

## 9 Sobolev spaces on manifolds with constant negative curvature

### 9.1 Sobolev spaces on the upper branch of the hyperboloid

The upper branch of the unit hyperboloid

$$X : t = \sqrt{|x|^2 + 1}$$

is a Riemannian manifold with constant negative curvature  $K(x) = -1$ . With respect to the parametrization of  $X$

$$(9.1.1) \quad \Omega = (\text{chr}, \omega \text{shr}) \in X,$$

where  $r > 0, \omega \in \mathbf{S}^{n-1}$  the metric on  $X$  is

$$ds^2 = dr^2 + \text{sh}^2 r d\omega^2,$$

where  $d\omega^2$  is the standard metric on  $\mathbf{S}^{n-1}$ . In particular, if  $f : X \rightarrow \mathbb{R}$  and  $d\Omega$  is the standard measure on  $X$ , we have

$$\int_X f(\Omega) d\Omega = \int_0^\infty \int_{\mathbf{S}^{n-1}} f(\text{chr}, \omega \text{shr}) (\text{shr})^{n-1} dr d\omega.$$

With respect to this parametrization, the Laplace-Beltrami operator on  $X$  takes the form (8.1.18).

If  $f$  is a real integrable function defined on  $X$ , then we observe that

$$(9.1.2) \quad \int_X f(\Omega) d\Omega = \int_{\mathbb{R}^n} f(\langle x \rangle, x) \frac{dx}{\langle x \rangle},$$

where  $\langle x \rangle = \sqrt{1 + |x|^2}$ .

Further, in the interior of the positive light cone

$$(9.1.3) \quad \{(t, x) \in \mathbb{R}_+ \times \mathbb{R}^n : |x| < t\},$$

one can introduce the coordinates

$$\rho = \sqrt{t^2 - |x|^2}, \quad \Omega = \left( \frac{t}{\rho}, \frac{x}{\rho} \right) \in X$$

obtaining the following decomposition of the D'Alembertian operator (see Lemma 8.2.1)

$$\square = -\partial_t^2 + \Delta$$

$$\rho^2 \square = -(\rho \partial_\rho)^2 - (n-1) \rho \partial_\rho + \Delta_X,$$

$$\square = -\partial_\rho^2 - \frac{n}{\rho} \partial_\rho + \frac{a^2}{\rho^2} \Delta_{X_a},$$

where  $\Delta_{X_a}$  is the Laplace-Beltrami operator on  $X_a$ ,  $X = X_1$  and

$$\Delta_X = \sum_{j=1}^n Y_{0j}^2 - \sum_{j < k} Y_{jk}^2,$$

with

$$Y_{0j} = x_j \partial_{x_0} + x_0 \partial_{x_j}, \quad Y_{jk} = x_j \partial_{x_k} - x_k \partial_{x_j}.$$

It is not difficult to see that the operators  $Y_{0j}$  are skew-selfadjoint on  $L^2(X)$ . In fact, from (9.1.2) it follows that

$$(9.1.4) \quad \int_X Y_{0j} f(\Omega) \overline{g(\Omega)} d\Omega = \int_{\mathbb{R}^n} \partial_{x_j} f(\langle x \rangle, x) \overline{g(\langle x \rangle, x)} dx$$

and the assertion follows from the fact that the partial derivatives  $\partial_{x_j}$  are skew-selfadjoint operators on  $L^2(\mathbb{R}^n)$ .

Also in a standard way one can check that the operator  $\Delta_X$  is selfadjoint on  $L^2(X)$ . Moreover, there is a well-developed harmonic analysis of  $\Delta_X$  on the manifold  $X$  of curvature  $-1$ . (see [57] §4, §5b).

We shall avoid a direct application of the Fourier transform on  $X$ , since we shall see later on that our study is connected with the operator

$$(9.1.5) \quad \Delta_X + \Delta_{S^{n-1}},$$

where

$$(9.1.6) \quad \Delta_{S^{n-1}} = \sum_{j < k} Y_{jk}^2$$

is the Laplace-Beltrami operator on the unit sphere. From (8.2.10) we see that

$$\Delta_X + \Delta_{S^{n-1}} = \sum_{j=1}^n Y_{0j}^2.$$

Moreover, if  $f, g$  are smooth compactly supported functions on  $X$ , then

$$(9.1.7) \quad \begin{aligned} & \int_X Y_{0j}^2 f(\Omega) \overline{g(\Omega)} d\Omega = \\ & = \int_{\mathbb{R}^n} \partial_{x_j} \langle x \rangle \partial_{x_j} f(\langle x \rangle, x) \overline{g(\langle x \rangle, x)} dx \end{aligned}$$

First we have to mention, that the operator in (9.1.5) is symmetric. Moreover, (9.1.7) shows it is sufficient to show that the operator

$$P = \sum_{j=1}^n \langle x \rangle \partial_{x_j} \langle x \rangle \partial_{x_j}$$

is self-adjoint on  $L^2(\langle x \rangle^{-1} dx)$ . This is already established as an application of Theorem 4.2.1.

For any function  $f(\Omega) \in C_0^\infty(X)$  we define the norm

$$(9.1.8) \quad \|f\|_{\tilde{H}^s(X)} = \|(1 - \Delta_X)^{s/2} f\|_{L^2(X)}.$$

On the other hand, for  $s \geq 0$  integer one can consider the norm

$$(9.1.9) \quad \sum_{|\alpha| \leq s} \|Y^\alpha f\|_{L^2(X)},$$

where  $Y^\alpha = Y_1^{\alpha_1} \cdots Y_N^{\alpha_N}$  and  $Y_1, \dots, Y_N$  are the vector fields  $\{Y_{0j}, Y_{jk}\}_{j,k=1,\dots,n}$  given by (8.2.11).

In order to make an interpolation and define the corresponding Sobolev spaces of fractional order we start with the following:

**Lemma 9.1.1** *For  $s \geq 0$  integer the norm (9.1.9) is equivalent to*

$$(9.1.10) \quad \|f\|_{H^s(X)} = \|(1 - \Delta_X - \Delta_{\mathbf{S}^{n-1}})^{s/2} f\|_{L^2(X)}.$$

*Proof.* It is sufficient to verify the assertion for  $s = 1$  and then to proceed inductively. The square of the norm in (9.1.10) for  $s = 1$  is

$$(9.1.11) \quad \int_X (1 - \Delta_X - \Delta_{\mathbf{S}^{n-1}}) f(\Omega) \overline{f(\Omega)} d\Omega.$$

Further, we use the parametrization  $\Omega = (\text{chr}, \omega \text{shr}) \in X$ , and from (8.1.18) we have

$$\Delta_X = \partial_r^2 + (n-1) \frac{\text{chr}}{\text{shr}} \partial_r + \frac{1}{\text{sh}^2 r} \Delta_{\mathbf{S}^{n-1}},$$

where

$$(9.1.12) \quad \Delta_{\mathbf{S}^{n-1}} = \sum_{j < k} Y_{jk}^2.$$

From the fact that the volume element on  $X$  is  $d\Omega = (\text{shr})^{n-1} dr d\omega$  we see that the integral in (9.1.11) can be represented as

$$\begin{aligned} & \int_0^\infty \int_{\mathbf{S}^{n-1}} (|f|^2 + |\partial_r f|^2) (\text{shr})^{n-1} d\omega dr + \\ & + \int_0^\infty \int_{\mathbf{S}^{n-1}} \left[ \frac{1}{\text{sh}^2 r} \left( \sum_{j < k} |Y_{jk} f|^2 \right) + \sum_{j < k} |Y_{jk} f|^2 \right] (\text{shr})^{n-1} d\omega dr. \end{aligned}$$

Any vector field  $Y_{0j}$  is a linear combination of  $\partial_r$  and  $(\text{chr}/\text{shr}) Y_{jk}$ . Since

$$(9.1.13) \quad Y_{0j}(f) = -\omega_j \partial_r f - \frac{\text{chr}}{\text{shr}} \sum_{k=1}^n \omega_k Y_{jk} f,$$

we conclude that

$$\|Y_{0j} f\|_{L^2(X)}^2 \leq C \|(1 - \Delta_X - \Delta_{\mathbf{S}^{n-1}})^{1/2} f\|_{L^2(X)}^2.$$

To establish the opposite inequality we take advantage of the relations (8.2.10) and (9.1.12); we find

$$\Delta_X + \Delta_{\mathbf{S}^{n-1}} = \sum_{j=0}^n Y_{0j}^2.$$

Therefore, we have the relation

$$\int_X (1 - \Delta_X - \Delta_{\mathbf{S}^{n-1}}) f(\Omega) \overline{f(\Omega)} d\Omega = \|f\|_{L^2(X)}^2 - \sum_{j=1}^n \int_X Y_{0j}^2 f(\Omega) \overline{f(\Omega)} d\Omega$$

and using the fact that  $Y_{0j}$  are skew-selfadjoint operators, we get

$$\|(1 - \Delta_X - \Delta_{\mathbf{S}^{n-1}})^{1/2} f\|_{L^2(X)}^2 \leq \|f\|_{L^2(X)}^2 + \sum_{j=1}^n \|Y_{0j} f\|_{L^2(X)}^2.$$

This completes the proof of the Lemma.  $\square$

Finally, we are in position to make complex interpolation, and we obtain the space  $H^s(X)$  with fractional order  $s \geq 0$ :

$$(9.1.14) \quad H^s(X) := (H^{s_0}(X), H^{s_1}(X))_\theta, \quad s = s_0(1 - \theta) + s_1\theta.$$

In particular, an equivalent norm for these spaces is given by (see [62] 1.15.3)

$$(9.1.15) \quad \|(1 - \Delta_X - \Delta_{\mathbf{S}^{n-1}})^{s/2} f\|_{L^2(X)}.$$

The following inequality between the norms in  $H^s(X)$  and  $\tilde{H}^s(X)$  is fulfilled:

$$(9.1.16) \quad \|f\|_{\tilde{H}^s(X)} \leq \|f\|_{H^s(X)}.$$

In fact we can check the equivalent inequality

$$\|(1 - \Delta_X)^{s/2} f\|_{L^2(X)} \leq C \|(1 - \Delta_X - \Delta_{\mathbf{S}^{n-1}})^{s/2} f\|_{L^2(X)}.$$

Since the operator  $-\Delta_{\mathbf{S}^{n-1}}$  can be extended as non-negative operator on  $X$  such that its resolvent commutes with the resolvent of  $-\Delta_X$ , we can use the following

general statement from functional analysis: if  $A \geq 0, B \geq 0$  are selfadjoint operators with commuting resolvents in a Hilbert space  $H$ , then

$$\|(1 + A)^{s/2} f\|_H \leq C \|(1 + A + B)^{s/2} f\|_H.$$

This follows from the functional calculus based on the spectral theorem (see [62] for example) and completes the proof of (9.1.16).

Further, from the general functional calculus, if  $A \geq 0, B \geq 0$  and if their resolvents are commuting, then we have the inequality

$$\|(1 + A)^{s_1/2} (1 + B)^{s_2/2} f\|_H \leq C \|(1 + A + B)^{s/2} f\|_H, \quad s = s_1 + s_2.$$

This leads to

$$(9.1.17) \quad \begin{aligned} \|(1 - \Delta_X)^{s_1/2} (1 - \Delta_{\mathbf{S}^{n-1}})^{s_2/2} f\|_{L^2(X)} &\leq \\ &\leq \|(1 - \Delta_X - \Delta_{\mathbf{S}^{n-1}})^{s/2} f\|_{L^2(X)} \end{aligned}$$

provided  $s_1 + s_2 = s$ .

Now we are in position to state the corresponding Sobolev inequality:

**Theorem 9.1.1** *For any  $s > n/2$  we have*

$$(9.1.18) \quad \Omega_0^{(n-1)/2} |f(\Omega)| \leq C \|f\|_{H^s(X)}.$$

We shall postpone the proof in the next sections.

## 9.2 Weighted Sobolev spaces on the upper branch of the hyperboloid

Our next step is to introduce weighted Sobolev spaces on  $X$ , fixing our attention on the weight  $\chi(\Omega) = \Omega_0^\beta$ , where  $\beta$  is a real number.

As usual, we denote by  $L^2(X; \Omega_0^\beta)$  the  $L^2$ -space on  $X$  with measure  $\Omega_0^{2\beta} d\Omega$ , where  $d\Omega$  is the standard measure on  $X$ ; this means:

$$\|f\|_{L^2(X; \Omega_0^\beta)} = \|\Omega_0^\beta f\|_{L^2(X)}.$$

The corresponding weighted Sobolev spaces  $H^{N, \beta}(X)$  for any integer  $N \geq 0$  have the norm

$$(9.2.1) \quad \|f\|_{H^{N, \beta}(X)} = \sum_{|\alpha| \leq N} \|Y^\alpha \Omega_0^\beta f\|_{L^2(X)}$$

$Y^\alpha$  being defined by in Lemma 8.2.1.

Lemma 9.1.1 shows that this norm is equivalent to

$$(9.2.2) \quad \|f\|_{H^{N,\beta}(X)} = \|(1 - \Delta_X - \Delta_{\mathbf{S}^{n-1}})^{N/2} \Omega_0^\beta f\|_{L^2(X)}.$$

On the other hand, using the parametrization

$$\Omega = (\langle x \rangle, x) \in X,$$

where  $x \in \mathbb{R}^n$ , by (9.1.2) we have

$$(9.2.3) \quad \|f\|_{L^2(X)}^2 = \int_{\mathbb{R}^n} |f(\langle x \rangle, x)|^2 \frac{dx}{\langle x \rangle};$$

then we can express the norms (9.2.1) and (9.2.2) as  $L^2$  norms with measure

$$\frac{dx}{\langle x \rangle}.$$

Moreover, in what follows we put  $\tilde{f}(x) = f(\langle x \rangle, x)$ .

Our next step is to establish the following.

**Lemma 9.2.1** *For any integer  $N \geq 0$  we have*

$$(9.2.4) \quad \|f\|_{H^{N,\beta}(X)} \simeq \|\tilde{f}\|_{H^{N,\beta-1/2}(\mathbb{R}^n)}.$$

*Proof.* Since the quantities

$$\sum_{|\alpha| \leq N} |(\langle x \rangle \partial_x)^\alpha \tilde{f}(x)|$$

and

$$\sum_{|\alpha| \leq N} |(Y^\alpha f)(\langle x \rangle, x)|$$

are equivalent, to obtain (9.2.4) it suffices to use (9.2.3). □.

This Lemma gives us a possibility to define the corresponding weighted Sobolev spaces on  $X$  by the aid of the norms

$$(9.2.5) \quad \|f\|_{H^{s,\beta}(X)} = \|\tilde{f}\|_{H^{s,\beta-1/2}(\mathbb{R}^n)}.$$

It is clear also that the map

$$(9.2.6) \quad f \in H^{s,\beta}(X) \rightarrow \langle x \rangle^{-1/2} \tilde{f} \in H^{s,\delta}(\mathbb{R}^n)$$

is an isometry. Using this fact we obtain the following particular properties of these spaces

$$(9.2.7) \quad (H^{s,\nu}(X))' = H^{-s,-\nu}(X),$$

$$(9.2.8) \quad (H^{s_0, \nu_0}(X), H^{s_1, \nu_1}(X))_\theta = H^{s, \nu}(X)$$

for  $s = (1 - \theta)s_0 + \theta s_1$ , and  $\nu = \nu_0(1 - \theta) + \nu_1\theta$ . Finally, from the above definition and the equivalence (7.5.22) we can take any real numbers  $\nu, s$  and we can assert that

$$(9.2.9) \quad \|(1 - \Delta_X - \Delta_{\mathbb{S}^{n-1}})^{s/2} \Omega_0^\nu f\|_{L^2(X)} \simeq \|\Omega_0^\nu (1 - \Delta_X - \Delta_{\mathbb{S}^{n-1}})^{s/2} f\|_{L^2(X)}.$$

From Lemma 7.5.2 with  $p = 2$  and the isometry (9.2.6) we get the following weighted Sobolev inequality

$$(9.2.10) \quad \Omega_0^{\delta+(n-1)/2} |u(\Omega)| \leq C \|u\|_{H^{s, \delta}(X)}.$$

Applying Theorem 7.5.1 in combination with the isometry (9.2.6) we obtain the following .

**Theorem 9.2.1** *For*

$$f, g \in H^s(X; \Omega_0^{\kappa_1}) \cap L^\infty(X; \Omega_0^{\kappa_2})$$

*and any non-negative  $s$  we have*

$$(9.2.11) \quad \begin{aligned} & \|fg\|_{H^{s, \kappa}(X)} \leq \\ & \leq C(\|f\|_{H^{s, \kappa_1}(X)} \|\Omega_0^{\kappa_2} g\|_{L^\infty(X)} + \|\Omega_0^{\kappa_2} f\|_{L^\infty(X)} \|g\|_{H^{s, \kappa_1}(X)}) \end{aligned}$$

*for  $\kappa = \kappa_1 + \kappa_2$ .*

Applying (7.5.47) together with the isometry (9.2.6) we arrive at

**Theorem 9.2.2** *For*

$$f \in H^{s, \beta}(X) \cap L^\infty(X; \Omega_0^\gamma),$$

*any  $\lambda > 1$  and any non-negative  $s$  with  $s < \lambda$  we have*

$$(9.2.12) \quad \| |f|^\lambda \|_{H^{s, \alpha}(X)} \leq C \|f\|_{H^{s, \beta}(X)} \|\Omega_0^\gamma f\|_{L^\infty(X)}^{\lambda-1}$$

*for  $\alpha = \beta + (\lambda - 1)\gamma$ .*