

## Chapter II

# The Constructible Universe

In Zermelo-Fraenkel set theory, the notion of what constitutes a set is not really defined, but rather is taken as a basic concept. The Zermelo-Fraenkel axioms describe the *properties* of sets and the set-theoretic universe. For instance, if  $X$  is an infinite set, the Power Set Axiom tells us that there is a set,  $\mathcal{P}(X)$ , which consists of *all* subsets of  $X$ . But the other axioms do not tell us very much about the members of  $\mathcal{P}(X)$ , or give any indication as to how big a set this is. The Axiom of Comprehension says that  $\mathcal{P}(X)$  will contain all sets which are *describable* in a certain, well-defined sense, and AC will provide various choice sets and well-orderings. But the word “all” in the phrase “all subsets of  $X$ ” is not really explained. Of course, as mathematicians we are (are we not?) quite happy with the notion of  $\mathcal{P}(X)$ , and so long as there are no problems, Zermelo-Fraenkel set theory can be taken as a perfectly reasonable theory. But as we know, ZFC set theory does have a major drawback: there are several easily formulated questions which cannot be answered on the basis of the ZFC axioms alone. A classic example is the status of the *continuum hypothesis*,  $2^\omega = \omega_1$ . It can be argued that this cannot be decided in ZFC because the ZFC axioms do not say just what constitutes a subset of  $\omega$ ; hence we cannot relate the size of  $\mathcal{P}(\omega)$  to the infinite cardinal numbers  $\omega_\alpha$ ,  $\alpha \in \text{On}$ . (The formal *proof* of the undecidability of CH is rather different from the above “plausibility argument”.)

One way of overcoming the difficulty of undecidable questions is to extend the theory ZFC, to obtain a richer theory which provides more information about sets. (An alternative solution is simply to accept as a fact of life that some questions have no answer.) One highly successful extension of ZFC is the *constructible set theory* of Gödel. In this theory the notion of a “set” is made precise (at least relative to the ordinals). The idea is as follows.

The fundamental picture of the set-theoretic universe which the Zermelo-Fraenkel axioms supply is embodied in the cumulative hierarchy of sets. We commence with the null set,  $\emptyset$ , and obtain all other sets by iteratively applying the (undescribed) power set operation,  $\mathcal{P}$ . Thus:

$$V_0 = \emptyset; \quad V_{\alpha+1} = \mathcal{P}(V_\alpha); \quad V_\lambda = \bigcup_{\alpha < \lambda} V_\alpha, \quad \text{if } \text{lim}(\lambda).$$

Then

$$V = \bigcup_{\alpha \in \text{On}} V_\alpha.$$