

18 Constructible well-orderings

Gödel proved the axiom of choice relatively consistent with ZF by producing a definable well-order of the constructible universe. He announced in Gödel [32] that if $V=L$, then there exists an uncountable Π_1^1 set without perfect subsets. Kuratowski wrote down a proof of the theorem below but the manuscript was lost during World War II (see Addison [2]).

A set is Σ_2^1 iff it is the projection of a Π_1^1 set.

Theorem 18.1 [$V=L$] *There exists a Δ_2^1 well-ordering of ω^ω .*

proof:

Recall the definition of Gödel's Constructible sets L . $L_0 = \emptyset$, $L_\lambda = \bigcup_{\alpha < \lambda} L_\alpha$ for λ a limit ordinal, and $L_{\alpha+1}$ is the definable subsets of L_α . Definable means with parameters from L_α . $L = \bigcup_{\alpha \in \text{OR}} L_\alpha$.

The set x is constructed before y , ($x <_c y$) iff the least α such that $x \in L_\alpha$ is less than the least β such that $y \in L_\beta$, or $\alpha = \beta$ and the "least" defining formula for x is less than the one for y . Here "least" basically boils down to lexicographical order. Whatever the exact formulation of $x <_c y$ is it satisfies:

$$x <_c y \text{ iff } L_\alpha \models x <_c y$$

where $x, y \in L_\alpha$ and $L_\alpha \models \text{ZFC}^*$ where ZFC^* is a sufficiently large finite fragment of ZFC. (Actually, it is probably enough for α to be a limit ordinal.) Assuming $V = L$, for $x, y \in \omega^\omega$ we have that $x <_c y$ iff there exists $E \subseteq \omega \times \omega$ and $\overset{\circ}{x}, \overset{\circ}{y} \in \omega$ such that letting $M = (\omega, E)$ then

1. E is extensional and well-founded,
2. $M \models \text{ZFC}^* + V=L$
3. $M \models \overset{\circ}{x} <_c \overset{\circ}{y}$,
4. for all $n, m \in \omega$ ($x(n) = m$ iff $M \models \overset{\circ}{x}(n) = \overset{\circ}{m}$), and
5. for all $n, m \in \omega$ ($y(n) = m$ iff $M \models \overset{\circ}{y}(n) = \overset{\circ}{m}$).

The first clause guarantees (by the Mostowski collapsing lemma) that M is isomorphic to a transitive set. The second, that this transitive set will be of the form L_α . The last two clauses guarantee that the image under the collapse of $\overset{\circ}{x}$ is x and $\overset{\circ}{y}$ is y .

Well-foundedness of E is Π_1^1 . The remaining clauses are all Π_n^0 for some $n \in \omega$. Hence, we have given a Σ_2^1 definition of $<_c$. But a total ordering $<$ which is Σ_n^1 is Δ_n^1 , since $x \not< y$ iff $y = x$ or $y < x$. It follows that $<_c$ is also Π_2^1 and hence Δ_2^1 .

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