

## Part III

# Classical Separation Theorems

## 26 Souslin-Luzin Separation Theorem

Define  $A \subseteq \omega^\omega$  to be  $\kappa$ -Souslin iff there exists a tree  $T \subseteq \bigcup_{n < \omega} (\kappa^n \times \omega^n)$  such that

$$y \in A \text{ iff } \exists x \in \kappa^\omega \forall n < \omega (x \restriction n, y \restriction n) \in T.$$

In this case we write  $A = p[T]$ , the projection of the infinite branches of the tree  $T$ . Note that  $\omega$ -Souslin is the same as  $\Sigma_1^1$ .

Define the  $\kappa$ -Borel sets to be the smallest family of subsets of  $\omega^\omega$  containing the usual Borel sets and closed under intersections or unions of size  $\kappa$  and complements.

**Theorem 26.1** *Suppose  $A$  and  $B$  are disjoint  $\kappa$ -Souslin subsets of  $\omega^\omega$ . Then there exists a  $\kappa$ -Borel set  $C$  which separates  $A$  and  $B$ , i.e.,  $A \subseteq C$  and  $C \cap B = \emptyset$ .*

proof:

Let  $A = p[T_A]$  and  $B = p[T_B]$ . Given a tree  $T \subseteq \bigcup_{n < \omega} (\kappa^n \times \omega^n)$ , and  $s \in \kappa^{<\omega}$ ,  $t \in \omega^{<\omega}$  (possibly of different lengths), define

$$T^{s, \hat{t}} = \{(\hat{s}, \hat{t}) \in T : (s \subseteq \hat{s} \text{ or } \hat{s} \subseteq s) \text{ and } (t \subseteq \hat{t} \text{ or } \hat{t} \subseteq t)\}.$$

**Lemma 26.2** *Suppose  $p[T_A^{s, \hat{t}}]$  cannot be separated from  $p[T_B^{r, \hat{t}}]$  by a  $\kappa$ -Borel set. Then for some  $\alpha < \kappa$  the set*

$$p[T_A^{s \hat{\ }^\alpha, \hat{t}}] \text{ cannot be separated from } p[T_B^{r, \hat{t}}] \text{ by a } \kappa\text{-Borel set.}$$

proof:

Note that  $p[T_A^{s, \hat{t}}] = \bigcup_{\alpha < \kappa} p[T_A^{s \hat{\ }^\alpha, \hat{t}}]$ . If there were no such  $\alpha$ , then for every  $\alpha$  we would have a  $\kappa$ -Borel set  $C_\alpha$  with

$$p[T_A^{s \hat{\ }^\alpha, \hat{t}}] \subseteq C_\alpha \text{ and } C_\alpha \cap p[T_B^{r, \hat{t}}] = \emptyset.$$

But then  $\bigcup_{\alpha < \kappa} C_\alpha$  is a  $\kappa$ -Borel set separating  $p[T_A^{s, \hat{t}}]$  and  $p[T_B^{r, \hat{t}}]$ .  
■

**Lemma 26.3** *Suppose  $p[T_A^{s, \hat{t}}]$  cannot be separated from  $p[T_B^{r, \hat{t}}]$  by a  $\kappa$ -Borel set. Then for some  $\beta < \kappa$*

$$p[T_A^{s, \hat{t}}] \text{ cannot be separated from } p[T_B^{r \hat{\ }^\beta, \hat{t}}] \text{ by a } \kappa\text{-Borel set.}$$

proof:

Since  $p[T_B^{r, \hat{t}}] = \bigcup_{\beta < \kappa} p[T_B^{r \hat{\ }^\beta, \hat{t}}]$ , if there were no such  $\beta$  then for every  $\beta$  we would have  $\kappa$ -Borel set  $C_\beta$  with

$$p[T_A^{s, \hat{t}}] \subseteq C_\beta \text{ and } C_\beta \cap p[T_B^{r \hat{\ }^\beta, \hat{t}}] = \emptyset.$$

But then  $\bigcap_{\beta < \kappa} C_\beta$  is a  $\kappa$ -Borel set separating  $p[T_A^{s, \hat{t}}]$  and  $p[T_B^{r, \hat{t}}]$ .  
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