

Triple covers of algebraic surfaces and a generalization of Zariski's example

Dedicated to Professor Mutsuo Oka on his sixtieth birthday

Hirotaka Ishida and Hiro-o Tokunaga

Abstract.

Let B be a reduced sextic curve in \mathbb{P}^2 . In the case when singularities of B are only six cusps, Zariski proved that there exists a non-Galois triple cover branched at B if and only if B is given by an equation of the form $G_2^3 + G_3^2$, where G_i denotes a homogeneous polynomial of degree i . In this article, we generalize Zariski's statement to any reduced sextic curve with at worst simple singularities. To this purpose, we give formulae for numerical invariants of non-Galois triple covers by using Tan's canonical resolution.

§1. Introduction

In this article, all varieties are defined over the field of complex numbers, \mathbb{C} .

Let Σ be a smooth projective surface and let B be a reduced divisor on Σ . A normal projective surface X is called a triple cover of Σ with branch locus B if

- there exists a finite surjective morphism $\pi : X \rightarrow \Sigma$ of degree 3, and
- the branch locus $\Delta(\pi) = B$

Let X be a triple cover of Σ . We denote the rational function fields of X and Σ by $\mathbb{C}(X)$ and $\mathbb{C}(\Sigma)$, respectively. Under our circumstance, $\mathbb{C}(X)$ is a cubic extension of $\mathbb{C}(\Sigma)$. We say that X is a *non-Galois triple*

Received February 29, 2008.

Revised July 8, 2008.

2000 *Mathematics Subject Classification.* 14E20, 14J17.

Key words and phrases. Triple cover, cubic surface, torus curve.

cover (resp. *cyclic triple cover*) if the cubic extension $\mathbb{C}(X)/\mathbb{C}(\Sigma)$ is non-Galois (resp. cyclic). For a point $y \in \Sigma$, we call y a *total* (resp. *simple*) branch point if $\#(\pi^{-1}(y)) = 1$ (resp. $= 2$). We call a triple cover $\pi : X \rightarrow \Sigma$ *generic* if *its total branch points are finite* (see Definition 2.1 for detail). Note that a generic triple cover is always non-Galois (Remark 2.2).

The first systematic study on triple covers was done by Miranda [11]. Afterward, some have been done by [18, 19, 20], [4] and [16, 17]. Yet non-Galois triple covers are difficult to deal with. For example, a fundamental question as follows still remains as a subtle question:

Question 1.1. Let Σ and B be as above. Give a sufficient and necessary condition for B to be the branch locus of a non-Galois triple cover.

One can see the subtleness of Question 1.1 in Zariski's example ([23]) below.

Example 1.1. Let B be an irreducible plane sextic curve in \mathbb{P}^2 having only 6 cusps as its singularities. There exists a generic triple cover with branch locus B if and only if there exists a conic passing through all the 6 cusps.

Note that there exists no conic through assigned 6 points if these six points are in general position. In fact, it is known that there exists an irreducible sextic with only 6 cusps as its singularities such that no conic passes through all the six cusps ([12], [24]).

Remark 1.1. Zariski's example is a starting point of the study of so called "Zariski pairs" and there have been many results on it from various points of view (see [1] and its references for details).

Our goal of this article is to generalize Zariski's example to the case when B is a reduced sextic curve having only simple singularities as its singularities. For simple singularities, see [3, Theorem II, 8.1], page 64. To describe the type of singularities, we use the standard notations A_n , D_n and E_n . By abuse of notations, we also use the same notations to describe rational double points on surfaces (see [3], page 87). Let us state our result:

Theorem 1.1. *Let B be a reduced sextic curve in \mathbb{P}^2 with at worst simple singularities. There exists a generic triple cover $\pi : X \rightarrow \mathbb{P}^2$ with branch locus B if and only if B is given by an equation of the form*

$$G_2^3 + G_3^2 = 0,$$

where $G_i = G_i(X_0, X_1, X_2)$ ($i = 2, 3$) are homogeneous polynomials of degree i , $[X_0 : X_1 : X_2]$ being a homogeneous coordinate of \mathbb{P}^2 .

Corollary 1.1. *Let B be a reduced sextic curve in \mathbb{P}^2 . Then the following two statements are equivalent:*

- B is a $(2, 3)$ -torus curve (see Remark 1.2 below).
- There exists a surjective morphism from the fundamental group $\pi_1(\mathbb{P}^2 \setminus B, *)$ to the symmetric group of 3 letters such that all meridians around irreducible components of B are mapped to elements of order 2

Remark 1.2. (i) A sextic curve given as in Theorem 1.1 is called a $(2, 3)$ -torus sextic (see [9]). Such curves are intensively studied by Oka ([13, 14, 15]).

(ii) In Example 1.1, the conic is given by $G_2 = 0$ as above. Hence Theorem 1.1 is a generalization of Example 1.1.

(iii) Note that Corollary 1.1 is a slight generalization of [5, Theorem 4.1.1], as we also consider the case when sextics are reducible.

In order to prove Theorem 1.1, our main tool are formulae for numerical invariants of the minimal resolution of a generic triple cover as follows:

Proposition 1.1. *Let $\pi : X \rightarrow \Sigma$ be a generic triple cover with $\Delta(\pi) = B$, where B is a reduced divisor on Σ with at worst simple singularities. We denote the set of total branch points by T . Then:*

(i) $T \subseteq \text{Sing}(B)$ and T consists of singular points of type either A_{3k-1} ($k \in \mathbb{N}$) or E_6 .

(ii) Put $T = \{p_1, \dots, p_m, p_{m+1}, \dots, p_{m+n}\}$ in such a way that p_i is of type A_{3k_i-1} for $1 \leq i \leq m$, and p_i is of type E_6 for $m+1 \leq i \leq m+n$. Let $\delta := \sum_{i=1}^m k_i + 2n$ and we denote the minimal resolution of X by \tilde{X} . Then we have

$$\begin{aligned} K_{\tilde{X}}^2 &= 3K_{\Sigma}^2 + 2K_{\Sigma}B + \frac{1}{2}B^2 - \delta, \\ e(\tilde{X}) &= 3e(\Sigma) + K_{\Sigma}B + B^2 - 3\delta \quad \text{and} \\ \chi(\mathcal{O}_{\tilde{X}}) &= 3\chi(\mathcal{O}_{\Sigma}) + \frac{1}{4}K_{\Sigma}B + \frac{1}{8}B^2 - \frac{1}{3}\delta. \end{aligned}$$

Here K_{\bullet} , $e(\bullet)$ and $\chi(\mathcal{O}_{\bullet})$ denote a canonical divisor, the topological Euler number, and the Euler characteristic of a surface \bullet .

We apply the formulae in Proposition 1.1 to the case when $\Sigma = \mathbb{P}^2$ and B is a reduced sextic curve, and we obtain $K_{\tilde{X}}^2$ and $e(\tilde{X})$. These values play important roles to prove Theorem 1.1.

This article consists of 4 sections. In §1, we review a theory of triple covers developed in [19]. In §2, we summarize generic triple covers and their canonical resolutions based on [17]. We prove Proposition 1.1 in §3 and Theorem 1.1 in §4.

§2. Non-Galois triple covers over smooth varieties

In this section, we first review the method to deal with non-Galois triple covers developed in [19].

Let Y be a smooth projective variety. Let X be a normal projective variety with a finite morphism $\pi : X \rightarrow Y$. We call X a *triple cover* of Y if $\deg \pi = 3$. Let $\mathbb{C}(X)$ and $\mathbb{C}(Y)$ denote the rational function fields of X and Y , respectively. For a triple cover $\pi : X \rightarrow Y$, $\mathbb{C}(X)$ is a cubic extension of $\mathbb{C}(Y)$, and it is either a 3-cyclic extension or a non-Galois cubic extension. Let θ be an element of $\mathbb{C}(X)$ such that (i) $\mathbb{C}(X) = \mathbb{C}(Y)(\theta)$ and (ii) the minimal equation of θ is $z^3 + 3az + 2b$, $a, b \in \mathbb{C}(Y)$. Put $L = \mathbb{C}(Y)(\sqrt{a^3 + b^2})$ and let K be the Galois closure of $\mathbb{C}(X)$. The following facts are well-known:

- If $\mathbb{C}(X)/\mathbb{C}(Y)$ is cyclic, $K = \mathbb{C}(X)$ and $L = \mathbb{C}(Y)$.
- If $\mathbb{C}(X)/\mathbb{C}(Y)$ is non-Galois, K is a \mathcal{D}_6 -extension of $\mathbb{C}(Y)$, \mathcal{D}_6 being the dihedral group of order 6 given by $\langle \sigma, \tau \mid \sigma^2 = \tau^3 = (\sigma\tau)^2 = 1 \rangle$. L is a quadratic extension of $\mathbb{C}(Y)$ and $L = K^\tau$, the fixed field of τ .

Define a normal varieties \hat{X} and $D(X/Y)$ to be the K - and L -normalizations of Y , respectively, and we denote the induced morphisms by $\hat{\pi} : \hat{X} \rightarrow Y$, $\alpha(\pi) : \hat{X} \rightarrow X$, $\beta_1(\pi) : D(X/Y) \rightarrow Y$ and $\beta_2(\pi) : \hat{X} \rightarrow D(X/Y)$. Note that $\hat{\pi} = \pi \circ \alpha(\pi) = \beta_1(\pi) \circ \beta_2(\pi)$. Also (i) $\alpha(\pi)$ and $\beta_1(\pi)$ are identities if $\mathbb{C}(X)/\mathbb{C}(Y)$ is Galois, while (ii) if $\mathbb{C}(X)/\mathbb{C}(Y)$ is non-Galois, $\alpha(\pi)$ and $\beta_1(\pi)$ are degree 2 finite morphisms; and $\beta_2(\pi)$ is a degree 3 morphism so that $\mathbb{C}(\hat{X})/\mathbb{C}(D(X/Y))$ is a cyclic extension.

We call $\pi : X \rightarrow Y$ *cyclic* for the case (i) and *non-Galois* for the case (ii) respectively.

For any finite morphism $f : X \rightarrow Y$, we define the branch locus of f , denoted by $\Delta(f)$ or $\Delta(X/Y)$, as follows:

$$\Delta(f) := \{y \in Y \mid f \text{ is not locally isomorphic over } y\}.$$

By the purity of the branch locus [24], $\Delta(f)$ is a reduced divisor on Y if Y is smooth.

Remark 2.1. Since all varieties are projective and defined over \mathbb{C} , varieties can be considered as analytic ones and we do not have to distinguish “algebraic” and “analytic” (see [7]). When we look into the

local structures of covering morphisms, e.g., covering morphisms, resolutions of singularities and so on, we consider them analytically.

Lemma 2.1. *Let $\pi : X \rightarrow Y$ be a triple cover. Then $\Delta(X/Y) = \Delta(\hat{X}/Y)$.*

For a proof, see [19, Lemma1.4].

Definition 2.1. (i) Let $\pi : X \rightarrow Y$ be a triple cover and let y be a point on Y . We say that π is *totally* (resp. *simply*) *ramified* over y if $\sharp(\pi^{-1}(y)) = 1$ (resp. $= 2$). We call such a point y a *total* (resp. *simple*) *branch point*.

(ii) We call a triple cover $\pi : X \rightarrow Y$ “*generic*” if the set of total branch points has codimension at least 2.

Let $\pi : X \rightarrow Y$ be a non-Galois triple cover and let $\Delta(\pi) = D_1 + \dots + D_r$ be the irreducible decomposition of $\Delta(\pi)$. We say that π is simply ramified along D_i if there exists a Zariski open set U_{D_i} of D_i such that π is simply ramified over y , $y \in U_{D_i}$. We say that π is totally ramified along D_i if any point in D_i is a total branch point of π . We decompose $\Delta(\pi) = \Delta_1(\pi) + \Delta_2(\pi)$ in such a way that π is simply ramified along irreducible components of $\Delta_1(\pi)$ and is totally ramified along those of $\Delta_2(\pi)$.

Remark 2.2. (i) Our terminology for “generic” is different from those in Miranda [11] and Kulikov–Kulikov [10]. In those article, total branch points are only ordinary cusps, while other kind of singularity are allowed in this article (see Lemma 4.1).

(ii) If $\pi : X \rightarrow Y$ is cyclic (i.e., $\mathbb{C}(X)/\mathbb{C}(Y)$ is cyclic), then the set of total branch points coincides with $\Delta(\pi)$ (Note that the converse of this is not true ([20])). In particular, a generic triple cover is non-Galois.

§3. Generic triple covers of smooth projective surfaces and Tan’s canonical resolution

In this section, we give a summary on Tan’s canonical resolution of a triple cover. The canonical resolution was first studied by Horikawa in [8] for double covers. For triple covers, it was studied by Ashikaga in [2] for certain special triple covers and by Tan in [17] for general case. We explain Tan’s method briefly.

Let $\pi : X \rightarrow \Sigma$ be a triple cover. In [17], Tan shows that there exists a resolution of singularities of $\mu : X^{(n)} \rightarrow X$ given by the following

commutative diagrams,

$$\begin{array}{ccccccccccc}
 X = X^{(0)} & \xleftarrow{\mu_0} & X^{(1)} & \xleftarrow{\mu_1} & X^{(2)} & \xleftarrow{\mu_2} & \dots & \xleftarrow{\mu_{n-1}} & X^{(n)} \\
 \pi = \pi^{(0)} \downarrow & & \pi^{(1)} \downarrow & & \pi^{(2)} \downarrow & & & & \downarrow \pi^{(n)} \\
 \Sigma = \Sigma^{(0)} & \xleftarrow{q_0} & \Sigma^{(1)} & \xleftarrow{q_1} & \Sigma^{(2)} & \xleftarrow{q_2} & \dots & \xleftarrow{q_{n-1}} & \Sigma^{(n)}
 \end{array}$$

where q_i is the blowing-up at a singular point p_i of the branch locus of $\pi^{(i)}$, $X^{(i)}$ is the normalization of $X^{(i)} \times_{\Sigma^{(i-1)}} \Sigma^{(i)}$ and $\pi^{(i)}$ the natural morphism to $\Sigma^{(i)}$. Let $\Delta_1(\pi)$ (resp. $\Delta_2(\pi)$) be the divisors as in §1. Let E_i be the exceptional curve of q_{i-1} and \mathcal{E}_i the total transform of E_i in $\Sigma^{(n)}$. Set $q = q_0 \circ q_1 \circ \dots \circ q_{n-1}$. For a divisor D , we denote the multiplicity of D at p by $m_p(D)$. With these notations, $\chi(\mathcal{O}_{X^{(n)}})$ and $K_{X^{(n)}}^2$ are given as follows:

Theorem 3.1. (Tan [17, Theorem 6.3]) *Let $\pi: X \rightarrow \Sigma$ be a normal triple cover of a smooth projective surface Σ and let $\mu: X^{(n)} \rightarrow X$ be the resolution of singularities as above. Let m_i and n_i be integers given by Remark 3.1 below. Then*

$$\Delta_1(\pi^{(n)}) = q^* \Delta_1(\pi) - 2 \sum_{i=0}^{n-1} m_i \mathcal{E}_{i+1}, \quad \Delta_2(\pi^{(n)}) = q^* \Delta_2(\pi) - \sum_{i=0}^{n-1} n_i \mathcal{E}_{i+1},$$

and

$$\begin{aligned}
 \chi(\mathcal{O}_{X^{(n)}}) &= 3\chi(\mathcal{O}_\Sigma) + \frac{1}{8} \Delta_1(\pi)^2 + \frac{1}{4} \Delta_1(\pi) K_\Sigma + \frac{5}{18} \Delta_2(\pi)^2 + \\
 &\quad + \frac{1}{2} \Delta_2(\pi) K_\Sigma - \sum_{i=0}^{n-1} \frac{m_i(m_i - 1)}{2} - \sum_{i=0}^{n-1} \frac{n_i(5n_i - 9)}{18}, \\
 K_{X^{(n)}}^2 &= 3K_\Sigma^2 + \frac{1}{2} \Delta_1(\pi)^2 + 2\Delta_1(\pi) K_\Sigma + \frac{4}{3} \Delta_2(\pi)^2 + 4\Delta_2(\pi) K_\Sigma \\
 &\quad - \sum_{i=0}^{n-1} 2(m_i - 1)^2 - \sum_{i=0}^{n-1} \frac{4n_i(n_i - 3)}{3} - n.
 \end{aligned}$$

Remark 3.1. The above integer m_i is the greatest integer not exceeding $(m_{p_i}(\Delta_1(\pi^{(i)})))/2$. Furthermore, n_i is computed as follows:

$$n_i = \begin{cases} m_{p_i}(\Delta_2(\pi^{(i)})) - 1 & \text{if } E_{i+1} \subset \text{Supp}(\Delta_2(\pi^{(i+1)})) \\ m_{p_i}(\Delta_2(\pi^{(i)})) & \text{if } E_{i+1} \not\subset \text{Supp}(\Delta_2(\pi^{(i+1)})). \end{cases}$$

We now assume that π is a generic triple cover. In this case, we have $\Delta_1(\pi) = B$ and $\Delta_2(\pi) = 0$. For a point $p \in \text{Sing}(B)$, we set integers $\delta(p, \pi)$ and $\kappa(p, \pi)$ as follows:

$$\delta(p, \pi) = \sum_{p_i \in N(p, \pi)} \frac{m_i(m_i - 1)}{2} + \sum_{p_i \in N(p, \pi)} \frac{n_i(5n_i - 9)}{18},$$

$$\kappa(p, \pi) = \sum_{p_i \in N(p, \pi)} 2(m_i - 1)^2 + \sum_{p_i \in N(p, \pi)} \frac{4n_i(n_i - 3)}{3} + \#N(p, \pi),$$

where $N(p, \pi)$ is the set of points, $p_0 = p, p_1, \dots$, which are infinitely near points lying over p .

Let \tilde{X} be the minimal resolution of X . There exists a birational morphism $\gamma: X^{(n)} \rightarrow \tilde{X}$. For any point $p \in \text{Sing}(B)$, let $\epsilon(p, \pi)$ be the number of exceptional curves in $(\pi^{(n)})^{-1}q^{-1}(p)$ contracted by γ . Then, we have $\chi(\mathcal{O}_{\tilde{X}}) = \chi(\mathcal{O}_{X^{(n)}})$ and $K_{\tilde{X}}^2 = K_{X^{(n)}}^2 + \sum_{p \in B} \epsilon(p, \pi)$. By Theorem 3.1, we obtain

$$(1) \quad \chi(\mathcal{O}_{\tilde{X}}) = 3\chi(\mathcal{O}_{\Sigma}) + \frac{1}{8}B^2 + \frac{1}{4}BK_{\Sigma} - \sum_{p \in \text{Sing} B} \delta(p, \pi),$$

$$(2) \quad K_{\tilde{X}}^2 = 3K_{\Sigma}^2 + \frac{1}{2}B^2 + 2BK_{\Sigma} - \sum_{p \in \text{Sing} B} (\kappa(p, \pi) - \epsilon(p, \pi))$$

§4. Proof of Proposition 1.1

Let Σ be a smooth projective surface and let B be a reduced divisor on Σ with at worst simple singularities. Let $\pi: X \rightarrow \Sigma$ be a generic triple cover branched at B . Let $D(X/\Sigma)$ and \hat{X} be the double cover and the \mathcal{D}_6 -cover, respectively, determined by X as in the previous section.

Let us start with the following lemma:

Lemma 4.1. (i) The branch locus of $\beta_1(\pi)$ is B .

(ii) The branch locus $\Delta(\beta_2(\pi))$ of $\beta_2(\pi)$ is contained in $\text{Sing}(D(X/\Sigma))$.

(iii) Suppose that $\Delta(\beta_2(\pi)) \neq \emptyset$. For any $x \in \Delta(\beta_2(\pi))$, $\beta_1(\pi)(x)$ is a singular point of B whose type is either A_{3k-1} or E_6 .

(iv) Let T be the set of total branch points. Then $T = \beta_1(\pi)(\Delta(\beta_2(\pi)))$

Proof. (i) Since π is generic, $\beta_1(\pi): D(X/\Sigma) \rightarrow \Sigma$ is branched along B .

(ii) By Lemma 2.1, $\beta_1(\pi)(\Delta(\beta_2(\pi))) \subset B$. Suppose that $\beta_2(\pi)$ is ramified along some irreducible component D of $\beta_1(\pi)^{-1}(B)$. Then the ramification index along $\hat{\pi}^{-1}(D)$ is equal to 6, and we infer that the stabilizer group at a smooth point of $\hat{\pi}^{-1}(D)$ is a cyclic group of order 6. This contradicts our assumption. Hence $\beta_2(\pi)$ is branched at some points, and this implies that $\beta_2(\pi)$ is not ramified over any

smooth point of $D(X/\Sigma)$ by the purity of the branch locus. Hence $\Delta(\beta_2(\pi)) \subset \text{Sing}(D(X/\Sigma))$.

(iii) Suppose that $\Delta(\beta_2(\pi)) \neq \emptyset$. Choose any $p \in \Delta(\beta_2(\pi))$. $\beta_2(\pi)$ is unramified over a small neighborhood except p . Hence the local fundamental group at p contains a normal subgroup of index 3. Under our assumption for singularities of B , singularities of $D(X/\Sigma)$ are all rational double points. Hence the type of $\beta_1(\pi)(p)$ is either A_{3k-1} or E_6 .

(iv) Our statement is immediately from the observation:

$$x \in T \Leftrightarrow \#(\tilde{\pi}^{-1}(x)) \leq 2 \Leftrightarrow \beta_1(\pi)^{-1}(x) \subset \Delta(\beta_2(\pi))$$

Q.E.D.

By Lemma 4.1, we have Proposition 1.1 (i). In what follows, we always assume that

$$\Delta(\beta_2(\pi)) \neq \emptyset.$$

We put $NT = \text{Sing}(\Delta(\pi)) \setminus T$.

Now we compute $\delta(p, \pi)$, $\kappa(p, \pi)$ and $\epsilon(p, \pi)$ in the previous section for each $p \in \text{Sing}(B)$. Here are some of facts on the canonical resolution, which we need to compute $\delta(p, \pi)$, $\kappa(p, \pi)$ and $\epsilon(p, \pi)$. For their proof, see [17]

Lemma 4.2. (Tan [17, Corollary 5.3]) *The triple cover π is totally ramified over p if and only if there exists an integer i satisfying $p_i \in N(p, \pi)$ and $E_{i+1} \subset \text{Supp}(\Delta_2(\pi^{(n)}))$.*

Lemma 4.3. (Tan [17, Theorem 4.1, Lemma 6.1]) *Let $\pi: X \rightarrow \Sigma$ be a triple cover of a smooth algebraic surface Σ . Then:*

(1) *The intersection multiplicities between $\Delta_1(\pi)$ and $\Delta_2(\pi)$ at their intersection points are ≥ 2 .*

(2) *If X is smooth, then the self-intersection numbers of irreducible components of $\Delta_2(\pi)$ are multiples of three.*

Lemma 4.4. (Tan [17, Theorem 4.1]) *Let D_1 and D_2 be two distinct irreducible components of $\Delta_2(\pi)$ and i_p an integer satisfying that q_{i_p} is a blowing-up at p . We assume that D_1 meets D_2 transversely at $p \notin \Delta_1(\pi)$. Then, p satisfies either,*

(i) $E_{i_p+1} \not\subset \text{Supp}(\Delta_2(\pi^{(i_p+1)}))$, or

(ii) $E_{i_p+1} \subset \text{Supp}(\Delta_2(\pi^{(i_p+1)}))$ and the infinitely near points of D_1 and D_2 lying over p satisfy the property (i).

First, we consider a singular point p not contained in T . Let i_p be an integer as in Lemma 4.4. We may assume that $i_p = 0$.

Proposition 4.1. *If $p \in \text{Sing}(B) \setminus T$, then $\delta(p, \pi) = 0$, $\kappa(p, \pi) = \sharp N(p, \pi)$ and $\epsilon(p, \pi) = \sharp N(p, \pi)$.*

Proof. By Lemma 4.2, we have $E_{i+1} \not\subset \text{Supp}(\Delta_2(\pi^{(i+1)}))$ for $p_i \in N(p, \pi)$, i.e., $n_i = 0$. Let $D(X/\Sigma)$ be the double cover introduced in §1 and let Z be its canonical resolution. Then, $\delta(p, \pi)$ and $\kappa(p, \pi) - \sharp N(p, \pi)$ coincide with $\chi(\mathcal{O}_Z) - \chi(\mathcal{O}_{D(X/\Sigma)})$ and $K_Z^2 - K_{D(X/\Sigma)}^2$, respectively. (See [4].) Since $D(X/\Sigma)$ is a double cover of Σ branched along B and B has at worst simple singularities, we have $\chi(\mathcal{O}_Z) = \chi(\mathcal{O}_{D(X/\Sigma)})$ and $K_Z^2 = K_{D(X/\Sigma)}^2$. Thus, we have $\delta(p, \pi) = 0$ and $\kappa(p, \pi) = \sharp N(p, \pi)$.

It is obvious that $(\pi^{(n-1)})^*(q^{-1}(p))$ contains $\sharp N(p, \pi)$ exceptional curves contracted by γ . Thus, we obtain $\epsilon(p, \pi) = \sharp N(p, \pi)$. Q.E.D.

Next we consider a singular point $p \in T$. By Lemma 4.1, p is either of type A_{3k-1} or of type E_6 . We may assume that q_0 is a blowing up at p .

Lemma 4.5. *Let $p \in T$ be of type A_{3k-1} . Then, the exceptional curve E_1 of q_0 is contained in $\Delta_2(\pi^{(1)})$.*

Proof. Suppose that $E_1 \not\subset \text{Supp}(\Delta_2(\pi^{(1)}))$. In the case of $k = 1$, the singular point $p \in B$ is resolved by blowing up at p . Hence we infer that $N(p, \pi) = \{p\}$ and $p \notin T$ by Lemma 4.2, but this contradicts to our assumption. We next consider the cases of $k > 1$. Since $E_1 \not\subset \text{Supp}(\Delta_2(\pi^{(1)}))$, $\pi^{(1)}: X^{(1)} \rightarrow \Sigma^{(1)}$ is also a generic triple cover. Let p' be the infinitely near point of B lying over p . By Lemma 4.2, $\pi^{(1)}$ is totally ramified over p' . On the other hand, by the property $m_p(B) = 2$, we have $E_1 \not\subset \text{Supp}(\Delta_1(\pi^{(1)}))$. Hence, p' is a singular point of $\Delta_1(\pi^{(1)})$ whose type is A_{3k-3} . This contradicts to Lemma 4.1. Hence we have $E_1 \subset \text{Supp}(\Delta_2(\pi^{(1)}))$. Q.E.D.

The figures in this section show exceptional curves of q and inverse image of these by $\pi^{(n)}$. Thick lines denote exceptional curves in $\text{Supp}(\Delta_2(\pi^{(n)}))$ and thin lines denote those in $\text{Supp}(\Delta_1(\pi^{(n)}))$. Also broken lines denote exceptional curves not contained in $\text{Supp}(\Delta_1(\pi^{(n)}) + \Delta_2(\pi^{(n)}))$. Lines with numbers mean preimages of exceptional curves of q by $\pi^{(n)}$ and the self-intersection numbers of them.

Proposition 4.2. *Let $p \in T$ be a singular point of type A_2 . Then, $\delta(p, \pi) = 1/3$ and $\kappa(p, \pi) = 5$*

Proof. By Lemma 4.5, we have $(m_0, n_0) = (1, -1)$. Since $N(p, \pi)$ contains the infinitely near point of B lying over p , we may assume

that p_1 is this point. By the property $m_{p_1}(\Delta_1(\pi^{(1)})) = 1$, we have $E_2 \subset \text{Supp}(\Delta_1(\pi^{(2)}))$, i.e., $(m_1, n_1) = (0, 1)$.

Since $N(p, \pi)$ contains the infinitely near point of E_1 lying over p_1 , we may assume that p_2 is this point. By the property $m_{p_2}(\Delta_1(\pi^{(2)})) = 2$ and Lemma 4.3 (1), $E^{(3)} \not\subset \text{Supp}(\Delta_1(\pi^{(3)}) + \Delta_2(\pi^{(3)}))$, i.e., $(m_2, n_2) = (1, 1)$. Since there exist no singular points of the branch locus of $\pi^{(3)}$ which are infinitely near points lying over p , we have $N(p, \pi) = \{p, p_1, p_2\}$ (See Figure 1).

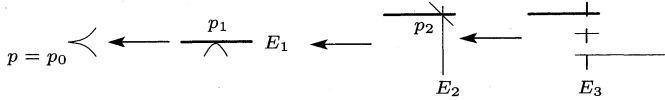


Fig. 1. the branch locus of $\tilde{\pi}$ (p is of type A_2)

Thus, we have $\delta(p, \pi) = 1/3$ and $\kappa(p, \pi) = 5$.

Q.E.D.

Proposition 4.3. *Let k be a positive integer and $p \in T$ a singular point of type A_{3k-1} . Then,*

- (1) *if $k = 2l - 1$, then $\delta(p, \pi) = (2l - 1)/3$, $\kappa(p, \pi) = 6l - 1$ and $\epsilon(p, \pi) = 4l$.*
- (2) *if $k = 2l$, then $\delta(p, \pi) = 2l/3$, $\kappa(p, \pi) = 6l$ and $\epsilon(p, \pi) = 4l$.*

Proof. We have $(m_0, n_0) = (1, -1)$ as in the proof of Lemma 4.2. We may assume that p_1 is the infinitely near point of B lying over p . By the property $m_{p_1}(\Delta_1(\pi^{(1)})) = 2$, we have $E_2 \not\subset \Delta_1(\pi^{(2)})$. If we assume that E_2 is not contained in $\Delta_2(\pi^{(2)})$, the proper transform of E_1 in $\Sigma^{(n)}$ is a curve contained in $\Delta_2(\pi^{(n)})$ with self-intersection -2 . It contradicts to Lemma 4.3 (2). Therefore, we obtain $E_2 \subset \Delta_2(\pi^{(2)})$, i.e., $(m_1, n_1) = (1, 0)$.

We may assume that p_2 is the infinitely near point of E_1 lying over p_1 . If p_2 satisfies the property (ii) in Lemma 4.4, then the self-intersection number of the proper transform of E_1 in $\Sigma^{(n)}$ is -4 . It contradicts to Lemma 4.3 (2). Hence, p_2 satisfies the property (i) in Lemma 4.4. Therefore, E_3 is not contained in $\Delta_2(\pi^{(3)})$, i.e., $(m_2, n_2) = (0, 2)$.

We may assume that p_3 is the infinitely near point of $\Delta_1(\pi^{(2)})$. By the property $m_{p_3}(\Delta_1(\pi^{(3)})) = 2$, we have $E_4 \not\subset \Delta_1(\pi^{(4)})$. Let p'' be the intersection point of the proper transform of E_2 in $\Sigma^{(4)}$ and E_4 . If E_4 is contained in $\Delta_2(\pi^{(4)})$, then we have $p'' \in N(p, \pi)$. By Lemma 4.4, p'' satisfies either the property (i) or (ii). In both cases, the self-intersection number of the proper transform of E_2 in $\Sigma^{(n)}$ is not divisible by three. It contradicts to Lemma 4.3 (2). Therefore, we have $E_4 \not\subset \Delta_2(\pi^{(4)})$, i.e., $(m_3, n_3) = (1, 1)$.

In the case that $k = 2$, we have $N(p, \pi) = \{p, p_1, p_2, p_3\}$. (See Figure 2).

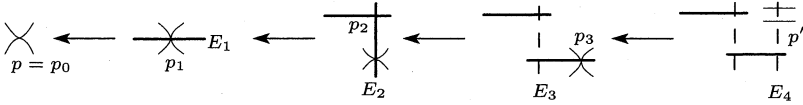


Fig. 2. the branch locus of $\tilde{\pi}$ (p is of type A_5)

Thus, we obtain

$$(3) \quad \delta(p, \pi) = \frac{2}{3},$$

$$(4) \quad \kappa(p, \pi) = 6.$$

In the case that k is greater than two, let p' be the infinitely near point of $\Delta_1(\pi^{(3)})$ lying over p_3 . (See Figure 3). Then, we have $N(p, \pi) = \{p, p_1, p_2, p_3\} \cup N(p', \pi^{(4)})$ and

$$(5) \quad \delta(p, \pi) = \frac{2}{3} + \delta(p', \pi^{(4)}),$$

$$(6) \quad \kappa(p, \pi) = 6 + \kappa(p', \pi^{(4)}).$$

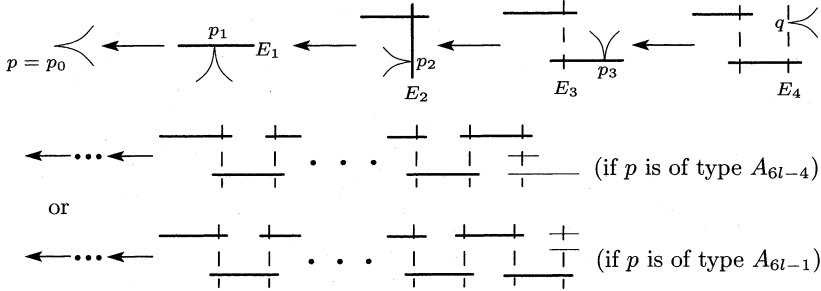


Fig. 3. the branch locus of $\tilde{\pi}$

By applying the Hurwitz formula to $\pi^{(4)}|_{(\pi^{(4)})^{-1}(E_4)}$, we see that $\pi^{(4)}$ is totally branched at p' . Hence, by applying the equations (5), (6) to p' and by using Lemma 4.2 and the equations (3), (4), we have the following equations:

$$\delta(p, \pi) = \begin{cases} \frac{2l-1}{3} & \text{if } k = 2l - 1 \\ \frac{2l}{3} & \text{if } k = 2l \end{cases}$$

$$\kappa(p, \pi) = \begin{cases} 6l - 5 & \text{if } k = 2l - 1 \\ 6l & \text{if } k = 2l \end{cases}$$

Furthermore, it is obvious that all curves in $(\pi^{(n)})^{-1}(q^{-1}(p))$ are contracted by γ . (See Figure 4.)

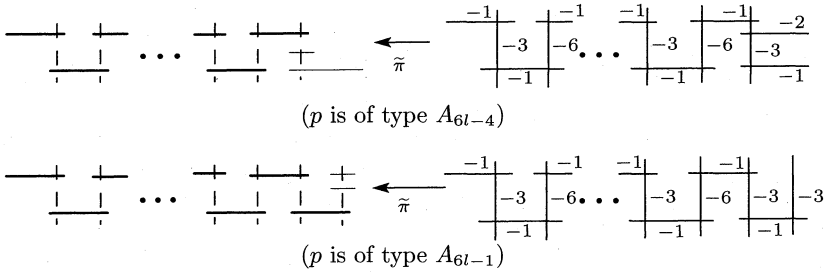


Fig. 4. Inverse image of exceptional curves

Therefore, we have

$$\epsilon(p, \pi) = \begin{cases} 4l & \text{if } k = 2l - 1 \\ 4l & \text{if } k = 2l \end{cases}$$

Q.E.D.

Proposition 4.4. *Let $p \in T$ is a singular point of type E_6 . Then, we have $\delta(p, \pi) = 2/3$, $\kappa(p, \pi) = 7$ and $\epsilon_p = 5$.*

Proof. By the property $m_p(B) = 3$, E_1 is contained in $\Delta_1(\pi^{(1)})$, i.e., $m_0 = 1$ and $n_0 = 0$. We may assume that p_1 is the infinitely near point of B lying over p . By [17, Corollary 5.3], $\pi^{(1)}$ is totally ramified over p_1 . Since p_1 is of type A_5 , by equations (3) and (4), we have $N(p, \pi) = \{p\} \cup N(p_1, \pi^{(1)})$, $\delta(p, \pi) = 2/3$ and $\kappa(p, \pi) = 7$. Furthermore, all curves in $(\pi^{(n)})^{-1}(q^{-1}(p))$ are contracted by φ , i.e., $\epsilon(p, \pi) = 5$. (See Figure 5.)

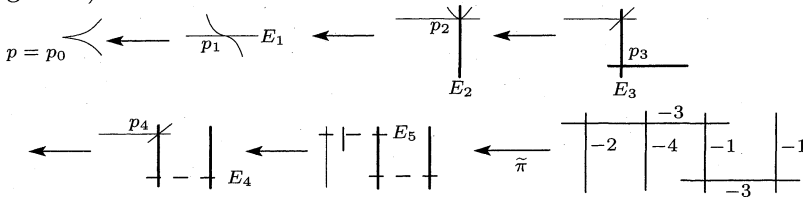


Fig. 5. The branch locus of $\tilde{\pi}$ and the inverse image of exceptional curves

(p is of type E_6)

Q.E.D.

By equations (1), (2), Propositions 4.3, 4.4 and Noether's formula, we have Proposition 1.1 (ii).

Example 4.1. Let B be a reduced plane curve of degree n in \mathbb{P}^2 with at worst simple singularities. Suppose that there exists a generic

triple cover $\pi : X \rightarrow \mathbb{P}^2$ with $\Delta(\pi) = B$. Note that n is necessarily even in this case. Let \tilde{X} be the minimal resolution of X . Then:

$$\begin{aligned} K_{\tilde{X}}^2 &= \frac{1}{2}n^2 - 6n + 27 - \delta, \\ e(\tilde{X}) &= n^2 - 3n + 9 - 3\delta, \\ \chi(\mathcal{O}_{\tilde{X}}) &= \frac{1}{8}n^2 - \frac{3}{4}n + 3 - \frac{1}{3}\delta. \end{aligned}$$

Remark 4.1. Let $\pi : X \rightarrow \Sigma$ be a generic triple cover as before. Since B has at worst simple singularities, $D(X/\Sigma)$ has only rational double points as its singularities. Let

$$\begin{array}{ccc} D(X/\Sigma) & \xleftarrow{\nu} & Z \\ \beta_1(\pi) \downarrow & & \downarrow f \\ \Sigma & \xleftarrow{q_0} & \tilde{\Sigma} \end{array}$$

be the canonical resolution, let \hat{X}_Z be the $\mathbb{C}(\hat{X})$ -normalization of Z and let $g : \hat{X}_Z \rightarrow Z$ be the induced cyclic triple cover. By what we have seen in this section, we infer the following:

- Irreducible components of $\Delta(g)$ are those in the exceptional curves for singularities in $\beta_1(\pi)^{-1}(T)$.
- $\Delta(g)$ is a disjoint union of \mathbb{A}_2 -configurations, where \mathbb{A}_2 -configuration means a divisor consisting of two irreducible components C_1 and C_2 such that $C_i \cong \mathbb{P}^1$, $C_i^2 = -2$ ($i = 1, 2$) and $C_1C_2 = 1$.
- For each $p \in T$, the number of \mathbb{A}_2 -configurations arising from p in $\Delta(g)$ is k (resp. 2) if p is of type A_{3k-1} (resp. E_6). In particular, the number of \mathbb{A}_2 -configurations in $\Delta(g)$ is equal to δ in Proposition 1.1.

§5. Proof of Theorem 1.1

We apply our previous results to the case when $\Sigma = \mathbb{P}^2$ and B is a reduced sextic curve with at worst simple singularities. Note that we keep the notations as before. Let us start with the following lemma.

Lemma 5.1. *Let $\pi : X \rightarrow \mathbb{P}^2$ be a generic triple cover branched at a reduced plane sextic curve B as above. Then δ is either 6 or 9. If $\delta = 6$ (resp. = 9), the minimal resolution S of \hat{X} is a K3 (resp. an Abelian) surface.*

Proof. If $\deg B = 6$, then $D(X/\mathbb{P}^2)$ is a K3 surface with rational double points and Z is its minimal resolution. Let \hat{X}_Z be the $\mathbb{C}(\hat{X})$ -normalization of Z and $g : \hat{X}_Z \rightarrow Z$ be the induced cyclic triple cover

as in Remark 4.1. Since Z is simply connected, the branch locus of g is non-empty and consists of disjoint union of A_2 -configurations by Remark 4.1. Now our statement follows from [22, Lemma 8.8]. Q.E.D.

Lemma 5.2. *Under the assumption of Lemma 5.1, B is a sextic curve with $9A_2$ singularities if $\delta = 9$.*

Proof. If $NT \neq \emptyset$, then \hat{X} has a rational double point as $\beta_2(\pi)$ is unramified over $\beta_1(\pi)^{-1}(NT)$. This means that S in Lemma 5.1 contains a rational curve, but this impossible as S is an Abelian surface. Now we show that T consists of $9A_2$ points. Let $x \in T$ be any non A_2 point. Then by [22, Lemma 9.1], \hat{X} has a rational double point; and S again contains a rational curve. As S is an Abelian surface, this is impossible. Q.E.D.

By [21], a sextic with $9A_2$ singularities is a $(2, 3)$ torus curve. Hence, throughout the rest of this section, we always assume that $\delta = 6$. Therefore, by Example 4.1,

$$K_{\hat{X}}^2 = 3, \quad e(\hat{X}) = 9.$$

Lemma 5.3.

$$-K_{\tilde{X}} \sim \gamma^* \pi^* l,$$

where l is a line in \mathbb{P}^2 .

Proof. We simply repeat the argument in the proof of [1, Lemma 3.15]. Let x be a general point in $\mathbb{P}^2 \setminus B$ and let $\gamma_1 : \tilde{X}_1 \rightarrow \tilde{X}$ be blowing ups at $(\pi \circ \gamma)^{-1}(x)$. The pencil of lines through x induces an elliptic fibration $\varphi_x : \tilde{X}_1 \rightarrow \mathbb{P}^1$ with a section. Since $e(\tilde{X}_1) = 12$ and $K_{\tilde{X}_1}^2 = 0$, we infer that \tilde{X}_1 is rational surface and φ_x is relatively minimal. Hence $K_{\tilde{X}_1} \sim -F$, where F is a fiber of φ_x . As $\gamma_1^*((\pi \circ \gamma)^* l) \sim F + E_1 + E_2 + E_3$, where E_i ($i = 1, 2, 3$) denote the exceptional curves of ρ , we have

$$\gamma_1^*((\pi \circ \gamma)^* l) \sim F + E_1 + E_2 + E_3 \sim -K_{\tilde{X}_1} + E_1 + E_2 + E_3 \sim -\gamma_1^*(K_{\tilde{X}}).$$

Therefore $(\pi \circ \gamma)^* l \sim -K_{\tilde{X}}$.

Q.E.D.

By Lemma 5.3, it follows that \tilde{X} is a smooth rational surface such that $K_{\tilde{X}}$ is big and numerically effective. Put

$$\bar{X} = \text{Proj}(\oplus_{n \geq 0} H^0(\tilde{X}, -nK_{\tilde{X}})).$$

Then by [6] p. 61–66, we have

Proposition 5.1. *Let $\varphi_{|-K_{\tilde{X}}|} : \tilde{X} \rightarrow \mathbb{P}^3$ be a morphism given by $|-K_{\tilde{X}}|$. Then $\varphi_{|-K_{\tilde{X}}|}(\tilde{X})$ is a normal cubic surface with rational double point isomorphic to \bar{X} .*

We are now in a position to prove Theorem 1.1. Our proof is almost the same as in [1, Proposition 3.17].

Suppose that B is given by the equation $G_2^3 + G_3^2 = 0$ as in Theorem 1.1. Consider the cubic surface X in \mathbb{P}^3 given by

$$X : X_3^3 + 3G_2(X_0, X_1, X_2)X_3 + 2G_3(X_0, X_1, X_2) = 0,$$

where $[X_0 : X_1 : X_2 : X_3]$ denotes a homogeneous coordinate system of \mathbb{P}^3 . By [11, Lemma 5.1], X is smooth in codimension one, and therefore is normal. Let $P = [0 : 0 : 0 : 1]$ and let $pr_P : \mathbb{P}^3 \dashrightarrow \mathbb{P}^2$ be the projection centered at P . The restriction pr_P to X gives a non-Galois triple cover $pr_{P|X} : X \rightarrow \mathbb{P}^2$. By its defining equation, $\Delta(X/\mathbb{P}^2) = B$. Hence it is a generic triple cover branched at B .

Conversely, if there exists a generic triple cover $\pi : X \rightarrow \mathbb{P}^2$ branched at B , we have a normal cubic surface \bar{X} as above. \bar{X} is an image of \tilde{X} by $\phi_{|-K_{\tilde{X}}|}$. Moreover, by Lemma 5.3, one has the following commutative diagram:

$$\begin{array}{ccc} \tilde{X} & \xrightarrow{\phi_{|-K_{\tilde{X}}|}} & \mathbb{P}^3 \setminus \{P_0\} \\ \pi \circ \gamma \downarrow & & \downarrow pr \\ \mathbb{P}^2 & \xlongequal{\quad} & \mathbb{P}^2, \end{array}$$

where pr denotes the projection centered at a suitable point $P_0 \in \mathbb{P}^3 \setminus \bar{X}$. The remaining part of our proof is the same as [1, Proposition 3.17], and we omit it.

References

- [1] E. Artal Bartolo, J. I. Cogolludo and H. Tokunaga, A survey on Zariski pairs, In: Algebraic Geometry in East Asia—Hanoi 2005, Adv. Stud. Pure Math., **50**, Math. Soc. Japan, 2008, pp. 1–100.
- [2] T. Ashikaga, Normal two-dimensional hypersurface triple points and Horikawa type resolution, Tohoku Math. J. (2), **44** (1992), 177–200.
- [3] W. P. Barth, K. Hulek, C. A. M. Peters and A. Van de Ven, Compact Complex Surfaces, Ergeb. Math. Grenzgeb. (3), **4**, Springer-Verlag, Berlin, 1984.
- [4] G. Casnati and T. Ekedahl, Covers of algebraic varieties. I. A general structure theorem, covers of degree 3, 4 and Enriques surfaces, J. Algebraic Geom., **5** (1996), 439–460.

- [5] A. Degtyarev, Oka's conjecture on irreducible plane sextics, *J. London Math. Soc.* (2), **78** (2008), 329–351.
- [6] M. Demazure, Surfaces de del Pezzo, *Lecture Notes in Math.*, **777**, Springer-Verlag, Berlin, 1980.
- [7] A. Grothendieck, Revêtements Étales et Groupe Fondamental, *Lecture Notes in Math.*, **224**, Springer-Verlag, Berlin, 1971.
- [8] E. Horikawa, On deformation of quintic surfaces, *Invent. Math.*, **31** (1975), 43–85.
- [9] Vik. S. Kulikov, On plane algebraic curves of positive Albanese dimension, *Izv. Ross. Akad. Nauk Ser. Mat.*, **59** (1995), 75–94.
- [10] V. S. Kulikov and Vik. S. Kulikov, Generic coverings of the plane with A - D - E -singularities, *Izv. Ross. Akad. Nauk Ser. Mat.*, **64** (2000), 65–106.
- [11] R. Miranda, Triple covers in algebraic geometry, *Amer. J. Math.*, **107** (1985), 1123–1158.
- [12] M. Oka, Symmetric plane curves with nodes and cusps, *J. Math. Soc. Japan*, **44** (1992), 211–240.
- [13] M. Oka, Geometry of reduced sextics of torus type, *Tokyo J. Math.*, **26** (2003), 301–327.
- [14] M. Oka, Alexander polynomial of sextics, *J. Knot Theory Ramifications*, **12** (2003), 619–636.
- [15] M. Oka and D. T. Pho, Classification of sextics of torus type, *Tokyo J. Math.*, **25** (2002), 399–433.
- [16] S.-L. Tan, Integral closure of a cubic extension and applications, *Proc. Amer. Math. Soc.*, **129** (2001), 2553–2562.
- [17] S.-L. Tan, Triple covers on smooth algebraic varieties, In: *Geometry and Nonlinear Partial Differential Equations*, Hangzhou, 2001, *AMS/IP Stud. Adv. Math.*, **29**, Amer. Math. Soc., Providence, RI, 2002, pp. 143–164.
- [18] H. Tokunaga, Construction of triple coverings of a certain type of algebraic surfaces, *Tohoku Math. J.* (2), **42** (1990), 359–375.
- [19] H. Tokunaga, Triple coverings of algebraic surfaces according to the Cardano formula, *J. Math. Kyoto Univ.*, **31** (1991), 359–375.
- [20] H. Tokunaga, Two remarks on non-Galois triple coverings, *Mem. Fac. Sci. Kochi Univ. Ser. A Math.*, **13** (1992), 19–33.
- [21] H. Tokunaga, Irreducible plane curves with the Albanese dimension 2, *Proc. Amer. Math. Soc.*, **127** (1999), 1935–1940.
- [22] H. Tokunaga, Galois covers for \mathfrak{S}_4 and \mathfrak{A}_4 and their applications, *Osaka J. Math.*, **39** (2002), 621–645.
- [23] O. Zariski, On the problem of existence of algebraic functions of two variables possessing a given branch curve, *Amer. J. Math.*, **51** (1929), 305–328.
- [24] O. Zariski, On the purity of the branch locus of algebraic functions, *Proc. Nat. Acad. Sci. U.S.A.*, **44** (1958), 791–796.

Hiroataka Ishida
Ube National College of Technology
2-14-1 Tokiwadai, Ube
755-8555, Yamaguchi
Japan

Hiro-o Tokunaga
Department of Mathematics and Information Sciences
Tokyo Metropolitan University
1-1 Minamiohsawa, Hachoji
192-0397, Tokyo
Japan

E-mail address: ishida@ube-k.ac.jp
tokunaga@tmu.ac.jp