

## ON RANK 3 PROJECTIVE PLANES

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**One of the unsolved problems in the theory of projective planes is the following: Is every finite projective plane with a transitive collineation group desarguesian? This problem is investigated under the additional hypothesis that the group has rank 3. It is proven that if a projective plane  $\mathcal{P}$  of order  $n > 2$  has a rank 3 collineation group then  $\mathcal{P}$  is non-desarguesian and either (i)  $n$  is odd and  $n = m^4$ , or (ii)  $n$  is even and  $n = m^2$  with  $m \equiv 0 \pmod{4}$ .**

One of the older problems in the theory of projective planes is the following: If a finite projective plane  $\mathcal{P}$  has a collineation group  $G$  transitive on its points, is the plane desarguesian? So far only under one or more additional assumptions has the answer been shown to be yes. The basis for these results is the theorem, due to Wagner [10], that if the transitive group  $G$  contains a central collineation, then  $\mathcal{P}$  is desarguesian.

Ostrom and Wagner [8] showed that if the group  $G$  is doubly transitive then  $\mathcal{P}$  is desarguesian, and  $G$  contains all elations of  $\mathcal{P}$ . Higman and McLaughlin [4] investigated the problem in the case when the group  $G$  is transitive on the flags of  $\mathcal{P}$ . They proved that under certain restrictions on the order of  $\mathcal{P}$  the plane is desarguesian. Keiser [6] and Wagner [10] have shown that under restrictions on the order of  $\mathcal{P}$  and the order of  $G$  the plane is desarguesian.

The rank of a permutation group  $G$  transitive on a set  $\Omega$  is the number of orbits of  $G_P$ ,  $P$  a point of  $\Omega$ , in  $\Omega$ . Hence a transitive group  $G$  has rank 2 on a set  $\Omega$  if and only if  $G$  is doubly transitive on  $\Omega$ .  $G$  has rank 3 if and only if for every point  $P \in \Omega$   $G_P$  has two orbits besides  $G_P$ . Ostrom and Wagner have thus answered the question when the group  $G$  has rank 2. It is then natural to ask: If a finite projective plane has a transitive collineation group of rank 3, is the plane desarguesian?

Investigating this question we have found that a more appropriate question is: Which finite projective planes have rank 3 collineation groups? For we will prove in this article the following

**MAIN THEOREM.** *Let  $\mathcal{P}$  be a finite projective plane of order  $n$  with rank 3 collineation group  $G$ . Then  $n$  satisfies one of the statements:*

- (i)  $n = 2$
- (ii)  $n$  is odd and  $n = m^4$

(iii)  $n$  is even and  $n = m^2$  with  $m \equiv 0 \pmod{4}$

Furthermore only in case (i) is  $\mathcal{P}$  desarguesian.

The proof consists in showing that  $G$  must be a nonsolvable flag-transitive group of even order. It is not known whether  $\mathcal{P}$  can actually exist in cases (ii) or (iii). Hence the theorem leads to the following conjecture:

CONJECTURE. The only finite projective plane having a rank 3 collineation group is the desarguesian plane of order two.

The desarguesian plane of order two (Fig. 1) has the rank 3 collineation group  $G$  generated by the collineations  $\sigma = (P_1P_7P_6P_4P_3P_2P_5)$  and  $\tau = (P_7P_6P_3)(P_4P_5P_2)$ . Note that  $G$  is solvable, sharply flag-transitive, and has order 21.

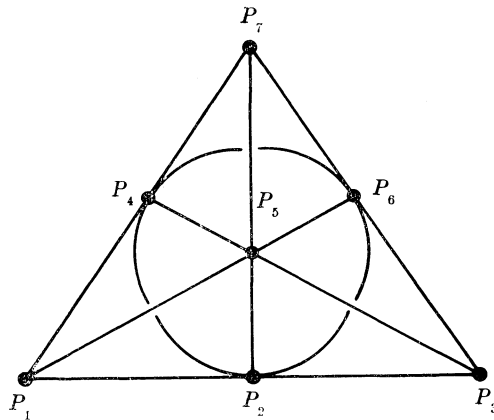


FIGURE 1

We wish to thank Professor Ostrom for many helpful suggestions and for reading a preliminary draft.

2. Definitions and results required later. We assume the reader is familiar with the theory and terminology of projective planes as appears, for example, in Chapters 3–5 of Dembowski [3]. Also a familiarity with the simpler aspects of permutation group theory (as in Chapter 1 of Wielandt [12]) will be assumed.

A *transitive collineation group* on a projective plane  $\mathcal{P}$  is one which is transitive on the points (and hence on the lines by Result II below). A *rank 3 collineation group* of  $\mathcal{P}$  is a transitive collineation group which has rank 3 as a permutation group on the points of  $\mathcal{P}$ . A *flag* of  $\mathcal{P}$  is an incident point-line pair; i.e., a pair  $P, l$  with  $P$  a point,  $l$  a line, of  $\mathcal{P}$  and  $P \in l$ . A *flag-transitive collineation group* is one which is transitive on the flags of  $\mathcal{P}$ .

Use will be made of a number of results concerning permutations

and collineations. These are listed below for convenience:

*Result I* (Ostrom [27]; Dembowski [3], p. 214): If  $\mathcal{P}$  is a finite projective plane having a collineation group  $G$  which is transitive on non-incident point-line pairs, then  $G$  is doubly transitive on the points of  $\mathcal{P}$ .

*Result II* (Dembowski [2], Hughes [5], Parker [9]): A collineation group of a finite projective plane has equally many point and line orbits.

*Result III* (Higman and McLaughlin [4]): Let  $\mathcal{P}$  be a finite projective plane of order  $n$  with a flag-transitive collineation group  $G$ . If  $n$  is odd and not a fourth power, then  $\mathcal{P}$  is desarguesian and  $G$  contains all elations of  $\mathcal{P}$  (See also Dembowski [3], p. 212).

*Result IV* (Higman and McLaughlin [4]): Let  $G$  be a flag-transitive collineation group of a desarguesian projective plane  $\mathcal{P}$  of order  $n$ .  $G$  contains all elations of  $\mathcal{P}$  with precisely two exceptions:

- (i)  $n = 2$  and  $G$  has order 21.
- (ii)  $n = 8$  and  $G$  has order 657.

*Result V* (Wagner [10]): If  $\mathcal{P}$  is a finite projective plane having a transitive collineation group  $G$  which contains a nontrivial central collineation, then  $\mathcal{P}$  is desarguesian and  $G$  contains all elations of  $\mathcal{P}$ .

*Result VI* (Keiser [6]): Let  $\mathcal{P}$  be a finite projective plane of order  $n$  with a transitive collineation group  $G$ . If  $G$  is nonsolvable and if  $n = m^2$  with  $m \equiv 2 \pmod{4}$  or  $m \equiv 3 \pmod{4}$ , then  $\mathcal{P}$  is desarguesian and  $G$  contains all the elations of  $\mathcal{P}$ .

*Results VII* (Dembowski [3], p. 212): Let  $\mathcal{P}$  be a finite projective plane of order  $n$ . If  $G$  is a collineation group which is solvable and primitive on the points of  $\mathcal{P}$ , then  $n^2 + n + 1$  is a prime.

For the next result we note that a permutation group on a set  $\Omega$  is *regular* (*sharply transitive*) if it is transitive and  $G_P$  consists only of the identity for each point  $P \in \Omega$ .  $G$  is a *Frobenius group* on  $\Omega$  if (i) it is transitive, (ii)  $G_P$  is nontrivial for each point  $P \in \Omega$ , and (iii) for every two distinct points  $P, Q \in \Omega$   $G_{P,Q}$  consists only of the identity.

*Result VIII* (Wielandt [11], 11.6): A transitive permutation group of prime degree is solvable if and only if it is either regular or a Frobenius group (Due to E. Galois).

3. The investigation. In this section we prove that if a finite projective plane  $\mathcal{P}$  has a rank 3 group  $G$  of collineations then  $G$  is

flag-transitive on  $\mathcal{P}$ ,  $G$  is nonsolvable (if  $n > 2$ ), and  $\mathcal{P}$  is not desarguesian (if  $n > 2$ ). We start with

LEMMA 1. *Let  $\mathcal{P}$  be a finite projective plane and  $G$  a rank 3 group of collineation of  $\mathcal{P}$ . Then for every point  $P$  of  $\mathcal{P}$ ,  $G_P$  permutes the lines through  $P$  in one or two orbits.*

*Proof.*  $G_P$  permutes the points different than  $P$  in two orbits  $\mathcal{O}_1$  and  $\mathcal{O}_2$ . Define the sets  $\mathcal{M}_i, i = 1, 2$ , by:  $\mathcal{M}_i$  consists of the lines through  $P$  such that  $l$  contains at least one point in  $\mathcal{O}_i$ .  $G_P$  is transitive on  $\mathcal{M}_1$  and  $\mathcal{M}_2$ , and every line through  $P$  is either in  $\mathcal{M}_1$  or  $\mathcal{M}_2$ . If  $\mathcal{M}_1 \cap \mathcal{M}_2$  is empty, then  $G_P$  permutes the lines through  $P$  in two orbits. If  $\mathcal{M}_1 \cap \mathcal{M}_2$  is nonempty, then  $G_P$  is transitive on the lines through  $P$ .

THEOREM 1. *Let  $\mathcal{P}$  be a finite projective plane and  $G$  a rank 3 group of collineations of  $\mathcal{P}$ . Then  $G_P$  is flag-transitive.*

*Proof.* Assume  $G$  is not flag-transitive. For every point  $P$  of  $\mathcal{P}$   $G_P$  has 3 point orbits in  $\mathcal{P}$ . Hence by Result II  $G_P$  has three line orbits in  $\mathcal{P}$ . If all the lines through  $P$  are in a single orbit under  $G_P$ , then  $G$  is flag-transitive contrary to our assumption. Thus by Lemma 1, two of these line orbits consist of the lines through  $P$ . Thus the third line orbit of  $G_P$  must consist of all the lines of  $\mathcal{P}$  which do not go through  $P$ . Hence for every point  $P$ ,  $G_P$  is transitive on all lines not through  $P$ .

Let  $(P, l)$  be a non-incident point-line pair of  $\mathcal{P}$  and  $(Q, m)$  another non-incident point-line pair. There exists a collineation  $\sigma \in G$  such that  $P\sigma = Q$ . Let  $\bar{l}$  be the image of  $l$  under  $\sigma$ .  $Q \notin \bar{l}$  since  $P \notin l$ . Then there exists a collineation  $\tau \in G_Q$  such that  $\bar{l}\tau = m$ . Then  $P\sigma\tau = Q\tau = Q$  and  $l\sigma\tau = \bar{l}\tau = m$ . This proves  $G$  is transitive on non-incident point-line pairs.

Result I implies that  $G$  is doubly transitive on the points of  $\mathcal{P}$ . This is a contradiction since  $G$  has rank 3 on the points of  $\mathcal{P}$ . Thus  $G$  is flag-transitive.

LEMMA 2. *Let  $\mathcal{P}$  be a finite projective plane of order  $n$  and  $G$  a rank 3 group of collineations of  $\mathcal{P}$ , and let  $P$  a point of  $\mathcal{P}$ .*

(i)  *$G_P$  permutes the points not equal to  $P$  in two orbits  $\mathcal{O}_1$  and  $\mathcal{O}_2$  of lengths  $k_1(n+1)$  and  $k_2(n+1)$  respectively, where  $k_1 + k_2 = n$ .*

(ii)  *$G_P$  permutes the lines not through  $P$  in two orbits  $\mathcal{L}_1$  and  $\mathcal{L}_2$  of lengths  $s_1$  and  $s_2$  respectively, with  $s_1 + s_2 = n^2$ .*

*Proof.*  $G_P$  is transitive on the lines through  $P$ . Thus every line

through  $P$  intersects the non-trivial point orbits  $\mathcal{O}_1$  in the same number of points. Thus  $|\mathcal{O}_1| = k_1(n + 1)$  where  $k_1$  is the number of points of  $\mathcal{O}_1$  on a line  $l$  through  $P$ . Similarly  $|\mathcal{O}_2| = k_2(n + 1)$ ,  $k_2$  the number of points of  $\mathcal{O}_2$  on a line  $l$  through  $P$ . This proves (i) since for every line  $l$  through  $P$ , a point  $\neq P$  on  $l$  is either in  $\mathcal{O}_1$  or  $\mathcal{O}_2$ .

$G_P$  has three point orbits. Hence it has three line orbits (Result II). One of these consists of the lines through  $P$ . The other two line orbits are made up of the lines not through  $P$  and there are  $n^2$  such lines. This gives (ii).

**LEMMA 3.** *Let  $\mathcal{P}$  be a finite projective plane of order  $n$  and  $G$  a rank 3 group of collineations of  $\mathcal{P}$ . If  $G$  is solvable, then*

- (i)  $n^2 + n + 1$  is a prime;
- (ii)  $G$  acts as a Frobenius group on  $\mathcal{P}$ ;
- (iii)  $n$  is even,  $|G| = 1/2(n^2 + n + 1)n(n + 1)$ , and  $|G_P| = 1/2n(n + 1)$ .

*If  $|G|$  is odd, then we also have*

- (iv)  $n = 2m$ ,  $m$  odd.

*Proof.* Since  $G$  is flag-transitive,  $G$  is primitive on the points of  $\mathcal{P}$  (Dembowski [3], p. 212). By Result VII  $n^2 + n + 1$  is a prime. Result VIII implies that  $G$  is either regular on  $\mathcal{P}$  or it is a Frobenius group on  $\mathcal{P}$ . Since  $G$  is clearly not regular it must be a Frobenius group on  $\mathcal{P}$ . This proves (i) and (ii).

By Lemma 2 we have for every point  $P \in \mathcal{P}$

$$|G_P| = k_1(n + 1) |G_{P,Q}| = k_2(n + 1) |G_{P,R}|,$$

where  $Q \in \mathcal{O}_1$  and  $R \in \mathcal{O}_2$ , and  $k_1 + k_2 = n$ . But  $G$  a Frobenius group on  $\mathcal{P}$  implies  $|G_{P,Q}| = 1 = |G_{P,R}|$ . Hence  $|G_P| = k_1(n + 1) = k_2(n + 1)$  and thus  $k_1 = k_2 = n/2$ . This implies  $n$  is even since  $k_1$  is an integer, and we have  $|G| = 1/2(n^2 + n + 1)(n(n + 1))$ . This proves (iii).

If  $|G|$  is odd, then  $n/2$  is odd and this proves (iv).

**LEMMA 4.** *Let  $\mathcal{P}$  be a finite projective plane of order  $n$  with a rank 3 group of collineations. If  $n > 2$ , then  $|G|$  is even.*

*Proof.* Assume  $|G|$  is odd. Then  $G$  is solvable (by the Feit-Thompson theorem) and the previous lemma implies  $n^2 + n + 1$  is a prime,  $n = 2m$  with  $m$  odd, and  $|G| = (n^2 + n + 1)m(n + 1)$ . Also for each point  $P$ ,  $G_P$  has two line orbits  $\mathcal{L}_1$  and  $\mathcal{L}_2$  of lengths  $s_1$  and  $s_2$  respectively with

$$(1) \quad s_1 + s_2 = n^2 = 4m^2$$

(Lemma 2). Since  $|G_P| = m(n + 1) = m(2m + 1)$ , we have

$$(2) \quad s_i \mid m(2m + 1), \quad i = 1, 2.$$

Let  $s = \text{g.c.d.}(s_1, s_2)$  and define integers  $t_1$  and  $t_2$  by

$$(3) \quad s_i = st_i, \quad i = 1, 2.$$

Then  $\text{g.c.d.}(t_1, t_2) = 1$  and  $s(t_1 + t_2) = 4m^2$  (by (1)). Since  $s$  is odd (for otherwise  $|G_P|$  is even),  $s \mid m^2$ . Since  $s \mid s_1$  (2) implies  $s \mid m$  since  $\text{g.c.d.}(m, 2m + 1)$ . Hence

$$(4) \quad m = su$$

for some integer  $u$ , and

$$(5) \quad t_1 + t_2 = 4su^2.$$

If  $v = \text{g.c.d.}(t_1, s) > 1$ , then  $v \mid t_2 - a$  contradiction to the fact that  $\text{g.c.d.}(t_1, t_2) = 1$ . If  $w = \text{g.c.d.}(t_1, u) > 1$ , then  $w \mid t_2$  again a contradiction. Hence  $1 = \text{g.c.d.}(t_1, s) = \text{g.c.d.}(t_1, u)$ , which implies  $\text{g.c.d.}(t_1, m) = 1$ . Similarly  $\text{g.c.d.}(t_2, m) = 1$  and thus

$$(6) \quad t_i \mid 2m + 1, \quad i = 1, 2.$$

Then we have, using (5)

$$2(2m + 1) \geq t_1 + t_2 = 4su^2.$$

Applying (4) we get

$$1 \geq 2su(u - 1).$$

Therefore  $u = 1$  and

$$(7) \quad m = s.$$

But then

$$(8) \quad t_1 + t_2 = 4m.$$

If  $t_1 = t_2$ , then  $t_1 = 2m$  and this contradicts (6). Thus without loss of generality we may assume  $t_1 < t_2$ . Then from (8) we get  $t_1 < 2m$ ,  $t_2 > 2m$  and this implies  $t_2 = 2m + 1$  (by (6)) and  $t_1 = 2m - 1$  (by (8)). Hence  $2m - 1 \mid 2m + 1$ , which implies  $m = 1, n = 2$ . This proves the lemma.

REMARK. The example at the end of §1 shows that if  $n = 2$   $|G|$  can be odd.

By combining Lemma 3 and Lemma 4 we can show that the rank 3 group  $G$  is nonsolvable if  $n > 2$ :

**THEOREM 2.** *Let  $\mathcal{P}$  be a finite projective plane of order  $n$  with a rank 3 group  $G$  of collineations. If  $n > 2$ , then  $G$  is nonsolvable.*

*Proof.* Assume  $G$  is solvable and  $n > 2$ . By Lemma 3(ii)  $G$  acts as a Frobenius group on  $\mathcal{P}$ . Lemma 4 implies  $|G|$  is even. Hence  $G$  has an element  $\sigma$  of order 2. Either  $\sigma$  is a central collineation or it fixes a subplane of  $\mathcal{P}$  pointwise (Baer [1]). In both cases  $\sigma$  fixes more than two points. But  $G_{P,Q}$  consists only of the identity for every two distinct points  $P, Q$  of  $\mathcal{P}$ . This gives a contradiction. Therefore  $G$  is nonsolvable if  $n > 2$ .

Our last result in this section shows that  $\mathcal{P}$  is desarguesian only when  $n = 2$ .

**THEOREM 3.** *Let  $\mathcal{P}$  be a finite projective plane with a rank 3 group  $G$  of collineations.  $\mathcal{P}$  is desarguesian if and only if  $n = 2$ .*

*Proof.* Assume  $\mathcal{P}$  is desarguesian. By Theorem 1  $G$  is flag-transitive.  $G$  cannot contain all the elations of  $\mathcal{P}$ . For the group  $H$  generated by the elations of  $\mathcal{P}$  is doubly transitive on the points of  $\mathcal{P}$ .  $H$  a subgroup of  $G$  implies  $G$  is doubly transitive on the points of  $\mathcal{P}$ -again contradicting the fact that  $G$  has rank 3 on the points of  $\mathcal{P}$ . By Result IV either  $n = 2$  and  $G$  has order 21, or  $n = 8$  and  $G$  has order 657. But the second case cannot occur since  $n > 2$  implies  $|G|$  is even (Lemma 4). Thus  $n = 2$  and  $G$  has order 21.

Conversely if  $n = 2$ , then  $\mathcal{P}$  is desarguesian, and the example at the end of §1 shows that in this case a rank 3 group does occur.

**4. Proof of the main theorem.** We now prove the main theorem stated in §1. Assume  $n$  is odd. If  $n$  is not a fourth power, then Theorem 1 and Result III implies that  $\mathcal{P}$  is desarguesian and  $G$  contains all elations of  $\mathcal{P}$ . But by Theorem 3 this is impossible.

Assume  $n$  is even and  $n > 2$ . Lemma 4  $|G|$  is even. If  $n$  is not a square, then an element in  $G$  of order 2 must be an elation (Baer [1]) and  $\mathcal{P}$  is desarguesian by Result V. This contradicts Theorem 3. Hence  $n$  is a square. If  $n = m^2$  with  $m \equiv 2 \pmod{4}$ , then  $\mathcal{P}$  is desarguesian by Result VI since  $G$  is nonsolvable (Corollary 2.1). This contradicts Theorem 3 again. The proof of the main theorem is complete.

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