

## 28 $\Pi_1^1$ -Reduction

We say that  $A_0, B_0$  reduce  $A, B$  iff

1.  $A_0 \subseteq A$  and  $B_0 \subseteq B$ ,
2.  $A_0 \cup B_0 = A \cup B$ , and
3.  $A_0 \cap B_0 = \emptyset$ .

$\Pi_1^1$ -reduction is the property that every pair of  $\Pi_1^1$  sets can be reduced by a pair of  $\Pi_1^1$  sets. The sets can be either subsets of  $\omega$  or of  $\omega^\omega$ .

**Theorem 28.1**  $\Pi_1^1$ -uniformity implies  $\Pi_1^1$ -reduction.

proof:

Suppose  $A, B \subseteq X$  are  $\Pi_1^1$  where  $X = \omega$  or  $X = \omega^\omega$ . Let  $P = (A \times \{0\}) \cup (B \times \{1\})$ . Then  $P$  is a  $\Pi_1^1$  subset of  $X \times \omega^\omega$  and so by  $\Pi_1^1$ -uniformity (Theorem 22.1) there exists  $Q \subseteq P$  which is  $\Pi_1^1$  and for every  $x \in X$ , if there exists  $i \in \{0, 1\}$  such that  $(x, i) \in P$ , then there exists a unique  $i \in \{0, 1\}$  such that  $(x, i) \in Q$ . Hence, letting

$$A_0 = \{x \in X : (x, 0) \in Q\}$$

and

$$B_0 = \{x \in X : (x, 1) \in Q\}$$

gives a pair of  $\Pi_1^1$  sets which reduce  $A$  and  $B$ .

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There is also a proof of reduction using the prewellordering property, which is a weakening of the scale property used in the proof of  $\Pi_1^1$ -uniformity. So, for example, suppose  $A$  and  $B$  are  $\Pi_1^1$  subsets of  $\omega^\omega$ . Then we know there are maps from  $\omega^\omega$  to trees,

$$x \mapsto T_x^a \text{ and } y \mapsto T_y^b$$

which are “recursive” and

$x \in A$  iff  $T_x^a$  is well-founded and

$y \in B$  iff  $T_y^b$  is well-founded.

Now define

1.  $x \in A_0$  iff  $x \in A$  and not  $(T_x^b \prec T_x^a)$ , and
2.  $x \in B_0$  iff  $x \in B$  and not  $(T_x^a \preceq T_x^b)$ .

Since  $\prec$  and  $\preceq$  are both  $\Sigma_1^1$  it is clear, that  $A_0$  and  $B_0$  are  $\Pi_1^1$  subsets of  $A$  and  $B$  respectively. If  $x \in A$  and  $x \notin B$ , then  $T_x^a$  is well-founded and  $T_x^b$  is ill-founded and so not  $(T_x^b \prec T_x^a)$  and  $x \in A_0$ . Similarly, if  $x \in B$  and  $x \notin A$ , then  $x \in B_0$ . If  $x \in A \cap B$ , then both  $T_x^b$  and  $T_x^a$  are well-founded and either  $T_x^a \preceq T_x^b$ , in which case  $x \in A_0$  and  $x \notin B_0$ , or  $T_x^b \prec T_x^a$ , in which case  $x \in B_0$  and  $x \notin A_0$ .

**Theorem 28.2**  $\Pi_1^1$ -reduction implies  $\Sigma_1^1$ -separation, i.e., for any two disjoint  $\Sigma_1^1$  sets  $A$  and  $B$  there exists a  $\Delta_1^1$ -set  $C$  which separates them. i.e.,  $A \subseteq C$  and  $C \cap B = \emptyset$ .

proof:

Note that  $\sim A \cup \sim B = X$ . If  $A_0$  and  $B_0$  are  $\Pi_1^1$  sets reducing  $\sim A$  and  $\sim B$ , then  $\sim A_0 = B_0$ , so they are both  $\Delta_1^1$ . If we set  $C = B_0$ , then  $C = B_0 = \sim A_0 \subseteq \sim A$  so  $C \subseteq \sim A$  and therefore  $A \subseteq C$ . On the other hand  $C = B_0 \subseteq \sim B$  implies  $C \cap B = \emptyset$ .

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