

Nonabelian Local Reciprocity Maps

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There are several approaches to construct the reciprocity map, the essence of class field theory, which links the maximal abelian quotient (or sometimes the maximal abelian pro- p -quotient) of the absolute Galois group of a particular field with an appropriate abelian object associated to the field such that certain functorial properties hold.

One of those approaches originates from works of Dwork, Serre, Hazewinkel [D, S, H1, H2], Iwasawa [I1, I2] and Neukirch [N1, N2]. Recall it briefly.

Let F be a local field with finite residue field. Let F^{ur} be the maximal unramified extension of F and let \widehat{F} be the completion of F^{ur} . Let L be a finite Galois extension of F and $L^{\text{ur}} = LF^{\text{ur}}$, $\widehat{L} = L\widehat{F}$.

For an element σ of $\text{Gal}(L/F)$ let $\tilde{\sigma}$ be any element of $\text{Gal}(L^{\text{ur}}/F^{\text{ur}})$ such that $\tilde{\sigma}|_L = \sigma$ and $\tilde{\sigma}|_{F^{\text{ur}}}$ is a positive integer power of the Frobenius automorphism $\varphi \in \text{Gal}(F^{\text{ur}}/F)$. Let Σ be the fixed field of $\tilde{\sigma}$; it is a finite extension of F .

Let $\text{Gal}(L/F)^{\text{ab}}$ be the maximal abelian quotient of $\text{Gal}(L/F)$.

Define the map [N1, N2]

$$\mathbf{N}: \text{Gal}(L/F) \rightarrow F^*/N_{L/F}L^*$$

by $\sigma \rightarrow N_{\Sigma/F}\pi_{\Sigma} \bmod N_{L/F}L^*$ where π_{Σ} is any prime element of Σ . During the conference on class field theory in Tokyo, June 1998, Professor Tsuneo Tamagawa informed the author that similar constructions were independently developed by Iwasawa. We call \mathbf{N} the Neukirch–Iwasawa map.

Received August 29, 1998

Revised February 9, 1999

This work was partially done during my visit to Japan in 1998. I would like to express my gratitude to the Royal Society, RIMS, JSPS and JAMS for support, to the organizers of the conference of class field theory, and to Professor Masato Kurihara for great hospitality during my stay in Tokyo Metropolitan University in June 1998.

On the other hand, for a finite Galois totally ramified extension L/F of local fields there is a fundamental exact sequence [Se, (2.3)], [H1, (2.7)]

$$1 \rightarrow \text{Gal}(L/F)^{\text{ab}} \xrightarrow{c} U_{\widehat{L}}/V(L/F) \xrightarrow{N_{\widehat{L}/\widehat{F}}} U_{\widehat{F}} \rightarrow 1$$

where $V(L/F)$ is the subgroup of $U_{\widehat{L}}$ generated by elements $u^{\sigma^{-1}}$ with $u \in U_{\widehat{L}}$, $\sigma \in \text{Gal}(L/F)$ and $c(\sigma) = \sigma(\pi)/\pi \bmod V(L/F)$ for a prime element π of L . Note that the same sequence for the maximal unramified extensions instead of their completion is exact.

Define the Hazewinkel homomorphism [H1, H2, I1]

$$\mathbf{H}: U_F/N_{L/F}U_L \rightarrow \text{Gal}(L/F)^{\text{ab}}$$

by $\mathbf{H}(u) = \sigma$ where $u = N_{\widehat{L}/\widehat{F}}(v)$ and $c(\sigma) = \sigma(\pi)/\pi = v/\varphi(v) \bmod V(L/F)$. This map can be extended to finite and infinite Galois extensions [H1, H2, I1].

The shortest way to deduce properties of \mathbf{N} and \mathbf{H} is to work with both maps simultaneously. For a finite Galois extension L/F the composition $\mathbf{H} \circ \mathbf{N}$ coincides with the epimorphism $\text{Gal}(L/F) \rightarrow \text{Gal}(L/F)^{\text{ab}}$ and the composition $\mathbf{N} \circ \mathbf{H}$ is the identity map of $U_F/N_{L/F}U_L$. Hence \mathbf{N} is an epimorphism with the kernel equal to the commutator group of the Galois group.

This approach with appropriate modifications and generalizations works well in (p -) class field theories of local fields with perfect residue field [F3, H1], higher local fields [F1, F2, F4], complete discrete valuation fields with residue field of characteristic p [F5].

In this paper we shall define nonabelian reciprocity maps for arithmetically profinite Galois extensions of local fields extending the approach discussed above. For Fontaine-Wintenberger's theory of arithmetically profinite extension and fields of norms see [W], [FV, Ch. III, sect.5]. For simplicity we treat the case of totally ramified extensions, however, the constructions of this work can undoubtedly be defined for arbitrary Galois arithmetically profinite extensions, in particular, arbitrary finite Galois extensions of local fields. This paper contains a complete presentation of the main construction; further applications is the subject of a forthcoming paper.

We shall use terminology "the field of norms" for finite extensions as well, meaning just the set of norm-compatible sequences in subexten-

sions. In this case by $U_{N(L/K)}$ we mean the group of norm-compatible sequences in the group of units of subextensions in L/K .

We shall work with maps $\mathbf{N}_{L/F}$, $\mathcal{N}_{L/F}$ and $\mathcal{H}_{L/F}$. The map $\mathbf{N}_{L/F}$ is a generalization of the map \mathbf{N} . It injects the Galois group $\text{Gal}(L/F)$ of a finite or infinite arithmetically profinite totally ramified extension L of a local field F into a certain subquotient $U_{N(\widehat{L/F})}^\circ/U_{N(L/F)}$ of the group of units $U_{N(\widehat{L/F})}$ of the field of norms $N(\widehat{L/F}) = N(\widehat{L}/\widehat{F})$ of the arithmetically profinite extension \widehat{L}/\widehat{F} which is a natural $\text{Gal}(L/F)$ -module.

The map $\mathbf{N}_{L/F}$ is a 1-cocycle. It is compatible with the ramification filtration on the Galois group and the natural filtration on local fields.

We shall study the image of $\mathbf{N}_{L/F}$ and show that there is a bijection

$$\mathcal{N}_{L/F}: \text{Gal}(L/F) \rightarrow U_{N(\widehat{L/F})}^\circ/Y_{L/F}$$

for a certain subgroup $Y_{L/F}$ of $U_{N(\widehat{L/F})}^\circ$ which contains $U_{N(L/F)}$. To check the properties of $\mathcal{N}_{L/F}$ we shall define a map

$$\mathcal{H}_{L/F}: U_{N(\widehat{L/F})}^\circ/Y_{L/F} \rightarrow \text{Gal}(L/F)$$

which acts in the reverse direction. The latter is a generalization of the fundamental exact sequence and the constructions of [H1, H2].

The set $U_{N(\widehat{L/F})}^\circ/Y_{L/F}$ with a new group structure given by

$$x \star y = x\mathcal{N}_{L/F}^{-1}(x)(y)$$

is isomorphic to $\text{Gal}(L/F)$.

Recall that the field of norms $N(\widehat{L/F})$ is isomorphic to $\mathbb{F}_p^{\text{sep}}((X))$, so $U_{N(\widehat{L/F})}$ is isomorphic to $\mathbb{F}_p^{\text{sep}}[[X]]^*$. Thus, every Galois group of a totally ramified arithmetically profinite extension L/F is isomorphic to a certain subquotient of $\mathbb{F}_p^{\text{sep}}[[X]]^*$ which is endowed with the new (nonabelian in general) group structure on it.

The classical abelian reciprocity isomorphism is the \widehat{F} -component of the $\mathcal{N}_{L/F}$ and $\mathcal{H}_{L/F}$. If R/F is the maximal abelian subextension of L/F , then the \widehat{R} -component of the $\mathcal{N}_{L/F}$ and $\mathcal{H}_{L/F}$ is in fact the metabelian reciprocity map introduced by Koch and de Shalit [K, KdSh].

Let F be a local field with finite residue field. Let φ in the absolute Galois group G_F of F be an extension of the Frobenius automorphism of the maximal unramified extension F^{ur} over F .

Let F^φ be the fixed field of φ . It is a totally ramified extension of F and its compositum with F^{ur} coincides with the maximal separable extension of F . *We shall work with Galois extensions of F inside F^φ .* The reason why in the nonabelian theory one is deemed to work with extensions inside F^φ is explained in [KdSh, 0.2].

From abelian local class field theory and a compactness argument one deduces that there is a unique norm-compatible sequence of prime elements (π_E) in finite subextensions of F^φ/F , see for instance [KdSh, Lemma 0.2].

Recall that a separable extension L of a local field K is called arithmetically profinite if the subgroup $G_L G_K^x$ is of finite index in G_K for every x (where G_K^x is the upper ramification group of G_K). Equivalently, L/K is arithmetically profinite if it has finite residue field extension and the Hasse–Herbrand function $h_{L/K}(x) = \lim h_{E/K}(x)$ takes real values for all real $x \geq 0$ where E/K runs through all finite subextensions in L/K , see [W], [FV, Ch. III, sect. 5]. For an infinite arithmetically profinite extension L/K the field of norms $N = N(L/K)$ is the set of all norm-compatible sequences

$$\{(a_E) : a_E \in E^*, E/K \text{ is a finite subextension of } L/K\}$$

and zero, such that the multiplication is componentwise and the addition $(a_E) + (b_E) = (c_E)$ is defined as $c_E = \lim_M N_{M/E}(a_M + b_M)$ where M runs through all finite subextension of E in L . An element of the field of norms has E -component for every finite subextension E/K of L/K . The field N is a local field of characteristic p with the residue field isomorphic to the residue field of L and a prime element $t = (\pi_E)$ which is a sequence of norm-compatible prime elements of finite subextensions of L/K . If the extension L/F is totally ramified, then the discrete valuation $v_{N(L/F)}$ is given by $v_{N(L/F)}((a_E)) = v_F(a_F) = v_E(a_E)$. Every automorphism τ of L over K induces an automorphism τ of the field of norms: $\tau((\pi_E)) = (\tau\pi_E)$. If M is a separable extension of L , then one defines $N(M, L/K)$ as the compositum of all $N(F'/K)$ where F' runs through finite extensions of L in M .

For Fontaine–Wintenberger’s theory of fields of norms see [W], [FV, Ch. III, sect. 5]. For a finite subextension M/K of an arithmetically profinite extension L/K the extension L/M is arithmetically profinite; for every subextension M/K of an arithmetically profinite extension L/K the extension M/K is arithmetically profinite. One of the central

theorems of the theory of fields of norms tells that the absolute Galois group of $N(L/K)$ coincides with $G(N(L^{\text{sep}}, L/K)/N(L/K))$ and the latter is isomorphic to $G(L^{\text{sep}}/L)$, see [W, 3.2.2]. Every abelian totally ramified extension is arithmetically profinite.

If L/K is finite, then denote by $N(L/K)$ the set consisting of norm-compatible sequences in the multiplicative groups of finite subextensions in L/K and of 0. By $U_{N(L/K)}$ we mean the group of norm-compatible sequences in the group of units of subextensions in L/K .

Let $L \subset F^\varphi$ be a Galois (possibly infinite) totally ramified arithmetically profinite extension of F . The canonical sequence of norm-compatible prime elements (π_E) in finite subextensions of F^φ/F supplies the canonical sequence of norm-compatible prime elements (π_E) in finite subextensions of L/F and therefore the canonical prime element X of the local field $N(L/F)$. Denote by φ the automorphism of $N(L/F)^{\text{ur}}$ and $N(\widehat{L}/F)$ corresponding to φ .

Using solvability of Galois extensions in the local situation fix a tower of subfields $F = E_0 - E_1 - E_2 - \dots$, such that $L = \cup E_i$, E_i/E_{i-1} is cyclic of prime degree and E_i/F is normal. We can assume that the degree $|E_{i+1} : E_i| = p$ for all $i \geq i_0$ and $|E_{i_0} : E_0|$ is relatively prime to p .

Let $N(\widehat{L}/\widehat{E}_i)$ be the field of norms of the arithmetically profinite extension $\widehat{L}/\widehat{E}_i$. It can be identified with the completion $N(\widehat{L}/E_i)$ of the maximal unramified extension $N(L/E_i)^{\text{ur}}$ of $N(L/E_i)$.

For a local field K the symbols $U_K, U_{i,K}$ denote, as usual, the group of units of the ring of integers and the higher groups of units.

Definition 1. Denote by $U_{N(\widehat{L}/F)}^\diamond$ the subgroup of the group $U_{N(\widehat{L}/F)}$ of those elements whose \widehat{F} -component belongs to U_F .

Recall that every element of the group of units of a local field with separably closed residue field is $(\varphi - 1)$ -divisible, see for instance [I2, Lemma 3.11].

To motivate the next definition we interpret the map \mathbf{N} for a finite Galois totally ramified extension L/F in the following way. Since in this case both π_Σ and π_L are prime elements of L^{ur} , there is $\varepsilon \in U_{L^{\text{ur}}}$ such that $\pi_\Sigma = \pi_L \varepsilon$. We can take $\tilde{\sigma} = \sigma \varphi$. Then $\pi_L^{\sigma-1} = \varepsilon^{1-\sigma \varphi}$. Let $\eta \in U_{\widehat{L}}$ be such that $\eta^{\varphi-1} = \varepsilon$. Since $(\eta^{\sigma \varphi-1} \varepsilon^{-1})^{\varphi-1} = (\eta^{(\sigma-1)\varphi})^{\varphi-1}$, we deduce that $\varepsilon = \eta^{\sigma \varphi-1} \eta^{(1-\sigma)\varphi} \rho$ with $\rho \in U_L$. Thus, for $\xi = \eta^{\sigma \varphi-1}$

$$\mathbf{N}(\sigma) \equiv N_{\Sigma/F} \pi_\Sigma \equiv N_{\widehat{L}/\widehat{F}} \xi \pmod{N_{L/F} L^*}, \quad \pi_L^{\sigma-1} = \xi^{1-\varphi}.$$

Definition 2. Define the map

$$\mathbf{N}_{L/F}: \text{Gal}(L/F) \rightarrow U_{N(\widehat{L/F})}^\diamond / U_{N(L/F)}$$

by

$$\mathbf{N}_{L/F}(\sigma) = (u_{\widehat{E}_i}) \bmod U_{N(L/F)},$$

where $U = (u_{\widehat{E}_i}) \in U_{N(\widehat{L/F})}$ satisfies the equation

$$\boxed{U^{1-\varphi} = X^{\sigma-1}}.$$

Then, clearly, $(u_{\widehat{E}_i})$ belongs to $U_{N(\widehat{L/F})}^\diamond$ and is defined modulo $U_{N(L/F)}$.

Note that $U_{N(\widehat{L/F})}^\diamond / U_{N(L/F)}$ is a direct product of the group of multiplicative representatives of F , a cyclic group \mathbb{Z}/p^a and a countable free topological \mathbb{Z}_p -module.

Remark 1. For a finite extension L/F the \widehat{F} -component of $\mathbf{N}_{L/F}(\sigma)$ is equal to $N_{\widehat{L}/\widehat{F}} \xi \bmod N_{L/F} U_L$ where $\xi^{1-\varphi} = \pi_L^{\sigma-1}$. In other words, the \widehat{F} -component of $\mathbf{N}_{L/F}$ is the classical Neukirch–Iwasawa map \mathbf{N} .

Lemma 1. *Let M/F be a Galois subextension of L/F and E/F be a finite subextension of L/F . Then the following diagrams of maps are commutative:*

$$\begin{array}{ccc} \text{Gal}(L/E) & \xrightarrow{\mathbf{N}_{L/E}} & U_{N(L/E)}^\diamond / U_{N(L/E)} \\ \downarrow & & \downarrow \\ \text{Gal}(L/F) & \xrightarrow{\mathbf{N}_{L/F}} & U_{N(L/F)}^\diamond / U_{N(L/F)}, \\ \text{Gal}(L/F) & \xrightarrow{\mathbf{N}_{L/F}} & U_{N(L/F)}^\diamond / U_{N(L/F)} \\ \downarrow & & \downarrow \\ \text{Gal}(M/F) & \xrightarrow{\mathbf{N}_{M/F}} & U_{N(M/F)}^\diamond / U_{N(M/F)}. \end{array}$$

Lemma 2. $\mathbf{N}_{L/F}$ is injective and

$$\mathbf{N}_{L/F}(\sigma\tau) = \mathbf{N}_{L/F}(\sigma) \circ \mathbf{N}_{L/F}(\tau).$$

Proof. If $\mathbf{N}_{L/F}(\sigma) = (u_{\widehat{E}_i}) \in U_{N(L/F)}$, then $(u_{\widehat{E}_i})^{\varphi-1} = 1$; so σ acts trivially on the prime elements π_E , therefore $\sigma = 1$. \square

Let $U_{n, N(\widehat{L/F})}^\diamond$ be the filtration induced from the filtration $U_{n, N(\widehat{L/F})}$ on the field of norms. For an infinite arithmetically profinite extension L/F with the Hasse–Herbrand function $h_{L/F}$ put $\text{Gal}(L/F)_n = \text{Gal}(L/F)^{h_{L/F}^{-1}(n)}$.

Proposition 1. $\mathbf{N}_{L/F}$ maps $\text{Gal}(L/F)_n \setminus \text{Gal}(L/F)_{n+1}$ into $U_{n, N(\widehat{L/F})}^\diamond U_{N(L/F)} \setminus U_{n+1, N(\widehat{L/F})}^\diamond U_{N(L/F)}$.

Proof. Let $\tau \in \text{Gal}(L/F)_n$. Then due to the properties of arithmetically profinite extensions [W, 3.3.2 and 3.3.4] there is a finite subextension Q/F of L/F such that $\pi_{E'}^{\tau-1} \in U_{n, E'}$ for every $E' \supset Q$.

Choose a solution $(u_{\widehat{E}})$ of the equation $(u_{\widehat{E}})^{1-\varphi} = (\pi_E)^{\tau-1}$ such that $u_{\widehat{E}'} \in U_{n, \widehat{E}'}$ for $E' \supset Q$. Then $v_{\widehat{E}'}((u_{\widehat{E}}) - 1)_{\widehat{E}'}$ $\geq n$ for sufficiently large $E' \supset Q$ [W, 2.3.2.2, 2.3.2.3]. Hence $(u_{\widehat{E}}) \in U_{n, N(\widehat{L/F})}$.

If $(\pi_E)^{\tau-1} = (u_{\widehat{E}})^{1-\varphi}$ with $(u_{\widehat{E}}) \in U_{n+1, N(\widehat{L/F})}^\diamond U_{N(L/F)}$, then $(\pi_E)^{\tau-1} \in U_{n+1, N(\widehat{L/F})}^\diamond$, and so $\pi_{E'}^{\tau-1} \in U_{n+1, E'}$ for sufficiently large E' [W, 3.2]. Thus, by [W, 3.3.2 and 3.3.4], $\tau \in \text{Gal}(L/F)_{n+1}$. \square

Remark 2. The set $\text{im}(\mathbf{N}_{L/F})$ isn't closed in general with respect to the multiplication in $U_{N(\widehat{L/F})}/U_{N(L/F)}$. However, Lemma 2 implies that being endowed with a new group structure given by

$$x \star y = x \mathbf{N}_{L/F}^{-1}(x)(y)$$

$\text{im}(\mathbf{N}_{L/F})$ is a group isomorphic to $\text{Gal}(L/F)$.

To study the image of $\mathbf{N}_{L/F}$ we shall define after some preliminary considerations a map $\mathcal{H}_{L/F}$ which takes values in $\text{Gal}(L/F)$.

Recall that the norm map is surjective for finite extensions of local fields with separably closed residue field; see for instance [Se, 2.2].

Definition 3. Let σ_i be a generator of $\text{Gal}(E_i/E_{i-1})$. Let $v_{\widehat{E}_i}$ be the discrete valuation of \widehat{E}_i . Put $s_i = v_{\widehat{E}_i}(\pi_{\widehat{E}_i}^{\sigma_i-1} - 1)$. Denote $X_i = U_{\widehat{E}_i}^{\sigma_i-1}$. It is a \mathbb{Z}_p -submodule of U_{1, \widehat{E}_i} . X_i is the direct sum of a cyclic torsion group of order p^{n_i} , $n_i \geq 0$, generated by, say, α_i ($\alpha_i = 1$ if $n_i = 0$) and a free topological \mathbb{Z}_p -module Y_i .

Note that if $i \leq i_0$, i.e. $|E_i : E_{i-1}|$ is relatively prime to p , then if a primitive p th root of unity ζ_p were equal to u^{σ_i-1} with $u \in \widehat{E}_i$, then

u^p would belong to \widehat{E}_{i-1} and hence $u \in \widehat{E}_{i-1}$, a contradiction. Hence $\alpha_i = 1$, $n_i = 0$ for $i \leq i_0$.

Definition 3'. If $n_i = 0$, set $A^{(i)} \in U_{N(\widehat{L}/E_i)}$ to be equal to 1. If $n_i > 0$, let $A^{(i)} \in U_{N(\widehat{L}/E_i)}$ be a lifting of α_i with the following restriction: $A_{\widehat{E}_{i+1}}^{(i)}$ isn't a root of unity of order a power of p (this condition can be satisfied by multiplying the \widehat{E}_{i+1} -component of $A^{(i)}$, if necessary, by $\gamma^{\sigma_{i+1}-1}$ where $\gamma \in U_{\widehat{E}_{i+1}}$ sufficiently small).

Lemma 3. If $A^{(i)} \neq 1$, then $\beta_{i+1} = A_{\widehat{E}_{i+1}}^{(i) p^{n_i}}$ belongs to X_{i+1} .

Proof. Clearly $N_{\widehat{E}_{i+1}/\widehat{E}_i} \beta_{i+1} = 1$, so $\beta_{i+1} = \pi_{E_{i+1}}^{\rho-1} u^{\sigma_{i+1}-1}$ with $\rho \in \text{Gal}(E_{i+1}/E_i)$. We need to show that $\rho = 1$.

Let $\delta^{1-\varphi} = A_{\widehat{E}_{i+1}}^{(i) p^{n_i-1}}$. Then

$$\mathbf{N}(\rho) = (N_{\widehat{E}_{i+1}/\widehat{E}_i} \delta)^p \pmod{N_{E_{i+1}/E_i} U_{E_{i+1}}}.$$

If $\varepsilon = N_{\widehat{E}_{i+1}/\widehat{E}_i} \delta \in E_i$, then $\mathbf{N}(\rho)$ belongs to $N_{E_{i+1}/E_i} U_{E_{i+1}}$, and hence $\rho = 1$. If $\varepsilon \notin E_i$, then $\varepsilon^p = a^p \omega$ where $a \in U_{E_i}$ and $\omega \in U_{E_i}$ is a p -primary element (the extension $E_i(\sqrt[p]{\omega})/E_i$ is unramified of degree p). If $\omega \in N_{E_{i+1}/E_i} U_{E_{i+1}}$, then $\mathbf{N}(\rho) = \varepsilon^p$ belongs to $N_{E_{i+1}/E_i} U_{E_{i+1}}$, and hence $\rho = 1$. If $\omega \notin N_{E_{i+1}/E_i} U_{E_{i+1}}$, then $s_{i+1} = pe(E_i)/(p-1)$. Since $A_{\widehat{E}_i}^{(i)} \in U_{\widehat{E}_i}^{\sigma_i-1}$ is a primitive root of unity of order a power of p , we deduce that $0 < s_i < e(E_i)/(p-1)$, hence $(s_i, p) = 1$. In this case it is well known and easy to see that $s_i \equiv s_{i+1} \pmod{p}$, a contradiction. Thus $\rho = 1$. \square

From now on we assume that if F is of characteristic 0 and contains a primitive p th root of unity, then L/F is of infinite degree.

Definition 3''. Let $\beta_{i,j}$, $j \in \mathbb{N}$ be free generators of Y_i which include β_i whenever β_i is defined. Let $B^{(i,j)} \in U_{N(\widehat{L}/E_i)}$ be a lifting of $\beta_{i,j}$ (i.e. $B_{\widehat{E}_i}^{(i,j)} = \beta_{i,j}$), such that if $\beta_{i,j} = \beta_i$, then $B_{\widehat{E}_k}^{(i,j)} = B_{\widehat{E}_k}^{(i)} = A_{\widehat{E}_k}^{(i-1)p^{n_{i-1}}}$ for $k \geq i$.

Definition 4. Define a map $X_i \rightarrow U_{N(\widehat{L}/E_i)}$ by sending $\alpha_i^c \prod_j \beta_{i,j}^{c_j}$,

where $0 \leq c \leq n_i - 1$, $c_j \in \mathbb{Z}_p$, to $A^{(i)c} \prod_j B^{(i,j)c_j}$. We get a map

$$f_i: U_{\widehat{E}_i}^{\sigma_i-1} \rightarrow U_{N(\widehat{L/E}_i)} \rightarrow U_{N(\widehat{L/F})}.$$

It depends on the choice of lifting.

Note that $f_i(\alpha)_{\widehat{E}_i} = \alpha$.

Definition 5. Let

$$Z_{L/F} = Z_{L/F}(\{E_i, f_i\}) = \left\{ \prod_i z^{(i)} : z^{(i)} \in f_i(U_{\widehat{E}_i}^{\sigma_i-1}) \right\}$$

and

$$Y_{L/F} = \{y \in U_{N(\widehat{L/F})} : y^{1-\varphi} \in Z_{L/F}\}.$$

Lemma 4. *The product of $z^{(i)}$ in the definition of $Z_{L/F}$ converges. $Z_{L/F}$ is a subgroup of $U_{N(\widehat{L/F})}^\circ$. The subgroup $Y_{L/F}$ contains $U_{N(L/F)}$.*

Proof. Let L/F be infinite. Let $v_{\widehat{E}_i}$ be the discrete valuation of \widehat{E}_i . Denote $s_i = v_{E_i}(\pi_{\widehat{E}_i}^{\sigma_i-1} - 1)$. If $h_{L/F}$ is the Hasse–Herbrand function of L/F , then $h_{L/F}^{-1}(s_i)$ tends to $+\infty$ when i tends to infinity [FV, Ch. III, sect. 5]. So, if $q(E_k|E_i)$ is the minimal real number such that $h_{E_k|E_i}(x) = x$ for $x \leq q(E_k|E_i)$, then $q(E_k|E_i)$ tends to infinity when i tends to infinity (see [FV, Ch. III, (5.2)]). Note that $v_{\widehat{E}_i}(z_{\widehat{E}_i}^{(i)} - 1) > s_i$; so from [FV, Ch. III, (5.5)] we deduce that

$$v_{\widehat{E}_i}((z^{(i)} - 1)_{\widehat{E}_i}) \geq \min((1 - p^{-1}) \min_{k>i} q(E_k|E_i), s_i).$$

Hence the product of $z^{(i)}$ converges.

Due to the previous definitions the subgroup of $U_{N(\widehat{L/F})}$ generated by $f_i(U_{\widehat{E}_i}^{\sigma_i-1})$ is equal to

$$\{A^{(i)c} \prod_j B^{(i,j)c_j} : c, c_j \in \mathbb{Z}_p\}.$$

Since $A^{(i)p^{n_i d}} = B^{(i+1)d}$, $Z_{L/F}$ is a subgroup of $U_{N(\widehat{L/F})}$. □

The following theorem is a generalization of the fundamental exact sequence.

Theorem 1. For every $(u_{\widehat{E}_i}) \in U_{N(L/F)}^\diamond$ there is a unique automorphism τ in the group $\text{Gal}(L/F)$ satisfying

$$(u_{\widehat{E}_i})^{1-\varphi} = X^{\tau-1} \text{ mod } Z_{L/F}.$$

If $(u_{\widehat{E}_i}) \in Y_{L/F}$, then $\tau = 1$.

Proof. Assume that $u_{\widehat{E}_{j-1}} \in U_{E_{j-1}}$, and $u_{\widehat{E}_j} \notin U_{E_j}$. Then $N_{\widehat{E}_j/\widehat{E}_{j-1}} u_{\widehat{E}_j}^{1-\varphi} = 1$, so from the fundamental exact sequence $u_{\widehat{E}_j}^{1-\varphi} = \pi_{\widehat{E}_j}^{\tau_j-1} w^{\sigma_j-1}$ with $\tau_j \in \text{Gal}(E_j/E_{j-1})$, $w \in U_{\widehat{E}_j}$. Both τ_j and w^{σ_j-1} are uniquely determined by $(u_{\widehat{E}_j})$. Let $w^{(j)} = f_j(w^{\sigma_j-1})$.

Now assume that for $i > j$ we get

$$u_{\widehat{E}_{i-1}}^{1-\varphi} = \pi_{\widehat{E}_{i-1}}^{\tau_{i-1}-1} \prod_{j \leq k \leq i-1} w_{\widehat{E}_{i-1}}^{(k)}$$

with uniquely determined $\tau_{i-1} \in \text{Gal}(E_{i-1}/F)$, $w^{(k)} \in f_k(U_{\widehat{E}_k}^{\sigma_k-1})$. We shall show that then the same is true for $u_{\widehat{E}_i}^{1-\varphi}$.

Let $\tau'_{i-1} \in \text{Gal}(E_i/F)$ be an extension of τ_{i-1} . Then

$$N_{\widehat{E}_i/\widehat{E}_{i-1}} u_{\widehat{E}_i}^{1-\varphi} = N_{\widehat{E}_i/\widehat{E}_{i-1}} \left(\pi_{\widehat{E}_i}^{\tau'_{i-1}-1} \prod_{j \leq k \leq i-1} w_{\widehat{E}_i}^{(k)} \right),$$

so

$$u_{\widehat{E}_i}^{1-\varphi} = \varepsilon \pi_{\widehat{E}_i}^{\tau'_{i-1}-1} \prod_{j \leq k \leq i-1} w_{\widehat{E}_i}^{(k)}$$

with ε in the kernel of $N_{\widehat{E}_i/\widehat{E}_{i-1}}$. From the fundamental exact sequence we get $\varepsilon = \pi_{\widehat{E}_i}^{\sigma_i^\alpha-1} v_i^{\sigma_i-1}$ with $v_i \in U_{\widehat{E}_i}$, $1 \leq a \leq |E_i : E_{i-1}|$. Write

$$\pi_{\widehat{E}_i}^{\tau'_{i-1}-1} \pi_{\widehat{E}_i}^{\sigma_i^\alpha-1} = \pi_{\widehat{E}_i}^{\sigma_i^\alpha \tau'_{i-1}-1} (\pi_{\widehat{E}_i}^{1-\tau'_{i-1}})^{\sigma_i^\alpha-1}.$$

Put $\tau_i = \sigma_i^\alpha \tau'_{i-1}|_{E_i}$, $w_i = v_i (\pi_{\widehat{E}_i}^{1-\tau'_{i-1}})^{1+\sigma_i+\dots+\sigma_i^{\alpha-1}}$, $w^{(i)} = f_i(w_i^{\sigma_i-1})$. Then

$$u_{\widehat{E}_i}^{1-\varphi} = \pi_{\widehat{E}_i}^{\tau_i-1} \prod_{j \leq k \leq i} w_{\widehat{E}_i}^{(k)}.$$

It remains to show that τ_i , $w^{(i)}$ are uniquely determined. If $\rho_i \in \text{Gal}(E_i/F)$, $v^{(i)} \in f_i(U_{\widehat{E}_i}^{\sigma_i-1})$ are such that

$$u_{\widehat{E}_i}^{1-\varphi} = \pi_{\widehat{E}_i}^{\rho_i-1} v_{\widehat{E}_i}^{(i)} \prod_{j \leq k \leq i-1} w_{\widehat{E}_i}^{(k)}$$

and $\tau_i|_{E_{i-1}} = \rho_i|_{E_{i-1}}$, then $\tau_i = \rho\rho_i$ with $\rho \in \text{Gal}(E_i/E_{i-1})$ and

$$\pi_{E_i}^{\rho-1} = (\pi_{E_i}^{\rho_i-1})^{1-\rho} v_{E_i}^{(i)} / w_{E_i}^{(i)}.$$

Since $v_{E_i}^{(i)}, w_{E_i}^{(i)} \in U_{E_i}^{\sigma_i-1}$, we deduce that the right hand side of the last equation belongs to $V(E_i|E_{i-1})$. Therefore, from the fundamental exact sequence $\rho = 1$. Consequently $v_{E_i}^{(i)} = w_{E_i}^{(i)}$ and $v^{(i)} = w^{(i)}$.

Thus, by induction there is a unique automorphism $\tau \in \text{Gal}(L/F)$, $\tau|_{E_i} = \tau_i$, satisfying

$$(u_{E_i}^{\widehat{}})^{1-\varphi} = X^{\tau-1} \text{ mod } Z_{L/F}.$$

If $(u_{E_i}^{\widehat{}}) \in Y_{L/F}$, then from the uniqueness we get $\tau = 1$. □

Corollary. *Thus, we get the map*

$$\mathbf{H}_{L/F}: U_{N(L/F)}^{\diamond} \rightarrow \text{Gal}(L/F)$$

defined by $\mathbf{H}_{L/F}((u_{E_i}^{\widehat{}})) = \tau$. The composition of $\mathbf{N}_{L/F}$ and $\mathbf{H}_{L/F}$ is the identity map of $\text{Gal}(L/F)$.

Definition 6. Define the map

$$\mathcal{H}_{L/F}: U_{N(L/F)}^{\diamond} / Y_{L/F} \rightarrow \text{Gal}(L/F)$$

by

$$\mathcal{H}_{L/F}((u_{E_i}^{\widehat{}})) = \tau,$$

where τ is the unique automorphism satisfying $(u_{E_i}^{\widehat{}})^{1-\varphi} = X^{\tau-1} \text{ mod } Z_{L/F}$.

Note that $U_{N(L/F)}^{\diamond} / Y_{L/F}$ is a direct product of the group of multiplicative representatives of F , a cyclic group \mathbb{Z}/p^a and a countable free topological \mathbb{Z}_p -module.

Lemma 5. $\mathcal{H}_{L/F}$ is injective.

Proof. If $(u_{E_i}^{\widehat{}})^{1-\varphi} \in Z_{L/F}$, then $(u_{E_i}^{\widehat{}}) \in Y_{L/F}$. □

Theorem 2. *The map $\mathcal{H}_{L/F}$ and the map*

$$\mathcal{N}_{L/F}: \text{Gal}(L/F) \rightarrow U_{N(\widehat{L/F})}^\circ / Y_{L/F}$$

induced by $\mathbf{N}_{L/F}$ are inverse bijections. The bijection $\mathcal{N}_{L/F}$ is a 1-cocycle.

Proof. From the definitions $\mathcal{H}_{L/F} \circ \mathcal{N}_{L/F} = \text{id}$. Since $(u_{\widehat{E}_i})^{1-\varphi} = (u'_{\widehat{E}_i})^{1-\varphi} z$ with $z \in Z_{L/F}$ implies $(u_{\widehat{E}_i})(u'_{\widehat{E}_i})^{-1} \in Y_{L/F}$ we get $\mathcal{N}_{L/F} \circ \mathcal{H}_{L/F} = \text{id}$. It remains to refer to Lemma 2. \square

Corollary. $\text{im}(\mathbf{N}_{L/F}) \cap Y_{L/F}/U_{N(L/F)} = (1)$ and $\text{im}(\mathbf{N}_{L/F})$ is a set of representatives of $U_{N(\widehat{L/F})}^\circ / U_{N(L/F)}$ modulo $Y_{L/F}/U_{N(L/F)}$.

Proof. $\text{im}(\mathbf{N}_{L/F}) \cap Y_{L/F}/U_{N(L/F)}$ coincides with the intersection of $\text{im}(\mathbf{N}_{L/F})$ and the kernel of the epimorphism $U_{N(\widehat{L/F})}^\circ / U_{N(L/F)} \rightarrow U_{N(\widehat{L/F})}^\circ / Y_{L/F}$ which is trivial according to the previous theorem. The second assertion follows in the same way. \square

Remark 3. To the natural homomorphism

$$\lambda: \text{Gal}(L/F) \rightarrow \text{Aut } U_{N(\widehat{L/F})}^\circ / U_{N(L/F)},$$

$\lambda(\sigma)x = \sigma x$, the 1-cocycle $\mathcal{N}_{L/F}$ associates a twisted monomorphism $\lambda_{\mathcal{N}}$ which acts from $\text{Gal}(L/F)$ into the group $\text{Aut}_S U_{N(\widehat{L/F})}^\circ / U_{N(L/F)}$ of automorphisms of $U_{N(\widehat{L/F})}^\circ / U_{N(L/F)}$ as a set defined by

$$\lambda_{\mathcal{N}}(\sigma)u = \mathbf{N}_{L/F}(\sigma)\sigma(u).$$

Remark 4 (on abelian class field theory). To deduce abelian reciprocity map from Theorem it suffices to prove that for an abelian extension L/F the natural epimorphism

$$U_{N(\widehat{L/F})}^\circ / Y_{L/F} \rightarrow U_F / N_{L/F} U_L, (u_{\widehat{E}}) \bmod Y_{L/F} \rightarrow u_{\widehat{F}} \bmod N_{L/F} U_L$$

is an isomorphism.

Assume that $(u_{\widehat{E}}) \in U_{N(\widehat{L/F})}^\circ$, $u_{\widehat{F}} \in N_{L/F} U_L$ and show that then $(u_{\widehat{E}}) \in Y_{L/F}$.

Let $(v_E) \in U_{N(L/F)}$ with $v_F = u_{\widehat{F}}$. Then $u_{\widehat{E}}v_E^{-1}$ belongs to the kernel of $N_{\widehat{E}/\widehat{F}}$, so from the fundamental sequence $u_{\widehat{E}}v_E^{-1} = \pi_E^{\sigma_E-1}v$ with $v \in V(E/F)$. Then $u_{\widehat{E}}^{1-\varphi} \in V(E/F)$.

Furthermore, let by induction on i

$$u_{\widehat{E}_{i-1}}^{1-\varphi} = \prod_{j \leq k \leq i-1} w_{\widehat{E}_{i-1}}^{(k)}, \quad w^{(k)} \in f_k(U_{\widehat{E}_k}^{\sigma_k-1})$$

as in the proof of Theorem 1. Then

$$u_{\widehat{E}_i}^{1-\varphi} = \alpha^{\sigma_i-1} \prod_{j \leq k \leq i-1} w_{\widehat{E}_i}^{(k)}$$

with some $\alpha \in \widehat{E}_i^*$. Since $u_{\widehat{E}_i}^{1-\varphi}, w_{\widehat{E}_i}^{(k)}$ belong to $V(E_i/F)$ and the extension E_i/F is abelian, from the fundamental exact sequence we deduce that $\alpha^{\sigma_i-1} \in U_{\widehat{E}_i}^{\sigma_i-1}$. Put $w^{(i)} = f_i(\alpha^{\sigma_i-1})$, then

$$u_{\widehat{E}_i}^{1-\varphi} = \prod_{j \leq k \leq i} w_{\widehat{E}_i}^{(k)}.$$

Thus, $(u_{\widehat{E}}) \in Y_{L/F}$. In particular, $Y_{L/F}$ doesn't depend on the choice of E_i, f_i .

For a totally ramified Galois arithmetically profinite extension L/F denote by $C_{N(\widehat{L/F})}$ the subgroup of $U_{N(\widehat{L/F})}^\diamond$ of elements with the ground component 1. Then $\mathcal{H}_{L/F}(C_{N(\widehat{L/F})} Y_{L/F}/Y_{L/F})$ is equal to the derived group $\text{Gal}(L/F)'$ of $\text{Gal}(L/F)$. The latter is mapped by $\mathbf{N}_{L/F}$ into a subset of $C_{N(\widehat{L/F})} U_{N(L/F)}/U_{N(L/F)}$.

Remark 5 (on metabelian class field theory). Denote by q the cardinality of the residue field of F . Koch and de Shalit in [KdSh] constructed a metabelian class field theory which in particular describes totally ramified metabelian extensions of F (the commutator group of the commutator group is trivial) in terms of the following group (we indicate here only that part of their group $\mathfrak{G}(F, \varphi)$ which is relevant for totally ramified extensions)

$$\mathfrak{g}(F) = \{(u \in U_F, \xi(X) \in \mathbb{F}_p^{\text{sep}}[[X]]^*) : \xi(X)^{\varphi-1} = \{u\}(X)/X\}$$

with a certain group structure. Here $\{u\}(X)$ is the residue series in $\mathbb{F}_p^{\text{sep}}[[X]]^*$ of the endomorphism $[u](X) \in O_F[[X]]$ of the formal Lubin-Tate group corresponding to π_F, q, u .

Denote $R = F^{\text{ab}} \cap F^\varphi$, $M = R^{\text{ab}} \cap F^\varphi$. Since R/F and $N(M, R/F)/N(R/F)$ are arithmetically profinite, the extension M/F is arithmetically profinite [W, 3.4.1].

Note that if $\tau = \mathbf{H}(u^{-1})$ is the automorphism of $\text{Gal}(R/F)$ corresponding via abelian class field theory to u^{-1} , then the equation $\xi(X)^{\varphi-1} = \{u\}(X)/X$ can be interpreted as $(u_{\widehat{E}})^{1-\varphi} = (\pi_E)^{\tau-1}$ in the field of norms of R/F . Hence $\mathfrak{g}(F)$ can be identified with the set $\mathfrak{n}(F)$ of all pairs $(u, (u_{\widehat{E}})) \in U_F \times U_{N(\widehat{M/F})}^\circ$ which satisfy the equation $(u_{\widehat{E}})^{1-\varphi} = (\pi_E)^{\mathbf{H}(u^{-1})-1}$.

According to Corollary of Theorem 2 every coset of $U_{N(\widehat{M/F})}^\circ$ modulo $Y_{M/F}$ has a unique representative in $\text{im}(\mathbf{N}_{M/F})$. Send a coset with a representative $(u_{\widehat{Q}}) \in U_{N(\widehat{M/F})}^\circ$ satisfying $(u_{\widehat{Q}})^{1-\varphi} = (\pi_Q)^{\tau-1}$ with $\tau \in \text{Gal}(M/F)$ to $(u_{\widehat{F}}^{-1}, (u_{\widehat{E}})) \in U_{N(\widehat{R/F})}^\circ$. It belongs to $\mathfrak{n}(F)$ by Remark 4. Thus, we get a map

$$g: U_{N(\widehat{M/F})}^\circ / Y_{M/F} \rightarrow \mathfrak{n}(F).$$

Now we construct an inverse map to g . For a pair $(u, (u_{\widehat{E}})) \in U_F \times U_{N(\widehat{R/F})}^\circ$ satisfying $(u_{\widehat{E}})^{1-\varphi} = (\pi_E)^{\tau-1}$ fix a finite subextension E/F of R/F . We claim that for every finite abelian subextension Q/E of M/E such that Q is normal over F there are unique $u_{\widehat{Q}} \in U_{\widehat{Q}}$ and $\tau_Q \in \text{Gal}(Q/F)$ satisfying

$$N_{\widehat{Q}/\widehat{E}} u_{\widehat{Q}} = u_{\widehat{E}}, \quad u_{\widehat{Q}}^{1-\varphi} = \pi_Q^{\tau_Q-1}, \quad \tau_Q|_E = \tau|_E.$$

Write $u_{\widehat{E}} = N_{\widehat{Q}/\widehat{E}} u$ with $u \in U_{\widehat{Q}}$ and observe that $N_{\widehat{Q}/\widehat{E}}(u^{1-\varphi} \pi_Q^{1-\tau'}) = 1$ for a lifting $\tau' \in \text{Gal}(Q/F)$ of τ . The group $V(Q/E)$ is $(\varphi-1)$ -divisible, so $\pi_Q^{\sigma\tau'-1} = w^{\varphi-1} \pi_Q^{\tau'-1} \pi_Q^{\sigma-1}$ with $w \in V(Q/E)$. From the fundamental exact sequence for the abelian extension Q/E we get $u^{1-\varphi} \pi_Q^{1-\tau'} = \pi_Q^{\sigma-1} v^{\varphi-1}$ for some $\sigma \in \text{Gal}(Q/E)$, $v \in V(Q/E)$. Hence $u_{\widehat{Q}} = uvw$ satisfies $N_{\widehat{Q}/\widehat{E}} u_{\widehat{Q}} = u_{\widehat{E}}$, $u_{\widehat{Q}}^{1-\varphi} = \pi_Q^{\tau_Q-1}$, where $\tau_Q = \sigma\tau'$.

If $u'_{\widehat{Q}}{}^{1-\varphi} = \pi_Q^{\tau'_Q-1}$ and $N_{\widehat{Q}/\widehat{E}} u'_{\widehat{Q}} = u_{\widehat{E}}$, then $u_{\widehat{Q}}^{-1} u'_{\widehat{Q}}$ belongs to the kernel of $N_{\widehat{Q}/\widehat{E}}$, so from the fundamental sequence we deduce that $\pi_Q^{\tau_Q^{-1} \tau'_Q - 1} = (u_{\widehat{Q}}^{-1} u'_{\widehat{Q}})^{1-\varphi} \in V(Q/E)$. Since Q/E is abelian, $\tau'_Q = \tau_Q$, $u'_{\widehat{Q}} = u_{\widehat{Q}}$.

Now let E_1/F be a subextension of an abelian extension E_2/F , let $Q_1/E_1, Q_2/E_2$ be abelian finite subextensions in M/F and let $Q_1 \subset Q_2$ be normal over F . Then $N_{\widehat{Q_2/Q_1}} u_{\widehat{Q_2}}, \tau_{Q_2}|_{Q_1}$, where $u_{\widehat{Q_2}}, \tau_{Q_2}$ constructed for Q_2/E_2 satisfies the conditions for Q_1/E_1 , therefore the uniqueness implies $N_{\widehat{Q_2/Q_1}} u_{\widehat{Q_2}} = u_{\widehat{Q_1}}, \tau_{Q_2}|_{Q_1} = \tau_{Q_1}$.

Hence the pair $(u, (u_{\widehat{E}})) \in U_F \times U_{N(\widehat{R/F})}^\circ$ satisfying $(u_{\widehat{E}})^{1-\varphi} = (\pi_E)^{\mathbf{H}(u^{-1})-1}$ uniquely determines $\tau_M \in \text{Gal}(M/F)$ and $(u_{\widehat{Q}}) \in U_{N(\widehat{M/F})}^\circ$ satisfying $(u_{\widehat{Q}})^{1-\varphi} = (\pi_Q)^{\tau_M-1}$.

Thus, we get the inverse map $h: \mathfrak{n}(F) \rightarrow U_{N(\widehat{M/F})}^\circ / Y_{M/F}$ to g .

Now it is easy to show that the reciprocity map

$$\mathfrak{n}(F) \rightarrow \text{Gal}(M/F)$$

of [KdSh] coincides with $(\mathcal{H}_{M/F} \circ h)^{-1}$ and it associates τ_M^{-1} to $(u, (u_{\widehat{E}})) \in \mathfrak{n}(F)$. The map $(g \circ \mathcal{N}_{L/F})^{-1}$ is the inverse one.

Thus, without using Coleman's homomorphism and the Lubin–Tate theory (employed in the constructions of [KdSh]) one can deduce the metabelian reciprocity map as a partial case of $\mathcal{N}_{L/F}, \mathcal{H}_{L/F}$. Note that the group structure on $\mathfrak{g}(F)$ defined in [KdSh] corresponds to the group structure on $\text{im}(\mathbf{N}_{M/F})$ discussed in Remark 2.

Remark 6. Similarly one can deduce the reciprocity map constructed by Gurevich [G] for extensions L/F for which the n -th derived group of the Galois group is trivial.

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