Part 2. Non-abelian classfields attached to subgroups of $\Gamma = PSL_2(\mathbf{Z}^{(p)})$ with finite indices.

Put $\Gamma = PSL_2(\mathbf{Z}^{(p)})$ and $\Gamma^* = \{x \in GL_2(\mathbf{Z}^{(p)}) | \det x \in \Pi\} / \pm \Pi$, where $\mathbf{Z}^{(p)} = \Pi \cdot \mathbf{Z}$ and $\Pi = p^{\mathbf{Z}}$ (the infinite cyclic group generated by p), so that $\Gamma^* \supset \Gamma$, $(\Gamma^* : \Gamma) = 2$. Our main purpose in Part 2 of this chapter is to show that the group Γ^* (resp. Γ , or other related groups) describes a certain "non-abelian classfield theory" over the rational function field $K^* = \mathbf{F}_p(\bar{j})$ (resp. $\mathbf{F}_{p^2}(\bar{j})$, or other related algebraic function fields). Namely, for each normal subgroup Γ' of (say) Γ^* with finite index, a finite Galois extension K' of K^* called the Γ' -classfield is defined, and the following main theorems are proved:

- (i) for each Γ' , the Γ' -classfield exists and is unique;
- (ii) there is a certain isomorphism $\iota_{\Gamma'}: G(K'/K^*) \cong \Gamma^*/\Gamma'$;
- (iii) the law of decomposition of prime divisors of K^* in K' is completely described by the primitive elliptic conjugacy classes of Γ^* (and the isomorphism $\iota_{\Gamma'}$).

More precisely, let $\wp(\Gamma^*)$, $\wp(K^*)$ and the bijection $\mathcal{J}^*: \wp(\Gamma^*) \to \wp(K^*)$ be as in §10 (Part 1), \mathcal{J}^* being defined with respect to a fixed prime factor \mathfrak{p} of p in \mathbb{Q}^a (the algebraic closure of \mathbb{Q} in \mathbb{C}). Then a finite Galois extension K' over K is called a Γ' -classfield if the following condition (\sharp) (§29) is satisfied:

(#) An ordinary prime divisor \mathfrak{P}^0 of K^* (i.e., those \mathfrak{P}^0 contained in $\wp(K^*)$) is decomposed completely 5 in K' if and only if Γ_z^* is contained in Γ' ; where $z \in \mathfrak{H}$ is a representative of the Γ^* -equivalence class $\mathcal{J}^{*-1}(\mathfrak{P}^0)$, and Γ_z^* denotes its stabilizer in Γ^* .

With this definition, we have the following main theorems (§30):

Main Theorem (Γ^* -1). For each Γ' , Γ' -classfield exists and is unique.

MAIN THEOREM (Γ^* -2). Let \Re be the composite of all Γ' -classfields, where Γ' runs over all normal subgroups of Γ^* with finite indices. Then there is a dense injection $\iota: \Gamma^* \to G(\Re/K^*)$ satisfying the following conditions:

- (i) ι induces an isomorphism of the completion of Γ* with respect to "subgroups with finite indices topology" and G(R/K*); hence subgroups of Γ* with finite indices and finite extensions of K* contained in R correspond in a one-to-one manner. Moreover, if Γ' is any normal subgroup of Γ* with finite index, then the corresponding finite extension of K* is nothing but the Γ'-classfield.
- (ii) Let \mathfrak{P}^0 be any ordinary prime divisor of K^* , let z be a representative of $\mathcal{J}^{*-1}(\mathfrak{P}^0)$, and let Γ_z^* be the stabilizer of z in Γ^* . Let E_z^* be the torsion subgroup of Γ_z^* , and let γ be a positive generator of Γ_z^* mod E_z^* with respect to \mathfrak{P} (see §23). Then \mathfrak{P}^0 has an extension \mathfrak{P}_z to \mathfrak{R} whose inertia group is $\iota(E_z^*)$ and whose Frobenius substitution is $\iota(\gamma)$ (mod $\iota(E_z^*)$).

⁵i.e., the relative degree is one.

(iii) Let \mathfrak{P}^0 be the infinite prime divisor of K^* (i.e., $\mathfrak{P}^0(\overline{j}) = \infty$; see §22). Then \mathfrak{P}^0 has an extension $\mathfrak{P}_{i\infty}$ to \mathfrak{R} whose inertia group is generated by $\iota\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ and whose Frobenius substitution (modulo the inertia group) is given by $\iota\begin{pmatrix} 1 & 0 \\ 0 & p \end{pmatrix}$.

MAIN THEOREM (Γ^* -3). Let \Re be as in Main Theorem (Γ^* -2). Then, $\Re \subset \widehat{\Re}$.

Here, under the assumption $p \neq 2, 3$, the field $\widehat{\mathfrak{R}}$ is defined to be the maximum Galois extension of $\mathbf{F}_{p^2}K^*$ such that

- (i) if $\mathfrak{P}^0(\overline{j}) \neq 0, 12^3, \infty$, then \mathfrak{P}^0 is unramified in $\widehat{\mathfrak{R}}$;
- (ii) if $\mathfrak{P}^0(\overline{j}) = 0, 12^3, \infty$, then \mathfrak{P}^0 is at most tamely ramified in $\widehat{\mathfrak{R}}$ with the ramification indices dividing $3, 2, \infty$ respectively.
- (iii) if \mathfrak{P}^0 is supersingular (i.e., $\mathfrak{P}^0 \notin \wp(K^*)$ and $\mathfrak{P}^0(\overline{j}) \neq \infty$), then the relative degree of \mathfrak{P}^0 in $\widehat{\mathfrak{R}}/\mathbf{F}_{p^2}K^*$ is one.

On the other hand, whether \Re coincides with $\widehat{\Re}$ is an open problem (our main conjecture for the group Γ^* ; see §30).

Now, in the above formulation, Γ' was any normal subgroup of Γ^* with finite index. By Mennicke [23], however, the group $SL_2(\mathbf{Z}^{(p)})$ and hence also the group Γ^* have the congruence subgroup property; hence such Γ' is actually a congruence subgroup (i.e., contains some principal congruence subgroup). This fact is used essentially in the proof of Main Theorem (Γ^* -1). (Without Mennicke's result, we had to assume in our Main Theorems that Γ' is (or runs over) a congruence subgroup(s).) Apart from this, the proofs of our Main Theorems are based exclusively on a detailed study on the connection between the group Γ^* and the Shimura's and Igusa's modular function fields (i.e., certain fields obtained by division of elliptic curves whose modulus is a variable over the prime field of characteristic 0 and p respectively). Thus, although our main theorems are formulated without using elliptic curves at all, their proofs are based on full applications of modern theory of elliptic curves. In fact, e.g., the existence of Γ' -classfield is shown by its explicit construction, using division points of elliptic curves.

Here, we note that since the bijection $\mathcal{J}^*: \wp(\Gamma^*) \to \wp(K^*)$ is not "absolutely well-defined" but depends on the choice of a prime factor \mathfrak{p} of p in \mathbb{Q}^a , the definition of the Γ' -classfield also depends on the choice of \mathfrak{p} . This dependency, which is of quite a subtle nature (possibly reflecting some basic character of this theory), is studied in §32. We shall show that the composite \mathfrak{R} of all Γ' -classfields is independent of \mathfrak{p} , and then determine what change on the injection ι of Main Theorem (Γ^* -2) (and hence also on the definition of Γ' -classfield) should be made when \mathfrak{p} is replaced by \mathfrak{p}^{σ} ($\sigma \in G(\mathbb{Q}^a/\mathbb{Q})$).

 $\S11 \sim \S14$ are preliminaries; in $\S15 \sim \S16$, the connections between Γ^* and the Shimura's and Igusa's modular function fields are established; $\S17 \sim \S28$ are for the study of arithmetic of Igusa's modular function field, using our group Γ^* . The main theorems are formulated and proved in $\S29 \sim \S30$, and some supplements (including the effect of

⁶See §30 for the definition of $\widehat{\Re}$ for p = 2, 3.

⁷Supersingular prime divisors of K^* can also be defined without using elliptic curves. See [4].

changing p) are given in §31~ §32. The final section §33 is for the remarks and numerical evidences for the conjecture $\Re = \widehat{\Re}$?

Examples of two simple facts obtained as applications of our results:

- (i) For any given integer N, there is an example of algebraic curves over \mathbf{F}_{p^2} , which has no \mathbf{F}_{p^2} -birational non-singular projective model in \mathbf{P}^N . See §26, Remark 2.
- (ii) Examples of elements of the group Γ^* that are not conjugate in Γ^* but are conjugate in all finite factor groups of Γ^* (Note that Γ^* is residually finite and that all non-trivial factor groups of Γ^* are finite.). See §31, Remark 2

[An Indication]. For the proof of the announcement of §10 (Part 1), see §24.

Preliminaries on elliptic curves; results of Igusa and Shimura.

§11. Fields k(E(n)), $k(E(n))^0$. Let E be an elliptic curve over a field k. Let k(E) be the field of all k-rational functions on E, and $k(E)^0$ the subfield of all $f \in k(E)$ satisfying f(-u) = f(u) (for all $u \in E$). Let n be a positive integer not divisible by the characteristic of k, and let E(n) be the group of all points $u \in E$ with nu = 0. Put

(20)
$$\begin{cases} k(E(n)) = k(f(u) \mid u \in E(n), f \in k(E)), \\ k(E(n))^0 = k(f(u) \mid u \in E(n), f \in k(E)^0). \end{cases}$$

Fix any isomorphism $E(n) \cong (\mathbb{Z}/n\mathbb{Z})^2$. Then k(E(n)) is a finite Galois extension of k, and by the action of its Galois group G on E(n), we can regard G as a subgroup of $GL_2(\mathbb{Z}/n\mathbb{Z})$. Let G^0 be the subgroup of G that corresponds to $k(E(n))^0$. Then $G^0 = G \cap \{\pm 1\}$. In fact, if $\sigma \in G^0$, then $f(u^{\sigma}) = f(u)$ for all $u \in E(n)$, $f \in k(E)^0$. But then $u^{\sigma} = \pm u$ for all $u \in E(n)$; hence in particular, for u = (1,0), (0,1) and (1,1). From this follows immediately that $\sigma = \pm 1$; hence $G^0 \subset \{\pm 1\}$. On the other hand, $G \cap \{\pm 1\} \subset G^0$ is obvious; hence $G^0 = G \cap \{\pm 1\}$.

REMARK 1. The fact that $u^{\sigma} = \pm u$ for each $u \in E(n)$ implies $\sigma = \pm 1$ is used throughout the following without any remarks.

It is known that k(E(n)) always contains a primitive *n*-th root of unity ζ_n , and that for each $\sigma \in G$, we have

(21)
$$\zeta_n^{\sigma} = \zeta_n^{\det \sigma} \qquad \text{(see Igusa [14], §3)}.$$

⁸This last fact is due to Mennicke [23].

Hence $k(\zeta_n) \subset k(E(n))^0$, and the Galois group of $k(E(n))/k(\zeta_n)$ is $G \cap SL_2(\mathbb{Z}/n\mathbb{Z})$.

$$k(E(n)) \cdots \{1\}$$

$$| \qquad | \qquad |$$

$$k(E(n))^0 \cdots G \cap \{\pm 1\}$$

$$| \qquad | \qquad |$$

$$k(\zeta_n) \cdots G \cap SL_2(\mathbf{Z}/n\mathbf{Z})$$

$$| \qquad | \qquad |$$

$$k \cdots G \subset GL_2(\mathbf{Z}/n\mathbf{Z}).$$

Now let j be the absolute invariant of E (hence $j \in k$).

PROPOSITION 1. (i) If $j \neq 0, 12^3$, the automorphism group of E is $\{\pm 1\}$;

- (ii) if ch. $k \neq 2$, an automorphism of E which leaves fixed all elements of E(2) is ± 1 ;
- (iii) if ch. $k \neq 3$, an automorphism of E which leaves fixed all elements of E(3) is 1.

REMARK 2. In (ii), (iii), there is no condition on j. The important cases are (ii) $j = 12^3$, (iii) j = 0.

Proposition 2. If $j \neq 0, 12^3$, the field $k(E(n))^0$ depends only on k, j and n.

PROOF OF PROPOSITION 1. (i) is rather well-known, and is indicated in J. Igusa [14].

(ii) Let σ be such an automorphism of E, and let ρ_2 be the 2-adic representation of the endomorphism ring of E. Then $\det \rho_2(\sigma) = \nu(\sigma) = 1$, hence $\rho_2(\sigma)$ is contained in the group $X = \{x \in SL_2(\mathbb{Z}_2) \mid x \equiv 1 \pmod{2}\}$. Since the automorphism group of E is always finite and since ρ_2 is faithful, it is enough to prove that the only elements of X of finite orders are ± 1 . Since X is 2-primary, all finite subgroups of X are 2-groups; hence it is enough to show that no element of $X/\{\pm 1\}$ is of order two. Suppose that $x = \pm \begin{pmatrix} a & b \\ c & d \end{pmatrix}$

were such an element. Then $\begin{pmatrix} a & b \\ c & d \end{pmatrix} = \pm \begin{pmatrix} d & -b \\ -c & a \end{pmatrix}$. If the last sign is +, then $x = \pm 1$; hence a contradiction; if it is -, then d = -a, $a^2 + bc = -1$; but $a \equiv 1$, $b \equiv c \equiv 0 \pmod{2}$; hence $-1 = a^2 + bc \equiv 1 \pmod{4}$, which is also a contradiction.

(iii) In the same manner, it is enough to prove that the group $X = \{x \in SL_2(\mathbb{Z}_3) \mid x \equiv 1 \pmod{3}\}$ has no elements of order 3. Suppose that $x = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$ were such an element. Then a + d = -1, ad - bc = 1; hence $a(-1 - a) = ad = 1 + bc \equiv 1 \pmod{9}$; hence $a^2 + a + 1 \equiv 0 \pmod{9}$, which is a contradiction.

This completes the proof of Proposition 1.

PROOF OF PROPOSITION 2. Let E, E' be two elliptic curves over k with the same absolute invariant $j \neq 0, 12^3$. Then there is an isomorphism ρ of E onto E' defined over the algebraic closure of k. But by Chow's theorem [3], ρ is defined over the separable closure k^s of k. Let σ be any element of the Galois group $G(k^s/k)$. Then $\rho^{-1} \circ \rho^{\sigma}$ is an automorphism of E; hence $\rho^{-1} \circ \rho^{\sigma} = \pm 1$ (Proposition 1). Therefore, $\rho(u)^{\sigma} = \rho^{\sigma}(u^{\sigma}) = \varepsilon_{\sigma}\rho(u^{\sigma})$ for all

⁹See Weil [35] for the symbol $\nu()$.

 $u \in E(n)$ with $\varepsilon_{\sigma} = \pm 1$. Therefore, $\rho(u)^{\sigma} = \pm \rho(u)$ (for $u \in E(n)$) holds if and only if $u^{\sigma} = \pm u$ (for $u \in E(n)$); which settles Proposition 2.

DEFINITION. In view of Proposition 2, we shall put

(23)
$$k_{i,n} = k(E(n))^{0}.$$

§12. Reduction of $k(E(n))^0 \mod \widetilde{v}$. Now let $j \in k$, with $j \neq 0, 12^3$. Then the following Tate's equation gives an elliptic curve over k with the absolute invariant j;

(24)
$$y^2 + xy = x^3 - \frac{36}{j - 12^3}x - \frac{1}{j - 12^3},$$

the neutral element being $(x, y) = (\infty, \infty)$. An advantage of this over Weierstrass' equation $y^2 = 4x^3 - g_2x - g_3$ $(j = 12^3g_2^3/(g_2^3 - 27g_3^2))$ is that (24) gives an elliptic curve (with absolute invariant j) for any characteristic including 2 and 3. We shall call this elliptic curve (24)

$$(25) E_j,$$

throughout the following. Its addition theorem is given by

(26) (i)
$$-(x, y) = (x, -x - y);$$

$$\begin{cases}
(x_1, y_1) + (x_2, y_2) = (x, y), & \text{with} \\
x = m + m^2 - x_1 - x_2, \\
y = (m+1)(x_1 + x_2 - m - m^2) - \frac{x_1 y_2 - x_2 y_1}{x_1 - x_2},
\end{cases}$$

where $m = \frac{y_1 - y_2}{x_1 - x_2}$. Thus, it is clear that

(27)
$$\begin{cases} k(E_j(n)) = k(x(u), y(u) \mid u \in E_j(n)), \\ k_{j,n} = k(E_j(n))^0 = k(x(u) \mid u \in E_j(n)). \end{cases}$$

Let v be an additive discrete valuation of k such that $v(j) = v(j-12^3) = 0$. Let O_v and \overline{k} be the valuation ring and the residue class field of v respectively; and for each $a \in k$, let \overline{a} denote its residue class mod v, so that $\overline{a} \in \overline{k}$ ($a \in O_v$), $\overline{a} = \infty$ ($a \notin O_v$). In particular, $\overline{j} \neq 0, 12^3, \infty$.

Now $E_j \to E_{\bar{j}}$ is a good reduction of elliptic curves, and the addition theorem for $E_{\bar{j}}$ is also given by (26); hence the general theory of good reduction of abelian varieties (cf. e.g., Shimura [29]) can be applied, and we obtain:

PROPOSITION 3. The notations being as above, let $v(j) = v(j-12^3) = v(n) = 0$, and let \overline{v} be any extension of v to $k(E_j(n))$. Then,

(28)
$$(x(u), y(u)) \longmapsto_{\text{mod } \overline{v}} (\overline{x(u)}, \overline{y(u)}) \quad (u \in E_j(n))$$

gives an isomorphism of $E_j(n)$ onto $E_{\overline{j}}(n)$. $((\overline{x(u)}, \overline{y(u)})$ are the (x, y)-coordinates of points of $E_{\overline{j}}(n)$.)

In particular, $\overline{x(u)}$, $\overline{y(u)}$ are finite for $u \neq 0$ for all extensions \overline{v} of v; hence x(u) and y(u) for $u \neq 0$ must be integral over O_v .

Corollary. The notations and assumptions being as above and as in Proposition 3, express by $\overline{}$ the residue class or residue field modulo \overline{v} . Then

- (i) $\overline{k_{j,n}} \supset \overline{k}_{\overline{i},n}$;
- (ii) if the two fields of (i) coincide, then \tilde{v} is unramified in $k_{j,n}/k$.

Remark. The two fields $\overline{k_{j,n}}$ and $\overline{k_{\overline{j},n}}$ always coincide if \overline{k} is perfect. In fact, $\overline{k_{j,n}}/\overline{k}$ is then a Galois extension, and hence the homomorphism $\sigma \mapsto \overline{\sigma}$ (defined below) is surjective. Moreover the argument below shows that $\overline{\sigma}|_{\overline{k_{\overline{j},n}}} = 1$ implies $\sigma = 1$. Therefore, $\overline{k_{\overline{j},n}}$ must coincide with $\overline{k_{j,n}}$.

PROOF OF THE COROLLARY. (i) is an immediate consequence of Proposition 3. To prove (ii), let G' be the decomposition group of \widetilde{v} in $k_{j,n}/k$, so that there is a natural *onto* homomorphism $G'\ni\sigma\mapsto\overline{\sigma}\in G(\overline{k_{j,n}}/\overline{k})$ (since $\overline{k_{j,n}}=\overline{k_{\overline{j},n}}$ and hence it is a Galois extension over \overline{k}). Let σ be such that $\overline{\sigma}=1$. Then for each $u\in E_j(n)$, $x(u)^\sigma$ and x(u) have the same residue classes. But then it follows directly from Proposition 3 that $x(u)^\sigma=x(u)$ for all $u\in E_j(n)$; hence $\sigma=1$. Therefore, the inertia group of \widetilde{v} in $k_{j,n}/k$ is trivial. Since $\overline{k_{j,n}}=\overline{k_{\overline{j},n}}$ is separable over \overline{k} , this settles (ii).

§13. $k(E(n))^0$ when k is a rational function field over a prime field. Now let F be a prime field, j a variable over F, and k = F(j). For each $n \not\equiv 0 \pmod{ch.F}$, put $k_n = k_{j,n}$ and let ζ_n be a primitive n-th root of unity. So by §11, the Galois group $G(k_n/k)$ can be considered as a subgroup of $GL_2(\mathbb{Z}/n\mathbb{Z})/\pm 1$. Now by G. Shimura [30] (ch F=0) and J. Igusa [14] (ch F>0), we have the following:

THEOREM A. The notations being as above, $k(\zeta_n)$ is algebraically closed in k_n , and the Galois group $G(k_n/k)$ is given by

(29)
$$G(k_n/k) = GL_2(\mathbf{Z}/n\mathbf{Z})/ \pm 1 \cdots \text{ch.} F = 0,$$

$$= \{ \sigma \in GL_2(\mathbf{Z}/n\mathbf{Z}) \mid \det \sigma \in \Pi_n \} / \pm 1 \cdots \text{ch.} F > 0,^{10} \}$$

where if ch.F = p > 0, Π_n denotes the cyclic subgroup of $(\mathbb{Z}/n\mathbb{Z})^{\times}$ generated by p. In particular, we have $G(k_n/k(\zeta_n)) = SL_2(\mathbb{Z}/n\mathbb{Z})/\pm 1$ in both cases (see §11).

Examples (Igusa [14], §3). We have

(30)
$$k_2 = k(\lambda)$$
, with $j = 2^8 \frac{(1 - \lambda + \lambda^2)^3}{\lambda^2 (1 - \lambda)^2}$ (ch. $F \neq 2$),

(31)
$$k_3 = k(\mu, \zeta_3), \text{ with } j = \left\{\frac{3\mu(\mu^3 + 2^3)}{\mu^3 - 1}\right\}^3 \quad (\text{ch.} F \neq 3).$$

Since $G(k_2/k) = SL_2(\mathbb{Z}/2\mathbb{Z}) \cong \mathfrak{S}_3$, k_2 contains a quadratic extension of k, which is generated by $\sqrt{j-12^3} = \frac{2^3(\lambda-2)(\lambda+1)(2\lambda-1)}{\lambda(1-\lambda)}$. Since $G(k_3/k(\zeta_3)) = SL_2(\mathbb{Z}/3\mathbb{Z})/\pm 1 \cong \mathfrak{A}_4$, k_3

¹⁰In Igusa [14], it is proved that if $k = F^a(j)$ (F: the prime field of characteristic p > 0, F^a : the algebraic closure), then $G(k_n/k) = SL_2(\mathbb{Z}/n\mathbb{Z})/\pm 1$ for $n \not\equiv 0 \pmod p$. Our formulation follows immediately from this by using (21), (22). Actually, this Igusa's theorem can also be proved by our method; i.e., by using the decomposition law of prime divisors of k in k_n .

contains a cubic cyclic extension of $k(\zeta_3)$ (corresponding to the Klein's four group in \mathfrak{A}_4), which is generated by $\sqrt[3]{j}$. Thus,

(32)
$$k_2 \ni \sqrt{j-12^3}, \quad k_3 \ni \sqrt[3]{j}.$$

§14. $k(E(n))^0$ when k is a rational function field over \mathbb{Q} ; modular functions of level n. We denote by 3 the variable of the complex upper half plane \mathfrak{H} , and by z special points of \mathfrak{H} . Let $j(\mathfrak{H})$ be the elliptic modular function, and put $k = \mathbb{Q}(j(\mathfrak{H}))$. For each n, put $k_n = k_{j(\mathfrak{H}),n}$. Then by \mathbb{G} . Shimura [30], k_n can be realized as a subfield of the field of automorphic functions of level n. This is done as follows.

Let $\wp(u|\omega_1, \omega_2)$ be the Weierstrass \wp -function with periods ω_1, ω_2 , and let $g_i(\omega_1, \omega_2)$ (i=2,3) denote $60 \sum'(m\omega_1 + n\omega_2)^{-4}$ and $140 \sum'(m\omega_1 + n\omega_2)^{-6}$ respectively, where (m,n) runs over $\mathbb{Z}^2 - (0,0)$. Put $g_i(\mathfrak{Z}) = g_i(\mathfrak{Z},\mathfrak{Z})$, so that $j(\mathfrak{Z}) = 12^3 g_2(\mathfrak{Z})^3/(g_2(\mathfrak{Z})^3 - 27g_3(\mathfrak{Z})^2)$. For each positive integer n, consider $\mathbb{Z}/n\mathbb{Z}$ as a subgroup of \mathbb{Q}/\mathbb{Z} , so that the elements of $\mathbb{Z}/n\mathbb{Z}$ are i/n $(i \in \mathbb{Z}$, considered mod n). For each $\alpha, \beta \in \mathbb{Z}/n\mathbb{Z}$ with $(\alpha, \beta) \neq (0,0)$, put

(33)
$$\begin{cases} x'_{\alpha\beta}(3) &= \frac{g_2(3)}{g_3(3)} \wp(\alpha_3 + \beta|_3, 1), \\ x_{\alpha\beta}(3) &= -\frac{1}{18} x'_{\alpha\beta}(3) - \frac{1}{12}. \end{cases}$$

Then, by Shimura [30] (§4), we have the following propositions:

PROPOSITION 4. For each $\sigma \in PSL_2(\mathbb{Z})$, we have $x_{(\alpha\beta)\sigma}(\mathfrak{z}) = x_{\alpha\beta}(\sigma\mathfrak{z})$ (in particular, $x_{\alpha\beta}(\sigma\mathfrak{z}) = x_{\alpha\beta}(\mathfrak{z})$ if $\sigma \equiv \pm 1 \pmod{n}$). Moreover, the field $\mathbb{C}(j(\mathfrak{z}); x_{\alpha\beta}(\mathfrak{z}) \mid \alpha, \beta \in \mathbb{Z}/n\mathbb{Z})$ coincides with the field of modular functions of level n.

Proposition 5. The notations being as above, we have

(34)
$$k_n = k(x_{\alpha\beta}(3) \mid \alpha, \beta \in \mathbb{Z}/n\mathbb{Z})^{11}$$

Moreover, there is an isomorphism $\iota: E_{j(\mathfrak{z})}(n) \cong (\mathbb{Z}/n\mathbb{Z})^2$, unique up to ± 1 , such that for any $\alpha, \beta \in \mathbb{Z}/n\mathbb{Z}$ with $(\alpha, \beta) \neq (0, 0)$, $x_{\alpha\beta}(\mathfrak{z})$ coincides with the x-coordinate of the point $\iota^{-1}(\alpha, \beta)$ of $E_{j(\mathfrak{z})}$.

Proposition 4 is rather well-known, and is easily proved. Here, we shall reproduce the proof of Proposition 5 (in our notations). Put

(35)
$$x'(u,\mathfrak{z}) = \frac{g_2(\mathfrak{z})}{g_3(\mathfrak{z})} \wp(u|\mathfrak{z},\mathfrak{z}); \quad y'(u,\mathfrak{z}) = \left(\frac{g_2(\mathfrak{z})}{g_3(\mathfrak{z})}\right)^{3/2} \frac{\partial}{\partial u} \wp(u|\mathfrak{z},\mathfrak{z}).$$

Then we have $y'(u, 3)^2 = 4x'(u, 3)^3 - t(3)x'(u, 3) - t(3)$, with $t(3) = \frac{g_2(3)^3}{g_3(3)^2}$. Hence if we put

(36)
$$\begin{cases} x(u,3) &= -\frac{1}{18}x'(u,3) - \frac{1}{12}, \\ y(u,3) &= \frac{1}{108\sqrt{5}}y'(u,3) + \frac{1}{36}x'(u,3) + \frac{1}{24}, \end{cases}$$

then we see that x = x(u, 3), y = y(u, 3) satisfy (24) for j = j(3). Now put $K = \mathbb{C} \cap \mathbb{Q}(j(3); x_{\alpha\beta}(3) \mid \alpha, \beta \in \mathbb{Z}/n\mathbb{Z})$, so that K is finitely generated over \mathbb{Q} , and

¹¹Shimura used this to prove Theorem A for $F = \mathbf{Q}$.

 $Q(j(3); x_{\alpha\beta}(3) | \alpha, \beta \in \mathbb{Z}/n\mathbb{Z})$ is of dimension one over K (by Proposition 4). Let $z \in \mathfrak{H}$ be such that j(z) is transcendental over K. Then

$$(j(\mathfrak{Z}); \ x_{\alpha\beta}(\mathfrak{Z}))_{\alpha\beta} \longmapsto (j(z); \ x_{\alpha\beta}(z))_{\alpha\beta}$$

is a specialization over \mathbb{C} and hence also over K. By comparing the dimensions of both sides over K, we see that (37) is a generic specialization over K, and hence also over \mathbb{Q} . Therefore, there is an isomorphism σ of $\mathbb{Q}(j(3); x_{\alpha\beta}(3) \mid \alpha, \beta \in \mathbb{Z}/n\mathbb{Z})$ onto $\mathbb{Q}(j(z); x_{\alpha\beta}(z) \mid \alpha, \beta \in \mathbb{Z}/n\mathbb{Z})$, sending j(3) to j(z) and $x_{\alpha\beta}(3)$ to $x_{\alpha\beta}(z)$ for all α, β . But, on the other hand, x(u, z), y(u, z) are generators of elliptic functions on $\mathbb{C}/[z, 1]$, and they satisfy (24) with j = j(z); hence we can identify $E_{j(z)}$ with $\mathbb{C}/[z, 1]$ by $(x(u, z), y(u, z)) \leftrightarrow u$. Moreover, there is an isomorphism $\iota_z : E_{j(z)}(n) \cong (\mathbb{Z}/n\mathbb{Z})^2$ given by $\alpha z + \beta \leftrightarrow (\alpha, \beta)$. Therefore, $x_{\alpha\beta}(z) = x(\alpha z + \beta; z)$ is nothing but the x-coordinate of $\iota_z^{-1}(\alpha, \beta)$. This fact pulled back by σ gives Proposition 5.

The group Γ^* and the extension \Re/\bar{k} .

Throughout the following, p is a fixed prime number, and p is a fixed prime factor of p in the algebraic closure \mathbb{Q}^a of \mathbb{Q} . The residue field of \mathbb{Q}^a mod p will be identified, once and for all, with the algebraic closure \mathbb{F}_p^a of \mathbb{F}_p . Sometimes p is considered as a place $\mathbb{Q}^a \to \mathbb{F}_p^{a,12}$ and sometimes identified with its kernel, i.e., the maximal ideal of the ring of all p-integers in \mathbb{Q}^a . By p, we always denote a positive integer not divisible by p.

§15. The place \wp . Let $j(\mathfrak{z})$ be the elliptic modular function, abbreviated simply as j; $j = j(\mathfrak{z})$. Put

$$(38) k = \mathbf{Q}(j),$$

and for each $n \not\equiv 0 \pmod{p}$, consider the Shimura's modular function field, i.e.,

(39)
$$k_n = k_{j,n} = k(x_{\alpha\beta} \mid \alpha, \beta \in \mathbb{Z}/n\mathbb{Z}),$$

where $x_{\alpha\beta} = x_{\alpha\beta}(3)$ are as in §14. Put

$$M\{k\} = \bigcup_{n \not\equiv 0 \bmod p} k_n,$$

which is an infinite Galois extension of k. Let G be the Galois group of $M\{k\}$ over k. Then by Theorem A, G is isomorphic to the group

(41)
$$\left\{ \prod_{l\neq p} GL_2(\mathbf{Z}_l) \right\} / \pm 1,$$

¹²Of course, some elements of Q^a go to infinity by p, but we shall always write in this way.

the isomorphism depending on the "n-adic" coordinate system (injective system for all $n \not\equiv 0 \pmod{p}$) on E_j . Take the coordinate system defined by Proposition 5, and by the corresponding isomorphism, identify G with the group (41); thus

(42)
$$G = \left\{ \prod_{l \neq p} GL_2(\mathbf{Z}_l) \right\} / \pm 1,$$

(43)
$$\sigma(x_{\alpha\beta}) = x_{(\alpha\beta)\sigma}; \quad \text{for} \quad \sigma \in G; \quad \alpha, \beta \in \mathbb{Z}/n\mathbb{Z}.$$

On the other hand, let \overline{j} be a variable over \mathbf{F}_p , put

$$(44) \bar{k} = \mathbf{F}_{p}(\bar{j}),$$

and for each $n \not\equiv 0 \pmod{p}$, consider the Igusa's modular function field, i.e.,

(45)
$$\overline{k}_n = \overline{k}_{\overline{i},n} \quad (\text{see } \S 11, (23)).$$

Put

$$M\{\overline{k}\} = \bigcup_{n \not\equiv 0 \bmod p} \overline{k}_n,$$

which is an infinite Galois extension of \overline{k} . Let \overline{G} be the Galois group of $M\{\overline{k}\}$ over \overline{k} . Let Σ be an "n-adic" coordinate system (injective system for all $n \not\equiv 0 \pmod{p}$) on $E_{\overline{j}}$, and let φ_{Σ} be the corresponding "n-adic" representation of \overline{G} . Then by Theorem A, \overline{G} is isomorphic by φ_{Σ} to

(47)
$$\left\{ \overline{\sigma} \in \prod_{l \neq p} GL_2(\mathbf{Z}_l) \mid \det \overline{\sigma} \in \overline{\Pi} \right\} / \pm 1,$$

where $\overline{\Pi}$ denotes the subgroup of $\prod_{l\neq p} \mathbf{Z}_l^{\times}$ topologically generated by the diagonal p. (As is easily seen, the group $\overline{\Pi}$ is topologically isomorphic to the group $\sum_l \mathbf{Z}_l$, the direct sum being taken over *all* prime numbers l including p.) An important remark is that by a change of our n-adic coordinate system Σ , φ_{Σ} is changed by an inner automorphism of the group

$$\left\{ \prod_{l\neq p} GL_2(\mathbf{Z}_l) \right\} / \pm 1,$$

which may be an outer automorphism of the group (47). Thus φ_{Σ} is not unique even up to inner automorphisms of G. But, on the other hand, some important automorphisms of $M\{\overline{k}\}/\overline{k}$, such as the Frobenius substitutions of prime divisors, are well-defined up to inner automorphisms of \overline{G} ; hence to describe them definitively by the group (47), we need to find and fix a "special" coordinate system Σ , which must be well-defined up to \overline{G} .

Thus, we shall proceed to define such a "special" \overline{G} -class of Σ .¹³ For this purpose, we need the following proposition, which is an easy consequence of Theorem A.

¹³As the readers will see, this class is not "absolutely well-defined", but depends on the special choice of p. At any rate, once p is fixed, it is well-defined.

Proposition 6. There exists a place

unique up to \overline{G} , such that

(i) φ coincides with \mathfrak{p} on $M\{k\} \cap \mathbf{Q}^a = \bigcup_{n \not\equiv 0 \pmod{p}} \mathbf{Q}(\zeta_n)$;

(ii)
$$\wp(j) = \overline{j}$$
.

Moreover, if \wp is such a place, then we have

$$\varphi(k_n) = \overline{k}_n.$$

PROOF. Put $\mathbf{Q}' = \bigcup_{n \neq 0 \pmod{p}} \mathbf{Q}(\zeta_n)$. Let \wp' be the unique place of $\mathbf{Q}'(j)$ that coincides with \mathfrak{p} on \mathbf{Q}' and that sends j to \overline{j} ; hence \wp' corresponds to the following discrete valuation¹⁴ V of $\mathbf{Q}'(j)$:

(50)
$$V\left(p^{m}\frac{h(j)}{g(j)}\right) = m; \quad \text{for} \quad g(j), \ h(j) \in O'[j], \notin pO'[j];$$

where O' is the ring of p-integers in \mathbf{Q}' . Let \wp be any extension of \wp' to a place of $M\{k\}$. Then it follows directly from Proposition 3 that $\wp(k_n) \supset \overline{k}_n$. But by Theorem A, $[\overline{k}_n : \overline{k}(\overline{\zeta}_n)] = [k_n : k(\zeta_n)]$, where $\overline{\zeta}_n = \wp(\zeta_n)$. Therefore, $\wp(k_n) = \overline{k}_n$; hence $\wp[M\{k\}] = M\{\overline{k}\}$; hence \wp is a desired place.

On the other hand, if \wp_1 is another place satisfying (i), (ii), then $\wp_1 = \wp$ on $\mathbf{Q}'(j)$; hence there is some $\sigma \in G(M\{k\}/\mathbf{Q}'(j))$ with $\wp_1 = \wp^{\sigma}$. So, it is enough to show that the decomposition group of \wp in $M\{k\}/\mathbf{Q}'(j)$ is the full Galois group of this extension; or equivalently, that the decomposition group of \wp in $k_n/k(\zeta_n)$ is the full Galois group for all $n \not\equiv 0 \pmod{p}$. But this is in fact so, since by Theorem A, the relative degree $[\wp(k_n):\wp(k(\zeta_n))] = [\overline{k}_n:\overline{k}(\overline{\zeta}_n)]$ is equal to $[k_n:k(\zeta_n)]$.

We have also proved:

COROLLARY 1. \wp corresponds to a discrete valuation of $M\{k\}$ having p as a prime element; \wp is unramified and remains träge in $M\{k\}/\mathbb{Q}'(j)$, where $\mathbb{Q}' = \bigcup_{n \not\equiv 0 \pmod p} \mathbb{Q}(\zeta_n)$, and also in $k_n/k(\zeta_n)$ for all $n \not\equiv 0 \pmod p$.

By Propositions 3, 6, it is clear that:

COROLLARY 2.
$$\overline{k}_n = \overline{k}(\overline{x}_{\alpha\beta} \mid \alpha, \beta \in \mathbb{Z}/n\mathbb{Z})$$
, where $\overline{x}_{\alpha\beta} = \wp(x_{\alpha\beta})$.

Now we shall define the special (\overline{G}) -class of Σ on $E_{\overline{I}}$. Take any φ satisfying Proposition 6, and let $\Sigma = \Sigma_{\varphi}$ be the unique (up to ± 1) coordinate system on $E_{\overline{I}}$ by which $\overline{x}_{\alpha\beta}$ corresponds to (α, β) for all α, β . Then by Proposition 6, any change of Σ_{φ} by a different choice of φ is merely a change by an action of an element of \overline{G} ; hence the \overline{G} -class of Σ_{φ} is well-defined. Now fix any φ once and for all, and identify \overline{G} with the group (47) by Σ_{φ} ; thus, we have

(51)
$$\overline{G} = \left\{ \overline{\sigma} \in \prod_{l \neq p} GL_2(\mathbf{Z}_l) \mid \det \overline{\sigma} \in \overline{\Pi} \right\} / \pm 1,$$

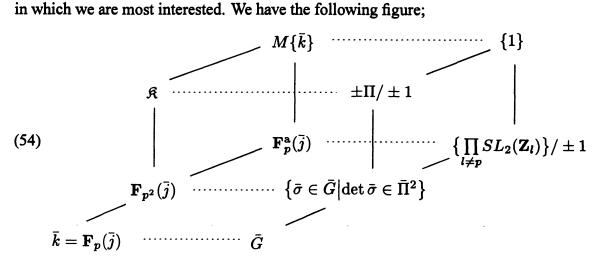
¹⁴Note that p is unramified in Q', and hence p is a prime element of $Q' \cap p$.

(52)
$$\overline{\sigma}(\overline{x}_{\alpha\beta}) = \overline{x}_{(\alpha\beta)\overline{\sigma}}; \text{ for } \overline{\sigma} \in \overline{G}; \quad \alpha, \beta \in \mathbb{Z}/n\mathbb{Z}.$$

Obviously, the natural injection of the right side of (51) into the right of (42) corresponds to the injection $\overline{G} \to G$ defined by the natural identification of \overline{G} with the decomposition group of \emptyset in $M\{k\}/k$.

Now let

be the subfield of M(k) that corresponds to the subgroup $\pm \overline{\Pi}/\pm 1$ of \overline{G} . This is the field in which we are most interested. We have the following figure;



Here, ☐ is "parallellogram"; i.e.,

(55)
$$\Re \cap \mathbf{F}_p^{\mathbf{a}}(\bar{j}) = \mathbf{F}_{p^2}(\bar{j}), \quad \Re \cdot \mathbf{F}_p^{\mathbf{a}}(\bar{j}) = M(\bar{k}).$$

Thus, the Galois groups of \Re/\overline{k} , $\Re/\mathbb{F}_{p^2}\overline{k}$, are given by

(56)
$$\begin{cases} G(\Re/\overline{k}) = \left\{ \overline{\sigma} \in \prod_{l \neq p} GL_2(\mathbf{Z}_l) \mid \det \overline{\sigma} \in \overline{\Pi} \right\} / \pm \overline{\Pi}, \\ G(\Re/\mathbf{F}_{p^2}\overline{k}) = \left\{ \overline{\sigma} \in \prod_{l \neq p} GL_2(\mathbf{Z}_l) \mid \det \overline{\sigma} \in \overline{\Pi}^2 \right\} / \pm \overline{\Pi}, \\ = \left\{ \prod_{l \neq p} SL_2(\mathbf{Z}_l) \right\} / \pm 1. \end{cases}$$

REMARK. Since the subgroup $\pm \overline{\Pi}/\pm 1$ is invariant by the inner automorphisms of $\left\{\prod_{l\neq p}GL_2(\mathbf{Z}_l)\right\}/\pm 1$, the field \Re is absolutely well-defined (in particular, it does not depend on the choice of \mathfrak{p}).

§16. Now the groups

(57)
$$\begin{cases} \Gamma^* = \left\{ \gamma \in GL_2(\mathbf{Z}^{(p)}) \mid \det \gamma \in \Pi \right\} / \pm \Pi, \\ \Gamma = SL_2(\mathbf{Z}^{(p)}) / \pm 1, \end{cases}$$

¹⁵Identify.

are going to play the central roles! Here, as in §1, Π is the infinite cyclic subgroup of \mathbb{Q}^{\times} generated by p, and $\mathbb{Z}^{(p)} = \Pi \cdot \mathbb{Z}$. By (56), Γ^* can be regarded as a (dense) subgroup of the Galois group $G(\Re/\overline{k})$ by the diagonal embedding

$$\Gamma^* \to G(\Re/\overline{k}) = \left\{ \overline{\sigma} \in \prod_{l \neq p} GL_2(\mathbf{Z}_l) \mid \det \overline{\sigma} \in \overline{\Pi} \right\} / \pm \overline{\Pi},$$

and we have $\Gamma = \Gamma^* \cap G(\Re/\mathbb{F}_{p^2}\overline{k})$. Thus, Γ^* acts, on one hand, on \mathfrak{H} as a group of analytic transformations, and on the other hand, on \Re as a (dense subgroup of the) Galois group.

We note here that the finite extensions K of \overline{k} in \Re and subgroups Γ_K^* of Γ^* with finite indices correspond in a one-to-one manner. In fact, each K corresponds to an open subgroup $G_K = G(\Re/K)$ of $G(\Re/\overline{k})$ by the Galois theory, and by the congruence subgroup property of the group $SL_2(\mathbf{Z}^{(p)})$ (proved by Mennicke [23]), G_K and $\Gamma_K^* = G_K \cap \Gamma^*$ correspond in a one-to-one manner. Since Γ^* is dense in $G(\Re/\overline{k})$, K is nothing but the fixed field of Γ_K^* in \Re .

(58)
$$K \longleftrightarrow_{1:1} \Gamma_K^*; \qquad \begin{pmatrix} K: \text{ the fixed field of } \Gamma_K^*, \\ \Gamma_K^* = \Gamma^* \cap G(R/K). \end{pmatrix}$$
 finite extensions subgroups of Γ^* of finite indices.

A fundamental theorem.

Throughout the following, φ is a fixed place $M\{k\} \to M\{\overline{k}\}$ satisfying Proposition 6. We shall denote as $\overline{x} = \varphi(x)$ (for $x \in M\{k\}$), = p(x) (for $x \in \mathbb{Q}^a$).

§17. Let ψ be any place $\psi: M\{k\} \to \mathbf{Q}^a$, which is an identity on $M\{k\} \cap \mathbf{Q}^a = \bigcup_{n \neq 0 \pmod p} \mathbf{Q}(\zeta_n) = \mathbf{Q}'$. We are going to attach to each such ψ , a unique place $\overline{\psi}: M\{\overline{k}\} \to \mathbf{F}_p^a$, which is an identity on \mathbf{F}_p^a and for which the following diagram (59) is "almost commutative", i.e., it is commutative on a certain subring Θ_{ψ} of $M\{k\}$ defined below;

(59)
$$M\{k\} \xrightarrow{\wp} M\{\overline{k}\}$$

$$\psi \downarrow \qquad \downarrow \overline{\psi}$$

$$\mathbf{Q}^{\mathbf{a}} \xrightarrow{\mathfrak{p}} \mathbf{F}_{p}^{\mathbf{a}}$$

namely, we shall prove the following:

PROPOSITION 7. Let ψ be any place $M\{k\} \to \mathbf{Q}^a$, identity on $M\{k\} \cap \mathbf{Q}^a = \bigcup_{n \neq 0 \pmod{p}} \mathbf{Q}(\zeta_n) = \mathbf{Q}'$. Let \mathfrak{o}_{ψ} be the subring of $\mathbf{Q}'(j)$ given by

$$\mathfrak{o}_{\psi} = \left\{ \frac{h(j)}{g(j)} \middle| g(j), \ h(j) \in O'[j], \ \overline{g(\psi(j))} \neq 0 \right\} \cdots \overline{\psi(j)} \neq \infty; \\
= \left\{ \frac{h(j)}{g(j)} \middle| g(j), \ h(j) \in O'[j], \ \deg \overline{g} = \deg g \ge \deg h \right\} \cdots \overline{\psi(j)} = \infty,$$

where O' is the ring of p-integers in \mathbf{Q}' . Let Θ_{ψ} be the integral closure of \mathfrak{o}_{ψ} in $M\{k\}$. Then for each ψ , there exists a unique place $\overline{\psi}: M\{\overline{k}\} \to \mathbf{F}_p^a$ such that the diagram (59) is commutative on Θ_{ψ} . Moreover, this $\overline{\psi}$ is an identity on \mathbf{F}_p^a .

Remark. The last assertion of Proposition 7 is obvious.

DEFINITION. Put $\widetilde{\mathfrak{H}} = \mathfrak{H} \cup \mathbf{Q} \cup \{i\infty\}$, and let $z \in \widetilde{\mathfrak{H}}$ be such that j(z) is either algebraic or infinite (e.g., if z is a Γ^* -fixed point, j(z) is an algebraic integer; if $z \in \mathbf{Q} \cup \{i\infty\}$, $j(z) = \infty$). Then z defines a place $\psi = \psi_z : M\{k\} \to \mathbf{Q}^a$ by the substitution $M\{k\} \ni F(\mathfrak{J}) \mapsto F(z)$ (which is obviously identical on \mathbf{Q}'); hence we obtain, by the above proposition, a place $\overline{\psi}_z : M\{\overline{k}\} \to \mathbf{F}_p^a$, and hence by its restriction to \mathfrak{R} , a place $\mathfrak{R} \to \mathbf{F}_p^a$, which we denote by

$$\mathfrak{P}_{i}$$

(Thus, the restriction of \mathfrak{P}_z to \overline{k} is given by $\overline{j} \mapsto \overline{j(z)} = \overline{j(z)} \mod \mathfrak{p}$.)

Now, since Γ^* acts on \Re , it also acts on the set of all places of \Re ; namely, for each $\gamma \in \Gamma^*$ and a place \Re of \Re , define $\gamma \Re$ by

$$\gamma \mathfrak{P} = \mathfrak{P} \circ \gamma.$$

Then it is clear that $\gamma'(\gamma\mathfrak{P}) = (\gamma'\gamma)\mathfrak{P}$ holds for all $\gamma, \gamma' \in \Gamma^*$, and that in this manner, Γ^* also acts on the set of all equivalence classes of places of \mathfrak{R} . Recall that the two places are called equivalent if they have the same valuation rings; hence if \mathfrak{P}_1 , \mathfrak{P}_2 are two places $\mathfrak{R} \to \mathbf{F}_p^a$, they are equivalent if and only if there is some automorphism σ of \mathbf{F}_p^a (not of \mathfrak{R}) such that $\mathfrak{P}_2 = \sigma \circ \mathfrak{P}_1$. To express the equivalence of two places, we shall use the notation:

(63)
$$\mathfrak{P}_1 \equiv \mathfrak{P}_2$$
 (equivalence of two places).

Now our fundamental theorem is as follows:

Theorem 2. Let $z \in \mathfrak{H}$ be such that j(z) is algebraic and \mathfrak{p} -integral. Let $\gamma \in \Gamma^*$. Then γz is also such a point of \mathfrak{H} , and we have

$$\gamma \mathfrak{P}_{z} \equiv \mathfrak{P}_{\gamma z}.$$

REMARK. (64) is trivial if $\gamma \in PSL_2(\mathbb{Z})$, but highly non-trivial if $\gamma \notin PSL_2(\mathbb{Z})$. In fact, then, it is essentially based on the Kronecker's congruence relation (modernized by Shimura).

§18. Lemmas. To prove Proposition 7, we need the following two lemmas.

Lemma 1. $\wp \cap \Theta_{\psi} = p\Theta_{\psi}$.

Lemma 2. Put $\overline{\Theta}_{\psi} = \{\overline{b} \mid b \in \Theta_{\psi}\}$. Then $\overline{\Theta}_{\psi}$ is the integral closure in $M\{\overline{k}\}$ of the valuation ring $v \subset F_p^a(\overline{j})$, attached to the place $\overline{j} \mapsto \overline{\psi(j)}$ of $F_p^a(\overline{j})$ which is identical on F_p^a .

PROOF OF LEMMA 1. Put $\mathfrak{o} = \mathfrak{o}_{\psi}$, $\Theta = \Theta_{\psi}$. Then it is clear that \mathfrak{o} and hence also Θ are contained in the valuation ring of \emptyset . Hence the inclusion $p\Theta \subset \emptyset \cap \Theta$ is obvious. Conversely, let $\xi \in \emptyset \cap \Theta$. Since $\xi \in \emptyset$, and p is a prime element of \emptyset , $\eta = p^{-1}\xi$ is \emptyset -integral. But since \emptyset remains träge in $M\{k\}/\mathbb{Q}'(j)$, η is integral over the valuation ring of $\emptyset' = \emptyset \cap \mathbb{Q}'(j)$. Let $\eta^m + a_1\eta^{m-1} + \cdots + a_m = 0$ be the irreducible equation for η over $\mathbb{Q}'(j)$. Then all a_v belong to the valuation ring of \emptyset' ; hence are of the forms $a_v = \frac{h_v(j)}{g_v(j)}$; $g_v(j), h_v(j) \in O'[j], g_v(j) \notin pO'[j]$ (see (50)). But then, the irreducible equation for ξ over $\mathbb{Q}'(j)$ is $\xi^m + pa_1\xi^{m-1} + \cdots + p^m a_m = 0$, and ξ is integral over \mathfrak{o} . Therefore, $p^v a_v \in \mathfrak{o}$ for all v. Putting these together, we obtain immediately by the definition of $\mathfrak{o} = \mathfrak{o}_{\psi}$ that $a_v \in \mathfrak{o}$ for all v; hence η is also integral over \mathfrak{o} ; hence $\eta \in \Theta$; therefore, $\xi \in p\Theta$. Therefore, $p \in \mathbb{O}$ hence the proof is completed.

PROOF OF LEMMA 2. Again, put $\mathfrak{o} = \mathfrak{o}_{\psi}$, $\Theta = \Theta_{\psi}$. Let \mathfrak{v}^i be the integral closure of \mathfrak{v} in $M\{\overline{k}\}$, and put $\overline{\mathfrak{o}} = \{\overline{b} \mid b \in \mathfrak{o}\}$. Then it is clear that $\overline{\mathfrak{o}} = \mathfrak{v}$; hence it follows immediately that $\overline{\Theta} \subset \mathfrak{v}^i$. Now to prove the converse, let $\overline{\xi} \in \mathfrak{v}^i$, and let $\overline{\xi}^m + \overline{a}_1 \overline{\xi}^{m-1} + \cdots + \overline{a}_m = 0$ be the irreducible equation for $\overline{\xi}$ over $F_p^a(\overline{j})$. Then since $\overline{\xi}$ is integral over \mathfrak{v} , all $\overline{a}_{\mathfrak{v}}$ are contained in \mathfrak{v} . Now take any $a_{\mathfrak{v}} \in \mathfrak{o}$ such that the residue class of $a_{\mathfrak{v}} \mod \mathfrak{p}$ coincides with $\overline{a}_{\mathfrak{v}}$, and consider the equation $(\sharp): X^m + a_1 X^{m-1} + \cdots + a_m = 0$. Then since its reduction mod \mathfrak{p} is irreducible, it is irreducible over \mathfrak{o} ; hence also over $\mathbf{Q}'(\underline{j})$. Let ξ be any root of (\sharp) . Then $\mathbf{Q}'(\underline{j},\xi)/\mathbf{Q}'(\underline{j})$ is unramified with the residue field $F_p^a(\overline{j},\overline{\xi})$. But on the other hand, since $M\{k\}/\mathbf{Q}'(\underline{j})$ is unramified with the residue field $M\{\overline{k}\} \supset F_p^a(\overline{j},\overline{\xi})$, there is some intermediate field k' of $M\{k\}/\mathbf{Q}'(\underline{j})$ with the residue field $F_p^a(\overline{j},\overline{\xi})$. Now since unramified extensions are in one-to-one correspondence with the separable extensions of the residue field, we may assume that $\xi \in k'$ and that the residue class of ξ is $\overline{\xi}$. But since $a_{\mathfrak{v}} \in \mathfrak{o}$ for all \mathfrak{v} , ξ is contained in Θ ; hence $\overline{\xi} \in \overline{\Theta}$. Therefore, $\mathfrak{v}^i \subset \overline{\Theta}$, which completes the proof. \Box

§19. Proof of Proposition 7. Put $\psi_{\mathfrak{p}} = \mathfrak{p} \circ \psi$ (the composite place). Then $\psi_{\mathfrak{p}}$ is finite on $\mathfrak{o} = \mathfrak{o}_{\psi}$; hence is also finite on $\Theta = \Theta_{\psi}$. Then $\psi_{\mathfrak{p}}$ induces a ring homomorphism $\psi_{\mathfrak{p}}^0$ of Θ into F_p^a . On the other hand, let $\psi_{\mathfrak{p}}$ be the place of $M\{k\}$ given by \mathfrak{D} . Then $\psi_{\mathfrak{p}}$ is also finite on Θ , and hence induces a ring homomorphism $\psi_{\mathfrak{p}}^0$ of Θ into $M\{\overline{k}\}$. Now, by Lemma 1, we have $\ker \psi_{\mathfrak{p}}^0 = \Theta \cap \mathfrak{p} = p\Theta$, and hence

(65)
$$\operatorname{Ker} \psi_{\wp}^{0} \subset \operatorname{Ker} \psi_{\mathfrak{p}}^{0}.$$

Therefore, there is a unique homomorphism $\overline{\psi}^0$ of $\overline{\Theta} = \psi_{\wp}^0(\Theta)$ into \mathbf{F}_p^a such that $\overline{\psi}^0 \circ \psi_{\wp}^0 = \psi_{\wp}^0$. Extend this ring homomorphism $\overline{\psi}^0$ of $\overline{\Theta}$ to a place $\overline{\psi}$ of $M\{\overline{k}\}$. Then by its definition, it is clear that $\overline{\psi}$ satisfies the condition of Proposition 7; hence the existence.

To prove the uniqueness, let χ be any place of $M\{\bar{k}\}$ satisfying the condition of Proposition 7. It is obvious that χ must coincide with $\overline{\psi}$ on $\overline{\Theta}$. But by Lemma 2, $\overline{\Theta}$ is the integral closure of the valuation ring v of $\mathbf{F}_p^{\mathbf{a}}(\overline{j})$ attached to the place $\overline{j} \to \overline{\psi}(\overline{j})$ (identical on $\mathbf{F}_p^{\mathbf{a}})$ of $\mathbf{F}_p^{\mathbf{a}}(\overline{j})$, and this place is nothing but the restriction of χ and $\overline{\psi}$ to $\mathbf{F}_p^{\mathbf{a}}(\overline{j})$. Therefore, χ and $\overline{\psi}$ must be equivalent, i.e., they must be equal up to an automorphism of $\mathbf{F}_p^{\mathbf{a}}$. But since χ and $\overline{\psi}$ must be identical on $\mathbf{F}_p^{\mathbf{a}}$ (as follows immediately), we have $\chi = \overline{\psi}$, which proves the uniqueness.

§20. A lemma for the proof of Theorem 2. Consider the subring $O'[j, j^{-1}, (j-12^3)^{-1}]$ of $\mathbf{Q}'(j)$. It is easy to see that this ring is nothing but the intersection of the valuation rings O_v of v, where v runs over all discrete valuations of $\mathbf{Q}'(j)$ such that $v(j) = v(j-12^3) = v(b) = 0$ for all p-units $b \in \mathbf{Q}'$. In particular, v(n) = 0 for all $n \not\equiv 0$ (mod p) for such v. But by §12 and Proposition 4, $x_{\alpha\beta}$ are \widetilde{v} -finite for any extensions \widetilde{v} of such v; hence all $x_{\alpha\beta}$ are integral over O_v ; hence the elementary symmetric functions of all conjugates of $x_{\alpha\beta}$ over $\mathbf{Q}'(j)$ are contained in $\bigcap_v O_v = O'[j, j^{-1}, (j-12^3)^{-1}]$; hence

(66)
$$x_{\alpha\beta}$$
 are integral over $O'[j, j^{-1}, (j-12^3)^{-1}]$ for all $(\alpha, \beta) \neq (0, 0)$.

Actually, we can prove more; namely,

LEMMA 3. $x_{\alpha\beta}$ are integral over $O'[(j-12^3)^{-1}]$.

Proof. With (66) on hand, it is enough to prove the existence of two places ψ_{ω} , $\psi_{i\infty}$ of $M\{k\}$ such that $\psi_{\omega}(j) = 0$, $\psi_{i\infty}(j) = \infty$, and that $\psi_{\omega}(x_{\alpha\beta})$, $\psi_{i\infty}(x_{\alpha\beta})$ are finite for all α, β . Define ψ_{ω} by $M(k) \ni F(3) \mapsto F(\omega)$, $\omega = \frac{1}{2}(-1 + \sqrt{-3})$. Then $\psi_{\omega}(j) = j(\omega) = 0$, $g_2(\omega) = 0$; hence $x'_{\alpha\beta}(\omega) = 0$; hence $\psi_{\omega}(x_{\alpha\beta}) = x_{\alpha\beta}(\omega) = -\frac{1}{12}$ for all α, β . On the other hand, define $\psi_{i\infty}$ by $M(k) \ni F(3) \mapsto F(i\infty)$. Then $\psi_{i\infty}(j) = j(i\infty) = \infty$, $g_2(i\infty) = \frac{\pi^4}{12}$, $g_3(i\infty) = \frac{\pi^6}{216}$, $\lim_{z \to i\infty} \wp(\alpha z + \beta | z, 1) = -\frac{\pi^2}{12} (\alpha \neq 0)$, $= (\frac{\pi}{2})^2 \left\{ \frac{1}{\sin^2(\pi\beta)} - \frac{1}{3} \right\} (\alpha = 0, \beta \neq 0)$. Hence

(67)
$$\psi_{i\infty}(x_{\alpha\beta}) = \begin{cases} 0 & \cdots \alpha \neq 0, \\ -\frac{1}{(2\sin\pi\beta)^2} & \cdots \alpha = 0, \beta \neq 0. \end{cases}$$

Since $\psi_{\omega}(x_{\alpha\beta})$, $\psi_{i\infty}(x_{\alpha\beta})$ are all finite, we obtain our lemma.

Remark. Note that we have actually proved that for $\alpha, \beta \in \mathbb{Z}/n\mathbb{Z}$, $(\alpha, \beta) \neq (0, 0)$,

(68)
$$x_{\alpha\beta}$$
 are integral over $\mathbb{Z}[n^{-1}, (j-12^3)^{-1}].$

Corollary. In the situation of Proposition 7, if $\psi(j) \not\equiv 12^3 \pmod{\mathfrak{p}}$, then all $x_{\alpha\beta}$ are contained in Θ_{ψ} .

§21. Proof of Theorem 2. First, we note the following. As noted in §15, the Galois group \overline{G} can be considered as a subgroup of G in a natural manner, and hence the (dense) subgroup:

(69)
$$\widetilde{\Gamma}^* = \{ \gamma \in GL_2(\mathbf{Z}^{(p)}) \mid \det \gamma \in \Pi \} / \pm 1$$

of \overline{G} acts both on M(k) and on $M(\overline{k})$ in a natural manner. Namely, $\gamma \in \widetilde{\Gamma}^*$ acts as $\gamma x_{\alpha\beta} = 0$ $x_{(\alpha\beta)\gamma}$ (on $M\{k\}$), $\gamma \bar{x}_{\alpha\beta} = \bar{x}_{(\alpha\beta)\gamma}$ (on $M\{\bar{k}\}$). On the other hand, if γ is moreover contained in the subgroup $PSL_2(\mathbb{Z})$ of $\widetilde{\Gamma}^*$, then γ acts on $M\{k\}$ in another way; namely, as $\gamma: M\{k\} \ni$ $F(\mathfrak{Z}) \mapsto F(\gamma \mathfrak{Z}) \in M(k)$. But by the definition of $x_{\alpha\beta} = x_{\alpha\beta}(\mathfrak{Z})$, it follows immediately that $x_{(\alpha\beta)\gamma}(\mathfrak{z}) = x_{\alpha\beta}(\gamma\mathfrak{z})$ for all (α,β) and all $\gamma \in PSL_2(\mathbb{Z})$; hence the above two ways of actions of $PSL_2(\mathbb{Z})$ on $M\{k\}$ are the same. Now, (64) for $\gamma \in PSL_2(\mathbb{Z})$ is a trivial consequence of this (in fact, we have the equality $\gamma \cdot \mathfrak{P}_z = \mathfrak{P}_{\gamma z}$ instead of the equivalence \equiv for such a γ , and the "restriction to \Re " is not essential here).

For the general case, i.e., for $\gamma \in \Gamma^*$ with $\gamma \notin PSL_2(\mathbb{Z})$, this argument does not apply. (For such γ , (64) is the strongest result; \equiv cannot be replaced by =, and the restriction to \Re is essential.) But since it is enough to prove (64) for the generators of Γ^* , it is enough to prove it for one element $\gamma \in \Gamma^*$ of the form $\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$ with $a, b, c, d \in \mathbb{Z}$, ad - bc = p.

Sometimes, this element $\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$ will be considered as an element of $\widetilde{\Gamma}^*$.

(I) The case $j(z) \not\equiv 0, 12^3 \pmod{p}$. For the sake of later necessity, let us only assume $ad - bc = p^n$ $(n \ge 1)$, and put $z' = \gamma z$, $j_0 = j(z)$, $j'_0 = j(z')$. Let $E = E_{j_0}$ be identified with the complex torus $\mathbb{C}/[z,1]$ by the elliptic functions x(u,z), y(u,z) (see (36)). Thus, for each $n \not\equiv 0 \pmod{p}$, $\alpha z + \beta \leftrightarrow (\alpha, \beta)$ gives an "n-adic" coordinate system on E, and the x-coordinate of $\alpha z + \beta$ is nothing but $x_{\alpha\beta}(z)$. Define $E' = E_{\beta'} \cong \mathbb{C}/[z', 1]$ and its coordinate system in the same manner. Then, there is an isogeny $\varphi: E' \to E$ which transforms the *n*-adic coordinates as $(\alpha', \beta') \mapsto (\alpha'\beta')\gamma$; in fact, the linear map $u \mapsto (cz+d)u$ of C induces such φ .

Now j_0 is an algebraic integer with $j_0 \not\equiv 0, 12^3 \pmod{\mathfrak{p}}$ by assumption; hence as is well-known, its p-power transform j'_0 has the same properties. Hence, E, E' and $\varphi \in$ Hom(E', E) have good reductions $\overline{E}, \overline{E'}, \overline{\varphi}$. Now let n = 1. Then since $v(\overline{\varphi}) = v(\varphi) = p$, we have either $v_s(\overline{\varphi}) = 1$ or = p. In the first case, we have $\overline{j_0} = \overline{j_0'}^p$, $\overline{E} = \overline{E'}^p$, and $\overline{\varphi} \, \overline{u'} = \pm \overline{u'}^p$ for all $\overline{u'} \in \overline{E'}$; whereas in the second case, we have $\overline{j_0} = \overline{j_0'}^{1/p}$, $\overline{E} = \overline{E'}^{1/p}$, and $\overline{\varphi} \, \overline{u}' = \pm (p\overline{u}')^{1/p}$ (The Kronecker's congruence relation modernized by Shimura; see [30] §3). Moreover, if the *n*-adic coordinates of \overline{E} , \overline{E}' are taken to be the reductions mod p of those of E, E', then $\overline{\varphi}$ induces the same transformation of n-adic coordinates as φ ; hence $\overline{\varphi}$ induces $(\alpha', \beta') \mapsto (\alpha'\beta')\gamma$. Therefore, by looking at the \overline{x} -coordinates of *n*-th division points (which are finite by §12), and denoting always by the residue classes mod p, we obtain

(70)
$$\overline{x_{(\alpha'\beta')\gamma}(z)} = \overline{x_{\alpha'\beta'}(\gamma z)}^p \text{ (the first case),}$$

$$= \overline{x_{p(\alpha'\beta')}(\gamma z)}^{1/p} \text{ (the second case).}$$

Now the rest follows almost formally. In fact, let ψ_z be the place of M(k) defined by $F(\mathfrak{z}) \mapsto F(z)$. Then since $\overline{j(z)} \neq 0$, 12^3 , all $x_{\alpha\beta}$ are contained in Θ_{ψ_z} (Corollary of Lemma 3). Hence $\overline{\psi}_z(\overline{x}_{\alpha\beta}) = \overline{x_{\alpha\beta}(z)}$ for all $(\alpha,\beta) \neq (0,0)$. Therefore, $\overline{\psi}_{\gamma z}(\overline{x}_{\alpha\beta}) = \overline{x_{\alpha\beta}(\gamma z)}$, and $(\overline{\psi}_z \circ \gamma)(\overline{x}_{\alpha\beta}) = \overline{x_{(\alpha\beta)\gamma}(z)}$. Now let ρ be the Frobenius automorphism $b \mapsto b^p$ of \mathbf{F}_p^a . In the first case of (70), put $\chi_1 = \rho^{-1} \circ \psi_z \circ \gamma$, $\chi_2 = \overline{\psi}_{\gamma z}$. Then by (70) and by $\overline{j_0} = \overline{j_0}^p$,

we have $\chi_1(\overline{j}) = \chi_2(\overline{j})$ and $\chi_1(\overline{x}_{\alpha\beta}) = \chi_2(\overline{x}_{\alpha\beta})$ for all $(\alpha, \beta) \neq (0, 0)$. Moreover, since the restriction of γ to \mathbf{F}_p^a coincides with ρ (because ad-bc=p), χ_1 and χ_2 are identities on \mathbf{F}_p^a . Since χ_1, χ_2 coincide on $\mathbf{F}_p^a(\overline{j})$, there is an automorphism $\overline{\sigma}$ of $M\{\overline{k}\}$ over $\mathbf{F}_p^a(\overline{j})$ such that $\chi_1 = \chi_2 \circ \overline{\sigma}$. But then, $\overline{\chi_{(\alpha\beta)\overline{\sigma}}(\gamma z)} = \overline{\chi_{\alpha\beta}(\gamma z)}$ for all α, β ; which, by §12, implies $\overline{\sigma} = 1$ (as an automorphism). Therefore, $\chi_1 = \chi_2$; i.e., $\rho \circ \overline{\psi}_{\gamma z} = \overline{\psi}_z \circ \gamma$; hence $\gamma \cdot \mathfrak{P}_z = \rho \circ \mathfrak{P}_{\gamma z} \equiv \mathfrak{P}_{\gamma z}$. In the second case of (70), we obtain, in the same manner, the equality $\rho^{-1} \circ \overline{\psi}_{\gamma z} = \overline{\psi}_z \circ (p^{-1}\gamma)$; hence by the restriction to \mathfrak{R} (and now by considering γ as an element of Γ^* instead of Γ^*), we obtain $\gamma \cdot \mathfrak{P}_z = \rho^{-1} \circ \mathfrak{P}_{\gamma z} \equiv \mathfrak{P}_{\gamma z}$. This settles the proof of the case $j(z) \not\equiv 0, 12^3$ (mod \mathfrak{p}).

(II) The case $j(z) \equiv 12^3 \pmod{\mathfrak{p}}^{16}$; $p \neq 2$. Let x'(u, 3), y'(u, 3), and $x'_{\alpha\beta} = x'_{\alpha\beta}(3)$ be as in §14, and put $e_1 = x'_{0,1/2}$, $e_2 = x'_{1/2,1/2}$, $e_3 = x'_{1/2,0}$; $\lambda = \frac{e_1 - e_2}{e_3 - e_2}$. Then we have $k_2 = k(\lambda)$, $j = 2^8 \frac{(1 - \lambda + \lambda^2)^3}{\lambda^2 (1 - \lambda)^2}$ (as well-known)¹⁷, and e_i (i = 1, 2, 3) are contained in k_2 . Let E_{λ}^* be the elliptic curve

(71)
$$\eta^2 = \xi(\xi - 1)(\xi - \lambda),$$

with the neutral element $(\xi, \eta) = (\infty, \infty)$. Then E_{λ}^* is defined over k_2 , and its absolute invariant is j. In general, (71) is an elliptic curve as long as $\lambda \neq 0$, 1, ∞ and the characteristic is not 2. Therefore, if v is any discrete valuation of k_2 such that $v(2) = v(\lambda) = v(\lambda - 1) = 0$, then we obtain an elliptic curve E_{λ}^* by passing to the residue class (which is a good reduction of E_{λ}^*). Put

(72)
$$\xi(u;\mathfrak{z}) = \frac{x'(u;\mathfrak{z}) - e_2(\mathfrak{z})}{e_3(\mathfrak{z}) - e_2(\mathfrak{z})},$$
$$\eta(u;\mathfrak{z}) = \frac{1}{2} \frac{y'(u;\mathfrak{z})}{(e_3(\mathfrak{z}) - e_2(\mathfrak{z}))^{3/2}};$$

and for each α, β , put $\xi_{\alpha\beta} = \xi_{\alpha\beta}(\mathfrak{z}) = \xi(\alpha\mathfrak{z}+\beta;\mathfrak{z}) = \frac{x'_{\alpha\beta}-e_2}{e_3-e_2}$. (In particular, $\xi_{0,1/2} = \lambda$, $\xi_{1/2,1/2} = 0$, $\xi_{1/2,0} = 1$.) Then, $(\xi(u;\mathfrak{z}), \eta(u;\mathfrak{z}))$ satisfies (71) for any $u \in \mathbb{C}$; hence for each $z \in \mathfrak{H}$, $E^*_{\lambda(z)}$ is naturally identified with the complex torus $\mathbb{C}/[z,1]$ by $(\xi,\eta) = (\xi(u;\mathfrak{z}),\eta(u;\mathfrak{z})) \Leftrightarrow u$, and $\xi_{\alpha\beta}(z)$ is nothing but the ξ -coordinate of the point $\alpha z + \beta$.

Now the proof goes parallel to the cases of $j(z) \not\equiv 0, 12^3 \pmod{\mathfrak{p}}$ by using E_{λ}^* instead of E_j . The following are the points to be specifically noted here.

(i) Instead of Lemma 3, we have:

(73)
$$\xi_{\alpha\beta}$$
 are integral over $O'[j]$.

In fact, by the argument parallel to that of §20, we see that $\xi_{\alpha\beta}$ are integral over $O'[\lambda, \lambda^{-1}, (\lambda - 1)^{-1}]$ (since 2 is a p-unit, by $p \neq 2$). But $\lambda, \lambda^{-1}, (\lambda - 1)^{-1}$ are integral

¹⁶Actually, this proof (of II) also covers all cases of I for $p \neq 2$, and III also covers all cases of I for $p \neq 3$. In this sense, (I) is unnecessary. However, (I) deals with the typical cases for all characteristics, and the proof requires no specific technical cares. So we preferred to give (I) with a full proof, and to give (II), (III) with only remarks on what should be added and what specific cares should be taken.

¹⁷In particular, by the substitution of special values, we have the following correspondences: $j = \infty \Leftrightarrow \lambda = 0, 1, \infty$; $j = 12^3 \Leftrightarrow \lambda = 1/2, 2, -1$; $j = 0 \Leftrightarrow \lambda = -\omega, -\omega^2$; where $\omega = \frac{1}{2}(-1 \pm \sqrt{-3})$.

over O'[3] as can be seen directly from the equation $(1 - \lambda + \lambda^2)^3 - 2^8 j \lambda^2 (1 - \lambda)^2 = 0$; hence (73).

(ii) Since it is enough to prove (64) for one generator γ of Γ^* over $PSL_2(\mathbf{Z})$, we may put $\gamma = \begin{pmatrix} p & 0 \\ 0 & 1 \end{pmatrix}$. But then, $\gamma \equiv 1 \pmod{2}$; hence γ acts trivially on k_2 ; hence leaves e_2, e_3 invariant; hence we have

$$\gamma \xi_{\alpha\beta} = \gamma \left(\frac{x'_{\alpha\beta} - e_2}{e_3 - e_2} \right) = \frac{\gamma x'_{\alpha\beta} - e_2}{e_3 - e_2} = \xi_{(\alpha\beta)\gamma}.$$

(iii) Here, the automorphisms of $\overline{E}^* = E_{\overline{\lambda(z)}}^*$ are not necessarily $\{\pm 1\}$. Hence we only have

(74)
$$\overline{\lambda}_{0} = \overline{\lambda}_{0}^{\prime p}, \ \overline{E}^{*} = \overline{E}^{*\prime p}, \ \overline{\varphi} \ \overline{u}^{\prime} = \varepsilon \overline{u}^{\prime p}, \dots \text{ the case } \nu_{s}(\overline{\varphi}) = 1,$$

$$\overline{\lambda}_{0} = \overline{\lambda}_{0}^{\prime 1/p}, \ \overline{E}^{*} = \overline{E}^{*\prime 1/p}, \ \overline{\varphi} \ \overline{u}^{\prime} = \varepsilon (p\overline{u}^{\prime})^{1/p}, \dots \text{ the case } \nu_{s}(\overline{\varphi}) = p,$$

with the corresponding notations and with some automorphism ε of \overline{E}^* . However, $\overline{\lambda}_0 = 1/2$ or 2 or -1 by assumption (since $\overline{j}_0 = 12^3$), and $\overline{\lambda}_0' = \overline{\lambda}_0^{p^{\pm 1}}$; hence $\overline{\lambda}_0' = \overline{\lambda}_0$. On the other hand, if $(\alpha, \beta) = (0, 1/2), (1/2, 1/2),$ or (1/2, 0), then $\overline{\xi_{\alpha\beta}(z)} = \overline{\lambda}_0, 0,$ or 1, and $\overline{\xi_{\alpha\beta}(\gamma z)} = \overline{\lambda}_0', 0,$ or 1 respectively; hence $\overline{\xi_{\alpha\beta}(z)} = \overline{\xi_{\alpha\beta}(\gamma z)} \in \mathbf{F}_p$. But by $\gamma \equiv 1 \pmod{2}$, we have $(\alpha, \beta)\gamma = (\alpha, \beta)$ for such α, β . Hence we have $\overline{\xi_{(\alpha\beta)\gamma}(z)} = \overline{\xi_{\alpha\beta}(\gamma z)}^p = \overline{\xi_{\alpha\beta}(\gamma z)}^{1/p}$; hence by applying (74) for the second division points \overline{u}' of $\overline{E}^{*'}$, we see that ε leaves all such division points invariant; hence by Proposition 1 (ii), we conclude $\varepsilon = \pm 1$.

(III) The case $j(z) \equiv 0 \pmod{\mathfrak{p}^{18}}$; $p \neq 3$. As in the Examples in §13, let μ be any root of

(75)
$$j = \left\{ \frac{3\mu(\mu^3 + 2^3)}{\mu^3 - 1} \right\}^3,$$

so that $\mu \in k_3$, and $k_3 = k(\mu, \omega)$ where $\omega = \frac{1}{2}(-1 + \sqrt{-3})$. Put $k_3' = k(\mu)$, and let E_{μ}^* be an elliptic curve over k_3' given by the projective coordinates as

(76)
$$X^3 + Y^3 + Z^3 = 3\mu XYZ$$
 (cf. Igusa [14]),

with the neutral element (X, Y, Z) = (-1, 1, 0). Then E^*_{μ} has the absolute invariant j, and in general, (76) is an elliptic curve as long as $\mu^3 \neq 1$ and the characteristic is not 3. Therefore, if v is any discrete valuation of k'_3 with $v(3) = v(\mu^3 - 1) = 0$, then we obtain an elliptic curve E^*_{μ} over the residue field by a good reduction of E^*_{μ} . Now put $\xi = \frac{Z}{X+Y+\mu Z}$. Then ξ is of order two on E^*_{μ} (as a rational function), and $\xi = \infty$ only at its origin. Since E^*_{μ} and E_j are defined over k'_3 and $E^*_{\mu} \cong E_j$, we can identify the two fields $k'_3(\xi) (= k'_3(E^*_{\mu})^0)$ (with the notations of §11) and $k'_3(x) (= k'_3(E_j)^0)$, x being the function on E_j giving the x-coordinate (see the argument in the proof of Proposition 2, noting that j, μ are transcendental over \mathbb{Q} , and hence that E^*_{μ} , E_j have no automorphisms other than ± 1). Hence ξ can be considered as a rational (and moreover linear) function f(x) of x over k'_3 . Put $\xi(u; \mathfrak{z}) = f(x(u; \mathfrak{z}))$, $\xi_{\alpha\beta}(\mathfrak{z}) = \xi(\alpha\mathfrak{z} + \beta; \mathfrak{z})$. Then for each $z \in \mathfrak{H}$, $E_{\mu}(z)$ can be naturally identified with the

¹⁸See the footnote given w.r.t. (the beginning of) (II). Note that $12^3 \equiv 0 \pmod{p}$ for p = 2, 3; hence (I) (II) (III) cover all cases.

complex torus $\mathbb{C}/[z,1]$ (up to \pm) by $\xi(u;z)\leftrightarrow u$, and $\xi_{\alpha\beta}(z)$ is the ξ -coordinate of the point $\alpha z + \beta$. Now the proof will be completed if we look at the proof in (I), remarks in (II), and if we further note the following:

- (i) $\xi_{\alpha\beta}$ are integral over O'[j]; (this can be proved in the same manner as in (II)). (ii) Take $\begin{pmatrix} p & 0 \\ 0 & 1 \end{pmatrix}$ as γ . Then there exists a solution μ of (75) that is invariant by γ . (In fact, for each solution μ of (75), the automorphism $\rho \neq 1$ of $k_3/k(\mu)$ is of order two and satisfies det $\rho = -1$. Moreover, the involutions $\rho \in G(k_3/k) \cong GL_2(\mathbb{Z}/3\mathbb{Z})$ with $\det \rho = -1$ are all conjugate with each other. Hence each such involution ρ fixes some solution μ . Hence if $p \equiv -1 \pmod{3}$, there exists some μ which is invariant by $\gamma \equiv \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix}$ (mod 3). If, on the other hand, $p \equiv 1 \pmod{3}$, γ acts trivially on k_3 ; hence there is no problem.) Take such μ . Then by the relation between ξ and x, we conclude $\gamma \xi_{\alpha\beta} = \xi_{(\alpha\beta)\gamma}$.
- (iii) In Igusa [14], p.456, the (X, Y, Z) coordinates of third division points of E_{μ}^* are given. From this, we obtain the values of $\xi_{\alpha\beta}$ for the third division points; they are 0, $\frac{1}{\mu-1}, \frac{1}{\mu-\omega}, \frac{1}{\mu-\omega^2}$. Among them, the first two are invariant by γ , and the latter two are not. Hence the first two are the values of $\xi_{0,1/3}$, $\xi_{1/3,0}$, and the latter two are of $\xi_{1/3,1/3}$, $\xi_{1/3,-1/3}$. On the other hand, the values of μ for j=0 are $\mu=0,-2,-2\omega,-2\omega^2$ by (75). These, together with Proposition 1 (iii), give all the necessary tools for the proof that a certain automorphism of $E_{\overline{\mu}_0}^*$ must be ± 1 (see (II) (iii)).

Decomposition of ordinary prime divisors of \bar{k} in \Re .

§22. Prime divisors of \bar{k} . Let \mathfrak{P}^0 be a prime divisor, i.e., an equivalence class of non-trivial places, of $\overline{k} = \mathbf{F}_p(\overline{j})$. Then \mathfrak{P}^0 is determined by $\mathfrak{P}^0(\overline{j})$ (the residue class of \overline{j} mod \mathfrak{P}^0), and by this, the set of all \mathfrak{P}^0 is in one-to-one correspondence with $\mathbf{F}_p^a/\sim \cup \{\infty\}$. Here, \sim denotes the conjugacy of elements of \mathbf{F}_p^a over \mathbf{F}_p . We shall call

(77)
$$\mathfrak{P}^{0}: infinite, \text{ if } \mathfrak{P}^{0}(\overline{j}) = \infty;$$
$$: supersingular, \text{ if } \mathfrak{P}^{0}(\overline{j}) \text{ is supersingular};$$

: ordinary, if otherwise.

(See §4 for the definition of supersingularity of elements of \mathbf{F}_{p}^{a}). Recall that the set of all supersingular elements of \mathbf{F}_p^a is finite, contained in \mathbf{F}_{p^2} , and is self-conjugate over \mathbf{F}_p .

Now we are going to determine the law of decomposition of \mathfrak{P}^0 in \mathfrak{R}/\bar{k} . First, we shall deal with the case where \mathfrak{P}^0 is an ordinary prime divisor. This is the case where our fundamental theorems (Theorems 1, 2) are directly applied. Roughly speaking, such \$90 has an extension (to \Re) of the form \Re_z ($z \in \Re$; see §17), and the decomposition group of \mathfrak{P}_z (in $\mathfrak{R}/\overline{k}$) is the topological closure (in $G(\mathfrak{R}/\overline{k})$) of the stabilizer Γ_z^* of z in Γ^* . Moreover,

the Frobenius automorphism of \mathfrak{P}_z is given by the "positive generator" of Γ_z^* . Thus, our first task is to define the positive generator of Γ_z^* .

§23. Positive elements of Γ_z^* . Let z be a Γ^* -fixed point and let Γ_z^* be its stabilizer, so that by the definition of Γ^* -fixed point, Γ_z^* is infinite. Let $\gamma \in \Gamma_z^*$, $\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in M_2(\mathbf{Z})$; (a, b, c, d) = 1. Put $D = \text{Deg } \gamma$ (see §3). Let Ω be the imaginary quadratic field generated by z (so, $\left(\frac{\Omega}{p}\right) = 1$; see §3), and let O_f be the order of the lattice [z, 1]; f being the conductor. Put $f = f_0 p^k$ with $f_0 \not\equiv 0 \pmod{p}$, and $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} z \\ 1 \end{pmatrix} = \pi \begin{pmatrix} z \\ 1 \end{pmatrix}$, so that $\pi = cz + d \in O_f$, $\pi \not\in pO_f$. Let O_1 be the maximal order of Ω .

Lemma 4. We have $\pi O_1 = p^k \mathfrak{p}_1^D$ with a prime factor \mathfrak{p}_1 of p in Ω , where k and D are as above.

PROOF. Put $\pi O_1 = p^k \mathfrak{p}_1^{D'}$ $(k', D' \ge 0)$, and $\pi_0 = \pi p^{-k'}$. Then $\pi_0 \in O_{f_0}$, but $\pi_0 \notin O_{pf_0}$. (In fact, if D' > 0, i.e., $\pi_0 \equiv 0 \pmod{\mathfrak{p}_1}$, then $\pi_0 \in O_{pf_0}$ would imply a contradiction $\pi_0 \in pO_1$; and if D' = 0, then π_0 is a root of unity and hence $[1, \pi_0] = O_1$; hence $\pi_0 \notin O_{pf_0}$. Therefore, $\pi \in O_{f_0p^{k'}}$ but $\notin O_{f_0p^{k'+1}}$; hence $k' \ge k$. On the other hand, $\pi \notin pO_f$; hence $\pi \notin p^{k+1}O_{f_0}$; hence k' = k. That D' = D follows immediately from the definition of $Deg \gamma$.

In particular, if we put $ad - bc = p^n$, then n = D + 2k.

Definition. With respect to a fixed prime divisor \mathfrak{p} of p in \mathbb{Q}^a , we shall call $\gamma \in \Gamma_z^*$ (with $\operatorname{Deg} \gamma > 0$) positive if \mathfrak{p}_1 coincides with the restriction of \mathfrak{p} to Ω .

By the definition of positivity and by §3, there exists an injective homomorphism φ of Γ_z^* into \mathbb{Q}_p^\times , sending each $\gamma \in \Gamma_z^*$ to a ratio of the eigenvalues of γ_p , such that γ is positive if and only if $\operatorname{ord}_p \varphi(\gamma) > 0$. Let $E = E_z^*$ be the torsion subgroup of Γ_z^* . An element $\gamma_0 \in \Gamma_z^*$ will be called a positive generator of Γ_z^* mod E, if γ_0 is positive and generates Γ_z^* mod E. Thus, if γ_0 is such an element, elements γ of Γ_z^* are expressed uniquely in the form $\gamma = \varepsilon \gamma_0^m$ with $\varepsilon \in E$, $m \in \mathbb{Z}$. It is clear then that $\operatorname{Deg} \gamma = |m|D$, and that γ is positive if and only if m > 0. Thus, γ is a positive generator of Γ_z^* mod E if and only if m = 1. It is also clear that if γ is positive and $\delta \in \Gamma^*$, then $\delta^{-1}\gamma\delta$ ($\in \Gamma_{\delta^{-1}z}^*$) is also positive. Hence we may speak of positive elliptic Γ^* -conjugacy classes (w.r.t. \mathfrak{p} , of course).

§24.

THEOREM 3. Let z be a Γ^* -fixed point, let Γ_z^* be its stabilizer in Γ^* , and let E_z^* be the torsion subgroup of Γ_z^* . Let γ be a positive generator of Γ_z^* mod E_z^* . Then,

- (i) the decomposition group of \mathfrak{P}_z in $\mathfrak{R}/\overline{k}$ is the topological closure of Γ_z^* in $G(\mathfrak{R}/\overline{k})$;
- (ii) the inertia group of \mathfrak{P}_z in $\mathfrak{R}/\overline{k}$ is E_z^* ;
- (iii) the Frobenius substitution is given by γ .

Before proving this, we shall give some of its direct corollaries.

Corollary 1. Let z, z' be Γ^* -fixed points. Then $\mathfrak{P}_{z'} \equiv \mathfrak{P}_z$ if and only if z' = z.

PROOF (OF COROLLARY 1). Let $z' \neq z$. If they are not Γ^* -conjugate with each other, then by Theorem 1 (§5), $\overline{j(z')}$ and $\overline{j(z)}$ are not conjugate over \mathbf{F}_p ; hence the restrictions to \overline{k} of \mathfrak{P}_z and $\mathfrak{P}_{z'}$ are already distinct. On the other hand, if $z' = \delta z \neq z$ with some $\delta \in \Gamma^*$, then δ does not centralize Γ_z^* ; hence δ is not contained in the topological closure of Γ_z^* in $G(\mathfrak{R}/\overline{k})$; hence by Theorem 3 (i), $\delta\mathfrak{P}_z \not\equiv \mathfrak{P}_z$; but by Theorem 2, $\mathfrak{P}_{\delta z} \equiv \delta\mathfrak{P}_z$; hence $\mathfrak{P}_{\delta z} \not\equiv \mathfrak{P}_z$. \square

Let

(78)
$$\wp(\bar{k})$$

be the set of all ordinary prime divisors of \bar{k} , and for each finite extension K of \bar{k} contained in \Re , let

be the set of all prime divisors of K which lie above $\wp(\bar{k})$. Let Γ_K^* be the subgroup of Γ^* corresponding to K (see §16). Then we have:

COROLLARY 2. Let z, z' be Γ^* -fixed points. Then the restrictions $\mathfrak{P}_z|_K$, $\mathfrak{P}_{z'}|_K$ are contained in $\wp(K)$, and they are equal ¹⁹ if and only if z, z' are Γ_K^* -equivalent.

PROOF. \mathfrak{P}_z sends \overline{j} to $\overline{j(z)}$, and $\overline{j(z)}$ is finite and not supersingular by Theorem 1; hence $\mathfrak{P}_z|_K$, etc. are contained in $\wp(K)$. Moreover, by Theorem 1, our statement is true for $K=\overline{k}$. Now suppose that z,z' are Γ_K^* -equivalent, and put $z'=\gamma z$ ($\gamma\in\Gamma_K^*$). Then by Theorem 2, $\mathfrak{P}_{z'}\equiv\gamma\cdot\mathfrak{P}_z$; hence $\mathfrak{P}_{z'}|_K\equiv\mathfrak{P}_z|_K$. Conversely, if $\mathfrak{P}_{z'}|_K\equiv\mathfrak{P}_z|_K$, then a priori $\mathfrak{P}_{z'}|_{\overline{k}}\equiv\mathfrak{P}_z|_{\overline{k}}$; hence $z'=\gamma z$ with some $\gamma\in\Gamma^*$. But then, $\gamma\mathfrak{P}_z|_K\equiv\mathfrak{P}_z|_K$; hence $\gamma\in G(\mathfrak{R}/K)X$, where X is the decomposition group of \mathfrak{P}_z . But by Theorem 3, X is the topological closure of Γ_z^* in $G(\mathfrak{R}/\overline{k})$; hence $\gamma\in G(\mathfrak{R}/K)\Gamma_z^*$. Put $\gamma=\gamma'\gamma_1$, with $\gamma_1\in\Gamma_z^*$, $\gamma'\in G(\mathfrak{R}/K)\cap\Gamma^*=\Gamma_K^*$. Then $z'=\gamma z=\gamma' z$; hence z' and z are Γ_K^* -equivalent.

As in Part 1, let $\wp(\Gamma_K^*)$ be the set of Γ_K^* -equivalence classes of all Γ^* -fixed points (or equivalently, Γ_K^* -fixed points). Then by Corollary 2, $z \mapsto \mathfrak{P}_z|_K$ gives a one-to-one correspondence $\mathcal{J}_K : \wp(\Gamma_K^*) \to \wp(K)$, and by the definition of \mathcal{J}_K , the diagram

(80)
$$\wp(\Gamma_{K}^{*}) \ni \{z\}_{\Gamma_{K}^{*}} \xrightarrow{\mathcal{I}_{K}} \mathfrak{P}_{z}|_{K} \in \wp(K)$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$\wp(\Gamma^{*}) \ni \{z\}_{\Gamma^{*}} \xrightarrow{\mathcal{I}^{*}} \mathfrak{P}_{z}|_{\overline{k}} \in \wp(\overline{k})$$

is commutative, a fact announced in §10. In particular, the law of decomposition of prime divisors of $\wp(\bar{k})$ in K is completely described by the corresponding elements of $\wp(\Gamma^*)$ (e.g., if $E_z^* = \{1\}$, it is described as in Conjecture 3, in the General Introduction).

Remark. That \mathcal{J}_K is Degree-preserving follows easily. Define the Degree of each element of $\wp(\Gamma_K^*)$ (resp. $\wp(K)$) in the same manner as in the definition of the Degree of

¹⁹As prime divisors of K; thus if we regard $\mathfrak{P}_{z|K}$, $\mathfrak{P}_{z'|K}$ as places of K, then we should say "equivalent" instead of "equal".

elements of $\wp(\Gamma^*)$ (§3) (resp. $\wp(\overline{k})$; §5, §22).²⁰ Let γ be a positive generator of Γ_z^* modulo the torsion subgroup E_z^* , and let f be the smallest positive integer such that $\gamma^f \cdot \varepsilon \in \Gamma_K^*$ with some $\varepsilon \in E_z^*$. Put $\gamma_1 = \gamma^f \varepsilon$. Then it is clear that γ_1 is a generator of $(\Gamma_K^*)_z$ (modulo $E_z^* \cap \Gamma_K^*$); hence $\text{Deg}\{z\}_{\Gamma_z^*} = f \cdot \text{Deg}\{z\}_{\Gamma_z^*}$, and γ_1 generates (topologically) the decomposition group of \mathfrak{P}_z in \mathfrak{R}/K (modulo the inertia). Since γ and f are positive, this shows that γ_1 gives a Frobenius substitution of \mathfrak{P}_z in \mathfrak{R}/K ; hence

$$\operatorname{Deg}(\mathfrak{P}_{z|K}) = f \cdot \operatorname{Deg}(\mathfrak{P}_{z|\overline{k}}) = f \cdot \operatorname{Deg}\{z\}_{\Gamma^*} = \operatorname{Deg}\{z\}_{\Gamma^*_K}$$

by Theorem 1, i.e., \mathcal{J}_K is Degree-preserving.

(In the case where the constant field of K is \mathbf{F}_{p^2} , or equivalently $\Gamma_K^* = \Gamma \subset PSL_2(\mathbf{Z}^{(p)})$, we may define degree $= \frac{1}{2}(\text{Degree})$ of elements of $\mathcal{O}(\Gamma_K^*)$, which corresponds to the degrees of prime divisors of K over \mathbf{F}_{p^2} . Of course, with these definitions, \mathcal{J}_K is also degree-preserving.)

§25. Proof of Theorem 3.

(I) The case $j(z) \not\equiv 0, 12^3 \pmod{\mathfrak{p}}$. In this case, z is not Γ^* -equivalent to $i = \sqrt{-1}$ or $\omega = \frac{1}{2} \left(-1 + \sqrt{-3}\right)^{21}$; hence Γ_z^* is infinite cyclic; hence $E_z^* = \{1\}$. Now by the Corollary of Proposition 3 and the Remark in §12 (applied for $k = \overline{k}, v : \overline{j} \to \overline{j(z)}$), $\overline{\psi}_z$ is unramified in all \overline{k}_n ; hence also in \Re (see §17 for $\overline{\psi}_z$). This settles (ii).

Now γ being as in Theorem 3, put $D = \text{Deg } \gamma$. Then $\overline{j(z)}$ is of degree D over \mathbf{F}_p (see Part 1), and hence the residue field of \overline{k} with respect to \mathfrak{P}_z is \mathbf{F}_{p^D} . By Theorem 2, we have $\delta\mathfrak{P}_z \equiv \mathfrak{P}_{\delta z}$ for all $\delta \in \Gamma_z^*$; hence the topological closure of Γ_z^* is contained in the decomposition group of \mathfrak{P}_z . On the other hand, the decomposition group is generated topologically by the Frobenius substitution; hence it is enough to show that γ gives the Frobenius substitution of \mathfrak{P}_z .

Now keep all the notations in §21, §23. Then since $\gamma z = z$, we have E' = E, and φ is a complex multiplication of E induced by the linear map $u \mapsto \pi u$ of \mathbb{C} . Moreover, O_f is the ring of endomorphisms of E, $\pi = cz + d \in O_f$, and $\pi O_1 = p^k \mathfrak{p}_1^D$ where $\mathfrak{p}_1 = \mathfrak{p} \cap \mathbb{Q}(z)$ (since γ is positive w.r.t. \mathfrak{p}). Now by M. Deuring [4] [7], the endomorphism ring of \overline{E} is naturally identified with O_{f_0} (by reduction mod \mathfrak{p}). But we have $\pi O_{f_0} = p^k \mathfrak{p}_{f_0}^D = \mathfrak{p}_{f_0}^{D+k} \mathfrak{p}_{f_0}^{\prime k}$, with $\mathfrak{p}_{f_0} = \mathfrak{p}_1 \cap O_{f_0}$, $\mathfrak{p}_{f_0}' = \mathfrak{p}_1' \cap O_{f_0}$, $\mathfrak{p}_1 \mathfrak{p}_1' = p$. Moreover, $\overline{\varphi}$ is nothing but π , considered as an element of O_{f_0} . Therefore, $v_s(\overline{\varphi}) = p^k$ and $v_i(\overline{\varphi}) = p^{D+k}$; hence $\overline{\varphi} \, \overline{u} = \pm (p^k \overline{u})^{p^D}$ holds for all $\overline{u} \in \overline{E}$ (see the argument in §21). Therefore,

(81)
$$\overline{x_{(\alpha\beta)\gamma}(z)} = \overline{x_{p^k(\alpha,\beta)}(z)}^{p^D}$$

holds for all α, β . Therefore by an argument similar to that in §21, we obtain $\chi_z = \rho^{-D} \circ \chi_z \circ (p^{-k}\gamma)$; hence $\mathfrak{P}_z \circ \gamma = \rho^D \circ \mathfrak{P}_z$. Therefore, γ is the Frobenius substitution of \mathfrak{P}_z in \mathfrak{R}/\bar{k} .

²⁰Thus, the Degree of the prime divisor of $\wp(K)$ is its degree over \mathbf{F}_p .

²¹By Theorem 1.

(II) The case $j(z) \equiv 12^3 \pmod{p}$. Since z is a Γ^* -fixed point, $\overline{j(z)} = 12^3 = \overline{j(i)}$ ($i = 12^3 =$ $\sqrt{-1}$) is not supersingular; hence z is Γ^* -equivalent to i (Theorem 1), and p is decomposed completely in Q(i); hence $p \equiv 1 \pmod{4}$ (in particular, $p \neq 2, 3$). Since z is Γ^* -equivalent to i, Γ_z^* is conjugate to Γ_i^* in Γ^* ; hence E_z^* is of order 2. By Theorem 2, the topological closure of Γ_z^* in $G(\Re/\bar{k})$ is contained in the decomposition group of \mathfrak{P}_z , and since the automorphism group of \mathbf{F}_{p}^{a} has no torsion (since it is isomorphic to $\bigoplus_{l} \mathbf{Z}_{l}$), E_{z}^{*} must act trivially on the residue field of \mathfrak{P}_z ; hence E_z^* is contained in the inertia group of \mathfrak{P}_z . Now, consider the subfield $\overline{k_2} = \overline{k}(\overline{\lambda}) = F_p(\overline{\lambda})$ of \Re , λ being as in §21 (II). Then in $M(\overline{k})/\overline{k_2}$, all discrete valuations v of \overline{k}_2 with $v(\overline{\lambda}) = v(\overline{\lambda} - 1) = 0$ are unramified (this is rather well-known, and can be seen by an argument exactly parallel to that in the proof of the Corollary of Proposition 3, by using the elliptic curve $\eta^2 = \xi(\xi - 1)(\xi - \overline{\lambda})$ and its good reductions). On the other hand, the decomposition of $\mathfrak{P}^0 = \mathfrak{P}_z|_{\overline{k}}$ in \overline{k}_2 is of the form $\mathfrak{P}^0=(\mathfrak{P}^{(1)}\mathfrak{P}^{(2)}\mathfrak{P}^{(3)})^2$, where $\mathfrak{P}^{(1)},\mathfrak{P}^{(2)},\mathfrak{P}^{(3)}$ send $\overline{\lambda}$ to $2,\frac{1}{2},-1$ respectively. Therefore, the ramification index of \mathfrak{P}_z in $\mathfrak{R}/\overline{k}$ is 2. Therefore, by what we have seen, the inertia group of \mathfrak{P}_z in \mathfrak{R}/\bar{k} is E_z^* . Moreover, the above decomposition of \mathfrak{P}^0 shows that the relative degrees of $\mathfrak{P}^{(i)}$ are 1; hence the decomposition group of \mathfrak{P}_z in $G(\mathfrak{R}/\bar{k})$ is the direct product of its intersection with $G(\Re/\bar{k}_2)$ and E_2^* . In particular, there is a positive generator γ of $\Gamma_z^* \mod E_z^*$ such that $\gamma \equiv 1 \pmod 2$. Now it is enough to prove that γ gives a Frobenius substitution. But this proof can be obtained exactly in the same manner as in the case of $j(z) \not\equiv 0, 12^3 \pmod{\mathfrak{p}}$, by applying the results of §21 (II) instead of §21 (I).

(III) The case $j(z) \equiv 0 \pmod{p}$. In this case, z is Γ^* -equivalent to $\omega = \frac{1}{2} \left(-1 + \sqrt{-3} \right)$, $p \equiv 1 \pmod{3}$ (in particular, $p \neq 2, 3$), and E_z^* is of order 3. Consider $\overline{k}_3 = \overline{k}(\overline{\mu}, \overline{\omega})$, μ being as in §21 (III). Then $\overline{k}_3 \subset \Re$, and the decomposition of $\Re^0 = \Re_z|_{\overline{k}}$ in \overline{k}_3 is of the form $\Re^0 = (\Re^{(1)}\Re^{(2)}\Re^{(3)}\Re^{(4)})^3$, where $\Re^{(i)}$ (i = 1, 2, 3, 4) send $\overline{\mu}$ to $0, -2, -2\omega, -2\omega^2$ respectively. Note that $\overline{\omega} \in \mathbb{F}_p$, since $p \equiv 1 \pmod{3}$. Now our proof proceeds exactly in the same manner as above, by using the results of §21 (III).

This completes the proof of Theorem 3.

Decomposition of supersingular prime divisors of \bar{k} in \Re .

§26. Now we are going to study the law of decomposition in \Re/\overline{k} of supersingular prime divisors \mathfrak{P}^0 of \overline{k} (see §22 for its definition). Recall that if \mathfrak{P}^0 is supersingular, then \mathfrak{P}^0 is of degree either one or two, i.e., either $\mathfrak{P}^0(\overline{k}) = \mathbf{F}_p$ or $= \mathbf{F}_{p^2}$.

THEOREM 4. Let \mathfrak{P}^0 be a supersingular prime divisor of \overline{k} , and let \mathfrak{P} be any extension of \mathfrak{P}^0 to \mathfrak{R} . Then the residue field of $\mathfrak{R} \mod \mathfrak{P}$ is \mathbf{F}_{p^2} ;

$$\mathfrak{P}(\mathfrak{R}) = \mathbf{F}_{p^2}.$$

COROLLARY. Let \mathfrak{P}^0 be as above. Then,

(i) if \mathfrak{P}^0 is of degree two, it is unramified and is decomposed completely in \mathfrak{R} ;

(ii) if \mathfrak{P}^0 is of degree one, then \mathfrak{P}^0 remains inert ("träge") in the quadratic constant field extension $\mathbf{F}_{p^2}\overline{k}$, but in $\mathfrak{R}/\mathbf{F}_{p^2}\overline{k}$, the decomposition group of \mathfrak{P} coincides with the inertia group.

Proof of the Corollary. (ii) follows trivially from Theorem 4. As for (i), we need only check that \mathfrak{P}^0 is unramified. Put $\mathfrak{P}^0(\bar{j}) = \bar{j}_0$. Then since \mathfrak{P}^0 is of degree two, \bar{j}_0 is of degree two over \mathbf{F}_p ; hence, in particular, $\bar{j}_0 \neq 0$, 12^3 . Now the unramifiedness follows directly from the Corollary of Proposition 3 and Remark in §12.

REMARK 1. Put $\mathfrak{P}^0(\bar{j}) = \bar{j}_0$. Then, according to Igusa [14], the inertia group of \mathfrak{P} in \mathfrak{R}/\bar{k} is isomorphic to

(a) {1}
$$\cdots \overline{j}_0 \neq 0, 12^3;$$
(b) cyclic group of order 2
$$\cdots \overline{j}_0 = 12^3, \ p \neq 2, 3;^{22}$$
(c) cyclic group of order 3
$$\cdots \overline{j}_0 = 0, \ p \neq 2, 3;$$
(d) \mathfrak{S}_3 (symmetric group)
$$\cdots \overline{j}_0 = 0 = 12^3, \ p = 3;$$
(e) \mathfrak{A}_4 (alternating group)
$$\cdots \overline{j}_0 = 0 = 12^3, \ p = 2.$$

On the other hand, \mathfrak{P} is unramified in $\mathfrak{R}/\overline{k}_2$ $(p \neq 2)$ and also in $\mathfrak{R}/\overline{k}_3$ $(p \neq 3)$.

REMARK 2. Let K be any finite extension of \overline{k} contained in \Re , so that K is an algebraic function field whose (exact) constant field is either \mathbf{F}_p or \mathbf{F}_{p^2} . Call a prime divisor \Re of K supersingular if it lies above a supersingular prime divisor \Re^0 of \overline{k} , and suppose now that the constant field of K is \mathbf{F}_{p^2} . Then by Theorem 4, all supersingular \Re of K are of degree one over \mathbf{F}_{p^2} , and moreover, if we put $n = [K : \mathbf{F}_{p^2} \cdot \overline{k}]$ and

(83)
$$e = \begin{cases} 1 & \cdots \mathfrak{P}^{0}(\overline{j}) \neq 0, 12^{3}, \\ 2 & \cdots \mathfrak{P}^{0}(\overline{j}) = 12^{3}, \ p \neq 2, 3, \\ 3 & \cdots \mathfrak{P}^{0}(\overline{j}) = 0, \ p \neq 2, 3, \\ 6 & \cdots \mathfrak{P}^{0}(\overline{j}) = 0 = 12^{3}, \ p = 3, \\ 12 & \cdots \mathfrak{P}^{0}(\overline{j}) = 0 = 12^{3}, \ p = 2, \end{cases}$$

then the number of $\mathfrak P$ lying above $\mathfrak P^0$ is at least equal to n/e. Therefore, by the roughest estimation, the number of prime divisors of K of degree one is at least equal to $\frac{n}{12}$. On the other hand, let M be the smallest positive integer for which K has a non-singular model over $\mathbf F_{p^2}$ in the projective space $\mathbf P^M$. Then, since the number of $\mathbf F_{p^2}$ -rational points of $\mathbf P^M$ is $\frac{p^{2M+2}-1}{p^2-1}$, the number of prime divisors of K of degree one is at most equal to $\frac{p^{2M+2}-1}{p^2-1}$. Therefore, we have $p^{2M+2} \geq 1 + \frac{n}{12}(p^2-1)$, which implies $\lim_{n\to\infty} M = \infty$; thus,

(84) the smallest dimension of the projective space in which K has a non-singular model over \mathbf{F}_{p^2} tends to infinity as $[K:\bar{k}] \to \infty$.

 $[\]overline{22\overline{j}_0} = 12^3$ (resp. $\overline{j}_0 = 0$) is supersingular if and only if $p \not\equiv 1 \pmod{4}$ (resp. $p \not\equiv 1 \pmod{3}$).

As an example, let $N \not\equiv 0 \pmod{p}$, put

(85)
$$\Gamma(N) = \left\{ \gamma \in SL_2(\mathbf{Z}^{(p)}) \mid \gamma \equiv \pm 1 \pmod{N} \right\} / \pm 1,$$

and let K_N be the subfield of \Re corresponding to $\Gamma(N)$ (so that $K_N \supset \mathbb{F}_{p^2}$). Then if N > 1, \Re/K_N is unramified; hence by a simple computation, the number of supersingular prime divisors of K_N is $\frac{n}{12}(p-1)$, where $n = [K_N : \mathbb{F}_{p^2} \cdot \overline{k}] = (PSL_2(\mathbb{Z}^{(p)}) : \Gamma(N)) = 6$ $(N=2), = \frac{N^3}{2} \prod_{N} \left(1 - \frac{1}{l^2}\right) (N > 2)$; hence $p^{2M+2} \ge 1 + \frac{n}{12}(p-1)(p^2-1)$. For example, for (p, N) = (2, 9) or (3, 11), we have $M \ge 3$, and for (p, N) = (2, 13) or (3, 23), we have $M \ge 4$.

§27. Proof of Theorem 4. Put $\mathfrak{P}^0(\overline{j}) = \overline{j}_0$.

(I) The case $\overline{j}_0 \neq 0, 12^3$. Let $\widetilde{\mathfrak{P}}$ be an extension of \mathfrak{P} to $\bigcup_{n\neq 0 \pmod p} \overline{k}(E_{\overline{j}}(n))$. Since $\overline{j}_0 \neq 0, 12^3$, $\widetilde{\mathfrak{P}}$ gives a good reduction $E_{\overline{j}} \to E_{\overline{j}_0}$, and hence induces an isomorphism $E_{\overline{j}}(n) \cong E_{\overline{j}_0}(n)$ for each $n \not\equiv 0 \pmod p$. On the other hand, we know that there is an isomorphism $E_{\overline{j}}(n) \cong (\mathbb{Z}/n\mathbb{Z})^2$ (unique up to ± 1) such that for any (α, β) , $\overline{x}_{\alpha\beta}$ is the x-coordinate of the point of $E_{\overline{j}}(n)$ which corresponds to (α, β) (see §15). Thus the above two isomorphisms induce the isomorphism $E_{\overline{j}_0}(n) \cong (\mathbb{Z}/n\mathbb{Z})^2$, where the x-coordinate of the point of $E_{\overline{j}_0}(n)$ corresponding to (α, β) is the residue class of $\overline{x}_{\alpha\beta}$ mod $\widetilde{\mathfrak{P}}$, which we denote by $\overline{x}_{\alpha\beta}^0$. So, $\overline{x}_{\alpha\beta}^0$ are finite, and $\overline{x}_{\alpha\beta}^0 = \overline{x}_{\alpha'\beta'}^0$ if and only if $(\alpha, \beta) = \pm(\alpha', \beta')$ (see §12).

Now we claim that

(86)
$$\overline{u}^{p^2} = \pm p\overline{u}; \quad \text{for } \overline{u} \in E_{\overline{j}_0}.$$

In fact, since \overline{j}_0 is supersingular, $\overline{u} \mapsto p \cdot \overline{u}$ is purely inseparable of degree p^2 ; hence $\overline{u} \mapsto (p\overline{u})^{1/p^2}$ is an automorphism of $E_{\overline{j}_0}$, which is ± 1 since $\overline{j}_0 \neq 0$, 12^3 (Proposition 1); hence (86). Hence $\overline{x}_{p(\alpha,\beta)}^0 = (\overline{x}_{\alpha\beta}^0)^{p^2}$ holds for all α,β . Now let σ be a Frobenius substitution of $\widetilde{\mathfrak{P}}$ over $\mathbf{F}_{p^2}\overline{k}$. Then $\overline{x}_{(\alpha,\beta)\sigma}^0 = \overline{x}_{\alpha\beta}^0$; hence $\overline{x}_{(\alpha\beta)\sigma}^0 = \overline{x}_{p(\alpha\beta)}^0$ for all α,β . Therefore, $(\alpha,\beta)\sigma = \pm p(\alpha,\beta)$ holds for all α,β ; which implies $\sigma = \pm p \in \pm \overline{\Pi}$; hence $\sigma|_{\mathfrak{R}} = 1$. But this implies $\mathfrak{P}(\mathfrak{R}) = \mathbf{F}_{p^2}$; hence settles the proof for this case.

- (II) The case $\bar{j}_0 = 12^3$, $p \neq 2$. Since \mathfrak{P} sends $\bar{\lambda}$ to either $2, \frac{1}{2}$, or -1, the residue field of $\mathbf{F}_{p^2}\bar{k}_2 = \mathbf{F}_{p^2}(\bar{\lambda})$ is \mathbf{F}_{p^2} . Now by using the elliptic curve of §21 (II), we obtain, exactly in the same manner as above, that the relative degree of \mathfrak{P} in $\mathfrak{R}/\mathbf{F}_{p^2}\bar{k}_2$ is one; i.e., $\mathfrak{P}(\mathfrak{R}) = \mathfrak{P}(\mathbf{F}_{p^2}\bar{k}_2) = \mathbf{F}_{p^2}$.
- (III) The case $\overline{j}_0 = 0$, $p \neq 3$. Since \mathfrak{P} sends $\overline{\mu}$ to either $0, -2, -2\overline{\omega}$, or $-2\overline{\omega}^2$ (where $\omega = \frac{1}{2}(-1 + \sqrt{-3})$), the residue field of $\mathbf{F}_{p^2}\overline{k}_3 = \mathbf{F}_{p^2}(\overline{\mu})$ is \mathbf{F}_{p^2} . Thus we obtain the theorem for this case by using the elliptic curve of §21 (III). Since $12^3 = 0$ if p = 2 or 3, this completes the proof of Theorem 4.

Decomposition of the infinite prime divisor of \bar{k} in \Re .

§28. Now it only remains to study the law of decomposition in \Re of the *infinite* prime divisor \Re^0 of \overline{k} (see §22 for its definition). Let $z \in \mathbb{Q} \cup \{i\infty\}$ be a cusp of $PSL_2(\mathbb{Z})$, let ψ_z be the place of $M\{k\}$ defined by $F(\mathfrak{Z}) \to F(z)$, and let $\overline{\psi}_z$ be the place of $M\{\overline{k}\}$ associated to ψ_z by Proposition 7. Let \Re_z be the restriction of $\overline{\psi}_z$ to \Re . Then $\Re_z|_{\overline{k}} = \Re^0$; hence it is enough to find out the inertia and the decomposition groups of \Re_z in \Re/\overline{k} . The result is as follows:

THEOREM 5.23 Let z be a cusp of $PSL_2(\mathbb{Z})$. Then,

(i) we have

(87)
$$\gamma \mathfrak{P}_{z} \equiv \mathfrak{P}_{\gamma z}, \quad \text{for any } \gamma \in \Gamma^{*};$$

(ii) Put

(88)
$$\begin{cases} H^0 = \{ \gamma \in \Gamma^* \mid \gamma z = z, \ \gamma : parabolic \} \cup \{1\}, \\ H = \{ \gamma \in \Gamma^* \mid \gamma z = z \}. \end{cases}$$

Then the inertia and the decomposition groups of \mathfrak{P}_z in $\mathfrak{R}/\overline{k}$ are the topological closures in $G(\mathfrak{R}/\overline{k})$ of H^0 and of H respectively.

REMARK. In particular, when $z = i\infty$, the groups H^0 , H are given by

$$\begin{cases} H^0 = \begin{pmatrix} 1 & \mathbf{Z}^{(p)} \\ 0 & 1 \end{pmatrix}, \\ H = \left\{ \begin{pmatrix} p^m & b \\ 0 & p^n \end{pmatrix} \middle| m, n \in \mathbf{Z}, b \in \mathbf{Z}^{(p)} \right\} \middle/ \Pi. \end{cases}$$

Proof.

(I) The proof of (ii) for $z=i\infty$. Put $\psi=\psi_{i\infty}, \overline{\psi}=\overline{\psi}_{i\infty}$, and $\mathfrak{P}=\mathfrak{P}_{i\infty}$. Put $\gamma=\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$. By Proposition 7, if \mathfrak{o}_{ψ} is the second ring of (60) and if Θ_{ψ} is its integral closure in $M\{k\}$, then $\overline{\psi}$ is the unique place of $M\{\overline{k}\}$ that sends $\overline{F(\mathfrak{z})}$ to $\overline{F(i\infty)}$ for all $F(\mathfrak{z})\in\Theta_{\psi}$. But since $\gamma\in PSL_2(\mathbf{Z})$, we have $\gamma(\overline{F(\mathfrak{z})})=\overline{F(\gamma\mathfrak{z})}$, and since $\gamma(i\infty)=i\infty$, we see that the place $\overline{\psi}\circ\gamma$ (of $M\{\overline{k}\}$) also sends $\overline{F(\mathfrak{z})}$ to $\overline{F(i\infty)}$ for all $F(\mathfrak{z})\in\Theta_{\psi}$. Therefore, $\overline{\psi}=\overline{\psi}\circ\gamma$; hence γ is contained in the inertia group of $\overline{\psi}$. This proves that the inertia group of \mathfrak{P} contains the topological closure of H^0 , i.e., $\prod_{l\neq p}\begin{pmatrix} 1 & \mathbf{Z}_l \\ 0 & 1 \end{pmatrix}$.

Conversely, let $\sigma \in G(M\{\overline{k}\}/\overline{k})$ be such that $\sigma = 1$ on \mathbf{F}_p^a and that $\overline{x_{(\alpha\beta)\sigma}(i\infty)} = \overline{x_{\alpha\beta}(i\infty)}$ for all α, β . We claim that such σ is contained in $\prod_{l \neq p} \begin{pmatrix} 1 & \mathbf{Z}_l \\ 0 & 1 \end{pmatrix}$. To see this, put $\sigma = \prod_{l \neq p} \sigma_l$, with $\sigma_l = \begin{pmatrix} a_l & b_l \\ c_l & d_l \end{pmatrix} \in GL_2(\mathbf{Z}_l)$. Since $\sigma = 1$ on \mathbf{F}_p^a , we have $\det \sigma_l = 1$ $(l \neq p)$. But by (67), we have $x_{\alpha\beta}(i\infty) = 0$ $(\alpha \neq 0)$, $= -(2\sin\pi\beta)^{-2}$ $(\alpha = 0, \beta \neq 0)$; hence by $\frac{2^3}{2^3}$ See the Corollary below, for the Frobenius substitution.

 $\overline{x_{(\alpha\beta)\sigma}(i\infty)} = \overline{x_{\alpha\beta}(i\infty)}$ (for all α, β), we obtain $c_l = 0$, $(d_l)_l = \pm 1$; hence $\sigma \in \prod_{l \neq p} \begin{pmatrix} 1 & \mathbf{Z}_l \\ 0 & 1 \end{pmatrix}$. Now if σ is an element of the inertia group of $\overline{\psi}$, we have $\overline{\psi} \circ \sigma(\overline{x_{\alpha\beta}}) = \overline{\psi}(\overline{x_{\alpha\beta}})$; but since $x_{\alpha\beta} \in \Theta_{\psi}$ (by the Corollary of Lemma 3), we have $\overline{\psi} \circ \sigma(\overline{x_{\alpha\beta}}) = \overline{x_{(\alpha\beta)\sigma}(i\infty)}$, $\overline{\psi}(\overline{x_{\alpha\beta}}) = \overline{x_{\alpha\beta}(i\infty)}$; hence σ satisfies the above conditions. Therefore, the inertia group of $\overline{\psi}$ is contained in $\prod_{l \neq p} \begin{pmatrix} 1 & \mathbf{Z}_l \\ 0 & 1 \end{pmatrix}$; hence together with what we have shown already, we conclude that the inertia group of $\mathfrak P$ in $\mathfrak R/\overline k$ is $\prod_{l \neq p} \begin{pmatrix} 1 & \mathbf{Z}_l \\ 0 & 1 \end{pmatrix}$.

Since the decomposition group is generated by a Frobenius substitution modulo the inertia group, it is now enough to show that $\begin{pmatrix} 1 & 0 \\ 0 & p \end{pmatrix}$ gives a Frobenius substitution. Put $\overline{\psi}' = \rho^{-1} \circ \overline{\psi} \circ \begin{pmatrix} 1 & 0 \\ 0 & p \end{pmatrix}$, ρ being the Frobenius automorphism of \mathbf{F}_p^a . Then $\overline{\psi}' = \overline{\psi}$ on $\mathbf{F}_p^a(\overline{j})$; hence there is an automorphism $\sigma \in G\left(M(\overline{k})/\mathbf{F}_p^a\overline{k}\right)$ such that $\overline{\psi}' = \overline{\psi} \circ \sigma$. But $\overline{\psi}'$ and $\overline{\psi} \circ \sigma$ send $\overline{x}_{\alpha\beta}$ to $\overline{x_{\alpha,p\beta}(i\infty)}^{1/p} = \overline{x_{\alpha\beta}(i\infty)}$ and $\overline{x_{(\alpha\beta)\sigma}(i\infty)}$ respectively; hence $\overline{x_{\alpha\beta}(i\infty)} = \overline{x_{(\alpha\beta)\sigma}(i\infty)}$; hence by the above argument, σ is contained in the inertia group of $\overline{\psi}$; hence $\overline{\psi}' = \overline{\psi}$; hence $\overline{\psi} \circ \begin{pmatrix} 1 & 0 \\ 0 & p \end{pmatrix} = \rho \circ \overline{\psi}$; hence $\begin{pmatrix} 1 & 0 \\ 0 & p \end{pmatrix}$ gives a Frobenius substitution for $\overline{\psi}$ and hence also for \mathfrak{P} . This settles (I).

- (II) The proof of (i). Note first that $\gamma \mathfrak{P}_z = \mathfrak{P}_{\gamma z}$ holds if $\gamma \in PSL_2(\mathbb{Z})$. Note, moreover, that since $PSL_2(\mathbb{Z})$ acts transitively on the set of all cusps of $PSL_2(\mathbb{Z})$, it is enough to prove (87) only for the case $z = i\infty$. Thus, let $z = i\infty$, put $\gamma z = \delta z$ with $\delta \in PSL_2(\mathbb{Z})$, and put $\gamma = \delta \gamma_1$. Then γ_1 is upper triangular, and hence by (I), it is contained in the decomposition group of $\mathfrak{P}_{i\infty}$. Therefore, $\gamma \mathfrak{P}_{i\infty} = \delta \gamma_1 \mathfrak{P}_{i\infty} \equiv \delta \mathfrak{P}_{i\infty} = \mathfrak{P}_{\delta(i\infty)} = \mathfrak{P}_{\gamma(i\infty)}$; hence (II) is settled.
- (III) The proof of (ii) for the general z. Put $z = \delta(i\infty)$ ($\delta \in PSL_2(\mathbf{Z})$). Then $\mathfrak{P}_z = \mathfrak{P}_{\delta(i\infty)} = \delta\mathfrak{P}_{i\infty}$; hence the inertia (resp. the decomposition) group of \mathfrak{P}_z is the transform by δ of the inertia (resp. the decomposition) group of $\mathfrak{P}_{i\infty}$. This settles (III), and hence completes the proof of Theorem 5.

We have also proved:

Corollary. The inertia and the decomposition groups of $\mathfrak{P}_{i\infty}$ are:

(89)
$$\begin{cases} \text{the inertia group} = \left\{ \begin{pmatrix} 1 & \beta \\ 0 & 1 \end{pmatrix} \middle| \beta \in \prod_{l \neq p} Z_l \right\}, \\ \text{the decomposition group} = \left\{ \begin{pmatrix} \alpha & \beta \\ 0 & \delta \end{pmatrix} \middle| \alpha, \delta \in \overline{\Pi}, \ \beta \in \prod_{l \neq p} Z_l \right\} \middle| \pm \overline{\Pi}. \end{cases}$$

Moreover, a Frobenius substitution is given by $\begin{pmatrix} 1 & 0 \\ 0 & p \end{pmatrix}$.

Reformulation in terms of non-abelian classfields; Main Theorems (Γ^* -1) ~ (Γ^* -3), and Conjecture Γ^* .

Now we shall summarize our above results in terms of "non-abelian classfield theory".²⁴ In this formulation, elliptic curves are completely eliminated. Throughout §29 \sim §31, p is a fixed prime factor of p in \mathbb{Q}^a .

§29. Definition of classfields. As before, put

$$\Gamma^* = \{ \gamma \in GL_2(\mathbf{Z}^{(p)}) \mid \det \gamma \in \Pi \} / \pm \Pi,$$

and let $\wp(\Gamma^*)$ be the set of all Γ^* -equivalence classes of all Γ^* -fixed points z on $\mathfrak H$ (so that, by definition, Γ_z^* is infinite for such z; see §1 \sim §2). On the other hand, put now $K^* = \mathbf F_p(\overline{j})$, \overline{j} being a variable over $\mathbf F_p$, and let $\wp(K^*)$ be the set of all ordinary prime divisors (cf. §22) of K^* , so that $\wp(K^*)$ is naturally identified with $\mathbf F_p^a - S/\sim$, where S ($\subset \mathbf F_{p^2}$) is the set of all supersingular elements and \sim denotes the conjugacy over $\mathbf F_p$ (see §4, §5). In Part 1 (Theorem 1), we have proved that $z \mapsto j(z) \mod \mathfrak p$ induces a degree-preserving bijection $\mathcal J^*: \wp(\Gamma^*) \to \wp(K^*)$. Since $\mathcal J^*$ depends on the choice of $\mathfrak p$, we shall denote $\mathcal J^* = \mathcal J_p^*$ when necessary.

Now let Γ' be any normal subgroup of Γ^* with finite index. A finite Galois extension K' over K^* will be called a Γ' -classfield (over K^*), or a classfield attached to Γ' (over K^*), if the following condition (\sharp) is satisfied:

(#) An ordinary prime divisor \mathfrak{P}^0 of K^* is decomposed completely in K' if and only if Γ_z^* is contained in Γ' ; where $z \in \mathfrak{H}$ is a representative of the Γ^* -equivalence class $\mathcal{J}_p^{*-1}(\mathfrak{P}^0)$, and Γ_z^* denotes its stabilizer in Γ^* .

Here, note that if we take another representative z_1 of $\mathcal{J}_{\mathfrak{p}}^{*-1}(\mathfrak{P}^0)$, then $z_1 = \delta z$ with some $\delta \in \Gamma^*$; hence $\Gamma_{z_1}^* = \delta \Gamma_z^* \delta^{-1}$; hence the above condition does not depend on the choice of z. The dependency of this condition on the choice of \mathfrak{p} (which is of quite a subtle nature) will be studied in §32 (Proposition 13).

§30. Main theorems (Γ^* -1) ~ (Γ^* -3).

MAIN THEOREM (Γ^* -1). For each Γ' , a Γ' -classfield exists, and is unique.

PROOF. By Mennicke [23], the group $SL_2(\mathbf{Z}^{(p)})$, and hence also the group Γ^* , have congruence subgroup property. Therefore, by (56), the Galois group $G(\Re/\bar{k})$ ($\bar{k} = \mathbf{F}_p(\bar{j}) = K^*$) is naturally identified with the completion of Γ^* with respect to "subgroups with finite indices topology". Therefore, subgroups of Γ^* with finite indices are in one-to-one correspondence with finite extensions of $\bar{k} = K^*$ contained in \Re . Let K' be the extension of K^* corresponding to Γ' . Then it is clear by Theorem 3 that K' is a Γ' -classfield. Uniqueness is an immediate consequence of Čebotarev's density theorem.

²⁴We shall formulate this only for the group Γ^* . Similar (and related) results for subgroups Γ_K of Γ^* with finite indices are obtained if we use \mathcal{J}_K (§24) instead of \mathcal{J}^* . The fields \Re , $\widehat{\Re}$ are common for all Γ_K .

MAIN THEOREM (Γ^* -2). Let \Re be the composite of all Γ' -classfields, where Γ' runs over all normal subgroups of Γ^* with finite indices²⁵. Then there is a dense injection $\iota: \Gamma^* \to G(\Re/K^*)$ satisfying the following conditions.²⁶

- (i) ι induces an isomorphism of the completion of Γ^* with respect to "subgroups with finite indices topology" and $G(R/K^*)$; hence subgroups of Γ^* with finite indices and finite extensions of K^* contained in R correspond in a one-to-one manner. Moreover, if Γ' is any normal subgroup of Γ^* with finite index, then the corresponding finite extension of K^* is nothing but the Γ' -classfield.
- (ii) Let \mathfrak{P}^0 be any ordinary prime divisor of K^* , let z be a representative of $\mathcal{J}_{\mathfrak{p}}^{*-1}(\mathfrak{P}^0)$, and let Γ_z^* be the stabilizer of z in Γ^* . Let E_z^* be the torsion subgroup of Γ_z^* and let γ be a positive generator of Γ_z^* mod E_z^* with respect to \mathfrak{p} (see §23). Then \mathfrak{P}^0 has an extension \mathfrak{P}_z to \mathfrak{R} whose inertia group is $\iota(E_z^*)$ and whose Frobenius substitution is $\iota(\gamma)$ (mod $\iota(E_z^*)$).
- (iii) Let \mathfrak{P}^0 be the infinite prime divisor of K^* (cf. §22). Then \mathfrak{P}^0 has an extension $\mathfrak{P}_{i\infty}$ to \mathfrak{R} whose inertia group is generated by $\iota \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ and whose Frobenius substitution (modulo the inertia group) is given by $\iota \begin{pmatrix} 1 & 0 \\ 0 & p \end{pmatrix}$.

Proof. Immediate from our results above (esp. Theorems 3, 5).

Some supplementary remarks to this theorem are given in §32 (esp. Propositions 9, 13).

Now, our third main theorem and our main conjecture on Γ^* are concerned with a characterization of the field \Re defined in Main Theorem (Γ^* -2):

MAIN THEOREM (Γ^* -3). Let \Re be as in Main Theorem (Γ^* -2), and let $\widehat{\Re}$ be another field defined below. Then we have

$$\mathfrak{R}\subset\widehat{\mathfrak{R}}$$

Conjecture Γ^* . With the same notations as above, we have

$$\Re = \widehat{\Re} ?^{27}$$

The definition of \widehat{R} and proof of Main Theorem (Γ^* -3). The field \widehat{R} is easily defined if $p \neq 2, 3$. Namely, in this case, \widehat{R} is the union of all separable algebraic extensions K' over K^* (finite or infinite) satisfying the following conditions (i) \sim (iii). Here, for any prime divisor \mathfrak{P}^0 of K^* , we put $\mathfrak{P}^0(\overline{j}) = \overline{j}_0$.

(i) If $\overline{j}_0 \neq 0, 12^3, \infty$, then \mathfrak{P}^0 is unramified in K';

²⁵Since this \Re obviously coincides with the former \Re (by the above proof of Main Theorem (Γ^* -1)), there is no fear of confusion.

²⁶We can also check that ι is characterized by (i) and (ii) up to inner automorphisms of $G(\Re/K^*)$. But we shall not give this proof here.

²⁷Some remarks and numerical evidences for this conjecture are given in §33.

- (ii) If $\bar{j}_0 = 0, 12^3, \infty$, then \mathfrak{P}^0 is at most tamely ramified in K' with the ramification index dividing $3, 2, \infty$ respectively,²⁸
- (iii) If \bar{j}_0 is supersingular, then \mathfrak{P}^0 is decomposed "almost completely" in K'; namely, in $\mathbf{F}_{p^2}K'/\mathbf{F}_{p^2}K^*$, the relative degree of \mathfrak{P}^0 is equal to one.

It is clear that if K' satisfies (i) \sim (iii), then all conjugates of K' over K^* and all intermediate fields of K'/K^* also satisfy (i) \sim (iii). Moreover, it is easy to see that if K', K'' both satisfy (i) \sim (iii), then so does the composite field $K' \cdot K''$.³⁰ Therefore, \widehat{R} is nothing but the maximum (Galois) extension of K^* satisfying (i) \sim (iii). Now by Theorems 3, 4, 5, the field \Re satisfies all (i) \sim (iii); hence $\Re \subset \widehat{\Re}$. In particular, the fields $\mathbf{F}_{p^2}(\overline{\lambda})$ and $\mathbf{F}_{p^2}(\overline{\mu})$ which are finite Galois extensions of $K^* = \mathbf{F}_p(\overline{j})$ defined by the equations:

(91)
$$\overline{j} = 2^8 \frac{(1 - \overline{\lambda} + \overline{\lambda}^2)^3}{\{\overline{\lambda}(1 - \overline{\lambda})\}^2}, \text{ and } \overline{j} = \left\{\frac{3\overline{\mu}(\overline{\mu}^3 + 2)}{\overline{\mu}^3 - 1}\right\}^3$$

respectively, are contained in $\widehat{\mathfrak{R}}$ (since by §13, they are $\mathbf{F}_{p^2}\overline{k}_2$ and $\mathbf{F}_{p^2}\overline{k}_3$ respectively). Since the ramification indices of \mathfrak{P}^0 with $\overline{j}_0=0$ or 12^3 in these two fields are 3 or 2 respectively, the prime factors of such \mathfrak{P}^0 in $\widehat{\mathfrak{R}}$ are unramified in $\widehat{\mathfrak{R}}/\mathbf{F}_{p^2}(\overline{\lambda})$ and in $\widehat{\mathfrak{R}}/\mathbf{F}_{p^2}(\overline{\mu})$. By this, we obtain the following alternative definitions of $\widehat{\mathfrak{R}}$ for $p\neq 2,3$, which also serve as the definition of $\widehat{\mathfrak{R}}$ for p=2, or 3. Here, we call a prime divisor of $\mathbf{F}_{p^2}(\overline{\lambda})$ or of $\mathbf{F}_{p^2}(\overline{\mu})$ ordinary resp. supersingular resp. infinite when its restriction to K^* is ordinary resp. supersingular resp. infinite.

If $p \neq 2$ (resp. $p \neq 3$) and $K_1 = \mathbf{F}_{p^2}(\overline{\lambda})$ (resp. $K_1 = \mathbf{F}_{p^2}(\overline{\mu})$), $\widehat{\mathfrak{R}}$ is the composite of all separable algebraic extensions K' over K_1 satisfying the following:

- (i)' ordinary or supersingular prime divisors of K_1 are unramified in K';
- (ii)' infinite prime divisors of K_1 are at most tamely ramified in K';
- (iii)' supersingular prime divisors of K_1 are decomposed completely in K'.

Note that the conditions (i)' \sim (iii)' are "hereditary" with respect to taking composite fields, conjugate fields over K^* (not only over K_1), and subfields (containing K_1); hence $\widehat{\Re}$ is nothing but the maximum separable algebraic extension of K_1 satisfying (i)' \sim (iii)', and $\widehat{\Re}$ is a Galois extension of K^* . By (iii)', the constant field of $\widehat{\Re}$ is \mathbb{F}_{p^2} .

Now, by Theorems 3, 4, 5 and by Remark 1 in §26, we see immediately that $\Re \subset \widehat{\Re}$ holds for all p including 2 or 3.

REMARK 1. By Deuring [4], \bar{j}_0 is supersingular if and only if it is a zero of a certain polynomial $f_j(x)$; hence supersingularity can be defined without using elliptic curves.

REMARK 2. Let us determine infinite and supersingular prime divisors of $K_1 = \mathbf{F}_{p^2}(\overline{\lambda})$ or $\mathbf{F}_{p^2}(\overline{\mu})$ more explicitly. Let I_{λ} , S_{λ} be the set of all $\overline{\lambda}_0$ such that the prime divisor \mathfrak{P}^0 of

 $[\]frac{28}{\text{I.e., if }\overline{j_0}} = \infty$, there is no condition on the ramification index (except that the ramification must be tame).

²⁹We may define supersingularity without using elliptic curves; see Remark 1 below.

³⁰See Supplement §5.

 $\mathbf{F}_{p^2}(\overline{\lambda})$ with $\mathfrak{P}^0(\overline{\lambda}) = \overline{\lambda}_0$ is infinite or supersingular respectively. Then

(92)
$$\begin{cases} I_{\lambda} = \{0, 1, \infty\}, \\ S_{\lambda} = \left\{ \overline{\lambda}_{0} \in \mathbf{F}_{p}^{\mathbf{a}} \middle| & \text{the elliptic curve } Y^{2} = X(X-1)(X-\overline{\lambda}_{0}) \\ \text{is supersingular} \\ = \left\{ \overline{\lambda}_{0} \in \mathbf{F}_{p}^{\mathbf{a}} \middle| \overline{\lambda}_{0} \text{ is a zero of } f_{\lambda}(x) = \sum_{i=0}^{r} {r \choose i}^{2} x^{i} \right\},^{31} \end{cases}$$

where $r = \frac{p-1}{2}$. Moreover, by $\mathbf{F}_{p^2}(\overline{\lambda}) \subset \widehat{\mathfrak{R}}$, we see easily that

$$(93) S_{\lambda} \subset \mathbf{F}_{p^2}, |S_{\lambda}| = \frac{p-1}{2};$$

for example, if p = 3, then $S_{\lambda} = \{-1\}$.

In the same manner, I_{μ} and S_{μ} (defined similarly) are given as follows:

(94)
$$\begin{cases} I_{\mu} = \{1, \omega, \omega^{2}, \infty\}, & \omega = \frac{1}{2} \left(-1 + \sqrt{-3}\right), \\ S_{\mu} = \left\{\overline{\mu}_{0} \in \mathbb{F}_{p}^{a} \middle| & \text{the elliptic curve } X^{3} + Y^{3} + Z^{3} = 3\overline{\mu}_{0}XYZ \\ & \text{(in projective coordinates) is supersingular} \right\} \\ = \left\{\overline{\mu}_{0} \in \mathbb{F}_{p}^{a} \middle| \overline{\mu}_{0} \text{ is a zero of } f_{\mu}(x)\right\}, \end{cases}$$

where $f_{\mu}(x)$ is a certain polynomial of x of degree p-1. Moreover,

$$(95) S_{\mu} \subset \mathbf{F}_{p^2}, \quad |S_{\mu}| = p - 1;$$

for example, if p = 2, then $S_{\mu} = \{0\}$.

Supplements to Main Theorems and to Conjecture Γ^* .

Here, we shall give some supplementary results and remarks to $\S29 \sim \S30$.

§31. Some equivalence relations in $\wp(\Gamma^*)$ and in $\wp(K^*)$.

(I) Equivalence relations in $\wp(\Gamma^*)$. We shall introduce the following two equivalence relations \sim and \approx in $\wp(\Gamma^*)$. Let $P=P_z\in\wp(\Gamma^*)$ ($z\in \mathfrak{H}$), and put $\Omega=\mathbb{Q}(z)$, so that Ω is an imaginary quadratic field with $\left(\frac{\Omega}{p}\right)=1$ (see §8). Consider the $\mathbb{Z}^{(p)}$ -lattice $\mathfrak{a}=\mathbb{Z}^{(p)}+\mathbb{Z}^{(p)}z$, and let O be its $\mathbb{Z}^{(p)}$ -order; i.e., $O=\{x\in\Omega\mid x\mathfrak{a}\subset\mathfrak{a}\}$. Then the lattice class 32 of \mathfrak{a} and hence also the order O are well-defined by P. Denote by P resp. P the lattice class of $\mathbb{Z}^{(p)}$ resp. the order P. Then it is easy to see (cf. §8) that $P\mapsto P$ gives a one-to-one correspondence between $\mathbb{Z}^{(p)}$ and the set of all $\mathbb{Z}^{(p)}$ -lattice classes in (all) imaginary quadratic fields $\mathbb{Z}^{(p)}$ with $\mathbb{Z}^{(p)}$ and the set of all $\mathbb{Z}^{(p)}$ -lattice classes in (all) imaginary

³¹ See Jousa [13].

³²Two lattices a_1 , a_2 belong to the same class if and only if $a_1 = \rho a_2$ with some $\rho \in \Omega$.

between $\wp(\Gamma^*)$ and the (set-theoretic) union of the group G_O , where G_O is the group of all proper ³³ O-ideal classes, and O runs over all $\mathbb{Z}^{(p)}$ -orders in all Ω ;

(96)
$$\wp(\Gamma^*) \ni P \longleftrightarrow_{1:1} C_P \in \left\{ \begin{array}{l} \mathbf{Z}^{(p)}\text{-lattice classes in all} \\ \text{imaginary quadratic fields } \Omega \\ \text{with } \left(\frac{\Omega}{p}\right) = 1 \end{array} \right.$$

$$\longleftrightarrow_{1:1} C_P \in \bigcup_O G_O.$$

Now, the first equivalence relation \sim in $\wp(\Gamma^*)$ is defined by

$$(97) P \sim P' \longleftrightarrow_{\text{def.}} O_P = O_{P'},$$

while the second, stronger relation \approx is defined by

(98)
$$P \approx P' \underset{\text{def.}}{\longleftrightarrow} \begin{cases} (i) & O_P = O_{P'} \ (=O), \\ (ii) & C_P C_{P'}^{-1} \in \{G_O\}^2; \end{cases}$$

where $\{G_O\}^2$ is the subgroup of G_O formed of all square elements (of G_O).

REMARK 1. If one desires to formulate these in terms of **Z**-orders only, then he may do it as follows; instead of (96), one has:

(99)
$$\wp(\Gamma^*) \ni P \underset{1:1}{\longleftrightarrow} C_P^{(0)} \in \bigcup_{\mathcal{O}_0} G_{\mathcal{O}_0} / \{\mathfrak{p}_0\},$$

where O_0 runs over all **Z**-orders in all Ω such that $\left(\frac{\Omega}{p}\right) = 1$ and that the conductor of O_0 is not divisible by p, G_{O_0} is the group of all proper O_0 -ideal classes, $\{\mathfrak{p}_0\}$ is the cyclic subgroup of G_{O_0} generated by the $(O_0$ -ideal) class of $\mathfrak{p}_0 = \mathfrak{p} \cap O_0$, and $C_p^{(0)}$ denotes the unique element of $\bigcup_{O_0} G_{O_0}/\{\mathfrak{p}_0\}$ such that $C_p^{(0)} \otimes_{\mathbf{Z}} \mathbf{Z}^{(p)} = C_p$. (Note that $\{\mathfrak{p}_0\}$ does not depend on the choice of a prime factor of p in Ω .) Since G_{O_0} is a finite group, each \sim -class (hence a priori \approx -class) consists of a finite number of elements of $\wp(\Gamma^*)$. Finally, Deg P is nothing but the order of the group $\{\mathfrak{p}_0\}$.

PROPOSITION 8. Let X be the completion of Γ^* with respect to all subgroups with finite indices, so that

$$X = \left\{ x \in \prod_{l \neq p} GL_2(\mathbf{Z}_l) \mid \det x \in \overline{\Pi} \right\} / \pm \overline{\Pi},$$

where $\overline{\Pi}$ is the topological closure of Π in $\prod_{l\neq p} U_l$. Put

$$\widetilde{X} = \left\{ \prod_{l \neq p} GL_2(\mathbf{Z}_l) \right\} / \pm \widetilde{\Pi},$$

so that $\Gamma^* \subset X \subset \widetilde{X}$. Let P_z , $P_{z'}$ be two elements of $\wp(\Gamma^*)$ not equal to P_i or P_ω $(i = \sqrt{-1}, \omega = \frac{1}{2}(-1 + \sqrt{-3}))$, and let γ resp. γ' be the positive generator of Γ_z^* resp. $\Gamma_{z'}^*$ (w.r.t. \mathfrak{p} ;

 $³³Z^{(p)}$ -lattice a is a proper O-ideal if and only if the order of a coincides with O.

see §23). Then

(i)
$$\{\gamma\}_{\Gamma^*} = \{\gamma'\}_{\Gamma^*} \longleftrightarrow P_z = P_{z'}$$

(ii)
$$\{\gamma\}_X = \{\gamma'\}_X \longleftrightarrow P_z \approx P_{z'}$$
,

(iii)
$$\{\gamma\}_{\widetilde{X}} = \{\gamma'\}_{\widetilde{X}} \longleftrightarrow P_z \sim P_{z'}$$
.

Moreover, $P_z \sim P_{z'}$ implies $\text{Deg } P_z = \text{Deg } P_{z'}$.

PROOF. Put $a = [z, 1]_{\mathbf{Z}^{(p)}}$, $a' = [z', 1]_{\mathbf{Z}^{(p)}}$, and let O resp. O' be the $\mathbf{Z}^{(p)}$ -orders of a resp. a'. For each prime number $l \neq p$, a quadratic field Ω , and a $\mathbf{Z}^{(p)}$ -lattice a, we put $\Omega_l = \Omega \otimes_{\mathbf{Q}} \mathbf{Q}_l$ and $a_l = a \otimes_{\mathbf{Z}^{(p)}} \mathbf{Z}_l$. For each $a \in \Omega$ (or Ω_l), \overline{a} will denote its conjugation over \mathbf{Q} (or \mathbf{Q}_l).

(i) Trivial.

(ii) \rightarrow : In this case, we can assume that $\det x \in \overline{\Pi}$. But since $\overline{\Pi} = \overline{\Pi}^2 \cup p\overline{\Pi}^2$, we can assume further that $\det x = p^n$ (n = 0 or 1). Now, from $x_l \binom{z'}{1} = \alpha_l \binom{z}{1}$ follows $x_l \binom{z'}{1} = \binom{\alpha_l z}{\alpha_l} = \binom{\alpha_l z}{\alpha_l}$; hence by taking the determinants, we obtain $p^n \frac{z' - \overline{z'}}{z - \overline{z}} = N(\alpha_l)$. Put $c = p^n \frac{z' - \overline{z'}}{z - \overline{z}}$. Then $c \in \mathbb{Q}$, and locally, c is a norm of an element of Ω . In fact, $c = N(\alpha_l)$ for $l \neq p$, and c is also a norm at p and ∞ since $\binom{\Omega}{p} = 1$ and c > 0 (by Im z, Im z' > 0). Therefore, $c = N(\alpha)$ with some $\alpha \in \Omega$. Now since $N(\alpha_l \alpha^{-1}) = 1$, we can choose $\beta_l \in \Omega_l$ ($l \neq p$) such that $\alpha_l \alpha^{-1} = \beta_l \overline{\beta_l}^{-1}$ holds for all $l \neq p$ and that $\beta_l O_l = O_l$ holds for almost all l. Let b be the unique proper O-ideal such that $b_l = \beta_l O_l$ for all $l \neq p$. Then by $x_l \binom{z'}{1} = \alpha_l \binom{z}{1}$, we obtain $\alpha_l' = (\alpha \alpha b \overline{b}^{-1})_l$ for all $l \neq p$; hence $\alpha' = \alpha \alpha b \overline{b}^{-1}$; hence $\alpha' = \alpha N(b)^{-1} \cdot b^2$. Since $N(b) = b \overline{b}$ is a principal O-ideal, C this implies C.

(iii) \leftarrow : An essential point in this proof is the fact that all proper O-ideals \mathfrak{a} are locally principal (i.e., $\mathfrak{a}_l = \alpha_l O_l$ ($\alpha_l \in \Omega_l$) holds for each $l \neq p$). This fact is proved in [17], p.272. Now by assumption, we have O' = O. Let O_1 be the maximal **Z**-order of $\Omega = \mathbf{Q}(z)$ (= $\mathbf{Q}(z')$), and put $O_0 = O \cap O_1$. Further, put $\mathfrak{p}_0 = \mathfrak{p} \cap O_0$, and let d be the smallest

³⁴As is well-known, this "Normensatz" holds for all cyclic extensions.

³⁵In fact, we have $b\bar{b} = bO$, where b = (O : b) (this "group index" is well-defined naturally even if $b \notin O$). This is checked easily by using the fact that every proper O-ideal is locally principal (see (iii) \leftarrow : below).

positive integer such that \mathfrak{p}_0^d is principal $(O_0\text{-ideal})$. Put $\mathfrak{p}_0^d = \pi O_0$. Then it is easily seen that $\operatorname{Deg} P_z = \operatorname{Deg} P_{z'} = d$ (this settles the last point of Prop. 8), and that there exist representatives $\widetilde{\gamma}, \widetilde{\gamma'} \in GL_2(\mathbf{Z}^{(p)})$ of γ, γ' such that $\widetilde{\gamma} \binom{z}{1} = \pi \binom{z}{1}$ and $\widetilde{\gamma'} \binom{z'}{1} = \pi \binom{z'}{1}$. On the other hand, since both $\mathfrak{a}, \mathfrak{a}'$ are proper O-ideals, the above remark shows that $\mathfrak{a}'_l = \alpha_l \mathfrak{a}_l$ ($\alpha_l \in \Omega_l$) for each $l \neq p$. Therefore, $\alpha_l \binom{z}{1} = x_l \binom{z'}{1}$ holds with some $x_l \in GL_2(\mathbf{Z}_l)$. Hence $(x_l \widetilde{\gamma'} x_l^{-1}) \binom{z}{1} = \pi \binom{z}{1}$; hence $\widetilde{\gamma} = x_l \widetilde{\gamma'} x_l^{-1}$ for all $l \neq p$; hence $\{\gamma\}_{\widetilde{X}} = \{\gamma'\}_{\widetilde{X}}$.

(ii) \leftarrow : In this case, we have $\alpha' = \alpha b \overline{b}^{-1} \alpha$ with some $\alpha \in \Omega$ and some proper O-ideal b. Put $b_l = \beta_l O_l$ $(l \neq p)$, so that we can take $\alpha_l = \alpha \beta_l \overline{\beta_l}^{-1}$. Since $\alpha_l \binom{z}{1} = x_l \binom{z'}{1}$, we obtain $\det(x_l) = N(\alpha_l) \frac{z-\overline{z}}{z'-\overline{z'}} = N(\alpha_l) ([z,1]_{\mathbf{Z}} : [z':1]_{\mathbf{Z}})^{36} = N(\alpha_l) ([z,1]_{\mathbf{Z}(p)} : [z':1]_{\mathbf{Z}(p)}) \times p^n = N(\alpha)N(\alpha)N(\alpha')^{-1}p^n = p^n$ with some positive integer n (independent of l). Hence $\{\gamma\}_X = \{\gamma'\}_X$.

This completes the proof of Proposition 8.

EXAMPLE. Let p=2. Then the table of O_P for all $P \in \wp(\Gamma^*)$ with $\operatorname{Deg} P \leq 7$ is given as follows. Here, the multiplicity indicates the number of P having the same O_P (i.e., the cardinality of the corresponding \sim -class), and each block indicates the \approx -class. Thus, when the discriminant of O_P is -431 or -503, the three elements of $\wp(\Gamma^*)$ belonging to the corresponding \sim -class are also \approx -equivalent, but all other P (with $\operatorname{deg} P \leq 7$) form single \approx -classes.

	Deg P	$(-1)\times$ (Discriminant ³⁷ of $O_{\mathfrak{p}}$)										
	1	7			F							
	2	15										
	3	23	31									
	4	$3^2 \cdot 7$	39	55								
(100)	5	47	79	103	119	127						
					119	12/						
	6	5 ² · 7	3 ² · 15	3 ² · 23	87	231	247	255				
						231		255				
						287	391	431			503	511
	7	7 ² · 7	71	151	223	207	391	431	463	487	503 503	
	1					287	391	431				511
						287						51

REMARK 2. By Proposition 8, we can give examples of elements of Γ^* which are not conjugate in Γ^* but are conjugate in all finite factor groups of $\Gamma^{*,38}$ For example, let p=2 and take three P with

$$O_P = \left[1, \ \frac{1}{2}(1 + \sqrt{-431})\right]_{\mathbf{Z}^{(p)}}.$$

³⁶"Generalized group index". It is clear how to define (a : a') when $a \not\supset a'$, since they are commensurable.

³⁷I.e., $-f^2 \cdot d$, where -d is the discriminant of the quadratic field Ω , and f is the conductor of O_P (taken $f \not\equiv 0 \pmod{p}$).

³⁸By Mennicke [23], all non-trivial factor groups of Γ^* are finite.

Then they are P_z , $P_{z'}$, $P_{z''}$ with $z=\frac{1}{2}(1+\sqrt{-431})$, $z'=\frac{1}{6}(1+\sqrt{-431})$ and $z''=\frac{1}{6}(1-\sqrt{-431})$; and we have $\pi=\frac{1}{2}(9\pm\sqrt{-431})$. Here the sign \pm depends on $\mathfrak p$. Take $\mathfrak p$ such that it is (say) +. Then by putting $\pi\binom{z}{1}=\gamma\binom{z}{1}$, $\pi\binom{z'}{1}=\gamma'\binom{z'}{1}$, $\pi\binom{z''}{1}=\gamma''\binom{z''}{1}$, we obtain three elements γ,γ',γ'' of Γ^* which are not conjugate in Γ^* but are conjugate in X (and hence in all finite factor groups of Γ^*). They are:

(101)
$$\begin{pmatrix} 5 & -108 \\ 1 & 4 \end{pmatrix}, \quad \begin{pmatrix} 5 & -36 \\ 3 & 4 \end{pmatrix}, \quad \begin{pmatrix} 4 & 36 \\ -3 & 5 \end{pmatrix}.$$

This is an example for small p (p = 2) but large Degree (Deg $P_z = 7$). An example of Degree one is obtained e.g., from the case p = 59; namely, for this p, the following three elements have the same properties in Γ^* as above;

$$\begin{pmatrix} 7 & -12 \\ 2 & 5 \end{pmatrix}, \quad \begin{pmatrix} 7 & -6 \\ 4 & 5 \end{pmatrix}, \quad \begin{pmatrix} 5 & -6 \\ 4 & 7 \end{pmatrix}.$$

For these, $\pi = 6 + \sqrt{-23}$, and z, z', z'' are given by $\frac{1}{2}(1 + \sqrt{-23})$, $\frac{1}{4}(1 + \sqrt{-23})$ and $\frac{1}{4}(-1 + \sqrt{-23})$ respectively.

REMARK 3. Let $G_O^{(1)}$ be the subgroup of G_O formed of all proper O-ideal classes that contain an ideal of norm 1. Then $G_O^{(1)} \supset \{G_O\}^2$ (since a^2 and a/\overline{a} belong to the same class), and they are equal if O is maximal. However, in general, they are different subgroups of G_O .

REMARK 4. In Proposition 8, if we replace $\Gamma^*, X, \widetilde{X}$ by $\Gamma = PSL_2(\mathbf{Z}^{(p)}), \{\prod_{l\neq p} SL_2(\mathbf{Z}_l)\} / \pm 1$, and $\{\prod_{l\neq p} GL_2(\mathbf{Z}_l)\} / \pm 1$ respectively, then we should add the following condition (b) to the right sides of (ii) and (iii),

(b) $\operatorname{ord}_{p}([z, 1]_{\mathbf{Z}} : [z', 1]_{\mathbf{Z}})$ is even.

As above, (:) denotes the generalized group index.

(II) Equivalence relations in $\wp(K^*)$. Let $\wp(K^*)$ be the set of all ordinary prime divisors of K^* . By the bijection $\mathcal{J}_{\mathfrak{p}}^*: \wp(\Gamma^*) \to \wp(K^*)$, we shall map the two equivalence relations \sim and \approx of $\wp(\Gamma^*)$ onto $\wp(K^*)$. Thus, for $\mathfrak{P}, \mathfrak{P}' \in \wp(K^*)$, we define

(103)
$$\mathfrak{P} \underset{\mathfrak{p}}{\sim} \mathfrak{P}' \longleftrightarrow \mathcal{J}_{\mathfrak{p}}^{\star-1}(\mathfrak{P}) \sim \mathcal{J}_{\mathfrak{p}}^{\star-1}(\mathfrak{P}'),$$
$$\mathfrak{P} \underset{\mathfrak{p}}{\approx} \mathfrak{P}' \longleftrightarrow \mathcal{J}_{\mathfrak{p}}^{\star-1}(\mathfrak{P}) \approx \mathcal{J}_{\mathfrak{p}}^{\star-1}(\mathfrak{P}').$$

We shall show in §32 that these two relations $\sim \text{ and } \approx \text{ of } \wp(K^*)$ do not depend on the choice of \mathfrak{p} .

§32. Effect of changing p. Now we shall study the effect of changing the prime factor p of p in \mathbb{Q}^a . First, we shall check the following two assertions.

Proposition 9. The field \Re of Main Theorem (Γ^* -2) is independent of the choice of \mathfrak{p} .

Proposition 10. The two equivalence relations $\sim and \approx of \wp(K^*)$ are independent of the choice of \mathfrak{p} .

PROOF OF PROPOSITION 9. By the proof of Main Theorem (Γ^* -1), it is clear that the field \Re of Main Theorem (Γ^* -1) must coincide with the "old" field \Re of §15, which was independent of the choice of \mathfrak{p} (see the Remark in §15).

PROOF OF PROPOSITION 10. Put $P_z = \mathcal{J}_{\mathfrak{p}}^{*-1}(\mathfrak{P})$, so that $\mathfrak{P}(\bar{j}) = j(z) \mod \mathfrak{p}$. Let O be the order of $[z,1]_{\mathbf{Z}^{(p)}}$, let O_1 be the maximal **Z**-order of $\Omega = \mathbf{Q}(z)$, and put $O_0 = O \cap O_1$ (so that $O = O_0 \otimes_{\mathbf{Z}} \mathbf{Z}^{(p)}$). Then since $O = \operatorname{End}(\mathbf{C}/[z,1]) \otimes_{\mathbf{Z}} \mathbf{Z}^{(p)}$, it follows immediately from Deuring [4] that $\operatorname{End}(E_{\mathfrak{P}(\bar{j})}) = O_0$, where $E_{\mathfrak{P}(\bar{j})}$ is an elliptic curve with the absolute invariant $\mathfrak{P}(\bar{j})$. Therefore,

(104)
$$\mathfrak{P} \sim \mathfrak{P}' \longleftrightarrow \operatorname{End}(E_{\mathfrak{P}(\bar{J})}) = \operatorname{End}(E_{\mathfrak{P}(\bar{J})}).$$

But this implies that $\underset{n}{\sim}$ does not depend on \mathfrak{p} .

On the other hand, by Main Theorem (Γ^* -2) and Proposition 8, if we denote by $\left\{\frac{\Re/K^*}{\Im}\right\}$ the Frobenius substitution of \mathfrak{P} in \Re/K^* (thus it is a $G(\Re/K^*)$ -conjugacy class),³⁹ we have

(105)
$$\mathfrak{P} \approx \mathfrak{P}' \longleftrightarrow \left\{ \frac{\mathfrak{R}/K^*}{\mathfrak{P}} \right\} = \left\{ \frac{\mathfrak{R}/K^*}{\mathfrak{P}'} \right\}.$$

Therefore, by Proposition 9, \approx does not depend on p.

In view of Proposition 10, we shall simply denote \sim , \approx instead of \sim , \approx . Thus, for each \mathfrak{p} , $\mathcal{J}_{\mathfrak{p}}^*$ induces the bijections $\wp(\Gamma^*)/\sim \rightarrow \wp(K^*)/\sim$ and $\wp(\Gamma^*)/\approx \rightarrow \wp(K^*)/\approx$.

Proposition 11. The induced bijection $\wp(\Gamma^*)/\sim -\wp(K^*)/\sim$ is independent of \mathfrak{p} .

Proof. This is clear by the proof of Proposition 10.

On the other hand, the bijection $\wp(\Gamma^*)/\approx \rightarrow \wp(K^*)/\approx$ actually depends on $\mathfrak p$. To see how it depends, let F be the maximum $(2,2,\cdots)$ -type abelian extension of $\mathbf Q$ in which p is decomposed completely. Then F is contained in $\mathbf Q'=\bigcup_{n\neq 0\pmod p}\mathbf Q(\zeta_n)$ $(\zeta_n:$ primitive n-th root of unity), and the canonical isomorphism $G(\mathbf Q'/\mathbf Q)\cong\prod_{l\neq p}U_l$ induces the isomorphism $G(F/\mathbf Q)\cong(\prod_{l\neq p}U_l)/(\prod_{l\neq p}U_l^2)\cdot\overline{\Pi}$. On the other hand, if X and \widetilde{X} are as in Proposition 8, then the determinant map induces an isomorphism $\widetilde{X}/X\cdot \left(\text{center of }\widetilde{X}\right)\cong \left(\prod_{l\neq p}U_l\right)/\left(\prod_{l\neq p}U_l^2\right)\cdot\overline{\Pi}$. Therefore, there is a natural isomorphism

(106)
$$\widetilde{X}/X \cdot \left(\text{center of } \widetilde{X}\right) \cong G(F/\mathbf{Q}).$$

Now, for each $\sigma \in G(\mathbf{Q}^a/\mathbf{Q})$, identify $\mathcal{J}_{\mathfrak{p}^{\sigma-1}}^*$ with the map $z \to j(z)^{\sigma} \mod \mathfrak{p}$, and let \widetilde{x}_{σ} be any element of \widetilde{X} whose residue class mod $\{X \cdot (\text{center of } \widetilde{X})\}$ corresponds to $\sigma|_F$ by the above isomorphism (106). Then we have the following:

 $^{^{39}}$ If $\mathfrak{P}(\overline{j}) = 12^3$ or 0, the inertia group is non-trivial, and hence $\left\{\frac{\mathfrak{R}/K^*}{\mathfrak{P}}\right\}$ is not a single $G(\mathfrak{R}/K^*)$ -conjugacy class. But such \mathfrak{P} are not \sim or \approx equivalent to any other element; hence there is no problem.

PROPOSITION 12. The notations being as above, let P_z be any element of $\wp(\Gamma^*)$ not equal to P_i or P_ω , and let γ be the positive generator of Γ_z^* with respect to \mathfrak{p} . Let σ be any element of $G(\mathbf{Q^a/Q})$, put $\mathcal{J}_{\mathfrak{p}\sigma}^{*-1}\mathcal{J}_{\mathfrak{p}}^*(P_z) = P_{z'}$, and let γ' be the positive generator of Γ_z^* , with respect to \mathfrak{p}^{σ} . Then

(107)
$$\{\gamma'\}_X = \left\{ \widetilde{x}_{\sigma}^{-1} \gamma \widetilde{x}_{\sigma} \right\}_X.$$

PROOF. This is a simple exercise in (abelian) classfield theory.

Corollary. If $\sigma = 1$ on F, then $\mathcal{J}_{p\sigma}^{*-1}\mathcal{J}_{p}^{*}$ leaves each \approx -class invariant.

Therefore,⁴¹ we have proved the following:

PROPOSITION 13. In Main Theorem (Γ^* -2), if $\mathfrak p$ is replaced by $\mathfrak p^\sigma$ ($\sigma \in G(\mathbf Q^a/\mathbf Q)$), then it is enough to replace ι by $\bar \iota \circ \operatorname{Inn}(\widetilde{x}_\sigma)$ to keep the validity of this theorem, where $\operatorname{Inn}(\widetilde{x}_\sigma)$ denotes the inner automorphism induced by \widetilde{x}_σ , and $\bar \iota$ is the isomorphism $X \cong G(\mathfrak R/K^*)$ induced by ι . Therefore, if Γ' is a normal subgroup of Γ^* with finite index, then the Γ' -classfield w.r.t. $\mathfrak p$ is the $\Gamma^* \cap \widetilde{x}_\sigma^{-1} \overline{\Gamma}' \widetilde{x}_\sigma$ -classfield w.r.t. $\mathfrak p^\sigma$, where $\overline{\Gamma}'$ denotes the closure of Γ' in X. In particular, if $\overline{\Gamma}'$ is a characteristic subgroup of X (e.g., if Γ' is a principal congruence subgroup), then the definition of Γ' -classfield is independent of $\mathfrak p$.

Remark 1. It can be immediately checked that this change of ι also keeps the validity of assertion (iii) of Main Theorem (Γ^* -2).

REMARK 2. Since $\widetilde{X}/X \cdot (\text{center of } \widetilde{X})$ is of $(2, 2, \cdots)$ -type, one needs not worry about the sign of the power indices of σ or \widetilde{x}_{σ} .

§33. Here, we shall give some remarks and numerical evidences for Conjecture Γ^* .

REMARK. For each $N \ge 1$ with $N \not\equiv 0 \pmod p$, let $\Gamma(N)$ be the principal congruence subgroup of Γ^* of level N;

(108)
$$\Gamma(N) = \left\{ \gamma \in SL_2(\mathbf{Z}^{(p)}) \mid \gamma \equiv \pm 1 \pmod{N} \right\} / \pm 1.$$

Let K_N be the $\Gamma(N)$ -classfield over K^* . Call a prime divisor \mathfrak{P} of K_N supersingular when its restriction to K^* is so. Let \widehat{K}_N be the maximum Galois extension of K_N such that

- (i) \widehat{K}_N/K_N is unramified,
- (ii) all supersingular prime divisors of K_N are decomposed completely in \widehat{K}_N . Then
- (109) Conjecture Γ^* is valid if and only if $\widehat{K}_N = K_N$ holds for all N.

To prove (109), we need the following result of Mennicke [23]: $\Gamma(N)$ is the smallest normal subgroup of $\Gamma = PSL_2(\mathbf{Z}^{(p)})$ containing $\begin{pmatrix} 1 & N \\ 0 & 1 \end{pmatrix}$. From this, it follows directly that K_N is the maximum Galois extension of K^* such that (i) $K_N \subset \Re$, (ii) the ramification

⁴⁰Since $P_z \sim P_{z'}$ by Proposition 11, $P_{z'} \neq P_i, P_{\omega}$.

⁴¹See also the Remarks 1, 2 below.

index in K_N/K^* of the infinite prime divisor of K^* divides N. Moreover, the last ramification index in K_N/K^* is exactly equal to N (by Main Theorem (Γ^* -2)). Hence it follows immediately that \widehat{K}_N is the maximum Galois extension of K^* such that $\widehat{(i)}$ $\widehat{K}_N \subset \widehat{\Re}$, $\widehat{(ii)}$ the ramification index in \widehat{K}_N/K^* of the infinite prime divisor of K^* divides N. Moreover, it is clear that $\widehat{\Re} = \bigcup_N \widehat{K}_N$; hence (109).

For $N \leq 5$, the genus of K_N is zero; hence $\widehat{K}_N = K_N$ ($N \leq 5$). So, the first non-trivial example of $\widehat{K}_N = K_N$? is the case of N = 6, where the genus of K_N is one. Now K_6 has exactly 6(p-1) supersingular prime divisors, and is of degree one over F_{p^2} . Choose any one as an origin, and let E be the corresponding elliptic curve (model of K_6). Let Δ be the group of all F_{p^2} -rational points on E, and let $\widehat{\Delta}$ be the subgroup of Δ generated by all supersingular points on E (i.e., those points on E whose corresponding prime divisors of K_6 are supersingular). Then it is easy to see that \widehat{K}_6 is an abelian extension of K_6 with the Galois group isomorphic to $\Delta/\widehat{\Delta}$, and hence $\widehat{K}_6 = K_6$ holds if and only if $\widehat{\Delta} = \Delta$. However, the author has not tried to check this – it seems too laborious a work for large p.

Some numerical evidences. Another way of giving numerical evidences for Conjecture Γ^* is to take a finite extension K_1 of K^* in \Re and to see whether

$$\mathfrak{R} \cap A_{K_1} = \widehat{\mathfrak{R}} \cap A_{K_1},$$

where A_{K_1} denotes the maximum abelian extension of K_1 . Since we know one inclusion $\Re \cap A_{K_1} \subset \widehat{\Re} \cap A_{K_1}$, it is enough to compute and compare the degrees of both fields over K_1 . By the abelian class field theory, it is easily shown that the degree of $\widehat{\Re} \cap A_{K_1}$ over K_1 is finite, and the degree can be computed if we know all supersingular prime divisors of K_1 . On the other hand, if Γ_1 is the subgroup of Γ^* that corresponds to K_1 , then the degree of $\Re \cap A_{K_1}$ over K_1 is nothing but $(\Gamma_1 : [\Gamma_1, \Gamma_1])$. Since the group Γ_1 has congruence subgroup property, this group index can be computed easily. Now we shall show the following:

Proposition 14. If $K_1 = \mathbb{F}_{p^2}(\overline{j})$, then (110) holds, but almost trivially.

Proof. It can be easily checked that

(111)
$$\widehat{\Re} \cap A_{K_1} = \Re \cap A_{K_1} = \mathbf{F}_{p^2} \left(\sqrt{j - 12^3}, \sqrt[3]{j} \right) \cdots p \neq 2, 3,$$

$$= \mathbf{F}_{p^2} \left(\sqrt{j - 12^3} \right) \cdots p = 3,$$

$$= \mathbf{F}_{p^2} \left(\sqrt[3]{j} \right) \cdots p = 2.$$

(In this case, supersingular prime divisors do not play essential roles. The ramification condition already determines $\widehat{R} \cap A_{K_1}$ up to constant field extensions.) A non-trivial example comes from the case $K_1 = \mathbf{F}_{p^2}(\overline{\lambda})$, as follows.

Proposition 15. Let $p \neq 2$ and $K_1 = \mathbf{F}_{p^2}(\overline{\lambda})$. Put

(112)
$$\begin{cases} \Delta = \left\{ (x,y) \in \mathbf{F}_{p^2}^{\times} \times \mathbf{F}_{p^2}^{\times} \middle| (xy)^{\frac{p^2-1}{3}} \stackrel{42}{=} x^{\frac{p^2-1}{8}} = y^{\frac{p^2-1}{8}} = 1 \right\} \\ \widehat{\Delta} = \text{ the subgroup of } \mathbf{F}_{p^2}^{\times} \times \mathbf{F}_{p^2}^{\times} \text{ generated multiplicatively} \\ \text{ by all elements of the form } \left(\frac{b}{a}, \frac{b-1}{a-1} \right), \text{ where } a, b \\ \text{ run over all supersingular } \overline{\lambda}_0. \end{cases}$$

Then

- (i) $\widehat{\Delta} \subset \Delta$,
- (ii) the Galois group $G(\widehat{\mathbb{R}} \cap A_{K_1}/K_1)$ is isomorphic to $\mathbf{F}_{p^2}^{\times} \times \mathbf{F}_{p^2}^{\times}/\widehat{\Delta}$, and the fixed field of $\Delta/\widehat{\Delta}$ is $\Re \cap A_{K_1}$;
- (iii) the field $\Re \cap A_{K_1}$ is given explicitly as:

(113)
$$\Re \cap A_{K_1} = \mathbf{F}_{p^2} \left(\sqrt[8]{-\overline{\lambda}}, \sqrt[8]{\overline{\lambda} - 1}, \sqrt[3]{\frac{1}{2}\overline{\lambda}(1 - \overline{\lambda})} \right).$$

In particular, (110) holds for $K_1 = \mathbf{F}_{p^2}(\overline{\lambda})$ if and only if $\widehat{\Delta} = \Delta$.

$$\widehat{\Re} \cap A_{K_{1}} \qquad \cdots \qquad \{1\}$$

$$| \qquad \qquad | \qquad \qquad |$$

$$\widehat{\Re} \cap A_{K_{1}} = \mathbf{F}_{p^{2}} \left(\sqrt[8]{-\overline{\lambda}}, \sqrt[8]{\overline{\lambda} - 1}, \sqrt[3]{\frac{1}{2}} \overline{\lambda} (1 - \overline{\lambda}) \right) \cdots \qquad \Delta / \widehat{\Delta}$$

$$| \qquad \qquad | \qquad \qquad |$$

$$K_{1} = \mathbf{F}_{p^{2}} \left(\overline{\lambda} \right) \qquad \cdots \qquad \left(\mathbf{F}_{p^{2}}^{\times} \times \mathbf{F}_{p^{2}}^{\times} \right) / \widehat{\Delta}$$

COROLLARY. Let S_{λ} be the set of all supersingular elements $\overline{\lambda}_0$ (see Remark 2 §30), and let $a \in S_{\lambda}$. Then -a, a-1 are 8-th powers, and $\frac{1}{2}a(1-a)$ is a 3rd power in \mathbb{F}_{p^2} .

Now by Proposition 15, we can check the validity of (110) for various p by the direct computation of $\widehat{\Delta}$, after the preparation of making S_{λ} 's table.⁴⁵ For example, if p=3, then $S_{\lambda}=\{-1\}$; hence $\widehat{\Delta}=\{1\}=\Delta$. If p=5, then $S_{\lambda}=\{-\omega,-\omega^2\}$; hence $\widehat{\Delta}=\{(1,1),(\omega,\omega^2),(\omega^2,\omega)\}=\Delta$. In the same manner, we can check (110) (for $K_1=\mathbf{F}_{p^2}(\overline{\lambda})$) for all $p\leq 41$.

 $^{^{42}}$ If p = 3, the equality (or element) should be taken away.

⁴³If p = 3, this equality (or element) should be taken away.

⁴⁴Only for $p \neq 3$

⁴⁵It is convenient to use Deuring's table of supersingular j_0 to make the table of S_{λ} .