

## ON WEIGHTED ORLICZ SEQUENCE SPACES AND THEIR SUBSPACES

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**ABSTRACT.** Weighted Orlicz sequence spaces  $\ell^\phi(a)$  containing an isomorphic copy of  $\ell^\infty$  and  $c_0$  are characterized by means of suitable conditions on the Orlicz function  $\phi$  and the weight sequence  $(a_n)$  for  $a_n \rightarrow 0$ . This extends a result of B. Turett [14] for Orlicz spaces  $L^\phi(\mu)$  over atomless measures of the case of purely atomic probability measures. As an application, the spaces  $\ell^\phi(a)$  which are B-convex are determined. Also, a question of W. Luxemburg [11] on inclusions of spaces  $\ell^\phi(a)$  for sequences  $(a_n)$  slowly decreasing to 0 is answered.

**1. Introduction.** Recent years have seen a quite profound analysis of the relationship between Orlicz spaces and the spaces  $\ell^p$ ,  $1 \leq p \leq \infty$ . In this direction a well-known result is that every Orlicz space always contains an isomorphic copy of some  $\ell^p$ . A deeper analysis by J. Lindenstrauss and L. Tzafriri [9, 10] determined the set of all numbers  $p$  such that  $\ell^p$  can be isomorphically embedded into an Orlicz sequence space  $\ell^\phi$ . For Orlicz spaces of functions  $L^\phi(\Omega)$ , B. Turett [14, 15] has characterized, in terms of the Orlicz function  $\phi$ , the spaces  $L^\phi(\Omega)$  for atomless finite measures containing an isomorphic copy of  $\ell^\infty$  and  $c_0$ .

In this paper, we analyze these topics for *weighted Orlicz sequence spaces*  $\ell^\phi(a)$  when  $a_n \rightarrow 0$  or  $a_n \rightarrow \infty$ . The results answer the following general question: for which class of weight sequences  $(a_n)$  can the suitable characterizations of the non-atomic case be extended to the spaces  $\ell^\phi(a)$ ?

In a more precise way, we study the class of weighted Orlicz sequence spaces  $\ell^\phi(a)$  where  $(a_n)$  is of finite sum and

$$\lim_{n \rightarrow \infty} \frac{\sum_{k=n+1}^{\infty} a_k}{a_n} > 0$$

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(it seems that this is the greatest class for which most positive results can be established) and give an inclusion theorem for this class, answering a question of W. Luxemburg [11, p. 40]. Also, we characterize, in terms of the function  $\phi$ , the spaces  $\ell^\phi(a)$  containing an isomorphic copy of  $\ell^\infty$  and  $c_0$  (Theorem 3). This extends the above mentioned result of B. Turrett [14, 15] for  $L^\phi(\Omega)$  to the case of purely atomic probability measures. An easy consequence of this theorem is a characterization of the B-convex [3] spaces  $\ell^\phi(a)$  generalizing a result of Denker and Kombrink [1].

On the other hand, the problem of characterizing in terms of the function  $\phi$ , when  $\ell^\phi(a)$  contains a copy of  $\ell^p$  has a negative answer for the class of weights verifying (\*). Thus, in §4, we show functions  $\phi$  nonequivalent to the function  $\psi(x) = x^p$  and weight sequences  $(a_n)$  slowly decreasing to 0 such that  $\ell^\phi(a)$  is isomorphic to  $\ell^p$  ( $0 < p < \infty$ ). Finally, the results are complemented with several counterexamples.

**2. Notations and preliminary results.** Let us start with some notation and definitions. An *Orlicz function*  $\phi$  is a non-decreasing function  $\phi : \mathbf{R}^+ \rightarrow \mathbf{R}^+$ , left continuous for  $t > 0$ , continuous at 0 and such that  $\phi(0) = 0$ . Given a positive measure space  $(\Omega, \mu)$  and an Orlicz function  $\phi$ , the *Orlicz space*  $L^\phi(\Omega)$  is defined as the set of equivalence classes of  $\mu$ -measurable scalar functions on  $(\Omega, \mu)$  such that  $\int_\Omega \phi(|f|/s) d\mu < \infty$  for some  $s > 0$ . The space  $L^\phi(\Omega)$  becomes a linear metric space when it is endowed with the F-norm  $|f| = \inf \{s > 0 : \int_\Omega \phi(|f|/s) d\mu \leq s\}$ , and has as a basis of neighbourhoods at 0 the sets  $s.B_s^\phi(0)$  for  $s > 0$ , where  $B_s^\phi(0) = \{f \in L^\phi(\Omega) : \int_\Omega \phi(|f|) \leq s\}$ . If  $\phi$  is convex, then  $B_s^\phi(0)$  is a convex bounded neighbourhood of 0 and  $L^\phi(\Omega)$  with the Minkowski functional of  $B_1^\phi(0)$  - the so called *Luxemburg norm* -  $|f|_\phi = \inf \{s > 0 = \int_\Omega \phi(|f|/s) \leq 1\}$  is a Banach space.

For arbitrary purely atomic  $\sigma$ -finite measure spaces we obtain the *Orlicz sequence spaces*  $\ell^\phi(a)$  of the sequences  $x = (x_n)_{n \in \mathbf{N}} \in \mathbf{K}^{\mathbf{N}}$  such that  $\sum_{n=1}^\infty \phi(|x_n|/s) a_n < \infty$  for some  $s > 0$ , where  $(a_n)_{n \in \mathbf{N}}$  is a sequence of positive scalars (a *weight sequence*). The symbol  $h^\phi(a)$  denotes the closed subspace of the sequences  $x = (x_n)_{n \in \mathbf{N}}$  such that  $\sum_{n=1}^\infty \phi(|x_n|/s) a_n < \infty$  for every  $s > 0$ , having an unconditional Schauder basis in the sequence of unit vectors  $(e_n)$ . In the case that  $0 < \underline{\lim} a_n \leq \overline{\lim} a_n < \infty$  we simply write  $\ell^\phi$ , as usual. Recall that

a function  $\phi$  satisfies the  $\Delta_2$ -condition at  $\infty$  if there exist constants  $M > 0$  and  $s_0 > 0$  with  $\phi(s_0) > 0$  such that  $\phi(2s) \leq M\phi(s)$  if  $s \geq s_0$ . If  $\phi$  and  $\psi$  are Orlicz functions, we write  $\phi \prec \psi$  at  $\infty$  when there exist constants  $K > 0$ ,  $r > 0$  and  $s_0 > 0$  such that  $\phi(s) \leq K\psi(rs)$  for every  $s \geq s_0 > 0$ . We say that  $\phi$  and  $\psi$  are *equivalent at  $\infty$* ,  $\phi \sim \psi$ , if  $\phi \prec \psi$  and  $\psi \prec \phi$  at  $\infty$ . The reader is referred to [8, 12, 19] for a detailed exposition of the basic properties of Orlicz spaces.

It is clear that the spaces  $\ell^\phi(a)$  are included in the general category of *modular sequence spaces* or *Musielak-Orlicz spaces*  $\ell^{(\phi_n)}$  [9, 12]. For these spaces,  $\ell^{(\phi_n)}$ , generated from a sequence  $(\phi_n)$  of Orlicz functions, J. Woo [17] has introduced the notion of *uniform  $\Delta_2$ -condition* which plays a remarkable role in the study of the properties of the modular space  $\ell^{(\phi_n)}$ . However, this notion has the disadvantage of not being preserved by equivalences, i.e., two different Orlicz function sequences can define the same modular space  $\ell^{(\phi_n)}$  while the first satisfies the uniform  $\Delta_2$ -condition and the second does not satisfy it (see, e.g., [9, p. 167]). This is the reason why the criteria based on the uniform  $\Delta_2$ -condition are not easy to handle when applied to specific cases. Here we have avoided use of this condition, and, on the contrary, the ordinary  $\Delta_2$ -condition has been used as often as possible.

The results of this paper are centered on the spaces  $\ell^\phi(a)$  when  $a_n \rightarrow 0$  with  $\sum_{n=1}^\infty a_n < \infty$ . By symmetry we have similar results for the case of weight sequences  $a_n \rightarrow \infty$ , by replacing the behavior of  $\phi$  near to  $\infty$  by its behavior near to 0. The statements of these results are omitted, as well as the results for the case  $a_n \rightarrow 0$  with  $\sum a_n = \infty$ , since, for this class, they are easily obtainable by using a certain universal property of  $\ell^\phi(a)$  (see [4, 5], namely  $\ell^\phi(a)$  always contains a copy of  $\ell^\phi(b)$  for any arbitrary sequence of weights  $(b_n)$ ).

**PROPOSITION 1.** *Let  $\phi$  and  $\psi$  be Orlicz functions and let  $(a_n)$  be a weight sequence of finite sums. If  $\underline{\lim}_{n \rightarrow \infty} (\sum_{k=n+1}^\infty a_k)/a_n > 0$ , then  $\ell^\psi(a) \hookrightarrow \ell^\phi(a)$  if and only if  $\phi \prec \psi$  at  $\infty$ .*

**PROOF.** Let  $\ell^\psi(a) \hookrightarrow \ell^\phi(a)$ . W.l.o.g. assume that  $(a_n)$  is decreasing. By the hypotheses, there exists a  $\lambda \in (0, 1)$  such that, for every  $n$ ,  $\sum_{k=n+1}^\infty a_k > \lambda a_n$ , so if  $\mu$  is the measure on  $\mathbf{N}$  defined by  $\mu(n) = a_n$ , then, by Theorem I of [13] (see also [7, Theorem 3],  $\mu$  has the Darboux

$\theta$ -property for the function  $\theta(s) = \lambda s$ ; i.e., for  $0 < \alpha' \leq \Sigma a_n = \alpha$ , there exists an  $A \subset \mathbf{N}$  such that  $\lambda \alpha' \leq \mu(A) \leq \alpha'$ . Let  $r = \alpha \phi(s_0)$  for  $s_0 > 0$  with  $\psi(s_0) > 0$ , and consider the  $\lambda r.B_{\lambda r}^\phi(0)$  neighbourhood of 0 in  $\ell^\phi(a)$ . As the inclusion  $\ell^\psi(a) \hookrightarrow \ell^\phi(a)$  is continuous, by the closed graph theorem, there is a neighbourhood  $r'.B_{r'}^\psi(0)$  of 0 in  $\ell^\psi(a)$  such that  $r'.B_{r'}^\psi(0) \subset \lambda r.B_{\lambda r}^\phi(0)$ . Now, if  $s > 0$  and  $\alpha' = r/\phi(s) \leq \alpha$ , for some  $A \subset \mathbf{N}$ , we have  $\lambda r/\phi(s) \leq \mu(A) \leq r/\phi(s)$ , and if  $f = srX_A$  we deduce that

$$\sum_{n=1}^\infty \phi\left(\frac{|f(n)|}{\lambda r}\right) a_n \geq \sum_{n=1}^\infty \phi\left(\frac{|f(n)|}{r}\right) a_n = \phi(s)\mu(A) \geq \lambda r.$$

Thus,  $f$  belongs neither to  $\lambda r.B_{\lambda r}^\phi(0)$  nor to  $r'.B_{r'}^\psi(0)$ , and  $\sum_{n=1}^\infty \psi(|f(n)|/r)a_n = \psi(rs/r')\mu(A) > r', \phi(s)\mu(A) \leq r < (r/r')\psi(rs/r')\mu(A)$ . Therefore, if  $k = r/r'$ , then  $\phi(s) \leq k\psi(ks)$  for all  $s > s_0 > 0$ .

The second implication is obviously valid for any arbitrary sequence  $(a_n)$  of finite sum.  $\square$

The next proposition has, as a consequence, that the class of the sequences of weights such that  $\underline{\lim}_{n \rightarrow \infty} (\sum_{k=n+1}^\infty a_k)/a_n > 0$  is the greatest class of which the above proposition holds.

**PROPOSITION 2.** *If  $(a_n)$  is a sequence of finite sum with  $\underline{\lim}_{n \rightarrow \infty} (\sum_{k=n+1}^\infty a_k)/a_n = 0$ , then there exist Orlicz functions  $\phi$  and  $\psi$  such that  $\ell^\psi(a) \hookrightarrow \ell^\phi(a)$  and  $\phi < \psi$  at  $\infty$  does not hold.*

**PROOF.** From the hypotheses it follows that there is a subsequence  $(b_{n_k}/a_{n_k})_{k=1}^\infty$ , where  $b_n$  denotes  $\sum_{m=n+1}^\infty a_m$  such that  $b_{n_k}/a_{n_k} < 1/2^k$ . Consider  $(t_k)_{k=1}^\infty$  with  $t_k \rightarrow \infty$  such that  $\sum_{n=1}^\infty t_k b_{n_k}/a_{n_k} < \infty$  and with the Orlicz functions:

$$\psi(s) = \begin{cases} \frac{1}{a_{n_1}} s, & \text{if } s \in [0, 1] \\ \frac{1}{a_{n_k}}, & \text{if } s \in (k!, (k+1)!], \quad k \geq 1, \end{cases}$$

and

$$\phi(s) = \begin{cases} \frac{t_1}{a_{n_1}} s, & \text{if } s \in [0, 1] \\ \frac{t_k}{a_{n_k}}, & \text{if } s \in (k!, (k+1)!], \quad k \geq 1. \end{cases}$$

Let us show that  $\ell^\psi(a) \hookrightarrow \ell^\phi(a)$ . If  $x = (x_n) \in \ell^\psi(a)$ , there exist  $s > 0$  and  $N \in \mathbb{N}$  such that  $\psi(|x_n|/s)a_n \leq 1$  for every  $n \geq N$ . If  $I_k = \{i \geq N : |x_i|/s \in (k!, (k+1)!]\}$ , then  $a_i\psi(|x_i|/s) = a_i/a_{n_k} < 1$  for every  $i \in I_k$ . Thus  $i > n_k$  for every  $i \in I_k$  and  $\sum_{i \in I_k} a_i \leq b_{n_k}$ . Hence  $x = (x_n) \in \ell^\phi(a)$ , since

$$\begin{aligned} \sum_{n=1}^\infty \phi\left(\frac{|x_n|}{s}\right)a_n &\leq \sum_{n \notin UI_k} a_n \phi\left(\frac{|x_n|}{s}\right) + \sum_{k=1}^\infty \phi\left(\frac{|x_k|}{s}\right) \sum_{j \in I_k} a_j \\ &\leq \sum_{n=1}^\infty \frac{a_n}{a_{n_1}} t_1 + \sum_{k=1}^\infty \frac{t_k}{a n_k} b_{n_k} < \infty. \end{aligned}$$

However,  $\psi \prec \phi$  at  $\infty$  does not hold because  $\overline{\lim}_{s \rightarrow \infty} (\phi(s)/\psi(\lambda s)) = \infty$  for every  $\lambda > 0$ .  $\square$

REMARKS (1). It is clear that this class of sequences of weights  $(a_n)$  with  $\underline{\lim}_{n \rightarrow \infty} (\sum_{k=n+1}^\infty a_k)/a_n > 0$  is bigger than the class of sequences *slowly decreasing* to 0, i.e., such that  $\underline{\lim}_{n \rightarrow \infty} a_{n+1}/a_n > 0$ , considered for example in [11, 1]. In fact, it may happen that  $\underline{\lim}_{n \rightarrow \infty} (\sum_{k=n+1}^\infty a_k)/a_n = \infty$  and  $\underline{\lim}_{n \rightarrow \infty} a_{n+1}/a_n = 0$ , as the following example shows:

Let  $c_k = \sum_{j=0}^k 2^{\frac{j(j+1)}{2}}, k \in \mathbb{N}$ . Define  $(a_k)_{k \in \mathbb{N}}$  by

$$a_0 = 1, \quad a_n = \frac{1}{2^{k(k+3)/2}} \text{ if } c_{k-1} \leq n < c_k.$$

It can be easily checked that the sequence  $(a_n)$  satisfies the required conditions.

(2). If  $\ell_0^\phi(a)$  is the Orlicz class defined by  $\{x = (x_n)_{n \in \mathbb{N}} : \Sigma \phi(|x_n|)a_n < \infty\}$ , then, as a direct consequence of Proposition 1, we obtain that  $\ell_0^\psi(a) \hookrightarrow \ell_0^\phi(a)$  if and only if there exist  $K > 0$  and  $s_0 > 0$  such that  $\phi(s) \leq K\psi(s)$  for every  $s > s_0 > 0$ . These results answer a question of W. Luxemburg in [11; p. 40, Remark 1].

### 3. Subspaces isomorphic to $\ell^\infty, c_0$ and $\ell^1$ .

**THEOREM 3.** *Let  $\phi$  be a convex Orlicz function and let  $(a_n)$  be a weight sequence of finite sum with  $\liminf_{n \rightarrow \infty} \left( \sum_{k=n+1}^{\infty} a_k \right) / a_n > 0$ . Then the following statements are equivalent:*

- (1)  $\phi$  verifies the  $\Delta_2$ -condition at  $\infty$ ,
- (2)  $\ell^\phi(a) = h^\phi(a)$ ,
- (3)  $\ell^\phi(a)$  is separable, and
- (4)  $\ell^\phi(a)$  contains no isomorphic copy of  $\ell^\infty$ .

This theorem constitutes an extension to the case of purely atomic finite measures of a result of Turett ([14 Theorem 4], [15, p. 33]). In the proof we shall make use of the following technical lemma.

**LEMMA 4.** *Let  $(a_n)$  be a finite sum with  $\sum_{k=n+1}^{\infty} a_k > 2ca_n$  for  $0 < 2c < 1$ . If  $\phi$  does not satisfy the  $\Delta_2$ -condition at  $\infty$ , then there exist sequences  $(m_k), (p_k)$  of natural numbers and  $(t_k)$  of positive scalars such that*

- (1)  $c^{k+1} < \left( \sum_{j=m_k}^{m_k+p_k} a_j \right) \phi(t_k) \leq c^k$ , and
- (2)  $\phi(st_k) > (1/c^{k+1})\phi(t_k)$  for every  $k \in \mathbf{N}$ .

**PROOF.** We proceed by induction. As  $\phi$  does not fulfill the  $\Delta_2$ -condition at  $\infty$ , we can take a  $t_1 > 0$  in such a way that  $\phi(2t_1) \geq (1/c^2)\phi(t_1)$  and  $\phi(t_1) > 2c/b_1$ .

Let  $b_n = \sum_{k=n+1}^{\infty} a_k$  and  $N_1 = \{n > 1 : \text{there exists } q \geq 1 \text{ such that } \sum_{j=n}^{n+q} a_j \phi(t_1) > c^2\} \subseteq \mathbf{N}$ .  $N_1$  is non empty since  $2 \in N_1$  : if  $\varepsilon = c^2/\phi(t_1)$  we can find a  $j \in \mathbf{N}$  with  $b_{j+1} < \varepsilon$ , so  $(b_1 - b_{j+1})\phi(t_1) > 2c^2 - \varepsilon\phi(t_1) = c^2$ . Moreover,  $N_1$  is bounded above by  $j + 2$ , because  $(b_{n-1} - b_{n+q})\phi(t_1) \leq b_{j+1}\phi(t_1) < \varepsilon\phi(t_1) = c^2$  for every  $n \geq j + 2$  and  $q \geq 1$ . Let  $m_1 = \sup N_1$ . By the definition of  $N_1$ , there is a  $q_1 \geq 1$  with  $(\sum_{j=m_1}^{m_1+q_1} a_j)\phi(t_1) > c^2$ . Now consider the set  $Y_1 = \{q \in \mathbf{N} : c^2 < (\sum_{j=m_1}^{m_1+q} a_j)\phi(t_1) \leq c\}$  and write  $p_1 = \inf Y_1$  if  $Y_1 \neq \emptyset$  and  $p_1 = 0$  otherwise. Clearly, if  $Y_1 \neq \emptyset$ , then (1) holds. Let us show that if  $p_1 = 0$  then  $c^2 < a_{m_1}\phi(t_1) \leq c$ . Otherwise, for some  $q$

sufficiently big, we would have

$$(b_{m_1} - b_{m_1+q_1})\phi(t_1) = (b_{m_1-1} - b_{m_1+q_1})\phi(t_1) + (b_{m_1} - b_{m_1-1})\phi(t_1) > c - c^2 > c^2$$

since  $Y_1 = \emptyset$ . So  $m_1 + 1 \in N_1$  in contradiction to the choice of  $m_1$ .

Now suppose we have  $m_1 < m_2 < \dots < m_k, p_1, \dots, p_k$  and  $t_1, \dots, t_k$  such that (1) and (2). Choose  $t_{k+1}$  such that

$$\phi(2t_{k+1}) > \frac{1}{c^{k+2}}\phi(t_{k+1})$$

and

$$\phi(t_{k+1}) > 2\frac{c^{k+2}}{b_{n_k}}$$

where  $n_k = m_k + p_k$ . Define

$$N_{k+1} = \{n > n_k : \text{there exists } p \geq 1 \text{ with } (\sum_{j=n}^{n+p} a_j)\phi(t_{k+1}) > c^{k+2}\}.$$

It is clear that  $n_k + 1 \in N_{k+1}$ ,  $c^{k+2}/\phi(t_{k+1})$  is an upper bound of  $N_{k+1}$  and if  $m_{k+1} = \sup N_{k+1}$ , then  $m_{k+1} > n_k \geq m_k$ .

Moreover, if

$$Y_{k+1} = \{q \geq 1 : c^{k+2} < (\sum_{j=m_{k+1}}^{m_{k+1}+q} a_j)\phi(t_{k+1}) \leq c^{k+1}\}$$

and  $p_{k+1} = \inf Y_{k+1}$  if  $Y_{k+1} \neq \emptyset$  and  $p_{k+1} = 0$  otherwise, then

$$c^{k+2} < (\sum_{j=m_{k+1}}^{m_{k+1}+p_{k+1}} a_j)\phi(t_{k+1}) \leq c^{k+1}.$$

This ends the proof of the lemma.  $\square$

**PROOF OF THEOREM 3.** (1)  $\Rightarrow$  (2). It follows from Proposition 1 with a standard argument (see [11, 12]).

(2)  $\Rightarrow$  (3)  $\Rightarrow$  (4). Immediate.

(4)  $\Rightarrow$  (1). We assume that  $\phi$  does not satisfy the  $\Delta_2$ -condition at  $\infty$ . Then there exist  $0 < c < 1/2$  with  $\sum_{k=n+1}^{\infty} a_k > 2ca_n$  for every  $n \in \mathbf{N}$ , and sequences  $(m_k), (p_k)$  and  $(t_k)$  like in Lemma 4. Define the operator  $T : \ell^\infty \rightarrow \ell^\phi(a)$  by  $T(x_n) = y_n$ , where

$$y_n = \begin{cases} t_k x_k & \text{if } n \in [m_k, m_k + p_k] \text{ for some } k \\ 0 & \text{otherwise.} \end{cases}$$

Clearly,  $T$  is linear and one-to-one. If  $S_1 = |x|_\infty$ , then

$$\sum_{n=1}^{\infty} \phi\left(\frac{|y_n|}{s_1}\right) a_n \leq \sum_{k=1}^{\infty} \phi(t_k) \left(\sum_{j=m_k}^{m_k+p_k} a_j\right) \leq \sum_{k=1}^{\infty} c^k = \frac{c}{1-c} < 1$$

while, for  $s_2 = |x|_\infty/4$ , there is a  $q \in \mathbf{N}$  with  $|x_q| > 2s_2$  and

$$\begin{aligned} \sum_{n=1}^{\infty} \phi\left(\frac{|y_n|}{s_n}\right) a_n &= \sum_{k+1}^{\infty} \left(\sum_{j=m_k}^{m_k+p_k} a_j\right) \phi\left(\frac{t_k |x_k|}{s_2}\right) \\ &\geq \left(\sum_{j=m_q}^{m_q+p_q} a_j\right) \phi(2t_2) > 1. \end{aligned}$$

Hence,  $|x|_\infty/4 \leq |T(x)|_\phi \leq |x|_\infty$  and  $T$  is a topological isomorphism.  $\square$

REMARK. It is clear that the isomorphism  $T$  of the previous theorem between  $\ell^\infty$  and  $T(\ell^\infty)$  is a Riesz-isomorphism that, in general, is not onto, i.e.,  $\ell^\phi(a) \neq \ell^\infty$ . For example, if  $\phi(x) = e^x - 1$  and  $a_n = 1/n \log^2 n$  is a sequence of weights, using a recent result of W. Wnuk [18, Theorem 2], we get that  $\ell^\phi(a)$  is not Riesz isomorphic to  $\ell^\infty$ .

If, in the above theorem, we assume that  $\phi$  has a Young conjugate we get that “ $\ell^\phi(a)$  contains no copy of  $c_0$ ” is a fifth equivalent statement. This is due to the fact that  $\ell^\phi(a)$  is a pre-dual space, by Proposition 5, together with a general property of pre-dual spaces (see [9, p. 103]).

PROPOSITION 5. *If  $\phi$  is a convex Orlicz function with Young conjugate  $\psi$ , then the topological dual of  $h^\phi(a)$  is isometrically isomorphic*



to  $\ell^\psi(a)$  for any arbitrary weight sequence  $(a_n)$ .

PROOF. First we define the sequence of functions  $\phi_n(s) = a_n\phi(r_n s)$  for  $s \geq 0$  and  $(r_n)$  such that  $a_n\phi(r_n) = 1$  and then consider the modular sequence space

$$\ell^{(\phi_n)} = \left\{ x = (x_n) : \sum_{n=1}^{\infty} \phi_n\left(\frac{|x_n|}{s}\right) < \infty \text{ for some } s > 0 \right\}$$

endowed with the usual norm

$$|x|_{\phi_n} = \inf \left\{ s > 0 : \sum_{n=1}^{\infty} \phi_n\left(\frac{|x_n|}{s}\right) \leq 1 \right\},$$

and also the corresponding closed subspace  $h^{(\phi_n)}$ . It is easy to check that  $\ell^{(\phi_n)}$  and  $\ell^\phi(a)$  are isometrically isomorphic: take the operator  $T : \ell^{(\phi_n)} \rightarrow \ell^\phi(a)$  defined by  $T(x_n) = (r_n x_n)$ . Now, by a result of Woo (see [9, p. 168]) we have that  $(h^{(\phi_n)})' \cong \ell^{(\psi_n)}$  (Here  $(\psi_n)$  denotes the sequence of Young conjugate functions of  $(\phi_n)$ ). A simple computation in our case gives the following expression for the functions  $\psi_n$ :

$$\psi_n(s) = a_n \psi\left(\frac{1}{r_n a_n} s\right) \text{ for } s \geq 0.$$

Finally we have that the spaces  $\ell^{(\psi_n)}$  and  $\ell^\psi(a)$  are isometrically isomorphic by means of the operator

$$T : \ell^\psi(a) \rightarrow \ell^{(\psi_n)} \text{ defined by } T(x_n) = (r_n a_n x_n).$$

□

As a consequence we obtain an improvement of the results given by Luxemburg [11, p. 60] and Denker-Kombrink [1, Theorem 2]:

**PROPOSITION 6.** *Let  $\phi$  be a convex Orlicz function with Young conjugate  $\psi$ , and let  $(a_n)$  be a weight sequence with  $\Sigma a_n < \infty$  and  $\lim_{n \rightarrow \infty} (\sum_{k=n+1}^{\infty} a_k) / a_n > 0$ . The following conditions are equivalent :*

- (1)  $\ell^\phi(a)$  is reflexive,
- (2)  $\phi$  and  $\psi$  satisfy the  $\Delta_2$ -condition at  $\infty$ ,
- (3)  $\ell^\phi(a)$  is uniformly convexifiable,
- (4)  $\ell^\phi(a)$  is  $B$ -convex, and
- (5)  $\ell^\phi(a)$  contains no isomorphic copy of  $\ell^1$ .

PROOF. (1)  $\Leftrightarrow$  (2). If the  $\Delta_2$ -condition at  $\infty$  does not hold for  $\phi$ , then, by Theorem 4,  $\ell^\infty \lesssim \ell^\phi(a)$  and so  $\ell^\phi(a)$  is not reflexive. If the  $\Delta_2$ -condition holds for  $\phi$  but not for  $\psi$ , then  $(\ell^\phi(a))' \cong \ell^\psi(a) \approx \ell^\infty$ , and thus  $(\ell^\phi(a))'$  is not reflexive and neither is  $\ell^\phi(a)$ . The remaining implication follows from the last proposition.

(2)  $\Rightarrow$  (3). Following Akimovich (see [1]) we construct a function  $\bar{\phi}$  which is equivalent to  $\phi$  at  $\infty$  such that, for every  $0 < r < 1$ , there is  $0 < t < 1$  such that  $\bar{\phi}(s + rs/2) \leq (1 - t)(\bar{\phi}(s) + \bar{\phi}(rs))/2$  for all  $s \geq 0$ . Now, by a result of Luxemburg [11, p. 64],  $\ell^{\bar{\phi}}(a)$  is uniformly convex, and so  $\ell^\phi(a)$  is uniformly convexifiable.

(3)  $\Rightarrow$  (4). Well-known (see [3]).

(4)  $\Rightarrow$  (2). If  $\phi$  does not satisfy the  $\Delta_2$ -condition at  $\infty$ , then as  $\ell^\infty$  is not  $B$ -convex and  $\ell^\infty \lesssim \ell^\phi(a)$ , we have that  $\ell^\phi(a)$  cannot be  $B$ -convex. If  $\phi$  fulfils the  $\Delta_2$ -condition and  $\psi$  does not, then  $\ell^\psi(a)$  is not  $B$ -convex since  $(\ell^\phi(a))' \simeq \ell^\psi(a) \approx \ell^\infty$ . So the pre-dual  $\ell^\phi(a)$  is not  $B$ -convex either.

(1)  $\Rightarrow$  (5). Trivial.

(5)  $\Rightarrow$  (2). If the  $\Delta_2$ -condition does not hold for  $\phi$ ,  $\ell^\phi(a) \approx \ell^\infty \approx \ell^1$ . Moreover, if it holds for  $\phi$  but not for  $\psi$ , then  $(\ell^\phi(a))' \simeq \ell^\psi(a) \approx \ell^\infty \approx c_0$  and, by [9, p. 103],  $\ell^\phi(a)$  contains a complemented copy of  $\ell^1$ .

**4. Counterexamples.** The following examples show that, in general, Theorem 3 and Proposition 6 cannot be extended to wider classes of weight sequences.

EXAMPLE 1. A separable Orlicz sequence space  $\ell^\phi(a)$  such that  $\phi$

does not satisfy the  $\Delta_2$ -condition at  $\infty$  and  $(a_n)$  is a weight sequence with  $\Sigma a_n < \infty$  and

$$\lim_{n \rightarrow \infty} \frac{\sum_{k=n+1}^{\infty} a_k}{a_n} = 0.$$

Let  $(d_n)$  be an increasing sequence of positive scalars such that  $d_1 = 1$  and  $\sum_{n=1}^{\infty} d_n/d_{n+1} = D < 1/2$  (for example,  $d_n = 2^{2^n}, n > 1$ ).

Define the Orlicz function

$$\phi(s) = \begin{cases} s^2 & \text{if } 0 \leq s \leq 1 \\ A_n s + B_n & \text{if } d_n \leq s \leq d_{n+1}, \end{cases}$$

where  $A_n = d_{n+1} + d_n$  and  $B_n = -d_{n+1}d_n$  for  $n \in \mathbf{N}$ . Clearly,  $\phi$  is a convex Orlicz function which does not verify the  $\Delta_2$ -condition at  $\infty$  since  $\phi(2dn)/\phi(dn) = 2 + d_{n+1}/d_n$ . Consider the space  $\ell^\phi(a)$  where  $(a_n)$  is the sequence of weights given by  $a_n = 1/\phi(d_{n+1})$ . We shall show that  $\ell^\phi(a)$  is isomorphic to  $\ell^1$ . By a similar argument to the one in Proposition 5, we have that  $\ell^\phi(a)$  is isomorphic to  $\ell^{(\phi_n)}$  for the  $(\phi_n)$  sequence of Orlicz functions defined by  $\phi_n(s) = \phi(d_{n+1}s)/\phi(d_{n+1})$  for  $n \in \mathbf{N}$ . Now, observe that

$$\sup_{0 \leq s \leq 1} |\phi_n(s) - s| < 2 \frac{d_n}{d_{n+1}},$$

since if  $0 \leq s \leq d_n/d_{n+1}, |\phi_n(s) - s| \leq s + s < 2d_n/d_{n+1}$  and if  $d_n/d_{n+1} \leq s \leq 1$ , then

$$|\phi_n(s) - s| = \left| \frac{A_n d_{n+1} s + B_n}{d_{n+1}^2} - s \right| = \frac{d_{n+1} d_n (1 - s)}{d_{n+1}^2} < 2 \frac{d_n}{d_{n+1}}.$$

Hence,  $\ell^1$  and  $\ell^{(\phi_n)}$  are the same sets. Let us show that the identity map between  $\ell^1$  and  $\ell^{(\phi_n)}$  is an isomorphism. Let  $A = 1/1 - 2D$  and  $B$  be such that  $B(1 - 2D) > 1$ . Then, for  $s_\epsilon = |x|_1 + \epsilon A^{-1}$ ,

$$\begin{aligned} \sum_{n=1}^{\infty} \phi_n \left( \frac{|x_n|}{A s_\epsilon} \right) &\leq \frac{1}{A} \sum_{n=1}^{\infty} \phi_n \left( \frac{|x_n|}{s_\epsilon} \right) \leq \frac{1}{A} \left( \sum_{n=1}^{\infty} \frac{|x_n|}{s_\epsilon} + 2 \frac{d_n}{d_{n+1}} \right) \\ &\leq \frac{1 + 2D}{A} = 1 - 4D^2 < 1, \end{aligned}$$

so  $As_\varepsilon \geq |x|_{\phi_n}$  for all  $\varepsilon > 0$  and hence  $|x|_{\phi_n} \leq A|x|_1$ . On the other hand, if  $\delta_\varepsilon = |x|_1 - \varepsilon B$  and we assume that  $|x_n| \leq \delta_\varepsilon$  for every  $n \in \mathbf{N}$ , then

$$\begin{aligned} \sum_{n=1}^{\infty} \phi_n\left(\frac{|x_n|}{\delta_\varepsilon B^{-1}}\right) &\geq B \sum_{n=1}^{\infty} \phi_n\left(\frac{|x_n|}{\delta_\varepsilon}\right) \geq B \sum_{n=1}^{\infty} \left(\frac{|x_n|}{\delta_\varepsilon} - 2\frac{d_n}{d_{n+1}}\right) \\ &\geq B\left(\frac{|x_1|}{\delta_\varepsilon} - 2D\right) > B(1 - 2D) > 1. \end{aligned}$$

If there exists a natural number  $n_0$  with  $|x_{n_0}| \geq \delta_\varepsilon$ , then we also have

$$\sum_{n=1}^{\infty} \phi_n\left(\frac{|x_n|}{\delta_\varepsilon B^{-1}}\right) \geq B\phi_{n_1}\left(\frac{|x_{n_0}|}{\delta_\varepsilon}\right) \geq B\phi_{n_0}(1) = B > 1,$$

so  $\delta_\varepsilon B^{-1} < |x|_{\phi_n}$  and hence  $|x|_1 B^{-1} \leq |x|_{\phi_n}$ .

**EXAMPLE 2.** A reflexive Orlicz sequence space  $\ell^\phi(a)$  such that  $\phi$  does not satisfy the  $\Delta_2$ -condition at  $\infty$  and  $(a_n)$  is a weight sequence with  $\Sigma a_n < \infty$  and  $\varliminf_{n \rightarrow \infty} \sum_{k=n+1}^{\infty} a_k/a_n = 0$ .

Let  $(d_n)$  be a sequence of scalars as in Example 1 and define

$$\phi(s) = \begin{cases} s^2, & \text{if } 0 \leq s \leq 1 \\ s^2 + A_n s + B_n, & \text{if } d_n \leq s \leq d_{n+1}, \end{cases}$$

where  $A_n = d_n(d_{n+1} - d_{n-1}/d_{n+1} - d_n)$  and  $B_n = -d_n d_{n+1}(d_n - d_{n-1}/d_{n+1} - d_n)$  for  $n \geq 1$  ( $A_0 = B_0 = d_0 = 0$ ). First,  $\phi$  is a convex Orlicz function not satisfying the  $\Delta_2$ -condition at  $\infty$  since

$$\frac{\phi(d_{n+1})}{\phi\left(\frac{d_{n+1}}{2}\right)} > 2\left(1 + \frac{d_{n+1}}{d_n}\right).$$

Consider  $\ell^\phi(a)$  for  $a_n = 1/\phi(d_{n+1})$ . Then  $\ell^\phi(a)$  is isomorphic to  $\ell^2$ . In fact, reasoning as in the previous example,  $\ell^\phi(a)$  is isomorphic to  $\ell^{(\phi_n)}$  for  $\phi_n(s) = \phi(d_{n+1}s)/\phi(d_{n+1})$  and  $\ell^{\phi_n}$  is isomorphic to  $\ell^2$ . For this last case

$$\sup_{0 \leq s \leq 1} |\phi_n(s) - s^2| \leq \frac{8}{3} \frac{d_n}{d_{n+1}} \text{ for } n \in \mathbf{N}.$$

REMARK. Recall that if the Orlicz function  $\phi$  is such that  $\lim_{s \rightarrow \infty} \phi(2s)/\phi(s) = \infty$ , then  $\ell^\phi(a)$  always contains a copy of  $\ell^\infty$  for every weight sequence  $(a_n)$  of finite sum [2, Prop. 4].

It is well-known that every Orlicz space contains an isomorphic copy of some  $\ell^p$  or  $c_0$ . A result of Lindenstrauss and Tzafriri [9, 10] gives a characterization of the set of numbers  $p$  such that  $\ell^p$  can be isomorphically embedded into a normed Orlicz space: for the sequence spaces  $\ell^\phi$ , it is the interval  $[\alpha_\phi, \beta_\phi]$  whose endpoints are the Matuszewska-Orlicz indices of the function  $\phi$  at 0. In particular,  $\ell^\phi$  is isomorphic to  $\ell^p$  if and only if  $\phi$  is equivalent to the function  $\psi(x) = x^p$  at 0. Now, for the spaces  $\ell^\phi(a)$  we get the following result:

PROPOSITION 7. *If  $\phi$  is an Orlicz function with the  $\Delta_2$ -condition at  $\infty$  and  $\beta_\phi^\infty$ -concave, then there exists a weight sequence  $(a_n)$  with  $\Sigma a_n < \infty$  such that  $\ell^\phi(a)$  is isomorphic to  $\ell^{\beta_\phi^\infty}$ .*

PROOF. Recall that  $\beta_\phi^\infty = p$ , the Matuszewska-Orlicz upper index of  $\phi$  at  $\infty$ , is defined by

$$\beta_\phi^\infty = \lim_{\lambda \rightarrow 0^+} \left( \log \left\{ \lim_{x \rightarrow \infty} \frac{\phi(\lambda x)}{\phi(x)} \right\} / \log \lambda \right).$$

As  $\phi$  is  $p$ -concave at  $\infty$ , if we define  $\gamma(\lambda) = \lim_{1 \leq \lambda x} \phi(\lambda x)/\phi(x)$  for  $\lambda > 0$ , then  $\lambda^p \prec \gamma(\lambda)$  and so  $\ell^\gamma \subseteq \ell^p$ . On the other hand, as  $\beta_\phi^\infty = p$ ,  $\ell^p \subset \ell^\gamma$  as so  $\ell^\gamma = \ell^p$ . Now, given a sequence  $(x_n)$  of positive scalars such that  $\Sigma |x_n|^p < \infty$ , take  $(s_n)$  with  $s_n \rightarrow \infty, s_n x_n \geq 1$  and such that

$$\gamma(x_n) \leq \frac{\phi(x_n s_n)}{\phi(s_n)} \leq M |x_n|^p$$

for all  $n$ , where  $M$  is a positive constant. Now consider the space  $\ell^\phi(a)$  for the sequence of weights  $a_n = 1/\phi(s_n)$ . Then  $\Sigma a_n < \infty$  since  $\sum_{n=1}^\infty 1/\phi(s_n) \leq \sum_{n=1}^\infty M x_n^p / \phi(x_n s_n) < \infty$ .

By the  $p$ -concavity of  $\phi$ ,  $\phi(xs_n)/x^p \leq \phi(x_n s_n)/x_n^p \leq M\phi(s_n)$  for every  $x \geq x_n$ . Now, as the  $\Delta_2$ -condition at  $\infty$  for  $\phi$  holds,  $\ell^\phi(a) = h^\phi(a)$  has in  $(f_n)$  for  $f_n = s_n e_n$  a Schauder basis. Finally, it is not difficult to check that the canonical basis  $(e_n)$  of  $\ell^p$  and the basis  $(f_n)$  of  $\ell^\phi(a)$  are equivalent, and therefore  $\ell^\phi(a)$  is isomorphic to  $\ell^p$ .  $\square$

A similar result can be proved for  $\alpha_\phi^\infty$ -convex functions in a neighbourhood of  $\infty$ .

**REMARK.** Observe that from the last Proposition we can deduce that  $\ell^\phi(a)$  with  $\Sigma a_n < \infty$  may be locally convex even if  $\phi$  is not equivalent to any convex function at  $\infty$ . On the other hand, this fact does not happen if  $\Sigma a_n = \infty$  and  $a_n \rightarrow 0$  (see [6] where a study of the spaces  $\ell^\phi(a)$  within the theory of galbs of Turpin [16] is presented).

Finally, let us show that even if we restrict ourselves to the class of sequences  $(a_n)$  with  $\underline{\lim}_{n \rightarrow \infty} \sum_{k=n+1}^\infty a_k/a_n > 0$ , the spaces  $\ell^\phi(a)$  can be isomorphic to  $\ell^p$  for  $\phi$  nonequivalent to the function  $\psi(x) = x^p$  at  $\infty$ . (In contrast with the results for the spaces  $\ell^\phi$ , cf. [9]).

**EXAMPLE 3.** An Orlicz sequence space  $\ell^\phi(a)$  which is isomorphic to  $\ell^p$ , with  $\phi$  non-equivalent to the function  $x^p$  at  $\infty$  and  $(a_n)$  is of finite sum and slowly decreasing to 0.

Let  $0 < p < \infty$  and define

$$\phi(x) = \begin{cases} \frac{x^p}{\log 2}, & \text{if } x \in [0, 1] \\ \frac{x^p}{\log(1+x)}, & \text{if } x \in [1, \infty]. \end{cases}$$

Then  $\beta_\phi^\infty = p$  and  $\phi$  is  $p$ -concave at  $\infty$ . If we consider the space  $\ell^\phi(a)$  where  $a_n = 1/\phi(2^{2^n})$ , then  $\underline{\lim}_{n \rightarrow \infty} a_{n+1}/a_n = 1/4^p > 0$ . Now, by a similar argument to that in the previous proposition, we get that  $\ell^\phi(a)$  is isomorphic to  $\ell^p$ .

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