

# A Conversation with Alan Gelfand

Bradley P. Carlin and Amy H. Herring

*Abstract.* Alan E. Gelfand was born April 17, 1945, in the Bronx, New York. He attended public grade schools and did his undergraduate work at what was then called City College of New York (CCNY, now CUNY), excelling at mathematics. He then surprised and saddened his mother by going all the way across the country to Stanford to graduate school, where he completed his dissertation in 1969 under the direction of Professor Herbert Solomon, making him an academic grandson of Herman Rubin and Harold Hotelling. Alan then accepted a faculty position at the University of Connecticut (UConn) where he was promoted to tenured associate professor in 1975 and to full professor in 1980. A few years later he became interested in decision theory, then empirical Bayes, which eventually led to the publication of Gelfand and Smith [*J. Amer. Statist. Assoc.* **85** (1990) 398–409], the paper that introduced the Gibbs sampler to most statisticians and revolutionized Bayesian computing. In the mid-1990s, Alan’s interests turned strongly to spatial statistics, leading to fundamental contributions in spatially-varying coefficient models, coregionalization, and spatial boundary analysis (wombling). He spent 33 years on the faculty at UConn, retiring in 2002 to become the James B. Duke Professor of Statistics and Decision Sciences at Duke University, serving as chair from 2007–2012. At Duke, he has continued his work in spatial methodology while increasing his impact in the environmental sciences. To date, he has published over 260 papers and 6 books; he has also supervised 36 Ph.D. dissertations and 10 postdocs. This interview was done just prior to a conference of his family, academic descendants, and colleagues to celebrate his 70th birthday and his contributions to statistics which took place on April 19–22, 2015 at Duke University.

*Key words and phrases:* Bayes, CCNY, Duke, Gibbs sampling, music, spatial statistics, Stanford, UConn.

## 1. EARLY YEARS, CITY COLLEGE, AND STANFORD

**Amy:** Thank you very much for your time and letting us talk with you today.

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**Alan:** I am delighted!

**Brad:** You were born in April 1945 just as World War II was ending, went to the same Bronx, NY junior high school as George Casella, and bowled and played bridge at CCNY in the 1960s. Tell us about your parents, your childhood, your life as a CCNY undergrad, and your path to Stanford for graduate school.

**Alan:** I was “too young” all the way through school. At that time administrators encouraged children to skip grades, and I graduated high school and was a freshman in college at 16. Because I was two years younger than all the females when I went off to college, I never had much of a social life until I went out west. I was really looking for a new experience. In my mind, California was the land of milk and honey, and it was as



FIG. 1. Alan, age 2, Fall 1947.

far away from the Bronx as I could get! I remember driving away, and my mother was in tears because she thought I was going to disappear into the Pacific and never been seen again!

**Brad:** What did your father do?

**Alan:** He was a CPA (Certified Public Accountant), and his fondest desire was to open Gelfand and Gelfand, CPAs. It was never going to happen. I played with numbers too, but not the way he did.

**Brad:** I understand that where you grew up in the Bronx was a nice Jewish family neighborhood.

**Alan:** Yes, I grew up in a completely Jewish neighborhood: my elementary school was 95% Jewish, the Bronx High School of Science was 90% Jewish, and City College was 90% Jewish. I thought the whole world was Jewish! There were many smart kids in NYC, and they stayed in NYC, went to the specialized high school, and then attended City College. It was just the way it was back then, and I never actually considered applying anywhere else.

**Amy:** As a math undergraduate major, what made you choose graduate school in statistics instead of math?

**Alan:** This book [the Hogg and Craig text he used at Stanford] is what opened the door for me; I just fell in love with mathematical statistics. I thought it was so elegant, so cool, all the distribution theory, all the basic probability theory, the formal inference ideas, everything about it. I took mathematical statistics in the beginning of my senior year and immediately decided it was for me.

**Brad:** Was your mother heartbroken about your move west?



FIG. 2. Alan (right) with father Abe, mother Frances, and sister Elissa, just after Alan's high school graduation at age 16, Spring 1961.

**Alan:** She thought it was the end of the world, especially since I had full scholarships at Yale and Columbia. It was my decision to go west, even though my mother tried to bribe me with a car to stay on the east coast! In the end, I moved west with two other City College guys; we roomed together, so I wasn't totally by myself.

**Brad:** I know you are passionate about cars. What did you drive to California?

**Alan:** I drove an American Motors Rambler. This car was so slow, it would do zero to 60 miles per hour in two *minutes*. It was *painful*. We limped into Palo Alto, and I remember crossing the Bay Bridge for the very first time in my life, and suddenly thinking, "Wow, San Francisco." I really didn't know how strong a school Stanford was, or anything about any of the faculty.

However, arriving in Palo Alto in 1965 was just one of those serendipitous events. It was an incredible time in the sense that a lot of things were coming together then: the Vietnam War, the protests, the revolution in music, psychedelia, and drugs. We thought we were going to change the world. It didn't happen, but back then there was a spirit that we may never capture again. There was some innocence in the country that probably is lost forever. I particularly embraced the music. You cannot imagine how many acts I saw. I saw the very first public performances by both Steve Miller and Santana, I saw Janis Joplin several times, and I saw Jefferson Airplane probably a dozen times. It was wonderful.

**Brad:** You're making me crazy; I play that stuff with my band!

**Alan:** The face of music just completely changed at that point. Before then it was Top 40 rock and 3-minute songs, and then all of a sudden everything opened up; some people claim it was the golden age for rock and roll. All I know is it was pretty exciting.

**Amy:** When did you first do statistics on a computer?

**Alan:** Me? I'm still waiting for it to happen! This is an embarrassing story. My Ph.D. thesis was on seriation methods: chronological sequencing, particularly driven by archaeological data. I proved several theorems about sequencing data from matrix representations. Then I had to do a real example, and... I hired somebody!

**Brad:** Tell us about the statistics department at Stanford in the 1960s.

**Alan:** The faculty was quite prestigious. I hold the record for the most courses anybody has ever taken from Charles Stein: 11 quarters. I also took the very first course that Brad Efron taught. He finished his Ph.D. in spring of 1965 and taught that fall. I had the first year of mathematical statistics from him. He was inspirational, and I still have the notes from that year with him.

I recall Kai Lai Chung, who would pound chalk to a frazzle; he would go through a box of chalk in a lecture, in a room filled with chalk dust and cigarette smoke. His favorite expression was, "And we continue to beat the dead horse."

Of course, Herb Solomon was my mentor at Stanford, and he was wonderful. He was a pioneer in terms of bringing external funding into the department. He had connections with all the DOD (US Department of Defense) agencies and with NSF (US National Science Foundation). He raised so much money that he was providing summer support for a good portion of the Stanford faculty. He was not adequately appreciated because they did not view him as a theoretical giant. However, he was bringing in money at a time when most statisticians were too pure to get "dirty" trying to chase money.

After I graduated I went back to Stanford for two decades of summers, participating in projects with Herb. He was like a second father in many ways; he and [his wife] Lottie were really very good to me. I was young, and he encouraged me to go to Hillel (a worldwide Jewish campus organization). I was never religious, but I went to Hillel because of the possibility of meeting females.

**Brad:** Did it work?

**Alan:** A little bit.

**Amy:** How did you become interested in statistical applications in archaeology and law?

**Alan:** An archaeologist at Stanford raised some quantitative questions with Herb, and the data were interesting and led to my thesis. Herb had a real passion for law and justice problems, and in the end this area was much, much more interesting to me. At first we focused on jury decision-making, but then we explored various types of discrimination, jury selection problems, and, eventually, criminal justice. Later I also did a fair bit of expert testimony, which is a very different game from teaching and research.

**Brad:** You sound like an applied statistician, yet you were not doing any computing!

**Alan:** Life wasn't predicated on computing. It was a lot of work just to invert a  $3 \times 3$  matrix, so you just didn't do those things. I did a lot of analysis with electric calculators. I used to have a Monroe and a Frieden on my desk; these were a step better than those machines where you turned a crank, a bunch of wheels would roll, and you waited for an answer to come up. I didn't really do very much programming or working with big computing machines.

## 2. UCONN, BAYES, THE GIBBS SAMPLER, AND BIG DATA

**Brad:** What led you to the University of Connecticut (UConn)?

**Alan:** I interviewed at five places: the Stanford Research Institute, the University of California-Davis, the University of Maryland, Bell Labs, and UConn. I decided I preferred academia. Although UConn was somewhat sleepy back then, it was close to my family in New York, and something about New England was appealing, so it emerged as the winner.

**Amy:** Based on your CV, you went up for tenure at UConn with just 6 papers: two first-authored papers in the archaeological literature, a sole-authored paper in *Communications*, two *JASA* papers with your advisor, and a paper in *The American Statistician*. How confident you were feeling about this promotion?

**Alan:** Wow, I really appreciate that question! I think there might have been a few more papers before tenure. In any event, candidly, I didn't even know what a good vita was; all I knew was that I was being productive, and it was good enough, but by today's standards it wouldn't even come close to "cutting the mustard." It was a different time, the bar was different, and the expectations just weren't what they are today.

I really had somewhat of a wasted youth. I was trained to be a mathematical statistician, but I was

never meant to be a mathematical statistician. I tried to prove theorems because that's what you do if you're a mathematical statistician, but I really spent a lot of time trying to find my niche. I wandered into decision theory for a while, which led to a transition to empirical Bayes (EB). What eventually emerged was that I was born to be a stochastic modeler; it's just that stochastic modeling and, in particular, hierarchical modeling, didn't really blossom until around 1990. I was fortunate to find the area in which I could contribute, but for the first 20 years of my career, I was searching. However, for the last 25 years it has been a wonderful ride, and I feel very fortunate.

**Brad:** You were not "raised" as a Bayesian, but you became one of the world's best-known and strongest advocates for the Bayesian approach. So I'm intrigued by your "conversion." It sounds like it was not a dramatic "Damascus experience" like your fellow Stanford grad Jay Kadane, who apparently had such an "Oh, what a fool I've been" moment after a few conversations with Jimmie Savage. My sense is that your conversion was much more like an empirical Bayes-style conversion, in which you put your toe in the water by writing down a mixing distribution, and pretty soon you find yourself wishing you could compute posteriors and so forth. Can you tell us about your transition to Bayesian inference?

**Alan:** I was always a likelihoodist, and I explored empirical Bayes because of its connections with decision theory. At the time I imagined that it would be a nice compromise. But, of course, it turned out that EB made nobody happy: the frequentists didn't like it, and the Bayesians didn't either. In EB we spent a lot of time trying to figure out how to do what Bayesians eventually could do without needing the corrections that empirical Bayesians had to develop in order to capture uncertainty.

My full conversion happened in Nottingham. I took Adrian Smith's short course at Bowling Green State University in Ohio, which was organized by Jim Albert. Adrian gave a wonderful week of lectures, and at the end of that week I asked, "Any chance I could come and spend a sabbatical in Nottingham?" And he replied, "Oh, sure, come!" He had a numerical integration package called Bayes 4 (Smith et al., 1985), which could do 6- or 7-dimensional numerical integrations. That was as cutting edge as you could possibly imagine back then: sophisticated quadrature ideas, pseudo-random integration, and a lot of tricks to address the integration problem in Bayesian inference. I went there

to see if I could use his software to solve some empirical Bayes problems.

It's a wonderful story. Adrian picked my family up, all four of us, at Gatwick Airport. Adrian rented a rickety old van because he never owned a car (still doesn't). The very first day in Nottingham, in the space of 24 hours we moved, bought a car, and went to a barbecue. Two days later I went to Nottingham for the first time, and Adrian suggested I read Tanner and Wong (1987). We decided to explore variations of their method. A few weeks later, David Clayton, who was at Leicester at the time, came to Nottingham for a day, and, in the context of the Tanner and Wong paper, he remarked that we should read the paper by Geman and Geman (1984) in PAMI (Pattern Analysis and Machine Intelligence, an IEEE journal). I remember getting a copy of that paper and thinking it was clearly much better suited for Bayesian inference than it was for image reconstruction, which was their context. The doors had opened, and we saw how to go forward.

You must recall that we were very naive back then. In those days, only if you were desperate, as a last resort, would you use Monte Carlo methods. Now such methods are often the *first* tool, and people don't try to be analytic very often. Whether that's good or bad, the landscape has certainly changed.

**Brad:** A great story. Though I thought Adrian tossed the Geman and Geman paper in your lap, but in fact he pointed you to Tanner and Wong.

**Alan:** It was definitely David Clayton who connected us to Geman and Geman, and David was underappreciated in this regard. He had seen that paper, and the IEEE journals were a literature that few statisticians read back then. Also remarkable at the time was Michael Escobar's Ph.D. thesis, which included what was a Gibbs sampler for implementing Dirichlet process mixing. He had never heard of the Gibbs sampler; he just invented this idea for his particular application. He was also underappreciated.

**Amy:** One thing that's remarkable about your trajectory is how your productivity and your creativity have really increased with age.

**Alan:** If you look at my vita, I have about 260 papers now, and maybe 200 of them are post-1990. Two things happened. One is I found something I was reasonably good at, that created a challenge, and it led me to build interdisciplinary connections. It just opened up opportunities that were not there before. Second, as you become more senior, you are able to build a hierarchy in your research team, with postdocs, graduate students,

and more junior collaborators. You become more productive because you have more people helping you to get things done. It's a different situation from being a junior researcher where you're much more focused; these days I'm guiding 10 to 15 different projects.

Finding the Gibbs sampler with Adrian and having that successful paper was really good fortune. Many smart people work really hard and don't get so lucky. I was fortunate to connect with a seminal paper, and the only thing I can congratulate myself for is the fact that I've worked pretty hard for the subsequent 25 years in taking advantage of this window of opportunity. I've been able to keep it growing with students and post-docs and building bridges. It was such a fantastic opportunity, it was such a good fit with whatever skill set I have, so that really is the best explanation for the delta in productivity. Again, my eyes really opened up a lot from 1990 forward, and, Brad, you were on the cusp of it. I was on sabbatical while you were finishing your thesis, and I came back with the Gibbs sampler, and you lost interest in the thesis! You wanted to get on board with the Gibbs sampler as much as you could.

**Brad:** Do you agree with Dennis Lindley's view that Bayes is going to take over the statistical world, or do you think the world is going to continue to be kind of a Bayes-frequentist hybrid, with the choice made out of convenience on a problem-by-problem basis?

**Alan:** I think we all know Dennis forecasted a 21st Bayesian century because he thought that people would just eventually realize that the Bayesian paradigm was most natural for inference in science under uncertainty. But in fact it emerged because it was able to handle problems that were previously inaccessible. Moreover, in my mind, it's not in equilibrium yet; we're still watching an increase in the use of Bayesian methods. It may be very much according to the type of problem that you're focusing on; sometimes people say, "Yes, we need to use hierarchical modeling and MCMC for this problem, but for that one, no, maybe we don't." I think usage hasn't actually stabilized yet, and now it's becoming more complicated with all the big data and data science that's entering the picture. How will that influence the future of Bayesian work? Altogether, it really is becoming a 21st Bayesian century, but primarily for reasons different from what Lindley might have liked or envisioned.

**Brad:** Statisticians are still largely frequentist in what they're doing. If you submit results of a Phase III clinical trial to FDA (the US Food and Drug Administration), you still need a significant  $p$ -value; many things haven't changed. You're right that there's a



FIG. 3. L–R: Nick Polson, Brad Carlin, John Wakefield, Alan, and Dipak Dey on the frigid beach at Peñíscola, Spain during the Valencia 4 meeting, April 1991.

lot of Bayes out there; for instance, when you go to [amazon.com](http://amazon.com) to buy an Arnold Schwarzenegger movie, you also see a link to a Jean-Claude Van Damme movie. That's the result of a Bayesian inference engine; it has inferred that you like aging Euro-American action heroes.

**Alan:** Interestingly, scientists in other fields have no problem thinking in terms of a Bayesian paradigm. They're perfectly comfortable inferring what you don't know given what you've seen, instead of trying to infer what you might see given what you don't know, which seems backwards. A lot of the challenge is actually more within the statistical community itself, and, to date, only certain types of problems seem to *demand* Bayesian inference.

**Brad:** MCMC has certainly made the world "safer" for being Bayesian. But are you surprised that nothing has really replaced it? There was a time when there was a different Bayesian computational paradigm every 10 years or so, but we've been pretty stable now for 25 years. Is a new generation of methods going to replace the current generation of MCMC tools?

**Alan:** Many say that the size of data sets is going to make MCMC unusable. I do think something is going to happen. The candidates haven't entirely emerged: INLA (based on integrated nested Laplace approximations) is not completely satisfying, ABC (approximate Bayesian computation) certainly has limitations, and variational Bayes doesn't allow enough inference and is really residing primarily in the machine learning community. I don't see sequential algorithms, particle learning, and particle filters emerging to overtake

MCMC. Still, as data sets keep getting bigger and bigger, the days when MCMC can still be utilized are going to become fewer and fewer, so...

**Brad:** But as computers get faster...

**Alan:** But the data sets are getting bigger. There's no win in that situation.

**Brad:** Dueling asymptotics!

**Alan:** Another concern is what *big data* is about. I think it's actually a different philosophy in many situations from what statistics is about. Most of the work in my world is hypothesis-driven: I'm thinking about a problem, about a process, learning about the behavior of the process, and I'm trying to build models to understand the process, and to hypothesize about its behavior. But a lot of "big data analysis" seems to be searching big data sets for structure; you're not hypothesizing much of anything. If statisticians continue to be interested in hypothesis development and examination, I'm not sure big data methods are always going to be the answer.

**Brad:** I agree; hypothesis investigation requires you to have to have some idea about uncertainty. You have to have some sort of variance estimate to test a hypothesis or form a confidence interval, whereas the big data guys seem primarily interested in a point estimate or maybe a ranking.

**Alan:** Statistics must maintain its intellectual genesis, which is inference under uncertainty, and continue to argue that such inference is valuable. We can't live in a purely deductive world, we need a formal inferential world with randomness. We have to continue to train people to think that way about problems.

### 3. SPATIAL, APPLICATIONS, AND THE MOVE TO DUKE

**Amy:** In the late 1990s your interests turned strongly to spatial statistics. How did you become interested in spatial statistics, and how has it retained your attention for so long?

**Alan:** A fellow named Mark Ecker came to UConn for his Ph.D. after earning a master's degree from the University of Rhode Island. He came into my office one day with that classic spatial data set on scallop catches in the Atlantic Ocean, and asked, "What can I do with this stuff, and what the heck is a variogram?" I said, "I have no clue." I had never seen any spatial data, but I thought it was interesting. Mark's question literally opened the door in the spring of 1994, and 20 years later I'm still interested in spatial statistics. It was just another of those unexpected but fortunate things that happened.

At that time, GIS software already permitted visual overlay of spatial data layers for making lovely pictures and telling nice descriptive stories, but I wanted to be able to add an inferential engine to it. So essentially, Brad, Sudipto Banerjee, and I set about creating a fully Bayesian inference engine for spatial analysis; it's in the book and its revision (Banerjee, Carlin and Gelfand, 2014). Structured dependence really excited me; I found it elegant that you could use it to learn about the behavior of an uncountable number of random variables seeing only a finite number of them. I enjoyed the challenges of looking at dependence in two dimensions versus dependence in one dimension (where there's order), and I realized that I was much more comfortable with interpolation than I was with forecasting. I also realized that there were failures with the customary asymptotics used with time series, where you let  $t$  go to infinity; that is not what you want to do spatially. I got particularly excited about the enormous range of application that was available as people starting collecting more and more spatially referenced data. It seemed natural and important to take advantage of spatial referencing in building models. I have been excited to see spatial analysis moving from the periphery of statistics into the mainstream.

**Brad:** Sometimes in academia, in order to get a significant raise you have to threaten to leave for another position. Did you ever think about leaving the University of Connecticut? You were there for essentially your whole career; you have had a second career at Duke, but you had a full career at UConn.

**Alan:** Definitely, with 33 years at UConn, you are absolutely right. UConn was always very good to me, and I felt loyalty and affection for UConn. They treated me well, and I thought the quality of life in New England was good, so, honestly, I never really looked.

**Brad:** There must have been attempts to lure you away?

**Alan:** Opportunities started becoming serious after 1990; all of a sudden I had invitations to become a full professor at a number of different places—three or four universities in the UK, and maybe half a dozen in the US. However, my kids were still finishing high school, and I wasn't ready to move. Duke had contacted me in the mid 1990s and again in the late 1990s; finally, by 2001 I was ready, and in 2002 I made the move.

**Brad:** Gelfand and Smith (1990) is clearly your most famous paper, but what other papers on your CV do you particularly like or feel may have been underappreciated?

**Alan:** That's a really good question. I've been pretty lucky, and a lot of papers have been well-cited [*note: Alan's h-index at the time of writing is 60*]. Before the spatial work, I like an underappreciated prior predictive modeling checks paper with Dipak Dey, Pantelis Vlachos and Tim Swartz (Dey et al., 1998). Although most of the community has abdicated this to *posterior* predictive checks (e.g., Gelman, Meng and Stern, 1996), I think prior predictive checks have advantages. Posterior predictive checks are not based on the model that is presumed to generate the data, and they use the data twice, making it really hard to criticize models. Prior predictive checks avoid that trap, and I don't understand why there isn't more interest. I'm revisiting this currently in the context of point patterns to show how we can better assess pattern model adequacy.

I also like our hierarchical centering work for improving MCMC convergence (Gelfand, Sahu and Carlin, 1995, 1996). We found a nice analytical solution, at least in Gaussian cases, it was a demonstrably sensible thing to do, and others continued along those lines, including Papaspiliopoulos, Roberts and Sköld (2007).

In a different vein, I think coregionalization is really a lovely idea. I couldn't understand why nobody had adopted it as a general strategy for building multivariate spatial models. I thought, what could be easier or more natural than taking linear transformations of independent processes to create dependent processes? The distribution theory works out very well, and the implementations are also easy (Gelfand et al., 2004). This idea is now at the foundation of a lot of *spBayes* code.

The spatially-varying coefficients paper (Gelfand et al., 2003) discusses the remarkable idea that, within the Bayesian framework, you can learn about spatially-varying intercepts and spatially-varying slopes as processes without ever actually observing these processes. Other papers I really like include the spatial gradients work I did with Sudipto (e.g., Banerjee, Gelfand and Sirmans, 2003) and the wombling papers that subsequently emerged.

**Amy:** What are your favorite papers focused on applications?

**Alan:** I'm particularly proud of the species distribution modeling work that I did with John Silander and his group at UConn. We presented it at Carnegie Mellon University at a Bayesian Case Studies meeting. A version of it is in the very first issue of *Bayesian Analysis* (Gelfand et al., 2006), and a more technical version (Gelfand et al., 2005) was the most cited *JRSS-C* paper of the first decade of the 2000s. It seems

a lot of people from ecology and biological sciences found it interesting. At that time, I was going to South Africa regularly to collaborate. Researchers were using simple logistic regressions for presence/absence, which was the state of the art in the field then. We used a hierarchical model to induce process features that involve transformation of landscape, suitability of environments, and availability of environments. This allowed us to explain not only what you did see but what you *might* see, with implications for conservation and management. It resonated well, and I am still working on these problems.

Recently I have gotten into demography, which led to some nice material with integral projection models (IPMs), particularly arguing to employ them on the right population scale and again in a fully hierarchical way.

**Brad:** Is this how you began collaborating with Jim Clark?

**Alan:** Yes, and that's another interesting story. When I came to interview at Duke, I went to talk to Jim about collaborations in Duke's Nicholas School for the Environment. I had a simply wonderful two hours with him. He is a real statistician with a completely appropriate secondary appointment in our department here at Duke. He imagines and fits more sophisticated hierarchical models than most statisticians ever will.

**Brad:** So you met him the day you interviewed there!

**Alan:** Yes, I think we've now reached 40 papers and a book together, so it's been a wonderful, wonderful time, and our partnership continues to flourish.

**Amy:** You have raised an absolutely incredible generation of research statisticians. Do you have a strategy for identifying the brightest or most promising students? What is your mentoring philosophy?

**Alan:** I have never actually recruited students; I have always just waited for students to come to me to express interest in working with me. I've gotten a lot of good students, and my list of "children" is really pretty strong I think. My primary motivation has been training students for an academic career. I think 2/3 to 3/4 of my students are in academia in some fashion. Not everybody trains in that fashion, but probably it just reflects the fact that an academic lifestyle is the best lifestyle I can imagine.

As far as developing students, an important aspect is appreciation of the many ways a modern statistician can contribute. You can do theory, methodology, modeling, computation, data analysis, and visualization. You can contribute on many dimensions, and in

fact we try to train across them all. The critical thing I try to emphasize to students is to find what you can really do well and what's going to reward you best. One size doesn't fit all, and we can't have the same expectations for every student.

I also think it's important to encourage fire, passion, and enthusiasm. We don't do this simply as a 9 to 5 lifestyle, we do this because we get a lot of satisfaction out of our work. If you're going to commit a 40-year career to something like this, you've got to really be in love with it; you don't just do this to pay the bills.

I try to foster a fair bit of independence in students because I think it's critical that they learn to generate problems and build their own research agenda. I do this especially with postdocs, because they have a two year window and, when they enter the job market, they need to have a firm sense of what they are going to do after they get the job.

Also, my style has always been about availability. A lot of faculty are very structured in the way they interact with their students, but I've been very flexible. I sometimes meet with students at 8 pm just because that's a good time for me, there's nothing else obligating me, and students often have "working in the evening" lifestyles. If a student is struggling to do something, I like to talk about it now instead of having the student wait for a weekly time slot.

**Brad:** I remember when I was at UConn, you once said, "Brad, you have to decide what league you want to play in." The implication was, your work doesn't have to look exactly like mine, or stress mathematics or computing or any particular tool. You just have to be in a work environment where you're going to be productive and where you're going to be a solid "player" in that "league."

**Alan:** That's true, and there are more leagues available now, and more ways to contribute. I think that is what's wonderful about our field.

#### 4. TRAVEL STORIES, HOOPS, MUSIC, AND FUTURE PLANS

**Amy:** You're also famous for your academic travels. Are there one or two particularly memorable travel stories you'd like to share?

**Alan:** Obviously the Valencia meetings have always been a highlight, and I was fortunate to make 7 of the 9 Valencia meetings, and there are too many stories from those to tell. But I would like to reminisce about one of the earliest professional meetings I went to in Europe. It was when I was just two years out of my thesis, 1971,

and it was an archaeology meeting organized by David Kendall in Mamaia, Romania on the Black Sea. It was a meeting of statisticians, applied mathematicians, and archaeologists. I'd been to Europe before, but I'd never been to a communist country. There were several remarkable things that happened during this meeting that will cause it to live in my mind forever.

After arriving in Romania, I lost my return plane ticket. At that time there was no internet. I sent a telegram to my travel agent back in Storrs, CT to see if they could help me get a new ticket. Two days later I received the following telegram: "No information about passenger Bleafarx." B-L-E-F-A-R-X is how "Gelfand" was converted! So I received no help at all from the travel agency. At the meeting, they took up a collection for me to pay for my ticket. I arrived at the airport in Bucharest to return to the States, and at the ticket counter the agent said, "We have your ticket." It apparently had been found on the floor of the terminal in Bucharest airport and placed at the check-in counter, waiting for me. Ironically, C. R. Rao had also lost his ticket, and he and I were both part of the collection taken up at the meeting. It was the first time I had ever met Professor Rao.

At this same meeting there was a famous Stanford ecologist-statistician named Luigi Luca Cavalli-Sforza. Luigi Luca came to the meeting with his wife, who was of noble Italian birth. The outing for the conference was to take a two-hour bus ride up to the Danube delta, where we would get on a boat to travel down the river. The bus was leaving at 8 o'clock in the morning. I arrived at roughly 7:55 and said to the bus driver, "I didn't have time to eat anything; can I run in and grab something?" He said, "No problem, no problem." But when I came back out, the bus was gone. It turned out that also missing the bus were Luigi Luca and his wife, who were complaining bitterly. Thirty minutes later a Mercedes 600 stretch limousine shows up with Romanian flags on all 4 fenders. Luigi Luca and his wife climb in... and I climb in with them; we are going to catch up! We went barreling along on these small roads at 120 km/hour, and after a bit we went right past the bus we were supposed to be on. We wound up at this cafe near our destination, about 45 minutes ahead of the bus. I will never forget that outrageous ride in a Mercedes limousine on the back roads of rural Romania.

**Brad:** Perhaps no statistician in the U.S. is better equipped to answer this one: Which college basketball program is better: UConn or Duke?



FIG. 4. Alan (yellow hat) and some of his “descendants” and other guests at the “G70” (Gelfand 70th Birthday Conference) poster session, Duke University, Durham, NC, April 20, 2015.

**Alan:** When I came to Duke to interview, one of the first things the Dean said to me was, “I’ll give you a nice parking space, but don’t ask for men’s basketball tickets.” I said, “Fine with me!” I have gone to many Duke women’s basketball games because I really like the women’s game. But after 33 years at UConn, I am afraid I’m always going to be a UConn basketball fan.

**Brad:** Your music collection is quite famous in some circles; you once had something like 8000 vinyl record albums. I remember finding an original Verve pressing of “Freak Out” by Frank Zappa and the Mothers, and many other rare or obscure albums in your collection. Can you tell us more about that and your other passions outside of statistics?

**Alan:** I started with music back in 1955–1956, with those old, small 42 rpm records with the fat holes; they had no fidelity whatsoever. I listened to the beginnings of rock and roll—Bill Haley and the Comets, the early Elvis Presley stuff, etc. In the early 1970s, I underwent a life-changing event when I started collecting jazz. I collected jazz for probably 25 years. I had gotten to 6500 vinyl jazz albums comprising a fairly valuable collection, roughly 8000 pieces of vinyl altogether. Then, when I was coming to North Carolina I had to make a decision: I was collecting CD’s by that point, what was I going to do with all the vinyl? If I boxed it up, I’d have to find a place to put it when I got to Duke, and I didn’t have a place. I was afraid if I put it in storage up north, it might sit there forever; my kids were never going to be interested in acquiring 6500 pieces of vinyl jazz. So, I sold it to a collector in Greenwich Village, New York City. He came up to Connecticut, packed the collection into 80 boxes, put it in the



FIG. 5. Adrian Smith and Alan, pre-G70 dinner, Durham, NC, April 18, 2015.

back of a big panel truck, and drove off down the driveway. Before the sale I had pulled roughly 500 pieces of vinyl that I thought might never be available on CD, and of course that was completely incorrect: now virtually everything is available online. I continued to collect CDs, so now I’ve got close to 7000 of those dinosaurs. I probably should have kept the vinyl because vinyl is coming back, increasing in value, whereas CDs may never come back.

Obviously a second passion for me is hoops; I have always loved basketball. I have never had any interest in American football, and baseball is a bit on the boring side even though it’s quite statistical. I like soccer a lot, but basketball is the best game for me.

A third passion for me is cars. I’ve always flirted with cars, and I read a couple of car magazines every month. A fast, high performance car is probably politically incorrect, but I still like a quick, good-handling vehicle.

**Brad:** Now that you are entering your eighth decade on the planet, what does the future hold for you? Do you have any major books or other projects under way? Will you finally open that used vinyl and CD store?

**Alan:** I'm looking forward to selling my CD collection, but nobody opens a storefront to do this anymore; I want to see how well I can do online using a website called Discogs, or perhaps Amazon.

I do have three important future commitments. One is that I will be an editor for another handbook with Taylor and Francis/Chapman and Hall, a *Handbook of Environmental Statistics*. A second thing I'm going to pursue is a project I've developed called ENMIEP, which is the European Network for Model-Driven Investigation of Environmental Processes. I have a team throughout all of Europe, including Italy, Portugal, the UK, Germany, and Spain, and we are trying to find common interests in environmental research problems. That will be important because I'm going to be spending a lot of time in Spain (with my wife, Mariasun Beamonte) and elsewhere in Europe. I need to have things to do; I am still curious. However, after turning 70, and after 46 years in the game, maybe it's time to slow down a bit. My third commitment is to spend as much time as I can with the love of my life. She is simply wonderful, we want to be together, and that has become a priority that is much more important than publishing a few more papers. We already have travel plans for Vienna, Prague, Budapest, China, and Africa. That's really the future.

**Amy and Brad:** Alan, thank you so much for sharing all of this with us today! Happy 70th birthday!

**Alan:** I have thoroughly enjoyed it. Thank you!

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