## CHAPTER 2

## Introduction to Part 1 and Part 2.

Chapter 2 consists of two parts, Part 1 ( $\S1-\S17$ ) and Part 2 ( $\S18-\S36$ ). The subject here is what we call a " $G_p$ -field", where  $G_p = PSL_2(k_p)$ . The definition is as follows. A field L is called a  $G_p$ -field over a subfield k if dim<sub>k</sub> L = 1 and if  $G_p$  acts effectively on L as a group of field automorphisms over k, fulfilling the following conditions <sup>1</sup>:

- (i) For each open compact subgroup  $V \subset G_p$ , its fixed field  $L_V$  is finitely generated over k, and  $L/L_V$  is normally and separably algebraic. Moreover, V is topologically isomorphic to the Krull's Galois group of  $L/L_V$ .
- (ii) Almost all prime divisors of  $L_V$  over k are unramified in L.
- (iii) The fixed field of  $G_{p}$  is k. (k is called the constant field of L.)

The motivation for the study of such a field is this:

— If Γ is a discrete subgroup of  $G = G_{\mathbf{R}} \times G_{\mathbf{p}}$  with finite-volume-quotient such that the projections  $\Gamma_{\mathbf{R}}$ ,  $\Gamma_{\mathbf{p}}$  are dense in  $G_{\mathbf{R}}$ ,  $G_{\mathbf{p}}$  respectively, then Γ defines a  $G_{\mathbf{p}}$ -field L over the complex number field **C**, and conversely (Theorem 1, §9). Thus Γ and L (over **C**) are equivalent notions. Moreover, it seems that the study of  $G_{\mathbf{p}}$ -fields over algebraic number fields <sup>2</sup> is crucial for the solution of our problems. Thus we meet our first problem: "Is every  $G_{\mathbf{p}}$ -field L over **C** a constant field extension of a  $G_{\mathbf{p}}$ -field  $L_0$  over an algebraic number field?" This problem is solved affirmatively in Part 2 (Theorem 4, §18). The readers note, however, that this would not be remarkable enough without "essential uniqueness" of  $L_0$ , which is guaranteed by Theorems 5, 6, 7 (§18, §32, §33) under a certain condition on L. Namely, by Theorem 5, under a condition on L which is always satisfied if  $\Gamma$  is maximal (see §10), there is a unique <sup>3</sup>  $G_{\mathbf{p}}$ -field  $L_{k_0}$  over an algebraic number field  $k_0$  such that

- (i) L is a constant field extension of  $L_{k_0}$ , and
- (ii) if L is a constant field extension of another  $G_p$ -field  $L_k$  over a field  $k \subset \mathbb{C}$ , then k contains  $k_0$  and  $L_k = L_{k_0} \cdot k$ .

Thus if  $\Gamma$  is maximal, then  $\Gamma$  defines a unique  $G_p$ -field  $L_{k_0}$  over an algebraic number field  $k_0$ . Theorems 6, 7 are some variations of Theorem 5.

<sup>&</sup>lt;sup>1</sup>See also §1. We do not assume that  $G_p$  is the full automorphism group of L over k.

<sup>&</sup>lt;sup>2</sup>By an algebraic number field, we always mean a *finite* extension of the field of rationals Q.

 $<sup>{}^{3}</sup>L_{k_{0}}$  is unique not only up to isomorphisms, but also as a  $G_{p}$ -invariant subfield of L.

In the last two sections (§35, §36), we shall prove that under a certain condition on  $\Gamma$  (to which no counterexample is known), the field  $k_0$  contains the field F defined by  $F = \mathbf{Q}((\operatorname{tr} \gamma_{\mathbf{R}})^2 | \gamma_{\mathbf{R}} \in \Gamma_{\mathbf{R}})$  (Theorem 8, §36). The idea of the proof is

- (i) to consider the  $\Gamma_{\mathbf{R}}$ -fixed points (on  $\mathfrak{H}$ ) and the rotation arguments of the stabilizers in algebraic terms, and
- (ii) to prove that F is generated over Q only by  $(tr \gamma_R)^2$  of elliptic elements  $\gamma_R$  of  $\Gamma_R$ .

The proof of (ii) is given in Chapter 3 (§11). A further study of the relations between  $k_0$ , F, and  $\mathbf{Q}((\operatorname{tr} \gamma_p)^2 | \gamma_p \in \Gamma_p)$  will be left to the next stage of this chapter.

Part 1 is rather a preliminary to Part 2. In Theorem 1 (§9), the one-to-one correspondence  $\Gamma \leftrightarrow L$  (over C) is established. In Theorem 2 (§10), some "Galois theory" between  $\Gamma$  and L is proved.<sup>4</sup> In particular, it is shown that L is irreducible (see §10) if and only if  $\Gamma$  is maximal. In Theorem 3 (§11), it is proved that  $G_p$  is of finite index in Aut<sub>C</sub> L, a fact needed in Part 2.

A large part of Part 2 is devoted to the proof of Theorem 4 (i.e.,  $\$21 \sim \$31$ ). Two basic lemmas for this proof are :

- (i)  $G_{\mathfrak{p}}$  is a certain free product with amalgamation (Lemma 7, §28), and
- (ii) homomorphisms of  $\Gamma_{\mathbf{R}}$  into  $G_{\mathbf{R}}$  satisfying some conditions are induced by inner automorphisms of  $G'_{\mathbf{R}} = PL_2(\mathbf{R})$  (Lemma 8, §29).

As an example of  $G_p$ -fields, we shall treat the  $G_p$ -field L over  $\mathbb{C}$  that corresponds to the group  $\Gamma = PSL_2(\mathbb{Z}^{(p)})$  (see §2). This field is treated in connection with Theorems 1, 3 and 5, in §2, §17 and §34, respectively.

Although the " $G_p$ -field" can be defined for any locally compact, non-compact, and totally disconnected group  $G_p$ , our main results after §11 are essentially based on the particular structure of the group  $G_p = PSL_2(k_p)$  (see Lemmas 1, 4 and 6). Moreover, the only examples of  $G_p$ -fields that we know at present are those for  $G_p \supset PSL_2(k_p)$  with  $(G_p : PSL_2(k_p)) < \infty$ ; and for such  $G_p$ , we can obtain results similar to ours immediately from our results (e.g., by using Proposition 4 (§12) and Theorem 6 (§32)). Therefore, we shall assume throughout the chapter that  $G_p$  is the group  $PSL_2(k_p)$ .

<sup>&</sup>lt;sup>4</sup>In Piatetski-Shapiro and Shafarevich [24] (in Russian), it seems that a certain transcendental Galois theory is developed, which seems quite interesting. However, the results (resp. ideas) are different (resp. independent).