

## Appendix B

### A THEOREM BY M. CUGIANI

Roth's Theorem suggests the following problem.

Let  $\xi$  be a real algebraic number. To find a function  $\epsilon(Q) > 0$  of the integral variable  $Q$ , with the property

$$\lim_{Q \rightarrow \infty} \epsilon(Q) = 0,$$

such that there are at most finitely many distinct rational numbers  $\frac{P}{Q}$  with positive denominator for which

$$\left| \frac{P}{Q} - \xi \right| < Q^{-2-\epsilon(Q)}.$$

Unfortunately, the method of Roth does not seem strong enough for solving this problem and finding such a function  $\epsilon(Q)$ .

A weaker result may, however, be obtained and was, in fact, recently found by Marco Cugiani<sup>1</sup>. It states:

**Theorem of Cugiani:** Let  $\xi$  be a real algebraic number of degree  $f$ ; let

$$\epsilon(Q) = 9f (\log \log \log Q)^{-\frac{1}{2}};$$

and let  $\frac{P^{(1)}}{Q^{(1)}}, \frac{P^{(2)}}{Q^{(2)}}, \frac{P^{(3)}}{Q^{(3)}}, \dots$ , where  $e^e < Q^{(1)} < Q^{(2)} < Q^{(3)} < \dots$ , be an infinite sequence of reduced rational numbers satisfying

$$\left| \frac{P^{(k)}}{Q^{(k)}} - \xi \right| < Q^{(k)-2-\epsilon(Q^{(k)})} \quad (k = 1, 2, 3, \dots).$$

Then

$$\limsup_{k \rightarrow \infty} \frac{\log Q^{(k+1)}}{\log Q^{(k)}} = \infty.$$

This theorem is thus an improvement of that by Th. Schneider<sup>2</sup> which was mentioned already in the Introduction to Part 2.

In this appendix we shall sketch a proof of the following theorem which contains Cugiani's result as the special case  $\lambda = \mu = 1$ .

**Theorem 1:** Denote by  $\xi \neq 0$  a real algebraic number of degree  $f$ ; by  $g' \geq 2$  and  $g'' \geq 2$  two integers that are relatively prime; by  $\lambda$  and  $\mu$  two real numbers satisfying

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1. Collectanea Mathematica, N. 169, Milano 1958.  
2. J. reine angew. Math. 175 (1936), 182-192.

$$0 \leq \lambda \leq 1, \quad 0 \leq \mu \leq 1, \quad \lambda + \mu > 0;$$

by  $c_1, c_2$ , and  $c_3$  three positive constants; by  $\epsilon(H)$  the function

$$\epsilon(H) = 5\sqrt{\log(4f)} (\log \log \log H)^{-\frac{1}{2}};$$

and by  $\Sigma = \{\kappa^{(1)}, \kappa^{(2)}, \kappa^{(3)}, \dots\}$  an infinite sequence of distinct rational numbers

$$\kappa^{(k)} = \frac{P^{(k)}}{Q^{(k)}} \text{ where } P^{(k)} \neq 0, Q^{(k)} \neq 0, (P^{(k)}, Q^{(k)}) = 1,$$

$$H^{(k)} = \max(|P^{(k)}|, |Q^{(k)}|) > e^e,$$

with the properties

$$(1): \quad |\kappa^{(k)} - \xi| \leq c_1 H^{(k)-\lambda-\mu-\epsilon(H^{(k)})}$$

and

$$(2): \quad |P^{(k)}|_{g'} \leq c_2 H^{(k)\lambda-1}, \quad |Q^{(k)}|_{g''} \leq c_3 H^{(k)\mu-1}.$$

Then

$$\limsup_{k \rightarrow \infty} \frac{\log H^{(k+1)}}{\log H^{(k)}} = \infty.$$

1. The proof of Theorem 1 is indirect. It will be assumed that  $\Sigma$  has the properties (1) and (2), but that the assertion is false; i.e., there exists a constant  $c_4 > 1$  such that, for all  $k$ ,

$$H^{(k+1)} \leq H^{(k)} c_4.$$

Hence if  $X$  is any sufficiently large positive number, there is an element  $\kappa^{(k)}$  of  $\Sigma$  for which

$$H \leq H^{(k)} \leq H^{c_4}.$$

From now on we put for shortness

$$a = \sqrt{\log(4f)}$$

and denote by  $m$  a very large positive integer. We further put

$$s = \frac{a}{\sqrt{m}}, \quad t = e^{-m \cdot 2^{m-1}}, \quad X = e^{\frac{2}{t} m^3}$$

and note that  $\epsilon(H)$  is given by

$$\epsilon(H) = 5a(\log \log \log H)^{-\frac{1}{2}}.$$

2. By hypothesis  $\Sigma$  contains infinitely many distinct elements  $\kappa^{(k)}$ , so that

$$\lim_{k \rightarrow \infty} H^{(k)} = \infty.$$

It is therefore possible to select  $m$  elements

$$\kappa_h = \kappa^{(1h)} = \frac{P_h}{Q_h} = \frac{P^{(1h)}}{Q^{(1h)}} \quad (h = 1, 2, \dots, m)$$

of  $\Sigma$ , of heights

$$H_h = H^{(1h)} = \max(|P_h|, |Q_h|) > e^e,$$

such that

$$X_h \leq H_h \leq X_h^{c_4} \quad (h = 1, 2, \dots, m),$$

where

$$X_1 = X, X_2 = H_1^{\frac{2}{t}} \leq X_1^{\frac{2c_4}{t}}, X_3 = H_2^{\frac{2}{t}} \leq X_2^{\frac{2c_4}{t}}, \dots, X_m = H_{m-1}^{\frac{2}{t}} \leq X_{m-1}^{\frac{2c_4}{t}}.$$

It follows that

$$\frac{\log H_{h+1}}{\log H_h} \geq \frac{2}{t} \quad (h = 1, 2, \dots, m-1),$$

whence, in particular,

$$H_1 < H_2 < \dots < H_m.$$

Further, for all  $h$ ,

$$X_h \leq X \left(\frac{2c_4}{t}\right)^{h-1}, \quad H_h \leq X \left(\frac{2c_4}{t}\right)^{h-1} c_4 \leq X \left(\frac{2c_4}{t}\right)^h \leq X \left(\frac{2c_4}{t}\right)^m.$$

These inequalities, however, imply that

$$(3): \quad H_h \leq e^{e^m} \quad (h = 1, 2, \dots, m),$$

because

$$X \left(\frac{2c_4}{t}\right)^m = \left(e^{2m^3} \cdot e^{m \cdot 2^{m-1}}\right) \left(2c_4 \cdot e^{m \cdot 2^{m-1}}\right)^m = e^{(2c_4)^m \cdot 2m^3 \cdot e^{(m^2+m)} \cdot 2^{m-1}} < e^{e^m}$$

as soon as  $m$  is sufficiently large.

From (3),

$$\epsilon(H_h) \geq \frac{5a}{\sqrt{m}} \quad (h = 1, 2, \dots, m).$$

Hence the sum

$$\sigma = \sum_{h=1}^m \epsilon(H_h)$$

satisfies the inequality,

$$\sigma \geq 5a\sqrt{m}.$$

3. Just as in §2, Chapter 7, define  $m-1$  positive integers  $r_2, \dots, r_m$  in terms of a further positive integer  $r_1$  by the formulae

$$(r_{h-1}) \log H_h < r_1 \log H_1 \leq r_h \log H_h \quad (h = 2, 3, \dots, m).$$

Here  $r_1$  will be chosen so large that the quantity

$$\theta = \max_{h=1,2,\dots,m} \frac{1}{r_{h-1}}$$

is already so small that

$$0 < \theta \leq \frac{1}{m} < 1.$$

Evidently

$$r_h \log H_h = \left(1 + \frac{1}{r_{h-1}}\right) (r_{h-1}) \log H_h < (1 + \theta) r_1 \log H_1 < 2r_1 \log H_1,$$

hence

$$2r_{h-1} \log H_{h-1} \geq 2r_1 \log H_1 > r_h \log H_h,$$

and therefore

$$r_{h-1} > \frac{1}{2} \frac{\log H_h}{\log H_{h-1}} r_h \geq \frac{1}{2} \cdot \frac{2}{t} \cdot r_h = \frac{1}{t} r_h \quad (h = 2, 3, \dots, r).$$

In particular, we find again that

$$r_1 > r_2 > \dots > r_m, \quad \sum_{h=1}^m r_h \leq m r_1.$$

4. Apply now Theorem 2 of the Appendix A, with  $F(x)$  a minimum polynomial for  $\xi$ . The choice in §1,

$$s = \frac{a}{\sqrt{m}}, \quad m s^2 = a^2 = \log(4f)$$

is allowed because  $m$  may be assumed so large that the additional condition of the theorem,

$$0 \leq s \leq \frac{1}{2}$$

is likewise satisfied.

Next fix the parameters  $\rho_h$ ,  $\sigma_h$ , and  $\tau_h$  of the Theorem by

$$\rho_h = \sigma_h = r_h, \quad \tau_h = \frac{(\lambda + \mu) r_h}{\lambda + \mu + \epsilon(H_h)} \quad (h = 1, 2, \dots, m).$$

Since

$$0 < \frac{r_h}{\tau_h} - 1 = \frac{\epsilon(H_h)}{\lambda + \mu},$$

the further condition of the theorem,

$$\left| \frac{r_h}{\tau_h} - 1 \right| \leq \frac{1}{10},$$

also holds provided  $m$  and hence  $X, H_1, \dots, H_m$  are sufficiently large.

There follows then from the theorem the existence of a positive constant  $c$  depending only on  $\xi$ , and that of a polynomial

$$A(x_1, \dots, x_m) = \sum_{i_1=0}^{r_1} \dots \sum_{i_m=0}^{r_m} a_{i_1 \dots i_m} x_1^{i_1} \dots x_m^{i_m} \neq 0$$

with the following properties.

(i): The coefficients  $a_{i_1 \dots i_m}$  are integers such that

$$|a_{i_1 \dots i_m}| \leq c^{r_1 + \dots + r_m} \leq c^{mr_1},$$

and they vanish unless

$$\left(\frac{1}{2} - s\right)m < \sum_{h=1}^m \frac{i_h}{r_h} < \left(\frac{1}{2} + s\right)m.$$

(ii):  $A_{j_1 \dots j_m}(\xi, \dots, \xi)$  vanishes for all suffixes  $j_1, \dots, j_m$  such that

$$0 \leq j_1 \leq r_1, \dots, 0 \leq j_m \leq r_m, \quad \sum_{h=1}^m \frac{j_h}{\tau_h} \leq \left(\frac{1}{2} - s\right) \sum_{h=1}^m \frac{r_h}{\tau_h}.$$

(iii): The following majorants hold,

$$A_{j_1 \dots j_m}(x_1, \dots, x_m) \ll c^{r_1 + \dots + r_m} (1+x_1)^{r_1} \dots (1+x_m)^{r_m},$$

$$A_{j_1 \dots j_m}(x, \dots, x) \ll c^{r_1 + \dots + r_m} (1+x)^{r_1 + \dots + r_m}.$$

We next apply Roth's Lemma of Chapter 5 to the derivatives of  $A(x_1, \dots, x_m)$  at  $x_1 = \kappa_1, \dots, x_m = \kappa_m$ . This lemma is applicable provided that

$$H_1 \geq 2^{\frac{1}{t}} m(m-1)(2m+1) \quad \text{and} \quad c^{mr_1} \leq H_1^{\frac{1}{m} r_1 t}, \quad \text{i.e. } H_1 \geq c^{\frac{1}{t}} m^3.$$

For large  $m$  both conditions are satisfied because

$$H_1 \geq X = e^{\frac{2}{t} m^3}.$$

It follows then from Roth's Lemma that there exist suffixes  $l_1, \dots, l_m$  satisfying

$$0 \leq l_1 \leq r_1, \dots, 0 \leq l_m \leq r_m, \quad \sum_{h=1}^m \frac{l_h}{r_h} \leq 2^{m+1} \frac{1}{t 2^{m-1}},$$

for which the rational number

$$A_{(1)} = A_{l_1 \dots l_m}^{(\kappa_1, \dots, \kappa_m)} = A_{l_1 \dots l_m} \left( \frac{P_1}{Q_1}, \dots, \frac{P_m}{Q_m} \right)$$

is distinct from zero.

Put again

$$\Lambda = \sum_{h=1}^m \frac{l_h}{r_h}.$$

The choice of  $t$  implies now that

$$0 \leq \Lambda \leq 2^{m+1} \left( e^{-m \cdot 2^{m-1}} \right) \frac{1}{2^{m-1}} = 2 \left( \frac{2}{e} \right)^m \leq 1$$

as soon as  $m$  is sufficiently large.

5. From here on the proof runs very similar to that of the case  $d=1$  of the First Approximation Theorem in Chapter 7. The slight change in notation with respect to  $s$  (which corresponds to  $\frac{s}{m}$  in the former proof) does not affect the discussion.

Denote by  $c_5$ ,  $c_6$ , and  $c_7$  three further positive constants that depend on  $\xi$ , but not on  $m$ . Further let  $J^*$  be the set of all systems of  $m$  integers  $(j_1, \dots, j_m)$  such that

$$l_1 \leq j_1 \leq r_1, \dots, l_m \leq j_m \leq r_m, \quad \sum_{h=1}^m \frac{j_h}{\tau_h} > \left( \frac{1}{2} - s \right) \sum_{h=1}^m \frac{r_h}{\tau_h}.$$

Then, just as in §4 of Chapter 7,

$$A_{(1)} = \sum_{(j) \in J^*} A_{j_1 \dots j_m}(\xi, \dots, \xi) \binom{j_1}{l_1} \dots \binom{j_m}{l_m} (\kappa_1 - \xi)^{j_1 - l_1} \dots (\kappa_m - \xi)^{j_m - l_m},$$

and here

$$\sum_{j_1=0}^{r_1} \dots \sum_{j_m=0}^{r_m} |A_{j_1 \dots j_m}(\xi, \dots, \xi)| \binom{j_1}{l_1} \dots \binom{j_m}{l_m} \leq c_5^{mr_1}.$$

Now the  $\tau_h$  were chosen such that

$$\lambda + \mu + \epsilon(H_h) = (\lambda + \mu) \frac{r_h}{\tau_h}, \quad \frac{r_h}{\tau_h} = 1 + \frac{\epsilon(H_h)}{\lambda + \mu} \quad (h = 1, 2, \dots, m).$$

It follows then from the construction of  $H_h$  and  $r_h$  that

$$\max_{(j) \in J^*} |\kappa_1 - \xi|^{j_1 - l_1} \dots |\kappa_m - \xi|^{j_m - l_m} \leq c_1^{mr_1} \max_{(j) \in J^*} \prod_{h=1}^m H_h^{-(j_h - l_h) \{\lambda + \mu + \epsilon(H_h)\}} \leq$$

(this inequality is continued on the following page)

$$\begin{aligned} &\leq c_1^{mr_1} \max_{(j) \in J^*} \prod_{h=1}^m H_h^{-(j_h-1)r_1(\lambda+\mu)} \frac{r_h}{\tau_h} \leq \\ &\leq c_1^{mr_1} \max_{(j) \in J^*} H_1^{-(\lambda+\mu)r_1} \sum_{h=1}^m \frac{j_h-1}{\tau_h} \leq \\ &\leq c_1^{mr_1} H_1^{-(\lambda+\mu)r_1} \left\{ \left( \frac{1}{2} - s \right) \sum_{h=1}^m \frac{r_h}{\tau_h} - \sum_{h=1}^m \frac{l_h}{\tau_h} \right\}. \end{aligned}$$

Here

$$\sum_{h=1}^m \frac{r_h}{\tau_h} = \sum_{h=1}^m \left( 1 + \frac{\epsilon(H_h)}{\lambda+\mu} \right) = m + \frac{\sigma}{\lambda+\mu},$$

and

$$\tau_h \geq \frac{9}{10} r_h > \frac{1}{2} r_h, \quad \text{hence} \quad \sum_{h=1}^m \frac{l_h}{\tau_h} \leq 2 \sum_{h=1}^m \frac{l_h}{r_h} = 2\Lambda.$$

Therefore

$$\max_{(j) \in J^*} |\kappa_1 - \xi|^{j_1-1} \dots |\kappa_m - \xi|^{j_m-1} \leq c_1^{mr_1} H_1^{-(\lambda+\mu)r_1} \left\{ \left( \frac{1}{2} - s \right) \left( m + \frac{\sigma}{\lambda+\mu} \right) - 2\Lambda \right\}$$

and so, finally,

$$(4): \quad |A_{(1)}| \leq (c_1 c_6)^{mr_1} H_1^{-(\lambda+\mu)r_1} \left\{ \left( \frac{1}{2} - s \right) \left( m + \frac{\sigma}{\lambda+\mu} \right) - 2\Lambda \right\}.$$

6. We next express again

$$A_{(1)} = \frac{N_{(1)}}{D_{(1)}}$$

as the quotient of two integers  $N_{(1)} \neq 0$  and  $D_{(1)} \neq 0$  that are relatively prime. The discussion in §§6-7 of Chapter 7, specialised for the case  $d=1$ , may be repeated without any essential change and leads to the inequalities

$$|D_{(1)}| \leq c_6^{mr_1} H_1^{(1-\mu)(1+\theta)r_1} \left\{ \left( \frac{1}{2} + s \right) m - \Lambda \right\} + \mu(1+\theta)r_1 (m - \Lambda)$$

and

$$|N_{(1)}| \geq c_7^{-mr_1} H_1^{(1-\lambda)r_1} \left\{ \left( \frac{1}{2} - s \right) m - \Lambda \right\}.$$

On dividing these, it follows that

$$(5): \quad |A_{(1)}| \geq (c_6 c_7)^{-mr_1} H_1^{E^*r_1}$$

where  $E^*$  denotes the expression

$$E^* = (1-\lambda) \left\{ \left( \frac{1}{2} - s \right) m - \Lambda \right\} - (1-\mu)(1+\theta) \left\{ \left( \frac{1}{2} + s \right) m - \Lambda \right\} - \mu(1+\theta)(m-\Lambda) .$$

7. We finally combine the upper bound (4) for  $|A_{(1)}|$  with the lower bound (5). Then we obtain the inequality

$$(6): \quad H_1^E \leq (c_1 c_5 c_6 c_7)^m ,$$

where the exponent

$$E = (\lambda + \mu) \left\{ \left( \frac{1}{2} - s \right) \left( m + \frac{\sigma}{\lambda + \mu} \right) - 2\Lambda \right\} + E^* ,$$

after a trivial simplification, may be written as

$$E = \left( \frac{1}{2} - s \right) \sigma - \{ 2 + \theta(1-\mu) \} m s - \frac{1+\mu}{2} \theta m - (\lambda + 2\mu - \theta) \Lambda .$$

Now

$$0 \leq \lambda \leq 1, 0 \leq \mu \leq 1, s = \frac{a}{\sqrt{m}}, \sigma \geq 5a\sqrt{m}, 0 < \theta \leq \frac{1}{m}, 0 \leq \Lambda \leq 1,$$

and hence

$$\begin{aligned} E &\geq \left( \frac{1}{2} - \frac{a}{\sqrt{m}} \right) \cdot 5a\sqrt{m} - \left( 2 + \frac{1}{m} \right) m \cdot \frac{a}{\sqrt{m}} - 1 \cdot \frac{1}{m} \cdot m - 3 \times 1 = \\ &= \frac{1}{2} a\sqrt{m} - \left( 5a^2 + \frac{a}{\sqrt{m}} + 1 + 3 \right) > \frac{1}{3} a\sqrt{m}, \end{aligned}$$

as soon as  $m$  is sufficiently large. Therefore (6) implies that

$$H_1 \leq (c_1 c_5 c_6 c_7)^{\frac{3}{a} \sqrt{m}},$$

contrary to the assumption that

$$H_1 \geq X = e^{\frac{2}{t} m^3}$$

when  $m$  is sufficiently large. This proves the assertion.

8. It would not be difficult to extend Theorem 1 to the more general case treated in the First Approximation Theorem. There may even be a corresponding analogue of the Second Approximation Theorem; but a proof of such an analogue would perhaps require new ideas.

At present it does not seem possible to replace the function  $\epsilon(H)$  by any much smaller function of  $H$ . Such an improvement would require a stronger result on the zeros of polynomials in many variables than Roth's Lemma.

9. Two simple deductions from Theorem 1 have some interest in themselves and may therefore be mentioned here.

**Theorem 2:** *Let  $p$  be a prime and  $q$  an integer such that*

$$p > q \geq 2, \text{ hence } (p, q) = 1.$$



Let  $N = \{n^{(1)}, n^{(2)}, n^{(3)}, \dots\}$  be a strictly increasing sequence of positive integers such that

$$\left| \left(\frac{p}{q}\right)^n - g_n \right| \leq \exp\left(-\frac{10n \log p}{\sqrt{\log \log n}}\right) \quad \text{if } n \in N,$$

where  $g_n$  is the integer nearest to  $\left(\frac{p}{q}\right)^n$ . Then

$$\limsup_{k \rightarrow \infty} \frac{n^{(k+1)}}{n^{(k)}} = \infty.$$

**Proof:** For every positive integer  $n$  put

$$P_n = \frac{p^n}{d_n}, \quad Q_n = \frac{g_n}{d_n} \cdot q^n$$

where

$$d_n = (p^n, g_n q^n) = (p^n, g_n).$$

Both  $d_n$  and  $P_n$  are powers of  $p$ ;  $Q_n$  is divisible by  $q^n$  so that

$$n \leq \frac{\log Q_n}{\log q},$$

and it is obvious from

$$\left| \left(\frac{p}{q}\right)^n - g_n \right| \leq \frac{1}{2}$$

that

$$\lim_{n \rightarrow \infty} \frac{P_n}{Q_n} = 1.$$

It follows that there are three positive constants  $\gamma_1, \gamma_2$ , and  $\gamma_3$  such that

$$0 < Q_n \leq \gamma_1 P_n \leq \gamma_1 p^n \quad \text{and hence} \quad n \geq \frac{\log Q_n - \log \gamma_1}{\log p},$$

and

$$|Q_n|_q \leq q^{-n} \leq \gamma_2 Q_n^{\mu-1}, \quad 0 < g_n^{-1} \leq \gamma_3 Q_n^{-\mu}$$

where

$$\mu = \frac{\log\left(\frac{p}{q}\right)}{\log p}, \quad 1 - \mu = \frac{\log q}{\log p}.$$

Here the upper bound for  $g_n^{-1}$  is a consequence of the asymptotic relation

$$g_n \sim \left(\frac{p}{q}\right)^n.$$

The lower bound for  $n$  in terms of  $Q_n$  implies that for all sufficiently large  $n$ ,

$$\frac{10 n \log p}{\sqrt{\log \log n}} \geq \frac{9 \log Q_n}{\sqrt{\log \log \log Q_n}} .$$

From now on let  $n \in \mathbb{N}$ . By the hypothesis,

$$\begin{aligned} \left| \frac{P_n}{Q_n} - 1 \right| &= \frac{1}{\xi_n} \left| \left( \frac{p}{q} \right)^n - \xi_n \right| \leq \frac{1}{\xi_n} \exp \left( - \frac{10 n \log p}{\sqrt{\log \log n}} \right) \leq \\ &\leq \gamma_3 Q_n^{-\mu-9(\log \log \log Q_n)^{-\frac{1}{2}}} . \end{aligned}$$

We apply now Theorem 1, with

$$\xi = 1, f = 1, \lambda = 0, \mu = \frac{\log \left( \frac{p}{q} \right)}{\log p}, g' = p, g'' = q, \kappa^{(k)} = \frac{P_n(k)}{Q_n(k)} .$$

Since

$$5\sqrt{\log(4f)} = 5\sqrt{\log 4} < 9,$$

the theorem gives

$$\limsup_{k \rightarrow \infty} \frac{\log Q_n^{(k+1)}}{\log Q_n^{(k)}} = \infty ,$$

and from this, by

$$\frac{\log Q_n - \log \gamma_1}{\log p} \leq n \leq \frac{\log Q_n}{\log q} ,$$

the assertion follows at once.

10. As a second application we construct a class of transcendental numbers which, in general, are not Liouville numbers.

**Theorem 3:** *Let  $g \geq 2$  be a fixed integer,  $\theta$  a constant such that  $0 < \theta < 1$ ,  $\{\omega_n\}$  an increasing infinite sequence of positive numbers tending to infinity,  $\{\nu_n\}$  a strictly increasing infinite sequence of positive integers satisfying*

$$\nu_1 \geq 3, \quad \nu_{n+1} \geq \nu_n + \left( \frac{\omega_n}{\sqrt{\log \log \nu_n}} \right) \quad (n = 1, 2, 3, \dots),$$

*and  $\{a_n\}$  an infinite sequence of positive integers prime to  $g$  such that*

$$a_{n+1} \leq g^{\theta(\nu_{n+1} - \nu_n)} \quad (n = 1, 2, 3, \dots).$$

*Then the real number*

$$\xi = \sum_{n=1}^{\infty} a_n g^{-\nu_n}$$

*is transcendental.*

**Proof:** Put

$$P_N = g^{\nu_N} \sum_{n=1}^N a_n g^{-\nu_n}, \quad Q_N = g^{\nu_N}, \quad R_N = \sum_{n=N+1}^{\infty} a_n g^{-\nu_n},$$

so that

$$\xi - \frac{P_N}{Q_N} = R_N > 0.$$

The integers  $P_N$  and  $Q_N$  are relatively prime because

$$P_N = a_N + \sum_{n=1}^{N-1} a_n g^{\nu_N - \nu_n} \equiv a_N \pmod{g}$$

is prime to  $g$ .

From the hypothesis,

$$a_{n+1} g^{-\nu_{n+1}} \leq g^{\theta(\nu_{n+1} - \nu_n) - \nu_{n+1}} = g^{-\{(1-\theta)\nu_{n+1} + \theta\nu_n\}}$$

and

$$(1-\theta)\nu_{n+1} + \theta\nu_n \geq (1-\theta)\nu_n \left(1 + \frac{\omega_n}{\sqrt{\log \log \nu_n}}\right) + \theta\nu_n = \nu_n \left(1 + \frac{(1-\theta)\omega_n}{\sqrt{\log \log \nu_n}}\right).$$

Let now  $N$  be sufficiently large. Since  $\omega_n$  increases to infinity with  $n$ , it is obvious that

$$\nu_n \frac{(1-\theta)\omega_n}{\sqrt{\log \log \nu_n}}$$

is an increasing function of  $n$  for  $n \geq N$ . Therefore

$$\begin{aligned} 0 < R_N &= \sum_{n=N}^{\infty} a_{n+1} g^{-\nu_{n+1}} \leq \sum_{n=N}^{\infty} g^{-\nu_n} \left(1 + \frac{(1-\theta)\omega_n}{\sqrt{\log \log \nu_n}}\right) \leq \\ &\leq \sum_{n=N}^{\infty} g^{-\nu_n - \nu_N} \frac{(1-\theta)\omega_N}{\sqrt{\log \log \nu_n}} \leq \\ &\leq g^{-\nu_N} \left(1 + \frac{(1-\theta)\omega_N}{\sqrt{\log \log \nu_N}}\right) \sum_{n=N}^{\infty} g^{-(\nu_n - \nu_N)}. \end{aligned}$$

Further

$$\sum_{n=N}^{\infty} g^{-(\nu_n - \nu_N)} \leq \sum_{n=N}^{\infty} g^{-(n-N)} = \frac{1}{1-g^{-1}} \leq 2$$

because the integers  $\nu_n$  are strictly increasing with  $n$ . Hence

$$\begin{aligned}
 0 < R_N &\leq 2g^{-\nu_N} \left( 1 + \frac{(1-\theta)\omega_N}{\sqrt{\log \log \nu_N}} \right) \leq 2Q_N^{-1} \frac{(1-\theta)\omega_N}{\sqrt{\log \log \frac{\log Q_N}{\log g}}} \\
 &\leq Q_N^{-1} \frac{\frac{1}{2}(1-\theta)\omega_N}{\sqrt{\log \log \log Q_N}}
 \end{aligned}$$

for all sufficiently large  $N$ .

Assume now that the assertion is false and that  $\xi$  is algebraic, say of degree  $f$ . Then Theorem 1 may be applied with

$$\lambda = 1, \mu = 0, g' = g, c_1 = 1, c_2 = 1,$$

while  $g'$  is an arbitrary integer prime to  $g$ . But for large  $N$ ,

$$5\sqrt{\log(4f)} < \frac{1}{2}(1-\theta)\omega_N$$

because  $\omega_N$  tends to infinity. Hence it follows from the theorem that

$$\limsup_{N \rightarrow \infty} \frac{\log Q_{N+1}}{\log Q_N} = \infty,$$

or, what is the same,

$$\limsup_{N \rightarrow \infty} \frac{\nu_{N+1}}{\nu_N} = \infty.$$

There exist then arbitrarily large  $N$  for which

$$\nu_{N+1} \geq \frac{3\nu_N}{1-\theta}.$$

For these  $N$ ,

$$0 < R_N \leq \sum_{n=N}^{\infty} g^{-\{(1-\theta)\nu_{n+1} + \theta\nu_n\}} < \sum_{n=N}^{\infty} g^{-(1-\theta)\nu_{N+1}}$$

and hence

$$0 < R_N < g^{-(1-\theta)\nu_{N+1}} \sum_{n=N}^{\infty} g^{-(1-\theta)(\nu_{n+1} - \nu_{N+1})}.$$

But

$$\sum_{n=N}^{\infty} g^{-(1-\theta)(\nu_{n+1} - \nu_{N+1})} \leq \sum_{n=N}^{\infty} g^{-(1-\theta)(n-N)} = \frac{1}{1-g^{-(1-\theta)}},$$

whence

$$0 < R_N < \frac{g^{-3\nu_N}}{1-g^{-(1-\theta)}} = \text{const. } Q_N^{-3}.$$

However, this inequality contradicts Roth's Theorem, and we obtain the assertion.