

76. On Selberg's Elementary Proof of the Prime-Number Theorem.

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Small Latin characters except x denote natural numbers; p represents a prime, and x denotes a real number ≥ 1 .

A. Selberg obtained recently an elementary proof of the prime-number theorem using the following asymptotic formula:

$$(1) \quad \vartheta(x) \log x + \sum_{p \leq x} \vartheta\left(\frac{x}{p}\right) \log p = 2x \log x + O(x),$$

where
$$\vartheta(x) = \sum_{p \leq x} \log p.$$

We shall give in this note a simple proof above for

$$(2) \quad \psi(x) \log x + \sum_{n \leq x} \psi\left(\frac{x}{n}\right) \Lambda(n) = 2x \log x + O(x),$$

where we define

$$\psi(x) = \sum_{n \leq x} \Lambda(n), \quad \Lambda(n) = \begin{cases} \log p & \text{for } n = p^l, \\ 0 & \text{otherwise.} \end{cases}$$

The formula (2) is as effective as (1) and may be used as a substitute for (1) in the proof of the prime-number theorem. (Of course we could prove directly, if we wished, the equivalence of the two formulae.)

We have clearly

$$(3) \quad \log n = \sum_{d|n} \Lambda(d),$$

and hence, by Möbius' inversion-formula,

$$(4) \quad \Lambda(n) = \sum_{d|n} \mu(d) \log \frac{n}{d}.$$

We find further, using (3),

$$\begin{aligned} \sum_{n \leq x} \psi\left(\frac{x}{n}\right) &= \sum_{m \leq x} \Lambda(m) = \sum_{n \leq x} \sum_{d|n} \Lambda(d) \\ &= \sum_{n \leq x} \log n = \int_1^x \log \xi \, d\xi + O(\log x) \\ (5) \quad &= x \log x - x + O(\log x), \end{aligned}$$

$$\begin{aligned} \sum_{n \leq x} \Lambda(n) \left[\frac{x}{n} \right] &= \sum_{m \leq x} \Lambda(m) = x \log x + O(x), \\ \sum_{n \leq x} \Lambda(x) \frac{x}{n} &= \sum_{n \leq x} \Lambda(n) \left[\frac{x}{n} \right] + O\left(\sum_{n \leq x} \Lambda(n)\right) \\ (6) \qquad \qquad \qquad &= x \log x + O(x) + O(\psi(x)). \end{aligned}$$

If $F(x)$ and $G(x)$ are any two functions defined for $x \geq 1$, which are connected by the relation

$$(7) \qquad \qquad G(x) = \sum_{n \leq x} F\left(\frac{x}{n}\right) \log x,$$

then we have, noting (4),

$$\begin{aligned} \sum_{n \leq x} \mu(n) G\left(\frac{x}{n}\right) &= \sum_{n \leq x} \mu(n) \sum_{\substack{m \leq \frac{x}{n} \\ mn \leq x}} F\left(\frac{x}{mn}\right) \log \frac{x}{n} \\ &= \sum_{n \leq x} F\left(\frac{x}{n}\right) \sum_{d|n} \mu(d) \left(\log \frac{x}{n} + \log \frac{n}{d} \right) \\ &= \sum_{n \leq x} F\left(\frac{x}{n}\right) \log \frac{x}{n} \sum_{d|n} \mu(d) + \sum_{n \leq x} F\left(\frac{x}{n}\right) \Lambda(n) \\ (8) \qquad \qquad \qquad &= F(x) \log x + \sum_{n \leq x} F\left(\frac{x}{n}\right) \Lambda(n), \end{aligned}$$

since we have

$$\sum_{d|n} \mu(d) = \begin{cases} 1 & \text{for } n = 1, \\ 0 & \text{for } n > 1. \end{cases}$$

We now put, in (7) and (8),

$$(9) \qquad \qquad F(x) = \psi(x) - x + C + 1,$$

where C is Euler's constant. We have, using (5) and the well-known formula

$$\sum_{n \leq x} \frac{1}{n} = \log x + C + O\left(\frac{1}{x}\right),$$

the following results:

$$\begin{aligned} \sum_{n \leq x} \psi\left(\frac{x}{n}\right) \log x &= x \log^2 x - x \log x + O(\log^2 x), \\ \sum_{n \leq x} \frac{x}{n} \log x &= x \log^2 x + Cx \log x + O(\log x), \\ \sum_{n \leq x} (C+1) \log x &= (C+1)x \log x + O(\log x), \end{aligned}$$

and hence

$$\begin{aligned} G(x) &= \sum_{n \leq x} \left(\psi\left(\frac{x}{n}\right) - \frac{x}{n} + C + 1 \right) \log x \\ &= O(\log^2 x) = O(\sqrt{x}). \end{aligned}$$

We find therefore, by (8),

$$\begin{aligned} F(x) \log x + \sum_{n \leq x} F\left(\frac{x}{n}\right) \Lambda(n) \\ = O\left(\sum_{n \leq x} \sqrt{\frac{x}{n}}\right) = O\left(\sqrt{x} \int_0^x \frac{d\xi}{\sqrt{\xi}}\right) = O(x), \end{aligned}$$

whence follows, by (9) and (6),

$$\begin{aligned} \psi(x) \log x + \sum_{n \leq x} \psi\left(\frac{x}{n}\right) \Lambda(n) \\ = x \log x + \sum_{n \leq x} \frac{x}{n} \Lambda(n) - (C+1) \log x - (C+1) \sum_{n \leq x} \Lambda(n) + O(x) \\ (10) \quad = 2x \log x + O(x) + O(\psi(x)). \end{aligned}$$

Since $\psi(x)$ and $\Lambda(n)$ are non-negative, we obtain, from (10),

$$\psi(x) (\log x + O(1)) \leq O(x \log x),$$

and hence

$$(11) \quad \psi(x) = O(x).$$

Inserting (11) in (10), we find the desired formula (2).

It may be mentioned, as a subsidiary result, that the following known formula follows at once from (11) and (6):

$$\sum_{n \leq x} \frac{\Lambda(n)}{n} = \log x + O(1).$$

Reference.

Atle Selberg: An elementary proof of the prime-number theorem; *Ann. of Math. (2)*, vol. 50 (1949), pp. 305-313.