

RE in  $\mathbf{a}$  such that neither  $\mathbf{b} \leq \mathbf{c}$  nor  $\mathbf{c} \leq \mathbf{b}$ . The method used to define  $\mathbf{0}'$ , when relativized, shows that there is a largest degree RE in  $\mathbf{a}$ . It is called the jump of  $\mathbf{a}$ , and is designated by  $\mathbf{a}'$ . The relativized Limit Lemma shows that a real is a limit of a recursive in  $\mathbf{a}$  sequence of reals iff it has degree  $\leq \mathbf{a}'$ .

## 16. Evaluation of Degrees

We shall now show how to evaluate the degrees of certain explicitly given relations.

Let  $\Phi$  be a class of relations. We say a relation  $R$  is  $\Phi$  complete if  $R$  is in  $\Phi$  and every relation in  $\Phi$  is reducible to  $R$  (where reducible is defined before 13.3). It follows that  $R$  has the largest degree of any relation in  $\Phi$ ; so any two  $\Phi$  complete relations have the same degree. (Caution: Some authors use complete in a somewhat different way.)

EXAMPLE. If  $F$  is total,  $W_e^F(x)$  is RE in  $F$  complete; its degree is the jump of  $\text{dg } F$ . Hence any RE in  $F$  complete relation has degree  $(\text{dg } F)'$ .

The degree obtained by applying the jump  $n$  times to  $\mathbf{0}$  is designated by  $\mathbf{0}^n$ .

16.1. PROPOSITION. For every  $n$ , there is a  $\Sigma_n^0$  complete set of degree  $\mathbf{0}^n$  and a  $\Pi_n^0$  complete set of degree  $\mathbf{0}^n$ .

*Proof.* We use induction on  $n$ . If  $n = 1$ , let  $P$  be a recursive set; if  $n > 1$ , let  $P$  be a  $\Pi_{n-1}^0$  complete set of degree  $\mathbf{0}^{n-1}$ . Then  $W_e^P(x)$  has degree  $\mathbf{0}^n$  by the example. By Post's Theorem,  $\Sigma_n^0$  is the class of relation RE in  $P$ ; so  $W_e^P(x)$  is  $\Sigma_n^0$  complete. Then  $\neg W_e^P(x)$  is of degree  $\mathbf{0}^n$  and is  $\Pi_n^0$  complete.  $\square$

16.2. COROLLARY. Every  $\Sigma_n^0$  complete or  $\Pi_n^0$  complete relation has degree  $\mathbf{0}^n$ .  $\square$

If  $\Phi$  is a class of RE sets, then the set of indices of sets in  $\Phi$  is called the index set of  $\Phi$ .

16.3. PROPOSITION (RICE). If  $\Phi$  is a non-empty class of RE sets which is

not the class of all RE sets, then the index set of  $\Phi$  is not recursive.

*Proof.* We may suppose the empty set  $\emptyset$  is not in  $\Phi$ ; otherwise we replace  $\Phi$  by the class of RE sets which are not in  $\Phi$ . Let  $A$  be an RE set which is in  $\Phi$ , and let  $B$  be a non-recursive RE set. By the Parameter Theorem, there is a recursive real  $S$  such that

$$W_{S(e)}(x) \leftrightarrow x \in A \ \& \ e \in B.$$

If  $e \in B$ , then  $W_{S(e)} = A$ , so  $S(e)$  is in the index set of  $\Phi$ ; if  $e \notin B$ ,  $W_{S(e)} = \emptyset$ , so  $S(e)$  is not in the index set of  $\Phi$ . Thus  $B$  is reducible to the index set of  $\Phi$ ; so this index set is not recursive.  $\square$

We are going to use 16.2 to evaluate the degrees of certain index sets.

Let TOT be the index set of the class of RE sets whose only member is  $\omega$ .

Then

$$e \in \text{TOT} \leftrightarrow \forall x W_e(x).$$

Since  $W_e(x)$  is an RE relation of  $e$  and  $x$ , TOT is  $\Pi_2^0$ . We shall show that it is  $\Pi_2^0$  complete and hence of degree  $0''$ . Every  $\Pi_2^0$  relation is reducible to its contraction, which is also  $\Pi_2^0$ ; so it will suffice to show that every  $\Pi_2^0$  set  $A$  is reducible to TOT. We have  $A(x) \leftrightarrow \forall y P(x, y)$  where  $P$  is RE. By the RE Parameter Theorem, there is a recursive total  $S$  such that  $W_{S(x)}(y) \leftrightarrow P(x, y)$ . Hence

$$A(x) \leftrightarrow \forall y W_{S(x)}(y) \leftrightarrow S(x) \in \text{TOT}.$$

Thus  $A$  is reducible to TOT.

Let INF be the index set of the class of infinite RE sets. Then

$$e \in \text{INF} \leftrightarrow \forall x \exists y (y > x \ \& \ W_e(y)).$$

Hence INF is  $\Pi_2^0$ . We shall show that it is  $\Pi_2^0$  complete. Let  $A$  be a  $\Pi_2^0$  set. Then, writing  $Iz$  for *for infinitely many*  $z$ ,

$$A(x) \leftrightarrow \forall y P(x, y) \leftrightarrow Iz (\forall y < z) P(x, y)$$

where  $P$  is RE. By the table,  $(\forall y < z) P(x, y)$  is an RE relation of  $z$  and  $x$ . Hence by the RE Parameter Theorem, there is a recursive total  $S$  such that

$W_{S(x)}(z) \leftrightarrow (\forall y < z) P(x, y)$ . Then

$$A(x) \leftrightarrow \exists z W_{S(x)}(z) \leftrightarrow S(x) \in \text{INF}.$$

We say that  $A$  is reducible to  $B, C$  if there is a recursive real  $F$  such that for all  $x$ ,  $x \in A \rightarrow F(x) \in B$  and  $x \notin A \rightarrow F(x) \notin C$ . Then  $A$  is reducible to every set  $D$  such that  $B \subseteq D \subseteq C$ .

Let COF be the index set of the class of cofinite sets. (A set is cofinite if its complement is finite.) Since

$$e \in \text{COF} \leftrightarrow \exists x \forall y (\neg W_e(y) \rightarrow y \leq x),$$

COF is  $\Sigma_3^0$ .

Let REC be the index set of the class of recursive sets. By 14.6,

$$e \in \text{REC} \leftrightarrow \exists f (W_f = W_e^c)$$

(where a superscript  $c$  indicates a complement). Now

$$W_f = W_e^c \leftrightarrow \forall x (W_f(x) \vee W_e(x)) \ \& \ \neg \exists x (W_f(x) \ \& \ W_e(x)).$$

The right side is  $\Pi_2^0$ ; so REC is  $\Sigma_3^0$ .

Since  $\text{COF} \subseteq \text{REC}$ , the following result shows that both COF and REC are  $\Sigma_3^0$  complete.

16.4. PROPOSITION (ROGERS). Every  $\Sigma_3^0$  set is reducible to COF, REC.

*Proof.* Let  $A$  be  $\Sigma_3^0$ . For each  $z$ , we give an RE construction of a set  $B_z$  so that  $B_z$  is cofinite if  $z \in A$  and  $B_z$  is non-recursive if  $z \notin A$ . Moreover, we will insure that  $x$  is put into  $B_z$  at step  $s$  is a recursive relation of  $x, s$ , and  $z$ . Since

$$x \in B_z \leftrightarrow \exists s (x \text{ is put into } B_z \text{ at step } s),$$

it follows from the Parameter Theorem that there is a recursive real  $S$  such that  $W_{S(z)} = B_z$  for all  $z$ . The proposition will clearly follow.

Since  $z \in A \leftrightarrow \exists y P(z, y)$  where  $P$  is  $\Pi_2^0$  and hence reducible to INF, there is a total recursive function  $F$  such that

$$z \in A \leftrightarrow \exists y (W_{F(z, y)} \text{ is infinite}).$$

Using 14.7, choose a one-one recursive real  $G$  such that the range of  $G$  is not recursive.

Now we describe step  $s$  in the construction of  $B_z$ . Let  $B_z^s$  be the finite set of numbers put into  $B_z$  before step  $s$ , and let  $x_0^s, x_1^s, \dots$  be the members of the complement of  $B_z^s$  in increasing order. We put  $x_{G(s)}^s$  into  $B_z$ . For each  $y < s$  such that  $W_{F(z,y),s+1}$  contains a number not in  $W_{F(z,y),s}$ , we put  $x_y^s$  into  $B_z$ .

Suppose that  $z \in A$ . Choose  $y$  so that  $W_{F(z,y)}$  is infinite. Since each  $W_{F(z,y),s}$  is finite, there are infinitely many  $s$  at which  $x_y^s$  is put into  $B_z$ . It follows easily that the complement of  $B_z$  has at most  $y$  members; so  $B_z$  is cofinite.

Now suppose that  $z \notin A$ , so that each  $W_{F(z,y)}$  is finite. Since  $G$  is one-one, we see that for each  $y$ , there are only finitely many steps  $s$  at which  $x_y^s$  is put into  $B_z$ . It follows that for each  $y$ , there is an  $x_y$  such that  $x_y^s = x_y$  for all sufficiently large  $s$ . Hence  $x_0, x_1, \dots$  are the members of the complements of  $B_z$  in increasing order. We show that  $B_z$  is not recursive by showing that the range of  $G$  is recursive in  $B_z$ . Let  $w$  be given; it is sufficient to find, using an oracle for  $B_z$ , a bound on the numbers  $s$  for which  $G(s) = w$ . Now if  $G(s) = w$ , then  $x_w^s$  is put into  $B_z$  at step  $w$ , so  $x_w^s \neq x_w$ . Since  $x_w^s$  is increasing in  $s$ , it suffices to find a stage  $s$  at which  $x_w^s = x_w$ . We can do this with an oracle for  $B_z$ , since the oracle enables us to compute  $x_w$ .  $\square$

The index set of a degree  $\mathbf{a}$  is defined to be the index set of the class of RE sets having degree  $\mathbf{a}$ . Thus REC is the index set of  $\mathbf{0}$ . The result we have just proved is a special case of the following theorem of Yates: if  $\mathbf{a}$  is RE, then the index set of  $\mathbf{a}$  is  $\Sigma_3^0$  in  $\mathbf{a}$  complete. We shall not prove this result, which requires extensive use of the priority method.