

## COMPACT QUOTIENTS BY $C^*$ -ACTIONS

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Let  $X$  be a compact normal complex space on which  $C^*$  acts 'in a nice manner'. We describe all invariant open subsets  $U$  of  $X$  such that the holomorphic map  $U \rightarrow U/C^*$  of  $U$  onto the categorical quotient for the category of compact complex spaces,  $U/C^*$ , is locally Stein. The description depends on a partial ordering of the fixed point components which arises from the Bialynicki-Birula decompositions of  $X$ .

**Introduction.** Let  $\rho: T \times X \rightarrow X$  be a meromorphic action, (cf. §1), of  $T = C^*$  on an irreducible compact normal complex analytic space  $X$ . Such an action is said to be locally linearizable if and only if given any  $x \in X$  there is a  $T$ -invariant neighborhood  $V$  of  $x$  and a proper  $T$ -equivariant holomorphic embedding of  $V$  into  $C^n$  with  $T$  acting linearly on  $C^n$ .

In this paper we solve the following problem:

Describe all  $T$ -invariant Zariski open subsets  $U$  of  $X$ , such that  $U/T$  is a compact complex analytic space and  $U \rightarrow U/T$  is a semi-geometric quotient (i.e. a categorical quotient which is locally Stein cf. (1.8)).

This problem has been solved by A. Bialynicki-Birula and A. Sommese, [**B** – **B** + **S**], under the above setting when  $U$  contains no fixed points and by A. Bialynicki-Birula and J. Swiecieka, [**B** – **B** + **Sw**], when the action is algebraic and  $X$  is a compact algebraic variety.

As in [**B** – **B** + **S**], our description of semi-geometric quotients  $U \rightarrow U/T$  is intimately linked to a certain partial ordering of the fixed point components  $F_1, \dots, F_r$ . So that we can state our results precisely we shall introduce the following notation. We assume that all analytic spaces are Hausdorff, reduced and have countable topology.

Let  $\{F_1, \dots, F_r\}$  be the connected components of the fixed point set of  $T$ ,  $X^T$ . Define  $\phi^+, \phi^-: X \rightarrow X^T$  by  $\phi^+(x) = \lim_{t \rightarrow 0} tx$  and  $\phi^-(x) = \lim_{t \rightarrow \infty} tx$ , respectively.

Let  $X_i^+ = \{x \in X \mid \phi^+(x) \in F_i\}$ ,  $i = 1, \dots, r$ , and  $X_i^- = \{x \in X \mid \phi^-(x) \in F_i\}$ ,  $i = 1, \dots, r$ .

An index  $i$  is said to be *directly less than* an index  $j$  if  $C_{ij} = (X_i^+ - F_i) \cap (X_j^- - F_j) \neq \emptyset$ . We say that  $i$  is *less than*  $j$ , denoted  $i < j$ , if there exists a sequence  $i = i_0, \dots, i_k = j$  such that  $i_l$  is directly less than  $i_{l+1}$  for  $l = 0, \dots, k - 1$ . This relation forms an ordering of the indices  $\{1, \dots, r\}$ .

A *cross section* of  $\{1, \dots, r\}$  is a division of  $\{1, \dots, r\}$  into two non-empty disjoint subsets  $A^-$  and  $A^+$  satisfying the condition that  $i \in A^-$  and  $j < i$  implies that  $j \in A^-$ .

A *semi-cross section* of  $\{1, \dots, r\}$  is a division of  $\{1, \dots, r\}$  into three disjoint subsets,  $A^-, A^0, A^+$ , at least two of which are nonempty, which satisfy the following two conditions:

(a) if  $i < j$  and  $j \in A^0$  then  $i \notin A^0$

(b) if  $A^+ \neq \emptyset$  then  $(A^- \cup A^0, A^+)$  is a cross section and if  $A^- \neq \emptyset$  then  $(A^-, A^0 \cup A^+)$  is a cross section.

A subset  $B$  of  $X$  is a *semi-sectional set* if  $B = X - \bigcup_{i \in A^+} X_i^+ - \bigcup_{j \in A^-} X_j^-$  for some semi-cross section  $(A^-, A^0, A^+)$ .

**MAIN THEOREM.** *Let  $\rho: T \times X \rightarrow X$  be as above and let  $U$  be a  $T$ -invariant Zariski open subset of  $X$ . Then  $U/T$  is a compact complex analytic space and  $U \rightarrow U/T$  is a semi-geometric quotient if and only if  $U$  is a semi-sectional set with respect to some semi-cross section  $(A^-, A^0, A^+)$ .  $\square$*

Our proof uses the techniques of **[B – B + S]**.

We conclude this paper with a simple illustration of the Theorem for the case of a diagonal action of  $\mathbf{C}^*$  on  $\mathbf{P}^1 \times \mathbf{P}^1$ .

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**1. Notation and background material.** In this section we establish the pertinent notation and background material needed for the proof of the Theorem. The principal reference for this material is **[B – B + S]**.

Let  $T$  denote  $\mathbf{C}^*$ , the multiplicative group of non-zero complex numbers. A holomorphic action  $\rho: T \times X \rightarrow X$  of  $T$  on a normal compact analytic space  $X$  is said to be a *meromorphic action* if  $\rho$  extends to a meromorphic map  $\tilde{\rho}: \mathbf{P}^1 \times X \rightarrow X$ , where  $\mathbf{P}^1$  in one-dimensional complex projective space. This condition is satisfied if  $X$  is a Kaehler manifold and  $X^T$  has non-empty intersection with every connected component of  $X$ , **[So]**.

The maps  $\phi^+, \phi^-: X \rightarrow X^T$  as defined in the introduction always exist for meromorphic actions, **[Kor<sub>1</sub>]**. The collections of subsets  $\{X_i^+ | i = 1, \dots, r\}$  and  $\{X_i^- | i = 1, \dots, r\}$  form two decompositions of the space  $X$ , called respectively the plus and the minus Bialynicki-Birula decompositions. They satisfy the following properties:

(1.1) (a)  $X = \bigcup X_i^+ = \bigcup X_i^-$  is a disjoint union of  $T$ -invariant sets.

(b) There are two special components of  $X^T$ ,  $F_1$  called the *source* and  $F_r$  called the *sink* (renumbering if necessary), such that  $X_1^+$  and  $X_r^-$  are Zariski open in  $X$ .

(c) Each  $X_i^+$  and  $X_j^-$  is a constructible set, i.e., the finite union of locally closed sets.

These properties were proven in the algebraic category by Bialynicki-Birula, [**B – B**], and in the Kaehler category by Carrell and Sommese, [**C + S**], and Fujiki [**Fu<sub>2</sub>**].

We will now state a result found in [**B – B + S**] which is modeled on a result of Fujiki [**Fu<sub>2</sub>**]. It provides the basis for the proof of the Main Theorem.

**THEOREM (1.2).** *Let  $\rho: T \times X \rightarrow X$  be a meromorphic action of  $T$  on an irreducible compact complex analytic space  $X$ . There is a diagram:*

$$\begin{array}{ccc} Z & \xrightarrow{\mu} & X \\ f \downarrow & & \\ Q & & \end{array}$$

with the following properties:

- (a)  $f$  is a flat morphism of irreducible compact complex spaces  $Z$  and  $Q$ .
- (b)  $\mu$  is a bimeromorphic holomorphic map of  $Z$  onto  $X$  such that the restriction of  $\mu$  to each fiber  $Z_q = f^{-1}(q)$  is an embedding.
- (c) There is a natural holomorphic action of  $T$  on  $Z$  making  $f$  and  $\mu$   $T$ -equivariant with respect to the trivial action on  $Q$  and  $\rho$  on  $X$  respectively.
- (d) There is a dense Zariski open subset  $\mathcal{U}$  of  $Q$  such that for every  $q \in \mathcal{U}$ ,  $Z_q$  is reduced and  $\mu(Z_q)$  is the closure of a  $T$ -orbit from  $X_1^+ \cap X_r^-$ .
- (e) Every fiber  $Z_q$  of  $f$  is one-dimensional and for fibers  $Z_q, Z_{q'}$  that are reduced,  $\mu(Z_q) = \mu(Z_{q'})$  if and only if  $q = q'$ .
- (f)  $\mu(Z_q)$  is connected and meets  $F_1$ , the source, and  $F_r$ , the sink, for all  $q \in Q$ .
- (g) For all  $q \in Q$ ,  $Z_q \cap Z^T$  is finite.
- (h) Any continuous map  $\tau: A \rightarrow Y$  of an open subset  $A$  of  $Q$  to a complex analytic space  $Y$  which is holomorphic on a Zariski open dense subset of  $A$  is holomorphic on all of  $A$ . □

Let  $K$  be a compact complex space and let  $\text{Comp}(K)$  be the set of all compact subsets of  $K$ . The Hausdorff metric on  $\text{Comp}(K)$  is defined by:

$$\underline{\text{dist}}(A, B) = \max_{a \in A} \left\{ \min_{b \in B} \text{dist}(a, b) \right\} + \max_{b \in B} \left\{ \min_{a \in A} \text{dist}(b, a) \right\}$$

where  $\text{dist}(a, b)$  is the metric on  $K$ . Let  $A, A_i, i \in I$ , be elements of  $\text{Comp}(K)$ . When we say that the  $A_i$ 's converge to  $A$  we mean they converge in the Hausdorff metric.

We have the following Corollary to (1.2).

**COROLLARY (1.3).** *Let  $\rho, X, Q, Z, F$  and  $\mu$  be as in (1.2). Let  $\{q_n\}$  be a sequence in  $Q$ . If  $q_n$  converges to  $q$  in  $Q$  then  $\mu(Z_{q_n})$  converges to  $\mu(Z_q)$  in  $X$ .*

*Proof.* We claim that  $q_n$  converges to  $q$  in  $Q$  implies that  $Z_{q_n}$  converges to  $Z_q$  in the Hausdorff metric in  $Z$ , where  $Z_{q_n} = f^{-1}(q_n)$ ,  $Z_q = f^{-1}(q)$ . Let  $z$  be an arbitrary point of  $Z_q$ , then any open neighborhood  $V$  of  $z$  must intersect  $Z_{q_n}$  for  $n \gg 0$ . Suppose not, since  $f: Z \rightarrow Q$  is flat it is an open map and hence  $f(V)$  is an open neighborhood of  $q$ . If  $Z_{q_n}$  does not intersect  $V$  then  $q_n$  would not be an element of  $f(V)$  and therefore  $q_n$  would not converge to  $q$ . Thus we have that  $Z_{q_n}$  converges to  $Z_q$  and by the continuity of  $\mu: Z \rightarrow X$  that  $\mu(Z_{q_n})$  converges to  $\mu(Z_q)$ .  $\square$

**DEFINITION (1.4).** Let  $\rho: T \times X \rightarrow X$  be a meromorphic action of  $T$  on a normal compact analytic space. We say that  $\rho$  is a *locally linearizable action* if given any  $x \in X$  there is a  $T$ -invariant neighborhood  $V$  of  $x$  and a proper  $T$ -equivariant holomorphic embedding of  $V$  into  $\mathbf{C}^N$  with  $T$  acting linearly on  $\mathbf{C}^N$ .

**PROPOSITION (1.5).** *A holomorphic action  $\rho: T \times X \rightarrow X$  on a normal irreducible compact complex space  $X$  is locally linearizable if either of the following is true:*

- (a)  $X$  is an algebraic variety and  $\rho$  is an algebraic action or
- (b)  $X^T \neq \emptyset$  and  $X$  can be equivariantly embedded in a compact Kaehler manifold  $Y$  with a holomorphic action  $\tilde{\rho}: T \times Y \rightarrow Y$ .

*Proof.* (a) is due to Sumihiro [Su] and (b) is due to Koras [Kor<sub>2</sub>].  $\square$

We shall also use extensively the following (cf. Corollary (0.2.4) of [B – B + S]).

**PROPOSITION (1.6).** *Let  $\rho: T \times X \rightarrow X$  be a locally linearizable action of  $T$  on a compact analytic space  $X$ . Given any  $q \in Q$  we can choose  $\{x_1, \dots, x_k\}$  in  $\mu(Z_q) - \mu(Z_q)^T$  with:*

- (a)  $\phi^+(x_1) \in F_1$  and  $\phi^-(x_k) \in F_r$
- (b)  $\phi^-(x_j) = \phi^+(x_{j+1})$  for  $j = 1, \dots, k - 1$

- (c) if  $\overline{\phi^-(x_j)} = \overline{\phi^+(x_i)}$ , then  $i = j + 1$
- (d)  $T\{x_1, \dots, x_k\} = \mu(Z_q)$

Moreover, if  $X$  is normal, then  $\mu(Z_q) \cap F_1 = \{x_1\}$ ,  $\mu(Z_q) \cap F_r = \{x_k\}$ . □

We note that the last statement of Proposition (1.6) may not hold if  $X$  is not normal, i.e., it is possible in such a case that  $F_1 = F_r$ , for example simply identify a point of  $F_1$  with a point of  $F_r$ .

**COROLLARY (1.7).** *Let  $X$  and  $\rho$  be as in (1.6). For any connected component  $F_1$  of  $X^T$ ,  $F_1 < F_i < F_r$ .* □

Let  $\bar{\rho}: G \times Z \rightarrow Z$  be an action of a reductive group  $G$  on complex space  $Z$ . We can define an equivalence relation on the points of  $Z$  by  $x \sim y$  if and only if there is a sequence of points  $x = x_0, x_1, \dots, x_n = y$  in  $Z$  such that  $\overline{Gx_i} \cap \overline{Gx_{i+1}} \neq \emptyset, i = 0, \dots, n - 1$ . We define  $Z/G$  to be the set of equivalence classes under the above relation and define a map  $\pi: Z \rightarrow Z/G$  by  $\pi(x) = [x]$ , where  $[x]$  denotes the equivalence class containing  $x$ .  $Z/G$  is given the quotient topology, i.e.  $V$  is an open subset of  $Z/G$  if and only if  $\pi^{-1}(V)$  is an open subset of  $Z$ . We call  $\pi: Z \rightarrow Z/G$  the *categorical quotient* of  $Z$  by  $G$ .

We note that in general our definition of a categorical quotient does not coincide with the usual definition, in which the equivalence relation is defined by the invariant holomorphic functions. Our definition implies that fibers of  $\pi$  are connected and thus the quotient,  $X/G$ , need not be Hausdorff. When the quotient is assumed to be Hausdorff either definition will suffice.

**DEFINITION (1.8).** A categorical quotient  $\pi: Z \rightarrow Z/G$  is a *semi-geometric quotient* if it is locally Stein, i.e. given any point  $y \in Z/G$  there is a neighborhood  $W$  of  $y$  such that  $\pi^{-1}(W)$  is Stein.

**LEMMA (1.9).** *Let  $\rho: T \times X \rightarrow X$  be a meromorphic action of  $T$  on  $X$  a compact complex analytic space. Let  $U$  be a  $T$ -invariant open subset of  $X$ . If  $\pi: U \rightarrow U/T$  is a semi-geometric quotient then each fiber contains at most one fixed point.*

*Proof.* Let  $x, y \in U^T$  and suppose  $x \sim y$ . Then we can find a sequence of fixed points in  $U, x = z_0, z_1, \dots, z_n = y$  such that  $z_i \in [x]$  and  $z_i$  is directly related to  $z_{i+1}$ , i.e. there is a point  $z \in U$  with  $\phi^+(z) = z_i$  and  $\phi^-(z) = z_{i+1}$  or  $\phi^+(z) = z_{i+1}$  and  $\phi^-(z) = z_i$ . Thus, if  $x \neq y$  then

$\pi^{-1}([x])$  contains  $\phi^+(z) \cup Tz \cup \phi^-(z)$  which is homeomorphic to  $\mathbf{P}^1$  contradicting the assumption that  $\pi$  is locally Stein.  $\square$

**COROLLARY (1.10).** *Let  $\rho, U$ , and  $\pi$  be as in (1.9). Then  $\pi$  restricted to  $U^T$  is one to one onto  $\pi(U^T)$ .*  $\square$

The above allows us to identify  $U^T$  with a subset of  $U/T$ , namely  $\pi(U^T)$ .

**LEMMA (1.11).** *Let  $\rho, U$ , and  $\pi$  be as in (1.9). Then fibers of  $\pi$  are either orbits or  $x^+ \cup x^-$  for some  $x \in U^T$ , where  $x^+ = \{z \in X \mid \phi^+(z) = x\}$  and  $x^- = \{z \in X \mid \phi^-(z) = x\}$ .*

*Proof.* By (1.9) each fiber contains at most one fixed point. If the fiber does not contain a fixed point then it must consist of a single orbit since the intersection of the closures of two distinct orbits is either empty or contained in the set of fixed points. If the fiber contains a fixed point then since  $U$  is open and  $T$ -invariant, it follows that the fiber contains  $x^+ \cup x^-$ . For the fiber to contain anything else it must contain a second fixed point which is impossible. Thus the fibers are as stated.  $\square$

**LEMMA (1.12).** *Let  $\rho: T \times X \rightarrow X$  be a meromorphic action of  $T$  on  $X$  a normal compact complex analytic space  $X$ . Let  $U$  be a  $T$ -invariant open subset of  $X$  such that  $\rho: U \rightarrow U/T$  is a semi-geometric quotient. If  $U/T$  is Hausdorff it possess the structure of a complex analytic space and  $\pi$  is a holomorphic map.*

*Proof.* The definition of a semi-geometric quotient implies that we may cover  $U$  with  $\pi$ -saturated Stein sets,  $A_i$ . Each  $A_i/T$  is a complex Stein space such that  $\pi: A_i \rightarrow A_i/T$  is holomorphic, [Sn]. Since the structure on  $A_i/T$  is induced by the invariant holomorphic functions on  $A_i$ , it follows easily that the structure on the  $A_i/T$ 's are compatible. Thus, if  $U/T$  is Hausdorff it is a complex analytic space and  $\pi$  is holomorphic.  $\square$

**2. Semi-geometric quotients.** Throughout this section we shall assume that  $\rho: T \times X \rightarrow X$  is a locally linearizable action of  $T = \mathbf{C}^*$  on an irreducible normal compact complex analytic space  $X$  with fixed point components  $F_1, \dots, F_r$ .

We want to describe all  $T$ -invariant Zariski open subsets  $U$  of  $X$  whose quotient  $U/T$  is semi-geometric and a compact complex space. The

following propositions enable us to partition all such  $U$  into these three disjoint classes:

**Class I.**  $U$  contains no fixed point components, i.e.  $U \subset X - X^T$ .

**Class II.** The only fixed point component  $U$  contains is either the source  $F_1$  or the sink  $F_r$ .

**Class III.**  $U$  contains fixed point components  $F_i, i \neq 1, r$ , and if  $U$  contains  $F_i$  and  $F_j$  they are not directly related to each other.

**PROPOSITION (2.1).** *Let  $U$  be a  $T$ -invariant Zariski open subset of  $X$  whose quotient  $U/T$  is semi-geometric and a compact complex space. If  $F_i \cap U \neq \emptyset$ , then  $X_i^+ \cup X_i^- \subset U$ .*

*Proof.* Let  $x \in F_i \cap U$ . Since  $U$  is open and  $T$ -invariant the sets  $x^+$  and  $x^-$  must both be contained in  $U$ . Since  $X_i^+ = \bigcup_{x \in F_i} x^+$  and  $X_i^- = \bigcup_{x \in F_i} x^-$  we must only show that if  $F_i \cap U \neq \emptyset$ , then  $F_i \subset U$ . Furthermore, since  $F_i \cap U$  is open in  $F_i$ , this reduces to showing that  $F_i \cap U$  is closed.

Let  $x \in \overline{F_i \cap U} \subset F_i$  and let  $\{x_n\}$  be a sequence of distinct points contained in  $F_i$  converging to  $x$ . Since  $U \rightarrow U/T$  is a semi-geometric quotient each distinct  $x_n$  must have a distinct image in  $U/T$ , and so we may consider  $\{x_n\}$  as a sequence in  $U/T$ . Now  $U/T$  is assumed to be compact and so, passing to a subsequence and renumbering if necessary, we have that  $x_n$  converges to some  $y \in U/T$ . The locally Stein condition of semi-geometric quotients implies that we can find a neighborhood  $W_T \subset U/T$  of  $y$  and a Stein set  $W = \pi^{-1}(W_T) \subset U$ .

We can assume that  $\{x_n\}$  is contained in  $F_i \cap W$ , which is a closed  $T$ -invariant subset of  $W$ . By Corollary 3.6 of [Sn] since  $W$  is Stein we have that  $\pi(F_i \cap W) = F_i \cap W_T$  is closed in  $W_T$  (we note that in this case our definition of categorical quotient coincides with that of [Sn]). This implies that  $y \in \pi(F_i \cap W)$  and thus by identification, cf (1.10),  $y \in F_i \cap W \subset U$ . The convergence of the  $\{x_n\}$  yields  $y = x$ . □

**PROPOSITION (2.2).** *Let  $U$  be as in (2.1). Let  $F_i$  and  $F_j$  be two fixed point components and suppose that  $F_i \subset U$ . If  $F_j$  is directly related to  $F_i$  then  $F_j \not\subset U$ .*

*Proof.* Assume  $F_i < F_j$ . Suppose  $F_j \subset U$  then we can find an  $x \in U$  such that  $\phi^+(x) \in F_i$  and  $\phi^-(x) \in F_j$ . (2.1) implies that  $U \supset \phi^+(x) \cup Tx \cup \phi^-(x)$  which is biholomorphic to  $\mathbf{P}^1$ . This contradicts the local Stein-ness of the quotient since there can be no neighborhood of  $\pi(x)$  in  $U/T$  whose inverse image in  $U$  is Stein. □

**PROPOSITION (2.3).** *Let  $U$  be as in (2.1). If  $U$  contains the source,  $F_1$ , or the sink,  $F_r$ , then  $U$  does not contain any other fixed point component.*

*Proof.* Assume  $U \supset F_1$ . Since  $F_r$  is directly related to  $F_1$ ,  $F_r \not\subset U$ . Let  $F_i \subset U$ ,  $i \neq 1, r$ . Let  $x \in F_i$  and choose  $q \in Q$  such that  $x \in \mu(Z_q)$ , where  $Q$ ,  $Z_q$  and  $\mu$  are as in (1.2). Let  $\mathcal{Q}$  also be as in (1.2) and choose a sequence  $\{q_n\} \subset \mathcal{Q}$  converging to  $q$ .  $\mu(Z_{q_n})$  converges to  $\mu(Z_q)$  by (1.3). Thus we can find a sequence of points  $\{x_n\} \subset U$  such that  $x_n \in \mu(Z_{q_n})$  and  $x_n$  converges to  $x$ . Let  $\{y_n\}$ ,  $y$  be the image of  $\{x_n\}$ ,  $x$  respectively in  $U/T$ .  $\{y_n\} \subset$  image of  $F_1$ , which is identified with  $F_1$ , but since  $x \notin F_1$ ,  $y \notin F_1$ . But every open neighborhood of  $y$  meets  $\{y_n\}$ , so  $y$  is in the closure of  $F_1$  in  $U/T$ . Since  $F_1$  is closed this contradiction proves the proposition.  $\square$

We now show our Main Theorem holds for each of the three Classes separately.

Assume  $U$  is of Class I, i.e.  $U \subset X - X^T$ . This case was done in [B - B + S]. Their description is given in terms of cross sections. However, by considering a cross section  $(A^-, A^+)$  as a semi-cross section  $(A^-, A^0, A^+)$  with  $A^0 = \emptyset$ , their result coincides with our Main Theorem.

We next assume  $U$  is of Class II, i.e. the only fixed point component  $U$  contains is either  $F_1$  or  $F_r$ . To simplify things we assume  $F_1 \subset U$ .

**PROPOSITION (2.4).** *Let  $U$  be as in the preceding paragraph. If the quotient  $U/T$  is semi-geometric and a compact complex space then there exists a semi-cross section  $A = (A^-, A^0, A^+)$  such that  $U$  is a semi-sectional set with respect to  $A$ .*

*Proof.* Since  $F_1 \subset U$  we have by (2.1) that  $X_1^+ \subset U$ . The proof of Proposition (2.3) can in fact be used to show that  $U$  contains only  $X_1^+$ , i.e.  $U = X_1^+$ . Hence:

$$U = X_1^+ = X - \bigcup_{i=2}^r X_i^+ = X - \bigcup_{i \in A^+} X_i^+ - \bigcup_{j \in A^-} X_j^-$$

where  $A^+ = \{2, \dots, r\}$ ,  $A^- = \emptyset$ . Taking  $A^0 = \{1\}$  we have the desired semi-cross section.  $\square$

**PROPOSITION (2.5).** *Suppose  $U$  is the semi-sectional set associated to the semi-cross section  $(A^-, A^0, A^+)$  where  $A^- = \emptyset$ ,  $A^0 = \{1\}$ ,  $A^+ = \{2, \dots, r\}$ . Then  $U$  is a  $T$ -invariant Zariski open subset of  $X$  whose quotient  $U/T$  is semi-geometric and a compact complex space.*

*Proof.* By definition we have:

$$U = X - \bigcup_{i \in A^+} X_i^+ - \bigcup_{j \in A^-} X_j^-.$$

Using the facts that  $A^- = \emptyset$  and that  $X = \bigcup_{i=1}^r X_i^+$  which is a disjoint union we have that  $U = X_1^+$ . Thus we have that  $U$  is a  $T$ -invariant Zariski open subset of  $X$ .

Since  $U/T = X_1^+/T = F_1$  we have that  $U/T$  is a compact complex analytic space. For each  $x \in F_1$  let  $V_x$  be a  $T$ -invariant Stein neighborhood of  $x$  in  $U$  given by definition of the action being locally linearizable. Then we have covered  $U/T$  by sets whose inverse images in  $U$  are Stein.  $U/T$  is obviously a categorical quotient and so it is a semi-geometrical quotient. The proposition is proven.  $\square$

Combining (2.4) and (2.5) gives the Main Theorem for Class II sets.

From now on unless stated otherwise, we assume that  $U$  is of Class III, i.e.  $U$  contains fixed point components  $F_i$ ,  $i \neq 1, r$  and any two are not directly related.

**LEMMA (2.6).** *Let  $U$  be a  $T$ -invariant Zariski open subset of  $X$  whose quotient  $U/T$  is semi-geometric and a compact complex space. Then  $X_1^+ \cap X_r^- \subset U$ .*

*Proof.* Let  $C = X_1^+ \cap X_r^-$ , then  $C$  is a Zariski open subset of  $X$ . Since  $X$  is assumed to be irreducible  $C$  must also be irreducible. By Zariski openness and denseness  $C$  must intersect  $U$ . The same proof as that of Lemma (1.1.1) in [**B** – **B** + **S**] yields that  $C$  is contained in  $U$ .  $\square$

Let  $\mathcal{U}$  be the subset of  $Q$  from Theorem (1.2). The above Lemma allows us to identify  $\mathcal{U}$  with a dense open subset of  $U/T$ .

We have need of the following fact. Let  $A$  be a complex space and let  $B$  be a dense subset of  $A$ . Let  $\{x_n\}$  be a sequence of points of  $A$ , then we can find a sequence of points contained in  $B$ ,  $\{y_n\}$ , such that  $\text{dist}(x_n, y_n) < 1/n$  where  $\text{dist}$  is the metric on  $A$ . If  $\{x_n\}$  diverges then  $\{y_n\}$  diverges and if  $\{x_n\}$  converges then  $\{y_n\}$  converges to the same point. Thus if we have a sequence in  $U/T$  we can assume it is contained in  $\mathcal{U}$ .

We shall make the following convention. Let  $y \in U/T$ , when we choose a point  $x \in \pi^{-1}(y)$  we assume  $x$  is the unique fixed point if  $\pi^{-1}(y)$  contains one, otherwise  $x$  may be any point of  $\pi^{-1}(y)$ .

LEMMA (2.7). *Let  $U$  be a  $T$ -invariant Zariski open subset of  $X$ . Then  $U/T$  is a semi-geometric quotient and a compact complex space if and only if given  $q \in Q$ , either:*

- (a) *There exists a  $y \in X - X^T$  such that  $\mu(Z_q) \cap U = Ty$  or*
- (b) *There exist  $y_1, y_2 \in X - X^T$  with  $\phi^-(y_1) = \phi^+(y_2)$  such that  $\mu(Z_q) \cap U = Ty_1 \cup \phi^-(y_1) \cup Ty_2$ , where  $Q, Z_q$  and  $\mu$  are as given in (1.2).*

*Proof.* To prove the necessity of (a) or (b) we first shall show that  $\mu(Z_q) \cap U \neq \emptyset$ . Suppose not, we can find a sequence  $\{q_n\} \subset \mathcal{U} \subset Q$ , such that  $q_n$  converges to  $q$  and thus  $\mu(Z_{q_n})$  converges to  $\mu(Z_q)$  in the Hausdorff metric. We note this implies that any open neighborhood of  $\mu(Z_q)$  contains  $\mu(Z_{q_n})$ ,  $n \gg 0$ . By (2.6) we can consider  $\{q_n\} \subset U/T$ . By assumption  $U/T$  is compact and therefore, after passing to a subsequence and renumbering if necessary,  $q_n$  converges to an element  $y$  of  $U/T$ . Let  $x \in \pi^{-1}(y)$ . Let  $V_1$  and  $V_2$  be disjoint open subsets of  $X$  which contain  $\mu(Z_q)$  and  $x$  respectively. We can assume that  $V_2 \subset U$ .  $\pi(V)$  contains a dense open subset consisting of elements of  $\mathcal{U}$ . Since  $q_n$  converges to  $y$  and  $y \in \pi(V)$  we can replace elements of  $\{q_n\}$  for  $n \gg 0$ , with elements of  $\pi(V) \cap \mathcal{U}$  without affecting convergence, so we may consider  $q_n \in \pi(V)$  for  $n \gg 0$ . This implies  $\pi^{-1}(q_n) \cap V_2 \neq \emptyset$ . But  $\pi^{-1}(q_n) = \mu(Z_{q_n}) \cap U \subset V_1$ . This contradiction implies that  $\mu(Z_q) \cap U \neq \emptyset$ .

We now claim that  $\mu(Z_q) \cap U$  is connected. Obviously this is true if  $q \in \mathcal{U}$ . Suppose  $q$  is not an element of  $\mathcal{U}$  and that  $\mu(Z_q) \cap U$  is not connected. Then we can find two disjoint closed invariant sets,  $S_1$  and  $S_2$ , with  $S_1 \cup S_2 = \mu(Z_{q_n}) \cap U$ . Note  $\pi(S_1) \neq \pi(S_2)$ . As before we can find a sequence  $\{q_n\}$  contained in  $\mathcal{U}$ , such that  $\mu(Z_{q_n})$  converges to  $\mu(Z_q)$ . Let  $x_n = \pi(\mu(Z_{q_n}))$ , then by continuity we have that  $x_n$  converges to both  $\pi(S_1)$  and  $\pi(S_2)$  in  $U/T$ . This contradicts  $U/T$  being Hausdorff.

$\mu(Z_q) \cap U$  can contain at most one fixed point since if it contained two, connectivity would imply that it contains  $\mathbf{P}^1$  and then  $U/T$  could not be a semi-geometric quotient. If  $\mu(Z_q) \cap U$  contains no fixed point it has the form of (a), if it has a fixed point,  $x$ , since  $x^+ \cup x^- \subset U$  by (2.1) it has the form of (b).

Suppose  $\mu(Z_q) \cap U$  is of the form (a) or (b) for any  $q \in Q$ . We will first show that  $\pi: U \rightarrow U/T$  is a semi-geometric quotient. The fiber over any point in  $U/T$  must either be a single orbit or  $x^+ \cup x^-$  for some fixed point  $x$ . This can be seen by considering  $\mu(Z_q) \cap U$ . If it is just an orbit then it goes to a point in  $U/T$  and is the fiber over that point. If it contains a fixed point then every  $\mu(Z_{q'}) \cap U$  which contains the  $x$  will go to the same point in  $U/T$ . Thus the fibers are as stated above and it is

easily seen that this implies that  $U/T$  is a categorical quotient. For each  $y \in U/T$  choose  $x \in \pi^{-1}(y)$ . For each  $x$  let  $V_x$  be the  $T$ -invariant Stein neighborhood of  $x$  in  $X$  given by the action being locally linearizable. Since  $U$  is  $T$ -invariant we can consider that  $V_x \subset U$  and by the description of the fibers that the  $V_x$  are  $\pi$ -saturated. Thus we can cover  $U/T$  with sets whose inverse images are Stein and, so the quotient  $U/T$  is semi-geometric.

Assume  $U/T$  is not Hausdorff, then we can find  $\{y_n\} \subset U/T$  with  $y_n$  converging to two distinct points  $z_1$  and  $z_2$ . We may assume  $\{y_n\} \subset \mathcal{U}$  and so  $\{y_n\} \subset Q$  which is compact. We may assume, after passing to a subsequence and renumbering if necessary that  $y_n$  converges to  $q \in Q$ . Let  $x_i \in \pi^{-1}(z_i)$  and  $V_i$  be an open neighborhood of  $z_i$  in  $U/T$ .  $V_i \supset y_n$  for  $n \gg 0$  and so we can find a sequence of points  $\{x_{i,n}\} \subset U$  with  $x_{i,n} \in \mu(Z_{y_n}) \cap \pi^{-1}(V_i)$  such that  $x_{i,n}$  converges to  $x_i$ . Since  $\mu: Z \rightarrow X$  is continuous the above implies that  $\mu(Z_q)$  contains both  $x_1$  and  $x_2$ . But since  $x_1$  and  $x_2$  are both contained in  $U$  this implies that their image in  $U/T$  must be the same, i.e.  $z_1 = z_2$ . This contradiction implies  $U/T$  is Hausdorff. Applying Proposition (1.10) gives us that  $U/T$  is a complex analytic space.

It remains to show that  $U/T$  is compact. Let  $\{x_n\}$  be a sequence in  $U/T$ . We can assume it is contained in  $\mathcal{U}$  and therefore in  $Q$  which is compact and so we can find a convergent subsequence  $\{x'_m\}$  with  $x'_m$  converging to  $q \in Q$ . Let  $x \in \mu(Z_q) \cap U$ . Since  $x'_m$  converges to  $q$  we have that  $\mu(Z_{x'_m})$  contained in  $U$  with  $z_m \in \mu(Z_{x'_m}) \cap U$  and such that the  $z_m$  converges to  $x$ . By the continuity of  $U \rightarrow U/T$  we have that  $x'_m$  converges to  $y$  where  $y$  is the image of  $x$  in  $U/T$ . Thus we have shown that every sequence in  $U/T$  has a convergent subsequence which converges to a point in  $U/T$ . Therefore,  $U/T$  is compact.

This completes the proof of Lemma (2.7). □

**THEOREM (2.8).** *Let  $A = (A^-, A^0, A^+)$  be a semi-cross section. If  $U$  is the semi-sectional set which corresponds to the semi-cross section then  $U$  is a  $T$ -invariant Zariski open subset of  $X$  whose quotient  $U/T$  is semi-geometric and a compact complex space.*

*Proof.* Recall

$$U = X - \bigcup_{i \in A^+} X_i^+ - \bigcup_{j \in A^-} X_j^-.$$

It is obvious that  $U$  is  $T$ -invariant. The proof that  $U$  is Zariski open is the same as that given in Theorem (1.3) of [B – B + S].

Let  $q \in Q$ . We claim that  $\mu(Z_q) \cap U \neq \emptyset$ . Suppose not, then  $\{h \in \{1, \dots, r\} \mid F_h \cap \mu(Z_q) \neq \emptyset\}$  would all lie in  $A^-$  or all in  $A^+$ . This follows from Proposition (1.6) since otherwise we could find an  $x \in \mu(Z_q)$  and an  $i$  and  $j$  with  $j \in A^-$  and  $i \in A^+$ , such that  $\phi^+(x) \in F_j$  and  $\phi^-(x) \in F_i$ . By the above description of  $U$  we see that this implies that  $x \in U$  and thus that  $\mu(Z_q) \cap U \neq \emptyset$ . Therefore the set of  $h$  with  $F_h \cap \mu(Z_q) \neq \emptyset$  lies totally in either  $A^-$  or  $A^+$ . By (1.6) we would have that either  $r \in A^-$  or  $1 \in A^+$ . The former implies that  $A^- = \{1, \dots, r\}$  and the latter that  $A^+ = \{1, \dots, r\}$ . In either case this would imply that  $U = \emptyset$ . Thus for all  $q \in Q$  we must have that  $\mu(Z_q) \cap U \neq \emptyset$ .

Let  $q \in Q$  and let  $y_1$  and  $y_2$  be two points in  $X - X^T$  such that  $Ty_1 \cup Ty_2$  is contained in  $\mu(Z_q) \cap U$ . We claim that either  $Ty_1 = Ty_2$  or either  $\phi^+(y_1) = \phi^-(y_2)$  or  $\phi^-(y_1) = \phi^+(y_2)$ . Suppose  $Ty_1 \neq Ty_2$ . Under this condition assume also that  $\phi^+(y_1) \neq \phi^-(y_2)$  and  $\phi^-(y_1) \neq \phi^+(y_2)$ . Then again applying (1.6) we can find  $a$  and  $b$  such that either  $\phi^+(y_2) \in F_b$  and  $\phi^-(y_1) \in F_a$  and  $a < b$  or  $\phi^+(y_1) \in F_b$  and  $\phi^-(y_2) \in F_a$  and  $a < b$ . Either way we get a contradiction. In the former case if  $a \in A^-$  then  $y_1 \in F_a^-$  and is not in  $U$ , if  $a \in A^0 \cup A^+$  then  $b \in A^+$ , (since  $(A^-, A^0, A^+)$  is a semi-cross section), and therefore  $y_2 \in X_b^+$  and thus not in  $U$ . Likewise the latter case also implies that either  $y_1$  or  $y_2$  is not an element of  $U$ .

Assume that in fact  $\phi^-(y_1) = \phi^+(y_2) = x$ . Let  $x \in F_k$ , then  $k \in A^0$ , since otherwise if  $k \in A^-$  this would mean  $y_1$  is not an element of  $U$  and if  $k \in A^+$  this would mean  $y_2$  is not an element of  $U$ . Hence  $x \in U$ .

Therefore, we have shown that for every  $q \in Q$  either  $\mu(Z_q) \cap U = Ty$  for some  $y \in X - X^T$  or  $\mu(Z_q) \cap U = Ty_1 \cup \phi^-(y_1) \cup Ty_2$  for some  $y_1$  and  $y_2$  in  $X - X^T$ . Applying Lemma (2.7) finishes the proof.  $\square$

**LEMMA (2.9).** *Let  $U$  be a  $T$ -invariant Zariski open subset of  $X$  whose quotient  $U/T$  is semi-geometric and a compact complex space. Let  $\{F_k\}$  be the set of fixed point components contained in  $U$ . Let  $U' = U - \bigcup X_k^-$ . Then  $U'$  is a Class I  $T$ -invariant Zariski open subset of  $X$  whose quotient  $U'/T$  is semi-geometric and a compact complex space.*

*Proof.*  $U'$  is obviously  $T$ -invariant and contained in  $X - X^T$ . Lemma (1.3.1) of [B – B + S] shows that  $\bigcup X_k^-$  is a closed set and thus  $U'$  is an open constructible set and therefore is Zariski open.

For all  $q \in Q$  we can consider  $\mu(Z_q) \cap U'$  which is contained in  $\mu(Z_q) \cap U$ . If  $\mu(Z_q) \cap U = Ty$  for some  $y \in X - X^T$  then  $y$  is not an

element of  $X_k$  for any  $F_k$  contained in  $U$  and so  $\mu(Z_q) \cap U' = Ty$ . If  $\mu(Z_q) \cap U = Ty_1 \cup \phi^-(y_1) \cup Ty_2$ , for some  $y_1, y_2 \in X - X^T$ , we have that  $y_1 \in X_k^-$  for some  $F_k$  contained in  $U$  but that  $y_2$  is not an element of  $X_k^-$  for any  $F_k$  contained in  $U$  and thus that  $\mu(Z_q) \cap U' = Ty_2$ . Hence we have that for every  $q \in Q$  there is an  $y \in X - X^T$  such that  $\mu(Z_q) \cap U' = Ty$ . Applying Lemma (1.2) of  $[B - B + X]$  gives the desired result.  $\square$

REMARK (2.10). In  $[B - B + S]$  it is shown that a Class I  $T$ -invariant Zariski open subset  $U$  of  $X$  has a compact complex space as quotient if and only if  $(X - U)$  has two connected components, one which contains the source,  $F_1$ , and the other which contains the sink,  $F_r$ . We will use this fact in the next theorem.

THEOREM (2.11). *Let  $U$  be a  $T$ -invariant Zariski open subset of  $X$  whose quotient  $U/T$  is semi-geometric and a compact complex space. Then  $U$  is a semi-cross sectional set with respect to some semi-cross section  $(A^-, A^0, A^+)$ .*

*Proof.* Given  $U$  let  $U'$  be the corresponding Class I set given by Lemma (2.9), i.e.  $U' = U - \cup(X_{k_i}^-)$  where  $\{F_{k_1}, \dots, F_{k_n}\}$  is the set of fixed point components contained in  $U$ . As noted in Remark (2.10),  $(X - U')$  has two connected components, one containing  $F_1$  and the other  $F_r$ . Since we assume that  $U$  does not contain either  $F_1$  or  $F_r$  we must have that  $\cup(X_{k_i}^-)$  does not contain them either. Therefore  $(X - U') - \cup(X_{k_i}^-)$  must be disconnected, since  $F_1$  and  $F_r$  are still in different components. But  $(X - U') - \cup(X_{k_i}^-) = (X - U)$ . Thus we have that  $(X - U)$  is disconnected and that  $F_1$  and  $F_r$  are in different components.

Let  $A_1$  be the connected component of  $X - U$  which contains  $F_1$  and let  $A_2$  be the connected component of  $X - U$  which contains  $F_r$ . Assume there was another connected component of  $X - U$  besides  $A_1$  and  $A_2$ , call it  $A_3$ . Let  $x \in A_3$  and choose a  $q \in Q$  such that  $x \in \mu(Z_q)$ . By Lemma (2.7) we know that  $\mu(Z_q) \cap U$  is either  $Ty$  for some  $y \in X - X^T$  or  $Ty_1 \cup \phi^-(y_1) \cup Ty_2$ , for some  $y_1, y_2 \in X - X^T$ . In either case (1.6) implies that  $\mu(Z_q) \cap (X - U)$  has two connected components, one which intersects  $F_1$  and another which intersects  $F_r$ . Thus  $x$  must be in the same connected component of  $X - U$  as  $F_1$  or  $F_r$ , i.e.  $A_3 = A_1$  or  $A_3 = A_2$ . Therefore,  $X - U$  has exactly two connected components.

Let  $\{F_1, \dots, F_r\}$  be the set of connected components of  $X^T$ . Set  $A^- = \{j: F_j \text{ is contained in } A_1\}$ ,  $A^0 = \{k: F_k \text{ is contained in } U\}$  and  $A^+ = \{i: F_i \text{ is contained in } A_2\}$ . We claim that  $(A^-, A^0, A^+)$  forms a semi-cross section of  $\{1, \dots, r\}$ . Let  $j \in A^-$  and suppose  $j'$  is directly less than  $j$ . We can find  $x_j \in F_j$ ,  $x_{j'} \in F_{j'}$ , and  $x \in X$  such that  $\phi^+(x) = x_{j'}$ ,

and  $\phi^-(x) = x_j$ . Thus we can find a  $q \in Q$  with  $\mu(Z_q)$  containing  $\{x_j, x_{j'}, x\}$ . Looking at  $\mu(Z_q) \cap (X - U)$  we see that  $F_j$  is contained in  $A_1$ , i.e.  $j' \in A^-$ . A finite application of the above step shows that  $j' \in A^-$  for any  $j' < j$ . Now let  $k \in A^0$  and suppose  $j$  is directly less than  $k$ . Proposition (2.2) shows that  $F_j$  is not contained in  $U$  and (1.6) implies that it must be contained in  $A_1$ . Thus  $j \in A^-$  and therefore so is any  $j' < k$ . Now suppose there was a  $k' \in A^0$  with  $k < k'$ , the above implies that  $k \in A$ . Therefore we see that if  $k \in A^0$  and if  $k'$  is related to  $k$  then  $k'$  is not an element of  $A^0$ . It is also obvious by what we have shown that if  $k \in A^0$  and if  $k < i$  then  $i \in A^+$ . Hence  $(A^-, A^0, A^+)$  forms a semi-cross section of  $\{1, \dots, r\}$ .

Let  $x \in U$ . Then either  $x \in (X_k^+ \cup X_k^-)$ , for some  $k \in A^0$ , or  $x \in X_i^- \cap X_j^+$ , for some  $i \in A^+$  and  $j \in A^-$ . Therefore since  $U, A_1$  and  $A_2$  are  $T$ -invariant and the points of  $U$  satisfy the conditions stated above we have that  $U$  is given by:

$$U = X - \bigcup_{i \in A^+} X_i^+ - \bigcup_{j \in A^-} X_j^-.$$

Hence  $U$  is a semi-sectional set with respect to the semi-cross section  $(A^-, A^0, A^+)$ .

Combining (2.8) and (2.11) yields the Main Theorem for Class III sets. □

**3. An Example.** Let  $T$  act on  $\mathbf{P}^1 \times \mathbf{P}^1$  by  $t([z_0 : z_1], [w_0 : w_1]) = ([z_0 : tz_1], [w_0 : tw_1])$ . There are four fixed points of this section,  $F_1 = ([1 : 0], [1 : 0])$ ,  $F_2 = ([1 : 0], [0 : 1])$ ,  $F_3 = ([0 : 1], [1 : 0])$  and  $F_4 = ([0 : 1], [0 : 1])$ . The plus decomposition is given by

$$\begin{aligned} X_1^+ &= \mathbf{P}^1 \times \mathbf{P}^1 - \{z_0 = 0 \text{ or } w_0 = 0\}, \\ X_2^+ &= \{([z_0 : z_1], [0 : 1]) : z_0 \neq 0\}, \\ X_3^+ &= \{([0 : 1], [w_0 : w_1]) : w_0 \neq 0\} \text{ and} \\ X_4^+ &= ([0 : 1], [0 : 1]). \end{aligned}$$

The minus decomposition is given by

$$\begin{aligned} X_1^- &= ([1 : 0], [1 : 0]), \\ X_2^- &= \{([1 : 0], [w_0 : w_1]) : w_1 \neq 0\}, \\ X_3^- &= \{([z_0 : z_1], [0 : 1]) : z_1 \neq 0\} \text{ and} \\ X_4^- &= \mathbf{P}^1 \times \mathbf{P}^1 + \{z_1 = 0 \text{ or } w_1 = 0\}. \end{aligned}$$

Hence  $([1 : 0], [1 : 0])$  is the source and  $([0 : 1], [0 : 1])$  is the sink.

The following chart describes the possible  $T$ -invariant open subsets  $U$  of  $X$  whose quotient  $U/T$  is semi-geometric and a compact complex space:

Class	$A^-$	$A^0$	$A^+$	$U$	$U/T$
I	{1, 2, 3}	$\emptyset$	{4}	$C^2 - 0$	$P^1$
I	{1, 2}	$\emptyset$	{3, 4}	$C^* \times P^1$	$P^1$
I	{1, 3}	$\emptyset$	{2, 4}	$C^* \times P^1$	$P^1$
I	{1}	$\emptyset$	{2, 3, 4}	$C^2 - 0$	$P^1$
II	$\emptyset$	{1}	{2, 3, 4}	$C^2$	point
II	{1, 2, 3}	{4}	$\emptyset$	$C^2$	point
III	{1, 2}	{3}	{4}	$P^1 \times P^1 - ((0:1], [0:1]) - \{([1:0], [w_0: w_1])\}$	$P^1$
III	{1, 3}	{2}	{4}	$P^1 \times P^1 - ((0:1], [0:1]) - \{([z_0: z_1], [1:0])\}$	$P_1$
III	{1}	{2, 3}	{4}	$P^1 \times P^1 - ((0:1], [0:1]) - \{([1:0], [1:0])\}$	$P_1$
III	{1}	{3}	{2, 4}	$P^1 \times P^2 - ([1:0], [1:0]) - \{([z_0: z_1], [0:1])\}$	$P^1$
III	{1}	{2}	{3, 4}	$P^1 \times P^1 - ([1:0], [1:0]) - \{([1:0], [w_0: w_1])\}$	$P^1$

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