

## Existence of standing waves for the nonlinear Schrödinger equation with double power nonlinearity and harmonic potential

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### Abstract.

In this paper we prove the existence of standing waves for the nonlinear Schrödinger equation with double power nonlinearity and harmonic potential. The nonlinearity of our problem does not satisfy the *global Ambrosetti-Rabinowitz condition*. Therefore, in general, it seems difficult to obtain a boundedness of Palais-Smale sequence for the associated functional. We overcome this by the compactness argument.

### §1. Introduction and main theorem

In this paper we consider the existence of a solution to the following semilinear elliptic equation:

$$(1) \quad -\Delta u + (|x|^2 + \omega)u + |u|^{p-1}u - |u|^{q-1}u = 0 \quad \text{in } \mathbb{R}^N,$$

where  $N \geq 1$ ,  $1 < q < p < 2^* - 1$  and  $\omega \in \mathbb{R}$ . Here, we set  $2^* = 2N/(N-2)$  if  $N \geq 3$ , and  $2^* = \infty$  if  $N = 1, 2$ . A motivation to study the equation (1) stems from the nonlinear Schrödinger equation:

$$(2) \quad i\partial_t \psi = -\Delta \psi + |x|^2 \psi + |\psi|^{p-1} \psi - |\psi|^{q-1} \psi \quad \text{in } \mathbb{R} \times \mathbb{R}^N.$$

The model equation (2) describes the Bose-Einstein condensate with attractive inter-particle interactions under the magnetic trap. Recently many experiments on this phenomenon were done (see [17], [18]). We are interested in standing waves for the equation (2), that is, solutions of the form  $\psi(t, x) = e^{i\omega t} u(x)$ . It is observed that the function  $\psi(t, x)$  of this form satisfies the equation (2) if and only if  $u$  is a solution to the equation (1).

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Many authors have studied the problem concerning the existence of standing waves ([1], [3], [4], [5], [6], [8], [9], [10], [11], [12], [13], [14], [19]). We recall several known results. We consider the following semilinear elliptic equation:

$$(3) \quad -\Delta u + V(x)u + f(u) = 0 \quad \text{in } \mathbb{R}^N,$$

where  $N \geq 1$ ,  $f \in C(\mathbb{R}, \mathbb{R})$  and  $V \in C(\mathbb{R}^N, \mathbb{R})$ . In the case where the potential  $V(x) \equiv m > 0$  (constant), that is, in the autonomous case, Berestycki and Lions [4] ( $N \geq 3$ ,  $N = 1$ ) and Berestycki, Gallouët and Kavian [5] ( $N = 2$ ) prove an existence results for a wide class of nonlinearities by the constrained minimization method. From their results, we know that if  $f(s) = |s|^{p-1}s - |s|^{q-1}s$  ( $1 < q < p < 2^* - 1$ ) the equation (3) has a radially symmetric solution in  $H^1(\mathbb{R}^N)$  under the assumption  $m < m_0$  for some  $m_0 > 0$ . Berestycki and Lions [4] also show that if  $N \geq 3$  the equation (3) does not have a nontrivial solution for  $m \geq m_0$  from the Pohozaev identity. Wei and Winter [19] show the uniqueness of the positive radial solution to the equation (3).

In the case where the potential  $V$  is not constant, that is, in the nonautonomous case, Berestycki, Lions and Peletier [6] show the existence of a nontrivial solution to the equation (3) for a wide class of nonlinearities including our nonlinearity  $f(s) = |s|^{p-1}s - |s|^{q-1}s$  ( $1 < q < p < 2^* - 1$ ) by the shooting method. However, they require the boundness of the potential  $V$ .

In this paper we use the mountain pass theorem ([2]) to show the existence of standing waves. In order to use the mountain pass theorem, we need the following Palais-Smale condition.

**Definition.** Let  $E$  be a Banach space and assume that  $J \in C^1(E, \mathbb{R})$ .

- (i) We say that a sequence  $\{u_n\}$  is a Palais-Smale sequence (PS sequence, for short) associated with the functional  $J$  if and only if there exists a constant  $M > 0$  such that

$$|J(u_n)| \leq M, \quad J'(u_n) \rightarrow 0 \text{ in } E^* \quad (n \rightarrow \infty).$$

Here,  $J'(\cdot)$  is the Fréchet derivative of  $J(\cdot)$  and  $E^*$  is the dual of  $E$ .

- (ii) We say that the functional  $J$  satisfies the Palais-Smale condition (PS condition, for short) if and only if any PS sequence has a convergent subsequence.

When we show the existence of a solution to the equation (3), the following condition is often assumed: there exists a constant  $\mu > 2$  such that

$$0 < \mu F(s) \leq f(s)s \quad \text{for all } s \in \mathbb{R},$$

where  $F(s) = \int_0^s f(t)dt$ . This condition is called the *global Ambrosetti-Rabinowitz condition*. It ensures the boundedness of the PS sequence for the functional associated with the equation (3). We explain why this condition is useful. For simplicity, we suppose that the potential  $V \equiv m > 0$  (constant). We define  $J : H^1 \rightarrow \mathbb{R}$  by

$$J(u) = \frac{1}{2} \int_{\mathbb{R}^N} |\nabla u|^2 dx + \frac{1}{2} \int_{\mathbb{R}^N} m|u|^2 dx - \int_{\mathbb{R}^N} F(u) dx.$$

The functional  $J$  is a  $C^1$  functional on  $H^1$  and  $u$  is a solution to the equation (3) if and only if  $u$  is a critical point of the functional  $J$ . If  $\{u_n\}$  is a PS sequence for the functional  $J$ , then we have

$$\begin{aligned} M + \|u_n\|_{H^1} &\geq \mu J(u_n) - \langle J'(u_n), u_n \rangle \\ &= \left(\frac{\mu}{2} - 1\right) \|u_n\|_{H^1}^2 + \int_{\mathbb{R}^N} (f(u_n)u_n - \mu F(u_n)) dx. \end{aligned}$$

If the nonlinearity  $f$  satisfies the global Ambrosetti-Rabinowitz condition, we have

$$M + \|u_n\|_{H^1} \geq \left(\frac{\mu}{2} - 1\right) \|u_n\|_{H^1}^2.$$

This implies that the sequence  $\{u_n\}$  is bounded in  $H^1$ . When the potential  $V$  is not constant, we can also obtain the boundedness of the PS sequence similarly. However, our nonlinearity  $f(s) = |s|^{p-1}s - |s|^{q-1}s$  does not satisfy the global Ambrosetti-Rabinowitz condition. Note that if  $p < q$ , then  $f(s)$  satisfies the global Ambrosetti-Rabinowitz condition. It seems difficult to show that the associated functional satisfies the PS condition without the global Ambrosetti-Rabinowitz condition. Recently there are several existence results without the global Ambrosetti-Rabinowitz condition. Jeanjean [8] obtains the existence of a positive solution to the Landesman-Lazer type problem. Jeanjean and Tanaka [10] prove the existence of semiclassical states to the nonlinear elliptic equation with potentials. Zou [22] shows the existence of infinitely many solutions to the equation (3) by the fountain theorem. These results are based on Struwe's method (see e.g. [15], [16]). However, they need the following additional condition on the nonlinearity  $f$ : there is  $K \geq 1$  such that

$$(4) \quad \hat{F}(s) \leq K\hat{F}(t) \quad \text{for all } 0 \leq s \leq t,$$

where  $\hat{F} = \frac{1}{2}f(\xi)\xi - F(\xi)$ . Our nonlinearity  $f(s) = |s|^{p-1}s - |s|^{q-1}s$  satisfies neither global Ambrosetti-Rabinowitz condition nor the above condition (4). Recently Jeanjean and Tanaka [11] prove the existence

of the solution to the equation (3) for a wide class of nonlinearities. However, they require that the nonlinearity  $f$  has a superlinear growth at infinity and that there exists a function  $\phi \in L^1(\mathbb{R}^N)$  such that  $|x \cdot \nabla V(x)| \leq \phi(x)$  for all  $x \in \mathbb{R}^N$ .

To state our theorem, we give some notation. We define the function space  $X$  by

$$X = \left\{ u \in H^1(\mathbb{R}^N) \mid \int_{\mathbb{R}^N} |x|^2 |u|^2 dx < \infty \right\}$$

equipped with the inner product

$$(u, v)_X = \int_{\mathbb{R}^N} (\nabla u \cdot \nabla v + |x|^2 uv + uv) dx.$$

We use  $\|\cdot\|_X$  to denote the norm of the function space  $X$ . We denote the dual of  $X$  by  $X^*$ . Note that  $X$  is continuously embedded in  $H^1(\mathbb{R}^N)$ . Furthermore, the embedding  $X \hookrightarrow L^r$  is compact, where  $2 \leq r < 2^*$  (see e.g. [3], [21]). We define two constants  $m_0 > 0$  and  $\theta > 0$  such that

$$m_0 = \sup \left\{ m > 0 \mid \frac{m}{2} s^2 + \frac{1}{p+1} s^{p+1} - \frac{1}{q+1} s^{q+1} < 0 \text{ for some } s > 0 \right\},$$

$$\theta = \pi^{-\frac{N}{2}} \left( \frac{2}{q+1} \right)^{\frac{N}{2}} \left( \frac{p+1}{q+1} \right)^{\frac{N(q-1)}{2(p-1)}}$$

Our result is the following.

**Theorem 1.1.** *Assume that  $1 < q < p < 2^* - 1$ . Let  $\lambda_1$  be the first eigenvalue of  $-\Delta + |x|^2$ . If  $-\lambda_1 < \omega < \theta m_0 - \lambda_1$ , there exists a solution to the equation (1) in  $X$ .*

**Remark.** We can show that the equation (1) has a family of solutions  $(u(\varepsilon), \lambda(\varepsilon))$  bifurcating from  $(0, \lambda_1)$ . Indeed, for some  $\varepsilon_0$ , the solution can be expressed as

$$u(\varepsilon) = \varepsilon \Phi + \varepsilon z(\varepsilon) \quad \text{for } 0 < \varepsilon < \varepsilon_0,$$

where  $\Phi$  is an eigenfunction corresponding to  $\lambda_1$  and  $z \in X$  is a continuous function of  $\varepsilon$  such that  $z(0) = 0$  and  $(z, \Phi)_X = 0$  (see [7]). However, we do not determine the size of  $\varepsilon_0$ . Our theorem gives a range of  $\omega$  for which the equation (1) has a solution.

We prove this theorem by the variational method. We define a  $C^1$  functional  $I: X \rightarrow \mathbb{R}$  by

$$I(u) = \frac{1}{2} \int_{\mathbb{R}^N} |\nabla u|^2 dx + \frac{1}{2} \int_{\mathbb{R}^N} (|x|^2 + \omega) u^2 dx + \frac{1}{p+1} \int_{\mathbb{R}^N} |u|^{p+1} dx - \frac{1}{q+1} \int_{\mathbb{R}^N} |u|^{q+1} dx.$$

Then we find that  $u$  is a critical point of the functional  $I$  if and only if  $u$  is a solution to the equation (1). We briefly explain the outline of the proof. We use the mountain pass theorem. We first prove that the functional  $I$  satisfies the mountain pass geometry in Lemma 2.2. Next, we show that the functional  $I$  satisfies the PS condition. Main difficulty is to obtain a boundedness of a PS sequence. In Lemma 2.3, we prove this by the following way. Let  $\{u_j\}$  be the PS sequence of the functional  $I$  and we suppose that  $\|u_j\|_X \rightarrow \infty$  as  $j \rightarrow \infty$  and set  $w_j = u_j / \|u_j\|_X$ . Since the sequence  $\{w_j\}$  is bounded in  $X$ , there exists a subsequence  $\{w_j\}$  (we still denote by  $\{w_j\}$ ) and a function  $w \in X$  such that  $w_j \rightarrow w$  weakly in  $X$ . We derive a contradiction in both the cases  $w = 0$  and  $w \neq 0$  in  $X$ . Finally, we show that any PS sequence has a convergent subsequence in Lemma 2.4.

## §2. Proof of the main theorem

We recall the mountain pass theorem to prove Theorem 1.1.

**Theorem 2.1** ([2]). *Let  $E$  be a Banach space equipped with the norm  $\|\cdot\|$ . Suppose that a functional  $J \in C^1(E, \mathbb{R})$  satisfies the PS condition and*

- (i)  $J(0) = 0$ ,
- (ii) *there exist constants  $\rho, \alpha > 0$  such that  $J(u) \geq \alpha$  for all  $u \in E$  and  $\|u\| = \rho$ ,*
- (iii) *there exists a function  $e \in E$  such that  $J(e) \leq 0$  and  $\|e\| > \rho$ .*

*Then  $J$  possesses a critical value  $c \geq \alpha$ . Moreover the critical value  $c$  can be characterized as*

$$c = \inf_{\gamma \in \Gamma} \max_{t \in [0,1]} J(\gamma(t)),$$

where  $\Gamma = \{\gamma \in C([0,1], E) \mid \gamma(0) = 0, \gamma(1) = e\}$ .

We first show that the functional  $I$  satisfies the mountain pass geometry, that is, we check that the functional  $I$  satisfies assumptions (i), (ii) and (iii) of Theorem 2.1. We find that  $I(0) = 0$ . Next lemma shows that the functional  $I$  satisfies the assumptions (ii) and (iii).

**Lemma 2.2.** *Assume that  $1 < q < p < 2^* - 1$  and  $-\lambda_1 < \omega < \theta m_0 - \lambda_1$ . Then we find that*

- (1) *there exist constants  $\rho, \alpha > 0$  such that  $I(u) \geq \alpha$  for all  $u \in X$  and  $\|u\|_X = \rho$ .*
- (2) *there exists a function  $v \in X \setminus \{0\}$  such that  $I(v) \leq 0$ ,*

*Proof.* (1) Let  $e_1 = \pi^{-\frac{N}{4}} \exp(-\frac{|x|^2}{2})$  be the eigenfunction corresponding to  $\lambda_1$  for  $-\Delta + |x|^2$  with  $\|e_1\|_2 = 1$ . For  $h > 0$ , we have

$$\begin{aligned} I(he_1) &= \frac{h^2}{2} \left\{ \int_{\mathbb{R}^N} (|\nabla e_1|^2 + |x|^2 e_1^2 - \lambda_1 e_1^2) dx \right. \\ &\quad \left. + \frac{(\omega + \lambda_1)h^2}{2} \int_{\mathbb{R}^N} e_1^2 dx + \frac{h^{p+1}}{p+1} \int_{\mathbb{R}^N} e_1^{p+1} dx - \frac{h^{q+1}}{q+1} \int_{\mathbb{R}^N} e_1^{q+1} dx \right. \\ &= \frac{(\omega + \lambda_1)h^2}{2} \int_{\mathbb{R}^N} e_1^2 dx + \frac{h^{p+1}}{p+1} \int_{\mathbb{R}^N} e_1^{p+1} dx - \frac{h^{q+1}}{q+1} \int_{\mathbb{R}^N} e_1^{q+1} dx. \end{aligned}$$

We put  $L_1 = \|e_1\|_{p+1}^{p+1}$  and  $L_2 = \|e_1\|_{q+1}^{q+1}$ . Then we have

$$I(he_1) = \frac{(\omega + \lambda_1)h^2}{2} + \frac{L_1 h^{p+1}}{p+1} - \frac{L_2 h^{q+1}}{q+1}.$$

A simple calculation yields that for  $\omega + \lambda_1 < L_1^{\frac{p-1}{p-q}} L_2^{\frac{q-1}{p-q}} m_0$  there exists a positive number  $h_1$  such that

$$\frac{(\omega + \lambda_1)h_1^2}{2} + \frac{L_1 h_1^{p+1}}{p+1} - \frac{L_2 h_1^{q+1}}{q+1} < 0.$$

Therefore, if we set  $\theta = L_1^{\frac{p-1}{p-q}} L_2^{\frac{q-1}{p-q}}$  and  $e = h_1 e_1$  then we deduce that  $I(e) < 0$  for all  $\omega < \theta m_0 - \lambda_1$ .

(2) Since  $\omega > -\lambda_1$ , there exists  $a \in (0, 1)$  satisfying  $\omega > -a\lambda_1$ . Then we obtain

$$\begin{aligned} I(u) &= \frac{1}{2} \int_{\mathbb{R}^N} |\nabla u|^2 dx + \frac{1}{2} \int_{\mathbb{R}^N} (|x|^2 + \omega) u^2 dx + \frac{1}{p+1} \int_{\mathbb{R}^N} |u|^{p+1} dx \\ &\quad - \frac{1}{q+1} \int_{\mathbb{R}^N} |u|^{q+1} dx \\ &\geq \frac{1-a}{2} \int_{\mathbb{R}^N} |\nabla u|^2 dx + \frac{1-a}{2} \int_{\mathbb{R}^N} |x|^2 u^2 dx + \frac{a\lambda_1 + \omega}{2} \int_{\mathbb{R}^N} u^2 dx \\ &\quad + \frac{1}{p+1} \int_{\mathbb{R}^N} |u|^{p+1} dx - \frac{1}{q+1} \int_{\mathbb{R}^N} |u|^{q+1} dx. \end{aligned}$$

Since  $a\lambda_1 + \omega > 0$ , we have

$$I(u) \geq c\|u\|_X^2 - \frac{1}{q+1}\|u\|_{q+1}^{q+1} \geq c\|u\|_X^2 - c\|u\|_X^{q+1}.$$

If  $\|u\| = \rho$  is sufficiently small, then there exists a constant  $\alpha > 0$  such that  $I(u) \geq \alpha$ . Q.E.D.

Next we check that the functional  $I$  satisfies the PS condition. We show this by two steps. First, we obtain the boundedness of the PS sequence. Then we find that there exists a sequence  $\{u_j\}$  (we still denote by  $\{u_j\}$ ) and a function  $u \in X$  such that  $u_j \rightarrow u$  weakly in  $X$ . Second, we show that  $u_j \rightarrow u$  strongly in  $X$ .

**Lemma 2.3.** *Every PS sequence of the functional  $I$  is bounded in  $X$ .*

*Proof.* We shall prove this lemma by contradiction. Let  $\{u_j\}$  be a PS sequence of the functional  $I$  and suppose that  $\|u_j\|_X \rightarrow \infty$  as  $j \rightarrow \infty$ . We set  $w_j = u_j\|u_j\|_X^{-1}$ . There exist subsequence  $\{w_j\}$  (we still denote by  $\{w_j\}$ ) and a function  $w$  such that  $w_j \rightarrow w$  weakly in  $X$ . Since  $X$  is compactly embedded in  $L^r$  for  $2 \leq r < 2^*$ , we deduce that  $w_j \rightarrow w$  strongly in  $L^r$  for  $2 \leq r < 2^*$  as  $j \rightarrow \infty$ . Furthermore, we find that  $w_j(x) \rightarrow w(x)$  for a.a.  $x \in \mathbb{R}^N$  as  $j \rightarrow \infty$ . We derive a contradiction in both the cases  $w \neq 0$  and  $w = 0$  in  $X$ .

First, we consider the case  $w \neq 0$  in  $X$ . We define the subspace  $\Omega \subset \mathbb{R}^N$  by  $\Omega = \{x \in \mathbb{R}^N | w(x) \neq 0\}$ . Since  $w \neq 0$  in  $X$ , we deduce that  $\Omega \neq \emptyset$  and  $|u_j(x)| \rightarrow \infty$  as  $j \rightarrow \infty$  for  $x \in \Omega$ . We have

$$\begin{aligned} (p+1)M + \|u_j\|_X &\geq |(p+1)I(u_j) - \langle I'(u_j), u_j \rangle| \\ &\geq \frac{p-q}{q+1} \int_{\mathbb{R}^N} |u_j|^{q+1} dx - \frac{p-1}{2} c \|u_j\|_X^2. \end{aligned}$$

Dividing the above inequality by  $\|u_j\|_X^2$  yields that

$$\begin{aligned} \frac{(p+1)M}{\|u_j\|_X^2} + \frac{1}{\|u_j\|_X} &\geq \frac{p-q}{q+1} \int_{\mathbb{R}^N} |u_j|^{(q-1)} |w_j|^2 dx - \frac{p-1}{2} c \\ &\geq \frac{p-q}{q+1} \int_{\Omega} |u_j|^{(q-1)} |w_j|^2 dx - \frac{p-1}{2} c. \end{aligned}$$

By Fatou's lemma, we deduce that  $\liminf_{j \rightarrow \infty} \int_{\Omega} |u_j|^{(q-1)} |w_j|^2 dx = \infty$ . However, we find that  $(p+1)M\|u_j\|_X^{-2} + \|u_j\|_X^{-1} \rightarrow 0$ . This contradicts the above inequality.

Second, we consider the case  $w = 0$  in  $X$ . Therefore, we find that  $w_j \rightarrow 0$  in  $L^r$  if  $2 \leq r < 2^*$ . By the Hölder inequality, we have

$$\|u_j\|_{q+1} \leq \|u_j\|_{p+1}^\theta \|u_j\|_2^{(1-\theta)},$$

where  $\theta = (p+1)(q-1)(p-1)^{-1}(q+1)^{-1}$ . Dividing the above inequality by  $\|u_j\|_X^2$  yields that

$$\frac{\|u_j\|_{q+1}^{q+1}}{\|u_j\|_X^2} \leq \|w_j\|_2^{2\frac{p-q}{p-1}} \left( \frac{\|u_j\|_{p+1}^{p+1}}{\|u_j\|_X^2} \right)^{\frac{q-1}{p-1}}.$$

Furthermore, we find that

$$\begin{aligned} (q+1)M + \|u_j\|_X &\geq |(q+1)I(u_j) - \langle I'(u_j), u_j \rangle| \\ &\geq \frac{p-q}{p+1} \|u_j\|_{p+1}^{p+1} - \frac{q-1}{2} c \|u_j\|_X^2. \end{aligned}$$

It follows that there exists a positive constant  $c$  such that  $\|u_j\|_{p+1}^{p+1} \|u_j\|_X^{-2} \leq c$  for sufficiently large  $j$ . Since  $\|w_j\|_2^2 \rightarrow 0$  and  $\|u_j\|_{p+1}^{p+1} \|u_j\|_X^{-2} \leq c$ , we deduce that  $\|u_j\|_{q+1}^{q+1} \|u_j\|_X^{-2} \rightarrow 0$  as  $j \rightarrow \infty$ . On the other hand, we have

$$\begin{aligned} (p+1)M + \|u_j\|_X &\geq |(p+1)I(u_j) - \langle I'(u_j), u_j \rangle| \\ &\geq \frac{p-1}{2} c \|u_j\|_X^2 - \frac{p-q}{q+1} \|u_j\|_{q+1}^{q+1}. \end{aligned}$$

Dividing the above inequality by  $\|u_j\|_X^2$  yields that

$$\frac{(p+1)M}{\|u_j\|_X^2} + \frac{1}{\|u_j\|_X} \geq \frac{p-1}{2} c - \frac{(p-q)\|u_j\|_{q+1}^{q+1}}{(q+1)\|u_j\|_X^2}.$$

Since  $\|u_j\|_{q+1}^{q+1} \|u_j\|_X^{-2} \rightarrow 0$  as  $j \rightarrow \infty$ , we deduce that for any  $\varepsilon > 0$ , there exists sufficiently large  $j$  such that  $\varepsilon > \frac{p-1}{2} c$ . This is a contradiction. Q.E.D.

**Lemma 2.4.** *Assume that  $1 < q < p < 2^* - 1$ . The functional  $I$  satisfies the PS condition.*

*Proof.* Let  $\{u_j\}$  be the PS sequence of the functional  $I$ . From Lemma 2.3, we deduce that  $\{u_j\}$  is bounded in  $X$ . Then there exists a subsequence  $\{u_j\}$  (we still denote by  $\{u_j\}$ ) and function  $u \in X$  such that  $u_j \rightarrow u$  weakly in  $X$ . Since  $X \hookrightarrow L^r$  is compact in  $L^r$  for  $2 \leq r < 2^*$ , we deduce that  $u_j \rightarrow u$  strongly in  $L^r$  for  $2 \leq r < 2^*$ . Since  $I'(u_j) \rightarrow 0$



in  $X^*$  as  $j \rightarrow \infty$ , we have  $\langle I'(u_j), h \rangle \leq \varepsilon_j \|h\|_X$  for all  $h \in X$ , where  $\varepsilon_j = \|I'(u_j)\|$ . We note that  $\varepsilon_j \rightarrow 0$  as  $j \rightarrow \infty$  and

$$(5) \quad \left| \int_{\mathbb{R}^N} \left( \nabla u_j \cdot \nabla h + (|x|^2 + \omega)u_j h + |u_j|^{p-1}u_j h - |u_j|^{q-1}u_j h \right) dx \right| \leq \varepsilon_j \|h\|_X.$$

If we put  $h = u_j$  in (5) and let  $j \rightarrow \infty$ , then we have

$$(6) \quad \begin{aligned} & \lim_{j \rightarrow \infty} \int_{\mathbb{R}^N} \left( |\nabla u_j|^2 + (|x|^2 + \omega)u_j^2 \right) dx \\ &= \lim_{j \rightarrow \infty} \left( - \int_{\mathbb{R}^N} |u_j|^{p+1} dx + \int_{\mathbb{R}^N} |u_j|^{q+1} dx \right) \\ &= - \int_{\mathbb{R}^N} |u|^{p+1} dx + \int_{\mathbb{R}^N} |u|^{q+1} dx. \end{aligned}$$

If we put  $h = u$  in (5), then we obtain

$$\left| \int_{\mathbb{R}^N} \left( \nabla u_j \cdot \nabla u + (|x|^2 + \omega)u_j u + |u_j|^{p-1}u_j u - |u_j|^{q-1}u_j u \right) dx \right| \leq \varepsilon_j \|u\|_X.$$

Since  $u_j \rightarrow u$  weakly in  $X$  and the embedding  $L^{\frac{p+1}{p}}, L^{\frac{q+1}{q}} \hookrightarrow X^*$  is compact, letting  $j \rightarrow \infty$ , we have

$$(7) \quad \begin{aligned} & \int_{\mathbb{R}^N} |\nabla u|^2 dx + \int_{\mathbb{R}^N} |x|^2 u^2 dx + \omega \int_{\mathbb{R}^N} u^2 dx \\ &= - \int_{\mathbb{R}^N} |u|^{p+1} dx + \int_{\mathbb{R}^N} |u|^{q+1} dx. \end{aligned}$$

From (6) and (7), we have  $\lim_{j \rightarrow \infty} \|u_j\|_X^2 = \|u\|_X^2$ . Therefore, we deduce that

$$\begin{aligned} \lim_{j \rightarrow \infty} \|u_j - u\|_X^2 &= \lim_{j \rightarrow \infty} (u_j - u, u_j - u)_X \\ &= \|u\|_X^2 + \lim_{j \rightarrow \infty} \|u_j\|_X^2 - 2 \lim_{j \rightarrow \infty} (u_j, u)_X \\ &= \|u\|_X^2 + \|u\|_X^2 - 2\|u\|_X^2 = 0. \end{aligned}$$

This completes the proof.

Q.E.D.

From Lemmas 2.2 and 2.4, we can use the mountain pass theorem and deduce that  $I$  possesses a critical value  $c$  which is characterized as  $c = \inf_{\gamma \in \Gamma} \max_{t \in [0,1]} I(\gamma(t))$ .

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