

Part 2.¹⁰ Detailed study of elements of Γ with parabolic and elliptic real parts; the general formula for $\zeta_\Gamma(u)$.

Let Γ be a discrete subgroup of $G = G_{\mathbf{R}} \times G_p = PSL_2(\mathbf{R}) \times PSL_2(k_p)$ with finite volume quotient G/Γ and with dense image of projection in each component of G . In the previous part of this chapter, we defined the ζ -function

$$\zeta_\Gamma(u) = \prod_{P \in \rho(\Gamma)} (1 - u^{\deg P})^{-1}$$

for such a group Γ (§6) and carried out its computation under the two assumptions: (a) G/Γ is compact, (b) Γ is torsion-free. (See Theorems 1, 2).

In the following Part 2, we shall drop the above two assumptions (a), (b), and after studying in detail the elements of Γ with parabolic real parts (§25 ~ §28, Theorem 3) and those with elliptic real parts (including in particular the torsion elements of Γ ; §29~ §34, Theorems 4 ~ 6), we shall proceed to *prove a general formula for $\zeta_\Gamma(u)$* by generalizing the previous computations (§35 ~ §38, Theorem 7). The main results are as follows:

1. Let $\gamma \in \Gamma$ be such that $\gamma_{\mathbf{R}}$ is parabolic.¹¹ Let H^0 be the centralizer of γ and let H be the normalizer of H^0 (both considered in Γ). Then (i) $k_p = \mathbf{Q}_p$ holds, (ii) H is conjugate in $G_{\mathbf{R}} \times PL_2(\mathbf{Z}_p)$ to the group

$$(102) \quad B^{(d)} = \left\{ \begin{pmatrix} p^{dk} & b \\ 0 & p^{-dk} \end{pmatrix} \mid k \in \mathbf{Z}, b \in \mathbf{Z}^{(p)} \right\}$$

(where d is a positive integer well-defined by H), and by this, H^0 corresponds to the subgroup $\begin{pmatrix} 1 & \mathbf{Z}^{(p)} \\ 0 & 1 \end{pmatrix}$ of $B^{(d)}$ (Theorem 3, §25). By this theorem we can derive everything we need about such elements γ .

2. Let $\gamma \in \Gamma$ be such that $\gamma_{\mathbf{R}}$ is elliptic.¹² Put $\Gamma^0 = \Gamma \cap (G_{\mathbf{R}} \times V)$ with $V = PSL_2(O_p)$, and for each $l \geq 0$ put $T^l = \Gamma \cap \left\{ G_{\mathbf{R}} \times V \begin{pmatrix} \pi^l & 0 \\ 0 & \pi^{-l} \end{pmatrix} V \right\}$, π being a prime element of k_p .

Then our results here are the following:

(i) we parametrize the set of all Γ^0 -conjugacy classes contained in $\{\gamma\}_\Gamma$ in a nice way as, say,

$$\{\gamma\}_\Gamma = \bigcup_{k, \mu} \{\gamma_{k\mu}\}_{\Gamma^0}; \quad k = 0, 1, 2, \dots; \quad \mu = 1, \dots, n_k;$$

¹⁰The author regrets that, despite his promise, he has failed to give a computation of L -functions $L_\Gamma(u, \chi)$ here. The reason is that when χ is not a real character, his definition of $L_\Gamma(u, \chi)$ was not adequate, and it still remains for him to find its best definition.

¹¹An element $x \in G_{\mathbf{R}}$ is called parabolic if its eigenvalues are $\pm(1, 1)$ and $x \neq 1$.

¹²An element $x \in G_{\mathbf{R}}$ is called elliptic if its eigenvalues are imaginary.

(ii) for each $\{\gamma_{k\mu}\}_{\Gamma^0}$, we express its length $l(\gamma_{k\mu})$ (i.e., the number l for which $\gamma_{k\mu} \in T^l$) by means of its major parameter k and by some invariants of $\{\gamma\}_{\Gamma}$ (such as the order of the centralizer of γ in Γ , or $\deg\{\gamma\}_{\Gamma}$ when it is defined, etc.).

As a corollary, we shall compute the following quantity $A_l\{\gamma\}_{\Gamma}$ for each $l \geq 1$, which are used later in the computation of $\zeta_{\Gamma}(u)$:

$$(118) \quad A_l\{\gamma\}_{\Gamma} = \sum_{k,\mu} e\{\gamma_{k\mu}\}_{\Gamma^0}^{-1},$$

where the summation is over all k, μ with $l(\gamma_{k\mu}) = l$, and $e\{\gamma_{k\mu}\}_{\Gamma^0}$ denotes the order of the group $\Gamma^0 \cap \Gamma_{\gamma_{k\mu}}$, $\Gamma_{\gamma_{k\mu}}$ being the centralizer of $\gamma_{k\mu}$ in Γ . These are given in Theorems 4, 5, 6 (and their corollaries), separated according to the difference in the types of $\{\gamma\}_{\Gamma}$. Namely, in Theorem 4 (§30), we deal with the case where the centralizer Γ_{γ} of γ is infinite, and in Theorem 5 (§31) (resp. Theorem 6 (§32)), we deal with the cases where Γ_{γ} is finite and the quadratic extension $k_p(\gamma_p)$ of k_p is ramified (resp. unramified). We note here that the corollary of Theorem 4 generalizes Lemma 3 (§13, Part 1) with a much simpler proof; hence eliminates previous complicated and tedious sections (§18, §19) needed for the proof of Lemma 3. On the other hand, the proofs of Theorems 5, 6 are again complicated, chiefly because of the p -power torsions of Γ_{γ} , where $p|p$.

3. The formula for $\zeta_{\Gamma}(u)$ in the general cases is given in Theorem 7 (§35). It reads as:

$$(169) \quad \zeta_{\Gamma}(u) \times \prod_{P \in \wp_{\infty}(\Gamma)} (1 - u^{\deg P})^{-1} = \frac{P(u)(1 + qu)^{g'-g}}{(1-u)(1-q^2u)} \times (1-u)^H,$$

where $\wp_{\infty}(\Gamma)$ is a certain finite set defined from parabolic elements of $\Gamma_{\mathbf{R}}$, g is the genus of $\Gamma_{\mathbf{R}}^0$,

$$P(u) = \prod_{i=1}^g (1 - \pi_i u)(1 - \pi'_i u) \in \mathbf{Z}[u]$$

with some equalities and inequalities between π_i, π'_i and q , and H is a *positive* integer given explicitly. This number H is proportional to the volume of G/Γ if Γ has no second-type torsions (i.e., if for every $\gamma \in \Gamma$ its centralizer Γ_{γ} is infinite). For some examples of Γ , H is equal to the class number of some definite quaternion algebra (see §38). Finally g' is the genus of a certain fuchsian group "twisted" from $\Gamma_{\mathbf{R}}^0$. We have $g' = g$ if Γ has no second type torsions, and conjecturally, always so.

Study of elements of Γ with parabolic real parts.

§25. Let Γ be a discrete subgroup of $G = G_{\mathbf{R}} \times G_p$ such that $\Gamma_{\mathbf{R}}, \Gamma_p$ are dense in $G_{\mathbf{R}}, G_p$ respectively and that the quotient G/Γ has finite invariant volume. Let p be the characteristic of the residue class field of k_p . We shall study here those elements $\varepsilon \in \Gamma$ for which $\varepsilon_{\mathbf{R}} \in G_{\mathbf{R}} = PSL_2(\mathbf{R})$ is parabolic. For each such ε , denote by z the fixed point

($\in \mathbf{R} \cup \{i\infty\}$) of $\varepsilon_{\mathbf{R}}$ and define the two groups H^0, H by

$$(99) \quad H^0 = \{\gamma \in \Gamma \mid \gamma_{\mathbf{R}}z = z, \gamma_{\mathbf{R}} : \text{parabolic}\} \cup \{1\}$$

= the centralizer of ε in Γ ,

$$(100) \quad H = \{\gamma \in \Gamma \mid \gamma_{\mathbf{R}}z = z\} = \text{the normalizer of } H^0 \text{ in } \Gamma.$$

Now our main result here is the following theorem:

THEOREM 3. *Let $\varepsilon \in \Gamma$ be such that $\varepsilon_{\mathbf{R}}$ is parabolic. Then (i) $k_p = \mathbf{Q}_p$, (ii) H^0, H being as above, there is a positive integer d and an element $t \in G_{\mathbf{R}} \times PL_2(\mathbf{Z}_p)$ such that*

$$(101) \quad H = t^{-1} B^{(d)} t,$$

where

$$(102) \quad B^{(d)} = \left\{ \begin{pmatrix} p^{dk} & b \\ 0 & p^{-dk} \end{pmatrix} \mid k \in \mathbf{Z}, b \in \mathbf{Z}^{(p)} \right\}$$

(considered as a subgroup of G by the diagonal embedding). In particular, it follows that

$$(103) \quad H^0 = t^{-1} \begin{pmatrix} 1 & \mathbf{Z}^{(p)} \\ 0 & 1 \end{pmatrix} t.$$

Before proving this, we shall give some of its immediate corollaries.

COROLLARY 1. *G/Γ is non-compact if and only if $\Gamma_{\mathbf{R}}$ contains a parabolic element, and in this case $k_p = \mathbf{Q}_p$.*

PROOF. In fact, let V be any open compact subgroup of G_p and put $\Gamma^V = \Gamma \cap (G_{\mathbf{R}} \times V)$. Then $\Gamma_{\mathbf{R}}^V$ is a fuchsian group, and G/Γ is compact if and only if $G_{\mathbf{R}}/\Gamma_{\mathbf{R}}^V$ is so (Prop.2, §2). Hence, if G/Γ is non-compact, $\Gamma_{\mathbf{R}}^V$ contains a parabolic element. Conversely, if Γ contains ε for which $\varepsilon_{\mathbf{R}}$ is parabolic, we see immediately by Theorem 3 that $\varepsilon^{p^N} \in G_{\mathbf{R}} \times V$ for some $N > 0$; hence $\varepsilon_{\mathbf{R}}^{p^N} \in \Gamma_{\mathbf{R}}^V$. But then $G_{\mathbf{R}}/\Gamma_{\mathbf{R}}^V$ is non-compact; hence G/Γ is non-compact. That $k_p = \mathbf{Q}_p$ is contained in Theorem 3. \square

COROLLARY 2.¹³ *If $\varepsilon \in \Gamma$ is such that $\varepsilon_{\mathbf{R}}$ is parabolic, there is a positive integer m and an element $\delta \in \Gamma$ such that $\delta^{-1} \varepsilon \delta = \varepsilon^{p^m}$.*

PROOF. This follows immediately from Theorem 3. \square

COROLLARY 3. *The notations being as in Theorem 3, let Γ' be any subgroup of Γ of finite index, and put $H^{0'} = H^0 \cap \Gamma'$. Then the group index $(H^0 : H^{0'})$ is not divisible by p .*

PROOF. By Theorem 3, $H^0 \cong \mathbf{Z}^{(p)}$; hence $(H^0 : H^{0'})$ cannot be divisible by p . \square

¹³This fact will be used in the proof of the Theorem given in Supplement §6.

§26. The definition of $\varphi_\infty(\Gamma)$. A point $z \in \mathbf{R} \cup \{i\infty\}$ is called a *cusps* of Γ if there is some $\varepsilon \in \Gamma$ such that $\varepsilon_{\mathbf{R}}$ is parabolic and $\varepsilon_{\mathbf{R}}z = z$. Two cusps z, z' will be called (Γ -)equivalent if there is some $\gamma \in \Gamma$ such that $\gamma_{\mathbf{R}}z = z'$. By

$$(104) \quad \varphi_\infty(\Gamma)$$

we shall denote the set of all Γ -equivalence classes of all cusps of Γ . For each $P \in \varphi_\infty(\Gamma)$, we shall define its *degree*, $\deg P$, as follows. Let z be a cusp representing P , and let H be the group defined by (100), for this z . Then by Theorem 3, we have $H = t^{-1}B^{(d)}t$ for some t and some positive integer d . It is clear that this integer d is well-defined by P , which we shall call the degree of P . Thus $\deg P$ is always a positive integer.

On the other hand, put $G_p = PSL_2(\mathbf{Q}_p)$, $V = PSL_2(\mathbf{Z}_p)$, let x be any element of $G'_p = PL_2(\mathbf{Q}_p)$, and put $\Delta = \Gamma \cap (G_{\mathbf{R}} \times x^{-1}Vx)$. Then $\Delta_{\mathbf{R}}$ is a fuchsian group, and by Theorem 3, a point $z \in \mathbf{R} \cup \{i\infty\}$ is a cusp of Γ if and only if it is a cusp of $\Delta_{\mathbf{R}}$ (see the proof of Corollary 1). Since the number of $\Delta_{\mathbf{R}}$ -equivalence classes of cusps of $\Delta_{\mathbf{R}}$ is finite, the set $\varphi_\infty(\Gamma)$ is a priori finite, and each $P \in \varphi_\infty(\Gamma)$ consists of finitely many $\Delta_{\mathbf{R}}$ -equivalence classes. We shall prove:

PROPOSITION 7. *The set $\varphi_\infty(\Gamma)$ is finite, and each $P \in \varphi_\infty(\Gamma)$ consists of exactly $\deg P$ distinct $\Delta_{\mathbf{R}}$ -equivalence classes.*

PROOF. The first assertion is already proved above. To prove the second assertion, let z be a cusp representing P , put $d = \deg P$, and let H be the group (100) defined for this z . Then $\Delta_{\mathbf{R}}$ -equivalence classes contained in P are in one-to-one correspondence with the double coset $\Delta \backslash \Gamma / H$; hence it is enough to prove $|\Delta \backslash \Gamma / H| = d$; or equivalently $|x^{-1}Vx \backslash G_p / H_p| = d$. But by Theorem 3, $H_p = t_p^{-1}B^{(d)}t_p$ with $t_p \in PL_2(\mathbf{Z}_p)$; hence $|x^{-1}Vx \backslash G_p / H_p| = |V \backslash G_p / y^{-1}B^{(d)}y|$ with $y = t_p x^{-1}$. Thus our proposition is reduced to the following lemma: \square

LEMMA 12. *Let d be a positive integer, and put*

$$B^{(d)} = \left\{ \begin{pmatrix} p^{dk} & b \\ 0 & p^{-dk} \end{pmatrix} \mid k \in \mathbf{Z}, b \in \mathbf{Z}^{(p)} \right\}.$$

Put $G_p = PSL_2(\mathbf{Q}_p)$, $V = PSL_2(\mathbf{Z}_p)$, and let y be any element of $G'_p = PL_2(\mathbf{Q}_p)$. Then

$$(105) \quad |V \backslash G_p / y^{-1}B^{(d)}y| = d.$$

PROOF. Let $\bar{B}^{(d)}$ be the closure of $B^{(d)}$ in G_p , so that

$$\bar{B}^{(d)} = \left\{ \begin{pmatrix} p^{dk} & b \\ 0 & p^{-dk} \end{pmatrix} \mid k \in \mathbf{Z}, b \in \mathbf{Q}_p \right\}.$$

Put $\bar{B} = \bar{B}^{(1)}$. We shall first check $G_p = V \cdot y^{-1}\bar{B}y$. It is well-known that $G_p = V \cdot \bar{B}$ and $G'_p = V' \cdot \bar{B}'$, where $V' = PL_2(\mathbf{Z}_p)$ and \bar{B}' is the upper triangular subgroup of G'_p . Put $y^{-1} = v'b'$ with $v' \in V'$, $b' \in \bar{B}'$. Then

$$G_p = V \cdot \bar{B} = v'V\bar{B}v'^{-1} = Vv'b'\bar{B}b'^{-1}v'^{-1} = Vy^{-1}\bar{B}y.$$

Since \bar{B} is the closure of $B^{(1)}$ and V is open, we obtain $G_p = V \cdot y^{-1}B^{(1)}y$. Therefore by $(B^{(1)} : B^{(d)}) = d$, we obtain $|V \backslash G_p / y^{-1}B^{(d)}y| \leq d$.

To prove the opposite inequality, put $\pi = \begin{pmatrix} p & 0 \\ 0 & p^{-1} \end{pmatrix}$, and suppose that $\pi^j \in (yVy^{-1})\pi^i B^{(d)}$, with $i, j \in \mathbf{Z}$. Put $\pi^j = yvy^{-1}\pi^i b$, with $v \in V$, $b \in B^{(d)}$. Then we obtain $yvy^{-1} = \pi^j b^{-1} \pi^{-i}$; hence by comparing the eigenvalues of both sides, we obtain $i \equiv j \pmod{d}$. Therefore, $1, \pi, \dots, \pi^{d-1}$ belong to the distinct $yVy^{-1} \backslash G_p / B^{(d)}$ double cosets. Therefore, $|V \backslash G_p / y^{-1} B^{(d)} y| = |yVy^{-1} \backslash G_p / B^{(d)}| \geq d$; hence the proof is completed. \square

COROLLARY (of Proposition 7). *Let $\Delta = \Gamma \cap (G_{\mathbf{R}} \times x^{-1} V x)$, with $x \in PL_2(\mathbf{Q}_p)$, $V = PSL_2(\mathbf{Z}_p)$. Then the number of $\Delta_{\mathbf{R}}$ -equivalence classes of cusps of $\Delta_{\mathbf{R}}$ is given by $\sum_{P \in \varphi_{\infty}(\Gamma)} \deg P$. In particular, this number is independent of x .*

REMARK. This second assertion is non-trivial because of the following circumstance. Put $\Delta^{(x)} = \Gamma \cap (G_{\mathbf{R}} \times x^{-1} V x)$. Then $\Delta_{\mathbf{R}}^{(x)}$ and $\Delta_{\mathbf{R}}^{(x')}$ are conjugate in $\Gamma_{\mathbf{R}}$ (and hence in $G_{\mathbf{R}}$) provided $x^{-1} x' \in PSL_2(\mathbf{Q}_p) \cdot PL_2(\mathbf{Z}_p)$, but in general, they are not conjugate in $G_{\mathbf{R}}$. So, it does not follow trivially that they have the equal number of non-equivalent cusps.

These facts are used later in the computation of $\zeta_{\Gamma}(u)$.

§27. Lemmas for the proof of Theorem 3. The following Lemma 13 is for the proof of Lemma 14.

LEMMA 13. *Let Δ be a fuchsian group and let $\delta = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$ run over all elements of Δ .*

Then the following two conditions are equivalent:

- (i) $(0, 0)$ is not an accumulating point of (c, d) ;
- (ii) Δ contains a translation.

REMARK. In the following, we need only the implication (i) \Rightarrow (ii), and this proof is the less easier; so here we shall prove only this, and leave the other (which is easier and rather well-known) to the readers.

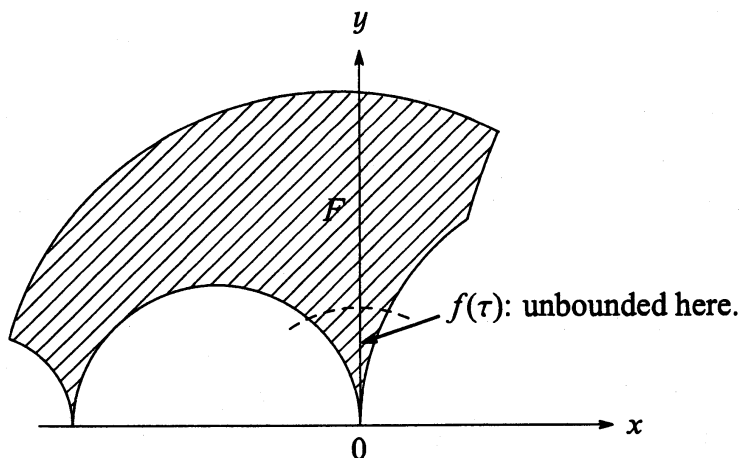
PROOF OF (i) \Rightarrow (ii). Let \mathfrak{H} be the complex upper half plane, let $\tau \in \mathfrak{H}$, and put $f_0(\tau) = \inf_{\delta} |c\tau + d|^2$. Then $|c\tau + d|^2 > \varepsilon_{\tau}(c^2 + d^2)$, where ε_{τ} is a positive number depending only on τ and not on c, d ; hence (i) implies $f_0(\tau) > 0$. Since $\text{Im}(\delta\tau) = \frac{\text{Im}(\tau)}{|c\tau + d|^2}$, we have $f(\tau) = \sup_{\delta} \text{Im}(\delta\tau) = \frac{\text{Im}(\tau)}{f_0(\tau)} < \infty$. Moreover, $f(\tau)$ is a continuous function of τ . In fact, since $f(\tau)$ is the supremum of the continuous functions $\text{Im}(\delta\tau)$, it is lower semi-continuous. On the other hand, if δ runs over Δ and τ runs over any compact subset K of \mathfrak{H} , then $|c\tau + d|^2$ has a positive lower bound (by (i)); hence $\text{Im}(\delta\tau)$ has a finite upper bound. But then there is a positive constant C such that if $d(\tau, \tau_1)$ is the geodesic distance of τ, τ_1 by an invariant metric of \mathfrak{H} , we have

$$|\text{Im}(\delta\tau_1) - \text{Im}(\delta\tau)| \leq C \cdot d(\delta\tau_1, \delta\tau) = C \cdot d(\tau_1, \tau)$$

for all $\delta \in \Delta$ and $\tau, \tau_1 \in K$ (recall that an invariant metric of \mathfrak{H} is given by $ds^2 = \frac{dx^2 + dy^2}{y^2}$ for $x + yi \in \mathfrak{H}$). Therefore, the functions $\text{Im}(\delta\tau)$ ($\delta \in \Delta$) are equicontinuous on K ; hence $f(\tau)$ is also upper semi-continuous. Therefore, $f(\tau)$ is a continuous function which is

obviously Δ -invariant. On the other hand, since $f(\tau) \geq \text{Im}(\tau)$, $f(\tau)$ is unbounded (on \mathfrak{H}). Thus we conclude first, that the quotient \mathfrak{H}/Δ cannot be compact.

Now let F be a fundamental domain of Δ . Then since $f(\tau)$ is unbounded in F and is bounded in any compact subset of F , we conclude that F has a cusp: $\tau = \alpha \in \mathbf{R} \cup \{i\infty\}$ at which $f(\tau)$ is unbounded. Now if $\alpha = i\infty$, there is nothing more to be proved. So let us assume $\alpha \in \mathbf{R}$ and prove that α is Δ -equivalent to $i\infty$. We may also assume without loss of generality, that $\alpha = 0$ (since we may conjugate Δ by a translation, if necessary).



Now put $\inf_{\delta} (c^2 + d^2) = m (> 0 \text{ by (i)})$, and let $\tau = x + yi \in F$ with $|x| \leq |y|$. Then

$$\begin{aligned} |c\tau + d|^2 &= (cx + d)^2 + c^2y^2 = (x^2 + y^2)c^2 + 2cdx + d^2 \\ &= (x^2 + y^2)\left(c + \frac{x}{x^2 + y^2}d\right)^2 + \frac{y^2d^2}{x^2 + y^2} \geq \frac{y^2d^2}{x^2 + y^2} \geq \frac{d^2}{2}. \end{aligned}$$

Hence $f_0(\tau) \geq \frac{1}{2} \inf_{\delta} (d^2)$. But since $f(\tau) = \frac{\text{Im}(\tau)}{f_0(\tau)}$ is unbounded in this region (near $\tau = 0$ in F), we obtain

$$(106) \quad \inf_{\delta} |d| = 0.$$

Now suppose that there is no $\delta \in \Delta$ with $d = 0$. Then by (106) there must be a sequence $\{\delta_n\}_{n=1}^{\infty}$ in Δ with $\delta_n = \begin{pmatrix} a_n & b_n \\ c_n & d_n \end{pmatrix}$, $d_n \neq 0$, and $\lim_{n \rightarrow \infty} d_n = 0$. On the other

hand since $\tau = 0$ is a cusp, Δ contains an element $\delta_0 = \begin{pmatrix} 1 & 0 \\ r & 1 \end{pmatrix}$ with some $r > 0$. Put

$\delta_n^{(k)} = \delta_n \delta_0^k = \begin{pmatrix} a_n^{(k)} & b_n^{(k)} \\ c_n^{(k)} & d_n^{(k)} \end{pmatrix}$ for each $k \in \mathbf{Z}$; so that $c_n^{(k)} = c_n + d_n r k$, $d_n^{(k)} = d_n$. Now for each n ,

choose $k = k_n$ so that $|c_n^{(k_n)}| < |d_n| r$, and put $\delta'_n = \delta_n^{(k_n)} = \begin{pmatrix} a'_n & b'_n \\ c'_n & d'_n \end{pmatrix}$. Then $d'_n = d_n$, $|c'_n| < |d_n| r$. But then $\lim_{n \rightarrow \infty} c'_n = \lim_{n \rightarrow \infty} d'_n = 0$, which is a contradiction to (i). Therefore, by (106)

there must be some $\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Delta$ with $d = 0$. But then $\gamma(0) = i\infty$; hence $i\infty$ is a cusp

of Δ ; hence Δ contains a translation (namely, $\gamma \delta_0 \gamma^{-1} = \begin{pmatrix} 1 & -b^2 r \\ 0 & 1 \end{pmatrix}$). \square

LEMMA 14. Let Δ be a fuchsian group and let $\gamma \in G_{\mathbf{R}}$ be a parabolic element such that $\gamma^{-1}\Delta\gamma \sim \Delta$ (commensurable). Then the fixed point of γ (on $\mathbf{R} \cup \{i\infty\}$) is a cusp of Δ .

PROOF. We may assume without loss of generality that $\gamma = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$, so that the fixed point of γ is $i\infty$. Suppose on the contrary that $i\infty$ is not a cusp of Δ , or equivalently that Δ contains no translation. Then by Lemma 13 applied for the fuchsian group $\Delta \cap \gamma^{-1}\Delta\gamma$, we can find a sequence $\{\xi_n\}_{n=1}^{\infty}$ in $\Delta \cap \gamma^{-1}\Delta\gamma$ such that $\lim_{n \rightarrow \infty} c_n = \lim_{n \rightarrow \infty} d_n = 0$, where $\xi_n = \begin{pmatrix} a_n & b_n \\ c_n & d_n \end{pmatrix}$. Put $\eta_n = \xi_n^{-1}\gamma\xi_n\gamma^{-1} = \begin{pmatrix} 1 + c_n d_n & d_n^2 - c_n d_n - 1 \\ -c_n^2 & c_n^2 - c_n d_n + 1 \end{pmatrix}$. Then $\eta_n \in \Delta$, and $\lim_{n \rightarrow \infty} \eta_n = \begin{pmatrix} 1 & -1 \\ 0 & 1 \end{pmatrix}$. But since Δ is discrete, this implies $\eta_n = \begin{pmatrix} 1 & -1 \\ 0 & 1 \end{pmatrix} = \gamma^{-1}$ for all sufficiently large n . But this is impossible since this would imply $\xi_n^{-1}\gamma\xi_n\gamma^{-1} = \gamma^{-1}$ (for such n); hence $\gamma = 1$, which is a contradiction. Therefore, $i\infty$ must be a cusp of Δ . \square

The following two lemmas are also needed for the proof of Theorem 3.

LEMMA 15. The quotient G_p/A_p by an abelian closed subgroup A_p is non-compact.

PROOF. If $A_p = \{1\}$, our assertion is trivial; so assume $A_p \neq \{1\}$. Let $1 \neq x \in A_p$ and let T_p be the centralizer of x in G_p , so that $A_p \subset T_p$. Then T_p is conjugate in $PL_2(k_p)$ to either (i) the diagonal subgroup of G_p , or (ii) a compact torus in G_p , or (iii) the group $\begin{pmatrix} 1 & k_p \\ 0 & 1 \end{pmatrix}$. But in any case, it can be checked easily that G_p/T_p is non-compact. Therefore, G_p/A_p is non-compact. \square

LEMMA 16. Consider the group

$$(107) \quad \mathcal{B}_p = \left\{ \begin{pmatrix} a & b \\ 0 & a^{-1} \end{pmatrix} \mid a \in \mathbf{Q}_p^\times, b \in \mathbf{Q}_p \right\} / \pm 1$$

as a subgroup of $G_p = PSL_2(k_p)$. Then if $k_p \neq \mathbf{Q}_p$, G_p/\mathcal{B}_p is non-compact.

PROOF. Put

$$\mathcal{B}_p = \left\{ \begin{pmatrix} \alpha & \beta \\ 0 & \alpha^{-1} \end{pmatrix} \mid \alpha \in k_p^\times, \beta \in k_p \right\} / \pm 1.$$

It is enough to show that if $k_p \neq \mathbf{Q}_p$, $\mathcal{B}_p/\mathcal{B}_p$ is non-compact. Put $V_p = \mathcal{B}_p \cap PSL_2(\mathcal{O}_p)$. Then since V_p is an open compact subgroup of \mathcal{B}_p , $\mathcal{B}_p/\mathcal{B}_p$ is non-compact if and only if $V_p \backslash \mathcal{B}_p/\mathcal{B}_p$ is infinite. We shall show that $|V_p \backslash \mathcal{B}_p/\mathcal{B}_p| = \infty$ if $k_p \neq \mathbf{Q}_p$. Let $k_p \neq \mathbf{Q}_p$ and let e resp. f be the ramification index resp. the relative degree of the extension k_p/\mathbf{Q}_p , so that by $ef = [k_p : \mathbf{Q}_p] > 1$, either $e > 1$ or $f > 1$. If $e > 1$, let π be a prime element of k_p and put $\omega_i = \pi^{-(ie+1)}$ ($i \geq 1$); if $e = 1$ and $f > 1$, let ω be any element of \mathcal{O}_p outside $\mathfrak{p} + \mathbf{Z}_p$, and put $\omega_i = \omega p^{-i}$ ($i \geq 1$). Then in either case the series ω_i ($i \geq 1$) has the property: if $\omega_i - u\omega_j \in \mathcal{O}_p + \mathbf{Q}_p$ for some $u \in U_p$, then $i = j$. From this follows immediately that the elements $\begin{pmatrix} 1 & \omega_i \\ 0 & 1 \end{pmatrix}$ ($i \geq 1$) belong to the different double cosets $V_p \backslash \mathcal{B}_p/\mathcal{B}_p$; hence $|V_p \backslash \mathcal{B}_p/\mathcal{B}_p| = \infty$. \square

§28. Proof of Theorem 3. The notations being as in §25, let $G_{\mathbf{R},z}$ be the parabolic stabilizer of z in $G_{\mathbf{R}}$. Then $G_{\mathbf{R},z} \cong \mathbf{R}$ and $H^0 = \{\gamma \in \Gamma \mid \gamma_{\mathbf{R}} \in G_{\mathbf{R},z}\}$; hence H^0 is abelian, and if ξ is any element of H^0 with $\xi \neq 1$, then H^0 is the centralizer of ξ in Γ . We shall prove

$$(108) \quad (H : H^0) = \infty.$$

For this purpose, let V be an open compact subgroup of G_p and put $\Gamma^V = \Gamma \cap (G_{\mathbf{R}} \times V)$. Then by Lemma 14 applied to $\Delta = \Gamma_{\mathbf{R}}^V$ and $\gamma = \varepsilon_{\mathbf{R}}$, we conclude that z is a cusp of $\Gamma_{\mathbf{R}}^V$; hence for any $\gamma \in \Gamma$, $\gamma_{\mathbf{R}}z$ is also a cusp of $\Gamma_{\mathbf{R}}^V$. But since there are only finitely many non-equivalent cusps of $\Gamma_{\mathbf{R}}^V$, we have

$$(109) \quad |\Gamma^V \backslash \Gamma / H| < \infty.$$

Suppose that, contrary to (108), we had $(H : H^0) < \infty$. Then by (109), $|\Gamma^V \backslash \Gamma / H^0| < \infty$; hence $|V \backslash G_p / H^0| < \infty$. Let A_p be the closure of H_p^0 in G_p . Then A_p is abelian and $|V \backslash G_p / A_p| < \infty$; hence G_p / A_p is compact. But this is impossible by Lemma 15. Therefore $(H : H^0) = \infty$.

Now put $H^{0V} = H^0 \cap \Gamma^V = H^0 \cap (G_{\mathbf{R}} \times V)$. Since z is a cusp of $\Gamma_{\mathbf{R}}^V$, and $H_{\mathbf{R}}^{0V}$ is the (parabolic) stabilizer group of z in $\Gamma_{\mathbf{R}}^V$, we have $H^{0V} \cong \mathbf{Z}$. Let ξ be a generator of H^{0V} . Then the centralizer of ξ in Γ is H^0 , and hence by (108) there is an element $\delta \in H$ such that $\delta^{-1}\xi\delta \neq \xi^{\pm 1}$. But since $\delta^{-1}\xi\delta \in H^0 \cap (G_{\mathbf{R}} \times \delta_p^{-1}V\delta_p)$, there is a positive integer m such that $\delta^{-1}\xi^m\delta \in H^{0V}$. Put $\delta^{-1}\xi^m\delta = \xi^n$ ($n \in \mathbf{Z}$). Now since $H_{\mathbf{R}}^0 \subset G_{\mathbf{R},z} \cong \mathbf{R}$, H^0 can be considered as a subgroup of \mathbf{R} , and in this sense we have $\delta^{-1}\xi\delta = \xi^{n/m}$; hence $m \neq \pm n$. Therefore, $\delta_p^{-1}\xi_p^m\delta_p = \xi_p^n$ with $m \neq \pm n$; $m, n \neq 0$. Let $\pm\{\lambda_p, \lambda_p^{-1}\}$ be the eigenvalues of ξ_p . Then $\pm\lambda_p^{\pm m} = \pm\lambda_p^{\pm n}$; hence λ_p is a root of unity. But since ξ is of infinite order, we conclude $\lambda_p = \pm 1$; hence there is an element $t_p \in PL_2(k_p)$ such that $t_p^{-1}\xi_p t_p = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ (up to the sign). But H_p^0 centralizes ξ_p ; hence $t_p^{-1}H_p^0 t_p \subset \begin{pmatrix} 1 & k_p \\ 0 & 1 \end{pmatrix}$. Since $\begin{pmatrix} 1 & b \\ 0 & 1 \end{pmatrix} \in t_p^{-1}V t_p$ if b is sufficiently near 0, we conclude that for any $\gamma \in H^0$ there is a positive integer m such that $\gamma^{p^m} \in H^{0V}$. But since H^{0V} is generated by ξ , we see now that

$$(110) \quad t_p^{-1}H_p^0 t_p \subset \left\{ \begin{pmatrix} 1 & b \\ 0 & 1 \end{pmatrix} \mid b \in \mathbf{Z}^{(p)} \right\}.$$

But since the centralizer of H^0 in H is H^0 itself, H/H^0 acts effectively on H^0 , and by $(H : H^0) = \infty$, the automorphism group of H^0 is infinite. In particular, $H^0 \neq \mathbf{Z}$. But this and (110) show at once that the two groups in (110) must be equal;

$$(111) \quad t_p^{-1}H_p^0 t_p = \left\{ \begin{pmatrix} 1 & b \\ 0 & 1 \end{pmatrix} \mid b \in \mathbf{Z}^{(p)} \right\}.$$

Therefore $t_p^{-1}H_p t_p$ normalizes $\begin{pmatrix} 1 & \mathbf{Z}^{(p)} \\ 0 & 1 \end{pmatrix}$, and on the other hand, the centralizer of $\begin{pmatrix} 1 & \mathbf{Z}^{(p)} \\ 0 & 1 \end{pmatrix}$ in $t_p^{-1}H_p t_p$ coincides with $\begin{pmatrix} 1 & \mathbf{Z}^{(p)} \\ 0 & 1 \end{pmatrix}$. From this follows immediately that $t_p^{-1}H_p t_p$ is generated by $\begin{pmatrix} 1 & \mathbf{Z}^{(p)} \\ 0 & 1 \end{pmatrix}$ and an element of the form $\begin{pmatrix} p^d & \beta \\ 0 & p^{-d} \end{pmatrix}$, with some positive integer d and some $\beta \in k_p$. Now replace t_p by $t_p \begin{pmatrix} 1 & c \\ 0 & 1 \end{pmatrix}$, with $c = \frac{-\beta}{p^d - p^{-d}}$. Then (110) remains valid, and $t_p^{-1}H_p t_p$ is generated by $\begin{pmatrix} 1 & \mathbf{Z}^{(p)} \\ 0 & 1 \end{pmatrix}$ and $\begin{pmatrix} p^d & 0 \\ 0 & p^{-d} \end{pmatrix}$; hence

$$(112) \quad t_p^{-1}H_p t_p = \left\{ \begin{pmatrix} p^{dk} & b \\ 0 & p^{-dk} \end{pmatrix} \mid k \in \mathbf{Z}, b \in \mathbf{Z}^{(p)} \right\}.$$

On the other hand, since $\xi_{\mathbf{R}}$ is parabolic, there is an element $t_{\mathbf{R}} \in G_{\mathbf{R}}$ such that $t_{\mathbf{R}}^{-1}\xi_{\mathbf{R}}t_{\mathbf{R}} = \begin{pmatrix} 1 & \pm 1 \\ 0 & 1 \end{pmatrix}$. By taking ξ^{-1} instead of ξ if necessary, we may assume that $t_{\mathbf{R}}^{-1}\xi_{\mathbf{R}}t_{\mathbf{R}} = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$. Let γ be any element of H^0 and put $t_p^{-1}\gamma t_p = \pm \begin{pmatrix} 1 & b \\ 0 & 1 \end{pmatrix}$ with $b \in \mathbf{Z}^{(p)}$. Then $t_{\mathbf{R}}^{-1}\gamma t_{\mathbf{R}} = \pm \begin{pmatrix} 1 & b \\ 0 & 1 \end{pmatrix}$. In fact, since H^0 is abelian, $t_{\mathbf{R}}^{-1}\gamma t_{\mathbf{R}}$ commutes with $t_{\mathbf{R}}^{-1}\xi_{\mathbf{R}}t_{\mathbf{R}} = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$, and hence is of the form $\begin{pmatrix} 1 & b' \\ 0 & 1 \end{pmatrix}$ ($b' \in \mathbf{R}$). But since $\gamma_p^{p^m} = \xi_p^{b'}$, where $b = b'/p^m$ ($b' \in \mathbf{Z}$, $m > 0$), we get the same relation on the real part; hence $b' = b$. Therefore,

$$H^0 = \left\{ t\delta t^{-1} \mid \delta \in \begin{pmatrix} 1 & \mathbf{Z}^{(p)} \\ 0 & 1 \end{pmatrix} \right\}, \text{ with } t = t_{\mathbf{R}} \times t_p.$$

Now take $\eta \in H$ such that $t_p^{-1}\eta t_p = \begin{pmatrix} p^d & 0 \\ 0 & p^{-d} \end{pmatrix}$, so that H^0 and η generate H . Then $t_{\mathbf{R}}^{-1}\eta t_{\mathbf{R}}$ normalizes $\begin{pmatrix} 1 & \mathbf{Z}^{(p)} \\ 0 & 1 \end{pmatrix}$, and hence is of the form $\begin{pmatrix} p^l & \beta \\ 0 & p^{-l} \end{pmatrix}$ ($l \in \mathbf{Z}$, $\beta \in \mathbf{R}$). But by $\eta_p \xi_p \eta_p^{-1} = \xi_p^{p^{2d}}$, we get the same relation on the real part, and hence $l = d$. Now replace $t_{\mathbf{R}}$ by $t_{\mathbf{R}} \begin{pmatrix} 1 & c \\ 0 & 1 \end{pmatrix}$, with $c = -\frac{\beta}{p^d - p^{-d}}$. Then since

$$\begin{pmatrix} 1 & -c \\ 0 & 1 \end{pmatrix} \begin{pmatrix} p^d & \beta \\ 0 & p^{-d} \end{pmatrix} \begin{pmatrix} 1 & c \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} p^d & 0 \\ 0 & p^{-d} \end{pmatrix},$$

and since H^0 and η generate H , we obtain

$$(113) \quad H = tB^{(d)}t^{-1}, \quad H^0 = t \begin{pmatrix} 1 & \mathbf{Z}^{(p)} \\ 0 & 1 \end{pmatrix} t^{-1},$$

with $t = t_{\mathbf{R}} \times t_p$, $B^{(d)} = \left\{ \begin{pmatrix} p^{dk} & b \\ 0 & p^{-dk} \end{pmatrix} \mid k \in \mathbf{Z}, b \in \mathbf{Z}^{(p)} \right\}$.

Now we shall prove $k_p = \mathbf{Q}_p$. By (109), we have $|V \setminus G_p/H_p| < \infty$; hence by (112), $|V \setminus G_p/t_p \mathcal{B}_p t_p^{-1}| < \infty$, where $\mathcal{B}_p = \left\{ \begin{pmatrix} a & b \\ 0 & a^{-1} \end{pmatrix} \mid a \in \mathbf{Q}_p^\times, b \in \mathbf{Q}_p \right\}$. But then $G_p/t_p \mathcal{B}_p t_p^{-1}$, and hence G_p/\mathcal{B}_p is also compact. Therefore by Lemma 16, we obtain $k_p = \mathbf{Q}_p$.

Finally, put

$$(114) \quad B' = \left\{ \begin{pmatrix} p^k & b \\ 0 & p^l \end{pmatrix} \mid k, l \in \mathbf{Z}, b \in \mathbf{Z}^{(p)} \right\} / p\text{-powers.}$$

Then $B^{(d)}$ is a normal subgroup of B' , and $PL_2(\mathbf{Q}_p) = PL_2(\mathbf{Z}_p) \cdot B'$. Put $t_p = \tilde{t}_p b'$ with $\tilde{t}_p \in PL_2(\mathbf{Z}_p)$, $b' \in B'$, and put $t_{\mathbf{R}} = \tilde{t}_{\mathbf{R}} b'$ ($\tilde{t}_{\mathbf{R}} \in G_{\mathbf{R}}$). Then $H = t B^{(d)} t^{-1} = \tilde{t} B^{(d)} \tilde{t}^{-1}$, where $\tilde{t} = \tilde{t}_{\mathbf{R}} \times \tilde{t}_p \in G_{\mathbf{R}} \times PL_2(\mathbf{Z}_p)$. This completes the proof of Theorem 3. \square

Study of elements of Γ with elliptic real parts.

§29. In the following, we shall study in detail such element $\gamma \in \Gamma$ that $\gamma_{\mathbf{R}}$ is elliptic, i.e., $\gamma_{\mathbf{R}}$ has imaginary eigenvalues, or equivalently, has a fixed point on \mathfrak{H} . Let $z \in \mathfrak{H}$, and put $\Gamma_z = \{\gamma \in \Gamma \mid \gamma_{\mathbf{R}} z = z\}$. Call $z, z' \in \mathfrak{H}$ “ Γ -equivalent” if there exists $\gamma \in \Gamma$ with $z' = \gamma_{\mathbf{R}} z$. As before, let $\wp(\Gamma)$ be the set of all Γ -equivalence classes of points $z \in \mathfrak{H}$ with $|\Gamma_z| = \infty$ (§3); on the other hand, denote by

$$(115) \quad \mathcal{Q}(\Gamma)$$

the set of all Γ -equivalence classes of z with $1 < |\Gamma_z| < \infty$. Put

$$(116) \quad \begin{cases} V = PSL_2(\mathcal{O}_p), & T_p^l = V \begin{pmatrix} \pi^l & 0 \\ 0 & \pi^{-l} \end{pmatrix} V \quad (l \geq 0), & l(x) = l \text{ for } x \in T_p^l; \\ \Gamma^0 = \Gamma \cap (G_{\mathbf{R}} \times V), & T^l = \Gamma \cap (G_{\mathbf{R}} \times T_p^l), & l(\gamma) = l \text{ for } \gamma \in T^l, \end{cases}$$

where π is a prime element of k_p . For each $P \in \wp(\Gamma)$ (resp. $Q \in \mathcal{Q}(\Gamma)$), denote by

$$(117) \quad P/\Gamma^0 \quad (\text{resp. } Q/\Gamma^0)$$

the set of all Γ^0 -equivalence classes contained in P (resp. Q). Then our purpose is to parametrize the set P/Γ^0 (resp. Q/Γ^0) in a nice way, and for each element of P/Γ^0 (resp. Q/Γ^0) with a representative $z \in \mathfrak{H}$, to compute $l(\gamma)$ for each $\gamma \in \Gamma_z$ (expressed by $\deg\{\gamma\}_{\Gamma}$, the parameters of P/Γ^0 (resp. Q/Γ^0), etc.) (Theorems 4, 5, 6). This will enable us to compute, for each $\gamma \in \Gamma$ with elliptic $\gamma_{\mathbf{R}}$, the following quantity;

$$(118) \quad A_l(\gamma)_{\Gamma} = \sum_{\{\delta\}_{\Gamma^0}} \frac{1}{e\{\delta\}_{\Gamma^0}} \quad (l \geq 1),$$

where $\{\delta\}_{\Gamma^0}$ runs over all Γ^0 -conjugacy classes contained in $\{\gamma\}_{\Gamma} \cap T^l$, and $e\{\delta\}_{\Gamma^0}$ is the order of the group $\Gamma_{\delta} \cap \Gamma^0$, Γ_{δ} being the centralizer of δ in Γ . This computation is used essentially in the succeeding part of our study: the computation of $\zeta_{\Gamma}(u)$ for the general Γ (without the assumptions that Γ is torsion-free or G/Γ is compact).

$\wp(\Gamma)$ and $Q(\Gamma)$. Let $z \in \mathfrak{H}$ and put $\Gamma_z = \{\gamma \in \Gamma \mid \gamma_{\mathbf{R}}z = z\}$. Then Γ_z is abelian (§3). Moreover,

PROPOSITION 8. *Let $\gamma \in \Gamma_z$ with $\gamma \neq 1$, and $\delta \in \Gamma$. If $\delta^{-1}\gamma\delta \in \Gamma_z$ then $\delta \in \Gamma_z$.*

PROOF. We have $(\delta_{\mathbf{R}}^{-1}\gamma_{\mathbf{R}}\delta_{\mathbf{R}})z = z$; hence $\delta_{\mathbf{R}}z$ is also fixed by $\gamma_{\mathbf{R}}$, and $\delta_{\mathbf{R}}z \in \mathfrak{H}$. Hence $\delta_{\mathbf{R}}z = z$; hence $\delta \in \Gamma_z$. \square

COROLLARY. *Let $\gamma \in \Gamma_z$ with $\gamma \neq 1$. Then*

- (i) Γ_z is the centralizer of γ in Γ .
- (ii) γ is not Γ -conjugate to any other element of Γ_z .

(I) Γ_z for $P_z \in \wp(\Gamma)$. Let $P \in \wp(\Gamma)$ be represented by z (hence we may denote $P = P_z$), so that $|\Gamma_z| = \infty$. We denote by Γ_z^e the torsion subgroup of Γ_z , and by $e(P) = e_0(P)p^{r(P)}$ its order¹⁴ where $e_0(P) \not\equiv 0 \pmod{p}$.

PROPOSITION 9. *Let $W(k_p)$ be the group of all roots of unity contained in k_p . Then Γ_z^e is isomorphic to a subgroup of $W(k_p)/\pm 1$.*

PROOF. By Proposition 3 (§4), there is some $x_p \in G_p$ such that $x_p^{-1}\Gamma_{z,p}x_p$ is diagonal. Therefore, Γ_z is isomorphic to a subgroup of $k_p^\times/\pm 1$. \square

COROLLARY. Γ_z^e is finite cyclic, and $e_0(P)$ divides $\frac{q-1}{2}$ (if $p \nmid 2$) or $q-1$ (if $p \mid 2$). Moreover k_p contains primitive $2e(P)$ -th roots of unity.

We shall show later (§34) that $e(P) = 1$ holds for almost all $P \in \wp(\Gamma)$.

(II) Γ_z for $Q_z \in Q(\Gamma)$. Let $Q(\Gamma)$ be the set of all Γ -equivalence classes $Q = Q_z$ of points $z \in \mathfrak{H}$ for which Γ_z is finite but $\neq \{1\}$. For each $Q = Q_z \in Q(\Gamma)$, let $e(Q) = e_0(Q)p^{r(Q)}$ be the order of Γ_z , with $e_0(Q) \not\equiv 0 \pmod{p}$.

PROPOSITION 10. *Let $Q = Q_z \in Q(\Gamma)$ and let $\gamma \in \Gamma_z$ with $\gamma \neq 1$. Let $\pm\{\zeta, \zeta^{-1}\}$ be the eigenvalues of γ_p . Then*

- (i) $K_p = k_p(\zeta)$ is a quadratic extension which depends only on Q .
- (ii) Γ_z is isomorphic to a subgroup of $W(K_p^1)/\pm 1$, where $W(K_p^1)$ is the group of all roots of unity contained in $K_p^1 = \{x \in K_p \mid N_{K_p/k_p}x = 1\}$. In particular, $e_0(Q)$ divides $\frac{1}{2}(q+1)$ (if $p \nmid 2$), or $q+1$ (if $p \mid 2$).
- (iii) If K_p/k_p is ramified, $e_0(Q) = 1$; i.e., $e(Q)$ is a power of p .

REMARK. On the other hand, $r(Q)$ may not be zero even if K_p/k_p is unramified.

PROOF. Let $G_\gamma = G_{\gamma_{\mathbf{R}}} \times G_{\gamma_p}$ be the centralizer of γ in G . Then by the assertion (b) (§29 of Chapter 2, Part 2), G_γ/Γ_γ is compact. Since Γ_z is finite, this implies that G_γ is compact; hence G_{γ_p} is also compact. But G_{γ_p} is the centralizer of γ_p in G_p ; hence ζ cannot be contained in k_p (see the proof of Proposition 3 (§4)). Now since $\Gamma_z \cong \Gamma_{z_{\mathbf{R}}} \subset G_{\gamma_{\mathbf{R}}} \cong \mathbf{R}/\mathbf{Z}$, Γ_z is cyclic. Let δ be a generator of Γ_z and let $\pm\{\eta, \eta^{-1}\}$ be the eigenvalues of δ_p . Put $K_p = k_p(\eta)$. Then $[K_p : k_p] = 2$, and since ζ is a positive power of $\pm\eta$, we have $\zeta \in K_p$. But since $\zeta \notin k_p$, we have $K_p = k_p(\zeta)$. The second assertion follows immediately from

¹⁴By the corollary below, $e(P)$ is finite.

this. Now suppose that $e_0(Q) \neq 1$, and assume that γ is of order $e_0(Q)$. Then $K_p = k_p(\zeta)$ is unramified; hence (iii). \square

By Proposition 10, to each $Q \in \mathcal{Q}(\Gamma)$, we can attach a quadratic extension K_p/k_p . Put

$$(119) \quad \begin{cases} \mathcal{Q}_u(\Gamma) = \{Q \in \mathcal{Q}(\Gamma) \mid K_p/k_p \text{ is unramified} \}, \\ \mathcal{Q}_r(\Gamma) = \{Q \in \mathcal{Q}(\Gamma) \mid K_p/k_p \text{ is ramified} \}, \end{cases}$$

so that $\mathcal{Q}(\Gamma) = \mathcal{Q}_u(\Gamma) \cup \mathcal{Q}_r(\Gamma)$ (disjoint), and we have $e_0(Q) = 1$ (hence $e(Q) = p^{r(Q)}$) for $Q \in \mathcal{Q}_r(\Gamma)$.

The finiteness of the set $\mathcal{Q}(\Gamma)$ will be shown in §34.

REMARK. In each case $Q \in \mathcal{Q}_u(\Gamma)$ or $Q \in \mathcal{Q}_r(\Gamma)$, the field K_p does not (even) depend on Q . In fact, if $Q \in \mathcal{Q}_u(\Gamma)$, K_p must be the unique unramified quadratic extension and if $Q \in \mathcal{Q}_r(\Gamma)$, it is clear by Proposition 10 that K_p must coincide with the field obtained by adjoining primitive p -th roots of unity to k_p . (Even then, K_p may contain higher p -powerth roots of unity.)

§30. P/Γ^0 for $P \in \wp(\Gamma)$. Let $P = P_z \in \wp(\Gamma)$. For each $\gamma \in \Gamma_z$, put

$$(120) \quad \deg\{\gamma\}_\Gamma = |\text{ord}_p \lambda_p|,$$

where $\pm\{\lambda_p, \lambda_p^{-1}\}$ denote the eigenvalues of γ_p . Thus, $\deg\{\gamma\}_\Gamma$ is a multiple of $\deg P$, they are equal if and only if γ generates Γ_z modulo Γ_z^e , and $\deg\{\gamma\}_\Gamma = 0$ if and only if $\gamma \in \Gamma_z^e$ (see §5). As defined in §29, let P/Γ^0 be the set of all Γ^0 -equivalence classes of points on \mathfrak{S} that are Γ -equivalent to z . The following theorem (and its corollary) generalizes Lemma 3 (§13) (with a considerably simpler proof).

THEOREM 4. Let $P \in \wp(\Gamma)$, and put $d = \deg P$, $e = e(P)$, $e_0 = e_0(P)$, $r = r(P)$ (hence $e = e_0 p^r$), and $c(p^r - p^{r-1}) = \text{ord}_p p^{15}$. Then

(i) the set P/Γ^0 is described as follows;

(a) P/Γ^0 contains special d elements;

$$(121) \quad R_1, \dots, R_d.$$

(b) All other elements of P/Γ^0 are parametrized as

$$(122) \quad R_{k\mu} \quad \begin{cases} k = 1, 2, 3, \dots; \\ 1 \leq \mu \leq d \frac{q^k - q^{k-1}}{e_0 p^{vk}}; \end{cases}$$

where v_k is an integer defined by ¹⁶

$$v_k = \begin{cases} 0 & \dots 0 < k \leq c, \\ v & \dots cp^{v-1} < k \leq cp^v \quad (1 \leq v \leq r-1), \\ r & \dots cp^{r-1} < k. \end{cases}$$

¹⁵Since k_p contains primitive p^r -th root of unity, c is a positive integer.

¹⁶Thus for $r = 0$, $v_k = 0$.

(ii)

(a) Let $z_i \in \mathfrak{H}$ represent R_i ($1 \leq i \leq d$), and let $\delta \in \Gamma_{z_i}$ ($\delta \neq 1$). Then

(123)
$$l(\delta) = \deg\{\delta\}_\Gamma.$$

(b) Let $z_{k\mu} \in \mathfrak{H}$ represent $R_{k\mu}$ and let $\delta \in \Gamma_{z_{k\mu}}$ with $\delta \neq 1$. Then

(124)
$$l(\delta) = \begin{cases} \deg\{\delta\}_\Gamma + k & \dots \begin{cases} \text{if } \deg\{\delta\}_\Gamma > 0; \text{ or} \\ \text{if } \deg\{\delta\}_\Gamma = 0, \\ \text{but the order of } \delta \text{ is not a power of } p. \end{cases} \\ \text{Max}(0, k - cp^\nu) & \dots \text{if the order of } \delta \text{ is } p^{r-\nu} \text{ (} 0 \leq \nu \leq r-1 \text{)}. \end{cases}$$

In particular, the order of $\Gamma_{z_{k\mu}} \cap \Gamma^0$ is given by $p^{r-\nu_k}$, and the order of $\Gamma_{z_i} \cap \Gamma^0$ ($1 \leq i \leq d$) is always e ; i.e., $\Gamma_{z_i} \cap \Gamma^0$ (coincides with) the torsion subgroup of Γ_{z_i} .

PROOF. It is enough to parametrize the double coset $\Gamma^0 \backslash \Gamma / \Gamma_z$ and for each $\Gamma^0 g \Gamma_z$, to compute $l(\delta)$ for each $\delta \in g \Gamma_z g^{-1}$. By the embedding into G_p , it is the same thing to do it for $V \backslash G_p / \Gamma_{zp}$. Take $x \in G_p$ such that $T = x^{-1} \Gamma_{zp} x$ is diagonal, and for each $\delta \in \Gamma_z$ ($\delta \neq 1$) put

(125)
$$x^{-1} \delta_p x = t = \begin{pmatrix} a & 0 \\ 0 & a^{-1} \end{pmatrix} \quad (a \in k_p^\times),$$

so that $\deg\{\delta\}_\Gamma = |\text{ord}_p a|$. Now $g \mapsto g' = gx$ induces a bijection of $V \backslash G_p / \Gamma_{zp}$ onto $V \backslash G_p / T$, and for each $Vg \Gamma_{zp}$, we have $l(g \delta_p g^{-1}) = l(g' t g'^{-1})$; hence it is enough to parametrize $V \backslash G_p / T$ and for each $Vg' T$, to compute $l(g' t g'^{-1})$.

Now we shall use the following set of representatives of $V \backslash G_p$;

(126)
$$x_{n\alpha} = \begin{pmatrix} \pi^{-n} & \alpha \\ 0 & \pi^n \end{pmatrix}; \quad \begin{array}{l} n = 0, \pm 1, \pm 2, \dots; \\ \alpha : \text{representatives of } k_p \text{ mod } p^n; \\ \text{Choose } \alpha = 0 \text{ for } \alpha \equiv 0 \text{ mod } p^n. \end{array}$$

Since T is generated by two elements $\begin{pmatrix} b & 0 \\ 0 & b^{-1} \end{pmatrix}, \begin{pmatrix} \zeta & 0 \\ 0 & \zeta^{-1} \end{pmatrix}$ where $b \in k_p$ with $\text{ord}_p b = d$ and where ζ is a primitive $2e$ -th root of unity in k_p^\times , we can choose the following set of representatives for $V \backslash G_p / T$;

(127)
$$\begin{cases} \sigma^\mu = \begin{pmatrix} \pi^{-\mu} & 0 \\ 0 & \pi^\mu \end{pmatrix}; & \mu = 0, 1, 2, \dots, d-1; \\ \tau_{k\rho}^\mu = \begin{pmatrix} \pi^{-\mu} & \alpha_{k\rho} \pi^{\mu-k} \\ 0 & \pi^\mu \end{pmatrix}; & \begin{array}{l} \mu = 0, 1, 2, \dots, d-1; \\ k = 1, 2, 3, \dots; \\ \rho = 1, 2, 3, \dots, n'_k, \end{array} \end{cases}$$

where $\alpha_{k\rho}$ runs over a set of representatives of $\mathcal{U}_p / E(1 + p^k)$, and where E is the group of all e -th roots of unity contained in k_p ; hence $n'_k = (\mathcal{U}_p : E(1 + p^k))$. Now let us compute $l(\sigma^\mu t \sigma^{-\mu})$ and $l(\tau_{k\rho}^\mu t (\tau_{k\rho}^\mu)^{-1})$. We have $\sigma^\mu t \sigma^{-\mu} = t$; hence $l(\sigma^\mu t \sigma^{-\mu}) = l(t)$; hence for these

d elements σ^μ , $l(\sigma^\mu t \sigma^{-\mu}) = \deg\{\delta\}_\Gamma$. Therefore if we denote by R_μ ($1 \leq \mu \leq d$) the elements of P/Γ^0 corresponding to σ^μ , we have (i)(a) and (ii)(a). As for $\tau_{k\rho}^\mu$, we have

$$(128) \quad y = \tau_{k\rho}^\mu t(\tau_{k\rho}^\mu)^{-1} = \begin{pmatrix} a & \alpha_{k\rho}(a^{-1} - a)\pi^{-k} \\ 0 & a^{-1} \end{pmatrix};$$

hence if $\deg\{\delta\}_\Gamma = |\text{ord}_p a| > 0$, we have $l(y) = |\text{ord}_p a| + k = \deg\{\delta\}_\Gamma + k$. Also if $\deg\{\delta\}_\Gamma = 0$ but the order of δ (i.e., the order of a^2 as a root of unity) is not a power of p , we have $\text{ord}_p(a^{-1} - a) = 0$; hence $l(y) = k = \deg\{\delta\}_\Gamma + k$. Finally if δ is of p -power order, then $l(y) = \text{Max}(0, k - \text{ord}_p(1 - a^2))$. So there only remains to compute n'_k and $\text{ord}_p(1 - a^2)$. For this purpose let $\eta_\nu \in k_p$ ($0 \leq \nu \leq r - 1$) be a primitive $p^{r-\nu}$ -th root of unity. Then

$$\text{ord}_p(1 - \eta_\nu) = \text{ord}_p p \cdot \text{ord}_p(1 - \eta_\nu) = c \frac{p^r - p^{r-1}}{p^{r-\nu} - p^{r-\nu-1}} = cp^\nu.$$

This shows that

$$n'_k = (\mathcal{U}_p : E(1 + \mathfrak{p}^k)) = (\mathcal{U}_p : (1 + \mathfrak{p}^k))|E \cap (1 + \mathfrak{p}^k)|/e = \frac{q^k - q^{k-1}}{e_0 p^\nu}$$

for $cp^{\nu-1} < k \leq cp^\nu$, and $n'_k = (q^k - q^{k-1})/e$ for $cp^{r-1} < k$.¹⁷ Therefore, by using one index μ' instead of μ and ρ , and by denoting $R_{k\mu'}$ the element of P/Γ^0 corresponding to $\tau_k^{\mu'} = \tau_{k\rho}^\mu$, we arrive at the end of the proof. \square

COROLLARY. Let $\gamma \in \Gamma$ be such that γ_R is elliptic and that the centralizer Γ_γ is infinite. Let $A_l\{\gamma\}_\Gamma$ ($l \geq 1$) be as defined by (118). Then

$$(129) \quad A_l\{\gamma\}_\Gamma = \begin{cases} 0 & l < \deg\{\gamma\}_\Gamma, \\ d/e & l = \deg\{\gamma\}_\Gamma, \\ d(q^k - q^{k-1})/e \quad \dots & l = \deg\{\gamma\}_\Gamma + k, \quad k \geq 1; \\ & \deg\{\gamma\}_\Gamma > 0 \\ & \text{or if } = 0, \gamma \text{ is not of } p\text{-power order.} \\ dq^{cp^\nu}(q^l - q^{l-1})/e \quad \dots & \deg\{\gamma\}_\Gamma = 0, \\ & \gamma \text{ is of order } p^{r-\nu} \quad (0 \leq \nu \leq r - 1). \end{cases}$$

where P is the element of $\varphi(\Gamma)$ defined by the fixed point of γ_R , and $d = \deg P$, $e = e(P)$, $r = r(P)$, and c is as in Theorem 4.

REMARK. This generalizes Lemma 3 (§13), since in Lemma 3, Γ is assumed to be torsion-free; hence $e\{\delta\}_{\Gamma^0} = 1$.

PROOF. By the Corollary (ii) of Proposition 8, the set of all Γ^0 -conjugacy classes contained in $\{\gamma\}_\Gamma$ is in one-to-one correspondence with the set P/Γ^0 . Now our corollary is a direct consequence of Theorem 4. \square

¹⁷Here, for $\nu = 0$, $cp^{\nu-1}$ should be replaced by 0.

§31. Q/Γ^0 for $Q \in Q_r(\Gamma)$. Now we are going to study in detail the elements Q of $Q(\Gamma)$. For convenience' sake, we shall first deal with $Q \in Q_r(\Gamma)$, i.e., $Q \in Q(\Gamma)$ for which K_p/k_p is ramified. Thus, let $Q = Q_z \in Q_r(\Gamma)$, put $e(Q) = p^{r(Q)}$, and let Q/Γ^0 be, as before, the set of all Γ^0 -equivalence classes of points on \mathfrak{S} that are Γ -equivalent to z . We shall treat the two cases $p \neq 2$ and $p = 2$ separately;

The case $p \neq 2$.

THEOREM 5 ($p \neq 2$). Let $p \neq 2$, $Q = Q_z \in Q_r(\Gamma)$, and put $e = e(Q)$, $r = r(Q)$, so that $|\Gamma_z| = e = p^r$. Put $\frac{1}{2}c(p^r - p^{r-1}) = \text{ord}_p p$. Then c is an odd integer, and

(i) we can parametrize the elements of Q/Γ^0 in the following way;

$$(130) \quad R_{k\mu} \quad \left(k = 0, 1, 2, \dots; 1 \leq \mu \leq \frac{q^k}{p^{\nu k}} \right)$$

where ν_k is an integer defined by

$$(131) \quad \nu_k = \begin{cases} 0 & \dots 0 \leq k \leq \frac{1}{2}(c-1) \\ \nu & \dots \frac{1}{2}(cp^{\nu-1} - 1) < k \leq \frac{1}{2}(cp^\nu - 1) \quad (1 \leq \nu \leq r-1) \\ r & \dots \frac{1}{2}(cp^{r-1} - 1) < k. \end{cases}$$

(ii) Let $z_{k\mu} \in \mathfrak{S}$ represent $R_{k\mu}$, and let $\delta \in \Gamma_{z_{k\mu}}$ with $\delta \neq 1$. Let $p^{r-\nu}$ be the order of δ . Then

$$(132) \quad l(\delta) = \text{Max}(0, k - \frac{1}{2}(cp^\nu - 1)).$$

In particular, the order of the group $\Gamma_{z_{k\mu}} \cap \Gamma^0$ is given by $p^{r-\nu k}$.

PROOF. Let ζ be a primitive p^r -th root of unity, and put $K_p = k_p(\zeta)$. Then K_p is a ramified quadratic extension of k_p (see §29). Since $k_p \cap \mathbf{Q}_p(\zeta) = \mathbf{Q}_p(\zeta + \zeta^{-1})$, we have $(\zeta - \zeta^{-1})^2 \in k_p$ and $K_p = k_p(\sqrt{(\zeta - \zeta^{-1})^2})$. Since K_p/k_p is ramified and $p \nmid 2$,

$$\text{ord}_p(\zeta - \zeta^{-1})^2 = \text{ord}_p p \times \text{ord}_p(\zeta - \zeta^{-1})^2 = \frac{2 \text{ord}_p p}{p^r - p^{r-1}} = c$$

must be an odd integer. Now let π be a prime element of k_p such that $K_p = k_p(\sqrt{\pi})$. Then $a + b\sqrt{\pi}$ ($a, b \in k_p$) is integral if and only if $a, b \in \mathcal{O}_p$. Let γ be an element of Γ_z such that the eigenvalues of γ_p are $\pm(\zeta, \zeta^{-1})$, and let $\delta = \gamma^n$ ($1 \leq n \leq p^r - 1$) be any element ($\neq 1$) of Γ_z . Let $\pm(\eta, \eta^{-1})$ be the eigenvalues of δ_p , so that we may assume $\eta = \zeta^n$.

Put $\eta = a + b\sqrt{\pi}$ ($a, b \in \mathcal{O}_p$) and $t' = \begin{pmatrix} a & b \\ \pi b & a \end{pmatrix}$. Then there is some $x' \in GL_2(k_p)$ such that $x'^{-1}\delta_p x' = t'$ for all $\delta \in \Gamma_z$ ($\delta \neq 1$). Since K_p/k_p is ramified, $\text{ord}_p(N_{K_p/k_p} K_p^\times) = \mathbf{Z}$; hence if $X_{t'}$ is the centralizer of t' in $GL_2(k_p)$, we have $(\det X_{t'})\mathcal{U}_p = k_p^\times$; hence there is some $y \in X_{t'}$ such that $\det y \in \mathcal{U}_p \det x'$. Put $\varepsilon = \det(x'y^{-1}) \in \mathcal{U}_p$, $\omega = \begin{pmatrix} \varepsilon & 0 \\ 0 & 1 \end{pmatrix}$, and

$x = x'y^{-1}\omega^{-1}$, so that $x \in G_p$ and $x^{-1}\gamma_p x = \omega t' \omega^{-1} = \begin{pmatrix} a & b\varepsilon \\ \pi b\varepsilon^{-1} & a \end{pmatrix}$. Call this element t and put $T = x^{-1}\Gamma_{z_p} x = \{t\}$.

Now by the same argument as in the beginning of the proof of Theorem 4, we see immediately that it is enough to parametrize $V \setminus G_p / T$ and for each VgT , to compute $l(gtg^{-1})$. Let $x_{n\alpha}$ be as in (126). Then

$$(133) \quad y = x_{n\alpha} t x_{n\alpha}^{-1} = \begin{pmatrix} a + b\varepsilon^{-1}\alpha\pi^{n+1}, & \pi b\varepsilon(\pi^{-2n-1} - \alpha^2\varepsilon^{-2}) \\ b\varepsilon^{-1}\pi^{2n+1}, & a - b\varepsilon^{-1}\alpha\pi^{n+1} \end{pmatrix}.$$

Hence

$$(134) \quad l(y) = \begin{cases} \text{Max}(0, 2l - \text{ord}_p b) & \dots n \geq 0, \text{ord}_p b \geq -n; \\ \text{Max}(0, 2l - 1 - \text{ord}_p b) & \dots \text{otherwise;} \end{cases}$$

where $l = l(x_{n\alpha})$. Here note that

$$\text{ord}_p b = \text{ord}_p \frac{\eta - \eta^{-1}}{2\sqrt{\pi}} = \frac{1}{2}(cp^\nu - 1),$$

where p^{ν} is the order of δ . First (134) shows that if $x_{n\alpha}, x_{n'\alpha'}$ belong to the same $V \setminus G_p / T$ -coset and if $n \geq 0$ and $\text{ord}_p \alpha \geq -n$ hold, then we have $n' = n \geq 0$ and $\text{ord}_p \alpha' \geq -n'$. In fact if $x_{n\alpha} t x_{n\alpha}^{-1} \in V$ for all $t \in T$, then $x_{n'\alpha'} = x_{n\alpha}$; hence there is no problem. On the other hand, if $l(x_{n\alpha} t x_{n\alpha}^{-1}) > 0$ for some t , then $l(x_{n\alpha} t x_{n\alpha}^{-1}) = 2l(x_{n\alpha}) - \text{ord}_p b$, and $l(x_{n'\alpha'} t x_{n'\alpha'}^{-1}) = l(x_{n\alpha} t x_{n\alpha}^{-1}) > 0$. But since $T \subset V$, we have $l(x_{n\alpha}) = l(x_{n'\alpha'})$; hence $l(x_{n'\alpha'} t x_{n'\alpha'}^{-1}) = 2l(x_{n'\alpha'}) - \text{ord}_p b > 0$. But by (134) this implies $n' \geq 0$ and $\text{ord}_p \alpha' \geq -n'$. But then $n' = l(x_{n'\alpha'}) = l(x_{n\alpha}) = n$; hence our assertion.

Now for each $l \geq 0$, let $R_{2l,\mu}$ ($1 \leq \mu \leq n_{2l}$) be all the distinct double cosets $Vx_{n\alpha}T$ with $n = l \geq 0$ and $\text{ord}_p \alpha \geq -n$; and for each $l \geq 1$, let $R_{2l-1,\mu}$ ($1 \leq \mu \leq n_{2l-1}$) be all the distinct double cosets $Vx_{n'\alpha'}T$ with $l(x_{n'\alpha'}) = l$ that are not any one of $R_{2l,\mu}$. Then by (134) and by the above formula for $\text{ord}_p b$, it follows immediately that $n_0 = 1$, $n_k = \frac{q^k}{p^{\nu k}}$ ($k > 0$), and that (132) holds. \square

The case $p = 2$. This case is more delicate than the case $p \neq 2$. We begin with the following lemma.

LEMMA 17. *Let $p|2$, and let $\tau \in \mathcal{U}_p$. Suppose that $K_p = k_p(\sqrt{\tau})$ is a ramified quadratic extension, and put $\kappa = \text{Max}_{u \in \mathcal{U}_p} \{\text{ord}_p(u^2 - \tau)\}$. Then*

- (i) κ is an odd integer satisfying $1 \leq \kappa \leq \text{ord}_p 4 - 1$;
- (ii) If $0 \leq k < \kappa$, there is some $u \in \mathcal{U}_p$ with $\text{ord}_p(u^2 - \tau) = k$ if and only if k is even.
- (iii) For any $u \in \mathcal{U}_p$,

$$\text{ord}_{\mathfrak{P}}(u^2 - \tau) = \text{ord}_{\mathfrak{P}}(u - \sqrt{\tau}) = \text{ord}_{\mathfrak{P}}(u + \sqrt{\tau})$$

holds, where \mathfrak{P} is the prime factor of \mathfrak{p} in K_p .

PROOF. That $\kappa \leq \text{ord}_p 4 - 1$: Let κ' be the critical exponent for the quadratic residues in k_p ; i.e., the largest exponent such that $u \in \mathcal{U}_p$ is a square in \mathcal{U}_p if and only if it is a square mod $\mathfrak{p}^{\kappa'}$. Then, by the general estimation formula for κ' , we have

$$\kappa' \leq \left\lfloor \frac{\text{ord}_p p}{p-1} \right\rfloor + \text{ord}_p p + 1 = \text{ord}_p 4 + 1.$$

Hence if $u \in \mathcal{U}_p$ is a square mod $4p$, then u is a square in \mathcal{U}_p . But since τ is not a square we have $\kappa < \kappa' \leq \text{ord}_p 4 + 1$; hence $\kappa \leq \text{ord}_p 4$. Now we shall show that there is no $u \in \mathcal{U}_p$ with $u^2 \equiv \tau \pmod{4}$, which would prove $\kappa \leq \text{ord}_p 4 - 1$. Suppose on the contrary that we had $u^2 \equiv \tau \pmod{4}$ for some u . Put $u^{-2}\tau = 1 + 4a$ ($a \in O_p$). Then $a \not\equiv b^2 + b \pmod{p}$ for any $b \in O_p$. In fact $a \equiv b^2 + b \pmod{p}$ would imply $\tau \equiv u^2(1 + 2b)^2 \pmod{4p}$, which contradicts $\kappa \leq \text{ord}_p 4$. Consider the equation $X^2 + X = a$. Then this is irreducible mod p ; hence it is also irreducible on k_p and its splitting field $k_p(\sqrt{1 + 4a}) = k_p(\sqrt{\tau}) = K_p$ must be unramified, which contradicts our assumption. Therefore, $u^2 \not\equiv \tau \pmod{4}$; hence $\kappa \leq \text{ord}_p 4 - 1$.

The rest of (i) and (iii). Let $u \in \mathcal{U}_p$ and put $k = \text{ord}_p(u^2 - \tau)$. Let \mathfrak{P} be the prime factor of p in K_p , so that $p = \mathfrak{P}^2$. Then

$$\text{ord}_p(u^2 - \tau) = \frac{1}{2} \{ \text{ord}_{\mathfrak{P}}(u - \sqrt{\tau}) + \text{ord}_{\mathfrak{P}}(u + \sqrt{\tau}) \} = k,$$

hence either (a) $\text{ord}_{\mathfrak{P}}(u - \sqrt{\tau}) \geq k$ or (b) $\text{ord}_{\mathfrak{P}}(u + \sqrt{\tau}) \geq k$. But $\text{ord}_{\mathfrak{P}}(2\sqrt{\tau}) = \text{ord}_{\mathfrak{P}} 2 = \text{ord}_p 4 > \kappa \geq k$; hence (a) implies (b) and conversely. Therefore, $\text{ord}_{\mathfrak{P}}(u - \sqrt{\tau}) = \text{ord}_{\mathfrak{P}}(u + \sqrt{\tau}) = k$. (This settles (iii)). Now assume that k is even, and put $u' = u + \pi^{k/2} \cdot \alpha$ ($\alpha \in O_p$). Then $\frac{u' - \sqrt{\tau}}{\pi^{k/2}} = \frac{u - \sqrt{\tau}}{\pi^{k/2}} + \alpha$; hence if we choose α so that $\alpha \equiv -\frac{u - \sqrt{\tau}}{\pi^{k/2}} \pmod{\mathfrak{P}}$ (this is possible since K_p and k_p have the same residue class field), we have $u' \equiv \sqrt{\tau} \pmod{\mathfrak{P}^{k+1}}$. But by $k \leq \text{ord}_p 4 - 1$, we have $2\sqrt{\tau} \equiv 0 \pmod{\mathfrak{P}^{k+1}}$; hence $u'^2 - \tau \equiv 0 \pmod{\mathfrak{P}^{k+1}}$; hence $\text{ord}_p(u'^2 - \tau) \geq k + 1$. This shows that if $k = \text{ord}_p(u^2 - \tau)$ is even, then $k < \kappa$. Therefore κ must be odd. In particular, $1 \leq \kappa$.

(ii) Take $u_0 \in \mathcal{U}_p$ such that $\text{ord}_p(u_0^2 - \tau) = \kappa$. Let $u \in \mathcal{U}_p$ with $k = \text{ord}_p(u^2 - \tau) < \kappa$. Then

$$k = \text{ord}_p(u^2 - u_0^2) = \text{ord}_p(u - u_0) + \text{ord}_p(u + u_0).$$

But since (say) $\text{ord}_p(u - u_0) \leq \frac{k}{2} < \text{ord}_p 2 = \text{ord}_p(2u_0)$, we have $\text{ord}_p(u + u_0) = \text{ord}_p(u - u_0)$; hence $k = 2 \text{ord}_p(u - u_0) \equiv 0 \pmod{2}$. Conversely, let k be even with $0 \leq k < \kappa$, and take $u \in \mathcal{U}_p$ such that $\text{ord}_p(u - u_0) = \frac{k}{2}$. Then it follows immediately that $\text{ord}_p(u^2 - \tau) = k$. \square

Now let $p|2$, let $Q = Q_z \in Q_r(\Gamma)$, and let K_p be the corresponding ramified quadratic extension of k_p . Let ξ be an element of Γ_z of order 2. Then K_p is generated over k_p by the eigenvalues of ξ_p ; hence $K_p = k_p(\sqrt{-1})$. By the above lemma this shows that if $Q_r(\Gamma)$ is non-empty, the number $\kappa = \text{Max}_{u \in \mathcal{U}_p} \text{ord}_p(u^2 + 1)$ is a finite odd integer satisfying $\kappa \leq \text{ord}_p 4 - 1$.

Now we shall prove the following Theorem 5 ($p = 2$);

THEOREM 5 ($p = 2$). *Let $p = 2$, $Q = Q_z \in Q_r(\Gamma)$, and put $e = e(Q)$, $r = r(Q)$, so that $|\Gamma_z| = e = 2^r$. Put $2^{r-2}c = \text{ord}_p 2$, $\kappa = \text{Max}_{u \in \mathcal{U}_p} \text{ord}_p(u^2 + 1)$. Then c is an even integer, κ is odd, and $\text{ord}_p 4 - c + 1 \leq \kappa \leq \text{ord}_p 4 - 1$. Moreover,*

(i) *we can parametrize the elements of Q/Γ^0 in the following way;*

$$(135) \quad R_{k\mu} \quad (k = 0, 1, 2, \dots; 1 \leq \mu \leq \frac{q^k}{2^{\nu_k}}),$$

where v_k is an integer defined by

$$(136) \quad v_k = \begin{cases} 0 & \dots 0 \leq k \leq (\frac{\kappa}{2} - \text{ord}_p 2) + \frac{1}{2}(c-1), \\ v & \dots (\frac{\kappa}{2} - \text{ord}_p 2) + \frac{1}{2}(c2^{v-1} - 1) < k \leq (\frac{\kappa}{2} - \text{ord}_p 2) + \frac{1}{2}(c2^v - 1), \\ r & \dots \frac{\kappa-1}{2} < k. \end{cases}$$

(ii) Let $z_{k\mu} \in \mathfrak{H}$ represent $R_{k\mu}$, and let $\delta \in \Gamma_{z_{k\mu}}$ with $\delta \neq 1$. Let 2^{r-v} be the order of δ . Then

$$(137) \quad l(\delta) = \text{Max}(0, \kappa - \frac{1}{2}(c2^v - 1) + \text{ord}_p 2 - \frac{\kappa}{2}).$$

In particular, the order of the group $\Gamma_{z_{k\mu}} \cap \Gamma^0$ is given by 2^{r-v_k} .

PROOF. Let K_p be the corresponding ramified extension of k_p . Then $K_p = k_p(\sqrt{-1})$, and K_p contains the group E of 2^{r+1} -th roots of unity. This shows that c is an even integer. That κ is odd and $\kappa \leq \text{ord}_p 4 - 1$ is a direct consequence of Lemma 17. To prove $\kappa \geq \text{ord}_p 4 - c + 1 = (2^{r-1} - 1)c + 1$, let $\zeta \in E$ be a primitive 2^{r+1} -th root of unity and put $\zeta = a_0 + b_0 \sqrt{-1}$ ($a_0, b_0 \in k_p$). If $r = 1$, the assertion is trivial; so assume $r > 1$. Then

$$\text{ord}_p b_0 = \text{ord}_p(\zeta - \zeta^{-1}) - \text{ord}_p 2 = -(2^{r-1} - 1)\frac{c}{2} < 0;$$

hence $\text{ord}_p a_0 = \text{ord}_p b_0$, and $\sqrt{-1} \equiv -\frac{a_0}{b_0} \pmod{\mathfrak{p}^{(2^{r-1}-1)\frac{c}{2}}}$. Therefore, by Lemma 17 (iii), $\kappa \geq (2^{r-1} - 1)c$. But since κ is odd, we obtain $\kappa \geq (2^{r-1} - 1)c + 1$; hence our assumption on κ .

Now there is an onto isomorphism $\Gamma_z \rightarrow E/\pm 1$ such that if $\delta \mapsto \pm\eta$, then $\pm\{\eta, \eta^{-1}\}$ are the eigenvalues of δ_p . For each $\delta \in \Gamma_z$, put $\eta = a + b\sqrt{-1}$ ($a, b \in k_p$) and $t' = \pm \begin{pmatrix} a & b \\ -b & a \end{pmatrix} \in G_p$. Then as in the case of $p \nmid 2$ we see easily that there exist some $\varepsilon \in \mathcal{U}_p$

and $x \in G_p$ such that $t = x^{-1}\delta_p x = \begin{pmatrix} a & b\varepsilon \\ -b\varepsilon^{-1} & a \end{pmatrix}$ for all $\delta \in \Gamma_z$. Put $T = x^{-1}\Gamma_{z_p}x = \{t\}$. Then our problem is reduced to parametrizing $V \setminus G_p/T$, and for each VgT computing $l(gtg^{-1})$. Now let $x_{n\alpha}$ be as in (126). Then

$$(138) \quad y = x_{n\alpha} t x_{n\alpha}^{-1} = \begin{pmatrix} a - \varepsilon^{-1} b \alpha \pi^{-n}, & \varepsilon b \pi^{-2n} (1 + \varepsilon^{-2} \alpha^2 \pi^{2n}) \\ -\varepsilon^{-1} b \pi^{2n}, & a + \varepsilon^{-1} b \alpha \pi^n \end{pmatrix},$$

and $\text{ord}_p b = 2^{v-1}c - \text{ord}_p 2$, where 2^{r-v} is the order of δ . Put $l = l(x_{n\alpha})$, and $m = m(x_{n\alpha}) = 0$ (if $\text{ord}_p \alpha \neq -n$), $= \text{Min}(4l, \text{ord}_p(u^2 + 1))$ (if $\text{ord}_p \alpha = -n$ and $u = \varepsilon^{-1} \alpha \pi^n$).¹⁸ Then by (138), we obtain directly

$$(139) \quad l(y) = \text{Max}(0, 2l - m - \text{ord}_p b).$$

Moreover, by the definition of κ , we have $0 \leq m \leq \text{Min}(4l, \kappa)$, and by Lemma 17 (ii), m must be even unless $m = \kappa$.

Now for each integer k , let n'_k be the number of $x_{n\alpha}$ such that $2l - m + \frac{\kappa-1}{2} = k$. Then by a straightforward computation, we obtain $n'_k = q^k$ ($k \geq 0$), $= 0$ ($k < 0$). Moreover if $x_{n\alpha}, x_{n'\alpha'}$ belong to the same $V \setminus G_p/T$ -coset, then $k(x_{n\alpha}) = k(x_{n'\alpha'})$. In fact, if $l(x_{n\alpha} t x_{n\alpha}^{-1}) =$

¹⁸In this case, $n > 0$ and $l = n$.

0 for all $t \in T$, then $x_{n'\alpha'} = x_{n\alpha}$; hence there is no problem. If, on the other hand, $l(x_{n\alpha}tx_{n\alpha}^{-1}) > 0$ for some t , then by (139),

$$l(x_{n\alpha}tx_{n\alpha}^{-1}) = k(x_{n\alpha}) - \frac{\kappa - 1}{2} - \text{ord}_p b > 0.$$

But $l(x_{n'\alpha'}tx_{n'\alpha'}^{-1}) = l(x_{n\alpha}tx_{n\alpha}^{-1}) > 0$; hence

$$l(x_{n'\alpha'}tx_{n'\alpha'}^{-1}) = k(x_{n'\alpha'}) - \frac{\kappa - 1}{2} - \text{ord}_p b;$$

hence $k(x_{n\alpha}) = k(x_{n'\alpha'})$. So, let n_k be the number of distinct $Vx_{n\alpha}T$ such that $k(x_{n\alpha}) = k$. Then since the number of $t \in T$ such that $Vx_{n\alpha}t = Vx_{n\alpha}$ is $2^{t-\nu_k}$ by (139), we obtain $n_k = \frac{n'_k}{2^{\nu_k}} = \frac{q^k}{2^{\nu_k}}$ ($k \geq 0$). Therefore, by putting $R_{k\mu}$ ($1 \leq \mu \leq n_k$) all the double cosets $Vx_{n\alpha}T$ such that $k(x_{n\alpha}) = k$, we arrive at the end of the proof. \square

By Theorem 5, we obtain immediately:

COROLLARY. Let $Q = Q_z \in Q_r(\Gamma)$, put $|\Gamma_z| = p^r$, and let $\gamma \in \Gamma_z$ with $\gamma \neq 1$. Then

$$(140) \quad A_l\{\gamma\}_\Gamma = \begin{cases} \frac{1}{p^r} q^{l+\frac{1}{2}(cp^r-1)} & \dots p \neq 2, \\ \frac{1}{2^r} q^{l+\frac{1}{2}(c2^r-1)+\frac{\kappa}{2}-\text{ord}_p 2} & \dots p = 2, \end{cases}$$

where $p^{r-\nu}$ is the order of γ , $c = \frac{2}{p^r-p^{r-1}} \text{ord}_p p$, and κ is as in Theorem 5 ($p = 2$).

§32. Q/Γ^0 for $Q \in Q_u(\Gamma)$.

THEOREM 6. We can decompose the set $Q_u(\Gamma)$ into the disjoint union

$$(141) \quad Q_u(\Gamma) = Q_u^+(\Gamma) \cup Q_u^-(\Gamma) \quad (\text{disjoint})$$

in a unique way so that the following assertions (i) ~ (ii) are satisfied.

(i) For each $Q \in Q_u(\Gamma)$, put $e(Q) = e = e_0 p^r$ with $e_0 \not\equiv 0 \pmod{p}$. Put $\text{ord}_p p = c(p^r - p^{r-1})$, so that c is a positive integer and $c \equiv 0 \pmod{2}$ if $p|2$.¹⁹ Then we can parametrize the elements of Q/Γ^0 as

$$(142) \quad R_{k\mu} \begin{cases} k = 0, 2, 4, \dots, & \text{if } Q \in Q_u^+(\Gamma), \\ = 1, 3, 5, \dots, & \text{if } Q \in Q_u^-(\Gamma); \\ 1 \leq \mu \leq \begin{cases} 1 & \dots k = 0 \\ \frac{q^k + q^{k-1}}{e_0 p^{\nu_k}} & \dots k > 0; \end{cases} \end{cases}$$

where ν_k is an integer defined by²⁰

$$(143) \quad \nu_k = \begin{cases} 0 & \dots 0 < k \leq c, \\ \nu & \dots cp^{\nu-1} < k \leq cp^\nu \quad (1 \leq \nu \leq r-1) \\ r & \dots cp^{r-1} < k. \end{cases}$$

¹⁹Since if $p|2$, then K_p contains primitive 2^{r+1} -th root of unity.

²⁰Thus if $r = 0$, then $\nu_k = 0$ for all $k > 0$.

(ii) Let $z_{k\mu} \in \mathfrak{S}$ represent $R_{k\mu}$ and let $\delta \in \Gamma_{z_{k\mu}}$ with $\delta \neq 1$. Then

$$(144) \quad l(\delta) = \begin{cases} k & \dots \text{if the order of } \delta \text{ is} \\ & \text{not a power of } p, \\ \text{Max}(0, k - cp^v) & \dots \text{if the order of } \delta \text{ is} \\ & p^{r-v} \quad (0 \leq v \leq r-1). \end{cases}$$

In particular, the order of the group $\Gamma_{z_{k\mu}} \cap \Gamma^0$ is given by e (if $k = 0$), and by p^{r-v} (if $k > 0$).

PROOF. Put $K_p = k_p(\sqrt{\tau})$ ($\tau \in \mathcal{U}_p$). Then since K_p/k_p is unramified, the basis $1, \sqrt{\tau}$ of K_p/k_p has the following properties;

- (i) if $p \nmid 2$, $a + b\sqrt{\tau}$ ($a, b \in k_p$) is integral if and only if a, b are so, and τ is a quadratic non-residue mod p ;
- (ii) if $p|2$, τ is a quadratic residue mod 4 but non-residue mod $4p$ (see the proof of Lemma 17)²¹; hence we may assume $\tau \equiv 1 \pmod{4}$. Then $\frac{1}{2}(a + b\sqrt{\tau})$ ($a, b \in k_p$) is integral if and only if a, b are integral and $a \equiv b \pmod{2}$.

Now let E be the group of all $2e$ -th roots of 1 contained in K_p . Then there is an onto isomorphism $\Gamma_z \rightarrow E/\pm 1$ such that if $\delta \mapsto \pm\eta$, then $\pm\{\eta, \eta^{-1}\}$ are the eigenvalues of δ_p .

For each $\delta \in \Gamma_z$ put $\eta = a + b\sqrt{\tau}$ ($a, b \in k_p$) and $t = \begin{pmatrix} a & b \\ b\tau & a \end{pmatrix} \in G_p$. Then there is some $x' \in PL_2(k_p)$ such that $x'^{-1}\delta_p x' = t$ (for all $\delta \in \Gamma_z$). Put $\omega = \begin{pmatrix} 0 & \pi \\ 1 & 0 \end{pmatrix}$ so that

$$PL_2(k_p) = G_p \cdot PL_2(\mathcal{O}_p) \cup G_p \omega PL_2(\mathcal{O}_p).$$

Since the centralizer X_t of t in $PL_2(k_p)$ is identified with K_p^\times/k_p^\times in a natural manner, and since K_p/k_p is unramified and hence $N_{K_p/k_p} K_p^\times \supset \mathcal{U}_p$, we have $\det X_t \supset \det PL_2(\mathcal{O}_p)$; hence we can replace x' by either $x \in G_p$ or by $x\omega$ ($x \in G_p$). Therefore, either of the following two cases may happen:

$$(145) \quad \begin{cases} \text{(Case 1)} & \exists x \in G_p; x^{-1}\delta_p x = \begin{pmatrix} a & b \\ b\tau & a \end{pmatrix} = t & (\forall \delta \in \Gamma_z), \\ \text{(Case 2)} & \exists x \in G_p; x^{-1}\delta_p x = \begin{pmatrix} a & b\tau\pi \\ b\pi^{-1} & a \end{pmatrix} \stackrel{\text{put}}{=} t' & (\forall \delta \in \Gamma_z). \end{cases}$$

However, since $\det X_t = N_{K_p/k_p} K_p^\times$ does not contain prime elements of k_p , only one of the two cases can happen.

Case 1. Put $T = x^{-1}\Gamma_{z_p} x = \{t\}$. Then our problem is to parametrize $V \setminus G_p/T$, and for each VgT , to compute $l(gtg^{-1})$. Let $x_{n\alpha}$ be as in (126). Then,

$$(146) \quad y = x_{n\alpha} t x_{n\alpha}^{-1} = \begin{pmatrix} a + b\tau\alpha\pi^n & b\pi^{-2n}(1 - \alpha^2\pi^{2n}\tau) \\ b\tau\pi^{2n} & a - b\tau\alpha\pi^n \end{pmatrix}.$$

²¹That τ is a quadratic residue mod 4 follows from the argument used in the proof of Lemma 17 combined with the fact that the unramified quadratic extension is unique.

Put $l = l(x_{n\alpha})$ and now assume that $t \neq 1$. Then we can check by a direct computation²² that

$$(147) \quad l(y) = \text{Max}(0, 2l - 2m - \text{ord}_p b, 2l - \text{ord}_p 4 - \text{ord}_p b),$$

where $m = m(Vx_{n\alpha})$ is defined as follows:

- (i) if $\text{ord}_p \alpha \neq -n$, put $m = 0$;
- (ii) if $\text{ord}_p \alpha = -n$ (then $n > 0$, $l = n$), put $u = \alpha\pi^n$ (so that u runs over $\mathcal{U}_p \pmod{p^{2l}}$) and put $m = \text{ord}_p(u - 1)$.

Here we put $m = 2l$ when $u \equiv 1 \pmod{p^{2l}}$. (Note, in computing out (147), that we have $\text{ord}_p(1 - u^2\tau) = 2m$ if $m \leq \text{ord}_p 2$, and $= \text{ord}_p 4$ if $m \geq \text{ord}_p 2$.) Moreover, we have

$$\text{ord}_p(2b) = \begin{cases} \text{ord}_p(\eta - \eta^{-1}) = 0 & \text{(if the order of } \delta \text{ is not a power of } p), \\ cp^\nu & \text{(if the order of } \delta \text{ is } p^{r-\nu}, \text{ with } 0 \leq \nu \leq r-1). \end{cases}$$

Now put

$$(148) \quad k' = \text{Max}(l - m, l - \text{ord}_p 2), \quad k = 2k' + \text{ord}_p 2.$$

Then since $2k' \geq (l - m) + (l - \text{ord}_p 2) \geq -\text{ord}_p 2$, we have $k \geq 0$ and $k \equiv \text{ord}_p 2 \pmod{2}$. By (147), we have

$$(149) \quad l(y) = \text{Max}(0, k - \text{ord}_p(2b)) \\ = \begin{cases} k & \dots \text{ if the order of } \delta \text{ is not a power of } p, \\ \text{Max}(0, k - cp^\nu) & \dots \text{ if the order of } \delta \text{ is } p^{r-\nu} \text{ (} 0 \leq \nu \leq r-1 \text{)}. \end{cases}$$

Now for a given integer $k \geq 0$ with $k \equiv \text{ord}_p 2 \pmod{2}$, let n'_k be the number of distinct $Vx_{n\alpha}$ for which (148) (and hence also (149)) holds. Then by a straightforward computation we obtain $n'_0 = 1$ or 0 according to $\text{ord}_p 2 \equiv 0$ or $\equiv 1 \pmod{2}$, and $n'_k = q^k + q^{k-1}$ ($k > 0$, $k \equiv \text{ord}_p 2 \pmod{2}$). Now we can show exactly in the same manner as in the proof of Theorem 5 ($p = 2$) that k , a function of $x_{n\alpha}$, depends only on the double coset $Vx_{n\alpha}T$. So, let n_k be the number of distinct $Vx_{n\alpha}T$ for which (148) holds. Then since $Vx_{n\alpha}t = Vx_{n\alpha}$ if and only if $l(y) = 0$, we can obtain easily by (149) that $n_0 = n'_0$, $n_k = \frac{n'_k}{e_0 p^{\nu_k}}$ ($k > 0$), where ν_k is given by (143). Thus if we denote by

$$R_{k\mu} \quad \left(k \geq 0, k \equiv \text{ord}_p 2 \pmod{2}; \quad 1 \leq \mu \leq \begin{cases} 1 & (k = 0) \\ \frac{q^k + q^{k-1}}{e_0 p^{\nu_k}} & (k > 0) \end{cases} \right)$$

all the distinct $Vx_{n\alpha}T$ with $k(x_{n\alpha}) = k$, then we have (144) for such $R_{k\mu}$.

Case 2. This case is treated exactly in the same manner, and the result is as follows. Put $y' = x_{n\alpha}t'x_{n\alpha}^{-1}$. Then, for $t' \neq 1$, we have

$$l(y') = \text{Max}(0, 2l - 2m' - 1 - \text{ord}_p b, 2l - \text{ord}_p 4 - 1 - \text{ord}_p b)$$

where m' is given as follows:

- (i) if $\text{ord}_p \alpha + n - 1 < 0$, put $m' = -1$;
- (ii) if $\text{ord}_p \alpha + n - 1 > 0$, put $m' = 0$;

²² Note that $a + b\tau\alpha\pi^n = \eta + b\tau(\alpha\pi^n - 1)$; hence $\text{ord}_p(a + b\tau\alpha\pi^n)$ is either ≥ 0 or $= \text{ord}_p\{b(\alpha\pi^n - 1)\}$.

(iii) and if $\text{ord}_p \alpha + n - 1 = 0$ (then $n > 0, l = n$), then put $u = \alpha\pi^{n-1}$ (so that u runs over $\mathcal{U}_p \pmod{p^{2l-1}}$) and put $m' = \text{ord}_p(u - 1)$.

Here we put $m = 2l - 1$ when $u \equiv 1 \pmod{p^{2l-1}}$. Put

$$k' = \text{Max}(l - m', l - \text{ord}_p 2), \quad k = 2k' + \text{ord}_p 2 - 1 \geq 0,$$

so that $l(y') = \text{Max}(0, k - \text{ord}_p(2b))$. Then k depends only on $Vx_{n\alpha}T'$ (where $T' = \{t'\}$), and for each $k \geq 0$ with $k \equiv \text{ord}_p 2 - 1 \pmod{2}$, the number n_k of distinct $Vx_{n\alpha}T'$ such that $k(Vx_{n\alpha}T') = k$ is given by

$$n_0 = 1 \text{ (if } \text{ord}_p 2 \equiv 1 \pmod{2}\text{)}, \quad n_k = \frac{q^k + q^{k-1}}{e_0 p^{\nu k}} \text{ (} k > 0, k \equiv \text{ord}_p 2 - 1 \pmod{2}\text{)}.$$

Thus denoting by

$$R_{k\mu} \left(\begin{array}{l} k \geq 0, k \equiv \text{ord}_p 2 - 1 \pmod{2}; \\ 1 \leq \mu \leq \begin{cases} 1 & (k = 0) \\ \frac{q^k + q^{k-1}}{e_0 p^{\nu k}} & (k > 0) \end{cases} \end{array} \right)$$

all the distinct $Vx_{n\alpha}T'$ with $k(x_{n\alpha}) = k$, we have (144) for such $R_{k\mu}$.

Now let $Q_u^+(\Gamma)$ be the set of all $Q \in Q_u(\Gamma)$ which belong to Case 1 (resp. Case 2) according to $\text{ord}_p 2 \equiv 0 \pmod{2}$ (resp. $\equiv 1 \pmod{2}$), and let $Q_u^-(\Gamma)$ be that of all Q which belong to Case 2 (resp. Case 1) according to $\text{ord}_p 2 \equiv 0 \pmod{2}$ (resp. $\equiv 1 \pmod{2}$).

	Case 1	Case 2
(150) $\text{ord}_p 2 \equiv 0 \pmod{2}$	$Q_u^+(\Gamma)$	$Q_u^-(\Gamma)$
$\text{ord}_p 2 \equiv 1 \pmod{2}$	$Q_u^-(\Gamma)$	$Q_u^+(\Gamma)$

Then by what we have shown, Theorem 6 (i) (ii) holds for this definition of $Q_u^+(\Gamma)$ and $Q_u^-(\Gamma)$. On the other hand, it is clear that the decomposition (141) is characterized by the equality (144) (even if $e_0 = 1$). \square

COROLLARY. Let $Q = Q_z \in Q_u(\Gamma)$ and let $\gamma \in \Gamma_z$ with $\gamma \neq 1$. Put $e(Q) = e = e_0 p^r$ with $e_0 \not\equiv 0 \pmod{p}$, and let c be as in Theorem 6. Then

(i) if $Q \in Q_u^+(\Gamma)$,

$$(151) \quad A_l\{\gamma\}_\Gamma = \begin{cases} \frac{1}{e}(q^l + q^{l-1}) & \dots \text{the order of } \gamma \text{ is not} \\ & \text{a power of } p; \quad l: \text{even,} \\ 0 & \dots \text{the order of } \gamma \text{ is not} \\ & \text{a power of } p; \quad l: \text{odd,} \\ \frac{1}{e} q^{cp^\nu} (q^l + q^{l-1}) & \dots \text{the order of } \gamma \text{ is } p^{r-\nu}; \\ & cp^\nu \equiv l \pmod{2}, \\ 0 & \dots \text{the order of } \gamma \text{ is } p^{r-\nu}; \\ & cp^\nu \not\equiv l \pmod{2}. \end{cases}$$

(ii) if $Q \in Q_u^-(\Gamma)$,

$$(152) \quad A_l(\gamma)_\Gamma = \begin{cases} 0 \\ \frac{1}{e}(q^l + q^{l-1}) \\ 0 \\ \frac{1}{e}q^{ep^v}(q^l + q^{l-1}) \end{cases} \begin{array}{l} \\ \text{the same condition} \\ \\ \text{(same order) as above.} \end{array}$$

§33. The results of §32 suggest us to consider not only the group Γ^0 and the length $l(\gamma)$ but also the following group $\Gamma^{0'}$ and the length $l'(\gamma)$. Fix any element $\omega \in V \begin{pmatrix} \pi & 0 \\ 0 & 1 \end{pmatrix} V$, and put

$$(153) \quad \begin{cases} V' = \omega^{-1}V\omega, & T_p^{l'} = V' \begin{pmatrix} \pi^l & 0 \\ 0 & \pi^{-l} \end{pmatrix} V' = \omega^{-1}T_p^l\omega, \\ \Gamma^{0'} = \Gamma \cap (G_{\mathbf{R}} \times V'), & T^{l'} = \Gamma \cap (G_{\mathbf{R}} \times T_p^{l'}) \quad (l \geq 0). \end{cases}$$

Further, put $l'(x) = l$ for $x \in T_p^{l'}$, and $l'(\gamma) = l$ for $\gamma \in T^{l'}$. Note here that for any $x \in PL_2(k_p)$, $x^{-1}Vx$ is conjugate in G_p to either V or V' ; hence up to Γ -conjugacy, it is enough to consider only the two functions $l(\gamma)$ and $l'(\gamma)$.

THEOREM 4'. *Theorem 4 is also valid if we replace Γ^0 by $\Gamma^{0'}$ and $l(\delta)$ by $l'(\delta)$.*

THEOREM 5'. *Theorem 5 is also valid if we replace Γ^0 by $\Gamma^{0'}$ and $l(\delta)$ by $l'(\delta)$.*

PROOF. They are reduced to the same problems (as Theorem 4 resp. 5) at the first steps of imitating the proofs of Theorem 4 resp. 5. Namely, they are also reduced to the problems of parametrizing $V \setminus G_p / T$ and for each VgT , computing $l(gtg^{-1})$ (not $l'(gtg^{-1})$). The reason is that if X_T is the centralizer of T in $PL_2(k_p)$, then X_T contains an element ξ for which $\text{ord}_p(\det \xi) \equiv 1 \pmod{2}$. (This is in fact the case, since in the case of Theorem 4, X_T is the diagonal subgroup of $PL_2(k_p)$, and in the case of Theorem 5, X_T is identified with K_p^\times / k_p^\times , but since K_p / k_p is ramified, there is some $\xi \in K_p$ such that $\text{ord}_p N_{K_p/k_p}(\xi) \equiv 1 \pmod{2}$.) \square

On the other hand, as for Theorem 6, the circumstance is quite different. In fact, we obtain an "opposite" result, as follows.

THEOREM 6'. *Theorem 6 is also valid if we replace Γ^0 by $\Gamma^{0'}$, $l(\delta)$ by $l'(\delta)$ and if we invert $Q_u^+(\Gamma)$ and $Q_u^-(\Gamma)$.*

PROOF. In the proof of Theorem 6, the two cases of (145) appear inverted for $l'(\delta)$. \square

§34. The signatures of $\Gamma_{\mathbf{R}}^0$ and $\Gamma_{\mathbf{R}}^{0'}$. Let Δ be any fuchsian group, let g be the genus of \mathfrak{S}/Δ , let s be the number of cusps of Δ (counted up to Δ -equivalence), and let e_1, \dots, e_t

be the orders of the stabilizers of elliptic points of Δ (counted up to Δ -equivalence). In this situation, the data

$$(154) \quad \{g; \underbrace{\infty, \dots, \infty}_s; e_1, \dots, e_t\}$$

is called *the signature of Δ* . It is well-known that

$$(155) \quad v(\Delta) \stackrel{\text{def.}}{=} \frac{1}{2\pi} \int_{\Delta \setminus \mathfrak{H}} \frac{dx dy}{y^2} = 2g - 2 + s + \sum_{i=1}^t \left(1 - \frac{1}{e_i}\right) > 0,$$

where $x + iy \in \mathfrak{H}$ ($x, y \in \mathbf{R}$).

Now let us consider the signatures of $\Gamma_{\mathbf{R}}^0$ and $\Gamma_{\mathbf{R}}^{0'}$. First, since $(V : V \cap V') = (V' : V \cap V') = q + 1$, we have $(\Gamma_{\mathbf{R}}^0 : \Gamma_{\mathbf{R}}^0 \cap \Gamma_{\mathbf{R}}^{0'}) = (\Gamma_{\mathbf{R}}^{0'} : \Gamma_{\mathbf{R}}^0 \cap \Gamma_{\mathbf{R}}^{0'})$; hence

$$(156) \quad v(\Gamma_{\mathbf{R}}^0) = v(\Gamma_{\mathbf{R}}^{0'}).$$

Let

$$(157) \quad \{g; \underbrace{\infty, \dots, \infty}_s; e_1, \dots, e_a; e_{11}, \dots, e_{1b}; e_{21}, \dots, e_{2c}\}$$

be the signature of $\Gamma_{\mathbf{R}}^0$, where $\{e_1, \dots, e_a\}$ resp. $\{e_{11}, \dots, e_{1b}\}$ resp. $\{e_{21}, \dots, e_{2c}\}$ are associated with those elliptic points z of $\Gamma_{\mathbf{R}}^0$ that belong to $\wp(\Gamma)$ resp. $\mathcal{Q}_u(\Gamma)$ resp. $\mathcal{Q}_r(\Gamma)$. In the same manner, denote by

$$(157') \quad \{g'; \underbrace{\infty, \dots, \infty}_{s'}; e'_1, \dots, e'_{a'}; e'_{11}, \dots, e'_{1b'}; e'_{21}, \dots, e'_{2c'}\}$$

the signature of $\Gamma_{\mathbf{R}}^{0'}$.

Then by the corollary of Proposition 7 (§26), we have

$$(158) \quad s = s' = \sum_{P \in \wp_{\infty}(\Gamma)} \deg P,$$

and by Theorems 4, 4',

$$a = a';$$

$$(159) \quad \begin{aligned} \{e_1, \dots, e_a\} &= \{e'_1, \dots, e'_{a'}\} \\ &= \underbrace{\{e(P), \dots, e(P)\}}_{\deg P}; \underbrace{\{p^{r(P)-\nu}, \dots, p^{r(P)-\nu}\}}_{a(P, \nu)}; (0 \leq \nu \leq r(P) - 1) \}_{P \in \wp(\Gamma), e(P) > 1} \end{aligned}$$

with

$$(160) \quad a(P, \nu) = \begin{cases} \frac{\deg P}{e_0(P)p^{\nu}} (q^{c p^{\nu}} - q^{c p^{\nu-1}}) & \dots \nu > 0, \\ \frac{\deg P}{e_0(P)} (q^c - 1) & \dots \nu = 0, \end{cases}$$

where $e(P) = e_0(P)p^{r(P)}$ ($e_0(P) \not\equiv 0 \pmod{p}$), and $c = c(P)$ is as in Theorem 4. In particular, we see that $e(P) = 1$ holds for almost all $P \in \wp(\Gamma)$. Moreover, by Theorems 5, 5', we obtain

$$(161) \quad \begin{aligned} c &= c'; \quad \{e_{21}, \dots, e_{2c}\} = \{e'_{21}, \dots, e'_{2c'}\} \\ &= \underbrace{\{p^{r(Q)-\nu}, \dots, p^{r(Q)-\nu}\}}_{a(Q, \nu)}; (0 \leq \nu \leq r(Q) - 1) \}_{Q \in \mathcal{Q}_r(\Gamma)} \end{aligned}$$

where $e(Q) = p^{r(Q)}$, and

$$(162) \quad a(Q, \nu) = \begin{cases} \frac{1}{p^\nu} \frac{q^{\frac{1}{2}(c\nu+1)} - q^{\frac{1}{2}(c\nu-1+1)}}{q-1} & \dots p \nmid 2, \nu > 0, \\ \frac{q^{\frac{1}{2}(c+1)} - 1}{q-1} & \dots p \nmid 2, \nu = 0, \\ \frac{1}{2^\nu} q^{\frac{c}{2} - \text{ord}_p 2} \frac{q^{\frac{1}{2}(c2^\nu+1)} - q^{\frac{1}{2}(c2^\nu-1+1)}}{q-1} & \dots p|2, \nu > 0, \\ \frac{q^{\frac{c}{2} - \text{ord}_p 2 + \frac{1}{2}(c+1)} - 1}{q-1} & \dots p|2, \nu = 0. \end{cases}$$

Here, $c = c(Q)$ is as in Theorem 5. In particular, $Q_r(\Gamma)$ is finite. Therefore, by (156), (158), (159) and (161), we obtain

$$(163) \quad \frac{1}{2} \sum_{i=1}^b \left(1 - \frac{1}{e_{1i}}\right) - \frac{1}{2} \sum_{j=1}^{b'} \left(1 - \frac{1}{e'_{1j}}\right) = g' - g.$$

As for e_{1i} and e'_{1j} , we obtain by Theorems 6, 6':

$$(164) \quad \begin{aligned} \{e_{11}, \dots, e_{1b}\} &= \{e(Q); \overbrace{p^{r(Q)-\nu}, \dots, p^{r(Q)-\nu}}^{a(Q,\nu)} (0 \leq \nu \leq r(Q) - 1)\}_{Q \in Q_u^+(\Gamma)} \\ &\quad \cup \{ \overbrace{p^{r(Q)-\nu}, \dots, p^{r(Q)-\nu}}^{b(Q,\nu)} (0 \leq \nu \leq r(Q) - 1) \}_{Q \in Q_u^-(\Gamma)} \\ \{e'_{11}, \dots, e'_{1b'}\} &= \{ \overbrace{p^{r(Q)-\nu}, \dots, p^{r(Q)-\nu}}^{b(Q,\nu)} (0 \leq \nu \leq r(Q) - 1) \}_{Q \in Q_u^+(\Gamma)} \\ &\quad \cup \{e(Q); \overbrace{p^{r(Q)-\nu}, \dots, p^{r(Q)-\nu}}^{a(Q,\nu)} (0 \leq \nu \leq r(Q) - 1)\}_{Q \in Q_u^-(\Gamma)}, \end{aligned}$$

where

$$(165) \quad \begin{aligned} a(Q, \nu) &= \begin{cases} \frac{1}{e_0(Q)p^\nu} \frac{q^{2\lfloor \frac{c\nu}{2} \rfloor + 1} - q^{2\lfloor \frac{c\nu-1}{2} \rfloor + 1}}{q-1} & \dots \nu > 0, \\ \frac{1}{e_0(Q)} \frac{q^{2\lfloor \frac{c}{2} \rfloor + 1} - q}{q-1} & \dots \nu = 0, \end{cases} \\ b(Q, \nu) &= \begin{cases} \frac{1}{e_0(Q)p^\nu} \frac{q^{2\lfloor \frac{c\nu+1}{2} \rfloor} - q^{2\lfloor \frac{c\nu-1+1}{2} \rfloor}}{q-1} & \dots \nu > 0, \\ \frac{1}{e_0(Q)} \frac{q^{2\lfloor \frac{c+1}{2} \rfloor} - 1}{q-1} & \dots \nu = 0. \end{cases} \end{aligned}$$

Here, $c = c(Q)$ is as in Theorem 6. However, we do not know at present whether $\{e(Q)\}_{Q \in Q_u^+(\Gamma)}$ and $\{e(Q)\}_{Q \in Q_u^-(\Gamma)}$, or $\{e_{11}, \dots, e_{1b}\}$ and $\{e'_{11}, \dots, e'_{1b'}\}$, or g and g' are always equal. (We conjecture that they are equal. No counterexamples are known.)

At any rate, by (164), $Q_u(\Gamma) = Q_u^+(\Gamma) \cup Q_u^-(\Gamma)$ is finite; hence $Q(\Gamma) = Q_u(\Gamma) \cup Q_r(\Gamma)$ is also finite.

The following formulae, which are obtained directly by the above results, are used later.

$$(166) \quad \begin{aligned} \sigma &\stackrel{\text{def.}}{=} \sum_{i=1}^a \left(1 - \frac{1}{e_i}\right) \\ &= \sum_{P \in \mathfrak{p}(\Gamma)} \deg P \left\{ 1 - \frac{1}{e_0(P)} + \frac{1}{e(P)} \sum_{\nu=1}^{r(P)} (p^\nu - p^{\nu-1}) q^{\frac{\text{ord}_p p}{p^\nu - p^{\nu-1}}} \right\}, \end{aligned}$$

$$\begin{aligned}
 \tau_r & \stackrel{\text{def.}}{=} (q-1) \sum_{i=1}^c \left(1 - \frac{1}{e_{2i}}\right) + \sum_{Q \in Q_r(\Gamma)} \left(1 - \frac{1}{e(Q)}\right) \\
 (167) \quad & = \sum_{Q \in Q_r(\Gamma)} \frac{1}{e(Q)} \sum_{v=1}^{r(Q)} (p^v - p^{v-1}) q^{\frac{\text{ord}_p p}{p^v - p^{v-1}} + \frac{1}{2}} \times \begin{cases} 1 & \dots p \nmid 2, \\ q^{\frac{\kappa}{2} - \text{ord}_p 2} & \dots p \mid 2, \end{cases}
 \end{aligned}$$

where $\kappa = \text{Max}_{u \in u_p} \text{ord}_p(u^2 + 1)$ (for $p \mid 2$, if $Q_r(\Gamma) \neq \emptyset$).²³

$$\begin{aligned}
 \tau_u & \stackrel{\text{def.}}{=} (q-1) \sum_{i=1}^b \left(1 - \frac{1}{e_{1i}}\right) + \sum_{Q \in Q_u(\Gamma)} \left(1 - \frac{1}{e(Q)}\right) \\
 (168) \quad & = q \sum_{Q \in Q_u^+(\Gamma)} \left\{ 1 - \frac{1}{e_0(Q)} + \frac{1}{e(Q)} \sum_{v=1}^{r(Q)} (p^v - p^{v-1}) q^{2 \left[\frac{\text{ord}_p p}{2(p^v - p^{v-1})} \right]} \right\} \\
 & + \sum_{Q \in Q_u^-(\Gamma)} \left\{ 1 - \frac{1}{e_0(Q)} + \frac{1}{e(Q)} \sum_{v=1}^{r(Q)} (p^v - p^{v-1}) q^{2 \left[\frac{1}{2} \left(\frac{\text{ord}_p p}{p^v - p^{v-1}} + 1 \right) \right]} \right\}.
 \end{aligned}$$

Here, $[\]$ denotes the Gauss symbol.

$$\begin{aligned}
 (168') \quad & \text{Equation (168) remains valid if we replace } \sum_{i=1}^b \left(1 - \frac{1}{e_{1i}}\right) \\
 & \text{by } \sum_{i=1}^{b'} \left(1 - \frac{1}{e'_{1i}}\right) \text{ and invert } Q_u^+(\Gamma) \text{ and } Q_u^-(\Gamma).
 \end{aligned}$$

Call this new number τ'_u .

The ζ function of Γ in the general case.

§35. The results. By our above results on parabolic elements and elliptic elements of Γ , we can extend Theorem 1 (§8) and Theorem 2 (§23) to the case of general Γ , as follows.

THEOREM 7. *Let Γ be any discrete subgroup of $G = G_{\mathbf{R}} \times G_p$ such that $\Gamma_{\mathbf{R}}, \Gamma_p$ are dense in $G_{\mathbf{R}}, G_p$ respectively and that G/Γ has finite invariant volume. Let $\zeta_{\Gamma}(u) = \prod_{P \in \mathfrak{p}(\Gamma)} (1 - u^{\deg P})^{-1}$ be the ζ -function of Γ (see §6). Then we have the following formula for $\zeta_{\Gamma}(u)$:*

$$(169) \quad \zeta_{\Gamma}(u) \times \prod_{P \in \mathfrak{p}_{\infty}(\Gamma)} (1 - u^{\deg P})^{-1} = \frac{P(u)(1 + qu)^{g'-g}}{(1-u)(1-q^2u)} \times (1-u)^H,$$

where $\mathfrak{p}_{\infty}(\Gamma)$ is the (finite) set of all Γ -equivalence classes of cusps of Γ (see §26), $q = Np$, g and g' are the genus of $\Gamma_{\mathbf{R}}^0$ and $\Gamma_{\mathbf{R}}^{0'}$ respectively, where $\Gamma^0 = \Gamma \cap (G_{\mathbf{R}} \times V)$ and $\Gamma^{0'} = \Gamma \cap (G_{\mathbf{R}} \times \omega^{-1}V\omega)$, with $V = \text{PSL}_2(O_p)$ and $\omega \in V \begin{pmatrix} \pi & 0 \\ 0 & 1 \end{pmatrix} V$ (π : a prime element of k_p).

²³ As can be checked, easily, the exponent $\frac{\text{ord}_p p}{p^v - p^{v-1}} + \frac{1}{2} (+\frac{\kappa}{2} - \text{ord}_p 2$ for $p \mid 2$) is an integer.

$P(u)$ is a polynomial of degree $2g$ with a form:

$$(170) \quad \begin{cases} P(u) = \prod_{i=1}^g (1 - \pi_i u)(1 - \pi_i^* u) \in \mathbf{Z}[u], \\ \pi_i \pi_i^* = q^2 \quad (1 \leq i \leq g), \end{cases}$$

$$(171) \quad |\pi_i|, |\pi_i^*| \leq q^2; \quad \pi_i, \pi_i^* \neq 1, \quad q^2.$$

Now, the positive integer H is given as follows:

$$(172) \quad \begin{aligned} H &= \frac{1}{2}(q-1)v(\Gamma_{\mathbf{R}}^0) + \frac{1}{2} \sum_{Q \in \mathcal{Q}(\Gamma)} \left(1 - \frac{1}{e(Q)}\right) \\ &= (q-1)(g-1 + \frac{s}{2} + \frac{\sigma}{2}) + \frac{\tau_u}{2} + \frac{\tau_r}{2}, \end{aligned}$$

where

$$(173) \quad \begin{aligned} v(\Gamma_{\mathbf{R}}^0) &= \frac{1}{2\pi} \int_{\Gamma_{\mathbf{R}}^0 \setminus \mathfrak{D}} \frac{dx dy}{y^2} \\ &= 2g - 2 + s + \sum_{i=1}^a \left(1 - \frac{1}{e_i}\right) + \sum_{j=1}^b \left(1 - \frac{1}{e_{1j}}\right) + \sum_{k=1}^c \left(1 - \frac{1}{e_{2k}}\right), \end{aligned}$$

$\{g; \underbrace{\infty, \dots, \infty}_s; e_1, \dots, e_a; e_{11}, \dots, e_{1b}; e_{21}, \dots, e_{2c}\}$ being the signature of $\Gamma_{\mathbf{R}}^0$ in the way of notations given in §34. Thus, $s = \sum_{P \in \mathfrak{p}_{\infty}(\Gamma)} \deg P$, and the numbers σ , τ_u , τ_r are given by (166), (168), (167) respectively. In particular, if Γ has no elements of order p and $g' = g$, then we have

$$(174) \quad \begin{aligned} \zeta_{\Gamma}(u) &\times \prod_{P \in \mathfrak{p}_{\infty}(\Gamma)} (1 - u^{\deg P})^{-1} \\ &= \frac{P(u)}{(1-u)(1-q^2u)} \times (1-u)^{\frac{1}{2}(q-1)v(\Gamma_{\mathbf{R}}^0) + \sum_{j=1}^b (1 - \frac{1}{e_{1j}})} \end{aligned}$$

(see (163), (164)).

REMARKS . (i) We conjecture that $g' = g$; to which no counterexamples are known.

(ii) By (169), we see that $\zeta_{\Gamma}(u)$ was better defined with

$$\prod_{P \in \mathfrak{p}(\Gamma) \cup \mathfrak{p}_{\infty}(\Gamma)} (1 - u^{\deg P})^{-1}.$$

But to avoid confusion, we shall keep the previous definition.

(iii) Finally, we note that if Γ is torsion-free, then we have $g' = g$ by (163); hence

$$(175) \quad \begin{aligned} \zeta_{\Gamma}(u) &\times \prod_{P \in \mathfrak{p}_{\infty}(\Gamma)} (1 - u^{\deg P})^{-1} \\ &= \frac{P(u)}{(1-u)(1-q^2u)} \times (1-u)^{(q-1)(g-1 + \frac{s}{2})} \end{aligned}$$

and if moreover G/Γ is compact, then $\mathfrak{p}_{\infty}(\Gamma) = \emptyset$ and $s = 0$; hence we have

$$(176) \quad \zeta_{\Gamma}(u) = \frac{P(u)}{(1-u)(1-q^2u)} \times (1-u)^{(q-1)(g-1)}$$

which is nothing but Theorem 1 (§8).

§36. The Eichler-Selberg trace-formula. Having Theorems 3 ~ 6 and their corollaries on hand, we can prove Theorem 7 exactly in the same manner as in the proof of Theorem 1. But, of course, we need here the Eichler-Selberg trace formula (for the Hecke operators acting on the space of holomorphic cusp forms of weight 2) with respect to fuchsian groups Δ , where $G_{\mathbf{R}}/\Delta$ may not be compact and Δ may not be torsion-free. Namely, we make use of the following generalization of Lemma 1 (§9):

LEMMA 18 (Eichler-Selberg, Petersson).²⁴ *Let Δ be a discrete subgroup of $G_{\mathbf{R}}$ such that $G_{\mathbf{R}}/\Delta$ has finite invariant volume, let $\tilde{\Delta}$ be a subgroup of $G_{\mathbf{R}}$ containing Δ such that $\gamma^{-1}\Delta\gamma$ is commensurable with Δ and $\Delta\gamma^{-1}\Delta = \Delta\gamma\Delta$ for all $\gamma \in \tilde{\Delta}$. Let $\rho = \rho_2$ be the representation (22) (§9) of the Hecke ring $\mathcal{H}(\tilde{\Delta}, \Delta)$ in the space of holomorphic cusp forms of weight 2 with respect to Δ . Then ρ is a direct sum of g linear real representations χ_1, \dots, χ_g (g : the genus of Δ). Moreover for each $\gamma_0 \in \tilde{\Delta}$ with $\gamma_0 \notin \Delta$, put*

$$(177) \quad A(\Delta\gamma_0\Delta) = \sum_{\nu} \frac{1}{n_{\nu}} + 2 \sum_S \sum_h r_h,$$

where

- (i) ν runs over all elliptic Δ -conjugacy classes $\{\gamma\}_{\Delta}$ contained in $\Delta\gamma_0\Delta$, and n_{ν} is the order of the centralizer of γ in Δ ; or equivalently, n_{ν} is the multiplicity of the fixed point of γ as an elliptic point of Δ .
- (ii) S runs over all cusps of Δ up to Δ -equivalence; $(\Delta\gamma_0\Delta)_S$ (resp. Δ_S) is the set of all elements of $\Delta\gamma_0\Delta$ (resp. Δ) that stabilize ²⁵ S . For each S , h runs over a set of representatives of Δ_S -double cosets in $(\Delta\gamma_0\Delta)_S$, and r_h is defined as follows. For each S , fix a generator δ_S of Δ_S , and define r_h by:

h	r_h
$h : \text{parabolic, } h = \delta_S^b \ (b \in \mathbf{R})$	$\frac{1}{1 - e^{-2\pi ib}}$
$h : \text{hyperbolic, } h^{-1}\delta_S h = \delta_S^{\beta/\alpha},$ where $\alpha, \beta \in \mathbf{Z}, > 0,$ and $(\alpha, \beta) = 1.$	$\begin{cases} 0 & (\text{if } \alpha > \beta) \\ \alpha & (\text{if } \alpha < \beta) \end{cases}$

(178)

Then, the summations on the right side of (177) are finite, and we have

$$(179) \quad A(\Delta\gamma_0\Delta) = 2(d(\Delta\gamma_0\Delta) - \text{tr } \rho(\Delta\gamma_0\Delta)),$$

where $d(\Delta\gamma_0\Delta) = |\Delta\gamma_0\Delta/\Delta|$.

§37. Proof of Theorem 7. First we shall compute the right side of (177) for the case $\tilde{\Delta} = \Gamma_{\mathbf{R}}, \Delta = \Gamma_{\mathbf{R}}^0$, and $\Delta\gamma_0\Delta = T_m$ ($m \geq 1$).

(i) *The second term (contribution of parabolic elements).*

Fix any cusp S of $\Gamma_{\mathbf{R}}^0$ and let d be the degree of its Γ -equivalence class (see §26). Put

$$\begin{aligned} H^0 &= \{\gamma \in \Gamma \mid \gamma_{\mathbf{R}}S = S, \gamma_{\mathbf{R}} : \text{parabolic}\} \cup \{1\}, \\ H &= \{\gamma \in \Gamma \mid \gamma_{\mathbf{R}}S = S\}. \end{aligned}$$

²⁴Cf. M. Eichler [12].

²⁵ Then elements of Δ_S are necessarily parabolic, but elements of $(\Delta\gamma_0\Delta)_S$ may not be so.

By Theorem 3, there exists $t \in G_{\mathbf{R}} \times PL_2(\mathbf{Z}_p)$ such that $H = t^{-1}B^{(d)}t$, where

$$B^{(d)} = \left\{ \begin{pmatrix} p^{-dk} & b \\ 0 & p^{dk} \end{pmatrix} \mid k \in \mathbf{Z}, b \in \mathbf{Z}^{(p)} \right\}.$$

Thus $H^0 = t^{-1} \begin{pmatrix} 1 & \mathbf{Z}^{(p)} \\ 0 & 1 \end{pmatrix} t$, and $H \cap \Gamma^0 = t^{-1} \begin{pmatrix} 1 & \mathbf{Z} \\ 0 & 1 \end{pmatrix} t$. Put $\xi = t^{-1} \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} t$, so that ξ generates $H \cap \Gamma^0$. Let h be any element of H and put $h = t^{-1} \begin{pmatrix} p^{-kd} & b \\ 0 & p^{kd} \end{pmatrix} t$. Then

$$(180) \quad h \in T^m \leftrightarrow \begin{cases} |k| \cdot d \leq m, & b_0 \in \mathbf{Z}; \\ \text{when } |k| \cdot d < m, & b_0 \not\equiv 0 \pmod{p}; \end{cases}$$

where $b_0 = bp^m$. Moreover if k and b_0 run over such numbers as above, and b_0 runs only over a set of representatives modulo $p^{m-|k|d}$, then h runs over a set of representatives of the double coset space $H \cap \Gamma^0 \backslash H \cap T^m / H \cap \Gamma^0$. For each such representative h , let us compute r_h (defined in Lemma 18).²⁶ First, h is parabolic if and only if $k = 0$, and in this case $b_h = b = b_0/p^m$ ($b_0 \not\equiv 0 \pmod{p}$). Thus the summation $\sum r_h$ over such h is given by $\sum_{\zeta} (1 - \zeta)^{-1}$, where ζ runs over all primitive p^m -th roots of unity; hence is equal to $\frac{1}{2}(p-1)p^{m-1}$ (use the equality $\sum_{\zeta} (x - \zeta)^{-1} = \frac{f'(x)}{f(x)}$, where $f(x) = (x^{p^m} - 1)(x^{p^{m-1}} - 1)^{-1}$). On the other hand, if h is hyperbolic, i.e., if $k \neq 0$, then the numbers α, β defined in (178) are given by $\alpha = 1, \beta = p^{2kd}$ ($k > 0$), and $\alpha = p^{-2kd}, \beta = 1$ ($k < 0$). Moreover for each $k > 0$ with $kd \leq m$, the number of $b_0 \pmod{p^{m-kd}}$ satisfying (180) is equal to $(p-1)p^{m-kd-1}$ ($kd < m$), 1 ($kd = m$). Therefore, the summation $\sum r_h$ over the hyperbolic representatives h is given by

$$(181) \quad \begin{cases} (p-1) \sum_{k=1}^{\lfloor \frac{m}{d} \rfloor} p^{m-kd-1} & \dots m \not\equiv 0 \pmod{d} \\ (p-1) \sum_{k=1}^{\frac{m}{d}-1} p^{m-kd-1} + 1 & \dots m \equiv 0 \pmod{d}. \end{cases}$$

Call this number $c(m, d)$. Then, since each $P \in \wp_{\infty}(\Gamma)$ consists of exactly $\deg P$ distinct $\Gamma_{\mathbf{R}}^0$ equivalence classes (Proposition 7, §26), the second term of the right side of (177) is given by

$$(182) \quad s(p-1)p^{m-1} + 2 \sum_{P \in \wp_{\infty}(\Gamma)} c(m, \deg P) \deg P,$$

where $s = \sum_{P \in \wp_{\infty}(\Gamma)} \deg P$ is the number of cusps of $\Gamma_{\mathbf{R}}^0$ (up to $\Gamma_{\mathbf{R}}^0$ -equivalence).

(ii) *The first term (contribution of elliptic elements).*

This is obtained directly from the Corollaries of Theorems 4, 5, 6 (see (118) for the definition of $A_m\{\gamma\}_{\Gamma}$). In fact, $\sum_{\nu} n_{\nu}^{-1}$ is given by

$$(183) \quad \sum_{\{\gamma\}_{\Gamma}} A_m\{\gamma\}_{\Gamma} = \left(\sum_{\{\gamma\}_{\Gamma}}^{\mathfrak{P}} + \sum_{\{\gamma\}_{\Gamma}}^{Q_u} + \sum_{\{\gamma\}_{\Gamma}}^{Q_r} \right) A_m\{\gamma\}_{\Gamma},$$

where the summation on the left side is over all Γ -conjugacy classes $\{\gamma\}_{\Gamma}$ such that $\gamma_{\mathbf{R}}$ is elliptic, and the summations on the right side are over such $\{\gamma\}_{\Gamma}$ that the fixed points z ($\in \mathfrak{H}$) of $\gamma_{\mathbf{R}}$ belong to the elements $\wp(\Gamma)$, $Q_u(\Gamma)$ and $Q_r(\Gamma)$ respectively.

²⁶W. r. t. the generator ξ of $H \cap \Gamma^0$.

By the Corollary of Theorem 4, we have

$$(184) \quad \begin{aligned} \sum_{\{\gamma\}_\Gamma} A_m\{\gamma\}_\Gamma &= \sum_{P=P_z \in \wp(\Gamma)} \sum_{\gamma \in \Gamma_z, \gamma \neq 1} A_m\{\gamma\}_\Gamma \\ &= 2\{N_m + (q-1) \sum_{k=1}^{m-1} q^{k-1} N_{m-k}\} + (q-1)q^{m-1}\sigma, \end{aligned}$$

where σ is given by (166) and

$$(185) \quad N_m = \sum_{P \in \wp(\Gamma), \deg P | m} \deg P.$$

Note, in computing this out, that for each $n \geq 0$, the number of elements γ ($\gamma \neq 1$) of Γ_z with $\deg\{\gamma\}_\Gamma = n$ is given by

$$\begin{cases} 2e(P) & \dots n > 0, n \equiv 0 \pmod{\deg P}, \\ 0 & \dots n > 0, n \not\equiv 0 \pmod{\deg P}, \\ e(P) - 1 & \dots n = 0. \end{cases}$$

Note also the Corollary ((ii)) of Proposition 8.

On the other hand, by the Corollary of Theorem 6, we obtain

$$(186) \quad \sum_{\{\gamma\}_\Gamma}^{Q_u} A_m\{\gamma\}_\Gamma = \begin{cases} (q+1)q^{m-1}\mu & \dots m : \text{even}, \\ (q+1)q^{m-1}\mu' & \dots m : \text{odd}. \end{cases}$$

where μ, μ' are given by the following:

$$(187) \quad \begin{aligned} \mu &= \sum_{Q \in Q_u^+(\Gamma)} \left(1 - \frac{1}{e_0(Q)}\right) \\ &+ \begin{cases} \sum_{Q \in Q_u^+(\Gamma)} e(Q)^{-1} \sum_{v=1}^{r(Q)} (p^v - p^{v-1}) q^{cp^{r(Q)-v}} & \dots c : \text{even}, \\ \sum_{Q \in Q_u^-(\Gamma)} e(Q)^{-1} \sum_{v=1}^{r(Q)} (p^v - p^{v-1}) q^{cp^{r(Q)-v}} & \dots c : \text{odd}. \end{cases} \end{aligned}$$

$$(187') \quad \begin{aligned} &\text{the formula for } \mu' \text{ is obtained by inverting } Q_u^+(\Gamma) \text{ and } Q_u^-(\Gamma) \\ &\text{on the right side of (187)}. \end{aligned}$$

Here, c is defined by $\text{ord}_p p = c(p^{r(Q)} - p^{r(Q)-1})$. Note, in computing this out, that since c is even when $p|2$ (see Theorem 6), we have $c : \text{even} \Leftrightarrow cp : \text{even}$. Now we can check directly by (168) and (163) that

$$(188) \quad q\mu + \mu' = \tau_u,$$

$$(189) \quad \mu - \mu' = 2(g' - g).$$

Finally, we obtain immediately from the Corollary of Theorem 5 that

$$(190) \quad \sum_{\{\gamma\}_\Gamma}^{Q_r} A_m\{\gamma\}_\Gamma = q^{m-1}\tau_r,$$

τ_r being as in (167). Thus by putting these together, we obtain

$$(191)^{27} \quad \frac{1}{2}A(T^m) = A_m + B_m,$$

with

$$(192) \quad \begin{cases} A_m = N_m + (q-1) \sum_{k=1}^{m-1} N_{m-k}, \\ B_m = \frac{1}{2}(p-1)sp^{m-1} + \sum_{P \in \rho_\infty(\Gamma)} c(m, \deg P) \deg P \\ \quad + \left(\frac{q-1}{2}\sigma + \frac{\tau_r}{2}\right)q^{m-1} + \begin{cases} \frac{1}{2}(q+1)q^{m-1}\mu & \dots m : \text{even}, \\ \frac{1}{2}(q+1)q^{m-1}\mu' & \dots m : \text{odd}. \end{cases} \end{cases}$$

Now, in general, let $f(m)$, $F(m)$ be two functions defined for every positive integer m . Then the following two relations are equivalent:

$$(193) \quad F(m) = f(m) - (q-1) \sum_{k=1}^{m-1} f(m-k) \quad (m \geq 1),$$

$$(193') \quad f(m) = F(m) + (q-1) \sum_{k=1}^{m-1} q^{k-1} F(m-k) \quad (m \geq 1),$$

(see §13). Moreover, we have the following table.²⁸

	$f(m)$	$F(m)$	Notes
(i)	$c(m, d)$	$\begin{cases} 1 & \dots m \equiv 0 \pmod{d} \\ 0 & \dots m \not\equiv 0 \pmod{d} \end{cases}$	use (193') to check
(ii)	q^{m-1}	1	put $d = 1$ in (i)
(iii)	$\begin{cases} (q+1)q^{m-1}\mu & \dots m : \text{even} \\ (q+1)q^{m-1}\mu' & \dots m : \text{odd} \end{cases}$	$\begin{aligned} q\mu + \mu' + (-q)^m(\mu - \mu') \\ = \tau_u + 2(-q)^m(g' - g) \end{aligned}$	
(iv)	A_m	N_m	by definition

Now define L_m and N'_m by :

(v)	B_m	L_m
(vi)	$\frac{1}{2}A(T^m)$	$N'_m = N_m + L_m$

Then by (194) (i) (ii), we obtain

$$(195) \quad L_m = \frac{1}{2}(p-1)s + \sum_{\substack{P \in \rho_\infty(\Gamma), \\ \deg P = m}} \deg P + \frac{q-1}{2}\sigma + \frac{\tau_r}{2} + \frac{\tau_u}{2} + (-q)^m(g' - g),$$

and

$$(196) \quad N_m = N'_m - L_m.$$

²⁷ $A(T^m)$ is the $A(\Delta\gamma\Delta)$ (of Lemma 18) for $\Delta\gamma\Delta = T^m$.

²⁸ Recall that if Γ has a cusp, then $k_p = \mathbf{Q}_p$; hence $q = p$.

Now, on the other hand, we can compute the right side of (179) for $\Delta\gamma_0\Delta = T^m$ by exactly the same computation as in §14. Namely, put

$$(197) \quad \det\{1 - (\rho(T^1) - q + 1)u + q^2u^2\} = \prod_{i=1}^g \{1 - (\chi_i(T^1) - q + 1)u + q^2u^2\} \\ = \prod_{i=1}^g (1 - \pi_i u)(1 - \pi_i^* u), \quad (\pi_i \pi_i^* = q^2; 1 \leq i \leq g).$$

Then by the same computation as in §14, we obtain

$$(198) \quad N'_m = q^{2m} + 1 - (q-1)(g-1) - \sum_{i=1}^g (\pi_i^m + \pi_i^{*m}) \quad (m \geq 1).$$

Now by (195), (196) and (198), we immediately obtain the formula for $\zeta_\Gamma(u) = \prod_{P \in \wp(\Gamma)} (1 - u^{\deg P})^{-1} = \exp(\sum_{m=1}^{\infty} \frac{N'_m}{m} u^m)$; namely we obtain

$$(199) \quad \zeta_\Gamma(u) = \exp\left(\sum_{m=1}^{\infty} \frac{N'_m}{m} u^m\right) \times \exp\left(-\sum_{m=1}^{\infty} \frac{L_m}{m} u^m\right) \\ = \frac{\prod_{i=1}^g (1 - \pi_i u)(1 - \pi_i^* u)}{(1-u)(1-q^2u)} \times (1-u)^{(g-1)(g-1)} \\ \times \prod_{P \in \wp_\infty(\Gamma)} (1 - u^{\deg P}) \times (1-u)^{\frac{1}{2}(p-1)s + \frac{q-1}{2}\sigma + \frac{\tau_u}{2} + \frac{\tau_r}{2}} \\ \times (1+qu)^{g'-g}.$$

Since $H = \frac{1}{2}(p-1)s + \frac{1}{2}(q-1)\sigma + \frac{1}{2}\tau_u + \frac{1}{2}\tau_r$, this proves (169).²⁹

That $P(u) \in \mathbf{Z}[u]$ and $H \in \mathbf{Z}$. By (169), we have $P(u)(1-u)^H \in \mathbf{Z}[[u]]$, and by definition, $H \in \mathbf{Q}$.³⁰ Put $H = \frac{m}{n}$ ($m, n \in \mathbf{Z}, > 0$). Then $P(u)^n \in \mathbf{Z}[u]$; hence ³¹ $P(u) \in \mathbf{Z}[u]$. But then $(1-u)^H \in \mathbf{Z}[[u]]$; hence $H \in \mathbf{Z}$.

That (171) holds. This follows exactly in the same manner as in the proof of Theorem 2 (§23), if we use the generalization of Lemma 10 of Chapter 1 given in Supplement §2 instead of Lemma 10. This completes the proof of Theorem 7. \square

We have also proved:

COROLLARY. *With the notations of Theorem 7 and Lemma 18, we have*

$$(200) \quad P(u) = \det\{1 - (\rho(T^1) - q + 1)u + q^2u^2\}.$$

§38. Examples.

EXAMPLE 1. Let B be a quaternion algebra over \mathbf{Q} , in which p and ∞ are unramified. Let D be the discriminant of B (so, $D \not\equiv 0 \pmod{p}$). Let \mathcal{O} be a maximal order of B , put $\mathcal{O}^{(p)} = \bigcup_{n=0}^{\infty} p^{-n}\mathcal{O}$, and put

$$(201) \quad \Gamma = \{x \in \mathcal{O}^{(p)} \mid N_{B/\mathbf{Q}}(x) = 1\} / \pm 1.$$

²⁹Recall that $s \neq 0$ only if $q = p$.

³⁰Hence $P(u) \in \mathbf{Q}[u]$.

³¹Use Gauss' lemma.

Then by Proposition 1 of Chapter 4, Γ can be considered as a discrete subgroup of $G = G_{\mathbf{R}} \times G_p = PSL_2(\mathbf{R}) \times PSL_2(\mathbf{Q}_p)$ (with dense image of projection in each component of G , and with finite volume quotient G/Γ). The quotient is compact if and only if $D \neq 1$. For $D = 1$, we have $B = M_2(\mathbf{Q})$, and $\Gamma = PSL_2(\mathbf{Z}^{(p)})$ up to conjugacy in G .

Now by Eichler's arithmetic of quaternion algebras [11], and by Shimizu [28] (for (203)), we can easily calculate the various invariants of these Γ defined in Theorem 7. The result is as follows:

$$(202) \quad g = g' = \frac{1}{12} \prod_{\eta D} (l-1) - \frac{1}{4} \prod_{\eta D} \left(1 - \left(\frac{-4}{l}\right)\right) - \frac{1}{3} \prod_{\eta D} \left(1 - \left(\frac{-3}{l}\right)\right) + 1 - \begin{cases} \frac{1}{2} & \dots D = 1, \\ 0 & \dots D \neq 1; \end{cases}$$

$$(203) \quad v(\Gamma_{\mathbf{R}}^0) = \frac{1}{6} \prod_{\eta D} (l-1),$$

$$(204) \quad \sum_{Q \in \mathcal{Q}(\Gamma)} \left(1 - \frac{1}{e(Q)}\right) = \frac{1}{2} \prod_{\eta D p} \left(1 - \left(\frac{-4}{l}\right)\right) + \frac{2}{3} \prod_{\eta D p} \left(1 - \left(\frac{-3}{l}\right)\right).$$

Hence

$$(205) \quad H = \frac{1}{12} \prod_{\eta D p} (l-1) + \frac{1}{4} \prod_{\eta D p} \left(1 - \left(\frac{-4}{l}\right)\right) + \frac{1}{3} \prod_{\eta D p} \left(1 - \left(\frac{-3}{l}\right)\right).$$

Thus,

$$(206) \quad \zeta_{\Gamma}(u) \times \begin{cases} (1-u)^{-1} & \dots D = 1 \\ 1 & \dots D \neq 1 \end{cases} = \frac{P(u)}{(1-u)(1-p^2u)} \times (1-u)^H,$$

$P(u)$ being a polynomial of degree $2g$ of the form described in Theorem 7. In particular, if $D = 1, 6, 10$ or 22 , then we have $g = 0$; hence $P(u) = 1$. For $D = 1$, i.e., $\Gamma = PSL_2(\mathbf{Z}^{(p)})$, this formula coincides with the one calculated in §7.

Here, we note a rather strange fact: if B^* denotes the quaternion algebra over \mathbf{Q} with discriminant $D^* = Dp$ (hence D^* is *definite*), then by Eichler's formula for the class number of (definite) quaternion algebras (see Eichler [10] Satz 2), we obtain:

$$(207) \quad H \text{ is equal to the class number of } B^*.$$

However, we do not know what this really implies, except in the case of $D = 1$. (For $D = 1$, i.e., $\Gamma = PSL_2(\mathbf{Z}^{(p)})$, H is nothing but the number of supersingular moduli j (Corollary of Theorem 1' in §9 of Chap.5), and if E_j denotes the elliptic curve with modulus j , then $j \mapsto \mathcal{A}(E_j)$ (the endomorphism ring of E_j) gives a bijection between the set of all supersingular moduli j and that of right orders of the (complete set of) representatives of left O^* -ideals of B^* , where O^* is a given maximal order of B^* .)

EXAMPLE 2 (See Chap. 5, Part 2 for the details and proofs). Let $\Gamma = PSL_2(\mathbf{Z}^{(p)})$ and let Γ' be a subgroup of Γ with finite index. Let K' be the finite extension of $K = \mathbf{F}_{p^2}(j)$ (j : a variable over \mathbf{F}_p) corresponding to Γ' in the sense of §16 of Chapter 5 Part 2. Let H' be the number of prime divisors of K' that lie on supersingular prime divisors of K . Then by the results of Chapter 5, Part 2 (esp. §30), we have

$$(208) \quad \zeta_{\Gamma'}(u) \times \prod_{P \in \mathfrak{p}_{\infty}(\Gamma')} (1 - u^{\deg P})^{-1} = \zeta_{K'}(u) \times (1 - u)^{H'},$$

where $\zeta_{K'}(u) = \frac{P'(u)}{(1-u)(1-p^2u)}$ is the congruence ζ -function of K' over \mathbf{F}_{p^2} . Thus we have

$$P'(u) = \prod_{i=1}^{g'} (1 - \pi_i u)(1 - \bar{\pi}_i u) \quad \text{with } |\pi_i| = |\bar{\pi}_i| = p \quad (1 \leq i \leq g'),$$

where g' is the genus of K' and is at the same time the genus of $(\Gamma'^0)_{\mathbf{R}}$.

As an example, let $N > 1$, $N \not\equiv 0 \pmod{p}$ be an integer, and let $\Gamma' = \Gamma(N)$ be the principal congruence subgroup of Γ ;

$$(209) \quad \Gamma(N) = \{\gamma \in SL_2(\mathbf{Z}^{(p)}) \mid \gamma \equiv \pm 1 \pmod{N}\} / \pm 1 \quad (N > 1).$$

Put

$$n = (\Gamma : \Gamma(N)) = \begin{cases} 6 & (N = 2), \\ \frac{N^3}{2} \prod_{d|N} (1 - \frac{1}{d^2}) & (N > 2); \end{cases}$$

put $s = n/N$, and let d be the smallest positive integer such that $p^d \equiv \pm 1 \pmod{N}$. Then we have

$$(210) \quad \prod_{P \in \mathfrak{p}_{\infty}(\Gamma')} (1 - u^{\deg P}) = (1 - u^d)^{s/d}.$$

The genus g' of $\Gamma(N)$ is given by $g' = \frac{N-6}{12N}n + 1$, and since $\Gamma(N)$ is torsion-free, we have $H' = (p-1)(g' - 1 + \frac{s}{2}) = \frac{n}{12}(p-1)$. Hence

$$(211) \quad \zeta_{\Gamma'}(u) \times (1 - u^d)^{-s/d} = \frac{P'(u)}{(1-u)(1-p^2u)} \times (1-u)^{\frac{n}{12}(p-1)}.$$