

Duality Theorems for Abelian Varieties over Z_p -extensions

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Dedicated to Kenkichi Iwasawa on his 70th birthday

Our concern in this paper is to define p -adic height pairings for an abelian variety A over an algebraic number field k on the niveau of a Z_p -extension k_∞ of k . We will show that there exists a map from the A -torsion submodule $T_A H^i(\mathcal{O}_\infty, \mathcal{A}(p))^*$ of the Pontrjagin dual of the p -Selmer group to the adjoint α of the corresponding module for the dual abelian variety A' . Here A denotes the completed group ring of $\text{Gal}(k_\infty/k)$ over Z_p and p is a prime number where A has good reduction. \mathcal{A} denotes the Néron model defined over the ring of integers \mathcal{O}_∞ of k_∞ . More generally, for $i \geq 0$ there are canonical maps

$$T_A H^i(\mathcal{O}_\infty, \mathcal{A}(p))^* \longrightarrow \alpha(T_A H^{2-i}(\mathcal{O}_\infty, \mathcal{A}'(p))^*).$$

These maps are quasi-isomorphisms if A has ordinary good reduction at p . In this case they can be regarded as non-degenerate pairings between the A -torsion submodules of $H^i(\mathcal{O}_\infty, \mathcal{A}(p))^*$ and of $H^{2-i}(\mathcal{O}_\infty, \mathcal{A}'(p))^*$. The pairing induced on a finite layer k_n/k coincides with the pairing defined by Schneider [8] (for $i=1$ and assuming that $H^1(\mathcal{O}_\infty, \mathcal{A}(p))^*$ is A -torsion and fulfills a certain semi-simplicity property).

Furthermore, we define an Iwasawa L -function in terms of characteristic polynomials of $T_A H^i(\mathcal{O}_\infty, \mathcal{A}(p))^*$:

$$L_p(A, \kappa, s) = \prod_{i=0}^2 F_i(\kappa(\phi)^{s-1} - 1)^{(-1)^{i+1}}, \quad s \in Z_p,$$

$$F_i(t) = p^{\mu_i} \det(t - (\phi - 1)); T_A H^i(\mathcal{O}_\infty, \mathcal{A}(p))^* \otimes \mathcal{Q}_p,$$

where κ is the character corresponding to k_∞ , ϕ is a generator of $\text{Gal}(k_\infty/k)$ and μ_i is the μ -invariant of $H^i(\mathcal{O}_\infty, \mathcal{A}(p))^*$. In the ordinary case the pairing mentioned above leads to a functional equation for $L_p(A, \kappa, s)$ with respect to $s \mapsto 2-s$. This generalizes a result of Schneider [8] and

Mazur [4], since we do not assume $H^1(\mathcal{O}_\infty, \mathcal{A}(p))^*$ to be Λ -torsion.

In the supersingular case, i.e., if the p -rank of the reduction $\mathcal{A}/\kappa_{\mathfrak{p}}$ is zero for every prime \mathfrak{p} above p , the adjoint of $T_\Lambda H^1(\mathcal{O}_\infty, \mathcal{A}(p))^*$ can be identified with the dual of the kernel of the canonical map

$$H^1(\mathcal{O}_\infty, \mathcal{A}(p)) \longrightarrow \prod_{\mathfrak{p} \in \Sigma} H^1(k_{\infty, \mathfrak{p}}, A(p))$$

where Σ denotes the set of primes ramified in k_∞/k . This generalizes a result for elliptic curves with complex multiplication obtained by Billot [2].

At the end of the paper we study how the pairing for an abelian variety A which is ordinary at p behaves on the two parts of the p -Selmer group given by the p -part of the Tate-Šafarevič group $\text{III}_\infty(A)(p)$ and the “Mordell-Weil group” $A(k_\infty) \otimes \mathbf{Q}_p/\mathbf{Z}_p$. Assuming that the p -part of III on each layer of k_∞/k is finite we obtain a quasi-isomorphism

$$T_\delta \text{III}_\infty(A)(p)^* \xrightarrow{\sim} \alpha(T_\delta \text{III}_\infty(A)(p)^*)$$

and a quasi-exact sequence

$$\begin{aligned} 0 \longrightarrow T_\nu \text{III}_\infty(A')(p)^* &\longrightarrow \alpha(T_\Lambda(A(k_\infty) \otimes \mathbf{Q}_p/\mathbf{Z}_p)^*) \\ &\longrightarrow T_\Lambda(A'(k_\infty) \otimes \mathbf{Q}_p/\mathbf{Z}_p)^* \longrightarrow \alpha(T_\nu \text{III}_\infty(A)(p)^*) \longrightarrow 0 \end{aligned}$$

where $T_\nu M$ and $T_\delta M$ of a compact Λ -module M of finite type are defined by $\varinjlim_n M^{\Gamma_n}$ and $T_\delta M = T_\Lambda M / T_\nu M$, respectively. In particular, if the group of Γ_n -invariants of $\text{III}_\infty(A)(p)$ is infinite then A has a k_n -rational point of infinite order. As a corollary one obtains a non-degenerate pairing

$$A(k_\infty) \times A'(k_\infty) \longrightarrow \mathbf{Q}_p,$$

if $(A(k_\infty) \otimes \mathbf{Q}_p/\mathbf{Z}_p)^*$ is Λ -torsion and $\text{III}_\infty(A)(p)^{\Gamma_n}$ is finite for all $n \geq 0$.

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§ 0. Notations

For an abelian group M let $\text{Tor } M$ be the torsion subgroup and $M_{\text{Tor}} := M/\text{Tor } M$, let $\text{Div } M$ be the maximal divisible subgroup and $M_{\text{Div}} := M/\text{Div } M$. For $m \in \mathbf{N}$ let the groups ${}_m M$ and M_m be the kernel and cokernel of the multiplication by m , respectively, and put $M(p) = \varinjlim_m {}_p m M$ for a prime number p .

For a commutative group scheme G we use contrary to the convention above the usual notation G_m for the kernel of the m -multiplication.

For a \mathbb{Z}_p -module M let $M^* = \text{Hom}(M, \mathbb{Q}_p/\mathbb{Z}_p)$ be the Pontrjagin dual of M . For a G -module M , G a group, M^G and M_G denote the invariants and coinvariants of G , respectively.

Throughout this paper the cohomology groups $H^i(S, \)$ are taken with respect to the big fppf-site on a scheme S .

§ 1. Λ -modules

Let Γ be a pro- p -group isomorphic to \mathbb{Z}_p and let $\Lambda = \mathbb{Z}_p[[\Gamma]]$ be the completed group ring of Γ . We also consider Λ as the ring of power series $\mathbb{Z}_p[[T]]$ over \mathbb{Z}_p via the homeomorphism $\gamma \mapsto 1+T$, where γ is a generator of Γ .

Let M be a finitely generated compact Λ -module, then

$$T_\Lambda M \quad \text{and} \quad T_\mu M$$

denote the Λ -torsion submodule and the \mathbb{Z}_p -torsion submodule of M , respectively. We define

$$F_\Lambda M := M/T_\Lambda M \quad \text{and} \quad T_\lambda M := T_\Lambda M/T_\mu M.$$

Furthermore let Γ_n be the subgroup of Γ of index p^n and let

$$T_\nu M := \varinjlim_n M^{\Gamma_n} \quad \text{and} \quad T_\delta M := T_\Lambda M/T_\nu M.$$

If ξ_r denotes the irreducible polynomial of the p^r -th root of unity, then there is a quasi-isomorphism

$$T_\nu M \approx \bigoplus_i \Lambda/\xi_i \quad \text{for some polynomials } \xi_i.$$

If

$$T_{\delta_{i+1}} M := T_\delta(T_{\delta_i} M) \quad \text{where } T_{\delta_1} M := T_\delta M$$

then there must be an i_0 with $T_{\delta_{i_0+1}} M = T_{\delta_{i_0}} M$ and we define

$$T_{\delta_\infty} M := T_{\delta_{i_0}} M \quad \text{and} \quad T_\varepsilon M := \ker(T_\Lambda M \rightarrow T_{\delta_\infty} M).$$

Obviously the characteristic polynomial of $T_\varepsilon M$ is a product of polynomials ξ_r and $T_{\delta_\infty} M$ has no divisor ξ_r , $r \geq 0$. For a Λ -module M let \dot{M} be the Λ -module given by M with a new action of Γ

$$\gamma \cdot m := \gamma^{-1} m \quad \text{for } m \in M, \gamma \in \Gamma.$$

If

$$\alpha(M) := \text{Ext}_\Lambda^1(M, \Lambda).$$

denotes the adjoint of a compact Λ -torsion module M of finite type then according to [6] I.2.2 or [2] Corollaire 1.2, Remarque 3.4

$$\alpha(M) = \varinjlim_i \text{Hom}_{\mathbb{Z}_p}(M/q_i M, \mathbb{Q}_p/\mathbb{Z}_p) \approx \dot{M}$$

where $\{q_i\}$ is a sequence of divisors disjoint from the annihilator of M such that $\bigcap q_i = 1$. If $0 \rightarrow M_1 \rightarrow M_2 \rightarrow M_3 \rightarrow 0$ is a quasi-exact sequence of compact Λ -torsion modules of finite type, then applying the contravariant functor α we obtain a quasi-exact sequence

$$0 \rightarrow \alpha(M_3) \rightarrow \alpha(M_2) \rightarrow \alpha(M_1) \rightarrow 0.$$

If m denotes the maximal ideal of Λ we get for a compact Λ -module M of finite type a quasi-isomorphism

$$\beta(M) := \varinjlim_i \text{Hom}(M/m^i M, \mathbb{Q}_p/\mathbb{Z}_p) \approx F_\Lambda M.$$

Lemma 1.1. *Let M be a compact Λ -module of finite type. Then there are quasi-isomorphisms*

- (a) $\varinjlim_{n,m} ({}_p M^*)_{\Gamma_n} \approx \alpha(T_\lambda M) \approx \dot{T}_\lambda M$
- (b) $\varinjlim_{n,m} (M^*_{\mathbb{Z}^m})_{\Gamma_n} \approx \alpha(T_\mu M) \approx \dot{T}_\mu M$
- (c) $\varinjlim_{n,m} (M^*_{\mathbb{Z}^m})_{\Gamma_n} \approx \alpha(T_\delta M) \approx \dot{T}_\delta M$
- (d) $\varinjlim_{n,m} (M^*_{\Gamma_n})_{\mathbb{Z}^m} \approx \alpha(T_\nu M) \approx \dot{T}_\nu M$
- (e) $\varinjlim_{n,m} {}_p M^*_{\Gamma_n} \approx \beta(F_\Lambda M) \approx F_\Lambda M$
- (f) $\varinjlim_{n,m} M^*_{\mathbb{Z}^m \Gamma_n} \approx 0,$

where the limit is taken with respect to the p -multiplication resp. canonical surjection and the norm map resp. canonical surjection. Here and in the following we use the notation $\dot{T}_-(M) = T_-(\dot{M})$.

Proof. All assertions are obtained easily from the general structure theory of compact noetherian Λ -modules. So we will only indicate the proof of (c) and (d).

Since

$$\varinjlim_{n,m} (M^{\Gamma_n})_{\mathbb{Z}^m} = \varinjlim_m (T_\nu M)_{\mathbb{Z}^m}$$

it follows

$$\varinjlim_{n,m} (M^*_{\Gamma_n})_{\mathbb{Z}^m} \approx \varinjlim_m \text{Hom}(T_\nu M_{\mathbb{Z}^m}, \mathbb{Q}_p/\mathbb{Z}_p) \approx \dot{T}_\nu M.$$

In order to prove (c) we decompose M

$$M \approx \bar{M} \oplus T_\mu M \quad \text{with } T_\mu \bar{M} = 0.$$

First we see

$$\begin{aligned} \varprojlim_{n,m} ((T_\mu M)^{* \Gamma_n})_{p^m} &= \varprojlim_n \text{Hom}(\varprojlim_m (T_\mu M)_{\Gamma_n}, \mathbf{Q}_p/\mathbf{Z}_p) \\ &= \varprojlim_n \text{Hom}(T_\mu M_{\Gamma_n}, \mathbf{Q}_p/\mathbf{Z}_p) \approx \dot{T}_\mu M \end{aligned}$$

and secondly the exact sequence

$$0 \longrightarrow \bar{M} \xrightarrow{p^m} \bar{M} \longrightarrow \bar{M}_{p^m} \longrightarrow 0$$

leads to an exact sequence

$$0 \longrightarrow (\bar{M}^{\Gamma_n})_{p^m} \longrightarrow (\bar{M}_{p^m})^{\Gamma_n} \longrightarrow {}_{p^m}(\bar{M}_{\Gamma_n}) \longrightarrow 0.$$

Hence we obtain a quasi-exact sequence

$$0 \longrightarrow (\dot{T}_\nu \bar{M})^* \longrightarrow (\dot{T}_\lambda \bar{M})^* \longrightarrow \varprojlim_{n,m} {}_{p^m}(\bar{M}_{\Gamma_n}) \longrightarrow 0$$

(recall that the projective limit is an exact functor in the category of profinite groups). This proves (c).

§ 2. Duality theorems for abelian varieties

Let k be a number field and let A be an abelian variety defined over k . Let \mathcal{A} be its Néron model over the ring of integers \mathcal{O} of k and let \mathcal{A}^0 be the connected component of \mathcal{A} . By A' and \mathcal{A}' we denote the dual abelian variety and its Néron model, respectively. We say A has good (ordinary) reduction at a prime number p , if A has good (ordinary) reduction at all primes of k above p . Since A and A' are k -isogenous A' has in that case good (ordinary) reduction too.

Theorem 2.1. *Let A be an abelian variety over k with good reduction at p .*

(i) (Artin/Mazur) *The cup product induces a perfect duality of finite groups*

$$H^i(\mathcal{O}, \mathcal{A}_{p^m}) \times H^{3-i}(\mathcal{O}, \mathcal{A}'_{p^m}) \longrightarrow H^3(\mathcal{O}, \mathbf{G}_m) \xrightarrow{\sim} \mathbf{Q}/\mathbf{Z} \quad \text{for all } i \geq 0.$$

The above pairing induces the following perfect pairings

- (ii) $H^1(\mathcal{O}, \mathcal{A}^0(p)_{\text{Div}}) \times H^1(\mathcal{O}, \mathcal{A}'(p)_{\text{Div}}) \longrightarrow \mathbf{Q}/\mathbf{Z}$,
- (iii) (Cassels/Tate)

$$\text{III}(A)(p)_{\text{Div}} \times \text{III}(A')(p)_{\text{Div}} \longrightarrow \mathbf{Q}/\mathbf{Z}.$$

Remark. A proof of (i) is given in an unpublished paper of Artin and Mazur [1] and also by Milne [15] III. Corollary 3.2. The assertion (ii) is proved by Schneider [7] § 6 Lemma 3 (observe that $H^1(\mathcal{O}, \mathcal{A}(p))_{\text{Div}} = H^1(\mathcal{O}, \mathcal{A})(p)_{\text{Div}}$). The perfect duality for the Tate-Šafarevič groups was announced by Tate in [10]. A proof can be found in [5] I. Theorem 6.13, II. Theorem 5.6.

We will shortly indicate, how this also follows from the flat duality theorem and a duality theorem of Grothendieck. The exact sequence

$$0 \longrightarrow \mathcal{A}^0 \longrightarrow \mathcal{A} \longrightarrow \mathcal{F} \longrightarrow 0$$

defines a skyscraper sheaf \mathcal{F} . The stalk

$$\mathcal{F}_x = \pi_0(\mathcal{A}_x) \quad \text{for } x \in \mathcal{O}$$

is the group of connected components of $\mathcal{A}_x = \mathcal{A} \times_{\mathcal{O}} \kappa(x)$. According to [4], Appendix, the image of the middle map in the exact cohomology sequence

$$H^0(\mathcal{O}, \mathcal{F}) \longrightarrow H^1(\mathcal{O}, \mathcal{A}^0) \longrightarrow H^1(\mathcal{O}, \mathcal{A}) \longrightarrow H^1(\mathcal{O}, \mathcal{F})$$

is $\text{III}(A)$. Therefore we obtain a commutative and exact diagram

$$\begin{array}{ccccc} H^1(\mathcal{O}, \mathcal{F}')(p) \times H^0(\mathcal{O}, \mathcal{F})(p) & \longrightarrow & \bigoplus_x H^1(\kappa(x), \mathbf{Q}/\mathbf{Z}) & & \\ \uparrow & & \downarrow \delta & & \downarrow \delta \\ H^1(\mathcal{O}, \mathcal{A}')(p)_{\text{Div}} \times H^1(\mathcal{O}, \mathcal{A}^0)(p)_{\text{Div}} & \longrightarrow & H^1(\mathcal{O}, \mathbf{G}_m) & & \\ \uparrow & & \downarrow & & \\ \text{III}(A')(p)_{\text{Div}} & & \text{III}(A)(p)_{\text{Div}} & & \\ \uparrow & & \downarrow & & \\ 0 & & 0 & & \end{array}$$

The vertical exact sequences are induced by the exact sequence above: observe that

$$H^1(\mathcal{O}, \mathcal{F})(p) = \bigoplus_x H^1(\kappa(x), \pi_0(\mathcal{A}_x))(p) = \bigoplus_x H^1(\kappa(x), \pi_0(\mathcal{A}_x)(p))$$

is a finite group. The right vertical map δ is defined by the exact divisor sequence

$$0 \longrightarrow \mathbf{G}_{m/\mathcal{O}} \longrightarrow \mathcal{G}_* \mathbf{G}_{m/k} \longrightarrow \bigoplus_x (i_x)_* \mathbf{Z} \longrightarrow 0$$

($g: \text{Spec } k \rightarrow \text{Spec } \mathcal{O}$ and $i_x: \text{Spec } \kappa(x) \rightarrow \text{Spec } \mathcal{O}$) under consideration of

$$H^1(\kappa(x), \mathcal{Q}/Z) = H^2(\kappa(x), Z) = H^2(\mathcal{O}, (i_x)_*Z).$$

The pairing at the top is defined as follows: By SGA 7 IX 11.3.1 we have a perfect duality

$$\pi_0(\mathcal{A}'_x)(p) \times \pi_0(\mathcal{A}_x)(p) \longrightarrow \mathcal{Q}/Z$$

(observe $p \neq \text{char } \kappa(x)$). Now it is easy to check that the induced pairing

$$\bigoplus_x H^0(\kappa(x), \pi_0(\mathcal{A}'_x)(p)) \times \bigoplus_x H^1(\kappa(x), \pi_0(\mathcal{A}_x)(p)) \longrightarrow \bigoplus_x H^1(\kappa(x), \mathcal{Q}/Z)$$

coincides with the pairing given by (ii) via δ . Therefore we obtain a perfect duality for the Tate-Šafarevič group.

Now let k_∞ be a \mathbf{Z}_p -extension of k and let k_n be the n -th layer of k_∞/k . Let \mathcal{O}_n and \mathcal{O}_∞ be the ring of integers of k_n and k_∞ , respectively. We denote by Σ the finite set of primes of k which are ramified in k_∞ (and which therefore lie above p).

Theorem 2.2. *Let A be an abelian variety over k with good reduction at p . Then the flat duality induces quasi-isomorphisms*

- (i) $\alpha(H^0(\mathcal{O}_\infty, \mathcal{A}(p))^*) \approx T_A H^2(\mathcal{O}_\infty, \mathcal{A}'(p))^*$
- (ii) $\beta(F_A(H^1(\mathcal{O}_\infty, \mathcal{A}(p))^*) \approx F_A H^2(\mathcal{O}_\infty, \mathcal{A}'(p))^* \oplus \Lambda^s$

and a quasi-exact sequence

$$\begin{array}{ccccccc}
 0 & \longrightarrow & F_A H^2(\mathcal{O}_\infty, \mathcal{A}'(p))^* & \longrightarrow & \beta(F_A H^1(\mathcal{O}_\infty, \mathcal{A}(p))^*) & & \\
 & & & & & \searrow & \\
 & & & & & \bigoplus_{p \in \Sigma} (A'(k_{\infty p})/N_p' \otimes \mathcal{Q}_p/Z_p)^* & \\
 & & & & & \swarrow & \\
 0 & \longleftarrow & \beta(F_A H^2(\mathcal{O}_\infty, \mathcal{A}(p))^*) \oplus \alpha(T_A H^1(\mathcal{O}_\infty, \mathcal{A}^0(p))^*) & \longleftarrow & H^1(\mathcal{O}_\infty, \mathcal{A}(p))^* & &
 \end{array}$$

where the third term is quasi-isomorphic to Λ^s ,

$$\begin{aligned}
 s &= \sum_{p \in \Sigma} (\dim A - r_p)[k_p : \mathcal{Q}_p] \\
 r_p &= p\text{-rank of the reduction } \mathcal{A}/\kappa(p),
 \end{aligned}$$

and where N_p denotes the group of “universal norms in $A(k_{\infty p})$ ”

$$N_p = \bigcup_n \bigcap_{m \geq n} N_{k_{mp}/k_{np}}(A(k_{mp})).$$

In particular, the above sequence induces a quasi-exact sequence

$$0 \longrightarrow \Lambda^s \longrightarrow \Lambda^{2s} \longrightarrow \Lambda^s \oplus T_\Lambda H^1(\mathcal{O}_\infty, \mathcal{A}'(p))^* \longrightarrow \dot{T}_\Lambda H^1(\mathcal{O}, \mathcal{A}^0(p))^* \longrightarrow 0.$$

Remark 2.3. (i) If k_∞ is the cyclotomic \mathbf{Z}_p -extension it is conjectured that $F_\Lambda H^2(\mathcal{O}_\infty, \mathcal{A}(p))^* \approx 0$. This is proved for elliptic curves with complex multiplication by an order in an imaginary quadratic field K defined over an abelian extension of K with good ordinary reduction at p , see [3] Proposition 15, and in the case that the reduction of the abelian variety A/k is supersingular for every \mathfrak{p}/p and the Iwasawa- μ -invariant of $k(A_p)$ is zero, [9] Theorem 5, Remark 1.

(ii) The canonical map

$$H^i(\mathcal{O}_\infty, \mathcal{A}(p))^* \longrightarrow H^i(\mathcal{O}_\infty, \mathcal{A}^0(p))^*$$

is a quasi-isomorphism except for the μ -part if $i=1$. Indeed, we have

$$\begin{aligned} H^r(\mathcal{O}_\infty, \mathcal{F}(p))^* &= \varprojlim_n \bigoplus_x H^r(\kappa(x), \pi_0(\mathcal{A}_x)(p))^* \\ &\cong \bigoplus_{x \in B} \bigoplus_j \mathbf{Z}/p^{n_j(x)}[\Gamma] \end{aligned}$$

where B is the set of all bad primes $x \in \mathcal{O}$ splitting completely in k_∞/k and the integers $n_j(x)$ for $x \in B$ are given by

$$H^r(\kappa(x), \pi_0(\mathcal{A}_x)(p))^* \cong \bigoplus_j \mathbf{Z}/p^{n_j(x)}.$$

In order to prove Theorem 2.2 we need

Lemma 2.4. *Let N be a discrete Γ -module.*

(i) *There are isomorphisms*

$$\begin{aligned} H^1(\Gamma, N_{\text{Tor}}) &\cong (N \otimes \mathbf{Q}_p/\mathbf{Z}_p)^\Gamma / ((N_{\text{Tor}})^\Gamma \otimes \mathbf{Q}_p/\mathbf{Z}_p). \\ H^2(\Gamma, N) &\cong (N \otimes \mathbf{Q}_p/\mathbf{Z}_p)_{\Gamma}. \end{aligned}$$

(ii) *Let $(N \otimes \mathbf{Q}_p/\mathbf{Z}_p)^*$ be a Λ -module of finite type. Then there is a quasi-exact sequence*

$$0 \longrightarrow \varprojlim_{n,m} (N_{\text{Tor}})^\Gamma \otimes \mathbf{Z}_p \longrightarrow \beta(F_\Lambda(N \otimes \mathbf{Q}_p/\mathbf{Z}_p)^*) \longrightarrow \varprojlim_{n,m} {}_p m H^1(\Gamma_n, N_{\text{Tor}}) \longrightarrow 0$$

Proof. Taking cohomology of the exact sequence

$$0 \longrightarrow N_{\text{Tor}} \longrightarrow N_{\text{Tor}} \otimes \mathbf{Z} \left[\frac{1}{p} \right] \longrightarrow N \otimes \mathbf{Q}_p/\mathbf{Z}_p \longrightarrow 0$$

leads to an exact sequence

$$0 \longrightarrow N_{\text{Tor}}^{\Gamma} \longrightarrow N_{\text{Tor}}^{\Gamma} \otimes \mathbf{Z} \left[\frac{1}{p} \right] \longrightarrow (N \otimes \mathbf{Q}_p / \mathbf{Z}_p)^{\Gamma} \longrightarrow H^1(\Gamma, N_{\text{Tor}}) \longrightarrow 0$$

and an isomorphism

$$H^2(\Gamma, N) = H^2(\Gamma, N_{\text{Tor}}) \cong H^1(\Gamma, N \otimes \mathbf{Q}_p / \mathbf{Z}_p) \cong (N \otimes \mathbf{Q}_p / \mathbf{Z}_p)_{\Gamma}.$$

This proves (i). Taking Γ_n instead of Γ and applying the projective limit to the exact sequence

$$0 \longrightarrow {}_p m(N_{\text{Tor}}^{\Gamma_n} \otimes \mathbf{Q}_p / \mathbf{Z}_p) \longrightarrow {}_p m(N \otimes \mathbf{Q}_p / \mathbf{Z}_p)^{\Gamma_n} \longrightarrow {}_p m H^1(\Gamma_n, N_{\text{Tor}}) \longrightarrow 0$$

implies the result (ii).

Proof of Theorem 2.2. From the global flat duality theorem we obtain a perfect pairing

$$\varprojlim_{n,m} H^i(\mathcal{O}_n, \mathcal{A}_{p^m}) \times H^{3-i}(\mathcal{O}_{\infty}, \mathcal{A}'(p)) \xrightarrow{\cup} \varprojlim_{n,m} H^2(\mathcal{O}_n, \mathbf{G}_m) \simeq \mathbf{Q}/\mathbf{Z}$$

where the projective limits are taken with respect to the norm map and the multiplication by p . In order to compute $\varprojlim_{n,m} H^i(\mathcal{O}_n, \mathcal{A}_{p^m})$ we consider the descent diagram [8] p. 332, [7] Lemmas 6.1, 6.3:

$$\begin{array}{ccccccc}
 & & & & 0 & & \\
 & & & & \downarrow & & \\
 & & & & H^1(\mathcal{O}_n, \mathcal{A}_{p^m}) & & \\
 & & & & \downarrow & & \\
 0 & \longrightarrow & H^1(\Gamma_n, \mathcal{A}_{p^m}(k_{\infty})) & \longrightarrow & H^1(\mathcal{O}_{\infty}/\mathcal{O}_n, \mathcal{A}_{p^m}) & \longrightarrow & H^1(\mathcal{O}_{\infty}, \mathcal{A}_{p^m})^{\Gamma_n} \longrightarrow 0 \\
 & & & & \downarrow & & \\
 & & \bigoplus_{\mathfrak{p} \in \Sigma} {}_p m H^1(\Gamma_{n\mathfrak{p}}, \mathcal{A}(k_{\infty\mathfrak{p}})) & & \downarrow & & \\
 & & & & H^2(\mathcal{O}_n, \mathcal{A}_{p^m}) & & \\
 & & & & \downarrow \psi_{n,m} & & \\
 0 & \longrightarrow & H^1(\mathcal{O}_{\infty}, \mathcal{A}_{p^m})_{\Gamma_n} & \longrightarrow & H^2(\mathcal{O}_{\infty}/\mathcal{O}_n, \mathcal{A}_{p^m}) & \longrightarrow & H^2(\mathcal{O}_{\infty}, \mathcal{A}_{p^m})^{\Gamma_n} \longrightarrow 0.
 \end{array}$$

Here $H^i(\mathcal{O}_{\infty}/\mathcal{O}_n, -)$ denotes the equivariant cohomology, [7] Appendix, and $\Gamma_{n\mathfrak{p}}$ is the decomposition group of Γ_n with respect to \mathfrak{p} . We calculate the projective limit of the finite groups in the diagram:

$$\varprojlim_{n,m} H^i(\mathcal{O}_n, \mathcal{A}_{p^m}) \cong H^{3-i}(\mathcal{O}_{\infty}, \mathcal{A}'(p))^*,$$

$$\varinjlim_{n,m} H^i(\Gamma_n, H^j(\mathcal{O}_\infty, \mathcal{A}_{p^m})) \cong \varinjlim_{n,m} H^i(\Gamma_n, H^j(\mathcal{O}_\infty, \mathcal{A}_{p^m}^0)).$$

The exact Kummer sequence, SGA 7 IX 2.2.1

$$0 \longrightarrow \mathcal{A}_{p^m}^0 \longrightarrow \mathcal{A}^0(p) \xrightarrow{p^m} \mathcal{A}^0(p) \longrightarrow 0$$

implies an exact sequence

$$0 \longrightarrow H^{i-1}(\mathcal{O}_\infty, \mathcal{A}^0(p))_{p^m} \longrightarrow H^i(\mathcal{O}_\infty, \mathcal{A}_{p^m}^0) \longrightarrow {}_{p^m}H^i(\mathcal{O}_\infty, \mathcal{A}^0(p)) \longrightarrow 0$$

and therefore we obtain an exact sequence

$$\begin{aligned} 0 \longrightarrow & (H^{i-1}(\mathcal{O}_\infty, \mathcal{A}^0(p))_{p^m})^{\Gamma_n} \longrightarrow H^i(\mathcal{O}_\infty, \mathcal{A}_{p^m}^0)^{\Gamma_n} \\ & \longrightarrow {}_{p^m}H^i(\mathcal{O}_\infty, \mathcal{A}^0(p))^{\Gamma_n} \longrightarrow H^{i-1}(\mathcal{O}_\infty, \mathcal{A}^0(p))_{p^m \Gamma_n} \\ & \longrightarrow H^i(\mathcal{O}_\infty, \mathcal{A}_{p^m}^0)_{\Gamma_n} \longrightarrow ({}_{p^m}H^i(\mathcal{O}_\infty, \mathcal{A}^0(p)))_{\Gamma_n} \longrightarrow 0. \end{aligned}$$

By Lemma 1.1 we obtain quasi-isomorphisms

$$\begin{aligned} \varinjlim_{n,m} H^i(\mathcal{O}_\infty, \mathcal{A}_{p^m}^0)^{\Gamma_n} &\approx \alpha(T_\mu H^{i-1}(\mathcal{O}_\infty, \mathcal{A}^0(p))^*) \oplus \beta(F_\lambda H^i(\mathcal{O}_\infty, \mathcal{A}^0(p))^*), \\ \varinjlim_{n,m} H^i(\mathcal{O}_\infty, \mathcal{A}_{p^m}^0)_{\Gamma_n} &\approx \alpha(T_\lambda H^i(\mathcal{O}_\infty, \mathcal{A}^0(p))^*) \end{aligned}$$

inducing quasi-isomorphisms

$$\varinjlim_{n,m} H^i(\mathcal{O}_\infty/\mathcal{O}_n, \mathcal{A}_{p^m}^0) \approx \alpha(T_\lambda H^{i-1}(\mathcal{O}_\infty, \mathcal{A}^0(p))^*) \oplus \beta(F_\lambda H^i(\mathcal{O}_\infty, \mathcal{A}^0(p))^*).$$

Next, for $p \in \Sigma$ we want to show

Claim 1.
$$\varinjlim_{n,m} {}_{p^m}H^1(\Gamma_n, A(k_{\infty p})) \cong (A'(k_{\infty p})/N'_p \otimes \mathcal{Q}_p/\mathcal{Z}_p)^* \approx \mathcal{Z}_p \llbracket \Gamma_p \rrbracket^{2(\dim A - r_p)[k_p; \mathcal{Q}_p]}$$

Proof. According to [12], Theorem 2.2 the group

$$H^2((\Gamma_p)_n, A(k_{\infty p})) \cong (A(k_{\infty p}) \otimes \mathcal{Q}_p/\mathcal{Z}_p)_{(\Gamma_p)_n}$$

(Lemma 2.4.i) is of finite order independent of n , n big enough. Therefore we obtain a quasi-exact sequence:

$$\begin{aligned} 0 \longrightarrow & \varinjlim_{n,m} {}_{p^m}H^1((\Gamma_p)_n, A(k_{\infty p})) \longrightarrow \varinjlim_{n,m} {}_{p^m}H^1(k_{pn}, A) \\ & \longrightarrow \varinjlim_{n,m} {}_{p^m}H^1(k_{\infty p}, A)^{(\Gamma_p)^n} \longrightarrow \varinjlim_{n,m} H^1((\Gamma_p)_n, A(k_{\infty p}))_{p^m}. \end{aligned}$$

Again by [12] Theorem 2.2 the modules

$$\varinjlim_{n,m} {}_p m H^1(k_{pn}, A) \cong (\varinjlim_{n,m} A'(k_{pn})_p m)^* = (A'(k_{\infty p}) \otimes \mathcal{Q}_p / \mathcal{Z}_p)^*,$$

$$\varinjlim_{n,m} {}_p m H^1(k_{\infty p}, A)^{(\Gamma_p)^n} \approx \beta(H^1(k_{\infty p}, A)^*) \approx F_A H^1(k_{\infty p}, A)^*$$

are quasi-free of rank $(2 \dim A - r_p)[k_p : \mathcal{Q}_p]$ and $r_p[k_p : \mathcal{Q}_p]$, respectively. Since the fourth module in the sequence above is $\mathcal{Z}_p[[\Gamma_p]]$ -torsion we prove Claim 1.

Now the proof of the theorem will be accomplished once we have shown the quasi-surjectivity of the map

$$\psi = \varinjlim_{n,m} \psi_{n,m} : \varinjlim_{n,m} H^2(\mathcal{O}_n, \mathcal{A}_{p,m}) \longrightarrow \varinjlim_{n,m} H^2(\mathcal{O}_\infty / \mathcal{O}_n, \mathcal{A}_{p,m}).$$

(Observe that $F_A H^2(\mathcal{O}_\infty, \mathcal{A}(p))^*$ can be divided out of the first exact sequence in 2.2 (iii) in order to obtain the second, since a quasi-exact sequence $0 \rightarrow M_1 \rightarrow M_2 \rightarrow M_3 \rightarrow 0$ of compact A -modules of finite type induces a quasi-exact sequence

$$0 \longrightarrow M_1 \longrightarrow \ker(M_2 \twoheadrightarrow F_A M_3) \longrightarrow T_A M_3 \longrightarrow 0.)$$

Now, according to [8] Lemma 3 we have a commutative and exact diagram

$$\begin{array}{ccccccc} H^{i-1}(Y_n, \mathcal{A}_{p,m}) & \longrightarrow & H^i_{\Sigma_n}(\mathcal{O}_n, \mathcal{A}_{p,m}) & \longrightarrow & H^i(\mathcal{O}_n, \mathcal{A}_{p,m}) & \longrightarrow & H^i(Y_n, \mathcal{A}_{p,m}) \\ \parallel & & \downarrow \varphi_{n,m} & & \downarrow \psi_{n,m} & & \parallel \\ H^{i-1}(Y_n, \mathcal{A}_{p,m}) & \longrightarrow & H^i_{\Sigma_n}(\mathcal{O}_\infty / \mathcal{O}_n, \mathcal{A}_{p,m}) & \longrightarrow & H^i(\mathcal{O}_\infty / \mathcal{O}_n, \mathcal{A}_{p,m}) & \longrightarrow & H^i(Y_n, \mathcal{A}_{p,m}), \end{array}$$

where $Y_n = \mathcal{O}_n \setminus \Sigma_n$. If $A^i_{n,m}$ and $B^i_{n,m}$ denote the kernel and cokernel of the map $\varphi_{n,m}$ and $C^i_{n,m}$ and $D^i_{n,m}$ the kernel and cokernel of $\psi_{n,m}$, respectively, then we obtain exact sequences

$$0 \longrightarrow B^i_{n,m} \longrightarrow D^i_{n,m} \longrightarrow A^{i+1}_{n,m} \longrightarrow C^{i+1}_{n,m} \longrightarrow 0.$$

Claim 2. $\varinjlim_{n,m} B^2_{n,m} \approx 0$.

Proof. Because

$$H^2_{\Sigma_n}(\mathcal{O}_n, \mathcal{A}_{p,m}) = \bigoplus_{p \in \Sigma_n} {}_p m H^1(k_{np}, A),$$

$$H^2_{\Sigma_n}(\mathcal{O}_\infty / \mathcal{O}_n, \mathcal{A}_{p,m}) = \bigoplus_{p \in \Sigma_n} {}_p m H^1(k_{\infty p}, A)^{\Gamma_{np}},$$

[4] 5.1, 5.2 and [8] Lemma 7, we have

$$B^2_{n,m} = \bigoplus_{p \in \Sigma_n} \text{coker}({}_p m H^1(k_{np}, A) \longrightarrow {}_p m H^1(k_{\infty p}, A)^{\Gamma_{np}}).$$

Hence by the exact sequence in the proof of Claim 1:

$$\varinjlim_{n,m} B_{n,m}^2 \subseteq \varinjlim_{n,m} H^1(\Gamma_{np}, A(k_{\infty p}))_{pm}.$$

From Lemma 2.4 (i) we obtain a surjection

$$((A(k_{\infty p}) \otimes \mathcal{O}_p / \mathcal{Z}_p)^{\Gamma_{np}})_{pm} \longrightarrow H^1(\Gamma_{np}, A(k_{\infty p})_{\text{Tor}})_{pm}.$$

Because

$$\begin{aligned} \varinjlim_{n,m} H^1(\Gamma_{np}, \text{Tor}(A(k_{\infty p})))_{pm} &\approx 0, \\ \varinjlim_{n,m} ((A(k_{\infty p}) \otimes \mathcal{O}_p / \mathcal{Z}_p)^{\Gamma_{np}})_{pm} &\approx \dot{T}_\delta(A(k_{\infty p}) \otimes \mathcal{O}_p / \mathcal{Z}_p)^* \approx 0 \end{aligned}$$

by Lemma 1.1 and [12] Theorem 2.2 we obtain

$$\varinjlim_{n,m} H^1(\Gamma_{np}, A(k_{\infty p}))_{pm} \approx 0$$

proving Claim 2.

Claim 3. $\varinjlim_{n,m} A_{n,m}^3$ and $\varinjlim_{n,m} C_{n,m}^3$ are finitely generated \mathcal{Z}_p -modules of the same rank.

Proof. We have the (quasi-) isomorphisms

$$\begin{aligned} \varinjlim_{n,m} H_{\Sigma_n}^3(\mathcal{O}_n, \mathcal{A}_{pm}) &\cong (\varinjlim_{n,m} \bigoplus_{p \in \Sigma_n} H^0(\mathcal{O}_{np}, \mathcal{A}'_{pm}))^* = \bigoplus_{p \in \Sigma} A'(k_{\infty p})(p)^*, \\ \varinjlim_{n,m} H_{\Sigma_n}^3(\mathcal{O}_\infty / \mathcal{O}_n, \mathcal{A}_{pm}) &\cong \varinjlim_{n,m} \bigoplus_{p \in \Sigma_n} ({}_p H^1(k_\infty A))_{\Gamma_{np}} \approx \bigoplus_{p \in \Sigma} \dot{T}_\lambda H^1(k_{\infty p}, A)^* \\ &\approx \bigoplus_{p \in \Sigma} T_\lambda A'(k_{\infty p})(p)^* \approx \bigoplus_{p \in \Sigma} A'(k_{\infty p})(p)^* \end{aligned}$$

(by local flat duality, Lemma 1.1, [12] Theorem 2.2 and Theorem 3.4),

$$\begin{aligned} \varinjlim_{n,m} H^3(\mathcal{O}_n, \mathcal{A}_{pm}) &\cong A'(k_\infty)(p)^*, \\ \varinjlim_{n,m} H^3(\mathcal{O}_\infty / \mathcal{O}_n, \mathcal{A}_{pm}) &\cong \varinjlim_{n,m} H^2(\mathcal{O}_\infty, \mathcal{A}_{pm})_{\Gamma_n} \approx \varinjlim_{n,m} ({}_p H^2(\mathcal{O}_\infty, \mathcal{A}^0(p)))_{\Gamma_n} \\ &\approx \dot{T}_\lambda H^2(\mathcal{O}_\infty, \mathcal{A}^0(p))^* \approx A'(k_\infty)(p)^* \end{aligned}$$

(by Lemma 1.1 and the assertion (i) of this theorem proven above). Because $A_{n,m}^4 = 0$ there is an isomorphism

$$\varinjlim_{n,m} B_{n,m}^3 \cong \varinjlim_{n,m} D_{n,m}^3.$$

Together with the quasi-isomorphisms above this proves Claim 3.

Now, from Claims 2 and 3 it follows

$$\text{coker } \psi = \varinjlim_{n,m} \text{coker } \psi_{n,m} = \varinjlim_{n,m} D_{n,m}^2 \approx 0.$$

This completes the proof of Theorem 2.2.

Corollary 2.5. *Let A be an abelian variety over k with good supersingular reduction at every prime above p . Assume $H^2(\mathcal{O}_\infty, \mathcal{A}(p))^*$ to be a A -torsion module. Then the Pontrjagin dual of the kernel of the canonical map*

$$H^1(\mathcal{O}_\infty, \mathcal{A}(p)) \longrightarrow \prod_{p \in \Sigma} H^1(k_{\infty,p}, A(p))$$

is quasi-isomorphic to $\dot{T}_A H^1(\mathcal{O}_\infty, \mathcal{A}'(p))^*$.

This result is also obtained by Billot [2] for elliptic curves which have complex multiplication. The Corollary 2.5 follows easily from the theorem, because the above map is dual to the projective limit of the maps

$$\begin{aligned} \bigoplus_{p \in \Sigma_n} H^1(k_{np}, A'_{pm}) &\longrightarrow \bigoplus_{p \in \Sigma_n} {}_p m H^1(k_{np}, A') \\ \longleftarrow \bigoplus_{p \in \Sigma_n} {}_p m H^1(\Gamma_{np}, A(k_{\infty,p})) &\longrightarrow H^2(\mathcal{O}_n, \mathcal{A}_{pm}). \end{aligned}$$

Observe that the middle map is an isomorphism, i.e., the universal norms $NA(k_{np})$ are zero in the supersingular case, [9] Theorem 1. We conclude this section with some easy consequences of the assumption that $\text{III}_n = \text{III}(A(k_n))(p)$ is finite for all n .

Proposition 2.6. *Let III_n be finite for all n . Then*

- i) $\text{rank}_A H^2(\mathcal{O}_\infty, \mathcal{A}(p))^* \leq \text{rank}_A (A(k_\infty) \otimes \mathbf{Q}_p / \mathbf{Z}_p)^*$,
- ii) $\text{rank}_A \text{III}_\infty^* \leq \sum_{p \in \Sigma} (\dim A - r_p)[k_p : \mathbf{Q}_p] = s$.

Corollary 2.7. *Let III_n be finite for all n . If $(A(k_\infty) \otimes \mathbf{Q}_p / \mathbf{Z}_p)^*$ is a A -torsion module, then $\text{rank}_A H^2(\mathcal{O}_\infty, \mathcal{A}(p))^* = 0$ and $\text{rank}_A \text{III}_\infty^* = s$.*

Proof. Because of

$$\text{rank}_A (A(k_\infty) \otimes \mathbf{Q}_p / \mathbf{Z}_p)^* + \text{rank}_A \text{III}_\infty^* = s + \text{rank}_A H^2(\mathcal{O}_\infty, \mathcal{A}(p))^*,$$

[8] Lemma 2.2, the second assertion follows from the first. Now, since $\text{III}(A(k_n))(p)$ is finite for all n , we obtain by the flat duality theorem and [8] Lemma 1.4 an isomorphism

$$\varinjlim_n \mathcal{A}^0(\mathcal{O}_n) \otimes \mathbf{Z}_p \simeq \varinjlim_{n,m} H^1(\mathcal{O}_n, \mathcal{A}_{p^m}) \cong H^2(\mathcal{O}_\infty, \mathcal{A}'(p))^*.$$

Hence

$$\begin{aligned} \text{rank}_A H^2(\mathcal{O}_\infty, \mathcal{A}(p))^* &= \text{rank}_A \varinjlim_n \mathcal{A}^0(\mathcal{O}_n) \otimes \mathbf{Z}_p \\ &= \text{rank}_A \varinjlim_n A(k_n) \otimes \mathbf{Z}_p \quad (\text{see Remark 2.3 ii}) \\ &\leq \text{rank}_A F_A(A(k_\infty) \otimes \mathbf{Q}_p / \mathbf{Z}_p)^* \end{aligned}$$

by Lemma 2.4 (ii).

§ 3. Ordinary reduction

In this section we will consider abelian varieties which have ordinary good reduction at p . As a direct consequence of Theorem 2.2 we obtain the following result

Theorem 3.1. *Let A be an abelian variety with ordinary good reduction at p . Then for $i \geq 0$ there are quasi-isomorphisms induced by the global flat duality*

$$\begin{aligned} T_A H^i(\mathcal{O}_\infty, \mathcal{A}'(p))^* &\simeq \alpha(T_A H^{2-i}(\mathcal{O}_\infty, \mathcal{A}^0(p))^*), \\ F_A H^i(\mathcal{O}_\infty, \mathcal{A}'(p))^* &\simeq \beta(F_A H^{3-i}(\mathcal{O}_\infty, \mathcal{A}(p))^*). \end{aligned}$$

Remark 3.2. The quasi-isomorphism

$$T_\lambda H^i(\mathcal{O}_\infty, \mathcal{A}'(p))^* \simeq \alpha(T_\lambda H^{2-i}(\mathcal{O}_\infty, \mathcal{A}(p))^*)$$

can be understood as pairing

$$T_\lambda H^i(\mathcal{O}_\infty, \mathcal{A}'(p))^* \times T_\lambda H^{2-i}(\mathcal{O}_\infty, \mathcal{A}(p))^* \longrightarrow \mathbf{Z}_p$$

with finite kernels. Indeed, the quasi-isomorphism is obtained from the discrete-compact pairing

$$\begin{array}{ccc} H^i(\mathcal{O}_\infty, \mathcal{A}'(p)) & \times & \varinjlim_{n,m} H^{3-i}(\mathcal{O}_n, \mathcal{A}_{p^m}) \longrightarrow \mathbf{Q}_p / \mathbf{Z}_p \\ \downarrow & & \downarrow \approx \\ (T_\lambda H^i(\mathcal{O}_\infty, \mathcal{A}'(p))^*)^* & & \varinjlim_{n,m} H^{3-i}(\mathcal{O}_\infty / \mathcal{O}_n, \mathcal{A}_{p^m}) \\ & & \uparrow \text{quasi-injective} \\ & & \varinjlim_{n,m} ({}_{p^m} H^{2-i}(\mathcal{O}_\infty, \mathcal{A}(p)))_{\Gamma_n} \\ & & \uparrow \approx \\ (T_\lambda H^i(\mathcal{O}_\infty, \mathcal{A}'(p))^*)^* & & \alpha(T_\lambda H^{2-i}(\mathcal{O}_\infty, \mathcal{A}(p))^*) \end{array}$$

According to [11] Lemma 7.6 we obtain a pairing of compact Λ -modules. Now let

$$\kappa: G_k \longrightarrow \mathbf{Z}_p^*$$

be the continuous character of the absolute Galois group of k corresponding to the \mathbf{Z}_p -extension k_∞/k and let ϕ be a generator of $\Gamma = G(k_\infty/k)$. We define an Iwasawa L -function of A with respect to κ by

$$L_p(A, \kappa, s) = \prod_{i \geq 0} F_i(\kappa(\phi)^{s-1} - 1)^{(-1)^{i+1}}, \quad s \in \mathbf{Z}_p,$$

where

$$F_i(t) = p^{\mu_i} \det(t - (\phi - 1); T_\Lambda H^i(\mathcal{O}_\infty, \mathcal{A}(p))^* \otimes \mathbf{Q}_p)$$

is the characteristic polynomial of the Λ -torsion module $T_\Lambda H^i$ and μ_i denotes the μ -invariant of $H^i(\mathcal{O}_\infty, \mathcal{A}(p))^*$ (see also [8] § 2, where L_p is defined assuming that $H^i(\mathcal{O}_\infty, \mathcal{A}(p))$ is a Λ -torsion module). Using a polarization we obtain from Theorem 3.1 and [4] Lemma 7.1 a quasi-isomorphism

$$T_\Lambda H^i(\mathcal{O}_\infty, \mathcal{A}(p))^* \approx \dot{T}_\Lambda H^{2-i}(\mathcal{O}_\infty, \mathcal{A}(p))^*, \quad i \geq 0,$$

which implies the following result

Corollary 3.3. *Let A be an abelian variety with good ordinary reduction at p . Then the Iwasawa L -function satisfies a functional equation with respect to $s \mapsto 2 - s$:*

$$L_p(A, \kappa, s) = \varepsilon \cdot \kappa(\phi)^{(s-1)(2\lambda_0 - \lambda_1)} L_p(A, \kappa, 2 - s),$$

where

$$\begin{aligned} \lambda_i &= \text{rank}_{\mathbf{Z}_p} T_\Lambda H^i(\mathcal{O}_\infty, \mathcal{A}(p))^* \\ \varepsilon &= (-1)^r, \quad r = \text{ord}_{t=0} F_1(t). \end{aligned}$$

Remark. The above corollary generalizes a result of Mazur and Schneider [4] Corollary 7.8, [8] p. 342, where k_∞/k is an admissible \mathbf{Z}_p -extension, i.e., the bad primes split only finitely in k_∞/k and $H^i(\mathcal{O}_\infty, \mathcal{A}(p))^*$ are assumed to be Λ -torsion modules.

Proposition 3.4. *If A has good ordinary reduction at p and III_n is finite for all n , then III_∞^* is a Λ -torsion module.*

This is a direct consequence of Proposition 2.6 (ii).

Proposition 3.5. *Let A be ordinary at p and let III_n be finite for all n . Then*

$$T_\delta(A(k_\infty) \otimes \mathcal{O}_p / \mathcal{Z}_p)^* \approx 0,$$

i.e.,

$$(A(k_\infty) \otimes \mathcal{O}_p / \mathcal{Z}_p)^* \approx A^{\rho_2} \oplus \bigoplus_i A / \xi_i$$

for some polynomials ξ_i and $\rho_2 = \text{rank}_A H^2(\mathcal{O}_\infty, \mathcal{A}(p))^*$.

Remark 3.6. This result should hold true without any conditions. For trivial reasons one also obtains this assertion if $(A(k_\infty) \otimes \mathcal{O}_p / \mathcal{Z}_p)^*$ is a A -torsion module: Since $A(k_\infty)$ is a discrete Γ -module, it is easy to see that $(A(k_\infty) \otimes \mathcal{O}_p / \mathcal{Z}_p)^*$ is fixed under the action of Γ_n , n big enough. Indeed, for $m \geq n$ there are injections

$$\begin{array}{ccc} (A(k_\infty)_{\text{Tor}})^{\Gamma_n} \otimes \mathcal{O}_p / \mathcal{Z}_p & \hookrightarrow & (A(k_\infty) \otimes \mathcal{O}_p / \mathcal{Z}_p)^{\Gamma_n} \\ \downarrow & & \downarrow \\ (A(k_\infty)_{\text{Tor}})^{\Gamma_m} \otimes \mathcal{O}_p / \mathcal{Z}_p & \hookrightarrow & (A(k_\infty) \otimes \mathcal{O}_p / \mathcal{Z}_p)^{\Gamma_m} \end{array}$$

Lemma 2.4 (i). Since $A(k_\infty)_{\text{Tor}}$ is discrete, i.e., $A(k_\infty)_{\text{Tor}} = \bigcup_n (A(k_\infty)_{\text{Tor}})^{\Gamma_n}$, we obtain

$$(A(k_\infty) \otimes \mathcal{O}_p / \mathcal{Z}_p)^* = \varinjlim_n ((A(k_\infty)_{\text{Tor}})^{\Gamma_n} \otimes \mathcal{O}_p / \mathcal{Z}_p)^*$$

where the limit is taken over the surjective norm maps. Since $(A(k_\infty) \otimes \mathcal{O}_p / \mathcal{Z}_p)^*$ is by assumption of finite \mathcal{Z}_p -rank, the projective system will become stationary. Hence

$$(A(k_\infty) \otimes \mathcal{O}_p / \mathcal{Z}_p)^* = (A(k_\infty) \otimes \mathcal{O}_p / \mathcal{Z}_p)^{\Gamma_n}$$

for some $n \geq 0$. Now the general structure theory of compact A -modules of finite type proves the assertion above.

Proof of Proposition 3.5. We have to show that

$$T_\delta(A(k_\infty) \otimes \mathcal{O}_p / \mathcal{Z}_p)^* \approx \varinjlim_{n,m} ((A(k_\infty) \otimes \mathcal{O}_p / \mathcal{Z}_p)^{\Gamma_n})_{p^m} \approx \varinjlim_{n,m} H^1(\Gamma_n, A(k_\infty)_{\text{Tor}})_{p^m}$$

is finite. (Here we used Lemma 1.1 and 2.4i).

From the spectral sequence

$$H^i(\Gamma_n, H^j(\mathcal{O}_\infty, \mathcal{A})) \implies H^{i+j}(\mathcal{O}_\infty / \mathcal{O}_n, \mathcal{A}),$$

[7] Appendix, we obtain exact sequences

$$\begin{array}{ccccccc}
 0 & \longrightarrow & H^1(\Gamma_n, A(k_\infty)) & \longrightarrow & H^1(\mathcal{O}_\infty/\mathcal{O}_n, \mathcal{A})(p) & \xrightarrow{\varphi_n} & H^1(\mathcal{O}_\infty, \mathcal{A})(p)^{\Gamma_n} \\
 & & & & & & \downarrow \psi_n \\
 & & & & & & H^2(\Gamma_n, A(k_\infty)) \\
 & & & & & & \downarrow \\
 (*) & 0 \longleftarrow & H^2(\mathcal{O}_\infty, \mathcal{A})(p)^{\Gamma_n} & \longleftarrow & H^2(\mathcal{O}_\infty/\mathcal{O}_n, \mathcal{A})(p) & \longleftarrow & F_1(n) \longleftarrow 0 \\
 & & & & & & \downarrow \\
 & & & & & & H^1(\Gamma_n, H^1(\mathcal{O}_\infty, \mathcal{A})) \\
 & & & & & & \downarrow \\
 & & & & & & 0
 \end{array}$$

where $F_1(n)$ denotes the first filtration step of $H^2(\mathcal{O}_\infty/\mathcal{O}_n, \mathcal{A})$. Since $H^1(\mathcal{O}_\infty, \mathcal{A})$ is a torsion group, we have

$$\begin{aligned}
 H^2(\Gamma_n, H^1(\mathcal{O}_\infty, \mathcal{A})) &= 0, \\
 H^2(\mathcal{O}_\infty/\mathcal{O}_n, \mathcal{A}(p)) &\cong H^2(\mathcal{O}_\infty/\mathcal{O}_n, \mathcal{A})(p), \\
 H^2(\mathcal{O}_n, \mathcal{A}(p)) &\cong H^2(\mathcal{O}_n, \mathcal{A})(p),
 \end{aligned}$$

hence

$$F_1(n) \cong H^1(\mathcal{O}_\infty, \mathcal{A}(p))_{\Gamma_n}.$$

From the second spectral sequence

$$H^i(\mathcal{O}_n, R^j \pi_{\Gamma_n*} \mathcal{A}) \implies H^{i+j}(\mathcal{O}_\infty/\mathcal{O}_n, \mathcal{A}),$$

see [7] Appendix, we obtain the exact sequence

$$\begin{aligned}
 0 \longrightarrow H^1(\mathcal{O}_n, \mathcal{A})(p) &\longrightarrow H^1(\mathcal{O}_\infty/\mathcal{O}_n, \mathcal{A})(p) \longrightarrow \bigoplus_{p \in \Sigma} H^1(\Gamma_{np}, A(k_{\infty p})) \\
 &\longrightarrow H^2(\mathcal{O}_n, \mathcal{A})(p) \longrightarrow H^2(\mathcal{O}_\infty/\mathcal{O}_n, \mathcal{A})(p) \longrightarrow 0
 \end{aligned}$$

using [8] Proposition 1.2. Therefore

$$H^i(\mathcal{O}_n, \mathcal{A})(p) \approx H^i(\mathcal{O}_\infty/\mathcal{O}_n, \mathcal{A})(p), \quad i \geq 0,$$

where the defect is independent of n , n big enough, [8] Proposition 1.1 (iii). By the perfect duality 2.1 (iii) and the finiteness of $H^1(\mathcal{O}_n, \mathcal{A})(p)$ we obtain a quasi-exact sequence

$$0 \longrightarrow \varinjlim_n H^1(\Gamma_n, A(k_\infty)) \longrightarrow H^1(\mathcal{O}_\infty, \mathcal{A}'(p))^* \longrightarrow \varinjlim_n H^1(\mathcal{O}_\infty, \mathcal{A})(p)^{\Gamma_n}$$

where the next term

$$\varinjlim_n H^2(\Gamma_n, A(k_\infty)) \cong (T_p(A(k_\infty) \otimes \mathcal{O}_p/\mathcal{Z}_p))^*$$

(Lemma 2.4i) is \mathbb{Z}_p -torsion. Now $H^1(\mathcal{O}_\infty, \mathcal{A})(p)^*$ is a Λ -torsion module (3.4), hence

$$H^1(\mathcal{O}_\infty, \mathcal{A})(p)^* \approx T_{\delta_\infty} H^1(\mathcal{O}_\infty, \mathcal{A})(p)^* \oplus T_\varepsilon H^1(\mathcal{O}_\infty, \mathcal{A})(p)^*$$

and therefore

$$\varprojlim_n H^1(\mathcal{O}_\infty, \mathcal{A})(p)^{\Gamma_n} \approx \dot{T}_{\delta_\infty} H^1(\mathcal{O}_\infty, \mathcal{A})(p)^* \oplus (T_\varepsilon H^1(\mathcal{O}_\infty, \mathcal{A})(p)^* \otimes_{\mathbb{Z}_p} \mathcal{Q}_p).$$

Hence the quasi-exact sequence above shows

$$\varprojlim_n H^1(\Gamma_n, A(k_\infty)) \otimes \mathcal{Q}_p = 0,$$

i.e., $\varprojlim_n H^1(\Gamma_n, A(k_\infty))$ is \mathbb{Z}_p -torsion, and therefore

$$\varprojlim_{n,m} H^1(\Gamma_n, A(k_\infty))_{p^m}$$

can only have a μ -part. But this is impossible, since $T_\delta(A(k_\infty) \otimes \mathcal{Q}_p / \mathbb{Z}_p)^*$ has zero μ -invariant.

Theorem 3.6. *Let A be an abelian variety over k with ordinary good reduction at p and let $\text{III}(A(k_n))(p)$ be finite for all n . Then the global flat duality induces quasi-isomorphisms*

$$\begin{aligned} \text{(i)} \quad T_\delta H^1(\mathcal{O}_\infty, \mathcal{A}')(p)^* &\approx \alpha(T_\delta H^1(\mathcal{O}_\infty, \mathcal{A}^0)(p)^*), \\ T_\delta \text{III}_\infty(A')(p)^* &\approx \alpha(T_\delta \text{III}_\infty(A)(p)^*) \end{aligned}$$

and a quasi-exact sequence

$$\begin{aligned} \text{(ii)} \quad 0 \longrightarrow T_\nu \text{III}_\infty(A')(p)^* &\longrightarrow \alpha(T_\Lambda(A(k_\infty) \otimes \mathcal{Q}_p / \mathbb{Z}_p)^*) \\ &\longrightarrow T_\Lambda(A'(k_\infty) \otimes \mathcal{Q}_p / \mathbb{Z}_p)^* \longrightarrow \alpha(T_\nu \text{III}_\infty(A)(p)^*) \longrightarrow 0 \end{aligned}$$

Corollary 3.7. *Let the assumptions of 3.4 be fulfilled, then the following is true.*

(i) *Any divisor of the form ξ_r of $\text{III}_\infty(A)(p)^*$ is also a divisor of $(A(k_\infty) \otimes \mathcal{Q}_p / \mathbb{Z}_p)^*$. In particular, if $\text{III}_\infty(A)(p)^{\Gamma_n}$ is infinite then A has a k_n -rational point of infinite order.*

(ii) *The following assertions are equivalent:*

(a) *The Λ -torsion submodule of $H^1(\mathcal{O}_\infty, \mathcal{A})(p)^*$ is semi-simple by $\zeta_{p^n} - 1$ for all $n \geq 0$, i.e.,*

$$T_\varepsilon H^1(\mathcal{O}_\infty, \mathcal{A})(p)^* \approx \bigoplus_r \Lambda / \xi_r.$$

(b) The Γ_n -invariants $\text{III}_\infty(A)(p)^{\Gamma_n}$ of $\text{III}_n(A)(p)$ are finite groups for all $n \geq 0$.

(c) There is a quasi-isomorphism

$$\alpha(T_\Lambda(A(k_\infty) \otimes \mathcal{O}_p / \mathcal{Z}_p)^*) \approx T_\Lambda(A'(k_\infty) \otimes \mathcal{O}_p / \mathcal{Z}_p)^*$$

induced by the global flat duality.

Corollary 3.8. Let A be an abelian variety with ordinary good reduction at p . Let $\text{III}_\infty(A)(p)^{\Gamma_n}$ be finite for all n and assume that $(A(k_\infty) \otimes \mathcal{O}_p / \mathcal{Z}_p)^*$ is a Λ -torsion module. Then there is a non-degenerate pairing

$$A(k_\infty) \times A'(k_\infty) \longrightarrow \mathcal{O}_p.$$

In particular, there are non degenerate pairings

$$A(k_n) \times A'(k_n) \longrightarrow \mathcal{O}_p$$

for all n .

Proof of Theorem 3.6. From the descent diagram we derive the commutative and quasi-exact diagram

$$\begin{array}{ccccccc}
 & & 0 & & 0 & & \\
 & & \downarrow & & \downarrow & & \\
 & & \varprojlim_{n,m} H^1(\mathcal{O}_n, \mathcal{A}^0)_{p^m} & \longrightarrow & \varprojlim_{n,m} H^1(\mathcal{O}_\infty / \mathcal{O}_n, \mathcal{A}^0)_{p^m} & & \\
 & & \downarrow & & \downarrow & & \\
 0 & \longrightarrow & \varprojlim_{n,m} H^2(\mathcal{O}_n, \mathcal{A}_{p^m}) & \longrightarrow & \varprojlim_{n,m} H^2(\mathcal{O}_\infty / \mathcal{O}_n, \mathcal{A}_{p^m}) & \longrightarrow & 0 \\
 & & \downarrow & & \downarrow & & \\
 & & \varprojlim_{n,m} {}_{p^m}H^2(\mathcal{O}_n, \mathcal{A}^0) & \longrightarrow & \varprojlim_{n,m} {}_{p^m}H^2(\mathcal{O}_\infty / \mathcal{O}_n, \mathcal{A}^0) & & \\
 & & \downarrow & & \downarrow & & \\
 & & 0 & & 0 & &
 \end{array}$$

We will compute the projective limits. First, from the lower exact sequence in the diagram (*) of the proof of 3.5 with \mathcal{A}^0 instead of \mathcal{A} we obtain a quasi-exact sequence

$$\begin{aligned}
 0 \longrightarrow \varprojlim_{n,m} {}_{p^m}H^1(\mathcal{O}_\infty, \mathcal{A}^0(p))_{\Gamma_n} &\longrightarrow \varprojlim_{n,m} {}_{p^m}H^2(\mathcal{O}_\infty / \mathcal{O}_n, \mathcal{A}^0) \\
 &\longrightarrow \varprojlim_{n,m} {}_{p^m}H^2(\mathcal{O}_\infty, \mathcal{A}^0)^{\Gamma_n} \longrightarrow 0
 \end{aligned}$$

hence

$$\varinjlim_{n,m} {}_p H^2(\mathcal{O}_\infty/\mathcal{O}_n, \mathcal{A}^0) \approx \alpha(T_v H^1(\mathcal{O}_\infty, \mathcal{A}(p))^*) \oplus \beta(F_A H^2(\mathcal{O}_\infty, \mathcal{A}(p))^*).$$

Because of

$$\varinjlim_{n,m} H^2(\mathcal{O}_\infty/\mathcal{O}_n, \mathcal{A}_{pm}) \approx \alpha(T_A H^1(\mathcal{O}_\infty, \mathcal{A}^0(p))^*) \oplus \beta(F_A H^2(\mathcal{O}_\infty, \mathcal{A}(p))^*)$$

(see proof of 2.2) we obtain

$$\varinjlim_{n,m} H^1(\mathcal{O}_\infty/\mathcal{O}_n, \mathcal{A}^0)_{pm} \approx \alpha(T_\delta H^1(\mathcal{O}_\infty, \mathcal{A}^0(p))^*).$$

Now, since III_n is finite for all n we have by Theorem 2.1 (ii) an isomorphism

$$\varinjlim_{n,m} H^1(\mathcal{O}_\infty, \mathcal{A}^0)_{pm} \cong H^1(\mathcal{O}_n, \mathcal{A}'(p))^*.$$

Furthermore

$$\varinjlim_{n,m} H^2(\mathcal{O}_n, \mathcal{A}_{pm}) \cong H^1(\mathcal{O}_\infty, \mathcal{A}'(p))^*$$

hence

$$\varinjlim_{n,m} {}_p H^2(\mathcal{O}_n, \mathcal{A}^0) \cong (A'(k_\infty) \otimes \mathcal{Q}_p/\mathcal{Z}_p)^*.$$

Therefore, the diagram above induces the commutative and quasi exact diagram

$$\begin{array}{ccc}
 0 & & 0 \\
 \downarrow & & \downarrow \\
 H^1(\mathcal{O}_\infty, \mathcal{A}'(p))^* & \xrightarrow{\quad} & \alpha(T_\delta H^1(\mathcal{O}_\infty, \mathcal{A}^0(p))^*) \\
 \downarrow & & \downarrow \\
 (+) \quad H^1(\mathcal{O}_\infty, \mathcal{A}'(p))^* & \xrightarrow{\sim} & \alpha(T_A H^1(\mathcal{O}_\infty, \mathcal{A}^0(p))^*) \oplus \beta(F_A H^2(\mathcal{O}_\infty, \mathcal{A}(p))^*) \\
 \downarrow & & \downarrow \\
 (A'(k_\infty) \otimes \mathcal{Q}_p/\mathcal{Z}_p)^* & \xrightarrow{\quad} & \alpha(T_v H^1(\mathcal{O}_\infty, \mathcal{A}(p))^*) \oplus \beta(F_A H^2(\mathcal{O}_\infty, \mathcal{A}(p))^*). \\
 \downarrow & & \downarrow \\
 0 & & 0
 \end{array}$$

Since $H^1(\mathcal{O}_\infty, \mathcal{A}'(p))^*$ is A -torsion (3.4) we have a quasi-isomorphism

$$F_A(A'(k_\infty) \otimes \mathcal{Q}_p/\mathcal{Z}_p)^* \approx \beta(F_A H^2(\mathcal{O}_\infty, \mathcal{A}(p))^*)$$

and a quasi-exact and commutative diagram

$$\begin{array}{ccccccc}
 0 & \longrightarrow & H^1(\mathcal{O}_\infty, \mathcal{A}')(p)^* & \longrightarrow & T_\lambda H^1(\mathcal{O}_\infty, \mathcal{A}')(p)^* & \longrightarrow & T_\lambda(A(k_\infty) \otimes \mathcal{Q}_p/\mathcal{Z}_p)^* \longrightarrow 0 \\
 & & & & \uparrow \approx & & \uparrow \psi \\
 0 & \longleftarrow & \alpha(H^1(\mathcal{O}_\infty, \mathcal{A}^0)(p)^*) & \longleftarrow & \alpha(T_\lambda H^1(\mathcal{O}_\infty, \mathcal{A}^0)(p)^*) & \longleftarrow & \alpha(T_\lambda(A(k_\infty) \otimes \mathcal{Q}_p/\mathcal{Z}_p)^*) \longleftarrow 0
 \end{array}$$

where the map ψ is induced by the quasi-isomorphism in the middle (Theorem 3.1).

Therefore the characteristic polynomial of $T_\lambda(A(k_\infty) \otimes \mathcal{Q}_p/\mathcal{Z}_p)^* \approx T_\nu(A(k_\infty) \otimes \mathcal{Q}_p/\mathcal{Z}_p)^*$ (3.5) divides the characteristic polynomial of $T_\nu H^1(\mathcal{O}_\infty, \mathcal{A}(p))^*$. This shows that all horizontal maps in the diagram (+) are quasi-isomorphisms:

$$(3.9) \quad \begin{aligned}
 (A'(k_\infty) \otimes \mathcal{Q}_p/\mathcal{Z}_p)^* &\approx \alpha(T_\nu H^1(\mathcal{O}_\infty, \mathcal{A}(p))^*) \oplus \beta(F_\lambda H^2(\mathcal{O}_\infty, \mathcal{A}(p))^*), \\
 H^1(\mathcal{O}_\infty, \mathcal{A}')(p)^* &\approx \alpha(T_\delta H^1(\mathcal{O}_\infty, \mathcal{A}(p))^*).
 \end{aligned}$$

Thus we obtain from the diagram above the quasi-exact sequence

$$0 \longrightarrow T_\nu \text{III}_\infty(A')(p)^* \longrightarrow \alpha(T_\lambda(A(k_\infty) \otimes \mathcal{Q}_p/\mathcal{Z}_p)^*) \xrightarrow{\psi} T_\lambda(A'(k_\infty) \otimes \mathcal{Q}_p/\mathcal{Z}_p)^*.$$

Obviously the cokernel of ψ is quasi-isomorphic to $\alpha(T_\nu \text{III}_\infty(A)(p)^*)$. Furthermore, the diagram above implies a quasi-injection

$$T_\delta H^1(\mathcal{O}_\infty, \mathcal{A}')(p)^* \hookrightarrow T_\delta H^1(\mathcal{O}_\infty, \mathcal{A}')(p)^*.$$

Hence, taking the adjoint and combining it with the quasi-isomorphism (3.9) we obtain a quasi-surjection

$$H^1(\mathcal{O}_\infty, \mathcal{A})(p)^* \approx \alpha(T_\delta H^1(\mathcal{O}_\infty, \mathcal{A}')(p)^*) \twoheadrightarrow \alpha(T_\delta H^1(\mathcal{O}_\infty, \mathcal{A}')(p)^*).$$

This proves Theorem 3.6.

Proof of Corollary 3.7. Since

$$T_\nu(A(k_\infty) \otimes \mathcal{Q}_p/\mathcal{Z}_p)^* \quad \text{and} \quad T_\nu H^1(\mathcal{O}_\infty, \mathcal{A}(p))^*$$

have the same characteristic polynomials the following assertions are equivalent:

- $H^1(\mathcal{O}_\infty, \mathcal{A}(p))^*$ is semi-simple by $\zeta_{p^n} - 1$ for all $n \geq 0$,
- $T_\nu H^1(\mathcal{O}_\infty, \mathcal{A}(p))^* \approx T_\epsilon H^1(\mathcal{O}_\infty, \mathcal{A}(p))^*$,
- $T_\nu H^1(\mathcal{O}_\infty, \mathcal{A})(p)^* \approx 0$,
- $\text{III}_\infty(A)(p)^{*r_n}$ is finite for all $n \geq 0$.

Because $\text{III}_\infty(A)(p)^*$ is λ -torsion the last assertion is equivalent to

$\text{III}_\infty(A)(p)^{F^n}$ is finite for all $n \geq 0$.

The equivalence to (c) and the first assertion follow immediately from 3.6 (ii).

Proof of Corollary 3.8. This is a consequence of 3.7 (ii), observing that the maps

$$(A(k_\infty) \otimes \mathbf{Q}_p)^{F^n} \longrightarrow (A(k_\infty) \otimes \mathbf{Q}_p)_{F^n}, \quad n \geq 0,$$

induced by the identity are isomorphisms because

$$(A(k_\infty) \otimes \mathbf{Q}_p / \mathbf{Z}_p)^* \approx T_v(A(k_\infty) \otimes \mathbf{Q}_p / \mathbf{Z}_p)^*.$$

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