## Multi-Tensors of Differential Forms on the Hilbert Modular Variety and on Its Subvarieties, II

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# Dedicated to Prof. Ichiro Satake and Prof. Friedrich Hirzebruch on their sixtieth birthdays

Let  $\Gamma_K$  denote the Hilbert modular group associated with a totally real algebraic number field K of degree n>1. Let  $X_K$  be the Hilbert modular variety  $H^n/\Gamma_K$ . The present paper is the continuation of a study [8], and our purpose is to extend the known range of K for which an assertion  $( \frac{1}{2} )$  holds where

 $(\not\succsim)$  any subvariety in  $X_{\kappa}$  of codimension one is of general type.

We show that if  $n \ge 3$ , then  $( \ )$  holds only with finite exceptions. It was shown in our previous paper [8] that if the dimension  $n \ge 3$  is fixed, then  $( \ )$  holds with finite exceptions. The main theorem of the present paper is as follows:

**Theorem.**  $(\diamondsuit)$  holds if n > 26, or if n > 14 and the ideal in the maximal order of K generated by 2 is unramified at any prime of degree one.

As stated in [8],  $(\mbox{$\stackrel{\land}{\sim}$})$  has the consequent on the property of  $X_K$  which we restate here for reader's convenience.

- (I) Let  $X_K^{\circ}$  denote the smooth locus of  $X_K$ , and let  $\widetilde{X}_K^{(1)}$  be any smooth variety having  $X_K^{\circ}$  as an open subset. Then for any birational morphism  $\varphi$  of  $\widetilde{X}_K$  to a smooth variety,  $\varphi|_{X_K^{\circ}}$  gives rise to an open embedding.
- (II) The birational automorphism group of  $X_K$  (or equivalently, the automorphism group of the Hilbert modular function field over C) is equal to the automorphism group of  $X_K$ , which is canonically isomorphic to a semi-direct product  $H_K^{(2)} \rtimes \operatorname{Aut}(K/\mathbb{Q})$  where  $H_K^{(2)} = \{x \in H_K \mid x^2 = 1\}$ ,  $H_K$  denoting the ideal class group of K in the narrow sense.

As we see in §2, in order to prove Theorem we need to show

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<sup>1) ~</sup> is missing in [8], Cor. 1, p. 660.

existence of a Hilbert modular form g for every irreducible divisor D of  $X_{\kappa}$  such that (i)  $g \not\equiv 0$  on D and that (ii) the quotient by weight (g), of the vanishing order of g at the cusps is at least n/2(n-1). By the dimension formula by Shimizu it follows that there exist modular forms f satisfying the condition (ii), or better one as well, except for a finite number of K. In [8], under a certain condition we have got a modular form g satisfying (i) as well as (ii) by differentiating an "irreducible" factor of f vanishing on D, where non-existence of automorphy factors of very low weight plays an important role, which has been shown by Gundlach [3]. The basic idea of the present paper is to consider a "shifted" modular form  $f(\alpha z)$  for  $\alpha$ totally positive. Namely, if  $\alpha$  will be taken suitably, then  $f(\alpha z)$  will still have zeros of large order at cusps and it will not vanish identically on D.  $f(\alpha z)$  is generally a modular form for a congruence subgroup, not for  $\Gamma_{\kappa}$ , and so we must construct desired modular forms from those of congruence Combining this method with our previous method, we can find a modular form g satisfying both (i) and (ii) in the case of dim K > 3with finite exceptions, where the proof is served by some refinement [9] of [3].

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#### § 1. Preliminaries

Let K be a totally real algebraic number field of degree n > 1.  $SL_2(K)$  acts on the product  $H^n$  of n copies of the upper half plane  $H = \{z_1 \in C \mid \text{Im } z_1 > 0\}$  by the usual modular substitution

$$z = (z_1, \dots, z_n) \longrightarrow Mz = \left(\frac{\alpha^{(1)}z_1 + \beta^{(1)}}{\gamma^{(1)}z_1 + \delta^{(1)}}, \dots, \frac{\alpha^{(n)}z_n + \beta^{(n)}}{\gamma^{(n)}z_n + \delta^{(n)}}\right)$$

for  $M = \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \in SL_2(K)$ , where  $\alpha^{(1)}, \dots, \alpha^{(n)}$  denote the conjugates of  $\alpha \in K$ . Let  $O_K$  be the maximal order of K. We put  $\Gamma_K = SL_2(O_K)$ , which is called the *Hilbert modular group* associated with K and which acts properly discontinuously on  $H^n$ . Let  $\hat{K} = K \cup \{\infty\}$ . We define an equivalence relation in  $\hat{K}$  in terms of  $\Gamma_K$ ;  $\lambda_1, \lambda_2 \in \hat{K}$  are equivalent if  $\lambda_1 = M\lambda_2 = (\alpha\lambda_2 + \beta)/(\gamma\lambda_2 + \delta)$  for some  $M = \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \in \Gamma_K$  where  $\zeta/0 = \infty$  for  $\zeta \in K$ ,  $\zeta \in K$ , and  $\zeta/\infty = 0$ ,  $\infty + \zeta = \infty$  for any  $\zeta \in K$ . Let  $\alpha = (\rho, \sigma)$ ,  $\rho, \sigma \in K$ , be a non-zero fractional ideal. We associate with  $\alpha$ , an element  $\lambda$  of  $\hat{K}$  given by  $\lambda = \rho/\sigma$ . Then if we denote by C(K) the (fractional) ideal class group of

K, then we have a well-defined bijective map of C(K) onto  $\hat{K}/\Gamma_K$  by sending  $\alpha$  to  $\lambda$  (see for instance, Siegel [6, Chap. III, Sect. 2]).  $\hat{K}/\Gamma_K$  can be regarded as the set of inequivalent cusps of  $\Gamma_K$ , and so  $\Gamma_K$  has h inequivalent cusps where h denotes the class number of K.

Let  $\alpha = (\rho, \sigma)$  be a non-zero fractional ideal, and let  $\lambda = \rho/\sigma$ . We can take the generators  $\xi$ ,  $\eta$  of an ideal  $\alpha^{-1}$  for which  $\rho\eta - \sigma\xi = 1$ . Let us put

(1) 
$$M_{\lambda} = \begin{pmatrix} \rho & \xi \\ \sigma & \eta \end{pmatrix} \in SL_2(K).$$

The modular substitution corresponding to  $M_{\lambda}$  maps a cusp  $\lambda$  to  $\infty$ , and  $M_{\lambda}^{-1}\Gamma_{K}M_{\lambda}$  equals  $\left\{\begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \in SL_{2}(K) \mid \alpha, \delta \in O_{K}, \gamma \in \alpha^{2}, \beta \in \alpha^{-2} \right\}$ .

Let us fix a subgroup  $\Gamma$  in  $SL_2(K)$  commensurable with  $\Gamma_K$ . Let J be the automorphy factor for  $\Gamma$  which is of the form

(2) 
$$J(M,z)=v(M)\prod_{i=1}^{n} (\gamma^{(i)}z_{i}+\delta^{(i)})^{k_{i}}, \quad M=\begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \in \Gamma,$$

where  $k_1, \dots, k_n \in \mathbb{Q}$ , and v is the multiplier whose value for  $M \in \Gamma$  is a root of unity. v is, of course, depending on the choice of the branches of  $(\gamma^{(i)} z_i + \delta^{(i)})^{k_i}$  if  $k_i \in \mathbb{Q} - \mathbb{Z}$   $(i=1, \dots, n)$ . A holomorphic function f on  $H^n$  is called a (Hilbert) modular form for  $\Gamma$  associated with I if it satisfies

$$f(Mz) = J(M, z)f(z)$$
 for any  $M \in \Gamma$ .

f is said to be of vector weight  $(k_1, \dots, k_n)$ , and conversely  $(k_1, \dots, k_n)$  is called the weight vector of f. If all the  $k_i$ 's are equal to k, then f is said to be of scalar weight k, and in notation k=weight (f). A map  $\beta \rightarrow v\begin{pmatrix} 1 & \beta \\ 0 & 1 \end{pmatrix}$  gives a finite character of an additive group  $\{\beta \in K | \begin{pmatrix} 1 & \beta \\ 0 & 1 \end{pmatrix} \in \Gamma \}$ , and moreover it is true also for the unipotent subgroup of the stabilizer subgroup in  $\Gamma$  at every cusp  $\lambda \in K$  (for the references, see [8, p. 665]). So a modular form f for  $\Gamma$  has a Fourier expansion centered at  $\lambda$ . Let  $\lambda = \rho/\sigma$ ,  $\alpha = (\rho, \sigma)$ ,  $M_{\lambda}$  be as above, and let

(3) 
$$w = M_{\lambda}^{-1} z, \quad w_i = (M_{\lambda}^{-1})^{(i)} z_i \quad (1 \le i \le n).$$

Then

$$f_{\lambda}(w) = \prod_{i=1}^{n} (-\sigma^{(i)} z_i + \rho^{(i)})^{k_i} f(z)$$

is a modular form in w for  $M_{\lambda}^{-1}\Gamma M_{\lambda}$ , and it has a Fourier expansion

(4) 
$$f_{\lambda}(w) = \sum_{\nu} c_{\nu} \exp(2\pi\sqrt{-1} \operatorname{tr}(\nu w))$$

where tr  $(\nu w) = \nu^{(1)} w_1 + \cdots + \nu^{(n)} w_n$ , and  $\nu$  runs over the set composed of 0 and totally positive numbers contained in some lattice in K.  $f_{\lambda}$  is defined independently of  $\rho$ ,  $\sigma \in K$  with  $\lambda = \rho/\sigma$ , up to a constant multiple. Now we define the vanishing order ord<sub> $\lambda$ </sub> (f) of f at  $\lambda$  to be the minimum of the set of non-negative rational numbers

$$\{\operatorname{tr}(\nu\zeta)\mid \text{totally positive }\zeta\in a^{-2}, \nu \text{ with } c_{\nu}\neq 0\}.$$

It is easy to see that the above definition is independent of  $\rho$ ,  $\sigma \in K$  for which  $\lambda = \rho/\sigma$ , and further that it is independent of the choice of  $\lambda$  in one equivalence class of cusps of  $\Gamma$ .

**Remark.** We give a comment about the vanishing order. For simplicity we suppose that a multiplier v is trivial and  $k_1 = \cdots = k_n \in 2\mathbb{Z}$  in (2). Let U be a neighborhood at a cusp  $\lambda$  in the analytic space given by compactifying  $H^n/\Gamma$ . If U is small enough, then  $f_i(w)$  can be regarded as a function on U. Let  $\varphi \colon \widetilde{U} \to U$  be a desinguralization, and  $\{E_i\}$ , the exceptional divisors. Then  $\operatorname{ord}_{\lambda}(f)$  has a geometric meaning, namely, it equals the minimum of the vanishing orders of  $\varphi^*f$  at the  $E_i$ 's, provided that  $\Gamma = \Gamma_K$ . But this is not necessarily true for general  $\Gamma$ . To make general definition, the "width" of cusps of  $\Gamma$  must be taken into account. However as far as we focus only on modular forms for  $\Gamma_K$ , the above definition works well.

Let f, g be modular forms for  $\Gamma$ . The inequalities  $\operatorname{ord}_{\lambda}(fg) \ge \operatorname{ord}_{\lambda}(f) + \operatorname{ord}_{\lambda}(g)$ ,  $\operatorname{ord}_{\lambda}(f+g) \ge \min \{\operatorname{ord}_{\lambda}(f), \operatorname{ord}_{\lambda}(g)\}$  holds<sup>2</sup>). In the Fourier expansion (4), we call  $\nu$  minimal if  $c_{\nu} \ne 0$  and if  $\nu$  cannot be written as  $\nu = \nu' + \nu''$  with  $c_{\nu'} \ne 0$ ,  $c_{\nu''} \ne 0$ . We write

$$g \leq f$$

if at every cusp the following holds; for any minimal  $\nu$  in the Fourier expansion of f, there is a minimal  $\nu'$  in that of g for which  $\nu'^{(i)} \leq \nu^{(i)}$   $(i=1,\dots,n)$ . In such a case  $\operatorname{ord}_{\lambda}(fh) \geq \operatorname{ord}_{\lambda}(gh)$  holds for any modular form  $h^{3}$ . Finally in this section we define the vanishing order ord (f) of f at cusps by

ord 
$$(f) = \min_{\lambda \in \hat{R}} \{ \operatorname{ord}_{\lambda} (f) \}$$

where  $\lambda$  may actually run over a finite set of representatives of cusps with respect to the equivalence under  $\Gamma$ .

<sup>&</sup>lt;sup>2)</sup> Although a resulting inequality is true, there is inaccuracy at the last equality in the sequence of inequalities in [8, p668, line 1~2].

<sup>3)</sup> This gives a correct proof of the above.

#### § 2. Résumé of [8]

We put

$$\omega_i = (-1)^i dz_1 \wedge \cdots \wedge dz_i \wedge \cdots \wedge dz_n \in \Omega_{H^n}^{n-1} \qquad (1 \le i \le n),$$

and

$$\omega = \omega_1 \otimes \cdots \otimes \omega_n \in (\Omega_{H^n}^{n-1})^{\otimes n}$$
.

Then

$$M \cdot \omega = \prod_{i=1}^{n} (\gamma^{(i)} z_i + \delta^{(i)})^{-2(n-1)} \omega$$
 for  $M = \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \in SL_2(K)$ .

If f is a Hilbert modular form for  $\Gamma_K$  of scalar weight 2r(n-1), then  $f\omega^{\otimes r}$  is  $\Gamma$ -invariant, and it may be regarded as a multi-tensor of differential forms on the smooth locus  $X_K^{\circ}$  of  $X_K$ . It is extendable over all elliptic fixed points except for the cases listed in [8, Lemma 3], and it is always so in particular for n>6. It is extendable to a projective non-singular model of  $X_K$ , then its restriction to D gives a multi-tensor of canonical differential forms on D. The restriction is not zero unless f vanishes at D.

**Proposition 1.** Let n > 6. Let D be a subvariety in  $X_K$  of codimension one. If there is a modular form f for  $\Gamma_K$  of scalar weight satisfying that (i)  $f|_D \not\equiv 0$  and that (ii) ord (f)/weight (f) > n/2(n-1), then D is of general type.

*Proof.* The proposition was essentially proved in [8, Sect. 6]. Here we give only a sketch. We may assume that weight  $(f)=2r(n-1), r \in \mathbb{Z}$ , replacing f by its power if necessary. Let  $g_1, \dots, g_t$  be modular forms for  $\Gamma_K$  of weight  $2r'(n-1), r' \in \mathbb{Z}$ , by which  $X_K$  is embedded into a projective space. If  $m \in \mathbb{Z}$  is large enough, then ord  $(f^m g_j)/\text{weight } (f^m g_j), 1 \le j \le t$ , are at least n/2(n-1), and hence  $f^m g_j \omega^{\otimes (mr+r')}$ ,  $1 \le j \le t$ , are extendable to a projective non-singular model of  $X_K$ . Since  $f|_D \not\equiv 0$ , D is of general type.

The proof of Theorem is reduced to find the modular form satisfying the condition in Proposition 1 for any fixed D, which in substance, we carry out in the present paper.

## § 3. Vanishing order

Let  $\mu$  be a totally positive integer in  $O_K$ . Let m be a positive rational integer such that

$$m\mu^{-1}\in O_K.$$

Let f(z) be a modular form of weight  $(k_1, \dots, k_n)$  for some subgroup in  $SL_2(K)$  commensurable with  $\Gamma_K$ . Then such is  $f(\mu z) = f(\mu^{(1)} z_1, \dots, \mu^{(n)} z_n)$ . Let  $M = \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \in \Gamma_K$ . Then

$$g(z) := \prod_{i=1}^{n} (\gamma^{(i)} z_i + \delta^{(i)})^{-k_i} f(\mu M z)$$

is also such a modular form. We estimate ord (g) in terms of ord (f) and m in (5).

**Lemma 1.** Let the notation be as above. Then ord  $(g) \ge m^{-1}$  ord (f).

*Proof.* Let  $\lambda = \rho/\sigma$  be a cusp. Let us put  $\lambda' = \mu M(\lambda)$  and  $M_{\lambda'} = \begin{pmatrix} \sqrt{\mu} & 0 \\ 0 & \sqrt{\mu}^{-1} \end{pmatrix} M M_{\lambda} \begin{pmatrix} \sqrt{\mu}^{-1} & 0 \\ 0 & \sqrt{\mu} \end{pmatrix} = \begin{pmatrix} \rho' & * \\ \sigma' & * \end{pmatrix}$ ,  $M_{\lambda}$  being as in (1). We have the equalities  $\lambda' = M_{\lambda'}(\infty) = \rho'/\sigma'$  and  $\rho' = \alpha \rho + \beta \sigma$ ,  $\sigma' = \mu^{-1}(\gamma \rho + \delta \sigma)$ . f(z) has a Fourier expansion centered at  $\lambda'$ 

$$\prod_{i=1}^{n} (-\sigma'^{(i)} z_i + \rho'^{(i)})^{k_i} f(z) = \sum_{\nu} c_{\nu} \exp(2\pi \sqrt{-1} \operatorname{tr}(\nu M_{\lambda'}^{-1} z)).$$

Here  $\operatorname{ord}_{\lambda'}(f) = \min \{\operatorname{tr}(\nu \zeta) \mid \text{ totally positive } \zeta \in (\rho', \sigma')^{-2}, \nu \text{ with } c_{\nu} \neq 0\} \geq \operatorname{ord}(f)$ . We replace z by  $\mu Mz$  in the above identity. Then a simple calculation leads to

$$\prod_{i=1}^{n} (-\sigma^{(i)} z_i + \rho^{(i)})^{k_i} g(z) = \sum_{\nu} c_{\nu} \exp(2\pi \sqrt{-1} \operatorname{tr}(\nu \mu w)),$$

w being as in (3). Then ord<sub>\(\ella\)</sub>  $(g) = \min \{ \text{tr} (\zeta \mu \nu) | \text{ totally positive } \zeta \in (\rho, \sigma)^{-2}, \nu \text{ with } c_{\nu} \neq 0 \} = \min \{ \text{tr} (\zeta \nu) | \text{ totally positive } \zeta \in \mu(\rho, \sigma)^{-2}, \nu \text{ with } c_{\nu} \neq 0 \}.$  Since  $m^{-1}(\rho', \sigma') = m^{-1}\mu^2(\mu(\alpha\rho + \beta\sigma), \gamma\rho + \delta\sigma)^{-2} \supset m^{-1}\mu^2(\alpha\rho + \beta\sigma, \gamma\rho + \delta\sigma)^{-2} \supset m^{-1}\mu^2(\rho, \sigma)^{-2} \supset \mu(\rho, \sigma)^{-2}, \text{ ord}_\(\ella\) <math>(g)$  is at least  $m^{-1}$  ord<sub>\(\gamma\)</sub>  $(f) \ge m^{-1}$  ord (f). q.e.d.

Let us construct a modular form for  $\Gamma_K$  from f;

(6) 
$$f_{\mu,\tau}(z) := \sum_{M} \{ \prod_{i} (\gamma^{(i)} z_i + \delta^{(i)})^{-k_i} f(\mu M z) \}^T$$

where r is a positive rational integer, and  $M = \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \in \Gamma_K$  runs through a system of right representatives of  $\Gamma_K \mod \Gamma \cap \Gamma_K$ ,  $\Gamma$  denoting the subgroup in  $SL_2(K)$  commensurable with  $\Gamma_K$  for which  $f(\mu z)$  is modular form.

**Corollary.** Let f be a modular form for a subgroup  $SL_2(K)$  commensurable with  $\Gamma_K$ , and let  $\mu$  be a totally positive integer in  $O_K$ . Then  $f_{\mu,r}$  is

a modular form for  $\Gamma_K$  whose weight vector is an r times the weight vector of f, and ord  $(f_{\mu,r})$  is at least  $m^{-1}r$  ord (f) where m is as in (5).

The common zero of  $f_{\mu,\tau}$ ,  $r=1,2,\cdots$ , is equal to the common zero of  $f(\mu Mz)$ 's, M being as above. In particular  $f_{\mu,\tau}$  does not vanish identically for infinitely many r unless f vanishes identically.

## § 4. Modular groups

First we introduce several congruence subgroups of  $\Gamma_K$ . Let  $\mathfrak b$  be a non-zero integral ideal of  $O_K$ . We define subgroups of  $\Gamma_K$  associated with  $\mathfrak b$ ;

$$\begin{split} & \varGamma(\mathfrak{b}) := \Bigl\{ M \in \varGamma_{\scriptscriptstyle{K}} \, | \, M \!\equiv\! \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \bmod{\mathfrak{b}} \Bigr\}, \\ & \varGamma_{\scriptscriptstyle{0}}(\mathfrak{b}) := \Bigl\{ \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \in \varGamma_{\scriptscriptstyle{K}} \, | \, \gamma \equiv 0 \bmod{\mathfrak{b}} \Bigr\}, \\ & \varGamma^{\scriptscriptstyle{1}}(\mathfrak{b}) := \Bigl\{ \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \in \varGamma_{\scriptscriptstyle{K}} \, | \, \alpha \equiv \delta \equiv 1 \bmod{\mathfrak{b}}, \, \beta \in \mathfrak{b}^{\scriptscriptstyle{2}} \Bigr\}. \end{split}$$

Let  $\mu$  be a totally positive integer in  $O_K$ . A simple calculation shows that  $M(\mu z) = \mu M_{(\mu)} z$  with

$$M_{(\mu)} = \begin{pmatrix} \sqrt{\mu}^{-1} & 0 \\ 0 & \sqrt{\mu} \end{pmatrix} M \begin{pmatrix} \sqrt{\mu} & 0 \\ 0 & \sqrt{\mu}^{-1} \end{pmatrix} = \begin{pmatrix} \alpha & \mu^{-1}\beta \\ \mu \tilde{\chi} & \delta \end{pmatrix} \quad \text{for } M = \begin{pmatrix} \alpha & \beta \\ \tilde{\chi} & \delta \end{pmatrix}.$$

We note that

$$\Gamma_{\scriptscriptstyle K} \cap \left( \begin{smallmatrix} \sqrt{\mu}^{-1} & 0 \\ 0 & \sqrt{\mu} \end{smallmatrix} \right) \Gamma_{\scriptscriptstyle K} \left( \begin{smallmatrix} \sqrt{\mu} & 0 \\ 0 & \sqrt{\mu}^{-1} \end{smallmatrix} \right) = \Gamma_{\scriptscriptstyle 0}(\mu),$$

and

$$\left(\sqrt{\frac{\mu}{0}}^{-1} \sqrt{\frac{\mu}{\mu}}\right) \Gamma^{1}(\mu) \left(\sqrt{\frac{\mu}{0}} \sqrt{\frac{\mu}{\mu}}^{-1}\right) = \Gamma(\mu).$$

Let f be a modular form. We denote by  $\Gamma_f$ , the maximal subgroup of  $SL_2(K)$  for which f is a modular form, namely,

$$\Gamma_f = \{ M \in SL_2(K) | f(Mz)/f(z) \text{ is holomorphic} \}.$$

Then 
$$\Gamma_{f(\mu z)} = \left(\frac{\overline{\mu}^{-1}}{0} \frac{0}{\sqrt{\mu}}\right) \Gamma_f \left(\frac{\sqrt{\mu}}{0} \frac{0}{\sqrt{\mu}^{-1}}\right).$$

**Lemma 2.** Let f(z) be a non-constant modular form for  $\Gamma_K$ . Then  $\Gamma_{f(\mu z)} \cap \Gamma_K = \Gamma_0(\mu)$  for a totally positive integer  $\mu$  in  $O_K$ .

*Proof.* By the above fact it is enough to show that  $\Gamma_f = \Gamma_K$ , where  $\Gamma_f \supset \Gamma_K$  is our assumption. By Maass [5] it was shown that Hurwitz's extension  $\tilde{\Gamma}_K$  of  $\Gamma_K$  is the unique maximal extension acting properly discontinuously on  $H^n$  except for an extension by a group acting trivially on  $H^n$ .  $\tilde{\Gamma}_K$  is consisting of matrices

$$\begin{bmatrix} \alpha/\sqrt{\omega} & \beta/\sqrt{\omega} \\ \gamma/\sqrt{\omega} & \delta/\sqrt{\omega} \end{bmatrix}$$

where  $\alpha$ ,  $\beta$ ,  $\gamma$ ,  $\delta \in K$ , and  $\omega = \alpha \delta - \beta \gamma$  is totally positive, and  $\alpha/\sqrt{\omega}$ ,  $\beta/\sqrt{\omega}$ ,  $\gamma/\sqrt{\omega}$ ,  $\delta/\sqrt{\omega}$  are integral over  $O_K$ .  $\tilde{\Gamma}_K \cap SL_2(K)$  equals  $\Gamma_K$ , which implies  $\Gamma_f = \Gamma_K$ .

Let  $\mathfrak p$  be a prime ideal of  $O_K$ . It is easy to check that the set  $\Gamma_0(\mathfrak p^e) \backslash \Gamma_K$  is naturally isomorphic to

$$\bigg\{ \begin{pmatrix} 0 & 1 \\ -1 & \delta \end{pmatrix} \big| \, \delta \in \mathfrak{p} \bmod \mathfrak{p}^e \bigg\} \cup \bigg\{ \begin{pmatrix} 1 & 0 \\ \gamma & 1 \end{pmatrix} \big| \, \gamma \in O_K \bmod \mathfrak{p}^e \bigg\}.$$

In particular the index  $[\Gamma_K: (\mathfrak{p}^e)]$  equals  $\operatorname{Nm}(\mathfrak{p}^e)(1+\operatorname{Nm}(\mathfrak{p})^{-1})$  where  $\operatorname{Nm}$  denotes the norm of K over Q. The general case is reduced to the prime power case by the Chinese remainder theorem. We have the following:

**Lemma 3.** Let  $\mathfrak{b}$  be a non-zero integral ideal of  $O_K$ . Then the index  $[\Gamma_K: \Gamma_0(\mathfrak{b})]$  equals  $\operatorname{Nm}(\mathfrak{b}) \prod_{\mathfrak{p}} (1 + \operatorname{Nm}(\mathfrak{p})^{-1})$  where  $\mathfrak{p}$  runs through prime ideals dividing  $\mathfrak{b}$ .

## § 5. Irreducibility

We assume  $n \ge 3$  in what follows. Let  $\Gamma$  be a subgroup in  $SL_2(K)$  commensurable with  $\Gamma_K$ . Then any holomorphic automorphy factor for  $\Gamma$  is, up to trivial automorphy factors, of the form (2) (Freitag [2]). If there is a non-constant modular form associated with J in (2), then the entries of the weight vector are all positive (Freitag [1, Sect. 2]). A modular form f for  $\Gamma$  is said to be *irreducible in*  $\Gamma$  if its divisor corresponds to an irreducible divisor of the modular variety  $H^n/\Gamma$ . Then any modular form f has a unique irreducible decomposition up to a constant factor;

$$f = f_1^{r_1} \cdots f_t^{r_t}$$

where  $f_i$  (1 $\leq i \leq t$ ) are irreducible in  $\Gamma$ .

Let us suppose that  $\Gamma \subset \Gamma_K$ . Let g be a modular form for  $\Gamma$ , and let  $\operatorname{div}(g)$  be the divisor of g on  $H^n$ . Then  $\operatorname{div}(g)$  is written as

$$\sum_{j=1}^t m_j (\sum_M M \cdot D_j), \quad m_j \in \mathbb{Z}, >0,$$

where  $D_1, \dots, D_t$  are a finite number of irreducible divisors on  $H^n$  inequivalent under  $\Gamma$  and where M runs over a system of left representatives of  $\Gamma$  mod the stabilizer subgroup in  $\Gamma$  at  $D_j$ . We note that  $D_j$ 's may be equivalent under  $\Gamma_K$ . Suppose that in the equivalence relation under  $\Gamma_K$ ,  $D_j$ 's are divided into several classes  $\{D_1, \dots, D_{j_1}\}, \{D_{j_1+1}, \dots, D_{j_2}\}, \dots, \{D_{j_k+1}, \dots, D_j\}$ . We define a new divisor invariant under  $\Gamma_K$  by

(7) 
$$\sum_{k=0}^{s} \max \{m_{j_{k+1}}, \dots, m_{j_{k+1}}\} (\sum_{k} M \cdot D_{j_{k+1}})$$

where  $j_0=0$ ,  $j_{s+1}=j$ , and where M runs over a system of left representatives of  $\Gamma_K$  mod the stabilizer subgroup in  $\Gamma_K$  at  $D_{j_k+1}$ . We denote by N(g) the modular form for  $\Gamma_K$  whose divisor equals (7). N(g) is divisible by g as modular forms for  $\Gamma$ , and moreover it is minimal in the sense that it divides any such modular form for  $\Gamma_K$ . We do not mind the ambiguity in the definition of N(g) up to a constant multiple, which will never cause a trouble in the present paper.

**Lemma 4.** Let  $n \ge 3$ , and let  $\Gamma$  be a normal subgroup of  $\Gamma_K$ . Let g be a modular form for  $\Gamma$  of weight  $(k_1, \dots, k_n)$  which is irreducible in  $\Gamma$ . Then N(g) is given as

(8) 
$$\prod_{M} \prod_{i} (\Upsilon^{(i)} Z_i + \delta^{(i)})^{-k_i} g(Mz)$$

where  $M = \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix}$  runs through a system of right representatives of  $\Gamma_K \mod \Gamma_K \cap \Gamma_g$ . In particular the weight vector of N(g) is a  $[\Gamma_K \colon \Gamma_K \cap \Gamma_g]$  times the weight vector of g.

*Proof.* Since (8) is a modular form for  $\Gamma_K$ , it is divisible by N(g). We prove the contrary. Since  $\Gamma$  is normal in  $\Gamma_K$ , for each  $M = \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \in \Gamma_K \prod_i (\Upsilon^{(i)} z_i + \delta^{(i)})^{-k_i} g(Mz)$  is a modular form for  $\Gamma$  which is also irreducible in  $\Gamma$ , and which divides N(g). If M runs through a system of right representatives of  $\Gamma_K \mod \Gamma_K \cap \Gamma_g$ , then any two of them have no common divisor of zero. This shows our assertion.

**Lemma 5.** Let  $n \ge 3$ , and let  $\Gamma$  be a subgroup of  $SL_2(K)$  commensurable with  $\Gamma_K$ . Let g be a modular form for  $\Gamma$  of weight  $(k_1, \dots, k_n)$  irreducible in  $\Gamma$ . Suppose that g is not irreducible in some subgroup in  $\Gamma$  of finite index. Then for any i within  $1 \le i \le n$  and for any positive even r,

there is a modular form h for  $\Gamma$  of weight  $r(k_1, \dots, k_{i-1}, k_i+2, k_{i+1}, \dots, k_n)$  satisfying that (i)  $g^r \leq h$  and that (ii) g, h have no common divisors.

*Proof.* By assumption, there is a subgroup  $\Gamma'$  of  $\Gamma$  in which g is not irreducible. Replacing  $\Gamma'$  by a smaller one if necessary, we may assume that  $\Gamma'$  is normal in  $\Gamma$ . Let  $g=g_1\cdots g_t,\ t\geq 2$ , be an irreducible decomposition in  $\Gamma'$ . Let k,l be distinct integers in  $\{1,\cdots,t\}$ . Because of the irreducibility of g in  $\Gamma$ ,  $g_k$ ,  $g_l$  are transformed into each other for any k,l, more precisely, for some  $M=\begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \in \Gamma$ ,  $\prod_i (\Upsilon^{(i)} z_i + \delta^{(i)})^{-e_i} g_k(Mz)$  equals  $g_l(z)$  up to a constant factor,  $(e_1,\cdots,e_n)$  being the weight vector of  $g_k$ . Hence, in particular,  $g_j$ 's have the same vector weight, namely,  $(1/t)(k_1,\cdots,k_n)$ . The irreducibility of g in  $\Gamma$  implies also that the multiplicity of each factor is one namely, any two of the  $g_j$ 's have no common divisors.

(9) 
$$g_{l}^{2} \frac{\partial}{\partial z_{i}} (g_{k}/g_{l}) = g_{l} \frac{\partial}{\partial z_{i}} g_{k} - g_{k} \frac{\partial}{\partial z_{i}} g_{l}$$

is modular form for  $\Gamma'$  of weight  $(2k_1/t, \dots, 2k_{i-1}/t, 2+2k_i/t, 2k_{i+1}/t, \dots, 2k_n/t)$ . By a matrix M in  $\Gamma$ , (9) is transformed into a similar one. So

(10) 
$$h := \sum_{k < l} \left( g_l \frac{\partial}{\partial z_i} g_k - g_k \frac{\partial}{\partial z_i} g_l \right)^r \prod_{j \neq k, l} g_j^r$$

is a modular form for  $\Gamma$  of weight  $r(k_1, \dots, k_{i-1}, k_i+2, k_{i+1}, \dots, k_n)$ . A function (9) is  $\geq g_k g_l$  (see [8, Proof of Proposition 3]), and so each term of (10) is  $\geq g^r$ . This implies that (i)  $g^r \leq h$ . Finally we show that h is not divisible by g, which implies (ii). If otherwise, h is divisible by  $g_1$  in  $\Gamma'$ .  $(\partial/\partial z_i)g_1$  does not vanish identically on any component of  $\operatorname{div}(g_1)$  on  $H^n$  by [8, Lemma 5] where the proof is given only for  $\Gamma_K$ , but it is easy to observe validity in general. Expanding (10), we get only one term  $((\partial/\partial z_i)g_1)^r\prod_{j\neq 1}g_j^r$  that does not contain  $g_1$ . This implies that h is not divisible by  $g_1$ , a contradiction.

**Corollary.** Let g be as in Lemma 5. Then there is a modular form h for  $\Gamma$  such that (i) the weight vector is  $2r(1, \dots, 1) + nr(k_1, \dots, k_n)$ , r being any positive integer, and that (ii)  $g^{nr} \leq h$ , and that (iii) g, h have no common divisors,

*Proof.* We take a product for  $j=1, \dots, n$  of modular forms given in the lemma. q.e.d.

## § 6. Key proposition

There is a positive rational number  $k_0$  depending only on K for which

 $\sum k_i/k_0$  is integral if  $(k_1, \dots, k_n)$  is the weight vector of any automorphy factor for  $\Gamma_K$ . Gundlach [3] (see also [8, p. 666]) shows that  $k_0 \ge 1/2$  if n is even. By [9] it was shown that  $k_0 \ge 1/2$  for any n > 1, and that  $k_0 \ge 2$  particularly if the ideal in  $O_K$  generated by 2 is unramified at any prime ideal of degree one. We note that if f is a non-constant modular form for  $\Gamma_K$  of scalar weight, then n weight  $(f) \ge k_0$ .

Now we state the key proposition of the paper, which gives a refinement of [8, Proposition 3].

**Proposition 2.** Let  $n \ge 3$ . Let l be a non-negative real number for which there is a non-constant modular form f for  $\Gamma_K$  of scalar weight such that  $\operatorname{ord}(f)/\operatorname{weight}(f) > l$ . Let D be any effective divisor on  $H^n$  invariant under  $\Gamma_K$  which corresponds to an irreducible divisor of the modular variety  $H^n/\Gamma_K$ . Then there exists a modular form g for  $\Gamma_K$  of scalar weight such that (i)  $g|_D \not\equiv 0$ , and that (ii)  $\operatorname{ord}(g)/\operatorname{weight}(g) > \min\{l/(2+1/2^{n-2}k_0), \max\{3l/4(1+1/k_0), l/(1+2/k_0)\}\}$ , which is at least l/4 and which is at least  $l/(2+2^{-n+1})$  particularly if the ideal in  $O_K$  generated by 2 is unramified at any prime ideal of degree one.

Let F denote the modular form for  $\Gamma_K$  defining D, which is obviously irreducible in  $\Gamma_K$ . Let  $(k_1, \dots, k_n)$  be the weight vector of F. If F is not a factor of f, then there is nothing to prove. So we assume that F is a factor of f in what follows.

Suppose that F(2z) does not divide f(z) (in  $\Gamma(2)$ ), or equivalently that F(z) does not divide  $f(\frac{1}{2}z)$  (in  $\Gamma(2)$ ). Then F(z) does not divide f(2z) since f(2z) (resp. F(z)) is equal to  $\Pi(2z_i)^{-\text{weight}(f)}f(\frac{1}{2}(-z^{-1}))$  (resp.  $\Pi(z_i)^{-k_i}\times F(-z^{-1})$ )) up to a constant multiple and since  $F(-z^{-1})$  does not divide  $f(\frac{1}{2}(-z^{-1}))$ . Then by the comment below Corollary to Lemma 1, F(z) is not a factor of  $f_{2,r}$  in (6) for some positive integer r. Since

ord 
$$(f_{2,r})$$
/weight  $(f_{2,r}) \ge \frac{1}{2}$  (ord  $(f)$ /weight  $(f)$ )  $\ge \frac{l}{2}$ 

by Corollary to Lemma 1, our assertion follows. So the problem is reduced to the case that

- (i) F is a factor of f, and
- (ii) F(2z) is a factor of f(z), or equivalently N(F(2z)) divides f, N being as in the preceding section. We consider two cases;
  - I: F is not irreducible in  $\Gamma^{1}(2)$ ,
  - II: F remains irreducible in  $\Gamma^{1}(2)$ .

The proof in the case I is given in the next section, and the case II, in the section after next.

## § 7. Proof in case I

Let  $f = F^sG$  be the decomposition in  $\Gamma_K$  where G is not divisible by F. At first we assume that  $\sum k_i \ge 2k_0$  for the weight vector  $(k_1, \dots, k_n)$  of F. Obviously n weight  $(f) \ge s \sum k_i \ge 2sk_0$ . By Corollary to Lemma 5 there is a modular form h for  $\Gamma_K$  such that (i) the weight vector is  $4(1, \dots, 1) + 2n(k_1, \dots, k_n)$ , and (ii)  $F^{2n} \le h$ , and that (iii)  $h|_D \not\equiv 0$ . Then we take as g,  $h^sG^{2n}$  which is a modular form for  $\Gamma_K$  of scalar weight 4s + 2n weight (f), and which does not vanish identically on D. ord  $(g)/\text{weight }(g) \ge 2n \text{ ord } (f)/(4s + 2n \text{ weight }(f)) > l/(1 + 2s/n \text{ weight }(f)) \ge l(1 + 1/k_0)$ . So in this case our assertion follows, because  $l/(1 + 1/k_0) > \max\{3l/4(1 + 1/k_0), l/(1 + 2/k_0)\}$ .

**Lemma 6.** Let F be a non-constant modular form for  $\Gamma_K$  of weight  $(k_1, \dots, k_n)$  irreducible in  $\Gamma_K$ , and let  $\mu$  be a totally positive integer in  $O_K$  other than units. Then  $N(F(\mu z))$  has as a factor, at least one modular form for  $\Gamma_K$  different from F(z). If  $\sum k_i = k_0$ , then it is not irreducible in  $\Gamma(\mu)$ .

We note that in the above lemma F is not assumed to be irreducible in a subgroup of  $\Gamma_{\kappa}$ .

**Proof.** Let  $F(\mu z) = F_1(z) \cdots F_t(z)$  be an irreducible decomposition in  $\Gamma(\mu)$ . By the irreducibility of F(z) in  $\Gamma_K$ , any two of the  $F_j(z)$ 's have no common divisor. If  $N(F(\mu z))$  has only F(z) as a factor, then F(z) is divisible by each of the  $F_j(z)$ , and hence by  $F(\mu z)$ . So  $\Gamma_{F(z)}$  is properly larger than  $\Gamma_K$ , which contradicts to Maass [5] (see the proof of Lemma 2). This shows our first assertion. Now suppose that  $\sum k_i = k_0$ . If  $t \ge 2$ , then any of  $F_1, \dots, F_t$  is not a modular form for  $\Gamma_K$  by the definition of  $k_0$ . The irreducible factor of  $N(F(\mu z))$  equals one of  $N(F_j)$ , up to a constant multiple, which is not irreducible in  $\Gamma(\mu)$ . If t = 1, i.e.,  $F(\mu z)$  is irreducible in  $\Gamma(\mu)$ , then Lemma 4 together with Lemma 2 shows that  $N(F(\mu z))$  is not irreducible in  $\Gamma(\mu)$ .

We continue to prove Proposition 2. We assume that  $k_0 = \sum k_i$ . Let  $f = F^s F'^{s'} G$  be a decomposition in  $\Gamma_K$ , where F' is an irreducible factor of N(F(2z)) in  $\Gamma_K$  different from F, and G is divisible by neither F nor F'. Let  $(k'_1, \dots, k'_n)$  be the weight vector of F', and let  $k' = \sum !k'_i \ge k_0$ . Then obviously n weight  $(f) \ge sk_0 + sk' \ge (s+s')k_0$ . Suppose that  $s'(k'+4) \ge s(2-k_0)$ . Let h be as in the beginning of this section. We take as g,  $h^s(F'^{s'}G)^{2n}$  which is a modular form for  $\Gamma_K$  of scalar weight 4s+2n weight (f) with order at least 2n ord (f) and which does not vanish identically on D. Then ord (g)/weight  $(g) \ge 2n$  ord (f)/(4s+2n) weight (f))  $\ge l/(1+2s/n)$  weight (f))  $\ge \max\{3l/4(1+1/k_0), l/(1+2/k_0)\}$ . Then our assertion follows. Now let us suppose that  $s'(k'+4) \le s(2-k_0)$ , which implies

 $k_0 < 2$ . By Lemma 6, F' is not irreducible in  $\Gamma(2)$ . Then by Corollary to Lemma 5, there is a modular form h' for  $\Gamma_K$  such that (i) the weight vector is  $4(1, \dots, 1) + 2n(k'_1, \dots, k'_n)$  and that (ii)  $F'^{2n} \leq h'$ , and that (iii) h', F' have non common divisor. Let  $g' = h'^{s'}$  ( $F^sG$ )<sup>2n</sup>, which is a modular form for  $\Gamma_K$  of scalar weight 4s' + 2n weight (f). ord (g') is at least 2n ord (f). g' is not divisible by F(2z), because if otherwise, g' is divisible by F' just as f, which is not the case. Then g'(2z) is not divisible by F(z) (see the argument in the preceding section). We take as g,  $(g')_{2,r}$  in (6) where r is taken so that  $(g')_{2,r}$  is not divisible by F(z). Then by Corollary to Lemma 1, ord (g)/weight  $(g) \geq \frac{1}{2}$ (ord (g')/weight (g'))  $\geq l/(2 + 4s'/n)$  weight  $(f) \geq l/(2 + (-2k_0 + 4)/(k' + 2k_0)) \geq 3l/4(1 + 1/k_0) = \max{3l/4(1 + 1/k_0)}$ ,  $l/(1 + 2/k_0)$ }, since  $k_0 < 2$ . We are done.

## § 8. Proof in case II

By assumption F(2z) is irreducible in

$$\Gamma(2) = \begin{pmatrix} \sqrt{2}^{-1} & 0 \\ 0 & \sqrt{2} \end{pmatrix} \Gamma^{1}(2) \begin{pmatrix} \sqrt{2} & 0 \\ 0 & \sqrt{2}^{-1} \end{pmatrix},$$

and by Lemma 2  $\Gamma_{F(2z)} \cap \Gamma_K = \Gamma_0(2)$ . By Lemma 4, F'(z) := N(F(2z)) is irreducible in  $\Gamma_K$  and its weight vector is  $[\Gamma_K : \Gamma_0(2)] (k_1, \cdots, k_n)$ . Let  $f = F^s F'^{s'} G$  be a decomposition in  $\Gamma_K$ , where G is divisible neither F nor F'. We give a similar proof as in the last part of the preceding section. By Corollary to Lemma 5, there is a modular form h' for  $\Gamma_K$  such that (i) the weight vector is  $4(1, \cdots, 1) + 2n[\Gamma_K : \Gamma_0(2)](k_1, \cdots, k_n)$  and that (ii)  $F'^{2n} \leq h'$ , and that (iii) h' is not divisible by F'. Let  $g' = h'^{s'}(F^s G)^{2n}$ , which is a modular form for  $\Gamma_K$  of scalar weight 4s' + 2n weight (f) and with order  $\geq 2n$  ord (f). g'(z) is not divisible by F(z), in other words, g'(2z) is not divisible by F(z). Then we take as g,  $(g')_{2,r}$  in (6) which is not divisible by F(z). Then by Corollary to Lemma 1, ord  $(g)/\text{weight }(g) \geq \frac{1}{2}(\text{ord }(g')/\text{weight }(g')) > l/(2 + 4s'/(s + s'[\Gamma_K : \Gamma_0(2)] \geq l^k)) > l/(2 + 4/[\Gamma_K : \Gamma_0(2)] > l^n)$  by Lemma 3. We have proved Proposition 2.

#### § 9. Proof of Theorem

Let us recall the asymptotic dimension of the space of modular forms for  $\Gamma_K$  of scalar weight  $k \in 2\mathbb{Z}$  with a trivial multiplier and with ord (f)/k > l ([8], see also [7]). It is

$$\{2^{-2n+1}\pi^{-2n}d_K^{3/2}\zeta_K(2)-2^{n-1}l^nn^{-n}d_K^{1/2}hR\}k^n+O(k^{n-1})$$

where  $d_K$ , h, R denote the discriminant, the class number, the regulator of

K respectively. In particular, if

$$l < 2^{-3}\pi^{-2}n\left(\frac{4d_{K}\zeta_{K}(2)}{hR}\right)^{1/n},$$

then there are such modular forms for sufficiently large even k.

Theorem 1. Let n > 6. If

(11) 
$$2^{-4}\pi^{-2}(n-1)\left(\frac{4d_K\zeta_K(2)}{hR}\right)^{1/n} > 1,$$

then  $(\frac{1}{12})$  holds. If the ideal in  $O_K$  generated by 2 is unramified at any prime ideal of degree one and if

(12) 
$$2^{-3}(1+2^{-n+1})^{-1}\pi^{-2}(n-1)\left(\frac{4d_K\zeta_K(2)}{hR}\right)^{1/n} > 1,$$

then  $(\diamondsuit)$  holds.

**Proof.** By Proposition 2, for any subvariety D in  $X_K$  of codimension one, there is a modular form g of scalar weight such that (i)  $g|_D \not\equiv 0$ , and that (ii) ord (g)/weight $(g) > \{2^{-8}\pi^{-2}n(4d_K\zeta_K(2)/hR)^{1/n}\}/4$  which is larger than n/2(n-1) by the condition (11). By Proposition 1,  $( \not \hookrightarrow )$  holds. Also to the second assertion, the similar argument is applicable. q.e.d.

 $d_K \zeta_K(2)/hR$  is at least  $2^{n-2}\pi^n$  (cf. Lang [4, p. 261]). Hence (11) holds for n > 26, and (12) holds for n > 14. This proves our theorem.

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