

On the contact structure of a class of real analytic germs of the form $f\bar{g}$

Dedicated to Professor Mutsuo Oka on the occasion of his 60th birthday

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§1. Introduction

Let $(h, O) : (\mathbb{C}^{n+1}, O) \rightarrow (\mathbb{C}, 0)$ be a holomorphic germ with $h(O) = 0$, where O is the origin of \mathbb{C}^{n+1} . The intersection L_h of $h^{-1}(0)$ with a sphere S_ε^{2n+1} centered at $O \in \mathbb{C}^{n+1}$ with sufficiently small radius $\varepsilon > 0$ is called the *link* of (h, O) . In [15], J. Milnor proved that the argument map $h/|h| : S_\varepsilon^{2n+1} \setminus L_h \rightarrow S^1$ is a locally trivial fibration and that, under a certain condition, a real analytic germ also defines a locally trivial fibration over S^1 . There are several studies concerning this condition, see for instance [25, Ch. VII and VIII] and the references therein.

In [19], A. Pichon studied real analytic germs of the form $(f\bar{g}, O)$, where (f, O) and (g, O) are holomorphic germs from (\mathbb{C}^2, O) to $(\mathbb{C}, 0)$ with isolated singularities and with no common branches. Here \bar{g} represents the conjugation of g . In particular, a condition for the link $L_{f\bar{g}}$ to be fibred is given in terms of the multiplicities on resolution graphs of (f, O) and (g, O) . Then she and J. Seade proved in [20] that $f\bar{g}/|f\bar{g}| : S_\varepsilon^3 \setminus L_{f\bar{g}} \rightarrow S^1$ is a locally trivial fibration if and only if $(f\bar{g}, O)$ satisfies the fibrability condition in [19] in more general context. In [3], A. Bodin and Pichon studied the multilinks of meromorphic functions of the form f/g and represented the fibrability condition for $(f\bar{g}, O)$ in terms of special fibres of f/g .

Let M be an oriented, closed, smooth 3-manifold. A fibration from a link complement of M to S^1 is called an *open book decomposition* of

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M . For instance, the fibration $f\bar{g}/|f\bar{g}| : S_\varepsilon^3 \setminus L_{f\bar{g}} \rightarrow S^1$ is an open book decomposition of S_ε^3 . The idea of a contact structure compatible with an open book decomposition was first introduced by W.P. Thurston and H. Winkelnkemper in [26], where they proved that every open book decomposition admits a compatible contact structure. Moreover, it is known by E. Giroux that if two contact structures are compatible with the same open book decomposition then they are contactomorphic, i.e., there exists an automorphism which maps one contact structure to the other [9]. This means that the compatible contact structure is an invariant of the open book decomposition up to contactomorphism. Hence, to make a classification of fibred links in M , determining their compatible contact structures is important.

There are roughly two kinds of contact structures on 3-manifolds: tight contact structures and overtwisted ones. Let (M, ξ) denote the manifold M equipped with a contact structure ξ , called a *contact manifold*. A disk D in (M, ξ) is called *overtwisted* if D is tangent to ξ at each point on the boundary of D . If (M, ξ) has an overtwisted disk then we say ξ is *overtwisted* and otherwise we say ξ is *tight*. The classification of contact structures on S^3 up to contactomorphism had been done by Y. Eliashberg in [6] and [8]. In particular, he proved that S^3 admits a unique tight contact structure up to contactomorphism, which is called the *standard contact structure*.

In this paper, we study real analytic germs $(f\bar{g}, O)$ given by

$$f = x^p + y^q \quad \text{and} \quad g = x^r + y^s,$$

where $p, q, r, s \in \mathbb{N}$ and (f, O) and (g, O) are holomorphic germs from (\mathbb{C}^2, O) to $(\mathbb{C}, 0)$ having no common branches. It follows from [19, Corollary 2.2] and [20, Theorem 2.1] that $f\bar{g}/|f\bar{g}| : S_\varepsilon^3 \setminus L_{f\bar{g}} \rightarrow S^1$ is a locally trivial fibration if and only if either

- (1) $p \neq r$ and $q \neq s$,
- (2) $p = r > 1$, $q \neq s$ and $\min\{q, s\} = 1$,
- (3) $p \neq r$, $q = s > 1$ and $\min\{p, r\} = 1$, or
- (4) at least three of p, q, r, s are 1,

see Lemma 6 below. The main result is the following:

Theorem 1. *Let (f, O) and (g, O) be holomorphic germs given by $f = x^p + y^q$ and $g = x^r + y^s$ having no common branches. Suppose $f\bar{g}/|f\bar{g}| : S_\varepsilon^3 \setminus L_{f\bar{g}} \rightarrow S^1$ is a locally trivial fibration. Then its compatible contact structure is overtwisted.*

It is known by A. Loi and R. Piergallini in [13], combined with “fillable \Rightarrow tight” in [7], that if the monodromy of an open book decomposition is represented as a product of positive Dehn twists then the

compatible contact structure is tight. By contraposition, we have the following corollary:

Corollary 2. *The monodromy of the fibration $f\bar{g}/|f\bar{g}|$ in Theorem 1 contains at least one negative Dehn twist.*

The Milnor fibration is compatible with the tight contact structure because its monodromy can be represented as a product of positive Dehn twists. So, Theorem 1 distinguishes the fibration $f\bar{g}/|f\bar{g}|$ from those of holomorphic germs. It is worth noting that C. Caubel, A. Némethi and P. Popescu-Pampu proved in [4] that, for an irreducible complex analytic germ (X, O) having only an isolated singularity at $O \in X$, the Milnor fibration of a holomorphic function with only an isolated singularity at O is compatible with a certain canonical contact structure appearing on the link of (X, O) up to contactomorphism. In case where $\dim_{\mathbb{C}} X = 2$, this contact structure is always tight because of “fillable \Rightarrow tight”.

Here is a remark concerning the contact structures compatible with the fibrations of a holomorphic germ (f, O) and its conjugation (\bar{f}, O) . As we mentioned above, the monodromy of the Milnor fibration of (f, O) is represented as a product of positive Dehn twists. However, the fibration of the germ (\bar{f}, O) is also represented as a product of positive Dehn twists because $(x, y) \mapsto (\bar{x}, \bar{y})$ does not change the orientation of S^3_{ε} , reverse the argument $e^{i \arg f}$ to $e^{-i \arg f}$ in the target of the fibration, and reverse the orientation of the fibre surface. Hence, to have a fibration compatible with an overtwisted contact structure, a mixture of (f, O) and (\bar{g}, O) is necessary.

This paper is organized as follows. In Section 2 we give the definitions of open book decompositions and compatible contact structures, and also introduce quasipositive surfaces in S^3 and relation between contact structures and quasipositive surfaces. In Section 3 we calculate the Euler characteristic of the fibre surface of $f\bar{g}/|f\bar{g}| : S^3_{\varepsilon} \setminus L_{f\bar{g}} \rightarrow S^1$. The proof of Theorem 1 is written in Section 4. In Section 5 we make a few comments on the case where f and g are Newton non-degenerate. Finally, in Section 6, we show that the same conclusion is obtained in the case where the real analytic germ is of the form $(xy(x^p + y^q), O)$.

This work is motivated by a question in a talk of Anne Pichon in Luminy, May, 2006. The author would like to thank her for explaining her works concerning the structure of the fibration $f\bar{g}/|f\bar{g}| : S^3_{\varepsilon} \setminus L_{f\bar{g}} \rightarrow S^1$. He is also grateful to Sebastian Baader for useful comments and discussions on quasipositive surfaces.

§2. Contact structures compatible with open books

An open book decomposition of a closed, oriented, smooth 3-manifold is the following. Let F be a compact, oriented, smooth 2-dimensional manifold with boundary ∂F and ϕ an automorphism of F which is the identity on ∂F . If a closed, oriented, smooth 3-manifold M can be obtained from $F \times [0, 1]$ by identifying $(\phi(x), 0)$ and $(x, 1)$ for $x \in F$ and $(y, 0)$ and (y, t) for $y \in \partial F$ and all $t \in [0, 1]$, then we say that M has an *open book decomposition*. The manifold F is called a *fibre surface*, ∂F is the *binding* and ϕ is the *monodromy diffeomorphism*. Note that the binding is also called a *fibred link* in M .

A *contact structure* on M is the 2-plane field given by the kernel of a 1-form α satisfying $\alpha \wedge d\alpha \neq 0$ everywhere on M . By changing the orientation of M if necessary, we assume $\alpha \wedge d\alpha > 0$.

Definition 3. An open book decomposition of M is said to be *compatible* with a contact structure $\xi = \ker \alpha$ on M if

- the fibred link of the open book decomposition is transverse to ξ ;
- $d\alpha$ is a volume form on each fibre surface;
- the orientation of the fibred link coincides with that of ξ determined by α .

Two contact manifolds (M_1, ξ_1) and (M_2, ξ_2) are said to be *contactomorphic* if there exists a diffeomorphism $\varphi : M_1 \rightarrow M_2$ such that $d\varphi : TM_1 \rightarrow TM_2$ satisfies $d\varphi(\xi_1) = \xi_2$. As mentioned in the introduction, Thurston and Winkelnkemper proved that every open book decomposition admits a compatible contact structure [26] and Giroux proved that if two contact structures are compatible with the same open book decomposition then they are contactomorphic [9]. The 3-sphere S^3 admits a unique tight contact structure [8], called the *standard contact structure*, and a unique overtwisted contact structure in each homotopy class of 2-plane fields on S^3 [6].

The standard contact structure on S^3 is characterized by quasipositive surfaces, which we now introduce. A link is called *quasipositive* if it has a diagram which is the closure of a product of conjugates of the positive generators of the braid group. If the product consists only of words of the form

$$\sigma_{i,j} = (\sigma_i \cdots \sigma_{j-2}) \sigma_{j-1} (\sigma_i \cdots \sigma_{j-2})^{-1}$$

then the link obtained as its closure is called *strongly quasipositive*. Let b be the braid index of a braid diagram of a strongly quasipositive link. The link spans a canonical Seifert surface consisting of b copies of disjoint

parallel disks with a band for each $\sigma_{i,j}$, see for example Figure 1. Such a diagram of a Seifert surface is called a *quasipositive diagram* and a Seifert surface is said *quasipositive* if it has a quasipositive diagram.

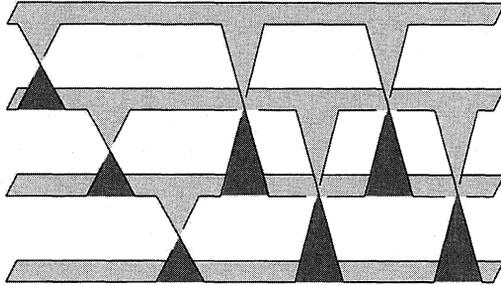


Fig. 1. An example of quasipositive surface.

The notion of a quasipositive link was introduced by L. Rudolph in [21] and, in the subsequent studies, he found many interesting properties of quasipositive links and surfaces, including deep relation to contact geometry, see for instance [23, 24] and the references therein.

The next theorem gives a useful characterization of the standard contact structure on S^3 .

Theorem 4 (M. Hedden [10], S. Baader-I [2]). *An open book decomposition of S^3 is compatible with the standard contact structure if and only if the fibre surface is quasipositive.*

Therefore, to prove that a fibration is compatible with an over-twisted contact structure, it is enough to prove that the fibre surface is not quasipositive.

We close this section with introducing a lemma which is useful to prove that a given fibre surface is not quasipositive. Let γ be a simple closed curve on an oriented surface S in S^3 . If γ does not bound a disk in S then it is called *essential*. A small, compact collar neighborhood $A(\gamma)$ of γ in S is an embedded annulus. We orient the two boundary components of $A(\gamma)$ according to the orientation of S and denote the linking number of these two oriented boundaries by $\ell(\gamma, S)$.

Lemma 5. *Let γ be an essential, simple closed curve on a quasipositive surface S and suppose that γ is a trivial knot in S^3 . Then $\ell(\gamma, S) > 0$.*

Proof. A subsurface T of a quasipositive surface S is called *full* if each simple closed curve on T which bounds a disk in S already bounds

a disk in T . In [22], Rudolph proved that a full subsurface of a quasipositive surface is quasipositive. Since γ is essential, $A(\gamma)$ is quasipositive. It is well-known that an unknotted annulus is quasipositive if and only if the linking number of the two oriented boundaries is strictly positive. This can be proved, for example, by combining [2, Lemma 6.1] with the fact that the Thurston-Bennequin invariant of a trivial knot is at most -1 . Thus we have $\ell(\gamma, S) > 0$. Q.E.D.

§3. The Euler characteristic of the fibre surface

In this section we determine the Euler characteristic of the fibre surface of the fibration $f\bar{g}/|f\bar{g}| : S_\varepsilon^3 \setminus L_{f\bar{g}} \rightarrow S^1$.

Lemma 6. *Let (f, O) and (g, O) be holomorphic germs given by $f = x^p + y^q$ and $g = x^r + y^s$ having no common branches. Then the argument map $f\bar{g}/|f\bar{g}| : S_\varepsilon^3 \setminus L_{f\bar{g}} \rightarrow S^1$ is a locally trivial fibration if and only if either*

- (1) $p \neq r$ and $q \neq s$,
- (2) $p = r > 1$, $q \neq s$ and $\min\{q, s\} = 1$,
- (3) $p \neq r$, $q = s > 1$ and $\min\{p, r\} = 1$, or
- (4) at least three of p, q, r, s are 1.

In the case it is a fibration, the Euler characteristic $\chi(F)$ of the fibre surface F is given by

$$\chi(F) = \begin{cases} |p-r|(1-q) + |q-s|(1-r) & \text{if } p/q \geq r/s, \\ |p-r|(1-s) + |q-s|(1-p) & \text{if } p/q < r/s. \end{cases}$$

Proof. We will present the resolution graphs of (f, O) and (g, O) and apply [19, Corollary 2.2]. The data of the resolution graphs can be read from the Newton boundaries of (f, O) and (g, O) via toric modifications, see for instance [17, 18]. Note that the argument below can be interpreted in the context of the book of D. Eisenbud and W. Neumann [5], which is used in the proof of [19, Corollary 2.2].

The case $p/q < r/s$ turns out to be the case $p/q > r/s$ by exchanging f and g because of the following reason. The map $(x, y) \mapsto (\bar{x}, \bar{y})$ does not change the orientation of S_ε^3 , reverse the argument in the target of the fibration, and reverse the orientation of the fibre surface. Therefore $f\bar{g}/|f\bar{g}| : S_\varepsilon^3 \setminus L_{f\bar{g}} \rightarrow S^1$ is a locally trivial fibration if and only if $g\bar{f}/|g\bar{f}| : S_\varepsilon^3 \setminus L_{g\bar{f}} \rightarrow S^1$ is, and moreover if they define fibrations then their fibre surfaces are isotopic. Thus we only need to prove the case $p/q \geq r/s$.

The Newton boundary of (fg, O) is as shown in Figure 2. Let $\vec{n}_i = {}^t(\alpha_i, \beta_i)$, $i = 1, \dots, N$, be primitive vectors (i.e., $\gcd(\alpha_i, \beta_i) = 1$) which satisfy the following conditions:

- (i) $\vec{n}_1 = {}^t(1, 0)$ and $\vec{n}_N = {}^t(0, 1)$;
- (ii) the indices of \vec{n}_i 's are assigned in the counterclockwise orientation;
- (iii) there are indices i_f and i_g such that $\vec{n}_{i_f} = {}^t(q_0, p_0)$ and $\vec{n}_{i_g} = {}^t(s_0, r_0)$ respectively, where $p_0 = p/\gcd(p, q)$, $q_0 = q/\gcd(p, q)$, $r_0 = r/\gcd(r, s)$ and $s_0 = s/\gcd(r, s)$;
- (iv) $\det(\vec{n}_i, \vec{n}_{i+1}) = 1$ for each $i = 1, \dots, N - 1$.

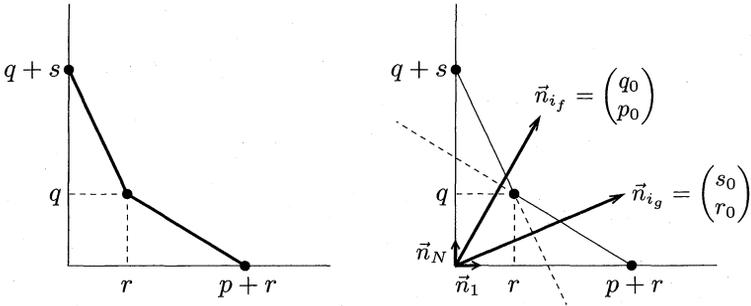


Fig. 2. The Newton boundary of (fg, O) in the case $p/q > r/s$.

For each Cone $(\vec{n}_i, \vec{n}_{i+1})$, $i = 1, \dots, N - 1$, we prepare an affine coordinate chart U_i with coordinates $(u_i, v_i) \in U_i$ and define a birational map $\varphi_i : U_i \rightarrow \mathbb{C}^2$ by

$$x = u_i^{\alpha_i} v_i^{\alpha_{i+1}}, \quad y = u_i^{\beta_i} v_i^{\beta_{i+1}}.$$

The birational maps $\{\varphi_i\}$ define a well-defined gluing of the coordinate charts $\{U_i\}$ and we obtain the map

$$\varphi : T = \bigcup_{i=1}^{N-1} U_i \rightarrow \mathbb{C},$$

which is called a *toric modification*. Each \vec{n}_i , $i = 2, \dots, N - 1$, corresponds to an exceptional divisor in T and we denote it by E_i . For the plane curve $V = \{f\bar{g} = 0\}$, the closure of $\varphi^{-1}(V \setminus \{O\})$ in T is called the *strict transform* of V and denoted by \tilde{V} . In our case, \tilde{V} becomes a

smooth algebraic curve in T . In other words, the map $\varphi : T \rightarrow \mathbb{C}$ is a resolution of the singularity $(f\bar{g}, O)$.

Let $m(h, E_i)$ denote the multiplicity of a holomorphic germ (h, O) around E_i . It follows from [19, Corollary 2.2] and [20, Theorem 2.1] that $f\bar{g}/|f\bar{g}| : S_\varepsilon^3 \setminus L_{f\bar{g}} \rightarrow S^1$ is a locally trivial fibration if and only if $m(f, E_i) \neq m(g, E_i)$ for every E_i corresponding to a rupture vertex in the dual graph of the resolution. Here a vertex is called *rupture* if it has at least three incident edges where the arrows representing the strict transforms are considered as edges. The multiplicity $m(h, E_i)$ of (h, O) can be read from the Newton boundary $\Gamma(h, O)$ of (h, O) as

$$m(h, E_i) = \min\{\alpha_i X + \beta_i Y \mid (X, Y) \in \Gamma(h, O)\},$$

where $\vec{n}_i = {}^t(\alpha_i, \beta_i)$ is the primitive vector corresponding to E_i . Using this formula, we have

$$\begin{aligned} m(f, E_2) &= q, \\ m(f, E_{i_g}) &= r_0 q = r q / \gcd(r, s), \\ m(f, E_{i_f}) &= q_0 p = q p / \gcd(p, q), \\ m(f, E_{N-1}) &= p, \end{aligned}$$

and

$$\begin{aligned} m(g, E_2) &= s, \\ m(g, E_{i_g}) &= r_0 s = r s / \gcd(r, s), \\ m(g, E_{i_f}) &= q_0 r = q r / \gcd(p, q), \\ m(g, E_{N-1}) &= r. \end{aligned}$$

In case (1), we have $m(f, E_{i_g}) \neq m(g, E_{i_g})$ and $m(f, E_{i_f}) \neq m(g, E_{i_f})$ and the other exceptional divisors are not rupture. Therefore $f\bar{g}/|f\bar{g}| : S_\varepsilon^3 \setminus L_{f\bar{g}} \rightarrow S^1$ is a locally trivial fibration. In case (2), by the assumption $p/q \geq r/s$, we only need to consider the case $p = r > 1$, $q = 1$ and $s > 1$. We choose $\{\vec{n}_i\}$ such that $i_f = N - 1$ and $\vec{n}_{i_f} = {}^t(1, p)$. Then, in the dual graph, the vertex corresponding to E_{i_f} is not rupture. The vertex corresponding to E_{i_g} is rupture and, since $q \neq s$, we have $m(f, E_{i_g}) \neq m(g, E_{i_g})$. All other exceptional divisors are not rupture. Hence $f\bar{g}/|f\bar{g}| : S_\varepsilon^3 \setminus L_{f\bar{g}} \rightarrow S^1$ is a locally trivial fibration. Case (3) turns out to be case (2) by exchanging the variables x and y . In case (4), $(f\bar{g}, O)$ is a normal crossing and the corresponding vertex in the dual graph is not rupture. Hence $f\bar{g}/|f\bar{g}| : S_\varepsilon^3 \setminus L_{f\bar{g}} \rightarrow S^1$ is a locally trivial fibration. The dual resolution graphs in cases (1), (2) and (4) are described in Figure 3, where the arrows with sign +1 represent the

strict transforms of (f, O) and those with sign -1 represent the strict transforms of (\bar{g}, O) .

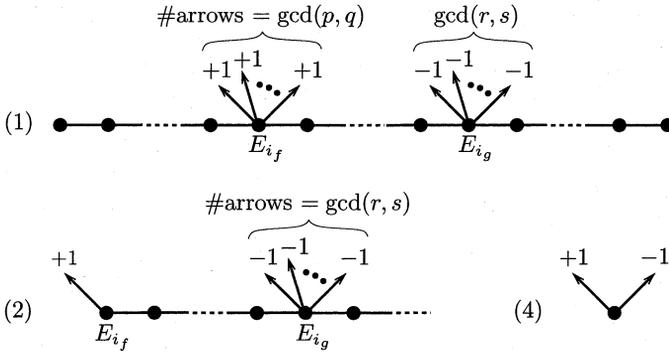


Fig. 3. The dual resolution graphs in cases (1), (2) and (4).

Suppose f and g are not in cases (1), (2), (3) and (4). In this case, either E_{i_g} or E_{i_f} corresponds to a rupture vertex in the dual graph and satisfies $m(f, E_{i_g}) = m(g, E_{i_g})$ or $m(f, E_{i_f}) = m(g, E_{i_f})$ respectively. Hence $f\bar{g}/|f\bar{g}| : S^3_\epsilon \setminus L_{f\bar{g}} \rightarrow S^1$ is not a locally trivial fibration. This proves the first assertion of the lemma.

Next we calculate the Euler characteristic $\chi(F)$ of the fibre surface F . For our convenience, we choose $\{\bar{n}_i\}$ in such a way that $i_g > 2$ and $i_f < N - 1$. Note that the results of the calculations of the multiplicities above do not change even if we re-choose $\{\bar{n}_i\}$ with these additional conditions. Set

$$E_i^\circ = E_i \setminus \left(\tilde{V} \cup \bigcup_{i \neq j} E_j \right).$$

Their Euler characteristics $\chi(E_i^\circ)$ are given by

$$\begin{aligned} \chi(E_2^\circ) &= \chi(E_{N-1}^\circ) = 1, \\ \chi(E_{i_g}^\circ) &= -\gcd(r, s), \\ \chi(E_{i_f}^\circ) &= -\gcd(p, q), \\ \chi(E_i^\circ) &= 0 \quad \text{otherwise.} \end{aligned}$$

In the case where $f\bar{g}/|f\bar{g}| : S^3_\epsilon \setminus L_{f\bar{g}} \rightarrow S^1$ is a locally trivial fibration, the Euler characteristic $\chi(F)$ is calculated according to the technique

in [1] as

$$\begin{aligned}\chi(F) &= \sum_{i=2}^{N-1} |m(f, E_i) - m(g, E_i)| \chi(E_i^\circ) \\ &= |q - s| - |rq - rs| - |qp - qr| + |p - r| \\ &= |q - s|(1 - r) + |p - r|(1 - q).\end{aligned}$$

This completes the proof of the lemma.

Q.E.D.

§4. Proof of Theorem 1

The following theorem, combined with Theorem 4, implies Theorem 1.

Theorem 7. *Let (f, O) and (g, O) be holomorphic germs given by $f = x^p + y^q$ and $g = x^r + y^s$ having no common branches. Suppose $f\bar{g}/|f\bar{g}| : S_\varepsilon^3 \setminus L_{f\bar{g}} \rightarrow S^1$ is a locally trivial fibration. Then its fibre surface is not quasipositive.*

We will prove this theorem in this section. Remark that the fibre surface of $f\bar{g}/|f\bar{g}| : S_\varepsilon^3 \setminus L_{f\bar{g}} \rightarrow S^1$ is quasipositive if and only if that of $g\bar{f}/|g\bar{f}| : S_\varepsilon^3 \setminus L_{g\bar{f}} \rightarrow S^1$ is. So, we can exchange f and g if necessary. We can also exchange the variables x and y if necessary.

In Lemma 6, there are four cases (1), (2), (3) and (4) where $f\bar{g}/|f\bar{g}| : S_\varepsilon^3 \setminus L_{f\bar{g}} \rightarrow S^1$ is a locally trivial fibration. In case (4), the fibration is topologically equivalent to $x\bar{y}/|x\bar{y}| : S_\varepsilon^3 \setminus L_{x\bar{y}} \rightarrow S^1$, which is the mirror image of the Milnor fibration of (xy, O) . The fibre surface of this mirror image is a negative Hopf band and it is well-known that this fibre surface is not quasipositive (for instance, use Lemma 5). Case (3) turns out to be case (2) by exchanging the variables x and y . In case (2), we can assume that $p = r$ and $q = 1$ by exchanging f and g if necessary. In particular, $p/q > r/s$, $p/q > 1$ and $q \neq s$. In case (1), we can assume $p/q \geq 1$ by exchanging the variables x and y if necessary. If $p/q < r/s$ then $p/q \geq 1$ implies $r/s > 1$. Thus, the case $p/q < r/s$ turns out to be the case $p/q > r/s$ by exchanging f and g with keeping the assumption $p/q \geq 1$. Thus it is enough to prove the assertion in cases (1) and (2) under the assumptions $p/q \geq r/s$, $p/q \geq 1$ and $q \neq s$.

We here present the plan of the proof.

(A) $p \geq r$

(A-1) $q \leq r$

We prove this case in Section 4.1.

(A-2) $q > r$

We prove this case in Section 4.2.

(B) $p < r$

This case happens only in case (1). If $r/s \leq 1$ then $s \geq r > p \geq q$. In this case, by exchanging x and y and also f and g , it turns out to be case (A). So, we can assume that $r/s > 1$. Since $p/q \geq r/s$ and $p < r$, we have the inequality $q < s$. We prove this case in Section 4.3.

In the proof, we need to present the position of the fibre surface. In order to describe the position, we will use the following braid foliation. Let $\Theta : S^3_\varepsilon \setminus \{y = 0\} \rightarrow S^1$ be the fibration given by $\Theta((x, y)) = \arg y$. The fibred link is the trivial knot $S^3_\varepsilon \cap \{y = 0\}$ and the fibre surface is a half-plane $H_\theta = \{(x, y) \in S^3_\varepsilon \mid \arg y = \theta\}$, where $\theta \in S^1$. For the holomorphic function $f(x, y) = x^p + y^q$, the link $S^3_\varepsilon \cap L_f$ is determined by $x = (-y^q)^{1/p}$. The link L_f intersects H_θ transversely and, on each H_θ , these intersection points lie on a circle C_f centered at $H_\theta \cap \{x = 0\}$. The number of these intersection points is called a *braid index*. The braid index of L_f with respect to H_θ is p . Also, the intersection points of L_g with H_θ lie on a circle C_g centered at $H_\theta \cap \{x = 0\}$ and its braid index is r . Since S^3_ε is sufficiently small, we can assume that $|y| < 1$. In the case $p/q > r/s$, $x = (-y^s)^{1/r}$ is closer to $x = 0$ than $x = (-y^q)^{1/p}$, that is, C_g lies inside C_f , see on the left in Figure 4. In the case $p/q = r/s$, C_f coincides with C_g , though we also use the positions in Figure 4 to distinguish these circles. In the proof, for convenience, we align the intersection points of L_f and L_g with H_θ vertically as shown on the right in Figure 4.

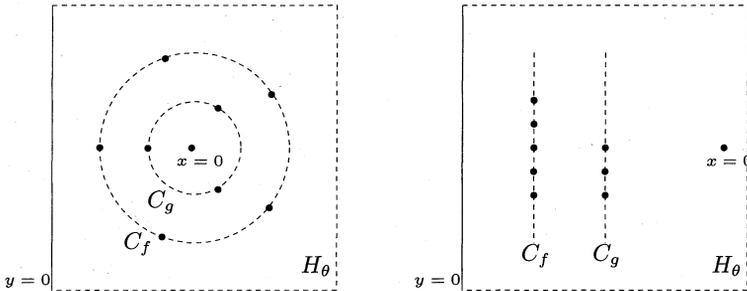


Fig. 4. The intersection points of L_f and L_g with H_θ . The figures are in the case $p = 5$ and $r = 3$.

Using the braid foliation, a Seifert surface S bounded by the link $L_{f\bar{g}}$ is represented as the move of the intersection $S \cap H_\theta$ when θ moves on S^1 . Setting S in a good position, we assume that S is tangent to H_θ

only at a finite number of saddles. A saddle appears during the move of $S \cap H_\theta$ as shown in Figure 5. In the figure, the saddle appears at $\theta = \theta_0$, which is described in the middle. The left is a figure just before $\theta = \theta_0$ (where $\varepsilon > 0$ is sufficiently small) and the right is a figure just after the saddle.

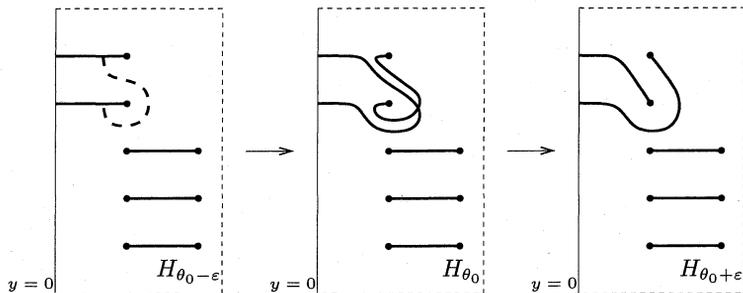


Fig. 5. An example of a saddle appearing in the move of $S \cap H_\theta$.

4.1. Case (A-1)

To describe the Seifert surface S , we first prepare the section $S \cap H_\theta$ at $\theta = 0$. There are p intersection points a_1, a_2, \dots, a_p of $L_f \cap H_0$ on C_f and r intersection points $b_{p-r+1}, b_{p-r+2}, \dots, b_p$ of $L_g \cap H_0$ on C_g . We connect a_i and a point e_i on the axis $\{y = 0\}$ by a horizontal interval $C(a_i, e_i)$ for each $i = 1, \dots, p-r$ and connect a_i and b_i by a horizontal interval $C(a_i, b_i)$ for each $i = p-r+1, \dots, p$ as shown in Figure 6 (a). The figure represents the case $p = 8$ and $r = 5$. The dotted curve R connecting the center $x = 0$ and the axis $\{y = 0\}$ is used to refer how many times the intersection points $L_{f\bar{g}} \cap H_\theta$ rotate around $x = 0$.

As θ changes from 0 to 2π , the p points on C_f move round C_f q/p times in the clockwise orientation. Instead of moving these points, we move the reference-curve R q/p times around $x = 0$ in the counterclockwise orientation with keeping the points e_1, \dots, e_{p-r} on $\{y = 0\}$ under R . The moved reference-curve R passes between a_q and a_{q+1} .

In the case $q \leq p-r$, the reference-curve R passes over b_{p-r+1} . This case is shown in Figure 6 (b). The figure represents the case $q = 2$. We next apply a saddle between $C(a_{p-r}, e_{p-r})$ and $C(a_{p-r+1}, b_{p-r+1})$. The dotted arc in Figure 6 (b) represents the position of the saddle and we obtain Figure 6 (c). By applying $p-r-1$ saddles further, we obtain Figure 6 (d). We then repeat the same process for the intervals $C(a_i, b_i)$

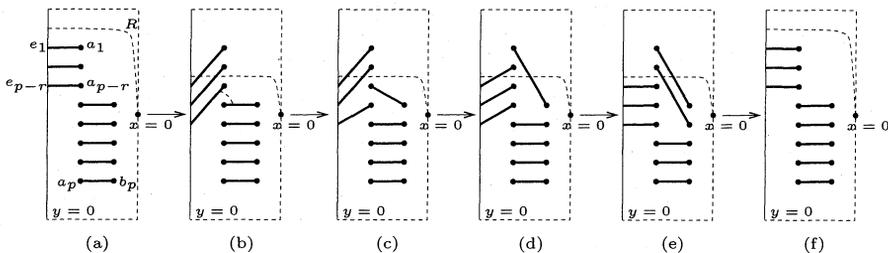


Fig. 6. The move of intervals on H_θ in case (A-1) with $q \leq p - r$.

for $i = p - r + 2, \dots, p - r + q$ (here we do nothing in the case $q = 1$) and obtain Figure 6 (e). During the process from (a) to (e), we applied totally $(p - r)q$ saddles. By moving the points $b_{p-r+1}, \dots, b_{p-r+q}$ round C_g q/r times, we obtain Figure 6 (f).

The move of the intervals in the case $q > p - r$ is shown in Figure 7. The figure represents the case $q = 4$. The difference from the case $q \leq p - r$ is only the position of the reference-curve R . So, in this case, we also use $(p - r)q$ saddles.

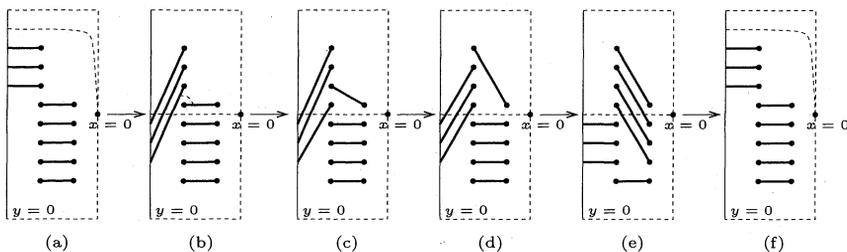


Fig. 7. The move of intervals on H_θ in case (A-1) with $q > p - r$.

In both cases, the points on C_g have been moved round C_g q/r times. Hence we need to move them further $(s - q)/r$ times in the clockwise direction if $s > q$ and $(q - s)/r$ times in the counterclockwise direction if $s < q$. Figure 8 (f)-(g)-(h)-(a') represents the $1/r$ -rotation in the case $s > q$ and Figure 8 (g') represents the first step of the $1/r$ -rotation in the case $s < q$. We repeat this process $|q - s|$ times, where we use $|s - q|(r - 1)$ saddles, and complete the necessary move. Since the configuration of the intervals in Figure 8 (a') is the same as those in

Figure 6 (a) and Figure 7 (a), this move of intervals on H_θ constitutes a surface S in S_ε^3 bounded by the link $L_{f\bar{g}}$. It is easy to check that this surface is a Seifert surface. In [19, Proposition 3.1], Pichon proved that if the orientation of the link components L_f induced by the fibre surface is consistent with the parameter θ then that of L_g is opposite to θ . It is easy to verify that the orientation of $L_{f\bar{g}}$ induced by S satisfies this property.

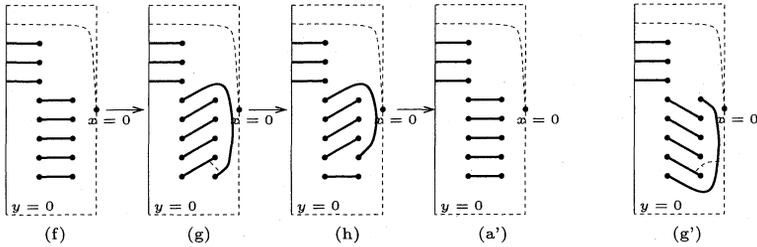


Fig. 8. The move of intervals during the $1/r$ -rotation of $L_{\bar{g}} \cap H_\theta$ in case (A-1).

Lemma 8. S is ambient isotopic to the fibre surface F in case (A-1).

Proof. The surface S is obtained from $p - r$ disks and a number of annuli by attaching bands corresponding to the saddles. Therefore the Euler characteristic $\chi(S)$ of S is given by

$$\chi(S) = (p - r) - (p - r)q - |s - q|(r - 1) = |p - r|(1 - q) + |q - s|(1 - r),$$

which coincides with $\chi(F)$ in Lemma 6. It is well-known that the fibration of an oriented fibred link is unique up to isotopy and that all minimal genus Seifert surfaces of a fibred link are ambient isotopic to the fibre surface, see for instance [5, p. 34] or [12, Theorem 4.1.10]. Thus we can conclude that F is ambient isotopic to S . Q.E.D.

Proof of Theorem 1 in case (A-1). By Lemma 5 and Lemma 8, it is enough to show the existence of an essential, simple closed curve γ on S which is a trivial knot in S_ε^3 and satisfies $\ell(\gamma, S) \leq 0$. We present such a γ by using the move of the section $\gamma \cap H_\theta$ for $\theta \in S^1$. Set $\gamma \cap H_0$ to be a point on the interval $C(a_{p-r+1}, b_{p-r+1})$ whose position is represented by a white box in Figure 9 (a). In the case $s > q$, the position of γ can be seen in Figure 9 by following the white box. Note that the move from (f) to (g) always occur since $s > q$. In the case $|s - q| \geq 2$, after the first

$1/r$ -rotation of $L_{\bar{g}} \cap H_{\theta}$ from (f) to (a'), we keep $\gamma \cap H_{\theta}$ on the interval connected to a' described in Figure 9 (a'). The obtained γ is obviously a trivial knot in S_{ε}^3 . We can prove that γ is essential in S as follows. Assume γ bounds a disk in S . Even if we cut S by arcs $\delta_1, \dots, \delta_k$ in $S \setminus \gamma$ whose endpoints lie on the boundary of S , γ still bounds a disk in the connected component of $S \setminus \bigcup_{i=1}^k \delta_i$ containing γ . However, in our case, we can cut S in such a way that the connected component containing γ is an annulus whose core curve is γ . Therefore, it does not bound a disk in S . It is also easy to check that $\ell(\gamma, S) = 0$, which proves the assertion in this case.

In the case $s < q$, we can find a curve γ which is essential in S , a trivial knot in S_{ε}^3 , and satisfies $\ell(\gamma, S) = -1$ in a similar way. This completes the proof. Q.E.D.

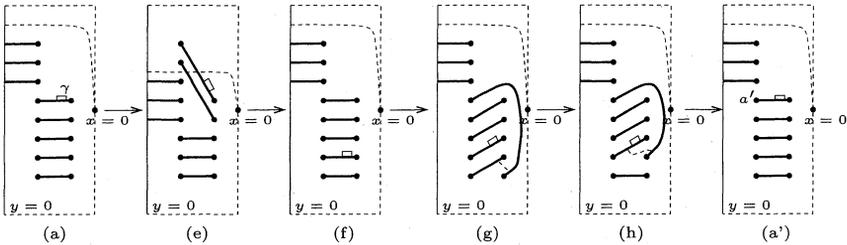


Fig. 9. The simple closed curve γ on S in the case $s > q$.

4.2. Case (A-2)

The Seifert surface S in this case is described in Figure 10, which represents the case $p = 8$ and $r = 2$. We set the section $S \cap H_0$ as before. In the case $q \leq p - r$, we move the reference-curve R q/p times in the counterclockwise direction. Figure 10 (b) represents the case $q = 3$. Then, by applying $(p - r)r$ saddles, we obtain Figure 10 (c). Moving the intersection points b_{p-r+1}, \dots, b_p around $x = 0$ in the clockwise orientation, we obtain Figure 10 (d). If $q - r > r$ then we need to iterate the move from (b) to (d) $\lfloor (q - r)/r \rfloor$ times. After these moves, we have the situation where there are $(q \bmod r)$ intersection points on C_f over R . Then apply saddles further $(p - r)(q \bmod r)$ times and obtain Figure 10 (e). We used totally $(p - r)r \lfloor q/r \rfloor + (p - r)(q \bmod r) = (p - r)q$ saddles. Finally, by moving the top $(q \bmod r)$ intervals connecting two points on C_f and C_g around $x = 0$ in the clockwise orientation, we obtain Figure 10 (f). The move after this figure is exactly the same as in case (A-1) shown in Figure 8 and we use $|s - q|(r - 1)$ saddles. The move

of the intervals in the case $q > p - r$ is analogous to the case $q \leq p - r$ as in case (A-1). In both cases, the move of intervals on H_θ constitutes a surface S in S_ε^3 bounded by the link $L_{f\bar{g}}$ and it is easy to check that S is a Seifert surface and that the orientation on the boundary of S is consistent with the orientation of $L_{f\bar{g}}$.

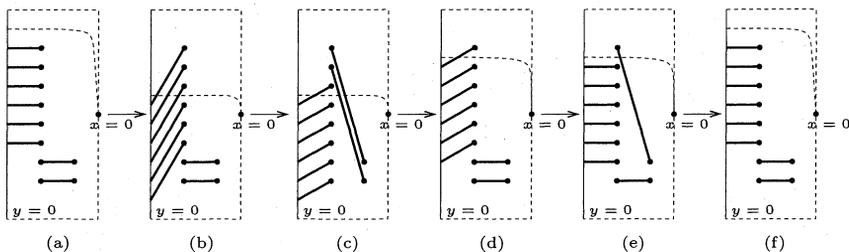


Fig. 10. The move of intervals on H_θ in case (A-2).

Lemma 9. S is ambient isotopic to the fibre surface F in case (A-2).

Proof. The assertion follows from the same argument as in the proof of Lemma 8. Q.E.D.

Proof of Theorem 1 in case (A-2). As in the proof in case (A-1), we will show the existence of γ which is essential in S , a trivial knot in S_ε^3 , and satisfies $\ell(\gamma, S) \leq 0$. We set $\gamma \cap H_0$ to be a point on the interval $C(a_{p-r+1}, b_{p-r+1})$ and keep it on the interval connected to b_{p-r+1} until Figure 10 (d). Since the move from (b) to (d) is iterated $\lfloor q/r \rfloor$ times, the section of γ is full-twisted $\lfloor q/r \rfloor$ times in the clockwise direction in this part. The move of $\gamma \cap H_\theta$ in the rest is the same as in case (A-1). Hence we have $\ell(\gamma, S) = -\lfloor q/r \rfloor$ in the case $s > q$ and $\ell(\gamma, S) = -\lfloor q/r \rfloor - 1$ in the case $s < q$. Since $q > r$ by the assumption, γ satisfies $\ell(\gamma, S) < 0$. It is easy to check that γ is a trivial knot in S_ε^3 and essential in S . This completes the proof. Q.E.D.

4.3. Case (B)

The Seifert surface S in this case is described in Figure 11. The section $L_{f\bar{g}} \cap H_0$ consists of p points a_1, \dots, a_p on C_f and r points b_1, \dots, b_r on C_g . We connect a_i and b_i by a horizontal interval $C(a_i, b_i)$ for each $i = 1, \dots, p$ and connect b_i and a point e_i on the axis $\{y = 0\}$ by a horizontal interval $C(e_i, b_i)$ for each $i = p + 1, \dots, r$ as shown in Figure 11 (a). The figure represents the case $p = 5$ and $r = 8$.

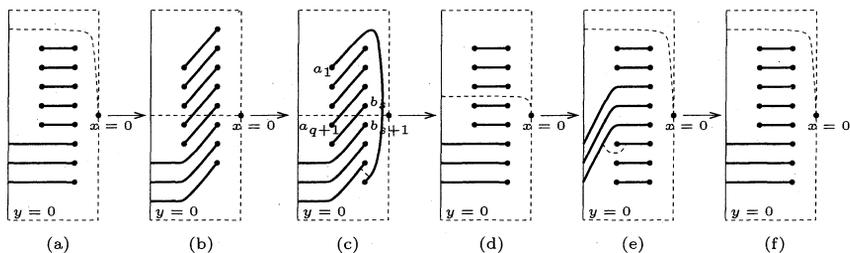


Fig. 11. The move of intervals on H_θ in case (B).

As θ changes from 0 to 2π , the p points on C_f move round C_f q/p times and the r points on C_g move round C_g s/r times in the clockwise orientation. Here we remind that we have the assumptions $p/q \geq 1$, $r/s > 1$ and $q < s$. Instead of these moves, we move the reference-curve R in the counterclockwise orientation. Then the curve R passes between a_q and a_{q+1} if $p > q$ and just under a_q if $p = q$. For C_g , it passes between b_s and b_{s+1} . This is shown in Figure 11 (b), which is in the case $q = 3$ and $s = 5$. The figures (b) and (c) represent the same configuration. We next apply a saddle in the position represented by the dotted arc in Figure 11 (c). We iterate the same kind of saddles totally $(r - 1)(s - q)$ times and obtain Figure 11 (d). The figures (d) and (e) represent the same configuration. We then apply a saddle in the position represented by the dotted arc in Figure 11 (e), iterate the same kind of saddles totally $(r - p)q$ times and obtain Figure 11 (f). The configuration of the intervals in Figure 11 (f) is the same as that in Figure 11 (a) and hence this move of intervals on H_θ constitutes a surface S in S_ε^3 bounded by the link $L_{f\bar{g}}$. It is easy to check that S is a Seifert surface and that the orientation on the boundary of S is consistent with the orientation of $L_{f\bar{g}}$.

Lemma 10. S is ambient isotopic to the fibre surface F in case (B).

Proof. The assertion follows from the same argument as in the proof of Lemma 8. Q.E.D.

Proof of Theorem 1 in case (B). As in the proofs in case (A), we will show the existence of γ which is essential in S , a trivial knot in S_ε^3 , and satisfies $\ell(\gamma, S) \leq 0$. We set $\gamma \cap H_0$ to be a point on the interval $C(a_1, b_1)$. The section of γ stays at this position until we have the saddle between $C(a_1, b_{s+1})$ and $C(a', b_s)$ in the process between (c) and (d), where $a' = a_{q+1}$ in the case $p > q$ and $a' = e_{q+1}$ in the case $p = q$. Using

this saddle, we shift the section of γ onto the interval $C(a', b_{s+1})$ and, after that, keep it on the interval connected to b_{s+1} . This move defines a simple closed curve γ on S , which is a trivial knot in S_ε^3 , essential in S , and satisfies $\ell(\gamma, S) = 0$. Thus we have the assertion. Q.E.D.

§5. Newton non-degenerate case

Let (f, O) and (g, O) be holomorphic germs at $O \in \mathbb{C}^2$. If the Newton boundaries of (f, O) and (g, O) are Newton non-degenerate, the topological types of these singularities are determined by their Newton boundaries, see [16]. Therefore we can easily generalize the statements of Theorem 1 and Corollary 2.

Corollary 11. *Suppose that (f, O) and (g, O) are Newton non-degenerate holomorphic germs whose Newton boundaries are the same as those of $(x^p + y^q, O)$ and $(x^r + y^s, O)$ respectively. Suppose either*

- (1) $p \neq r$ and $q \neq s$,
- (2) $p = r > 1$, $q \neq s$ and $\min\{q, s\} = 1$,
- (3) $p \neq r$, $q = s > 1$ and $\min\{p, r\} = 1$, or
- (4) at least three of p, q, r, s are 1.

In the case $p/q = r/s$, assume further that the Newton boundary of (fg, O) is non-degenerate. Then $f\bar{g}/|f\bar{g}| : S_\varepsilon^3 \setminus L_{f\bar{g}} \rightarrow S^1$ is a locally trivial fibration and the fibre surface is not quasipositive. As a consequence, the compatible contact structure is overtwisted and the monodromy contains at least one negative Dehn twist.

Proof. The resolution graph of $(f\bar{g}, O)$ is the same as that of $((x^p + y^q)(x^r + y^s), O)$. Hence the argument in Section 3 shows that $f\bar{g}/|f\bar{g}| : S_\varepsilon^3 \setminus L_{f\bar{g}} \rightarrow S^1$ is a locally trivial fibration. Since L_{fg} is ambient isotopic to the link of $((x^p + y^q)(x^r + y^s), O)$, $L_{f\bar{g}}$ is ambient isotopic to the link of $((x^p + y^q)(x^r + y^s), O)$. Hence the surface S described in Section 4 is the fibre surface of the fibration in this case also, which is not quasipositive.

Q.E.D.

Corollary 12. *Let (f, O) and (g, O) be holomorphic germs such that $f = x$ and (g, O) is Newton non-degenerate whose Newton boundary is the same as that of $(x^p + y^q, O)$. Then $f\bar{g}/|f\bar{g}| : S_\varepsilon^3 \setminus L_{f\bar{g}} \rightarrow S^1$ is a locally trivial fibration and the fibre surface is not quasipositive. As a consequence, the compatible contact structure is overtwisted and the monodromy contains at least one negative Dehn twist.*

Proof. By the change of coordinates $(x, y) \mapsto (x + y^{q+1}, y)$, the germ $(f\bar{g}, O)$ can be replaced by a germ which is Newton non-degenerate and

whose Newton boundary is the same as that of $((x + y^{q+1})\overline{(x^p + y^q)}, O)$. Hence, by Corollary 11, $f\bar{g}/|f\bar{g}| : S_\varepsilon^3 \setminus L_{f\bar{g}} \rightarrow S^1$ is a locally trivial fibration and the fibre surface is not quasipositive. Q.E.D.

§6. Real analytic germs $xy\overline{(x^p + y^q)}$

In this last section, we consider the case where $f = xy$ and $g = x^p + y^q$, $p, q \in \mathbb{N}$. If p and q do not satisfy $p = q = 2$, then the Newton boundary of (g, O) does not contain the point $(1, 1)$, which is the Newton boundary of (f, O) . Therefore, using [19, Corollary 2.2] and [20, Theorem 2.1], we conclude that $f\bar{g}/|f\bar{g}| : S_\varepsilon^3 \setminus L_{f\bar{g}} \rightarrow S^1$ is a locally trivial fibration. In the case $p = q = 2$, the vertex in the dual graph corresponding to the exceptional divisor E_{i_g} is rupture and $m(f, E_{i_g}) = m(g, E_{i_g})$. Hence [19, Corollary 2.2] shows that $f\bar{g}/|f\bar{g}| : S_\varepsilon^3 \setminus L_{f\bar{g}} \rightarrow S^1$ is not a locally trivial fibration.

If it is a fibration, we have the same conclusion as in Theorem 1.

Theorem 13. *Let (f, O) and (g, O) be holomorphic germs given by $f = xy$ and $g = x^p + y^q$. Suppose $f\bar{g}/|f\bar{g}| : S_\varepsilon^3 \setminus L_{f\bar{g}} \rightarrow S^1$ is a locally trivial fibration. Then the fibre surface is not quasipositive and, as a consequence, the compatible contact structure is overtwisted and the monodromy contains at least one negative Dehn twist.*

We first determine the Euler characteristic of the fibre surface.

Lemma 14. *Let (f, O) and (g, O) be holomorphic germs given by $f = xy$ and $g = x^p + y^q$. Suppose $f\bar{g}/|f\bar{g}| : S_\varepsilon^3 \setminus L_{f\bar{g}} \rightarrow S^1$ is a locally trivial fibration. Then the Euler characteristic $\chi(F)$ of the fibre surface F is given by $\chi(F) = -|pq - p - q|$.*

Proof. The Euler characteristic $\chi(F)$ can be read from the Newton boundary as in Section 3. Since $f = xy$, \vec{n}_1 and \vec{n}_N also correspond to exceptional divisors E_1 and E_N in T respectively and hence we have $\chi(E_j^\circ) = 0$ for $j \neq i_g$. For E_{i_g} , we have $\chi(E_{i_g}^\circ) = -\gcd(p, q)$, $m(g, E_{i_g}) = pq/\gcd(p, q)$ and $m(xy, E_{i_g}) = q/\gcd(p, q) + p/\gcd(p, q)$. Hence $\chi(F)$ is given by

$$\begin{aligned} \chi(F) &= \left| \frac{pq}{\gcd(p, q)} - \left(\frac{q}{\gcd(p, q)} + \frac{p}{\gcd(p, q)} \right) \right| (-\gcd(p, q)) \\ &= -|pq - p - q|. \end{aligned}$$

Q.E.D.

Proof of Theorem 13. In the case $p, q \geq 2$, we prove the assertion by presenting a Seifert surface S in S^3 bounded by $L_{f\bar{g}}$ with $\chi(S) = \chi(F)$,

using the braid foliation as in Section 4. In this case $\{x = 0\}$ and $\{y = 0\}$ are components of the link $L_{f\bar{g}}$. The component $\{y = 0\}$ is vertical in Figure 4, which is not useful for us. So, we perturb it very little so that it is transverse to H_θ for $\theta \in S^1$. We set the section $S \cap H_0$ as shown in Figure 12 (a), where the p points aligned vertically in the middle are the section $L_{\bar{g}} \cap H_0$. As θ changes from 0 to 2π , the p points in the middle move around $x = 0$ q/p times in the clockwise orientation and the point close to the axis $\{y = 0\}$ moves around $x = 0$ once in the same direction.

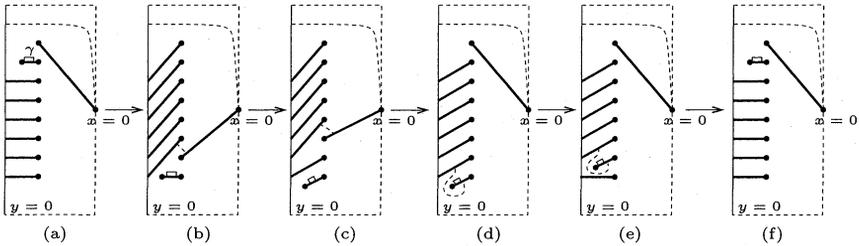


Fig. 12. The move of intervals on H_θ in the case $q = 2$. The figures are in the case $p = 8$.

Figure 12 represents the move of section $S \cap H_\theta$ in the case $q = 2$. During the move from (a) to (b), the p points in the middle move around $x = 0$ $2/p$ times in the clockwise orientation. During the process from (b) to (f), we attach totally $2(p - 2)$ saddles. This means that the surface S is obtained from $p - 2$ disks and two annuli by attaching $2(p - 2)$ bands. Hence

$$\chi(S) = (p - 2) - 2(p - 2) = -p + 2,$$

which coincides with $-(pq - p - q)$ with $q = 2$. Thus, by Lemma 14, we have $\chi(S) = \chi(F)$.

Figure 13 represents a move from Figure 12 (b) to itself, during which the p points in the middle move around $x = 0$ $1/p$ times. We attach $p - 1$ saddles in this process. In the case $q > 2$, we repeat this process $q - 2$ times at Figure 12 (b). Hence

$$\chi(S) = (p - 2) - (2(p - 2) + (p - 1)(q - 2)) = -(pq - p - q)$$

and, by Lemma 14, we have $\chi(S) = \chi(F)$.

The equality $\chi(S) = \chi(F)$ implies, as Lemma 8, that S is ambient isotopic to F . Moreover, the move of the white box in Figure 12 and Figure 13 represents the section of an essential, simple closed curve γ

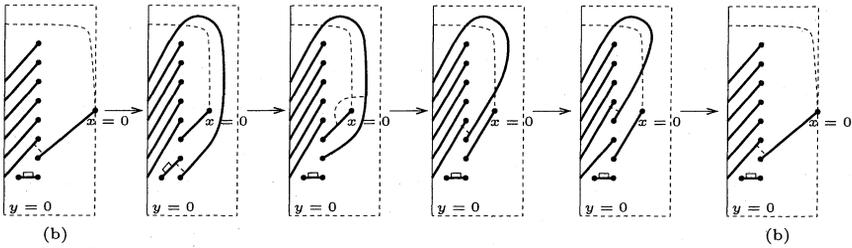


Fig. 13. The additional move of the intervals on H_θ in the case $q > 2$. The figures are in the case $p = 8$.

on S which is a trivial knot in S_ε^3 and satisfies $\ell(\gamma, S) < 0$. Hence, by Lemma 5, we have the assertion in the case $p, q \geq 2$.

In the case where one of p and q is 1, say $p = 1$, the link $L_{f\bar{g}}$ is as shown in Figure 14 and it is known in [14] that this surface is the fibre surface of $L_{f\bar{g}}$. By Lemma 5 this surface is not quasipositive and hence we have the assertion. Q.E.D.

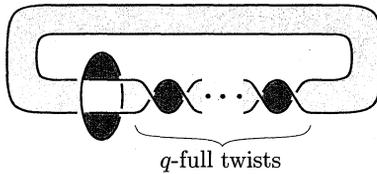


Fig. 14. The fibre surface in the case $p = 1$ and $q \geq 1$.

Corollary 15. *Let (f, O) and (g, O) be holomorphic germs such that $f = xy$ and (g, O) is Newton non-degenerate whose Newton boundary is the same as that of $(x^p + y^q, O)$. Suppose that p and q do not satisfy $p = q = 2$. Then $f\bar{g}/|f\bar{g}| : S_\varepsilon^3 \setminus L_{f\bar{g}} \rightarrow S^1$ is a locally trivial fibration and the fibre surface is not quasipositive. As a consequence, the compatible contact structure is overtwisted and the monodromy contains at least one negative Dehn twist.*

Proof. The proof is the same as that of Corollary 11. Q.E.D.

Remark 16. Recently, M. Hedden proved in [11], using knot Floer homology, that the (p, q) -cabling $K_{p,q}$ with $p > 0$ along a fibred knot K in S^3 is compatible with the standard contact structure on S^3 if and only if K is compatible with the standard one and $q > 0$. From

this viewpoint, the result in this paper can be interpreted as follows: the fibred link obtained by (p, q) - and (r, s) -cablings along the negative Hopf link is compatible with an overtwisted contact structure.

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