

## A CONSTRUCTION OF AN ORDERED DIVISION RING WITH A RANK ONE VALUATION

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Let  $(k, P_k)$  be an ordered field and  $\Gamma$  be a dense additive subgroup of  $\mathbb{R}$ . In this paper, we shall construct a noncommutative ordered division ring  $(D, P)$  and a compatible valuation  $v$  on  $(D, P)$  such that (i) the value group of  $v$  is  $\Gamma$  and (ii) the residue division ring  $(\overline{D}_v, \overline{P}_v)$  is order isomorphic to  $(k, P_k)$ . This problem is interesting because, in effect, we are constructing the “simplest” or in some sense the smallest noncommutative ordered division ring.

**1. Introduction.** Before we formulate the problem concerned in this paper, we first establish some basic terminologies.

Let  $D$  be a division ring. A subset  $P$  is called an ordering on  $D$  if (i)  $P+P \subset P$ , (ii)  $P \cdot P \subset P$ , (iii)  $P \cup (-P) = D$ , (iv)  $P \cap (-P) = \{0\}$ . In this case we say  $(D, P)$  is an ordered division ring, and write  $a >_P b$  if  $a - b \in P \setminus \{0\}$ . (For convenience, we shall simply write  $a > b$  if there is no confusion of the ordering concerned.) A valuation on  $D$  is a surjective mapping  $v: D \rightarrow G \cup \{\infty\}$ , where  $G$  is a totally ordered group (written additively though not necessarily abelian), such that for all  $a, b \in D$ ,

- (i)  $v(a) = \infty$  if and only if  $a = 0$ ,
- (ii)  $v(ab) = v(a) + v(b)$ ,
- (iii)  $v(a + b) \geq \min\{v(a), v(b)\}$ .

Also, we let  $R_v := \{a \in D: v(a) \geq 0\}$ , the valuation ring of  $v$ ;  $I_v := \{a \in D: v(a) > 0\}$ , the unique maximal left ideal and maximal right ideal of  $R_v$ ;  $\overline{D}_v := R_v/I_v$ , the residue division ring of  $v$  and  $\pi_v: R_v \rightarrow \overline{D}_v$ , the natural projection from  $R_v$  to  $\overline{D}_v$ . For a reference, see [S: Chapter 1].

Next, we define the notion of compatibility of orderings and valuations on a division ring.

**DEFINITION 1.1.** Let  $v: D \rightarrow G \cup \{\infty\}$  be a valuation and  $P$  an ordering on  $D$ . We say  $v$  is compatible with the ordering  $P$  if for any  $a, b \in P \setminus \{0\}$ ,  $a - b \in P \setminus \{0\}$  implies  $v(a) \leq v(b)$  in  $G$ .

**LEMMA 1.2 [T1: Lemma 3.4].** Let  $(D, P)$  be an ordered division ring. Then  $v$  is compatible with  $P$  if and only if  $1 + I_v \subset P$ .

As a consequence of the above lemma, a valuation  $v$  compatible with an ordering  $P$  on a division ring  $D$  induces an ordering

$$\bar{P}_v := \{a + I_v : a \in R_v \cap P\} \quad \text{on } \bar{D}_v \text{ [T2: Section 0]}.$$

There is also a natural compatible valuation  $v_P$  associated with  $P$ . In fact,  $v_P$  is the valuation induced by the valuation ring

$$R_{v_P} := \{a \in D : \exists n \in \mathbb{N} \text{ with } n \pm a \in P\}.$$

We call  $v_P$  the natural valuation of  $(D, P)$ . For details, see [T1: Chapter 1, Theorem 3.5].

We are now ready to formulate the problem.

*Problem 1.3.* Given an ordered division ring  $(k, P_k)$  and an ordered group  $\Gamma$  do there exist a noncommutative ordered division ring  $(D, P)$  and a compatible valuation  $v$  on  $(D, P)$  such that

- (I) the value group of  $v$  is  $\Gamma$  and
- (II) the residue division ring  $(\bar{D}_v, \bar{P}_v)$  is order isomorphic to  $(k, P_k)$ ?

The cases when  $k$  is noncommutative or  $\Gamma$  is nonabelian are simple. We can simply apply Neumann's construction ([N], [Sch: Theorem 1.10]) to get the desired  $(D, P), v$ . However, in case when  $k$  is a field and  $\Gamma$  is abelian, usually it is not easy to define suitable order automorphisms needed in Neumann's construction. In fact, the only order automorphism of any field  $k \subset \mathbb{R}$  is the identity mapping. Furthermore, it can also be proved that such  $(D, P), v$  do not exist in case  $k$  is algebraic over  $\mathbb{Q}$  and  $\Gamma \cong \mathbb{Z}$ . For detail, see [Sch: Chapter 3].

Problem 1.3 was first considered in M. Schröder's Münster paper [Sch]. In his paper he assumes  $(k, P_k)$  is archimedean, and is able to construct  $(D, P), v$  satisfying the above criteria in the following cases:

- (A) the transcendence degree of  $k$  over  $\mathbb{Q}$  is at least 1 and  $\Gamma$  is arbitrary.
- (B)  $k$  is algebraic over  $\mathbb{Q}$  and  $\Gamma$  is a dense subgroup of  $(\mathbb{R}, +)$  not contained in  $\mathbb{Q}$ .

For their proofs, see [Sch: Theorem 6.2, 6.6]. Note also that in these cases, the valuations involved are actually the natural valuations of  $(D, P)$ .

It is not difficult to see that Schröder's method can be generalized to any ordered field  $(k, P_k)$  in case  $\Gamma$  is dense in  $\mathbb{R}$  but not contained in  $\mathbb{Q}$ . Thus, we reduce Problem 1.3 to the case when  $\Gamma$  is a dense subgroup of  $\mathbb{Q}$ . From now on, we shall fix an ordered field  $(k, P_k)$  (not necessarily archimedean) and a dense subgroup  $\Gamma$  in  $(\mathbb{R}, +)$ . It is clear that we may always assume  $\Gamma$  contains  $\mathbb{Z}$ . Our objective is to construct a noncommutative ordered division ring  $(D, P)$  and a compatible valuation  $v$  such that (I) and (II) are satisfied. Our strategy is as follows. Firstly, we shall construct a suitable ordered field  $(K, P')$  and a compatible valuation  $\phi$  on  $K$ , such that the conditions (I), (II) are satisfied. Then, we construct a suitable order automorphism  $\sigma$  on  $K$  and form the skew polynomial ring  $K[t, \sigma]$ . Since  $K[t, \sigma]$  is an Ore domain,  $D$ , the ring of quotients, exists.  $D$  can also be regarded as a division subring of  $K((t, \sigma))$ . It is well known that with respect to any ordering  $Q$  on  $K((t, \sigma))$ ,  $t$  is infinitesimally small when compared with any positive element in  $K$ . Thus with respect to the ordering induced by  $Q$  on  $D$ , the value group of the extension of  $\phi$  will no longer be  $\Gamma$ . Naturally, we may ask if this is the only way to order  $D$ . Is it possible to define an ordering  $P$  containing  $P'$  on  $D$  such that  $\phi$  extends to a compatible valuation  $v$  on  $(D, P)$  with its value group remaining unchanged? Our goal is to show that under some situations, the answer is affirmative. In those cases,  $(D, P), v$  are what we want.

**2. Fields of formal power series.** From now on, we shall fix the following notation:  $(k, P_k)$  is an ordered field;  $\Gamma$  is a dense subgroup in  $(\mathbb{R}, +)$  containing  $\mathbb{Z}$ . In this section, our goal is to construct suitable  $(K, P'), \phi$  and  $\sigma$  as defined above.

Let  $F$  be a field and  $G$  be an ordered abelian group. We denote the field of formal power series of  $F$  over  $G$  by  $F((G))$ , i.e.

$$F((G)) := \left\{ \sum_{g \in G} a_g x^g : a_g \in F, g \in G \right. \\ \left. \text{and } \text{supp} \left( \sum_{g \in G} a_g x^g \right) \text{ is well ordered} \right\}.$$

Here  $\text{supp}(\sum_{g \in G} a_g x^g) := \{g \in G : a_g \neq 0\}$ . In  $F((G))$ , multiplication is induced by the rules that

$$\forall g, h \in G, \quad a \in F, \quad x^g x^h = x^{g+h} \quad \text{and} \quad ax^g = x^g a.$$

Let  $\phi: F((G)) \rightarrow G \cup \{\infty\}$  be the mapping sending any  $f \in F((G))^*$  to  $\min \text{supp}(f)$  and 0 to  $\infty$ . Then we have

**PROPOSITION 2.1.** (i)  $\phi$  is a valuation on  $F((G))$  with value group  $G$  and  $\pi_\phi|_F: F \rightarrow \overline{F((G))}_\phi$  is an isomorphism.

(ii)  $F((G))$  is complete with respect to the value topology  $T_\phi$ .

(iii) Suppose  $F$  is ordered. Then  $P_G := \{\alpha \in F((G)): a_{\phi(\alpha)} > 0 \text{ in } F\} \cup \{0\}$  is an ordering compatible with  $\phi$ .

(iv) For any nonzero  $x, y \in F((G))$ , if  $\phi(x - y) > \phi(y)$ , then  $\phi(x) = \phi(y)$  and  $xy^{-1} \in P_G$  (i.e.  $x, y$  are of the same sign with respect to the ordering  $P_G$ ).

*Proof.* For (i) and (ii), see [P: Chapter II, §5, Theorem 8]. For (iii), see [P: Chapter II, §5, Theorem 6]. Note that our definition of  $F((G))$  is slightly different from that in [P]. In [P], the author uses anti-well ordered subsets (i.e. every subset contains a maximal element) instead. Of course, the argument still works in our case. Observe also that  $xy^{-1} = 1 + (x - y)y^{-1}$  and by assumption  $\phi((x - y)y^{-1}) > 0$ ; therefore  $\phi(xy^{-1}) = 0$  and  $(x - y)y^{-1} \in I_\phi$ . It follows that  $\phi(x) = \phi(y)$ , and  $xy^{-1} = 1 + (x - y)y^{-1} \in P_G$  by Lemma 1.2.  $\square$

Let  $F[G] := \{\sum_{i=1}^n a_i x^{g_i} : a_i \in F, g_i \in G, n \in \mathbb{N}\}$  be the group ring of  $G$  over  $F$  and  $F(G)$  be the quotient ring of  $F[G]$  in  $F((G))$ . Let  $F\langle G \rangle$  be the  $T_\phi$  closure of  $F(G)$  in  $F((G))$ . Before we state the next proposition, let us recall the definitions of completion and imbedding. For any valued field  $(L, v)$ , we call  $(\widehat{L}, \widehat{v})$  a completion of  $(L, v)$  if  $\widehat{L}$  is  $T_{\widehat{v}}$  complete;  $L$  is  $T_{\widehat{v}}$  dense in  $\widehat{L}$  and  $\widehat{v}|_L = v$ . Also, we call  $\beta: (L', v') \rightarrow (L, v)$  an imbedding if  $v \circ \beta = v'$ .

**PROPOSITION 2.2.** Let  $F, G, \phi$  be as before. Suppose  $G$  is of rank one (i.e.  $G$  can be order-embedded in  $(\mathbb{R}, +)$ ). Then

(i)  $(F\langle G \rangle, \phi|_{F\langle G \rangle})$  is a completion of  $(F(G), \phi|_{F(G)})$  and is therefore Henselian.

(ii)  $F\langle G \rangle = \{\sum_{i=0}^{\infty} a_i x^{g_i} : a_i \in F, g_i \in G, (g_i)_{i \in \mathbb{N}} \text{ is strictly increasing and divergent}\}$ .

(iii)  $\phi(F\langle G \rangle) = G \cup \{\infty\}$ , and  $\pi_\phi|_F: F \rightarrow \overline{F\langle G \rangle}_{\phi|_{F\langle G \rangle}}$  is an isomorphism.

(iv) Suppose  $F$  is ordered. Then  $\phi|_{F\langle G \rangle}$  is compatible with  $P_G \cap F\langle G \rangle$ , where  $P_G$  is as defined in Proposition 2.1(iii).

*Proof.* (i) is a consequence of [E: Corollary 2.6] and [E: Theorem 17.18]. By (i), we see easily that the R.H.S. of (ii) is a subset of  $F\langle G \rangle$ . Therefore to show (ii), it suffices to show that for any  $y \in F\langle G \rangle$ ,  $g \in G$ ,  $\{a \in \text{supp } f : a < g\}$  is finite. This clearly is equivalent to the density of  $F[G]$  in  $F(G)$  and  $F\langle G \rangle$ .

It is clear that we only need to show that if  $w = \sum_{i=1}^m a_i x^{g_i} \in F[G]$  with  $g_1 < g_2 < \dots < g_m$  in  $G$  and  $a_1 \neq 0$ , then  $1/w$  is a limit of a convergent sequence in  $F[G]$ . Let us write  $w = a_1 x^{g_1} (1 + w')$  where  $w' = \sum_{i=2}^m a_i^{-1} a_1 x^{g_i - g_1}$ . For all  $n \in \mathbb{N}$ , we define

$$w_n = a_1^{-1} x^{-g_1} \sum_{i=0}^n (-w')^i \in F[G].$$

As  $\phi(w') > 0$ , it is obvious that the sequence  $\{w_n\}_{n \in \mathbb{N}}$  converges to  $1/w$ .

Lastly, (iii) is obvious and (iv) follows from Proposition 2.1(iii).  $\square$

**LEMMA 2.3.** *Let  $a > 0$  be fixed in  $G$  and  $\gamma: F[G] \rightarrow F\langle G \rangle$  be a ring homomorphism such that*

$$(*) \quad \forall y \in F[G] \setminus \{0\}, \quad \phi(\gamma(y) - y) \geq \phi(y) + a.$$

*Then  $\gamma$  extends to a unique automorphism  $\gamma'': F\langle G \rangle \rightarrow F\langle G \rangle$  such that*

$$\forall y \in F\langle G \rangle^*, \quad \phi(\gamma''(y) - y) \geq \phi(y) + a.$$

*Proof.* Let  $\gamma': (F(G), \phi|_{F[G]}) \rightarrow (F\langle G \rangle, \phi|_{F\langle G \rangle})$  be the unique extension of  $\gamma$ . Notice that  $\gamma'$  is well defined as, by (\*),  $\gamma$  is injective. Also, Proposition 2.1 (iv) and (\*) imply that  $\phi \circ \gamma(x) = \phi(x)$  for all  $x \in F[G]$ . This implies  $\phi \circ \gamma(x) = \phi(x)$  for all  $x$  in  $F(G)$ . Next, we prove that  $\gamma'(F[G])$  is dense in  $F\langle G \rangle$ . It suffices to show that for any  $y \in F\langle G \rangle^*$ ,  $n \in \mathbb{N}$ , there exists  $y_n \in F[G]$  such that  $\phi(y - \gamma(y_n)) \geq \phi(y) + na$ . We prove this by induction on  $n$ .

The density of  $F[G]$  in  $F\langle G \rangle$  implies the existence of  $y_1 \in F[G]$  such that  $\phi(y - y_1) \geq \phi(y) + a$ . Using  $y - \gamma(y_1) = (y - y_1) + (y_1 - \gamma(y_1))$  and (\*), we get

$$\phi(y - \gamma(y_1)) \geq \min\{\phi(y - y_1), \phi(\gamma(y_1) - y_1)\} \geq \phi(y) + a.$$

Now suppose there exists  $y_n \in F[G]$  such that  $\phi(y - \gamma(y_n)) \geq \phi(y) + na$ . After replacing  $y$  by  $y - \gamma(y_n)$  in the above argument, we obtain  $w \in F[G]$  such that

$$\phi((y - \gamma(y_n)) - \gamma(w)) \geq \phi(y - \gamma(y_n)) + a \geq \phi(y) + (n + 1)a.$$

So we can simply take  $y_{n+1}$  to be  $y_n + w$ .

It follows that  $\gamma'(F[G])$  is dense in  $F\langle G \rangle$ . Hence, by [E: Corollary 2.4],  $\gamma'$  extends to a continuous automorphism  $\gamma''$ . It remains to prove that for any  $y$  in  $F\langle G \rangle$ ,  $\phi(\gamma''(y) - y) \geq \phi(y) + a$ . Recall that  $F[G]$  is dense in  $F\langle G \rangle$  and  $\phi$  (see [E: (1.3)]),  $\gamma'' - 1$  are continuous with respect to the value topology  $T_{\phi|_{F\langle G \rangle}}$ . So there exists  $w \in F[G]$  such that  $\phi(w) = \phi(y)$  and  $\phi((\gamma'' - 1)(y - w)) \geq \phi(y) + a$ . Therefore combining with the fact that  $\phi(\gamma(w) - w) \geq \phi(w) + a$ , we get

$$\begin{aligned} \phi(\gamma''(y) - y) &\geq \min\{\phi(\gamma''(y) - y + \gamma(w) - w), \phi(\gamma(w) - w)\} \\ &\geq \phi(y) + a. \end{aligned} \quad \square$$

Let  $\bar{k}$  be an algebraic closure of  $k$  and  $\Gamma_c$  be the divisible hull of  $\Gamma$  in  $\mathbb{R}$ . We define  $\varphi: \bar{k}(\Gamma_c) \rightarrow \Gamma_c \cup \{\infty\}$  to be the valuation sending every  $f$  in  $\bar{k}(\Gamma_c)^*$  to  $\min \text{supp}(f)$  and  $P_\Gamma \subset k(\Gamma)$  to be an ordering described in Proposition 2.1(iii). So in particular,  $\varphi|_{k(\Gamma)}$  is compatible with  $P_\Gamma$ . For convenience, let us fix the following notation:  $K := k\langle \Gamma \rangle$ ;  $K' := \bar{k}\langle \Gamma_c \rangle$ ; and  $K'' := \bar{k}(\Gamma_c)$ . As we have stated earlier, our objective is to construct suitable  $(K, P')$ ,  $\varphi$ , and  $\sigma$ . In view of the above results, we see that the ordered fields  $(k(\Gamma), P_\Gamma)$ ,  $(k\langle \Gamma \rangle, P_\Gamma \cap k\langle \Gamma \rangle)$ ,  $(k(\Gamma), P_\Gamma \cap k(\Gamma))$  with their respective compatible valuations  $\varphi|_{k(\Gamma)}$ ,  $\varphi|_{k\langle \Gamma \rangle}$ , and  $\varphi|_{k(\Gamma)}$  all satisfy (I) and (II). It turns out that  $k\langle \Gamma \rangle$  is the one we want, because  $k(\Gamma)$  is too “small” to admit some interesting order automorphism, and  $k(\Gamma)$  is too “large” for defining an ordering we want.

In order to simplify the calculations needed later, we shall define an automorphism  $\sigma'$  on  $\bar{k}\langle \Gamma_c \rangle$  such that its restriction on  $k\langle \Gamma \rangle$  is the order automorphism  $\sigma$  we want.

**PROPOSITION 2.4.**  *$K'$  is algebraically closed.*

*Proof.* Let  $L$  be a finite extension of  $K'$ . As the residue field  $\bar{K}'_{\varphi|_{K'}} \cong \bar{k}$  is algebraically closed and the value group of  $\varphi|_{K'}$  is divisible, it follows that  $L$  is an immediate extension of  $K'$ . Since  $\text{char } \bar{k} = 0$  and  $\varphi|_{K'}$  is Henselian by Proposition 2.2(i), by [Pr: Proposition 8.1 (ii)], we have  $L = K'$ . Therefore  $K'$  is algebraically closed.  $\square$

**LEMMA 2.5.** *For any  $s \in K^*$  with  $\varphi(s) > 0$  in  $\Gamma$ ,  $q \in \mathbb{N}$ , the equation*

$$t^q = 1 + s$$

has a unique solution in  $1 + I_{\varphi|K}$ , where  $I_{\varphi|K} = \{a \in K : \varphi(a) > 0\}$ . In fact it can be written in the form of  $1 + (s/q) + s'$ , for some  $s' \in K^*$  with  $\varphi(s') > \varphi(s)$ .

*Proof.* Again by Proposition 2.2, we see that  $(K, \varphi|K)$  is Henselian. In the residue field  $\bar{K}_\varphi$ , 1 is a simple root of the equation  $t^q = 1$ . Thus by the explicit calculation of Hensel's lemma, there exists a unique solution of the desired form.  $\square$

From now on, we define  $(1+s)^{1/q}$  to be the solution obtained in the above lemma. Thus we can define  $(1+s)^{p/q} := ((1+s)^{1/q})^p$ , which of course also lies in  $1 + I_{\varphi|K}$ . Obviously,  $\{(1+s)^{p/q} : p/q \in \mathbb{Q}\}$  forms a multiplicative subgroup in  $K^*$ .

**LEMMA 2.6.** *For any  $s \in K^*$  with  $\varphi(s) = \alpha > 0$ , there exists a field automorphism  $\sigma' : K' \rightarrow K'$  such that*

- (i)  $\sigma'(x) = x(1+s)$ ,
- (ii)  $\sigma'|_{\bar{k}} = \text{identity}$ ,
- (iii)  $\sigma := \sigma'|_K$  is an automorphism of  $K$ ,
- (iv)  $\varphi(\sigma'(y) - y) \geq \varphi(y) + \alpha$  for all  $y \in K'^*$ .

*Proof.* Firstly, we shall construct a ring homomorphism  $\lambda : \bar{k}[\Gamma_c] \rightarrow \bar{k}\langle \Gamma_c \rangle$  such that (i), (ii) hold;  $\lambda([\Gamma]) \subset K$  and (iv) holds for any element in  $\bar{k}[\Gamma_c]$ .

Since  $\mathbb{Q} \subset \Gamma_c$ , we can regard  $\Gamma_c$  as a  $\mathbb{Q}$  vector space. Let  $I$  be a basis of  $\Gamma_c$  over  $\mathbb{Q}$ . For convenience, we assume  $1 \in I$ . Also, we define  $V := \bigoplus_{\gamma \in I \setminus \{1\}} \mathbb{Q}\gamma$ . So for any  $a \in \Gamma_c$ , there exists a unique  $q_a \in \mathbb{Q}$  such that  $a - q_a \in V$ .

As  $\bar{k}[\Gamma_c]$  and  $K'$  are  $\bar{k}$  vector spaces, we can define a  $\bar{k}$  vector space homomorphism  $\lambda : \bar{k}[\Gamma_c] \rightarrow K'$  such that

$$\lambda(x^a) = (1+s)^{q_a} x^a.$$

Clearly,  $\lambda$  satisfies (i) and (ii). Next, it is straightforward to check that  $\lambda|\{x^a : a \in \Gamma_c\}$  is a multiplicative group homomorphism. We therefore conclude that  $\lambda$  is a ring homomorphism. As seen in the discussion following Lemma 2.5, for any  $p/q \in \mathbb{Q}^*$ ,  $(1+s)^{p/q} = 1 + (p/q)s + s_{p/q} \in K$  for some  $s_{p/q} \in K$  with  $\varphi(s_{p/q}) > \varphi(s) = \alpha$ . So for all  $a \in \Gamma_c$ ,  $\varphi(\lambda(x^a) - x^a) \geq a + \alpha$ . Therefore (iv) holds for any element in  $\bar{k}[\Gamma_c]$ . Now, it follows from Proposition 2.3 that  $\lambda$  extends to an automorphism  $\sigma'$  satisfying (iv).

Lastly, observe also that  $\lambda(x^a) \in K$  if  $a \in \Gamma$ . Therefore, by Proposition 2.3 again, the restriction of  $\lambda$  on  $k[\Gamma]$  extends to an automorphism on  $K$  which must be  $\sigma'|_K$ .  $\square$

**REMARK.** Note that if  $\Gamma \subset \mathbb{Q}$ , then  $\Gamma_c = \mathbb{Q}$  and  $\lambda(x^a) = (1+s)^a x^a$  for any  $a \in \Gamma_c$ .

**3. The skew polynomial ring  $K'[t, \sigma']$ .** Let  $\sigma', K, K', K''$ , and  $\varphi$  be as defined in §2 and  $R' := K'[t, \sigma']$ . In  $R'$  multiplication is now induced by the rule  $t \cdot a = \sigma'(a)t$ . For any  $f(t), g(t) \in R'$ , let us denote the left polynomial  $f(t)g(t)$  by  $f * g(t)$ . Since multiplication in  $R'$  is associative,  $f * (g * h)(t) = (f * g) * h(t)$  for all  $f(t), g(t), h(t) \in R'$ . In this section, we shall prove some lemmas needed later. In  $k((\Gamma))$ , we fix an element

$$\omega = 1 + \sum_{i=1}^{\infty} \alpha_i x^{r_i},$$

such that for all  $i \in \mathbb{N}$ ,  $\alpha_i \neq 0$ ;  $0 < r_1 < r_2 < \dots$  in  $\Gamma$  and  $\lim_{i \rightarrow \infty} r_i = r \leq \alpha/2$ . Note that such  $\omega$  exists as  $\Gamma$  is dense in  $\mathbb{Q}$ . Furthermore, it is easy to see that  $\varphi(\omega) = 0$  and  $\omega \notin K'$ . Also, for any  $f(t) = \sum_{i=0}^n a_i t^i \in R'$ , we define  $f(\omega) = \sum_{i=0}^n a_i \omega^i$ . Observe that as  $K'$  is algebraically closed and  $\omega \notin K'$ ,  $f(\omega) \neq 0$  for any  $f(t) \in R' \setminus \{0\}$ .

**LEMMA 3.1.** *For any  $y \in K'$ , we have  $\varphi(\omega - y) < r$ . In particular,  $\varphi(\omega - y) < \varphi(y) + r$ .*

*Proof.* For any  $y \in K'$ ,  $y$  can be written in the form of  $\sum_{i=1}^{\infty} c_i x^{j_i}$  such that  $\lim_{i \rightarrow \infty} j_i = \infty$ . Hence  $|\{j_i : j_i < r\}|$  is finite. Thus, there exists  $g$  in  $\text{supp}(\omega - y)$  such that  $g < r$ . This clearly implies  $\varphi(\omega - y) < r$ . The second statement is a consequence of the first statement in case  $\varphi(y) = 0$ . If  $\varphi(y) \neq 0$ , then

$$\varphi(\omega - y) = \min(\varphi(y), 0) \leq \varphi(y) < \varphi(y) + r. \quad \square$$

**LEMMA 3.2.** *For any  $f(\omega) = \sum_{j=0}^n a_j \omega^j \in K'[\omega]$ , we define  $f^{\sigma'}(\omega) = \sum_{j=0}^n \sigma'(a_j) \omega^j$ . Then  $\varphi(f^{\sigma'}(\omega) - f(\omega)) > \varphi(f(\omega)) + \alpha - r$ .*

*Proof.* We prove this by induction on  $\text{deg } f$ .

Suppose  $\text{deg } f = 0$ . Then the lemma follows from the construction of  $\sigma'$ , since for all  $u \in K'$ ,  $\varphi(\sigma'(u) - u) \geq \varphi(u) + \alpha$ .



Let  $f(\omega) \in K'[\omega]$  be of degree  $n$ . As  $K'$  is algebraically closed,  $f(\omega) = (\omega - y)g(\omega)$  for some  $y \in K'$ ,  $g(\omega) \in K'[\omega]$  with  $\deg g = n - 1$ . Clearly  $f^{\sigma'}(\omega) = (\omega - \sigma'(y))g^{\sigma'}(\omega)$  and

$$f^{\sigma'}(\omega) - f(\omega) = (y - \sigma'(y))g^{\sigma'}(\omega) + (\omega - y)[g^{\sigma'}(\omega) - g(\omega)].$$

Therefore

$$\begin{aligned} \varphi(f^{\sigma'}(\omega) - f(\omega)) \\ \geq \min\{\varphi(y - \sigma'(y)) + \varphi(g^{\sigma'}(\omega)), \varphi(\omega - y) + \varphi(g^{\sigma'}(\omega) - g(\omega))\}. \end{aligned}$$

By construction of  $\sigma'$  and Lemma 3.1, we have

$$\varphi(y - \sigma'(y)) \geq \varphi(y) + \alpha > \varphi(\omega - y) + \alpha - r.$$

By induction, we have

$$\varphi(g^{\sigma'}(\omega) - g(\omega)) \geq \varphi(g(\omega)) + \alpha - r.$$

In particular, Lemma 2.1(iv) implies that  $\varphi(g^{\sigma'}(\omega)) = \varphi(g(\omega))$ . Thus,

$$\begin{aligned} \varphi(f^{\sigma'}(\omega) - f(\omega)) &\geq \varphi(\omega - y) + \varphi(g(\omega)) + \alpha - r \\ &= \varphi(f(\omega)) + \alpha - r. \end{aligned}$$

So the lemma is proved. □

**LEMMA 3.3.** *For any  $f(t), g(t) \in R' \setminus \{0\}$ , we have*

$$\varphi(f * g(\omega) - f(\omega)g(\omega)) > \varphi(f(\omega)g(\omega)).$$

*In particular,  $\varphi(f * g(\omega)) = \varphi(f(\omega)g(\omega))$ .*

*Proof.* Without loss of generality, we may assume  $f$  is monic. Again, we shall proceed by induction on  $\deg f$ .

If  $f$  is a constant, then the lemma is trivial as now  $f * g(\omega) = f(\omega)g(\omega)$ . Suppose  $f(t) = t + c$  for some  $c \in K'$ . Obviously,

$$\begin{aligned} f * g(\omega) &= g^{\sigma'}(\omega)\omega + cg(\omega) \quad \text{and} \\ f * g(\omega) - f(\omega)g(\omega) &= (g^{\sigma'}(\omega) - g(\omega))\omega. \end{aligned}$$

So

$$\begin{aligned} \varphi(f * g(\omega) - f(\omega)g(\omega)) &= \varphi(g^{\sigma'}(\omega) - g(\omega)) \\ &\geq \varphi(g(\omega)) + \alpha - r \quad \text{by Lemma 3.2.} \end{aligned}$$

Note that  $\alpha \geq 2r$  and  $r > \varphi(\omega + c)$  by Lemma 3.1. It follows that

$$\varphi(f * g(\omega) - f(\omega)g(\omega)) \geq r + \varphi(g(\omega)) + \alpha - 2r > \varphi(f(\omega)g(\omega)).$$

We now assume the lemma is proved for any  $h(t)$  (not necessarily monic) in  $R'$  with its degree less than  $n$ .

*Claim.* For any  $h(t), h'(t) \in R' \setminus \{0\}$ , if both  $\deg h, \deg h'$  are less than  $n$ , then we have

$$\varphi(h * h' * g(\omega) - h(\omega)h'(\omega)g(\omega)) > \varphi(h(\omega)h'(\omega)g(\omega)).$$

*Proof of Claim.* By induction assumption, we have

$$\begin{aligned} \varphi(h * (h' * g)(\omega) - h(\omega)(h' * g)(\omega)) &> \varphi(h(\omega)) + \varphi(h' * g(\omega)) \\ &= \varphi(h(\omega)) + \varphi(h'(\omega)) + \varphi(g(\omega)), \end{aligned}$$

and

$$\begin{aligned} \varphi(h(\omega) \cdot (h' * g)(\omega) - h(\omega) \cdot h'(\omega)g(\omega)) \\ &= \varphi(h(\omega)) + \varphi((h' * g)(\omega) - h'(\omega)g(\omega)) \\ &> (h(\omega)) + \varphi(h'(\omega)) + \varphi(g(\omega)). \end{aligned}$$

Combining the above inequalities, the desired inequality follows.

Let  $f(t) \in R'$  be of degree  $n$ . As before,  $f(\omega) = (\omega - c)h(\omega)$  for some  $c \in K'$  and  $h(t) \in R'$  with  $\deg h = n - 1$ . Let  $f_1(t) := t - c$ . By induction,

$$\varphi(f(\omega) - f_1 * h(\omega)) = \varphi((\omega - c)h(\omega) - f_1 * h(\omega)) > \varphi(f(\omega)).$$

As both  $f(t)$  and  $f_1 * h(t)$  are monic,  $\deg(f - f_1 * h) \leq n - 1$ . Therefore by induction again,

$$\varphi((f - f_1 * h) * g(\omega)) = \varphi(f(\omega) - f_1 * h(\omega)) + \varphi(g(\omega)).$$

Hence

$$\begin{aligned} \varphi(f * g(\omega) - f_1 * h * g(\omega)) &= \varphi((f - f_1 * h) * g(\omega)) \\ &= \varphi(f(\omega) - f_1 * h(\omega)) + \varphi(g(\omega)) \\ &> \varphi(f(\omega)) + \varphi(g(\omega)). \end{aligned}$$

On the other hand, by the above claim, we have

$$\varphi(f_1 * h * g(\omega) - (\omega - c)h(\omega)g(\omega)) > \varphi(f(\omega)) + \varphi(g(\omega)).$$

Hence by combining the above two inequalities, we get

$$\varphi(f * g(\omega) - f(\omega)g(\omega)) > \varphi(f(\omega)) + \varphi(g(\omega)). \quad \square$$

**4. The final step.** Let  $R$  be the subring generated by  $K$  and  $t$  in  $R'$ . Since  $\sigma = \sigma'|_K$  is an automorphism,  $R \cong K[t', \sigma]$ . As  $\sigma$  is an

automorphism, it is well known that  $R$  is both right Ore and left Ore [C: Proposition 1.3.1 and Proposition 1.3.2]. Let  $D := \{xy^{-1} : x, y \in R \text{ and } y \neq 0\}$  be the right quotient ring of  $R$ . As is well known,  $D$  is a division ring. Firstly, we shall define an ordering on  $D$ .

**PROPOSITION 4.1.**  $P := \{f(t)g(t)^{-1} : g(t), f(t) \in R, g(t) \neq 0 \text{ and } f(\omega)g(\omega) \in P_\Gamma\}$  is an ordering on  $D$ .

*Proof.* To show  $P$  is an ordering, it suffices to show that  $P' := \{f(t) \in R : f(\omega) \in P_\Gamma\}$  is an ordering on  $R$  by [F: Chapter 6, Theorem 3]. Obviously,  $P' \cup (-P') = R$  and  $P'$  is closed under addition. As we have seen earlier, for any  $f(t) \in R$ ,  $0 = f(t) \in R$  if and only if  $f(\omega) = 0$  in  $k((\Gamma))$ . This certainly implies  $P' \cap (-P') = \{0\}$ . This it remains to show that  $P'$  is closed under multiplication.

Suppose  $f(t), g(t) \in P' \setminus \{0\}$ . Thus,  $f(\omega), g(\omega) \in P_\Gamma \setminus \{0\}$ . On the other hand, by Lemma 3.3 we have  $\varphi(f * g(\omega) - f(\omega)g(\omega)) > \varphi(f(\omega)g(\omega))$ . It follows from Proposition 2.1 (iv) that  $f * g(\omega) > 0$  in  $k((\Gamma))$ . Hence  $P'$  is closed under multiplication.  $\square$

**REMARK.** From now on, we shall write  $a > 0$  if  $a \in P \setminus \{0\}$ .

**LEMMA 4.2.** Let  $v' : R \rightarrow \Gamma \cup \{\infty\}$  be a mapping such that for any  $f(t) \in R$ ,  $v'(f(t)) = \varphi(f(\omega))$ . Then for any  $f(t), g(t) \in R$ , we have

- (i)  $v'(f(t)) = \infty$  if and only if  $f(t) = 0$ .
- (ii)  $v'(f(t)g(t)) = v'(f(t)) + v'(g(t))$ .
- (iii)  $v'(f(t) + g(t)) \geq \min\{v'(f(t)), v'(g(t))\}$ .
- (iv)  $0 < f(t) < g(t)$  in  $(D, P)$ , implies  $v'(g(t)) \leq v'(f(t))$ .

*Proof.* (i) follows from the fact  $\omega$  is transcendental over  $K'$  and (iii) from the fact that  $\varphi$  is a valuation on  $\bar{k}((\Gamma_c))$ . For (ii) and (iv), we take any  $f(t), g(t) \in R$ . Obviously,

$$\begin{aligned} v'(f(t)g(t)) &= v'(f * g(t)) = \varphi(f * g(\omega)) \\ &= \varphi(f(\omega)g(\omega)) = v'(f(t)) + v'(g(t)). \end{aligned}$$

If  $0 < f(t) < g(t)$  in  $(D, P)$ , then  $0 < f(\omega) < g(\omega)$  in  $(k((\Gamma)), P_\Gamma)$ . Thus by compatibility of  $\varphi|_{k((\Gamma))}$  and  $P_\Gamma$ ,  $\varphi(f(\omega)) \geq \varphi(g(\omega))$ . Clearly this implies  $v'(f(t)) \geq v'(g(t))$ .  $\square$

**THEOREM 4.3.** Let  $D, P, v'$  be as above. Then  $v'$  extends to a valuation  $v : D \rightarrow \Gamma \cup \{\infty\}$  compatible with  $P$ . Moreover, the ordered residue division ring  $(\bar{D}_v, \bar{P}_v)$  is order isomorphic to  $(k, P_k)$ .

*Proof.* Let us define  $v: D \rightarrow \Gamma \cup \{\infty\}$  such that

$$v(0) = \infty \quad \text{and} \quad v(xy^{-1}) = v'(x) - v'(y) \quad \forall x, y \in R \setminus \{0\}.$$

Firstly, we show that  $v$  is well defined. Let  $x, y \in R \setminus \{0\}$ . As  $R$  is also a left Ore domain, there exist  $a, b \in R \setminus \{0\}$  such that  $ax = by$ . If  $xy^{-1} = x'y'^{-1}$  for some  $x', y' \in R \setminus \{0\}$ , then we also have  $ax' = by'$ . By Lemma 4.1 (i),  $v'(x) - v'(y) = v'(a) - v'(b) = v'(x') - v'(y')$ . Thus  $v$  is well defined.

Let  $x, y, x', y' \in R \setminus \{0\}$ . Since  $R$  is also right Ore, there exist  $a', b' \in R \setminus \{0\}$  such that  $ya' = x'b'$ . Obviously,  $v'(x') - v'(y) = v'(a') - v'(b')$  by Lemma 4.2(ii). On the other hand, it is easy to see that  $xy^{-1} \cdot x'y'^{-1} = xa'b'^{-1}y'^{-1} = (xa')(y'b')^{-1}$  in  $D$ . Thus

$$\begin{aligned} v(xy^{-1} \cdot x'y'^{-1}) &= v((xa')(y'b')^{-1}) = v'(xa') - v'(y'b') \\ &= v'(x) + v'(a') - v'(b') - v'(y') \\ &= v(xy^{-1}) + v(x'y'^{-1}). \end{aligned}$$

Next, we let  $c, d, w \in R \setminus \{0\}$  be such that  $yc = w$ ,  $y'd = w \in R$ . Hence  $xy^{-1}w = cx$ ,  $x'y'^{-1}w = x'd$  and  $xy^{-1} + x'y'^{-1} = (xc + x'd)w^{-1}$ . Thus

$$\begin{aligned} v(xy^{-1} + x'y'^{-1}) &= v((xc + x'd)w^{-1}) \\ &= v'(xc + x'd) - v'(w) \\ &\geq \min\{v'(xc), v'(x'd)\} - v'(w) \\ &= \min\{v'(x) + v'(c) - v'(w), v'(x') + v'(d) - v'(w)\} \\ &= \min\{v'(x) - v'(y), v'(x') - v'(y')\} \\ &= \min\{v(xy^{-1}), v(x'y'^{-1})\}. \end{aligned}$$

Concluding from above, we see that  $v$  is a valuation on  $D$ . Note that the surjectivity of  $v$  follows easily from the fact that  $v|_K = \varphi|_K$ . Let us determine the residue division ring. Suppose  $f(t), g(t) \in R \setminus \{0\}$  and  $v(f(t)g(t)^{-1}) = 0$ . By definition of  $v$ , we have  $\varphi(f(\omega)g(\omega)^{-1}) = 0$ . Since both  $f(\omega), g(\omega) \in K((\Gamma))$  and  $k$  is projected onto the residue field of  $(k((\Gamma)), \varphi|_{k((\Gamma))})$ , there exists  $a \in k$  such that  $\varphi(f(\omega)g(\omega)^{-1} - a) > 0$ . Therefore  $v(f(t)g(t)^{-1} - a) > 0$ . It follows that the projection of  $k$  on the residue field of  $(D, v)$  is also surjective. Lastly, it remains to show that  $v$  is compatible with  $P$ . It is enough to show that if  $v(xy^{-1}) > 0$ , then  $1 + xy^{-1} \in P$ . Without loss of generality, we may assume  $y > 0$ . As  $v(xy^{-1}) = v'(x) - v'(y) > 0$ , we have  $v'(x) > v'(y)$ . By Lemma 4.2(iv), it follows that  $y > |x|$ . Hence  $y + x > 0$  and  $1 + xy^{-1} = (y + x)y^{-1} > 0$  in  $(D, P)$ .  $\square$

**REMARK.** In our construction, we have a copy of  $k$  lying inside the center, whereas in Schröder's construction, there may not exist a copy of  $k$  lying inside the center.

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