

**POWER-BOUNDED ELEMENTS IN A BANACH ALGEBRA  
AND A THEOREM OF GELFAND**

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## 1. INTRODUCTION

Most of the unattributed results in §§1–4 are joint work with T.J. Ransford; a fully detailed account, including results not given here, is in [2].

Let  $A$  be a (complex) unital Banach algebra and let  $x \in A$ . We say that:

(i)  $x$  is *power-bounded* (pb) if and only if there is  $K > 0$  such that  $\|x^n\| \leq K$  for all  $n \geq 0$ ;

(ii)  $x$  is *doubly power-bounded* (dpb) if and only if  $x$  is invertible and there is  $K > 0$  such that  $\|x^n\| \leq K$  for all  $n \in \mathbf{Z}$ .

We remark that we may always renorm with an equivalent unital algebra norm so that  $K = 1$ .

From the spectral radius formula: *if  $x$  is pb then  $Sp\,x \subseteq \Delta \equiv \{z \in \mathbf{C} : |z| \leq 1\}$ ; if  $x$  is dpb then  $Sp\,x \subseteq \mathbf{T} \equiv \{z \in \mathbf{C} : |z| = 1\}$ .*

We shall give a very simple proof of the following result of Katznelson and Tzafriri [10].

**THEOREM 1.** (Katznelson & Tzafriri) *Let  $A$  be a complex unital Banach algebra and let  $x \in A$  be pb. Then  $\|x^n(1-x)\| \rightarrow 0$  as  $n \rightarrow \infty$  if (and only if)  $(Sp\,x) \cap \mathbf{T} \subseteq \{1\}$ .*

**Remarks.** (i) The ‘only if’ is trivial.

(ii) If  $(Sp\,x) \cap \mathbf{T} = \emptyset$ , then  $x^n \rightarrow 0$ , since  $r_A(x) < 1$ , so the only case of interest is that in which  $(Sp\,x) \cap \mathbf{T} = \{1\}$ .

(iii) In [10] the result is phrased in terms of *operators*; we have given an equivalent Banach-algebra statement since that is better suited to our method of proof.

(iv) The special case of Theorem 1 in which  $Sp x = \{1\}$  was already proved by J. Esterle [5, Theorem 9.1]. Our proof of the general case is much influenced by Esterle's proof.

(v) Some other kinds of generalization of Theorem 1 are contained in [1] and [2].

Our starting point is an early result of I.M. Gelfand [6].

**THEOREM 2.** (Gelfand) *With  $A$  as before let  $x \in A$  be dpb and let  $Sp x = \{1\}$ . Then  $x = 1$ .*

**Remark.** Theorem 2 may be very easily deduced from Theorem 1, since if  $x \in A$  with  $Sp x = \{1\}$  and if  $\|x^n\| \leq K$  ( $n \in \mathbf{Z}$ ), then for all  $n \geq 1$ ,

$$\begin{aligned} \|x - 1\| &= \|x^{-n} \cdot x^n (x - 1)\| \\ &\leq \|x^{-n}\| \cdot \|x^n (x - 1)\| \\ &\leq K \|x^n (x - 1)\| \rightarrow 0 \quad \text{as } n \rightarrow \infty, \end{aligned}$$

by Theorem 1; hence  $x = 1$ .

*However*, our purpose here is to give a direct proof of Theorem 2 and then show how to deduce Theorem 1 from Theorem 2.

**Proof.** Write  $x = 1 + r$ , so that  $Sp r = \{0\}$ . Set  $y = \text{Log}(1 + r) \equiv \sum_{k=1}^{\infty} (-1)^{k-1} r^k / k$ , so we have  $Sp y = \{0\}$  and  $x = e^y$ . We define  $F(z) = e^{zy}$  ( $z \in \mathbf{C}$ ); then  $F$  is an entire  $A$ -valued function of exponential type. Since  $r_A(y) = 0$ ,  $F$  has minimal exponential type: i.e. for every  $\epsilon > 0$ , there is a constant  $C(\epsilon) > 0$  such that

$$\|F(z)\| \leq C(\epsilon) e^{\epsilon|z|} \quad (z \in \mathbf{C}).$$

For every  $n \in \mathbf{Z}$ ,

$$\|F(n)\| \leq \sup_{n \in \mathbf{Z}} \|x^n\| < \infty ,$$

by the assumed double-power-boundedness of  $x$ . This is already sufficient to prove that  $F$  is constant (by [4, (10.2.1)]). However, in the present case, we may use a more elementary argument since for every  $t \in \mathbf{R}$

$$\begin{aligned} \|F(t)\| &= \|e^{(\lfloor t \rfloor + \{t\})y}\| \\ &\leq \left( \sup_{n \in \mathbf{Z}} \|x^n\| \right) \left( \sup_{0 \leq t \leq 1} \|F(t)\| \right) < \infty . \end{aligned}$$

Thus  $F$  is bounded on  $\mathbf{R}$  and is therefore constant by a Phragmén–Lindelöf argument (see e.g. [4, (6.2.13)]).

In particular  $F(1) = F(0)$ , i.e.  $x = 1$  and the proof is complete.

**Remark.** In the above proof, we have freely applied to a holomorphic  $A$ -valued function,  $F$ , theorems proved classically for complex-functions. This is justified, of course, by the usual functional-analytic device of considering  $\Lambda \circ F$  for an arbitrary continuous linear functional  $\Lambda$  on  $A$  and using the Hahn–Banach theorem.

## 2. DEDUCTION OF THEOREM 1 FROM THEOREM 2

The most important point of this paper is the following lemma that relates power-boundedness to double-power-boundedness.

**LEMMA.** *Let  $(A; \|\cdot\|)$  be a commutative, unital Banach algebra and let  $x \in A$  be such that  $\|x\| = r_A(x) = 1$ . Then there is a commutative Banach algebra  $B \equiv B(x)$  with norm  $\|\cdot\|_B$  and a unital homomorphism  $\pi : A \rightarrow B$  such that:*

- (i)  $\|\pi(y)\|_B = \lim_{n \rightarrow \infty} \|x^n y\| \quad (y \in A)$ ;
- (ii)  $\pi(x)$  is invertible in  $B$  and

$$\|\pi(x)\|_B = \|\pi(x)^{-1}\|_B = 1 ;$$

(iii)  $Sp_B(\pi(x)) \subseteq (Sp_A x) \cap \mathbf{T}$ .

**Proof.** Remark first that  $\|x^n\| = r_A(x^n) = 1$  for all  $n \geq 1$ . Define a semi-norm  $p$  on  $A$  by setting  $p(y) = \lim_{n \rightarrow \infty} \|x^n y\|$  ( $y \in A$ ); since  $\|x^{n+1}y\| \leq \|x^n y\|$  for all  $n$ ,  $p$  is well-algebra semi-norm on  $A$ ; also  $p(1) = 1$  and  $p(xy) = p(y)$  ( $y \in A$ ).

Let  $N = p^{-1}(0)$ ; then  $N$  is an ideal and  $p$  is constant on each coset of  $N$ . Let  $B_0 = A/N$  and let  $\pi_0 : A \rightarrow B_0$  be the quotient homomorphism. There is then a well-defined unital algebra-norm  $\|\cdot\|_0$  on  $B_0$  given by  $\|\pi_0(y)\|_0 = p(y)$  ( $y \in A$ ).

For all  $\xi \in B_0$  we have  $\|\xi\|_0 = \|\xi\pi_0(x)\|_0$  and so, setting  $S = \{\pi_0(x)^n : n \geq 0\}$ ,  $S$  is a multiplicative semi-group in  $B_0$  consisting of non-zero-divisors. We may then form the algebra  $B_1$  of all ‘fractions’ with denominators in  $S$ ,  $B_1 \equiv \{\xi/\pi_0(x)^n : \xi \in B_0, n \in \mathbf{Z}^+\}$ . Because of the property that  $\|\xi\|_0 = \|\xi\pi_0(x)\|_0$  ( $\xi \in B_0$ ), we may simply norm  $B_1$  by setting  $\|\xi/\pi_0(x)^n\|_1 = \|\xi\|_0$  ( $\xi \in B_0, n \in \mathbf{Z}^+$ ). Then  $(B_1; \|\cdot\|_1)$  is a unital normed algebra and the mapping  $\xi \mapsto \xi/1$  is an isometric embedding of  $B_0 \rightarrow B_1$ ; we regard  $B_0$  as a subalgebra of  $B_1$  via this embedding. Then  $\pi_0(x)$  is a unit of  $B_1$  and  $\|\pi_0(x)\|_1 = \|\pi_0(x)^{-1}\|_1 = 1$ . Finally we let  $(B; \|\cdot\|_B)$  be the completion of  $(B_1, \|\cdot\|_1)$  and let  $\pi : A \rightarrow B$  be the composition of  $\pi_0 : A \rightarrow B_1$  and the isometric embedding of  $B_1$  in its completion  $B$ . Evidently (i) and (ii) are satisfied.

Also, since  $\pi(x)$  is dpb in  $B$  we have  $Sp_B(\pi(x)) \subseteq \mathbf{T}$ ; but also, since  $\pi$  is a unital homomorphism,  $Sp_B(\pi(x)) \subseteq Sp_A x$ . Thus  $Sp_B(\pi(x)) \subseteq (Sp_A x) \cap \mathbf{T}$  and (iii) is proved.

**Remarks.** (i) Instead of the construction with fractions, we could (and, in [2], *did*) appeal to a more general extension result of Arens [3].

(ii) If  $Sp_A(x) \not\subseteq \Delta$  — which is certainly the case for the conditions of Theorem 1 — it is easy to show that  $\pi_0(x)$  is already invertible just in the completion of  $(B_0; \|\cdot\|_0)$ , and we may take  $(B; \|\cdot\|_B)$  to be that completion, without any extension by fractions.

**Proof of Theorem 1.** In fact we may almost as easily deduce the following two-variable version (see [10, Corollary 9]): Write  $D \equiv \{(\lambda, \mu) \in \mathbf{C}^2 : \lambda = \mu\}$ .

**THEOREM 3.** *Let  $A$  be a commutative unital Banach algebra and let  $a, b \in A$ . Suppose that both  $a$  and  $b$  are pb. Then*

$$\|a^n b^n (a - b)\| \rightarrow 0 \quad \text{as } n \rightarrow \infty$$

if (and only if)  $Sp_A(a, b) \cap \mathbf{T}^2 \subseteq D$ .

**Remarks.** (i) the ‘only if’ part is trivial — consider any character on  $A$ ;

(ii) to deduce Theorem 1 from Theorem 3 we just take  $a = x$ ,  $b = 1$  (clearly, in Theorem 1, we may suppose  $A$  commutative by considering the closed subalgebra generated by  $x$ .)

**Proof of Theorem 3.** We may assume that  $Sp_A(a, b) \cap \mathbf{T}^2 \neq \emptyset$ , since otherwise  $r_A(ab) < 1$  so that  $(ab)^n \rightarrow 0$  and the conclusion would follow trivially.

Let  $x = ab$ ; then  $x$  is pb and  $(Sp_A x) \cap \mathbf{T} \supseteq \{\lambda^2 : (\lambda, \lambda) \in Sp_A(a, b) \cap \mathbf{T}^2\} \neq \emptyset$ . Also, by renorming, we may assume that  $\|a\| = \|b\| = 1$ ; in that case  $1 = r(x) \leq \|x\| \leq \|a\| \cdot \|b\| = 1$ , so also  $\|x\| = 1$ .

We now form  $\pi : A \rightarrow B \equiv B(x)$  as in the above Lemma. If  $\chi$  is any character on  $B$  then  $\chi \circ \pi$  is a character on  $A$ , so that  $(\chi(\pi(a)), \chi(\pi(b))) \in Sp_A(a, b) \subseteq \Delta^2$ . But also  $\|\pi(x)\|_B = \|\pi(x)^{-1}\|_B = 1$ , so that  $|\chi(\pi(a))| \cdot |\chi(\pi(b))| \in Sp_A(a, b) \cap \mathbf{T}^2 \subseteq D$ , by hypothesis. Thus  $\chi(\pi(a)) = \chi(\pi(b)) \in \mathbf{T}$  and so  $\chi(\pi(a)\pi(b)^{-1}) = \{1\}$ .

Also, as in the Lemma,

$$\|\pi(a)\pi(b)^{-1}\|_B = \|\pi(a)\pi(b)^{-1}\pi(x)\|_B = \|\pi(a)^2\| = 1,$$

and similarly  $\|\pi(b)\pi(a)^{-1}\|_B = 1$ . Hence, by Gelfand's Theorem 2,  $\pi(a)\pi(b)^{-1} = \pi(1)$  so  $\pi(a) = \pi(b)$ ,  $\pi(a - b) = 0$  so  $\|(ab)^n(a - b)\| \rightarrow 0$  as  $n \rightarrow \infty$ , by (i) of the Lemma. This completes the proof.

### 3. POWER-DOMINATION

In [2] we considered a generalization of the notion of power-boundedness for which the term *power-domination* was coined.

Specifically, let  $\boldsymbol{\mu} \equiv (\mu(n))_{n \geq 0}$  be a sequence of positive real numbers such that  $\mu(n+1)/\mu(n) \rightarrow 1$  as  $n \rightarrow \infty$ . We say that the element  $x$  of a Banach algebra  $A$  is *power-dominated by  $\boldsymbol{\mu}$*  if and only if  $\|x^n\| \leq C\mu(n)$  ( $n \geq 0$ ), where  $C$  is a positive constant. We observe (see [2 (2.1)]) that  $x$  may be power-dominated by such a  $\boldsymbol{\mu}$  if and only if  $r_A(x) \leq 1$ .

A very similar result to the Lemma of §2 may then be proved and, from Theorem 2 we may then, for example, deduce:

**THEOREM 4.** *Let  $A$ ,  $\boldsymbol{\mu}$  be as above, let  $x \in A$  be power-dominated by  $\boldsymbol{\mu}$  and let  $(Sp_A x) \cap \mathbf{T} \subseteq \{1\}$ . Then  $\mu(n)^{-1}\|x^n(1-x)\| \rightarrow 0$  as  $n \rightarrow \infty$ .*

For the details of this, and some more general results, in which  $Sp_A x$  may intersect  $\mathbf{T}$  in an arbitrary closed set, we refer to [2].

### 4. REMARKS ON HILLE'S EXTENSION OF GELFAND'S THEOREM

In [8] (see also [9]), Hille gave the following extension of Theorem 2; it may be proved in just the way that we have given for Theorem 2.

**THEOREM 5.** (Hille) *Let  $A$  be a unital Banach algebra and let  $x \in A$  with  $Spx = \{1\}$ .*

*Suppose that*

$$\|x^n\| + \|x^{-n}\| = o(n) \quad \text{as } n \rightarrow \infty .$$

*Then  $x = 1$ .*

**Remark.** The ‘ $o(n)$  condition’ may *not* be replaced by ‘ $O(n)$ ’ — consider  $x = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$  in the algebra  $M_2(\mathbf{C})$ .

However, a straightforward attempt to extend the Katznelson–Tzafriri result in this way does not succeed. A number of rather strong counter-examples are given in §4 of [2]. We do not, however, know whether the additional restriction that  $Spx = \{1\}$  would save the situation; i.e. we ask:

**Question.** Let  $A$  be a (commutative) unital Banach algebra and let  $x \in A$  with  $Spx = \{1\}$ . Suppose that  $\|x^n\| = o(n)$  as  $n \rightarrow \infty$ . Does it follow that  $x = 1$ ?

## 5. COMMUTATIVE RADICAL BANACH ALGEBRAS

Let  $R$  be a commutative radical Banach algebra and let  $A \equiv R_+$  be its unitization. We say that  $x \in R$  is *quasi-power-bounded* (qpb) if and only if  $1 + x$  is pb in  $A$ . We collect some elementary properties in the following theorem. For  $x \in R$  we write  $x' \equiv (1 + x)^{-1} - 1$ , the ‘quasi-inverse’ of  $x$ .

**THEOREM 6.** *Let  $R$  be a non-zero, commutative radical Banach algebra and let  $Q$  be its set of qpb elements. Then:*

- (i)  $Q$  is convex and  $0 \in Q$ ;
- (ii) if  $x, y \in Q$  then  $x \circ y \equiv x + y + xy \in Q$ ;
- (iii) if  $0 \neq x \in Q$ , then  $x' \notin Q$ ;
- (iv)  $Q$  does not include any neighbourhood of 0.

**Proof.** (i) Evidently  $0 \in Q$ , since 1 is trivially pb. Let  $x, y \in Q$  and let  $a = 1 + x$ ,  $b = 1 + y$ ; then for some  $K > 0$ ,  $\|a^n\| \leq K$ ,  $\|b^n\| \leq K$  ( $n \geq 0$ ). Let  $0 < t < 1$ ; then  $1 + (tx + (1-t)y) = ta + (1-t)b$  and for  $n \geq 1$ ,

$$\begin{aligned} \|(ta + (1-t)b)^n\| &\leq \sum_{k=0}^n \binom{n}{k} t^k (1-t)^{n-k} \|a^k\| \|b^{n-k}\| \\ &\leq K^2. \end{aligned}$$

Hence  $tx + (1-t)y \in Q$ , so that  $Q$  is convex.

(ii) If  $x, y \in Q$  then  $(1+x)(1+y) = 1 + x + y + xy$  is pb, so that  $x + y + xy$  is qpb.

(iii) Suppose that  $x, x'$  both belong to  $Q$ .

Then  $(1+x)$  and  $(1+x)^{-1}$  are both pb, that is,  $1+x$  is dpb in  $A \equiv R_+$ . Since  $Sp_A(1+x) = \{1\}$  it follows from Gelfand's theorem (Theorem 2) that  $1+x = 1$ , so  $x = x' = 0$ .

(iv) Clearly, given  $\epsilon > 0$  there exists  $\delta > 0$  such that  $\|x\| < \delta$  implies  $\|x'\| < \epsilon$ . Hence if  $Q$  contained a neighbourhood of 0 there would be some non-zero  $x \in Q$  such that also  $x' \in Q$ , contrary to (iii). This concludes the proof.

We now consider some elementary consequences of Theorem 1; in fact we use only Esterle's special case of that result ([5, Theorem 9.1]).

**THEOREM 7.** *With the notation of Theorem 6, let  $0 \neq x \in Q$ . Then*

(i)  $x(1+x)^n \rightarrow 0$  ( $n \rightarrow \infty$ );

(ii)  $x$  belongs to the closed linear span of  $\{x^k : k \geq 2\}$  — so, in particular,  $x \in \overline{Rx}$

and  $x$  has finite closed descent;

(iii)  $\{1 - (1+x)^n : n \geq 1\}$  is a bounded approximate identity for  $\overline{Rx}$ ;

(iv)  $\overline{Rx} = \{y \in R : y(1+x)^n \rightarrow 0 (n \rightarrow \infty)\}$ .



**Proof.** (i) Since  $1 + x$  is pb and  $Sp_A(1 + x) = \{1\}$ , it follows from Theorem 1 that  $x(1 + x)^n \rightarrow 0$ . (ii), (iii) and (iv) now follow almost immediately.

We shall now give some examples to show that both cases  $Q = (0)$  and  $Q \neq (0)$  may occur.

**Example 1.** Some commutative radical Banach algebras with  $Q \neq (0)$ .

Let  $A(\Delta)$  be the usual disc algebra and let  $M = \{f \in A(\Delta) : f(1) = 0\}$ ; remark that  $M$  is a maximal ideal and is the closed ideal generated by  $z - 1$ , where ‘ $z$ ’ denotes the co-ordinate function in  $A(\Delta)$ . It is well known that, for real  $t > 0$ , the ideal,

$$I_t \equiv M \exp(t(z + 1)/(z - 1)),$$

is a closed ideal of  $A(\Delta)$ , that  $M$  is the *only* maximal ideal of  $A(\Delta)$ , that contains  $I_t$ , and that  $I_t \neq M$ , since  $z - 1 \notin I_t$ . (See e.g. Hoffman [7].)

Let  $R_t = M/I_t$ ; then  $R_t$  is a non-zero commutative radical Banach algebra whose unitization is  $A(\Delta)/I_t$ . Let  $\pi_t : A(\Delta) \rightarrow (R_t)_+$  be the quotient map and set  $x = \pi_t(z - 1)$ . Then  $x \neq 0$  and  $1 + x = \pi_t(z)$ , which is certainly pb, since  $z$  is pb in  $A(\Delta)$ . Thus  $R_t$  contains a non-zero qpb element.

Similar (but different) examples may be constructed by starting with  $A^+(\mathbf{T})$  in place of  $A(\Delta)$  and quotienting out the closure of the ideal

$$J_t = A^+(\mathbf{T})(z - 1)^2 \exp(t(z + 1)/(z - 1)).$$

To give a class of algebras having no (non-zero) qpb elements we recall that a (commutative) radical Banach algebra  $R$  is called *uniformly radical* if and only if  $\|x^n\|^{1/n} \rightarrow 0$  uniformly on the unit ball of  $R$ . For example the convolution algebra  $C_*[0, 1]$  of all continuous complex-valued functions on  $[0, 1]$  with uniform norm, but convolution product,

is easily seen to be uniformly radical. By contrast,  $L^1[0, 1]$  is *not* uniformly radical, as follows from the following simple result.

**LEMMA 8.** *Let  $R$  be a commutative, uniformly radical Banach algebra. Then no non-zero closed subalgebra of  $R$  has a bounded approximate identity.*

**Proof.** Since, evidently, any closed subalgebra of  $R$  is itself uniformly radical, it suffices to prove that  $R$  has no bounded approximate identity (for  $R \neq (0)$ ).

Suppose that  $(e_\lambda)_{\lambda \in \Lambda}$  is a b.a.i. for  $R$ ,  $\|e_\lambda\| \leq K$  ( $\lambda \in \Lambda$ ). Then it is a simple exercise to see that, for given  $n \geq 1$ , the net  $(e_\lambda^n)_{\lambda \in \Lambda}$  is also a b.a.i.,  $\|e_\lambda^n\| \leq K^n$ . Choose  $x \in R$ ,  $\|x\| = 1$  (since  $R \neq (0)$ ). Let  $n$  be given; then we may choose  $\lambda \in \Lambda$  such that  $\|xe_\lambda^n - x\| \leq \frac{1}{2}$ . Hence  $\|e_\lambda^n\| \geq \|xe_\lambda^n\| \geq \|x\| - \frac{1}{2} = \frac{1}{2}$ . But then  $\|K^{-1}e_\lambda\| \leq 1$  and  $\|(K^{-1}e_\lambda)^n\|^{1/n} \geq (2K)^{-1}$ . Hence, for every  $n \geq 1$ ,

$$\sup_{\|x\| \leq 1} \|x^n\|^{1/n} \geq (2K)^{-1},$$

so that  $R$  is not uniformly radical — a contradiction.

**Example 2.** If  $R$  is any commutative, uniformly radical Banach algebra — for example  $R = C_*[0, 1]$  — then  $R$  has no non-zero qpb element.

For if  $x \in R$  is qpb then, by Theorem 7(iii),  $\overline{Rx}$  has a bounded approximate identity; so by Lemma 8,  $\overline{Rx} = (0)$  — so  $x = 0$  (since  $x \in \overline{Rx}$ ).

Our basic problem now is to discover *which* commutative radical Banach algebras contain non-zero qpb elements. (By Theorem 6, if  $0 \neq x \in Q$  then at least  $[0, x] \subseteq Q$ , so that  $Q$  is uncountable — and in fact also  $x^2 + 2x$ ,  $x^3 + 3x^2 + 3x, \dots$  belong to  $Q$  as well.) For example we may ask (with  $R$  a non-zero commutative radical Banach algebra):

1. If  $R$  has a b.a.i., does  $R$  have some non-zero qpb element?

2. Do the algebras  $L^1[0, 1]$ ,  $M_*[0, 1]$ ,  $L^1(\mathbf{R}^+, w)$  (with  $w$  a radical weight) have any non-zero qpb elements. If so, then what are they?

We mention a few more oddments which help to restrict the search!

**PROPOSITION 9.** (i) *In any  $R$ , if  $x$  is a proper nilpotent, then  $x$  is not qpb.*

(ii) *If  $0 \neq f$  in  $L^1[0, 1]$  or in  $L^1(\mathbf{R}^+, w)$  and if  $f$  is qpb then  $f$  can not be a.e. non-negative on  $[0, \delta]$ , for any  $\delta > 0$ ; in particular  $\alpha(f) \equiv \inf(\text{supp}f) = 0$ .*

(iii) *If  $w$  is a radical weight on  $\mathbf{Z}^+$ , then the unique maximal ideal  $\ell_0^1(w)$  of  $\ell^1(w)$  has no non-zero qpb element.*

**Proof.** (i) Let  $0 \neq x \in R$  and suppose that  $x^{k+1} = 0$ ,  $x^k \neq 0$  for some  $k \geq 1$ . Then for  $n > 2k$  we have  $\binom{n}{r} < \binom{n}{r+1}$ , ( $r = 0, \dots, k-1$ ). Now, for  $n > 2k$ ,

$$\begin{aligned} \|(1+x)^n\| &= \left\| \sum_{r=0}^k \binom{n}{r} x^r \right\| \\ &\geq \binom{n}{k} \|x^k\| - \sum_{r=0}^{k-1} \binom{n}{r} \|x^r\| \\ &\geq \binom{n}{k-1} \left\{ \left( \frac{n-k+1}{k} \right) \|x^k\| - \sum_{r=0}^{k-1} \|x^r\| \right\} \\ &\rightarrow \infty \quad \text{as } n \rightarrow \infty. \end{aligned}$$

Hence  $(1+x)$  is not pb, so  $x$  is not qpb.

(ii) Suppose firstly that  $0 \neq f \in L^1[0, 1]$  with  $f(t) \geq 0$  on  $[0, 1]$ . Then  $\|(1+f)^n\| \geq 1 + n \int_0^1 f(t) dt$  for all  $n \geq 1$ , so that  $f$  is not qpb.

The remainder of (ii) is proved by observing that if qpb  $f$  (in either  $L^1[0, 1]$  or  $L^1(\mathbf{R}^+, w)$ ) is a.e. non-negative on some  $[0, \delta]$ , then by quotienting out the ideal of functions vanishing on  $[0, \delta]$ , we obtain an element of  $L^1[0, \delta] \simeq L^1[0, 1]$  that is both a.e. non-negative and also qpb (since, clearly, the continuous homomorphic image of a qpb element is qpb). But this is then reduced to the case already proved.

(iii) Exercise!

**Added in proof.** In discussion with J. Esterle, it has been remarked that Theorem 6(iv) may be improved to give that 0 is an extreme point of  $Q$  (using a well-known result of Bohnenblust and Karlin).

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