}{1 - |\varphi(z)|^2}|\psi(z)||D\varphi(z)| \\ &\quad + \sup_{\delta < |z| < 1} (1 - |\varphi(z)|^2)|\nabla f_k(\varphi(z))|\frac{(1 - |z|^2)}{1 - |\varphi(z)|^2}|\psi(z)||D\varphi(z)| + |\psi(0)f_k(\varphi(0))| \\ &\leq \sup_{w \in \varphi(K)} |f_k(w)||\psi|_{\mathcal{B}} + \sup_{w \in \varphi(K)} M(1 - |w|^2)|\nabla f_k(w)| \\ &\quad + |\psi(0)f_k(\varphi(0))| + C\epsilon, \end{aligned}$$

where

$$M = \sup_{z \in B} \frac{1 - |z|^2}{1 - |\varphi(z)|^2}|\psi(z)||D\varphi(z)|.$$

Note that  $M$  is finite in view of (27). Cauchy's estimate gives that  $|\nabla f_k(w)| \rightarrow 0$  as  $k \rightarrow \infty$  on compacta, in particular on  $\varphi(K)$ . Hence, letting  $k \rightarrow \infty$  in (31) we obtain

$$\lim_{k \rightarrow \infty} \|\psi C_\varphi f_k\|_{\mathcal{B}} = 0.$$

From this and applying Lemma 2 the result follows.

Now, suppose that  $\psi C_\varphi : H^\infty \rightarrow \mathcal{B}$  is compact. Let  $(z_k)_{k \in \mathbb{N}}$  be a sequence in  $B$  such that  $|\varphi(z_k)| \rightarrow 1$  as  $k \rightarrow \infty$ . Let

$$f_k(z) = \frac{1 - |\varphi(z_k)|^2}{1 - \langle z, \varphi(z_k) \rangle}.$$

Then  $f_k \in H^\infty$ ,  $\sup_{k \in \mathbb{N}} \|f_k\|_\infty \leq 2$  and  $f_k$  converges to 0 uniformly on compact subsets of  $B$  as  $k \rightarrow \infty$ . Since  $\psi C_\varphi : H^\infty \rightarrow \mathcal{B}$  is compact, we have

$$\lim_{k \rightarrow \infty} \|\psi C_\varphi f_k\|_{\mathcal{B}} = 0.$$

Therefore, similar to the proof of Theorem 7, we obtain

$$\|\psi C_\varphi f_k\|_{\mathcal{B}} \geq \left| \frac{1 - |z_k|^2}{1 - |\varphi(z_k)|^2} |\psi(z_k)| |D\varphi(z_k)^T \overline{\varphi(z_k)^T}| - (1 - |z_k|^2) |\nabla\psi(z_k)| \right|.$$

Hence

$$(32) \quad \begin{aligned} & \lim_{|\varphi(z_k)| \rightarrow 1} (1 - |z_k|^2) |\nabla\psi(z_k)| \\ &= \lim_{|\varphi(z_k)| \rightarrow 1} \frac{1 - |z_k|^2}{1 - |\varphi(z_k)|^2} |\psi(z_k)| |D\varphi(z_k)^T \overline{\varphi(z_k)^T}|, \end{aligned}$$

if one of these two limits exists.

Next for a sequence  $(z_k)_{k \in \mathbb{N}}$  in  $B$  such that  $|\varphi(z_k)| \rightarrow 1$  as  $k \rightarrow \infty$ , we take

$$g_k(z) = \frac{1 - |\varphi(z_k)|^2}{1 - \langle z, \varphi(z_k) \rangle} - \left( \frac{1 - |\varphi(z_k)|^2}{1 - \langle z, \varphi(z_k) \rangle} \right)^{1/2}, \quad k \in \mathbb{N}.$$

We notice that  $g_k$  is a sequence in  $H^\infty$  and  $g_k$  converges to 0 uniformly on compact subsets of  $B$  as  $k \rightarrow \infty$ . Note also that  $g_k(\varphi(z_k)) = 0$  and

$$\nabla g_k(\varphi(z_k)) = \frac{\overline{\varphi(z_k)}}{2(1 - |\varphi(z_k)|^2)}.$$

Similar to (25), we obtain

$$\frac{1 - |z_k|^2}{2(1 - |\varphi(z_k)|^2)} |\psi(z_k)| |D\varphi(z_k)^T \overline{\varphi(z_k)^T}| \leq \|\psi C_\varphi g_k\|_{\mathcal{B}} \rightarrow 0,$$

as  $k \rightarrow \infty$ . Therefore we get the condition (d) and so by (32), we obtain (c).

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