# The Pseudo Orbit Tracing Properties on the Space of Probability Measures

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#### Introduction

Let X be a state space of some system and M(X) the space of probability measures on X. The elements of M(X) are viewed as statistical states. The elements of X are imbedded in M(X) as the pure states. Let T be a transformation of X and  $\widetilde{T}$  the corresponding transformation of M(X). As against (X, T) is a dynamical system in classical mechanics,  $(M(X), \widetilde{T})$  can be viewed as one in classical statistical mechanics (cf. [1]).

If X is compact metric and T is continuous, then M(X), provided with the weak topology, is again compact metric and  $\tilde{T}$  is continuous. W. Bauer and K. Sigmund studied in [1] the problem of which of the properties of (X, T) (like distality, topologically mixing, expansiveness, etc...) carry over to  $(M(X), \tilde{T})$ . However they did not treat the pseudo orbit tracing property defined by R. Bowen [2]. The aim of this paper is to study the property of  $(M(X), \tilde{T})$  induced by (X, T) which has the pseudo orbit tracing property.

### §1. Definitions and results.

Let X be a compact metric space with metric d and M(X) the space of Borel probability measures on X. The Prohorov metric  $\tilde{d}$  on M(X) is defined by  $\tilde{d}(\mu,\nu)=\inf\{\varepsilon:\mu(A)\leq\nu(A^\epsilon)+\varepsilon \text{ and } \nu(A)\leq\mu(A^\epsilon)+\varepsilon \text{ for all Borel sets } A\subset X\}$  for  $\mu,\nu\in M(X)$ . Here  $A^\epsilon=\bigcup_{x\in A}\{y\in X:d(x,y)\leq\varepsilon\}$ . As V. Strassen showed in [7], one has  $\tilde{d}(\mu,\nu)=\inf\{\varepsilon:\mu(A)\leq\nu(A^\epsilon)+\varepsilon \text{ for all Borel sets } A\subset X\}$ . The induced topology is just the weak topology for measures. It turns M(X) into a compact space (cf. [5, P. 45]). For  $x\in X$ , let  $\pi(x)\in M(X)$  be a point measure defined by  $\pi(x)(A)=1$  if  $x\in A$ , =0 otherwise.

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 $\pi$  is a homeomorphism from X onto a closed subset of M(X). It is obvious that M(X) is convex and the point measures are just the extremal points of M(X). Let  $M_n(X) = \{\mu = (1/n)(\pi(x_1) + \cdots + \pi(x_n)), x_i \in X \text{ not necessarily distinct} \}$  for  $n = 1, 2, \cdots$ . Then  $M_n(X)$  is a closed subset of M(X) and  $\bigcup_{n\geq 1} M_n(X)$ , the measures with finite support, is dense in M(X).

Let T be a homeomorphism of X (i.e. from X onto itself). T induces a map  $\widetilde{T}: M(X) \to M(X)$  defined by  $(\widetilde{T}\mu)(A) = \mu(T^{-1}A)$  ( $\mu \in M(X)$ ,  $A \subset X$ ; Borel). It is easy to see that  $\widetilde{T}$  is a homeomorphism of M(X), sending  $\pi(x)$  into  $\pi(Tx)$ . Clearly  $M_n(X)$  ( $n \ge 1$ ) is  $\widetilde{T}$ -invariant. We denote the restriction of  $\widetilde{T}$  to  $M_n(X)$  by same symbol  $\widetilde{T}$ . It is well known that the set of T-invariant measures  $\{\mu \in M(X): \widetilde{T}\mu = \mu\}$ , which is just the set of fixed points of  $\widetilde{T}$ , is a nonempty convex closed set ([3, P. 17]). Let  $X_n = X \times \cdots \times X$  (n-times) and a metric  $d_n$  on  $X_n$  define by  $d_n(x, y) = \max_{1 \le i \le n} d(x_i, y_i)$  for  $x = (x_1, \cdots, x_n)$ ,  $y = (y_1, \cdots, y_n)$  in  $X_n$ . T induces a homeomorphism  $T_n$  of  $X_n$  defined by  $T_n(x) = (Tx_1, \cdots, Tx_n)$  ( $x = (x_1, \cdots, x_n) \in X_n$ ).

A sequence  $\{x_i\}_{i=a}^b$   $(-\infty \le a \le b \le \infty)$  in X is  $\delta$ -pseudo orbit of T if  $d(Tx_i, x_{i+1}) < \delta$  for  $a \leq i \leq b-1$ . The  $\delta$ -pseudo orbit  $\{x_i\}_{i=a}^b$  is said to be  $\varepsilon$ traced if there is  $x \in X$  with  $d(T^i x, x_i) \le \varepsilon$   $(a \le i \le b)$ . (X, T) has the pseudo orbit tracing property (abbrev. P.O.T.P.) if for every  $\varepsilon > 0$  there is  $\delta > 0$ such that every  $\delta$ -pseudo orbit of T is  $\varepsilon$ -traced. (X, T) is tracing if for a sequence  $\{x_i\}_{i=0}^{\infty}$  with  $\lim_{i\to\infty} d(Tx_i, x_{i+1}) = 0$  there is  $x \in X$  with  $\lim_{t\to\infty} d(T^t x, x_t) = 0$ . In general the tracing does not imply the P.O.T.P.. (X, T) is said to be T-connected if for every  $x, y \in X$  and every  $\alpha > 0$ there are  $\alpha$ -pseudo orbits  $\{x_i\}_{i=0}^a$  and  $\{y_i\}_{i=0}^b$  so that  $x_0 = x = y_b$  and  $y_0 = y = x_a$ . (X, T) is topologically mixing if for every nonempty open sets U and Vof X there is N>0 such that  $U\cap T^{-n}V\neq\emptyset$  for every  $n\geq N$ . satisfies specification if for every  $\varepsilon > 0$  there is  $M = M(\varepsilon) > 0$  such that for every  $k \ge 1$  and k points  $x_1, \dots, x_k \in X$ , for every set of integers  $a_1 \le 1$  $b_1 < \cdots < a_k \le b_k$  with  $a_{i+1} - b_i \ge M$   $(1 \le i \le k-1)$  and for every  $p \ge b_k - a_1 + M$ there is  $x \in X$  with  $d(T^n x, T^n x_i) \le \varepsilon$   $(a_i \le n \le b_i, 1 \le i \le k-1)$  and  $T^n x = x$ . (X, T) satisfies weak specification if (X, T) satisfies the definition of specification except the periodic condition;  $T^px=x$ . Our results are following.

THEOREM 1. Let T be a homeomorphism of a compact metric space X and  $\tilde{T}$  an induced homeomorphism of M(X). Then the following holds.

(1) If (X, T) has the P.O.T.P., then  $(M_n(X), \tilde{T})$  has also the P.O.T.P. for every  $n \ge 1$ .

(2) If (X, T) is tracing, then  $(M_n(X), \tilde{T})$  is also tracing for every  $n \ge 1$ .

THEOREM 2. Let  $\tilde{T}$  be as in Theorem 1. The following holds.

- (1) If  $(M(X), \tilde{T})$  is tracing, then  $(M(X), \tilde{T})$  has the P.O.T.P..
- (2) If  $(M(X), \tilde{T})$  has the P.O.T.P., then  $(M(X), \tilde{T})$  satisfies specification.

REMARK. By Theorem 2(2) it follows that if  $(M(X), \tilde{T})$  has the P.O.T.P. (resp. tracing), then (X, T) is topologically mixing (cf. Propositions 21.3 and 6.9 in [3]). There is (X, T) which has the P.O.T.P. (resp. tracing) but is not topologically mixing (for example  $X=\{0, 1\}$  and T=id.), so  $(M(X), \tilde{T})$  need not have the P.O.T.P. (resp. tracing) even if (X, T) has the P.O.T.P. (resp. tracing).

# §2. Proof of Theorem 1.

For  $n \ge 1$ , let us define a map  $\varphi_n: X_n \to M_n(X)$  by  $\varphi_n(x) = (1/n) \sum_{i=1}^n \pi(x_i)$   $(x = (x_1, \dots, x_n) \in X_n)$ . Clearly  $\varphi_n$  is a continuous surjection at most n! to one. Moreover  $\varphi_n$  satisfies following;

LEMMA 1. For every  $x, y \in X_n$ ,  $\widetilde{d}(\varphi_n(x), \varphi_n(y)) \leq d_n(x, y)$ .

PROOF. Let  $\mathbf{x} = (x_1, \dots, x_n)$ ,  $\mathbf{y} = (y_1, \dots, y_n) \in X_n$  be given. Put  $d_n(\mathbf{x}, \mathbf{y}) = c$ , then  $d(x_i, y_i) \leq c$   $(1 \leq i \leq n)$ . For every Borel set  $A \subset X$  if  $y_i \in A$  then  $x_i \in A^c$ . Hence we have  $\varphi_n(\mathbf{x})(A^c) \geq \varphi_n(\mathbf{y})(A)$ , and so by the definition of  $\tilde{d}$ ,  $\tilde{d}(\varphi_n(\mathbf{x}), \varphi_n(\mathbf{y})) \leq c = d_n(\mathbf{x}, \mathbf{y})$ .

LEMMA 2 (marriage lemma [4]). Let  $B = \{b_1, \dots, b_n\}$  and  $G = \{g_1, \dots, g_n\}$  be finite sets of cardinal n and P(G) the family of subsets of G. Let  $\Psi$  be a map from B into P(G). If  $\Psi$  satisfies that  $\sharp \cup_{b \in E} \{\Psi(b)\} \geq \sharp E$  for every subset E of B, then there is a permutation  $\sigma: \{1, \dots, n\} \rightarrow \{1, \dots, n\}$  such that  $g_{\sigma(i)} \in \Psi(b_i)$   $(1 \leq i \leq n)$ .

LEMMA 3. Let  $n \ge 1$  and  $0 < \delta < 1/n$  be given. For  $\mu \in M_n(X)$ , take  $\mathbf{x} \in X_n$  with  $\varphi_n(\mathbf{x}) = \mu$ . Then for every  $\mathbf{v} \in M_n(X)$  with  $\tilde{d}(\mu, \mathbf{v}) < \delta$  there is  $\mathbf{y} \in X_n$  such that  $\varphi_n(\mathbf{y}) = \mathbf{v}$  and  $d_n(\mathbf{x}, \mathbf{y}) < \delta$ .

PROOF. Take  $\delta_0 > 0$  with  $\tilde{d}(\mu, \nu) < \delta_0 < \delta$  (<1/n). We express  $x = (x_1, \dots, x_n) \in X_n$  and  $\nu = (1/n) \sum_{i=1}^n \pi(z_i)$ . To distinguish between  $x_i$  or  $z_i$   $(1 \le i \le n)$  we put  $S = \{1, \dots, n\}$  and  $x_i' = (x_i, i), z_i' = (z_i, i) \in X \times S$   $(1 \le i \le n)$ . For  $B = \{x_i', \dots, x_n'\}$  and  $G = \{z_i', \dots, z_n'\}$  we define a map  $\Psi: B \to P(G)$  by  $\Psi(x_i') = \{z_i' \in G: d(\tau(x_i'), \tau(z_j')) \le \delta_0\}$   $(1 \le i \le n)$  where P(G) is the family of subsets of G and  $\tau: X \times S \to X$  is a natural projection. In order to apply

Lemma 2 we show that  $\sharp \cup_{x_i' \in E} \Psi(x_i') \geq E$  for every subset E of B. Indeed, by  $\widetilde{d}(\mu, \nu) < \delta_0$ , it follows that  $\nu((\tau E)^{\delta_0}) \geq \mu(\tau E) - \delta_0$  where  $(\tau E)^{\delta_0} = \{x \in X: d(x, \tau E) \leq \delta_0\}$ . Since  $\mu(\tau E) = \sharp E/n$  and  $\delta_0 < 1/n$ , we have  $\nu((\tau E)^{\delta_0}) > (1/n)$  ( $\sharp E - 1$ ). For every Borel set  $A \subset X$ ,  $\nu(A) = (1/n)(\sum_{i=1}^n \pi(z_i))(A) = k/n$  for some  $0 \leq k \leq n$ , so  $(1/n)(\sum_{i=1}^n \pi(z_i)(\tau E)^{\delta_0}) \geq \sharp E/n$ . Since  $\sharp \cup_{x_i' \in E} \{\Psi(x_i')\} \geq \sharp E$ .  $\sharp \{z_i' \in G: d(\tau x_i', \tau z_i') \leq \delta_0, x_i' \in E\} = \sum_{i=1}^n \pi(z_i)((\tau E)^{\delta_0})$ , we get  $\sharp \cup_{x_i' \in E} \{\Psi(x_i')\} \geq \sharp E$ .

Hence by Lemma 2 there is a permutation  $\sigma: S \to S$  with  $\Psi(x_i') = z'_{\sigma(i)}$   $(1 \le i \le n)$ . Put  $y_i = z_{\sigma(i)}$  and  $y = (y_1, \dots, y_n) \in X_n$ . Then we have  $\varphi_n(y) = (1/n) \sum_{i=1}^n \pi(y_i) = (1/n) \sum_{i=1}^n \pi(z_{\sigma(i)}) = \nu$  and  $d_n(x, y) = \max_{1 \le i \le n} d(x_i, z_{\sigma(i)}) = \max_{1 \le i \le n} d(\tau x_i', \tau z'_{\sigma(i)}) \le \delta_0 < \delta$ .

LEMMA 4. (1) If (X, T) has the P.O.T.P., then  $(X_n, T_n)$  has the P.O.T.P. for every  $n \ge 1$ . More precisely, given  $\varepsilon > 0$  if there is  $\delta > 0$  such that every  $\delta$ -pseudo orbit of T is  $\varepsilon$ -traced, then every  $\delta$ -pseudo orbit of  $T_n$  is  $\varepsilon$ -traced.

(2) If (X, T) is tracing, then so is  $(X_n, T_n)$ .

PROOF. (1): Let  $\varepsilon > 0$  be given and  $\delta > 0$  be a number decided by the P.O.T.P. of (X, T) corresponding with  $\varepsilon$ . Let  $\{x^k\}_{k=-\infty}^{\infty}$  be a  $\delta$ -pseudo orbit of  $(X_n, T_n)$ . If one denotes  $x^k = (x_1^k, \dots, x_n^k)$   $(k \in \mathbb{Z})$  then  $\{x_i^k\}_{k=-\infty}^{\infty}$   $(1 \le i \le n)$  is a  $\delta$ -pseudo orbit of (X, T) because  $d(Tx_i^k, x_i^{k+1}) \le d_n(T_n x^k, x^{k+1}) < \delta$   $(1 \le i \le n, k \in \mathbb{Z})$ . By assumption, there is  $y_i \in X$   $(1 \le i \le n)$  with  $d(T^k y_i, x_i^k) \le \varepsilon$   $(k \in \mathbb{Z})$ . Put  $y = (y_1, \dots, y_n)$  then  $d_n(T_n^k y, x^k) = \max_{1 \le i \le n} d(T^k y_i, x_i^k) \le \varepsilon$ ; i.e.  $y \in X_n$   $\varepsilon$ -traces  $\{x^k\}_{k=-\infty}^{\infty}$ .

(2): Let a sequence  $\{x^k\}_{k=0}^{\infty}$  of  $X_n$  satisfy that  $\lim_{k\to\infty} d_n(T_nx^k, x^{k+1}) = 0$ . If one denotes  $x^k = (x_1^k, \dots, x_n^k)$   $(k \in \mathbb{Z})$  then it follows that  $\lim_{k\to\infty} d(Tx_i^k, x_i^{k+1}) \le \lim_{k\to\infty} d_n(T_nx^k, x^{k+1}) = 0$   $(1 \le i \le n)$ , so there is  $y_i \in X$  with  $\lim_{k\to\infty} d(T^ky_i, x_i^k) = 0$ . Putting  $y = (y_1, \dots, y_n)$  we have  $d_n(T^ky_i, x^k) = \max_{1 \le i \le n} d(T^ky_i, x_i^k) \to 0$   $(k \to \infty)$ . This proves the lemma.

PROOF OF THEOREM 1. (1): Let  $n \ge 1$  and  $\varepsilon > 0$  be given. By assumption there is  $0 < \delta < 1/n$  such that every  $\delta$ -pseudo orbit of (X, T) is  $\varepsilon / 2$ -traced. Then, by Lemma 4(1), every  $\delta$ -pseudo ordit of  $(X_n, T_n)$  is  $\varepsilon / 2$ -traced. At first we show that for every m > 0, every finite  $\delta$ -pseudo orbit  $\{\nu_k\}_{k=0}^m$  of  $(M_n(X), \tilde{T})$  is  $\varepsilon / 2$ -traced. Since  $\varphi_n$  is surjective there is  $x^0 = (x_1^0, \cdots, x_n^0) \in X_n$  with  $\varphi_n(x^0) = \nu_0$ . Then, since  $\tilde{d}(\tilde{T}\nu_0, \nu_1) < \delta$ , by Lemma 3 we can find  $x^1 = (x_1^1, \cdots, x_n^1) \in X_n$  such that  $\varphi_n(x^1) = \nu_1$  and  $d_n(T_n x^0, x^1) < \delta$ . As  $\tilde{d}(\tilde{T}\nu_1, \nu_2) < \delta$ , by Lemma 3 we have again  $x^2 = (x_1^2, \cdots, x_n^2) \in X_n$  such that  $\varphi_n(x^2) = \nu_2$  and  $d_n(T_n x^1, x^2) < \delta$ . Repeated this process we get a  $\delta$ -pseudo orbit  $\{x^k\}_{k=0}^m$  of  $(X_n, T_n)$  with  $\varphi_n(x^k) = \nu_k$   $(0 \le k \le m)$ . Hence there is  $y = (y_1, \cdots, y_n) \in X_n$  which  $\varepsilon / 2$ -traces  $\{x^k\}_{k=0}^m$ . Put  $\mu = (1/n) \sum_{i=1}^n \pi(y_i) \in M_n(X)$ , then we have

 $\widetilde{d}(\widetilde{T}^k\mu, \nu_k) = \widetilde{d}(\varphi_n(T^k_n y), \varphi_n(x^k)) \le d_n(T^k_n y, x^k) \le \varepsilon/2$  (by Lemma 1);

i.e.  $\{\nu_k\}_{k=0}^m$  is  $\varepsilon/2$ -traced by  $\mu$ .

Now let  $\{\nu_k\}_{k=-\infty}^{\infty}$  be a  $\delta$ -pseudo orbit of  $(M_n(X), \tilde{T})$ . For every m>0 let us put  $\nu_j'=\nu_{j-m}$   $(0\leq j\leq 2m)$ . Then by the above argument there is  $\mu_m'\in M_n(X)$  which  $\varepsilon/2$ -traces  $\{\nu_j'\}_{j=0}^{2m}$ . Put  $\mu_m=\tilde{T}^m\mu_m'$  and take a limit point  $\mu$  of sequence  $\mu_m$ . Then, since for every  $k\in \mathbb{Z}$  there is m>|k| so that  $\tilde{d}(\tilde{T}^k\mu_m, \tilde{T}^k\mu)\leq \varepsilon/2$ , we get  $\tilde{d}(\tilde{T}^k\mu, \nu_k)\leq \tilde{d}(\tilde{T}^k\mu, \tilde{T}^k\mu_m)+\tilde{d}(\tilde{T}^{k+m}\mu_m', \nu_{k+m}')\leq \varepsilon/2+\varepsilon/2=\varepsilon$ . This shows that  $(M_n(X), \tilde{T})$  has the P.O.T.P..

(2): Let  $n \ge 1$  be given and  $\{\nu_k\}_{k=0}^{\infty}$  be a sequence of  $M_n(X)$  with  $\lim_{k\to\infty} \widetilde{d}(\widetilde{T}\nu_k, \nu_{k+1}) = 0$ . Without loss of generality we may assume  $\widetilde{d}(\widetilde{T}\nu_k, \nu_{k+1}) < 1/n \ (k \ge 0)$ . By same argument as in the proof of (1) we get a sequence  $\{x^k\}_{k=0}^{\infty}$  of  $X_n$  such that  $\varphi_n(x^k) = \nu_k \ (k \ge 0)$  and  $\lim_{k\to\infty} d_n(T_nx^k, x^{k+1}) = 0$ . Since  $(X_n, T_n)$  is tracing (by Lemma 4(2)), there is  $x \in X_n$  with  $\lim_{k\to\infty} d_n(T_n^kx, x^k) = 0$ . Then for  $\mu = \varphi_n(x)$  it follows that  $\widetilde{d}(\widetilde{T}^k\mu, \nu_k) = \widetilde{d}(\varphi_n(T_n^kx), \varphi_n(x^k)) \le d_n(T_n^kx, x^k) \to 0 \ (k\to\infty)$ . The proof is completed.

## §3. Proof of Theorem 2.

We shall prove Theorem 2 by a series of lemmas.

LEMMA 5. Let  $\mu$ ,  $\nu$  and  $\mu_i$  (i=1, 2) belong to M(X).

- (1)  $\tilde{d}(\alpha\mu+(1-\alpha)\nu, \beta\mu+(1-\beta)\nu) \leq \beta-\alpha \text{ for } 0 \leq \alpha \leq \beta \leq 1.$
- $(2) \quad \tilde{d}(\alpha\mu_1+(1-\alpha)\mu_2,\nu) \leq \max\{\tilde{d}(\mu_1,\nu),\,\tilde{d}(\mu_2,\nu)\} \text{ for } 0 \leq \alpha \leq 1.$

**PROOF.** (1): Let a Borel set  $A \subset X$  be given. Then we calculate

$$\begin{split} (\beta\mu + (1-\beta)\nu)(A^{\beta-\alpha}) + (\beta-\alpha) - (\alpha\mu + (1-\alpha)\nu)(A) \\ = &\alpha(\mu(A^{\beta-\alpha}) - \mu(A)) + (1-\alpha)(\nu(A^{\beta-\alpha}) - \nu(A)) \\ &+ (\beta-\alpha)(1 + \mu(A^{\beta-\alpha}) - \nu(A^{\beta-\alpha})) \geqq 0 \end{split}$$

where  $A^{\beta-\alpha} = \bigcup_{x \in A} \{ y \in X : d(x, y) \leq \beta - \alpha \}$ . From this we have conclusion. (2): Put  $c = \max\{\tilde{d}(\mu_1, \nu), \tilde{d}(\mu_2, \nu) \}$  and take  $\varepsilon > c$ . For every Borel set  $A \subset X$ , since  $\mu_i(A^s) + \varepsilon - \nu(A) \geq 0$  (i = 1, 2), we have

$$(\alpha\mu_1 + (1-\alpha)\mu_2)(A^{\epsilon}) + \varepsilon - \nu(A)$$

$$= \alpha(\mu_1(A^{\epsilon}) + \varepsilon - \nu(A)) + (1-\alpha)(\mu_2(A^{\epsilon}) + \varepsilon - \nu(A)) \ge 0.$$

Therefore  $\tilde{d}(\alpha\mu_1+(1-\alpha)\mu_2, \nu) \leq \inf\{\varepsilon: c < \varepsilon\} = c$ , proving the lemma.

LEMMA 6. Let T be a homeomorphism of X and  $\tilde{T}$  an induced homeomorphism of M(X). Then  $(M(X), \tilde{T})$  is  $\tilde{T}$ -connected.

PROOF. Let  $\mu, \nu \in M(X)$  and  $\alpha > 0$  be given. Take  $n \ge 1$  with  $1/n < \alpha$ .

For  $0 \le i \le n$  let us define  $\mu_i$  and  $\nu_i$  by  $\mu_i = (1 - (i/n)) \tilde{T}^i \mu + (i/n) \tilde{T}^{i-n} \nu$  and  $\nu_i = (1 - (i/n)) \tilde{T}^i \nu + (i/n) \tilde{T}^{i-n} \mu$ . Obviously  $\mu_0 = \mu = \nu_n$  and  $\nu_0 = \nu = \mu_n$ . By Lemma 5(1) we have

$$\begin{split} \widetilde{d}(\widetilde{T}\mu_{i}, \, \mu_{i+1}) = & \widetilde{d}\Big(\Big(1 - \frac{i}{n}\Big)\widetilde{T}^{i+1}\mu + \frac{i}{n}\widetilde{T}^{i+1-n}\nu, \, \Big(1 - \frac{i+1}{n}\Big)\widetilde{T}^{i+1}\mu + \frac{i+1}{n}\widetilde{T}^{i+1-n}\nu\Big) \\ \leq & \frac{i+1}{n} - \frac{i}{n} < \alpha \quad (0 \leq i \leq n-1) \end{split}$$

and similarly  $\tilde{d}(\tilde{T}\nu_i, \nu_{i+1}) < \alpha \ (0 \le i \le n-1)$ . This proves the lemma.

LEMMA 7. If (X, T) is tracing and T-connected, then (X, T) has the P.O.T.P..

PROOF. Suppose the lemma is false. Then there is  $\varepsilon > 0$  such that for every  $k \ge 1$  there is a (1/k)-pseudo orbit  $\{x_1^k, \dots, x_{N_k}^k\}$  of (X, T) such that there is no  $z \in X$  with  $d(T^j z, x_j^k) \le \varepsilon$   $(1 \le j \le N_k)$ . By T-connectedness, there is a (1/k)-pseudo orbit  $\{z_0^k, \dots, z_{L_k}^k\}$  with  $z_0^k = x_{N_k}^k$  and  $z_{L_k}^k = x_1^{k+1}$   $(k \ge 1)$ . Renewing the indices of a sequence

$$\{\cdots, x_1^k, \cdots, x_{N_k}^k, z_1^k, \cdots, z_{N_{k-1}}^k, x_1^{k+1}, \cdots\}$$

we have a sequence  $\{x_n\}_{n=0}^{\infty}$  which satisfies  $\lim_{n\to\infty} d(Tx_n, x_{n+1}) = 0$ . Since (X, T) is tracing, there is  $z \in X$  with  $\lim_{n\to\infty} d(T^nz, x_n) = 0$ . Therefore for some k>0,  $\{x_i^k\}_{i=1}^{N_k}$  is  $\varepsilon$ -traced. This is a contradiction.

If  $(M(X), \tilde{T})$  is tracing, by Lemmas 6 and 7,  $(M(X), \tilde{T})$  has the P.O.T.P.. This prove Theorem 2(1). Next we show Theorem 2(2).

LEMMA 8. Assume (X, T) is T-connected and has the P.O.T.P.. If the set of fixed points under T is nonempty, then (X, T) is topologically mixing.

PROOF. Let  $U, V \subset X$  be nonempty open sets. There are  $x \in U$ ,  $y \in V$  and  $\varepsilon > 0$  such that  $B(x; \varepsilon) \subset U$  and  $B(y; \varepsilon) \subset V$ , where  $B(z; \varepsilon) = \{z' \in X: d(z, z') \le \varepsilon\}$ . Since (X, T) has the P.O.T.P., there is  $\delta > 0$  so that every  $\delta$ -pseudo orbit of (X, T) is  $\varepsilon$ -traced. Take a fixed point  $p \in X$  under T. By T-connectedness, there are  $\delta$ -pseudo orbits  $\{x_i\}_{i=0}^a$  and  $\{y_i\}_{i=0}^b$  such that  $x_0 = x$ ,  $x_\alpha = p = y_0$  and  $y_b = y$ . Put  $N = a + b + 1 \ge 0$ . Given  $n \ge N$ , since a sequence

$$\{x_0, x_1, \dots, x_a, p, \dots, p (n-N \text{ times}), y_0, \dots, y_b\}$$

is a  $\delta$ -pseudo orbit, there is  $z \in X$  which  $\varepsilon$ -traces this sequence. As  $d(x, z) = d(x_0, z) \le \varepsilon$  and  $d(y, T^n z) = d(y_0, T^n z) \le \varepsilon$ , we have  $z \in B(x; \varepsilon) \cap T^{-n}B(y; \varepsilon) \subset U \cap T^{-n}V$ ; i.e. (X, T) is topologically mixing.

LEMMA 9. If (X, T) is topologically mixing and has the P.O.T.P., then (X, T) satisfies weak specification.

PROOF. Let  $\varepsilon>0$  be given. There is  $\delta>0$  such that every  $\delta$ -pseudo orbit is  $\varepsilon$ -traced. By topological mixing and the compactness of X, there is M>0 such that for every  $x, y \in X$ ,  $T^nB(x;\delta) \cap B(y;\delta) \neq \emptyset$  for every  $n \geq M$ , where  $B(z;\delta) = \{z' \in X: d(z,z') \leq \delta\}$ . For every  $k \geq 1$ , let k points  $x_1, \dots, x_k \in X$  and a set of integers  $a_1 \leq b_1 < \dots < a_k \leq b_k$  with  $a_{i+1} - b_i \geq M$   $(1 \leq i \leq k-1)$  be given. By choice of M, there are  $y_i \in B(T^{b_i}x_i;\delta)$   $(1 \leq i \leq k-1)$  with  $T^{a_{i+1}-b_i}y_i \in B(T^{a_{i+1}}x_{i+1};\delta)$ . Then a sequence  $\{T^{a_1}x_1, \dots, T^{b_{1}-1}x_1, y_1, \dots, T^{a_2-b_1}y_1, T^{a_2}x_2, \dots, T^{b_k}x_k\}$  is  $\delta$ -pseudo orbit, so there is  $z \in X$  with  $d(T^nz, T^nx_i) \leq \varepsilon$   $(a_i \leq n \leq b_i, 1 \leq i \leq k)$ . This proves the Lemma.

We remarked in §1 that the set of fixed points of  $(M(X), \tilde{T})$  is non-empty. Hence if  $(M(X), \tilde{T})$  has the P.O.T.P., by Lemmas 6, 8 and 9,  $(M(X), \tilde{T})$  satisfies weak specification. Therefore the proof of Theorem 2(2) is completed by the following lemma.

LEMMA 10. If  $(M(X), \tilde{T})$  satisfies weak specification, then  $(M(X), \tilde{T})$  satisfies specification.

PROOF. Let  $\varepsilon>0$  be given and  $M=M(\varepsilon)>0$  an integer determined from the definition of weak specification. For every  $k\geq 1$ , let k points  $\mu_1, \dots, \mu_k \in M(X)$  and a set of integers  $a_1 \leq b_1 < \dots < a_k \leq b_k$  with  $a_{i+1} - b_i \geq M$   $(1\leq i\leq k-1)$  and  $p\geq b_k-a_1+M$  be given. Using weak specification, we can find a sequence  $\nu_j \in M(X)$   $(j=1,2,\dots)$  such that  $\tilde{d}(\tilde{T}^{n+mp}\nu_j,\tilde{T}^n\mu_i)\leq \varepsilon$   $(a_i\leq n\leq b_i,1\leq i\leq k,0\leq m\leq j)$ . Take a limit point  $\nu$  of the sequence  $\nu_j$ . Then it follows that  $\tilde{d}(\tilde{T}^{n+mp}\nu,\tilde{T}^n\mu_j)\leq \varepsilon$   $(a_i\leq n\leq b_i,1\leq i\leq k,m\geq 0)$ . Put  $\mu=\lim_{s\to\infty}(1/s)\sum_{m=0}^{s-1}\tilde{T}^{mp}\nu$  for some  $\{s\}$ . By Lemma 5(2), we have for  $a_i\leq n\leq b_i$   $(1\leq i\leq k)$ ,

$$\begin{split} \widetilde{d}(\widetilde{T}^n\mu,\ \widetilde{T}^n\mu_i) &= \lim_{s \to \infty} \widetilde{d}\Big( (1/s) \sum_{m=0}^{s-1} \ \widetilde{T}^{n+mp}\nu,\ \widetilde{T}^n\mu_i \Big) \\ &\leq \lim_{s \to \infty} \max\{\widetilde{d}(\widetilde{T}^{n+mp}\nu,\ \widetilde{T}^n\mu_i);\ 0 \leq m \leq s-1\} \leq \varepsilon \ . \end{split}$$

Also, by Lemma 5(1), we have  $\widetilde{d}(\widetilde{T}^p\mu,\mu) \leq \lim_{s\to\infty} \{\widetilde{d}(s^{-1}\sum_{m=1}^s \widetilde{T}^{mp}\nu,(s-1)^{-1}\times\sum_{m=1}^{s-1}\widetilde{T}^{mp}\nu) + \widetilde{d}((s-1)^{-1}\sum_{m=1}^{s-1}\widetilde{T}^{mp}\nu,s^{-1}\sum_{m=0}^{s-1}\widetilde{T}^{mp}\nu)\} \leq \lim_{s\to\infty} 2/s = 0$ , hence  $\widetilde{T}^p\mu = \mu$ . This completes the proof.

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