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100. Probability-theoretic Investigations on Inheritance. XIII₂. Estimation of Genotypes.

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3. Estimation without reference to spouse.

The problem discussed in § 2 concerned the case where the type of a spouse of an individual in question is also taken into account. The corresponding problem may be treated independently of the type of a spouse.

We first consider again the simplest case, the Q blood type. Let an individual of phenotype Q be given. Then, the type q of its child is impossible unless the individual is heterozygotic. Hence, we have only to consider the case where all the n children of the individual are of the type Q. In this case, we denote by

$$\Pr\{Q=QQ|\rightarrow Q^n\}$$
 and $\Pr\{Q=Qq|\rightarrow Q^n\}$

the probabilities a posteriori of the individual to be of homozygote QQ and of heterozygote Qq, respectively, which will be determined in the following lines.

Now, the probabilities a priori of QQ and Qq among Q are regarded as $\overline{QQ}/\overline{Q} = u/(1+v)$ and $\overline{Qq}/\overline{Q} = 2v/(1+v)$, respectively, the ratio being u:2v. An individual QQ produces Q alone, while an individual Qq produces Q with probability

$$\frac{\pi(Qq;QQ)+\pi(Qq;Qq)}{\overline{Qq}}=\frac{1+u}{2},$$

the π 's denoting the probabilities of mother-child combinations defined in §1 of IV, which may also be regarded as those of father-child combinations. Thus, based on the Bayes' theorem, we get the desired probabilities

$$(3.1) \qquad \Pr\{Q = QQ \mid \rightarrow Q^n\} = \frac{u \cdot 1^n}{u \cdot 1^n + 2v \left(\frac{1+u}{2}\right)^n} = \frac{2^{n-1}u}{2^{n-1}u + v(1+u)^n},$$

$$(3.1') \qquad \Pr\{Q = Qq \mid \to Q^n\} = 1 - \Pr\{Q = QQ \mid \to Q^n\} = \frac{v(1+u)^n}{2^{n-1}u + v(1+u)^n}.$$

We proceed to deal with the ABO blood type. Let an individual of phenotype A be given. If it is homozygotic, then the type of a child is restricted to A or AB, while if it is heterozygotic, then any type of a child is possible. Accordingly, if there exists at least one

child of O or B, then the individual must be heterozygotic. Hence, we have only to consider the case, where all the children are of the type A or AB. If, among all the n children, there are ν children A and $n-\nu$ children AB, we denote by $\Pr\{A=AA|\rightarrow A^{\nu} \cap AB^{n-\nu}\}$ and $\Pr\{A=AO|\rightarrow A^{\nu} \cap AB^{n-\nu}\}$ the probabilities a posteriori of the individual to be homozygotic and heterozygotic, respectively. Now, the probabilities a priori of AA and AO have a ratio p:2r. An individual AA produces A and AB with respective probabilities

$$\frac{\pi(AA;AA) + \pi(AA;AO)}{\overline{AA}} = p + r$$
 and $\frac{\pi(AA;AB)}{\overline{AA}} = q$,

while an individual AO produces A and AB with respective probabilities

$$\frac{\pi(AO;AA) + \pi(AO;AO)}{\overline{AO}} = \frac{2p+r}{2} \quad \text{and} \quad \frac{\pi(AO;AB)}{\overline{AO}} = \frac{q}{2}.$$

Thus, we obtain, by means of the Bayes' theorem, the desired probabilities

$$(3.2) \frac{\Pr\{A = AA \mid \to A^{r} \cap AB^{n-\nu}\}}{p(p+r)^{\nu}q^{n-\nu} + 2r\left(\frac{2p+r}{2}\right)^{\nu}\left(\frac{q}{2}\right)^{n-\nu}} = \frac{2^{n-1}p(p+r)^{\nu}}{2^{n-1}p(p+r)^{\nu} + r(2p+r)^{\nu}},$$

$$\Pr\{A = AO | \to A^{\nu} \cap AB^{n-\nu}\}\$$

$$= 1 - \Pr\{A = AA | \to A^{\nu} \cap AB^{n-\nu}\} = \frac{r(2p+r)^{\nu}}{2^{n-1}p(p+r)^{\nu} + r(2p+r)^{\nu}}.$$

The corresponding probabilities with respect to an individual of type B can be immediately written down. In fact, we have only to replace A, B, p by B, A, q, respectively. We thus get, corresponding to (3.2) and (3.2), the following expressions

$$(3.3) \qquad \Pr\{B = BB | \to B^{\nu} \cap AB^{n-\nu}\} = \frac{2^{n-1}q(q+r)^{\nu}}{2^{n-1}q(q+r)^{\nu} + r(2q+r)^{\nu}},$$

$$(3.3') \quad \Pr\{B = BO | \to B^{\nu} \cap AB^{n-\nu}\} = \frac{r(2q+r)^{\nu}}{2^{n-1}q(q+r)^{\nu} + r(2q+r)^{\nu}}$$

By the way, if all the n children are known merely as either A or AB, then the probabilities a posteriori of the individual to be homozygotic and heterozygotic are given respectively by

(3.4)
$$Pr\{A = AA | \rightarrow (A \cup AB)^n\} = \frac{p \cdot 1^n}{p \cdot 1^n + 2r\left(\frac{1+p}{2}\right)^n} = \frac{2^{n-1}p}{2^{n-1}p + r(1+p)^n},$$

(3.4')
$$\begin{aligned} \Pr\{A = AO | \to (A \cup AB)^n\} \\ = 1 - \Pr\{A = AA | \to (A \cup AB)^n\} = \frac{r(1+p)^n}{2^{n-1}p + r(1+p)^n}, \end{aligned}$$

since an individual AA produces A or AB with probability

$$\frac{\pi(AA;AA) + \pi(AA;AO) + \pi(AA;AB)}{\overline{AA}} = p + r + q = 1,$$

while an individual AO produces A or AB with probability

$$\frac{\pi(AO;AA) + \pi(AO;AO) + \pi(AO;AB)}{\overline{AA}} = \frac{p}{2} + \frac{p+r}{2} + \frac{q}{2} = \frac{1+p}{2}.$$

Similarly, we get, by interchanging A and B, the corresponding probabilities

(3.5)
$$\Pr\{B = BB | \to (B \cup AB)^n\} = \frac{2^{n-1}q}{2^{n-1}q + r(1+q)^n},$$
(3.5')
$$\Pr\{B = BO | \to (B \cup AB)^n\} = \frac{r(1+q)^n}{2^{n-1}q + r(1+q)^n}.$$

$$(3.5') \quad \Pr\{B = BO \mid \rightarrow (B \cup AB)^n\} = \frac{r(1+q)^n}{2^{n-1}q + r(1+q)^n}.$$

Comparing (3.4) and (3.5) with (3.2) and (3.3) respectively, we notice that the inequalities

otice that the inequalities
$$\{ \begin{array}{l} \Pr\{A = AA | \rightarrow A^n\} < \Pr\{A = AA | \rightarrow (A \cup AB)^n\} \\ < \Pr\{A = AA | \rightarrow AB^n\}, \\ \Pr\{B = BB | \rightarrow B^n\} < \Pr\{B = BB | \rightarrow (B \cup AB)^n\} \\ < \Pr\{B = BB | \rightarrow AB^n\} \end{array} \}$$

hold good except for the trivial distributions with pqr=0, which correspond to (2.15).

The corresponding estimations can be made for other inherited characters in quite a similar way. We give here, making use of the notations similarly understood as above, the results on the Qq_{\pm} blood type.

$$(3.7) = (3.1) \quad \Pr\{Q = QQ \mid \to Q^n\} = \frac{2^{n-1}u}{2^{n-1}u + v(1+u)^n},$$

(3.7')
$$\Pr\{Q = Qq_{-}| \to Q^{n}\} = \frac{v_{1}(1+u)^{n}}{2^{n-1}u + v(1+u)^{n}},$$

$$(3.7'') \quad \Pr\{Q = Qq_+| \to Q^n\} = \frac{v_2(1+u)^n}{2^{n-1}u + v(1+u)^n};$$

$$(3.8') \quad \Pr\{Q = Qq_{-}| \to Q^{\nu} \cap q_{-}^{n-\nu}\} = \frac{v^{n-\nu}}{v^{n-\nu} + v_{2}v_{1}^{n-\nu-1}} \qquad (\nu < n),$$

$$(3.8'') \quad \Pr\{Q = Qq_{+}| \to Q^{\nu} \cap q_{-}^{n-\nu}\} = \frac{v_{2}v_{1}^{n-\nu-1}}{v^{n-\nu} + v_{2}v_{1}^{n-\nu-1}} \qquad (\nu < n);$$

$$(3.9) \quad \Pr\{q_{-} = q_{-}q_{-}| \to q_{-}^{\nu} \cap Q^{n-\nu}\} = \frac{2^{\nu-1}v_{1}v^{\nu}}{2^{\nu-1}v_{1}v^{\nu} + v_{2}(v+v_{1})^{\nu}},$$

$$(3.8'') \quad \Pr\{Q = Qq_{+} | \to Q^{\nu} \cap q_{-}^{n-\nu}\} = \frac{v_{2}v_{1}^{n-\nu-1}}{v_{2}^{n-\nu} + v_{2}v_{1}^{n-\nu-1}} \qquad (\nu < n);$$

$$(3.9) \qquad \Pr\{q_- = q_- q_- | \to q^{\nu}_- \cap Q^{n-\nu}\} = \frac{2^{\nu-1} v_1 v^{\nu}}{2^{\nu-1} v_1 v^{\nu} + v_2 (v+v_1)^{\nu}},$$

$$(3.9') \qquad \Pr\{q_- = q_- q_+ | \to q_-^{\nu} \cap Q^{n-\nu}\} = \frac{v_2 (v + v_1)^{\nu}}{2^{\nu-1} v_1 v^{\nu} + v_2 (v + v_1)^{\nu}}.$$

By the way, we notice finally the further probabilities

$$(3.10) \quad \Pr\{Q = QQ \mid \rightarrow (Q \cup q_{-})^{n}\} = \frac{2^{n-1}u}{2^{n-1}(u+2v_{1})+v_{2}(1+u+v_{1})^{n}},$$

$$(3.10') \quad \Pr\{Q = Qq_{-} | \to (Q \cup q_{-})^{n}\} = \frac{2^{n} v_{1}}{2^{n-1} (u + 2v_{1}) + v_{2} (1 + u + v_{1})^{n}},$$

$$(3.10'') \quad \Pr\{Q = Qq_{+} | \rightarrow (Q \cup q_{-})^{n}\} = \frac{v_{2}(1 + u + v_{1})^{n}}{2^{n-1}(u + 2v_{1}) + v_{2}(1 + u + v_{1})^{n}};$$

$$(3.11) \quad \Pr\{q_- = q_- q_- | \to (q_- \bigcup Q)^n\} = \frac{2^{n-1} v_1}{2^{n-1} v_1 + v_2 (1 + u + v_1)^n},$$

$$(3.11') \quad \Pr\{q_- = q_- q_+ | \to (q_- \cup Q)^n\} = \frac{v_2 (1 + u + v_1)^n}{2^{n-1} v_1 + v_2 (1 + u + v_1)^n}.$$

4. Lower bound for number of children.

The probability a posteriori of an individual representing a dominant character to be homozygotic has been computed in the preceding sections with or without reference to type of its spouse. Applying the results obtained, we shall now deal with a problem stated as follows: Given an individual representing a dominant character, how many children of the same type as that of the individual will suffice to presume the type of the individual to be homozygotic with a probability not less than a preassigned value? A lower bound for the number of children will be obtained by solving an inequality stating that the respective probability a posteriori is not less than the preassigned value.

Let the preassigned value be denoted by α with $0 < \alpha < 1$. For the case $\{Q = QQ \mid \times Q \rightarrow Q^n\}$ in (2.1), the inequality

$$\Pr\{Q=QQ\mid \times Q\rightarrow Q^n\}\geq \alpha$$

is solved by

$$(4.1) n \ge \log\left(\frac{\alpha}{1-\alpha} \frac{2v}{u}\right) / \log\frac{2(1+v)}{2+v}.$$

For instance, if u=1/5 and v=4/5, it becomes

$$n \ge \log \frac{8\alpha}{1-\alpha} / \log \frac{9}{7}$$
,

and further if we put $\alpha = 9/10$ or $\alpha = 4/5$, we get respectively

$$n \ge \log 72/(\log 9 - \log 7) = 17.02...,$$

 $n \ge \log 32/(\log 9 - \log 7) = 13.79....$

Thus, in case, u=1/5 and v=4/5, if an individual Q accompanied by its spouse Q has produced the children of Q alone, then it may be presumed to be homozygotic with a probability greater than 9/10 or 4/5 provided the number of children exceeds 17 or 13 respectively. These bounds will be perhaps too large for a practical use, but a

smaller bound will be found by conceding the probability of confidence.

For the case in (2.2), the inequality $\Pr\{Q=QQ|\times q\rightarrow Q^n\}\geq \alpha$ is solved by

$$(4.2) n \ge \log \left(\frac{\alpha}{1 - \alpha} \frac{2v}{u} \right) / \log 2.$$

For instance, if u=1/5 and v=4/5, it becomes

$$n \ge \log \frac{8\alpha}{1-\alpha} / \log 2$$
,

and further if we put $\alpha=9/10$ or $\alpha=4/5$, we get respectively $n \ge \log 72/\log 2 = 6.17...$, $n \ge \log 32/\log 2 = 5.$

Thus, in case u=1/5 and v=4/5, if an individual Q accompanied by its spouse q has produced the children Q alone, then it may be presumed to be homozygotic with a probability greater than 9/10 or not less than 4/5 provided the number of children exceeds 6 or is not less than 5, respectively.

In a similar way, we obtain, for the cases (2.3) to (2.5) concerning the ABO blood type, the solution of the corresponding inequalities respectively as follows:

$$(4.3) n \ge \log \left(\frac{\alpha}{1-\alpha} \frac{2r}{p}\right) / \log 2,$$

$$(4.4) n \ge \log\left(\frac{\alpha}{1-\alpha} \frac{2r}{p}\right) / \log\frac{2(p+2r)}{2p+3r},$$

$$(4.5) n \ge \log \left(\frac{\alpha}{1-\alpha} \frac{2r}{p}\right) / \log 2,$$

while we get, by solving a corresponding inequality for the case (2.6), an inequality

$$(4.6) n-\nu \ge \log \left(\frac{\alpha}{1-\alpha} \frac{2r}{p}\right) / \log 2.$$

However, in case $\nu=n$, it would here be noticed that, since the probability for $\nu=n$, i.e., $\Pr\{A=AA|\times AB\to A^n\}=p/(p+2r)$ is independent of the value of n, the inequality for $\nu=n$ does always or does never hold provided the right-hand member of (4.6) is non-positive or positive, respectively.

Similar results can also be derived for the case (2.7) to (2.10). In fact, we have only to replace p by q in (4.3) to (4.6), respectively.

The solutions of the corresponding inequalities for the cases (2.11), (2.12) become respectively

$$(4.7) n \ge \log \left(\frac{\alpha}{1-\alpha} \frac{2r}{p} \right) / \log 2, n \ge \log \left(\frac{\alpha}{1-\alpha} \frac{2r}{p} \right) / \log \frac{4}{3}.$$

The results on the Qq_{\pm} blood type will also be derived from (2.16) to (2.26); the actual calculation will be left to the reader.

We shall now proceed to consider the corresponding problem on the probabilities a posteriori given in § 3. First, for the case $\{Q=QQ|\rightarrow Q^n\}$ in (3.1), the inequality $\Pr\{Q=QQ|\rightarrow Q^n\}\geq \alpha$ is solved by

$$(4.8) n \ge \log \left(\frac{\alpha}{1-\alpha} \frac{2v}{u} \right) / \log \frac{2}{1+u}.$$

For the case in (3.2), the inequality $\Pr\{A=AA|\rightarrow A^{\nu}\cap AB^{n-\nu}\}\geq \alpha$ is solved in the form

$$(4.9) n \ge \nu \log \frac{2p+r}{p+r} / \log 2 + \log \left(\frac{\alpha}{1-\alpha} \frac{2r}{p} \right) / \log 2.$$

In particular cases $\nu=n$ and $\nu=0$, the last inequality becomes respectively

$$(4.9') \qquad \begin{cases} n \ge \log\left(\frac{\alpha}{1-\alpha} \frac{2r}{p}\right) / \log\frac{2(p+r)}{2p+r}, \\ n \ge \log\left(\frac{\alpha}{1-p} \frac{2r}{p}\right) / \log 2. \end{cases}$$

Similar results will also be derived with respect to (3.3).

We obtain the solution of the corresponding inequality for the case (3.4) in the form

$$(4.10) n \ge \log \left(\frac{\alpha}{1-\alpha} \frac{2r}{p} \right) / \log \frac{2}{1+p},$$

and similarly for the case (3.5).

The results on the Qq_{\pm} blood type are omitted, being left to the reader.