

## §8. SOLIDITY AND CONDENSATION

In this section we prove the central fine structural result of the theory we are developing, namely that every 1-small mouse is  $k$ -solid for all  $k$ . We also derive, by the same method, some condensation results we shall need later. Our proofs of these facts trace back to Dodd's proof that the models of [D] satisfy the GCH.

For mice  $\mathcal{M}$  up to a strong cardinal (that is, for mice  $\mathcal{M}$  such that  $\mathcal{J}_\kappa^\mathcal{M} \models$  "There are no strong cardinals" whenever  $\kappa = \text{crit } E$  for some extender  $E$  on the  $\mathcal{M}$  sequence), our proof actually shows that  $\mathfrak{C}_k(\mathcal{M})$  is an iterate of  $\mathfrak{C}_{k+1}(\mathcal{M})$ , with the iteration map having critical point  $\geq \rho_{k+1}(\mathcal{M})$ . That is, every "very small" mouse is an iterate of its core. We suspect that this is not true for arbitrary 1-small mice.

Recall that  $u_0(\mathcal{M}) = \emptyset$ , and that  $u_k(\mathcal{M}) = \langle \rho_k(\mathcal{M}), b_0, \dots, b_S, \rho_{k-1}^\mathcal{M} \rangle$  for  $k \geq 1$ , where  $b_0 \dots b_S$  are the solidity witnesses for  $p_k(\mathcal{M})$  and the last coordinate  $\rho_{k-1}^\mathcal{M}$  occurs only if it is defined and is smaller than  $\text{OR}^\mathcal{M}$ . Thus  $p_{k+1}(\mathcal{M})$  is the appropriate collapse of  $\langle r, u_k(\mathcal{M}) \rangle$ , where  $r$  is the  $k+1$ st standard parameter of  $(\mathfrak{C}_k(\mathcal{M}), u_k(\mathcal{M}))$ .

Recall that if  $\pi : \mathcal{M} \rightarrow \mathcal{N}$  is a  $k$ -embedding, then  $\pi(u_k(\mathcal{M})) = u_k(\mathcal{N})$ .

**Theorem 8.1.** *Let  $\mathcal{M}$  be a  $k$ -sound, 1-small,  $k$ -iterable premouse, where  $k < \omega$ . Let  $r$  be the  $k+1$ st standard parameter of  $(\mathcal{M}, u_k(\mathcal{M}))$ . Then  $r$  is  $k+1$ -solid and  $k+1$  universal over  $(\mathcal{M}, u_k(\mathcal{M}))$ .*

**PROOF.** Let  $u = u_k(\mathcal{M})$  and  $r = \langle \alpha_0, \dots, \alpha_S \rangle$ , with the ordinals  $\alpha_s$  in decreasing order. Let  $\alpha_{S+1} = \rho_{k+1}^\mathcal{M}$ . Let  $s \leq S+1$  be least such that

$$\text{Th}_{k+1}^\mathcal{M}(\alpha_s \cup \{\alpha_0, \dots, \alpha_{s-1}, u\}) \notin |\mathcal{M}|.$$

Such an  $s$  certainly exists, since  $S+1$  will do. Let

$$\mathcal{H} = \mathcal{H}_{k+1}^\mathcal{M}(\alpha_s \cup \{\alpha_0, \dots, \alpha_{s-1}, u\}),$$

let  $\pi : \mathcal{H} \rightarrow \mathcal{M}$  be the inverse of the collapse (so that  $\pi$  is a  $k$ -embedding), and let  $\bar{u} = \pi^{-1}(u)$  and  $\bar{\alpha}_j = \pi^{-1}(\alpha_j)$  for  $j < s$ .

Our strategy is to compare  $\mathcal{H}$  with  $\mathcal{M}$ , using  $k$ -maximal trees. Suppose that  $\mathcal{P}$  is the model produced at the end on the  $\mathcal{H}$  side, and  $\mathcal{Q}$  the model produced on the  $\mathcal{M}$  side. Suppose the branches  $\mathcal{H}$  to  $\mathcal{P}$  and  $\mathcal{M}$  to  $\mathcal{Q}$  involve no dropping of any kind, so that we have generalized  $r\Sigma_{k+1}$  maps  $i : \mathcal{H} \rightarrow \mathcal{P}$  and  $j : \mathcal{M} \rightarrow \mathcal{Q}$ . Suppose  $\text{crit } i \geq \alpha_s$  and  $\text{crit } j \geq \rho_{k+1}^\mathcal{M}$ . Then

$$\begin{aligned} \text{Th}_{k+1}^\mathcal{H}(\alpha_s \cup \{\bar{\alpha}_0, \dots, \bar{\alpha}_{s-1}, \bar{u}\}) = \\ \text{Th}_{k+1}^\mathcal{P}(\alpha_s \cup \{i(\bar{\alpha}_0), \dots, i(\bar{\alpha}_{s-1}), i(\bar{u})\}) \notin \mathcal{Q} \end{aligned}$$